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1 Abstract

Objective: To review the most recent advances in human and bacterial genomics as applied to
pathogenesis and clinical management of otitis media.

Data Sources: PubMed articles published since the last meeting in June 2015 up to June 2019. *Review Methods:* A panel of experts in human and bacterial genomics of otitis media was
formed. Each panel member reviewed the literature in their respective fields and wrote draft
reviews. The reviews were shared with all panel members, and a merged draft was created. The
panel met at the 20th International Symposium on Recent Advances in Otitis Media in June
2019, discussed the review and refined the content. A final draft was made, circulated, and
approved by the panel members. *Conclusion:* Trans-disciplinary approaches applying pan-omic technologies to identify human

11 *Conclusion:* Trans-disciplinary approaches applying pan-omic technologies to identify human 12 susceptibility to otitis media and to understand microbial population dynamics, patho-adaptation 13 and virulence mechanisms are crucial to the development of novel, personalized therapeutics and 14 prevention strategies for otitis media.

15 *Implications for Practice:* In the future otitis media prevention strategies may be augmented by 16 mucosal immunization, combination vaccines targeting multiple pathogens, and modulation of 17 the middle ear microbiome. Both treatment and vaccination may be tailored to an individual's 18 otitis media phenotype as defined by molecular profiles obtained by using rapidly developing 19 techniques in microbial and host genomics.

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Keywords: otitis media, otitis-prone, microbiome, metagenomics, genome-wide association
study, precision medicine

1 1. Introduction

Despite significant decreases in incidence and prevalence worldwide, otitis media (OM) remains a very common diagnosis particularly among children and the elderly. OM continues to impose a major public health burden across human populations and disproportionately affects socially and disadvantaged persons, e.g. indigenous communities. Part of the reason why OM burden cannot be easily eliminated is the incomplete understanding of the OM phenotype and its underlying disease mechanisms.

8 Human studies of genetic predisposition to experience OM rely on the supposition that 9 the history of diagnosis of OM is accurate. Contamination of the defined susceptible population by individuals who have not experienced OM complicates analysis. The extent of contamination 10 11 of the susceptible population by "non-susceptibles" currently cannot be known and may vary 12 widely. Precision in diagnosis varies over time for several reasons. The definitions of OM, acute 13 (A)OM and OM with effusion (OME) have changed as recently as 2004 following guidelines 14 from the American Academy of Pediatrics (AAP), then revised again by the AAP in 2013 [1-2]. Moreover, the clinical diagnosis is challenging especially in young children and as such 15 estimates of misdiagnosis can range as high as 50% [3-9]. Clinicians that are specifically trained 16 17 and tested on their accuracy of diagnosis (proven by tympanocentesis after clinical diagnosis) are 18 termed "validated otoscopists" but they represent <1% of those making OM diagnoses. 19 Tympanocentesis-proven OM is the gold standard of diagnosis but very few clinicians practice 20 this procedure, mostly due to the required skill set for performing tympanocentesis. From a 21 prospective, longitudinal study of children from Rochester, NY, 27% of the children studied met 22 the criteria of otitis-prone (3 AOM infections within 6 months or 4 AOM infections within a 23 year) by age 30 months old if children were diagnosed with AOM by primary care clinicians

[10]. In contrast, if the diagnosis was made by validated otoscopists, 14% met the otitis-prone
 definition, while if diagnosis was made by tympanocentesis, 6% met the otitis-prone definition
 [10]. That study gives a perspective on the magnitude of the problem of misclassification of OM.
 Note that such misclassification may occur not only for AOM but also for chronic (C)OM with
 effusion especially if with an intact eardrum.

6 At this time of rapid development in molecular -omic technologies, OM clinicians and 7 scientists must take advantage of these advances to improve understanding and classification of 8 the OM phenotype by integrating knowledge of host, pathogen, and microbiome interactions 9 with overall health status and to enable improvements in OM management and reduction of OM 10 incidence, with the ultimate goal of personalizing treatment and prevention. Here we review the 11 most recent discoveries in human and microbial genomics as applied to OM pathogenesis and 12 precision medicine.

13

14 2. Methods

Published literature in PubMed was searched since the last symposium. Search terms included
"otitis media", "acute otitis media", "recurrent acute otitis media", "chronic otitis media",
"chronic otitis media with effusion", "gene", "genome-wide association studies", "GWAS",
"loci", "mouse model", "animal model", "microbiome", "metagenomics", "epigenetic",
"personalized medicine", "precision medicine". The search included all published literature with
available English abstracts. No further exclusion or selection criteria were employed. Additional
inputs were also taken from each panel member on their specific areas of OM expertise.

