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Title: Economy matters to Fight Against Malnutrition: results from a multicenter survey

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Abstract: Background and Aim: Malnutrition represents a serious health care threat, as it increases morbidity, mortality and health care cost. The effective screening and treatment with enteral (EN) or parenteral (PN) nutrition are the key elements of the policy called Optimal Nutrition Care for All (ONCA). The study tried to analyze the impact of the state's economy on the implementation of EN and PN to define its role in ONCA.

Material and Methods: an international survey in twenty two European countries was performed between January and December 2014. An electronic questionnaire was distributed to 22 representatives of clinical nutrition (PEN) societies. The questionnaire comprised questions regarding country economy, reimbursement, education and the use EN and PN. Return rate was 90.1% (n=20)

Results: EN and PN were used in all countries surveyed (100%), but to different extent. The country's income significantly influenced the reimbursement for EN and PN ($p < 0.05$). It was also associated with the overall use of tube feeding and PN ($p = 0.05$), but not with the use of oral nutritional supplements ($p = 0.165$). The use of both, EN and PN at hospitals was not depended on the economy ($p > 0.05$). Education was actively carried out in all countries, however the teaching at the pre-graduate level was the least widespread, and also correlated with the country income ($p = 0.042$).

Conclusions: Results indicated that economic situation influences all aspects of ONCA, including education and treatment. The reimbursement for EN and PN seemed to be the key factor of effective campaign against malnutrition.

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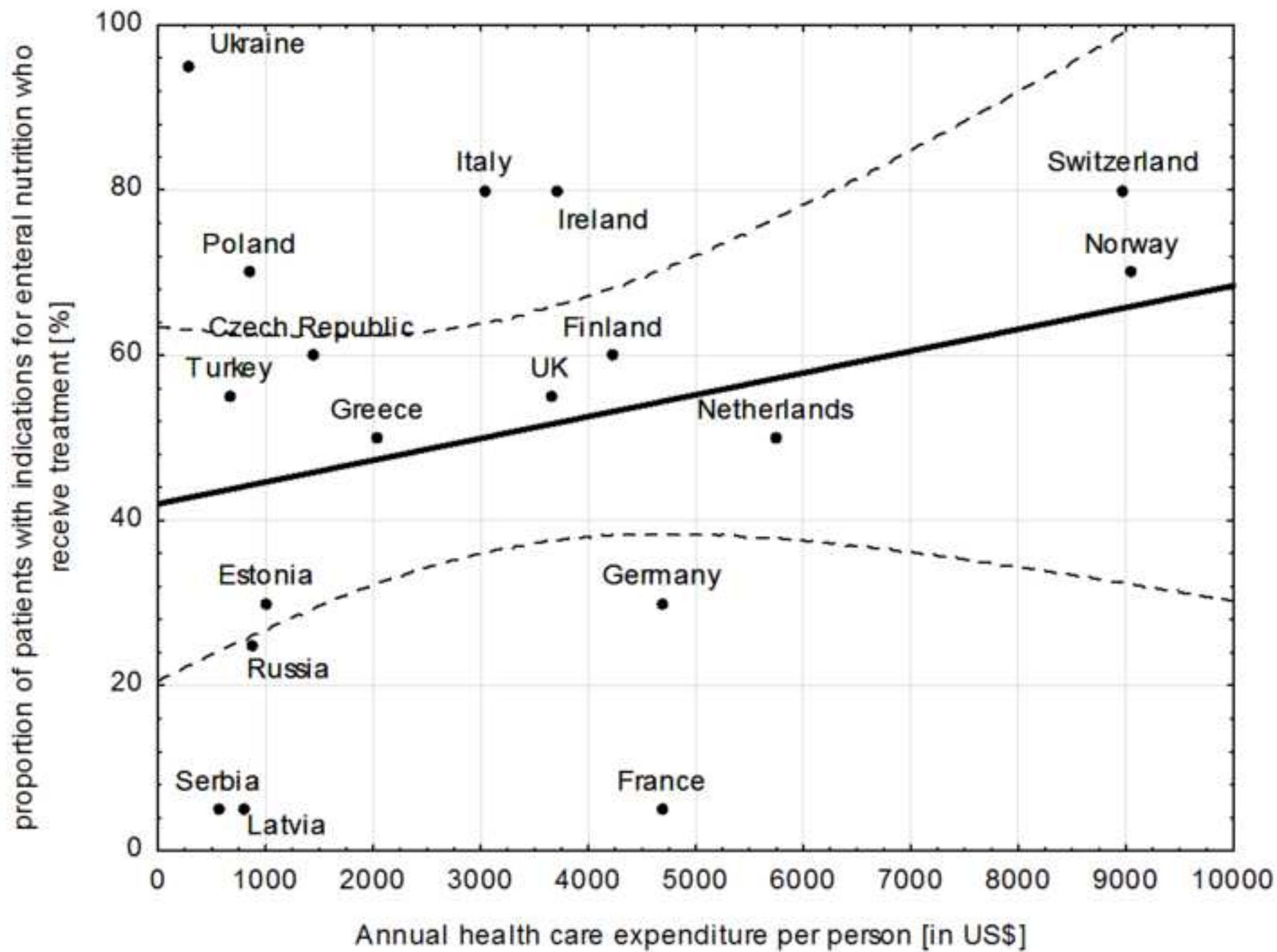
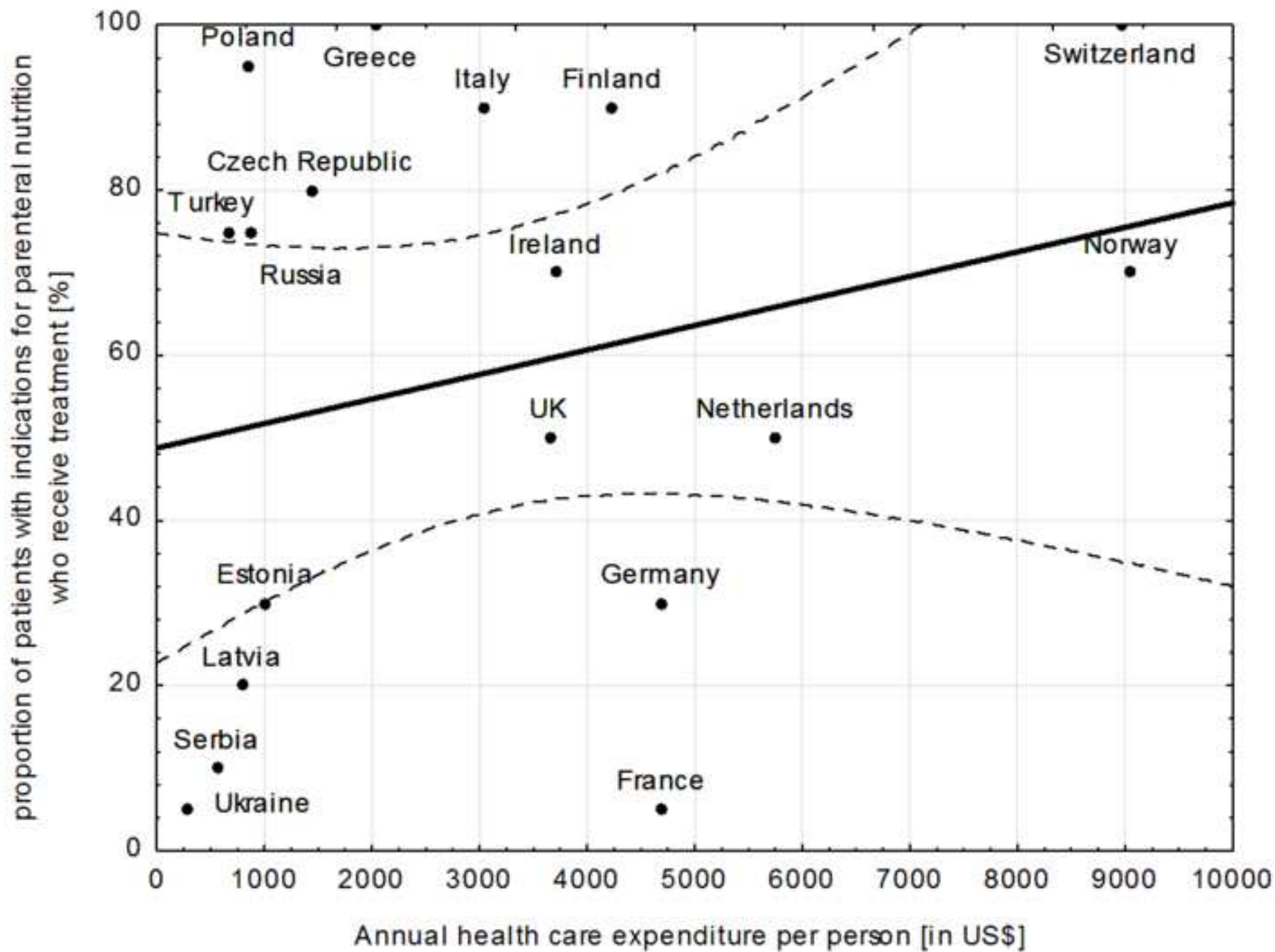


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24th September 2015

N.E.P. Deutz
Editor-in-Chief
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Dear Sir,

I am honored to re-submit a manuscript entitled 'Economy matters to Fight Against Malnutrition: results from a multicenter survey' written by the group of international Authors with my kind request to review and to publish in Clinical Nutrition.

The manuscript was again re-written according to Reviewer's remarks. I hope you will find them suitable and you will find our article worth publication.

The file containing answers to Reviewer's comments was attached to the submission.

I hope you will find our manuscript worth interest and publication.