23

1 **3. Discussion**

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3.1 Discovery of OM Susceptibility and Protective Loci in Humans

Twenty-one significant loci have been identified in five genome-wide association studies 4 5 (GWAS; Table 1). Of these variants, 15 were identified in a 23andMe study that included 6 >120,000 European-descent individuals [12,13]. Additionally four of the loci were either coding 7 variants or in linkage disequilibrium (LD) with coding variants (Table 1): (A) The PLG 8 c.112A>G (p. Lys38Glu; rs73015965) variant is pathogenic for autosomal recessive type I 9 plasminogen deficiency that includes COM as a clinical manifestation [16]. Plg-knockout mice 10 are also known to spontaneously develop COM [17]. In the GWAS, the heterozygous genotype 11 for the rs73015965 is a risk factor for childhood ear infections (OR=1.43; 95%CI: 1.26,1.63; 12 $p=3.8 \times 10^{-8}$) [12]. (B) A synonymous variant within FUT2, c.249C>T (p.Tyr83=; rs681343), was 13 initially identified as a protective variant in homozygous individuals with OM but was later 14 reported as a risk allele [12,13,18]. The rs681343 variant is in almost complete LD with FUT2 15 c.461G>A (p.Trp154*; rs601338) [12,13,18] which has in the genome Aggregation Database 16 (gnomAD.broadinstitute.org) a minor allele frequency (MAF) 0.26-0.50 in various populations 17 except East Asians (MAF=0.002). This stop variant has been well-studied as a protective factor against multiple non-OM infections [18,19]. It also results in higher plasma vitamin B12 levels 18 19 [20]. Conversely rs601338 increases risk for autoimmune disorders such as Behcet's, celiac and 20 inflammatory bowel diseases [18,21]. In multi-ethnic families and probands with OM, several 21 coding variants within *FUT2* were identified to confer OM susceptibility [18]. This is further 22 supported by the transient expression of *Fut2* in non-typeable *Haemophilus influenzae* (NTHi)-23 inoculated mouse middle ears and by decreased epithelial A antigen levels due to FUT2 variants 24 [18]. (C) The intronic TBX1 rs1978060 variant is in almost complete LD with TBX1 c.1189A>C

1 (p.Asn397His; rs72646967) [13]. *Tbx1*-knockout mice have COM that is characteristic of 2 22q11.2 deletion syndrome in humans [22,23]. In the 23andMe GWAS the TBX1 rs1978060 3 variant was identified as a risk factor for both childhood ear infections and myringotomy [12,13]. 4 (D) The intronic variant CDHR3 rs114947103 is in almost complete LD with CDHR3 5 c.1586G>A (p.Cys529Tyr; rs6967330) [13]. Previously CDHR3 rs6967330 was implicated in a 6 GWAS for asthma, particularly for wheezing after rhinovirus infection [24-26]. On the contrary 7 for childhood ear infections, the CDHR3 rs114947103 variant was identified as a protective factor (OR=0.94; 95%CI:0.91,0.96; p=5.4x10⁻⁹) [12]. 8 9 From these GWAS only five genes or variants were replicated in an independent OM dataset (Table 1): (a) FUT2 [12,13,18]; (b) TBX1 variant rs1978060 [12,13]; (c) ABO [12,27,28]; 10 11 (d) intergenic variant rs10497394 on chromosome 2q31.1 which lies between genes CDCA7 and 12 SP3 [14]; and (e) a 3'UTR variant in FNDC1 [15]. ABO blood types were previously known to be associated with different otitis media types, such that type O is protective while type A 13 14 increases risk for OM [27,28]. The initial GWAS for the rs10497394 and FNDC1-3'UTR variants reported independent replication, indicating that they are credibly associated with OM 15 [14,15]. Moreover FNDC1 variants were positively correlated with FNDC1 expression levels but 16 17 negatively correlated with methylation status of FNDC1 [15]. To date, the FNDC1 variant 18 rs2932989 is the only significant epigenetic signal identified for OM in humans. 19 All of the five replicated GWAS loci were identified as expression quantitative trait loci 20 (eQTL) for various mucosal and epithelial tissues in the Genotype-Tissue Expression (GTEx) 21 database (gtexportal.org, accessed 21 August 2019), suggesting a regulatory function for these 22 variants (Table 2). Unfortunately middle ear mucosal epithelium is not represented in GTEx. 23 While the majority of these eQTL variants affect expression levels of genes where they lie, some

1	of the variants have more distant effects (e.g. variant rs885932 at 6p22.1 is 0.87Mb proximal to
2	target gene IER3 at 6p21.33). Of note, four of the ten eQTL-GWAS loci affect expression of
3	multiple genes in various tissues (Table 2). The rs4329147 variant not only primarily affects
4	HLA-DRB5 expression but also influences the expression of six other HLA genes and four
5	additional non-HLA genes, including complement gene C4A (Table 2). While the initial
6	23andMe study identified the rs4329147 variant as the most significant locus among HLA alleles
7	(Table 1), a follow-up study on the same dataset demonstrated that this association is lost when
8	conditioning for the HLA-DRB1 Gln96 allele is performed, implying that the association is
9	largely driven by the amino acid variant within HLA-DRB1 [12,13].
10	When the genes associated with eQTL variants are analyzed in NetworkAnalyst [29],
11	significant pathways based on protein-protein interactions included the following: protein
12	binding, MHC class II receptor activity, antigen processing and presentation, endocytosis,
13	immune response, regulation of binding, regulation of TGF- β receptor signaling, pattern
14	specification process, endoderm development, collagen and chromatin binding, chromatin
15	remodeling, and regulation of Ras GTPase activity and of catabolic process. These results
16	provide a glimpse of which pathways are important in the development of OM based on the
17	genes that have been identified so far by GWAS.

19 3.2 Host Genomics in Animal Models for OM

Several animal models of OM have been developed over the years (Table 3). The chinchilla, *Chinchilla lanigera*, has long been used as model of OM because it recapitulates the human
condition very faithfully with respect to pathogen detection [30] and the severity of disease
induced by different strains of the same bacterial species [31]. Based on the demonstrated

1 usefulness of this model, the ISOM community convinced the National Human Genome 2 Research Institute to conduct a chinchilla genome project which culminated in the publication of 3 a comprehensive chinchilla genomic resource [32] which is being exploited for host-pathogen 4 interactions. The mouse has also become one of the favored models for genetic studies because 5 of its lifespan, easy breeding and well-established methods for introducing genetic modifications. 6 In addition, the similarities in auditory structure between mouse and human and the close 7 evolutionary relationship of these two genomes, make the mouse a valuable model to study the 8 genetics of hearing [52], although there are also limitations in inter-species comparisons, and comparison of syndromic to non-syndromic disease. In the past four years, mouse studies have 9 10 identified several genes and genetic pathways involved in the predisposition to OME including 11 the following examples.

12 *FLI1* and *ETS1* are transcription factors from the ETS (E26 transformation-specific) 13 family, known to be hemizygous in Jacobsen syndrome. It has been demonstrated that these 14 genes have a role in the development of the nose, middle ear cavity and ossicles. The *Fli1*^{+/-} and 15 $Ets1^{+/-}/Fli1^{+/-}$ mice exhibit hearing impairment associated with COM, inflamed middle ear 16 epithelium, abnormally small middle ear cavity, fusion of ossicles to the middle ear wall, and 17 deformed stapes [41].

Mouse mutants called *asj* (ages with stiffened joints), which have a point mutation in the *Enpp1* gene, were also reported to have conductive hearing loss. At six weeks of age, the mutants exhibited effusion in the middle ear, thickened middle ear epithelium, impaired Eustachian tube function due to epithelia proliferation, fusion of the malleus and incus, and calcification of middle ear structures [39].

Mutations in *EDA*, *EDAR* and *EDARADD* genes have been described as triggering HED
 (hypohidrotic ectodermal dysplasia). *Eda*- (Ectodysplasin a) and *Edar*- (Ectodysplasin a
 receptor) mutant mice (*Eda^{Ta}* and *Edar^{dUJ/dUJ}*) were reported to develop OM, rhinitis and
 nasopharyngitis. They also display reduced mucociliary clearance and the loss of glandular
 secretions [53].

6 Studying the ENU mutant *edison* revealed that a point mutation in the *Nisch* (Nischarin) 7 gene results in the development of conductive hearing loss due to COM. Homozygous edison 8 mice spontaneously develop COM as early as three weeks of age. The mutants also demonstrate 9 mild craniofacial defects, middle ear cavities filled with fluid and lined with thickened mucoperiosteum, polypoid growths into the middle ear cavity, and in the more severely affected 10 11 mice inflamed tympanic membranes. Furthermore, an investigation of the impact of the edison 12 mutation on pathways in which Nischarin is involved in has implicated LIMK1 and NF-κB 13 pathways in the development of COM [46].

BPIFA1 is a member of the bacterial permeability-increasing (BPI) fold containing family of putative innate defense proteins and is one of the most abundant secretory proteins in the upper respiratory tract. *Bpifa1*-knockout mice do not develop spontaneous OM up to six months although BPIFA1 is highly expressed in the middle ear epithelium. However, deletion of *Bpifa1* in *Junbo* mice, one of the first models of COM, results in significant exacerbation of the phenotype including thickening of the middle ear mucosa and increased collagen deposition. This finding indicates a role for BPIFA1 in mucosal protection [54].

Down syndrome is caused by an extra copy of some or all of the genes of human
chromosome 21 (Hsa21). The orthologs to the genes from Hsa21 are spread among three regions
on the mouse genome located on chromosomes 10 (Mmu10), 16 (Mmu16) and 17 (Mmu17).