I look forward to your comments and opinion,

Yours faithfully,

Stanislaw Klek

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2

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50 **Key words:** enteral nutrition, parenteral nutrition, disease-related malnutrition,
51 reimbursement

52

53 **ABSTRACT**

54 **Background and Aim:** Malnutrition represents a serious health care threat, as it increases
55 morbidity, mortality and health care cost. The effective screening and treatment with enteral
56 (EN) or parenteral (PN) nutrition are the key elements of the policy called Optimal Nutrition
57 Care for All (ONCA). The study tried to analyze the impact of the state's economy on the
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62 questions regarding country economy, reimbursement, education and the use EN and PN.
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69 however the teaching at the pre-graduate level was the least widespread, and also correlated
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72 including education and treatment. The reimbursement for EN and PN seemed to be the key
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INTRODUCTION

Malnutrition (also: disease-related malnutrition, DRM) is undoubtedly a serious public health issue worldwide.[1,2] It increases morbidity, mortality, the length of hospital stay, and health-care costs.[1,2,3] The prevalence of malnutrition differs depending on the patient's population, country, clinical settings, yet it can be diagnosed in 7-16% of outpatients and in 20-60% patients at admission to hospital.[3-8] The criteria for that diagnostic varied significantly among authors, but in most of cases the body mass index (BMI) of $< 18.5 \text{ kg/m}^2$ and unintentional body weight loss $>10\%$ last 3-6 months, were applied. [3-8] Unfortunately, the problem of malnutrition is often unnoticed, undiagnosed or untreated, while it generates more costs than overnutrition or obesity, according to British Society for Enteral and Parenteral Nutrition (BAPEN).[9]

The European Society for Clinical Nutrition and Metabolism (ESPEN) recognized DRM as a grave problem more than thirty years ago. Since then, the society has undertaken many actions to change this situation. At the beginning, those activities were called the Fight Against Malnutrition (FAM).[1] In 2014 FAM became a part of a campaign called Optimal Nutrition Care for All (ONCA).[10] ONCA's aim is to facilitate screening for risk of disease-related malnutrition/undernutrition and nutritional care implementation across Europe.[10] ONCA includes, among others, the worldwide 'NutritionDay' survey, many local and international events (including ONCA conferences in Brussels, Prague, Vienna, Warsaw and Zagreb), scientific and research grants, scientific and educational symposia, workshops and trainings. This activity is administered by European Nutrition for Health Alliance (ENHS), an association of stakeholders, in which ESPEN is the strategic partner. Therefore, it is possible

100 to perform all actions in a close cooperation with national scientific societies for enteral and
101 parenteral nutrition (or clinical nutrition) societies, so-called 'PEN' societies. Those activities
102 increased awareness, improved screening, amplified the use of enteral (EN) and parenteral
103 nutrition (PN), representing two types of clinical nutrition support (CN), hence, improved the
104 situation. Results differed, however, among countries. The question what are the key elements
105 of efficient ONCA emerged and remained unanswered. Therefore, the purpose of the study
106 was to answer that query by assessing the situation in European countries. Following aspects
107 were analyzed: the presence of the reimbursement for each type of clinical nutrition (CN); the
108 level of education for CN and the real use of EN and PN in various short- and long term
109 settings.

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131 METHODS

132 An European survey was performed using an electronic questionnaire [Table 1]. The whole
133 project was accomplished within 12 months, between January and December 2014, due to
134 questionnaires distribution, local surveys and further data collecting. The questionnaire was
135 circulated to representatives of twenty-two PEN societies. Participants were supposed to
136 answer all questions, including the prevalence of malnutrition, using recent, already collected,
137 data or new survey performed for the purpose of the study. The diagnostic criteria for
138 malnutrition were (either of the following):

- 139 - body mass index (BMI) of $< 18.5 \text{ kg/m}^2$
- 140 - and unintentional body weight loss $>10\%$ last 3-6 months.

141 For the purpose of financial analysis, all participating countries were categorized by their
142 economic status according to the World Bank criteria for national income [9], and by tertiles
143 of the average health care expenditure per head for 2012 [9].

144 On the basis of the national income, three categories were selected:

- 145 a. lower middle income countries: Ukraine
- 146 b. upper middle income countries: Serbia, Turkey
- 147 c. high income: Croatia, Czech Republic, Estonia, Finland, France, Germany, Greece,
148 Ireland, Italy, Latvia, Netherlands, Norway, Poland, Russia, Spain, Switzerland, UK

149 On the basis of annual healthcare expenditure, three tertiles were named:

- 150 a. 1st tertile (293 - 908 US Dollars/per person): Croatia, Latvia, Poland, Russia, Serbia,
151 Turkey, Ukraine
- 152 b. 2nd tertile (1010-3708 USD/per person): Czech Republic, Estonia, Greece, Ireland,
153 Italy, Spain, UK
- 154 c. 3rd tertile (4232-9055 USD/per person): Finland, France, Germany, Netherlands,
155 Norway, Switzerland

156 The following parameters were analyzed for each participating country:

- 157 - prevalence of malnutrition
- 158 - institution responsible for health care regulations
- 159 - presence and type of insurance company (public/ private/ both)
- 160 - use of EN and PN at various settings (hospitals, home, chronic care facilities)
- 161 - presence of the reimbursement for EN and PN
- 162 - presence and type of education in the field of CN

163 The term ‘hospital settings’ referred to all in-patients, ‘home’ to all out-patients staying at
164 home along/ with family/ other care-givers, but without any additional chronic care provided
165 at his/her household level, chronic care and palliative care centers referred to all patients
166 staying outside home, at long term care facilities, due to untreatable cancer (the latter) or any
167 chronic condition, other than cancer (the first).

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169 Statistical Analysis

170 The statistical analysis was performed using the SPSS v.19 (SPSS Inc., Chicago, IL) software
171 package. Because in every analysis the expected frequency was less than 5 in more than 20%
172 of cells, the Fisher test was used for the analyses of categorical variables. The prevalence of
173 malnutrition, and the proportion of patients with indicators for EN or PN who receive
174 treatment were considered as continuous variables. As the sample size was relatively small,

175 the normal distribution was not tested due to low power to reject a null hypothesis (assuming
176 equivalence to normal distribution). Consequently, the U-Mann-Whitney test for two-group
177 and the Kruskal-Wallis test for three-group comparisons were used. To show more detailed
178 descriptive information, means, standard deviation, medians, interquartile range, minimal and
179 maximal values were provided. A p-value <0.05 was accepted as being statistically
180 significant.

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182 RESULTS

183 Twenty answered questionnaires were returned (the response rate was 90.1%), and analyzed.
184 Insurance companies operated as health care financiers in all twenty participating countries
185 (100%). There were only private institutions in Serbia, Ukraine and the United Kingdom
186 (UK), while in Croatia, France and Poland health care expenses were covered by the state-
187 funded and state-governed entity. In the other fourteen countries both private and state
188 insurance companies were present.

189 The Ministry of Health (or its local equivalent) was the institution responsible for forming
190 health policy in all countries (100%). Additionally, Interterritorial Council and Regional
191 Governments contributed to that process in Spain, while the insurance companies participated
192 in the process in Croatia, Finland, Ireland and Poland. In Estonia the opinion of medical
193 societies was always formally taken into account, while in Norway the direct input of the
194 National Assembly was important.

195 Enteral (EN) and parenteral nutrition (PN) were used in all European countries (100%), but to
196 different extend. This relation was clear as far as the place of care was considered (hospital,
197 home, long term facility). EN and PN were available to all patients in all countries at the
198 hospital settings. EN and PN were not used on a regular basis in chronic care facilities in
199 Croatia, Latvia and Ukraine, at home in Latvia and Russia, nor at palliative cancer care

200 centers in Croatia, Latvia, Russia and Serbia. The UK's situation regarding palliative care
201 nutrition is much more complicated as intravenous infusions are often not permitted in
202 palliative care homes, although the use of PN for cancer-related intestinal failure has been one
203 of the most quickly growing patient groups.

204 A general overview shows that the use of EN and PN in European countries fails to correlate
205 closely with the income of the country, as presented in Table 2. The level of *per capita*
206 healthcare expenditure and use of clinical nutrition were not correlated, for all countries there
207 was no statistically significant difference ($p>0.05$)

208 The use of clinical nutrition in chronic care centers, in palliative centers, and at home, seemed
209 to be associated with the country's overall income, but those associations were not
210 statistically significant ($p=0.302$, $p=0.302$ and $p=0.088$, respectively).

211 Analysis based on the influence of health care expenditure per capita showed no statistical
212 differences as far as the use of EN ($p=1.000$) was concerned, but significant differences for
213 PN. The latter was observed to be of a higher prevalence at home and chronic care facilities in
214 countries from the 2nd and 3rd tertiles ($p=0.018$).

215 The level of the country's income was associated with reimbursement for EN and PN, as
216 presented in Table 3. The reimbursement was crucial as far as the use of tube feeding and
217 intravenous nutrition were considered ($p=0.05$), but did not matter in the case of oral
218 nutritional supplements ($p=0.165$, Table 4). Similar observations were made for the
219 differences in use of PN in chronic settings and in the patient's home ($p=0.001$ and $p=0.014$,
220 respectively)

221 If EN and/or PN was not reimbursed, all costs were covered by patient or his/her family.

222 The prevalence of malnutrition, as identified by our respondents, is significantly and
223 negatively associated with national income ($p=0.038$). The data for the prevalence were
224 collected by representatives of local PEN societies.

225 The greater use of CN across categories of income level was also associated with a lower
226 proportion of patients with indications for EN and PN, but the numbers here are small and the
227 results are not statistically significant (Table 5). The reimbursement proved to be a strong
228 predictor for the use of enteral tube feeding, and for all types of CN, in chronic care facilities
229 ($p=0.001$ for both), as well as for the use of parenteral nutrition, and all types of CN, at home
230 ($p=0.014$ for both) (Table 7).

231 The proportion of patients with indications for CN to those who actually received treatment
232 was also calculated. Our analyses confirm positive links for both EN and PN, but there are
233 countries (Ukraine for EN, Poland for EN and PN, Czech Republic for EN and PN, and
234 Greece for PN) with relatively low amounts of money but high proportions of treated patients,
235 and, on the other hand, countries (France and Germany, both for EN and PN) with more
236 money available but lower proportions of treated patients (Figure 1 and Figure 2)

237 Local PEN societies representative collected the data on education. Trainings for clinical
238 nutrition was present in all twenty countries participating in the study (100%). Most
239 frequently these activities were organized by national PEN societies as local trainings (17
240 countries [85%]), quite common were also postgraduate trainings and ESPEN LLL programs
241 (16 [80%] and 12 [60%] countries respectively). Investigation of the relationship between
242 different types of medical training and the average per capita healthcare expenditure showed
243 little linkage with postgraduate, ESPEN LLL or local PEN society training. The prevalence of
244 undergraduate training was clearly related to the country's health care expenditure showing a
245 significant increase across categories of financial commitment ($p=0.042$) (Table 6).