Ongoing study at the MRC Harwell Institute on the phenotype of the DpTyb mice, which are mouse models of Down syndrome, revealed that mice with full duplication of the genes from Mmu16 have conductive hearing loss due to the development of middle ear inflammation. A detailed study on the OM phenotype of mouse strains with full duplication of the genes from Mmu10 and 17 and with duplication of smaller segments of the Dp1Tyb region will provide a better understanding of the genes and genetic pathways involved in the development of OM in Down syndrome.

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3.3 Advances in Microbiome Analyses

Although viruses are known to play a role in OM, genomic studies on viruses in the middle ear
were not conducted during the study period. Thus this section concentrates on bacterial
genomics.

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14 <u>3.3.1 New technologies for 16S rRNA sequencing for OM bacterial pathogens</u>

15 Recently an improved pan-bacterial domain molecular diagnostic (MDx) that uses PCR and 16 circular consensus reads (CCS) of the entire bacterial 16S rRNA (FL16S) gene on Pacific Bioscience (PacBio) 3rd-4th generation sequencers has been developed [55,56]. The process 17 18 incorporates both laboratory and informatic improvements over extant systems. Briefly, CCS 19 provides for multiple polymerase-driven sequencing-read-passes of a single DNA molecule, 20 where each 'pass' of the molecule corresponds to the sequence of sense or antisense strand. Each 21 pass is used towards the creation of a consensus sequence, generating ultra-high-quality 22 sequences (as individual PacBio errors are not sequence context dependent) providing for 23 unambiguous species calls when paired with the companion informatics system, called 24 MCSMRT. This pipeline for quantitatively and specifically profiling microbiota includes a

1	custom full-length 16S gene database. Beginning with PCR of the entire 16S rRNA gene the
2	resultant amplimers are then sequenced on a PacBio sequencer. CCS read sequences are
3	calculated based on average >15 passes resulting in expected error rates of ≤ 1 incorrectly called
4	base per FL16S gene read in over half the data. MCSMRT has extended and improved upon
5	previous applications of PacBio 16S sequencing [57-64], including: (A) incorporation of
6	stringent filters eliminating sources of sequencing artifacts, effectively eliminating inflated
7	operational taxonomic unit (OTU) counts, a pervasive problem in short read microbiome
8	protocols [65]; (B) assignment of taxonomy and confidence values to OTUs using the custom-
9	designed full-length 16S database which provides a uniform Linnaean hierarchy for each read.
10	The combination of these factors resulted in a pan-domain MDx that is highly quantitative, even
11	for complex microbiota. Analysis of the Critical Assessment of Metagenome Interpretation
12	(CAMI) community (>250 species) demonstrated its ability to identify and determine
13	abundances of hundreds of species over >3 logs of variance (Fig. 1) [55].
14	Two recent innovations that improve the accuracy and ability to discriminate among
15	closely related species and strains have been added to MCSMRT: (A) upon ascertaining that
16	~5% of the 16S amplimers were hybrids of mismatched, closely related single-stranded DNAs
17	(from closely related species, or different intragenomic copies), the ability to produce CCS reads
18	derived from each strand was added as an option; and (B) a new, more accurate algorithm for
19	calling sequences based on graph deconvolution, as opposed to a multiple sequence alignment
20	strategy was added. This latter technique builds on the algorithm introduced in 2002 based on a
21	directed acyclic graph [66]. Using these algorithmic improvements, the quality of even the
22	unfiltered CCS reads substantially improved from an average of 8.0 to 2.2 expected errors, and
23	resulting in improved post-filter yields, improving from 86% to 98% recovery.

1 Informatic analyses have seen additional recent advances. Significant challenges in 2 assessing differential abundance of microbial taxa exist due to unbalanced sampling, and 3 artifacts from both PCR and sequencing. Traditional methods (such as rarefaction) have been 4 shown to be untenable for accurate statistical comparisons within microbiome data [67]. New 5 analysis software has been designed that properly normalize OTU counts across samples using 6 Gaussian mixture models, and have been added to popular R microbiome analysis library 7 frameworks, such as phyloseq [68-70]. OTU representation of the microbiome (where sequences 8 are usually clustered together based on an identity threshold of 97%) have also been recently 9 challenged as inadequate to properly account for all microbial diversity within a sample [71]. 10 Recent programmatic packages now exist that can be applied after OTU analysis (such as that 11 done in MCSMRT) to further examine diversity based on single nucleotide variants within the 12 OTU sequences [72,73].

13 Another recent advancement with strong implications for a FL16S microbiome pipeline 14 is the development of a kit by the company Shoreline Biome (Farmington, CT, USA), created specifically to go from sample to prepared PacBio sequencing libraries in a series of predefined 15 laboratory steps. The advantages of this pipeline are a pre-designed system for cell lysis, PCR 16 17 amplification of FL16S, and sample pooling of up to 100 samples per run. This kit streamlines 18 the process resulting in a significant reduction of man hours required for sample library 19 preparation (estimated reduction from 16-25 hours to ~2 hours). Taken together, these exciting 20 new developments in microbial sequencing technologies are expected to have a major impact in 21 scientific discoveries and novel clinical applications in otopathogen detection and 22 characterization.

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1	3.3.2 Application of Novel Techniques in Microbiome Studies on COM with Effusion
2	The above-mentioned microbiome MDx was used to characterize 20 bilateral COM with
3	effusion cases to investigate their polymicrobial nature (Fig. 2). Right and left middle ears
4	typically had similar communities though exceptions existed (patients 12, 27 and 32 in Fig. 2).
5	The major otopathogens causing AOM are NTHi, Streptococcus pneumoniae (Spn) and
6	Moraxella catarrhalis (Mcat). These OM pathogens were prevalent among the chronic OM
7	patients (6 Mcat, 4 NTHi, 3 Spn: 55% of cases with 1+), but under-recognized species were more
8	prevalent: 40% Alloiococcus otitis, 40% Staphylococcus auricularis, 30% Turicella otitidis, 30%
9	Staphylococcus caprae, and 10% Aggregatibacter aphrophilus [74]. The extremely high
10	abundances of these "atypical" species in all cases suggest that they are likely OM etiological
11	agents, and not simply contaminants from the external auditory canal.
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12	3.4 Bacterial population genomics to dissect otopathogen-specific virulence genes and
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changes arising over the course of infections or between healthy and diseased states. Isolating
these *in situ* genomic signatures of bacterial transitions to pathogenesis ("pathoadaptations") in
turn informs our understanding of pathogenic mechanisms in the human host [85-87].
Combining comparative genomic analysis with transcriptomic and other -omic technologies to
investigate *in vivo* and *in situ* host-pathogen interactions will allow the integration of clinical and
molecular mechanistic studies of bacterial pathogenesis.

7 This emerging discipline of "genomic epidemiology" has been broadly applied to 8 bacterial pathogens, but has only begun to be used to dissect OM pathogenesis. The three main 9 bacterial otopathogens NTHi, Spn and Mcat are all amenable to large-scale SGWAS [88-105], a 10 technique that takes into account that the majority of bacterial species' genes are not found in all 11 strains of the species, but are rather distributed among the many strains. Indeed, all three 12 organisms have many publicly available WGS assemblies including complete reference genomes 13 at NCBI (as of June 2019: Sp=10,462 assemblies, NTHi=735, Mcat=114), and additional 14 genomes are continuing to accrue from diverse sources and disease states. In contrast to studies of environmental opportunistic pathogens that typically colonize subjects as single clones that 15 subsequently evolve and diversify (e.g. Pseudomonas and Burkholderia cenocepacea in cystic 16 17 fibrosis infections) [106,107], population-level genomic studies in human-restricted normal 18 commensals—like the three main otopathogens—are complicated by the high diversity and 19 polyclonality of these species when isolated from diverse health and disease states. Below, we 20 summarize recent WGS surveys and association studies from NTHi. Although none have yet 21 been specifically focused on OM diseases, the findings suggest that our understanding of OM 22 pathogenesis could be greatly expanded by GWAS and other -omics approaches.

1 *H. influenzae* became an early genomic model system when the lab strain Rd became the 2 first cellular organism to have its complete genome sequenced in 1995 [108], and the pace of 3 WGS has continued to increase, with 735 assemblies publically available at NCBI (as of June 7, 4 2019) and >1,000 more expected in short order. Early and continuing comparative analysis of 5 NTHi genomes were among the first to recognize the immense diversity in genic content seen in 6 many bacterial species [88,89,99,100]. More recently, SGWAS studies identified gene 7 presence/absence differences strongly associated with strains isolated from carriage or disease 8 [98], and follow-up studies identified a novel multi-gene family associated with virulence, the 9 vSLR genes, one of which was shown to increase survival in macrophages (*msf1*) and also enhancing the ability of OM that increases the severity of disease in the chinchilla model of OM 10 11 [102]. Recent studies of NTHi in chronic obstructive pulmonary disease (COPD) have revealed 12 recurrent genomic changes accumulating over time in different patients, particularly at phase 13 variable genes encoding in lipooligosaccharide synthesis enzymes and outer membrane proteins 14 [103,104]. This also identified recurrent loss-of-function mutations in the bifunctional ompP1/fadL gene as a specific NTHi patho-adaptation to the COPD lung, and also showed that 15 this is a likely evolutionary trade-off between *ompP1* mutants' losing their ability to adhere and 16 17 invade airway epithelial cells, but gaining resistance to arachidonic acid, an abundant 18 inflammatory mediator in the COPD lung [103]. Similar work with a small number of strains in 19 pediatric pulmonary infections found limited evidence for parallel evolution but nasal-lung 20 isolate pairs also showed convergent transcriptional signatures by *in vitro* RNA-seq [105]. 21 Additional SGWAS has been performed for population-level and epidemiological analysis 22 of the NTHi, including new invasive isolates from the US [106] and Portugal [107], as well as a 23 detailed analysis of 265 strains collected in the UK that identified lineage-specific associations

with pneumococcus and shifts in NTHi population structure in carriage upon introduction of the
 PCV13 vaccine [108]. These results all show the power of comparative analysis of bacterial
 genomes.