246 No relation between the number of educational activities and the factual use of CN was
247 observed. ($p>0.05$)

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DISCUSSION

Malnutrition represent a serious health care thread as it increases morbidity, mortality and health care cost for all age groups. [1,2] A new Croatian study showed that the total cost of adult malnutrition for selected diagnoses was €97.35 million per year, accounting for 3.38% of the total Croatian national health care budget, and the average cost per patient was estimated at €1640.48. [12] The largest share was used for medications (43%), followed by 34% for hospitalization, 13% for community health nursing, while PN and EN costs contributed with 6% and 1% respectively.[12] Malnutrition is widespread - recent European survey showed that 57.4% of Estonian, 39.4% of Turkish, 32.8% Greek, 21.9% Polish and 14.2% of Lithuanian patients were diagnosed with malnutrition [13] Moreover, severe malnutrition was reported in 19.7% of Turkish patients, and in 9.9% of Polish and Greek individuals (9.4%).[13]

Therefore, actions like FAM, are of the utmost importance. The implementation of EN and PN, which are, along with screening, key elements for the efficient FAM, vary among countries. The same study showed that they could be influenced by the political situation, economy as well as the activity of the national PEN societies in term of raising the awareness, education, cooperation with funding and policy-making authorities. The latter seemed to be affected by the economy as well.

274 The factual associations among education, economy, reimbursement and the utilization of EN
275 and PN have, however, never been thoroughly analyzed. Therefore, the following study was
276 supposed to address those ambiguities.

277 Some of results were really encouraging: both EN and PN are used in all of the European
278 countries surveyed, apparently independently of the income of the country. They are,
279 however, mostly available to patients at hospital settings, and often unavailable to those at
280 chronic care facilities or at home. National income did not appear to influence that situation
281 directly, but greatly influenced reimbursement both for EN and PN, which seem to have
282 important effects on their utilization. Oral nutritional supplements (ONS) were the only
283 treatment method to prove otherwise. Education for clinical nutrition was present in all
284 participating countries (100%). Those included, however, mostly postgraduate activities, often
285 held by the national PEN or ESPEN, not by the local institutions. Pregraduate education was
286 much less frequent, and that fact was inversely related to country income. The level of
287 education did not influence the administration of neither EN nor PN.

288 To our knowledge this is the first survey on the educational and economic aspects of the fight
289 against malnutrition. Authors are aware of the limitations of the study, particularly the
290 dependence on local PEN's representatives regarding the provision of data. It is important,
291 however, to emphasize that there is no other method of collecting such circuitous data.
292 Undoubtedly, the study offered a lot of new data, which provided a new insight into the
293 treatment of malnutrition.

294 Results indicated unequivocally that economic situation influences all aspects of actions
295 against malnutrition, including education and treatment. The reimbursement for EN and PN
296 seemed also to be of a vital role for those activities.

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307 CONFLICT OF INTEREST

308 The authors hereby declare that the article is original, is not under consideration for
309 publication anywhere else and has not been previously published. Authors declare no
310 potential or actual personal, political or financial interest in the material, information or
311 techniques described in the paper.

312

313 STATEMENT OF AUTHORSHIP

314 SK coordinated the research, he was responsible for critical data analysis, evaluation of the
315 outcome, and writing of the manuscript. AG was responsible for the statistics and data
316 analysis. All authors have made substantial contributions to the data collection and drafting of
317 the manuscript, for which they take collective responsibility.

318

319 SOURCE OF FUNDING

320 None.

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385

1 **Title:** Economy matters to Fight Against Malnutrition: results from a multicenter survey.

2

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50 **Key words:** enteral nutrition, parenteral nutrition, disease-related malnutrition,
51 reimbursement

52

53 **ABSTRACT**

54 **Background and Aim:** Malnutrition represents a serious health care threat, as it increases
55 morbidity, mortality and health care cost. The effective screening and treatment with enteral
56 (EN) or parenteral (PN) nutrition are the key elements of the policy called Optimal Nutrition
57 Care for All (ONCA). The study tried to analyze the impact of the state's economy on the
58 implementation of EN and PN to define its role in ONCA.

59 **Material and Methods:** an international survey in twenty two European countries was
60 performed between January and December 2014. An electronic questionnaire was distributed
61 to 22 representatives of clinical nutrition (PEN) societies. The questionnaire comprised
62 questions regarding country economy, reimbursement, education and the use EN and PN.
63 Return rate was 90.1% (n=20)

64 **Results:** EN and PN were used in all countries surveyed (100%), but to different extent. The
65 country's income significantly influenced the reimbursement for EN and PN ($p < 0.05$). It was
66 also associated with the overall use of tube feeding and PN ($p = 0.05$), but not with the use of
67 oral nutritional supplements ($p = 0.165$). The use of both, EN and PN at hospitals was not
68 depended on the economy ($p > 0.05$). Education was actively carried out in all countries,
69 however the teaching at the pre-graduate level was the least widespread, and also correlated
70 with the country income ($p = 0.042$).

71 **Conclusions:** Results indicated that economic situation influences all aspects of ONCA,
72 including education and treatment. The reimbursement for EN and PN seemed to be the key
73 factor of effective campaign against malnutrition.

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78 INTRODUCTION

79 Malnutrition (also: disease-related malnutrition, DRM) is undoubtedly a serious public health
80 issue worldwide.[1,2] It increases morbidity, mortality, the length of hospital stay, and health-
81 care costs.[1,2,3] The prevalence of malnutrition differs depending on the patient's
82 population, country, clinical settings, yet it can be diagnosed in 7-16% of outpatients and in
83 20-60% patients at admission to hospital.[3-8] The criteria for that diagnostic varied
84 significantly among authors, but in most of cases the body mass index (BMI) of $< 18.5 \text{ kg/m}^2$
85 and unintentional body weight loss $>10\%$ last 3-6 months, were applied. [3-8] Unfortunately,
86 the problem of malnutrition is often unnoticed, undiagnosed or untreated, while it generates
87 more costs than overnutrition or obesity, according to British Society for Enteral and
88 Parenteral Nutrition (BAPEN).[9]

89 The European Society for Clinical Nutrition and Metabolism (ESPEN) recognized DRM as a
90 grave problem more than thirty years ago. Since then, the society has undertaken many
91 actions to change this situation. At the beginning, those activities were called the Fight
92 Against Malnutrition (FAM).[1] In 2014 FAM became a part of a campaign called Optimal
93 Nutrition Care for All (ONCA).[10] ONCA's aim is to facilitate screening for risk of disease-
94 related malnutrition/undernutrition and nutritional care implementation across Europe.[10]
95 ONCA includes, among others, the worldwide 'NutritionDay' survey, many local and
96 international events (including ONCA conferences in Brussels, Prague, Vienna, Warsaw and
97 Zagreb), scientific and research grants, scientific and educational symposia, workshops and
98 trainings. This activity is administered by European Nutrition for Health Alliance (ENHS), an
99 association of stakeholders, in which ESPEN is the strategic partner. Therefore, it is possible

100 to perform all actions in a close cooperation with national scientific societies for enteral and
101 parenteral nutrition (or clinical nutrition) societies, so-called 'PEN' societies. Those activities
102 increased awareness, improved screening, amplified the use of enteral (EN) and parenteral
103 nutrition (PN), representing two types of clinical nutrition support (CN), hence, improved the
104 situation. Results differed, however, among countries. The question what are the key elements
105 of efficient ONCA emerged and remained unanswered. Therefore, the purpose of the study
106 was to answer that query by assessing the situation in European countries. Following aspects
107 were analyzed: the presence of the reimbursement for each type of clinical nutrition (CN); the
108 level of education for CN and the real use of EN and PN in various short- and long term
109 settings.

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METHODS

An European survey was performed using an electronic questionnaire [Table 1]. The whole project was accomplished within 12 months, between January and December 2014, due to questionnaires distribution, local surveys and further data collecting. The questionnaire was circulated to representatives of twenty-two PEN societies. Participants were supposed to answer all questions, including the prevalence of malnutrition, using recent, already collected, data or new survey performed for the purpose of the study. The diagnostic criteria for malnutrition were (either of the following):

- body mass index (BMI) of $< 18.5 \text{ kg/m}^2$
- and unintentional body weight loss $>10\%$ last 3-6 months.

For the purpose of financial analysis, all participating countries were categorized by their economic status according to the World Bank criteria for national income [9], and by tertiles of the average health care expenditure per head for 2012 [9].

On the basis of the national income, three categories were selected:

- a. lower middle income countries: Ukraine
- b. upper middle income countries: Serbia, Turkey
- c. high income: Croatia, Czech Republic, Estonia, Finland, France, Germany, Greece, Ireland, Italy, Latvia, Netherlands, Norway, Poland, Russia, Spain, Switzerland, UK

On the basis of annual healthcare expenditure, three tertiles were named:

- 150 a. 1st tertile (293 - 908 US Dollars/per person): Croatia, Latvia, Poland, Russia, Serbia,
151 Turkey, Ukraine
- 152 b. 2nd tertile (1010-3708 USD/per person): Czech Republic, Estonia, Greece, Ireland,
153 Italy, Spain, UK
- 154 c. 3rd tertile (4232-9055 USD/per person): Finland, France, Germany, Netherlands,
155 Norway, Switzerland

156 The following parameters were analyzed for each participating country:

- 157 - prevalence of malnutrition
- 158 - institution responsible for health care regulations
- 159 - presence and type of insurance company (public/ private/ both)
- 160 - use of EN and PN at various settings (hospitals, home, chronic care facilities)
- 161 - presence of the reimbursement for EN and PN
- 162 - presence and type of education in the field of CN

163 The term ‘hospital settings’ referred to all in-patients, ‘home’ to all out-patients staying at
164 home along/ with family/ other care-givers, but without any additional chronic care provided
165 at his/her household level, chronic care and palliative care centers referred to all patients
166 staying outside home, at long term care facilities, due to untreatable cancer (the latter) or any
167 chronic condition, other than cancer (the first).