4 Excitingly, recent technical and informatic advances in metagenomic sequencing together 5 with novel cultural protocols provide for the systematic capture of much deeper and more 6 complete views of highly complex polyclonal and polymicrobial populations while providing for 7 the near complete genome sequencing and assembly of both very rare strains and strains that are 8 recalcitrant to culture. These advances provide for deep, strain-traceable, metagenomic/WGS 9 characterization of polyclonal populations in situ – when combined with a multipartite specimen 10 collection approach to provide comprehensive strain-, gene-, and pan(supra)genome-based 11 analyses of any clinical sample -- and particularly useful for following over time the evolution of 12 clonal lineages and inter-strain population dynamics. A comprehensive approach to 13 understanding these issues with respect to the evolution of otopathogenesis at the population-14 level, particularly with regard to horizontal gene transfer includes: (A) WGS of large numbers of individual isolates recovered simultaneously from middle ear effusions and the nasopharynx; (B) 15 16 the metagenomic, and ligation-proximity-metagenomic sequencing of all recovered colonies 17 from each specimen that have been pooled to form a 'gimish' [109,110] to construct 18 genomes/clonal lineages of lower prevalence strains; (C) metagenomic sequencing of uncultured 19 clinical specimen DNA that has been selected using a species-specific capture reagent [98] to 20 provide coverage for unculturable strains; and (D) the use of gel microdroplets (GMD) to 21 encapsulate single cells for the production of sequestered clonal microcolonies grown in co-22 culture which can provide sufficient genomic DNA for whole genome amplification (MDA) and 23 subsequent genomic assemblies [111,112]. Selection of individual GMD microcolonies for

sequencing is achieved via FACS using labeled probes made from metagenomically identified
 genes that are not present in the strains which underwent WGS.

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3.5 Epigenetic regulation in bacterial otopathogens

6 Many bacterial pathogens that are adapted to the human host display random, high-frequency 7 on/off switching of gene expression, called phase variation [113]. This gene regulation strategy 8 generates a diverse bacterial population that provides many possible solutions to the challenges 9 posed by distinct immunological memories. In most cases, the phase variably expressed genes 10 encode surface exposed antigens such as outer membrane proteins and variable oligosaccharide 11 structures. Two of the major otopathogens H. influenzae [114] and Mcat [115] are archetypal 12 examples of bacterial pathogens that exhibit phase variable gene expression and have many 13 phase variable genes.

14 In 2005 a new type of epigenetic regulation system called a phase variable regulon 15 (phasevarion), was described in *H. influenzae* [116]. Phasevarions are controlled by DNA 16 methyltransferases that randomly switch on and off, leading to changes in DNA methylation at 17 thousands of sites in the genome and consequent changes in global gene expression [117]. Both 18 *H. influenzae* [118] and Mcat [119] contain multiple phasevarion systems that control virulence 19 and immune evasion. In the case of *H. influenzae*, the impact of phasevarion switching in OM 20 has been confirmed in the chinchilla model [118]. Spn also has a DNA methyltransferase that 21 randomly switches between six different DNA methylation patterns by recombination that 22 regulates virulence in a murine model system and immune evasion [120].

Studies in *in vitro* model systems indicate the potential importance of phasevarions in the
pathogenesis of all major bacterial otopathogens causing OM [118-120]. Further studies on

human clinical samples are required to assess the impact of these systems in regulating the
adaptation of the pathogen to the human host in distinct phases of disease and distinct host
niches, e.g. to address the question of a potential role for phasevarion-mediated regulation of the
transition from nasopharyngeal colonization to active OM infection.

5 The epigenetic regulation of phasevarions is mediated by changes in methylation at 6 thousands of sites in the genome. The vast majority of these changes are neutral with respect to 7 impact on gene expression. Thus, it is not possible at this time to conduct in silico prediction of 8 which genes are subject to expression changes upon phasevarion switching. The inability to 9 predict which genes will be subject to phasevarion regulation presents particular problems for genomics-based vaccine development [121] for these pathogens. Detailed studies of 10 11 phasevarion-mediated gene expression are required in all three common AOM pathogens to 12 define the repertoire of stably expressed immunological targets.