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169 Statistical Analysis

170 The statistical analysis was performed using the SPSS v.19 (SPSS Inc., Chicago, IL) software
171 package. Because in every analysis the expected frequency was less than 5 in more than 20%
172 of cells, the Fisher test was used for the analyses of categorical variables. The prevalence of
173 malnutrition, and the proportion of patients with indicators for EN or PN who receive
174 treatment were considered as continuous variables. As the sample size was relatively small,

175 the normal distribution was not tested due to low power to reject a null hypothesis (assuming
176 equivalence to normal distribution). Consequently, the U-Mann-Whitney test for two-group
177 and the Kruskal-Wallis test for three-group comparisons were used. To show more detailed
178 descriptive information, means, standard deviation, medians, interquartile range, minimal and
179 maximal values were provided. A p-value <0.05 was accepted as being statistically
180 significant.

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182 RESULTS

183 Twenty answered questionnaires were returned (the response rate was 90.1%), and analyzed.
184 Insurance companies operated as health care financiers in all twenty participating countries
185 (100%). There were only private institutions in Serbia, Ukraine and the United Kingdom
186 (UK), while in Croatia, France and Poland health care expenses were covered by the state-
187 funded and state-governed entity. In the other fourteen countries both private and state
188 insurance companies were present.

189 The Ministry of Health (or its local equivalent) was the institution responsible for forming
190 health policy in all countries (100%). Additionally, Interterritorial Council and Regional
191 Governments contributed to that process in Spain, while the insurance companies participated
192 in the process in Croatia, Finland, Ireland and Poland. In Estonia the opinion of medical
193 societies was always formally taken into account, while in Norway the direct input of the
194 National Assembly was important.

195 Enteral (EN) and parenteral nutrition (PN) were used in all European countries (100%), but to
196 different extend. This relation was clear as far as the place of care was considered (hospital,
197 home, long term facility). EN and PN were available to all patients in all countries at the
198 hospital settings. EN and PN were not used on a regular basis in chronic care facilities in
199 Croatia, Latvia and Ukraine, at home in Latvia and Russia, nor at palliative cancer care

200 centers in Croatia, Latvia, Russia and Serbia. The UK's situation regarding palliative care
201 nutrition is much more complicated as intravenous infusions are often not permitted in
202 palliative care homes, although the use of PN for cancer-related intestinal failure has been one
203 of the most quickly growing patient groups.

204 A general overview shows that the use of EN and PN in European countries fails to correlate
205 closely with the income of the country, as presented in Table 2. The level of *per capita*
206 healthcare expenditure and use of clinical nutrition were not correlated, for all countries there
207 was no statistically significant difference ($p>0.05$)

208 The use of clinical nutrition in chronic care centers, in palliative centers, and at home, seemed
209 to be associated with the country's overall income, but those associations were not
210 statistically significant ($p=0.302$, $p=0.302$ and $p=0.088$, respectively).

211 Analysis based on the influence of health care expenditure per capita showed no statistical
212 differences as far as the use of EN ($p=1.000$) was concerned, but significant differences for
213 PN. The latter was observed to be of a higher prevalence at home and chronic care facilities in
214 countries from the 2nd and 3rd tertiles ($p=0.018$).

215 The level of the country's income was associated with reimbursement for EN and PN, as
216 presented in Table 3. The reimbursement was crucial as far as the use of tube feeding and
217 intravenous nutrition were considered ($p=0.05$), but did not matter in the case of oral
218 nutritional supplements ($p=0.165$, Table 4). Similar observations were made for the
219 differences in use of PN in chronic settings and in the patient's home ($p=0.001$ and $p=0.014$,
220 respectively)

221 If EN and/or PN was not reimbursed, all costs were covered by patient or his/her family.

222 The prevalence of malnutrition, as identified by our respondents, is significantly and
223 negatively associated with national income ($p=0.038$). The data for the prevalence were
224 collected by representatives of local PEN societies.

225 The greater use of CN across categories of income level was also associated with a lower
226 proportion of patients with indications for EN and PN, but the numbers here are small and the
227 results are not statistically significant (Table 5). The reimbursement proved to be a strong
228 predictor for the use of enteral tube feeding, and for all types of CN, in chronic care facilities
229 ($p=0.001$ for both), as well as for the use of parenteral nutrition, and all types of CN, at home
230 ($p=0.014$ for both) (Table 7).

231 The proportion of patients with indications for CN to those who actually received treatment
232 was also calculated. Our analyses confirm positive links for both EN and PN, but there are
233 countries (Ukraine for EN, Poland for EN and PN, Czech Republic for EN and PN, and
234 Greece for PN) with relatively low amounts of money but high proportions of treated patients,
235 and, on the other hand, countries (France and Germany, both for EN and PN) with more
236 money available but lower proportions of treated patients (Figure 1 and Figure 2)

237 Local PEN societies representative collected the data on education. Trainings for clinical
238 nutrition was present in all twenty countries participating in the study (100%). Most
239 frequently these activities were organized by national PEN societies as local trainings (17
240 countries [85%]), quite common were also postgraduate trainings and ESPEN LLL programs
241 (16 [80%] and 12 [60%] countries respectively). Investigation of the relationship between
242 different types of medical training and the average per capita healthcare expenditure showed
243 little linkage with postgraduate, ESPEN LLL or local PEN society training. The prevalence of
244 undergraduate training was clearly related to the country's health care expenditure showing a
245 significant increase across categories of financial commitment ($p=0.042$) (Table 6).