13

14 3.6 Precision Medicine for OM

Precision Medicine (PM), also called personalized medicine, is designed to treat the right patient, 15 at the correct time, with the right treatment strategy. This takes into consideration the patients' 16 17 genetic and environmental factors, including the molecular basis of disease. This strategy has 18 been implemented in cancer treatment for some time [122-125]. Studies on primary immune 19 deficiency have revealed several hundred genetic defects associated with various disorders, 20 helped understand immunobiology and resulted in targeted new therapies [125]. For example, 21 cystic fibrosis is a monogenic disease, but more than 2000 different mutations in the CFTR gene have been reported. Targeted therapies for some of these mutations, e.g. for carriers of the CFTR 22 23 p.Phe508del variant, are already on the market [126].

1 Application of PM in infectious diseases is much more complex than in many other 2 medical fields and, combined with the lack of new efficacious antibiotics to deal with established 3 bacterial resistance to traditional antibiotics [127-130], makes for a very challenging 4 environment for the adoption of PM. For OM, the recognition that the expression of particular 5 allelic forms of the gel-forming mucins and aquaporins are specifically linked to pediatric 6 hearing loss [131] provides the potential for targeted therapeutic interventions. Similarly, the 7 recent identification of specific host microRNAs that are associated with OM [132] (mir-223) 8 and the hyperplastic response associated with COME [133] (mir-146) provide high value targets 9 for future interventional strategies Treatment strategies for PM in infectious diseases include pathogen-targeted antibiotic treatment, adjuvant therapy to antibiotics, precision antimicrobial 10 11 therapies, and subclassification of patient groups according to intrinsic and extrinsic factors. 12 Bacterial culture and antimicrobial sensitivity tests are available but are slow to perform 13 and therefore microbial WGS to find resistant strains is evolving [130,134]. WGS can be used to 14 select an appropriate and efficacious medication, reducing side effects and excluding toxic drugs. PM based on the bacterial strain is possible in OM with suppuration from tympanic membrane 15 16 perforation or tympanostomy tube discharge. 17 PM in infectious diseases also includes developing non-antibiotic strategies. Precision

18 antimicrobial treatment can target certain virulence factors in pathogens, whether a gene, a 19 cellular process, or a specific microbe. These strategies also leave the rest of the human 20 microbiota intact. An unbalanced microbiota is associated with a wide range of disorders, from 21 autoimmune to psychiatric diseases. Precision antibiotic treatments include lysins, nucleic acid-22 based systems, synthetic peptides, and mannosides. One strategy in OM would be to identify

genes that favor colonization of OM pathogens in the middle ear and to develop small
 antagonists against molecules produced by bacteria [127,128,135].

The term -omics comprises genomics, transcriptomics, proteomics, metabolomics, and microbiomes, while translational -omics refers to clinical use of multi-omic data to treat disease in a precise way. It enables the definition of different endotypes of a phenotype or trait. In a Cochrane review of antibiotic treatment in OM, an example of an endotype that benefits most from antibiotic treatment is defined as "children under two years of age with bilateral AOM, or with both AOM and otorrhea" [136]. Such endotypes are used to identify patients who will benefit from different treatment strategies with fewer side effects [127,137].

10

11 3.7 OM Therapeutics

12 Understandably translation of –omics findings to therapeutic use takes a long time. Recent 13 studies on OM therapeutics have focused on steroids and antibiotics, which are not -omics-14 guided and mostly with negative results. Francis et al. (2018) performed a randomized trial of oral steroids for treatment of hearing loss in OM, and found no effect [138]. Ranakusuma et al. 15 (2018) reviewed studies of oral corticosteroids for AOM, and found no evidence of effectiveness 16 17 in reducing duration of symptoms [139]. Lewnard et al. (2018) found that long-term amoxicillin 18 treatment did not increase the carriage of resistant Spn strains [1140]. Ruohola et al. (2018) 19 noted that immediate amoxicillin treatment for AOM did not enhance clearance of later middle 20 ear effusion [141]. Hoberman et al. (2016) found that a shortened antibiotic regimen was not as 21 effective as a full course for the resolution of OM [142]. Te Molder et al. (2016) found that 22 antibiotic treatment for a first OM episode does not affect the probability of future recurrences 23 [143]. While these studies were negative, two other studies that had positive findings showed

that for children less than two years old, immediate amoxicillin was the most cost-effective
treatment for AOM [1144], while immediate amoxicillin treatment was modestly more effective
in treating OM than delayed antibiotics [145]. Outside of steroids and antibiotics, only one study
by Kondura et al. (2016) found that circumin reduced inflammation in an animal model of OM
[38].

Regarding delivery of drugs to the middle ear, Yang et al. (2016) found that delivery of
ciprofloxacin and penetrants in a hydrogel to the external surface of the intact tympanic
membrane resulted in delivery of therapeutic amounts of drug to the middle ear [146]. Kurabi et
al. (2017) discovered rare peptides that support active transport of large particles across the intact
eardrum, and enhanced transport by lengthening the peptides [147,148]. They also found that
the peptides can transit the intact human tympanic membrane [149].