246 No relation between the number of educational activities and the factual use of CN was
247 observed. ($p>0.05$)

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DISCUSSION

Malnutrition represent a serious health care thread as it increases morbidity, mortality and health care cost for all age groups. [1,2] A new Croatian study showed that the total cost of adult malnutrition for selected diagnoses was €97.35 million per year, accounting for 3.38% of the total Croatian national health care budget, and the average cost per patient was estimated at €1640.48. [12] The largest share was used for medications (43%), followed by 34% for hospitalization, 13% for community health nursing, while PN and EN costs contributed with 6% and 1% respectively.[12] Malnutrition is widespread - recent European survey showed that 57.4% of Estonian, 39.4% of Turkish, 32.8% Greek, 21.9% Polish and 14.2% of Lithuanian patients were diagnosed with malnutrition [13] Moreover, severe malnutrition was reported in 19.7% of Turkish patients, and in 9.9% of Polish and Greek individuals (9.4%).[13]

Therefore, actions like FAM, are of the utmost importance. The implementation of EN and PN, which are, along with screening, key elements for the efficient FAM, vary among countries. The same study showed that they could be influenced by the political situation, economy as well as the activity of the national PEN societies in term of raising the awareness, education, cooperation with funding and policy-making authorities. The latter seemed to be affected by the economy as well.

274 The factual associations among education, economy, reimbursement and the utilization of EN
275 and PN have, however, never been thoroughly analyzed. Therefore, the following study was
276 supposed to address those ambiguities.

277 Some of results were really encouraging: both EN and PN are used in all of the European
278 countries surveyed, apparently independently of the income of the country. They are,
279 however, mostly available to patients at hospital settings, and often unavailable to those at
280 chronic care facilities or at home. National income did not appear to influence that situation
281 directly, but greatly influenced reimbursement both for EN and PN, which seem to have
282 important effects on their utilization. Oral nutritional supplements (ONS) were the only
283 treatment method to prove otherwise. Education for clinical nutrition was present in all
284 participating countries (100%). Those included, however, mostly postgraduate activities, often
285 held by the national PEN or ESPEN, not by the local institutions. Pregraduate education was
286 much less frequent, and that fact was inversely related to country income. The level of
287 education did not influence the administration of neither EN nor PN.

288 To our knowledge this is the first survey on the educational and economic aspects of the fight
289 against malnutrition. Authors are aware of the limitations of the study, particularly the
290 dependence on local PEN's representatives regarding the provision of data. It is important,
291 however, to emphasize that there is no other method of collecting such circuitous data.
292 Undoubtedly, the study offered a lot of new data, which provided a new insight into the
293 treatment of malnutrition.

294 Results indicated unequivocally that economic situation influences all aspects of actions
295 against malnutrition, including education and treatment. The reimbursement for EN and PN
296 seemed also to be of a vital role for those activities.

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307 CONFLICT OF INTEREST

308 The authors hereby declare that the article is original, is not under consideration for
309 publication anywhere else and has not been previously published. Authors declare no
310 potential or actual personal, political or financial interest in the material, information or
311 techniques described in the paper.

312

313 STATEMENT OF AUTHORSHIP

314 SK coordinated the research, he was responsible for critical data analysis, evaluation of the
315 outcome, and writing of the manuscript. AG was responsible for the statistics and data
316 analysis. All authors have made substantial contributions to the data collection and drafting of
317 the manuscript, for which they take collective responsibility.

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319 SOURCE OF FUNDING

320 None.

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ANSWERS TO EDITOR AND REVIEWER'S COMMENTS

MS. Ref. No.: YCLNU-D-15-00488

Title: "Economy matters to Fight Against Malnutrition: results from a multicenter survey"

Comment:

For this article the authors did some changes which are acceptable.

However in the Introduction it is imperative to mention that ONCA is an action driven by ENHA -an association of stakeholders in which ESPEN is a Key player

Answer: The text was changed – see below.

‘In 2014 FAM became a part of a campaign called Optimal Nutrition Care for All (ONCA).[10] ONCA’s aim is to facilitate greater screening for risk of disease-related malnutrition/undernutrition and nutritional care implementation across Europe.[10] It includes, among others, the worldwide ‘NutritionDay’ survey, many local and international events (including ONCA conferences in Brussels, Prague, Vienna, Warsaw and Zagreb), scientific and research grants, scientific and educational symposia, workshops and trainings. This activity is govern by European Nutrition for Health Alliance (ENHS), an association of stakeholders, in which ESPEN is the key player. Therefore, actions could be performed in a close cooperation with national scientific societies for enteral and parenteral nutrition (or clinical nutrition) societies, so-called ‘PEN’ societies.’