12

13 3.8 Vaccination for OM towards PM

14 <u>3.8.1. Pneumococcal vaccination</u>

At onset of AOM, Spn (58%) was the predominant organism in the nasopharynx followed by 15 *Mcat* (55.1%) and then *H. influenzae* (38.2%) [150], which shows low prediction value of 16 17 nasopharyngeal cultures to determine the etiology of middle ear bacterial pathogens and the 18 importance of collection of middle ear fluid to identify vaccines for AOM prevention. The only 19 current licensed vaccines targeting Spn are pneumococcal conjugate vaccines (PCVs). These vaccines contain 10 (PCV10) or 13 (PCV13) polysaccharide Spn serotypes conjugated to a 20 21 protein carrier. PCVs are effective in reducing AOM caused by the serotypes contained within 22 the vaccines [151-154]. In addition, administration of PCVs during infancy may reduce the risk 23 of recurrent AOM and progression to more complex disease caused by non-vaccine serotypes

[155,156]. However, increases in both nasopharyngeal carriage and diseases caused by non vaccine Spn capsule types are on the rise [157]. This has resulted in vaccine companies
 developing newer PCVs such as PCV15 (Merck) [158-160] and PCV20 (Pfizer). PCV15 contains
 the same 13 serotypes as in PCV13 plus 22F and 33F. PCV20 contains same serotypes as in
 PCV15 plus 8, 10A, 11A, 12F and 15B/C. Both PCV15 and PCV20 are in Phase 3 clinical
 studies in adults.

7 Although these newer PCVs will help to reduce the incidence of diseases caused by the 8 additional vaccine serotypes, history tells us that non-vaccine serotype replacements will occur 9 over time. Hence, there is a need to develop non-serotype dependent pneumococcal vaccines. 10 Efforts have been made formulating pneumococcal protein-based vaccines either alone or in 11 combination with PCVs [160,161]. However, none of these vaccines moved to Phase 3 clinical 12 trials because they failed to reduce nasopharyngeal colonization similar to PCVs and the indirect 13 herd immunity effect of PCVs is sought for overall public health benefit. Another approach 14 being tested is a whole cell vaccine (WCV) that generates both antibody and Th1/Th17 cellular immunity thereby offering the potential to prevent Spn carriage [162,163]. A genetically 15 engineered unencapsulated killed strain of Spn that elicits IL-17A production is in clinical trials 16 17 [164,165]. Additional preclinical WCVs using different engineered strains and different routes of 18 immunization (intraperitoneal or intranasal) are being studied [166,167].

19

20 <u>3.8.2. Vaccination against H. influenzae</u>

21 The only licensed vaccine is the *H. influenzae* serotype b (PRP) polysaccharide conjugate

22 vaccine. This vaccine is not effective against other *H. influenzae* capsule types that cause

23 invasive disease nor NTHi which, aside from being a major AOM pathogen, is a major pathogen

causing COPD and invasive diseases in infants and young children [168-170]. PCV10 vaccine
contains protein D from *H. influenzae* conjugated to eight of the pneumococcal polysaccharides.
In a pediatric clinical trial using an earlier version of PCV10 and PCV11 where all 11
polysaccharides were conjugated to protein D, a significant reduction in NTHi-caused AOM was
measured [171]. Subsequent clinical and observational studies using PCV10 showed marginal
efficacy against NTHi-caused AOM and had no impact on nasopharyngeal carriage of *H. influenzae* [172,173].

8 Current strategies for new vaccines to prevent *H. influenzae* infections are being explored 9 and are focused on relatively conserved proteins expressed by the bacteria [174-176]. Two phase 10 1 clinical trials investigated a multi-component H. influenzae protein-based vaccine and a multi-11 component combination Spn and H. influenzae protein-based vaccine [177,178]. Another Phase 12 1 trial investigated a multi-component combination *H. influenzae* and Mcat protein-based 13 vaccine to target subjects with acute exacerbations of COPD [179]. Oral vaccines using 14 inactivated NTHi to prevent acute exacerbations of COPD have been in clinical studies [180-182]. A novel preclinical study using a transcutaneous immunization of a chimeric protein with a 15 band aid prevented OM in chinchillas [183]. Animal studies mimicking the natural human mode 16 17 of AOM as a result of nasal coinfection of virus and NTHi show promise in identifying vaccine 18 candidates [184]. An outer membrane vesicle of NTHi has been shown to be protective against 19 OM in a chinchilla model [185].

20

21 <u>3.8.3. Vaccination for Mcat</u>

There are no licensed vaccines against *Mcat*. One of the major issues in developing a vaccine
against OM is lack of an animal model due to *Mcat* being a human-restricted pathogen [186].

Mouse pulmonary clearance and chinchilla nasopharyngeal colonization models have been used
 for testing Mcat vaccine candidates. A number of protein and lipooligosaccharide candidates
 have been studied preclinically [186,187]. Only one investigational clinical study of a vaccine
 containing three NTHi proteins and one Mcat protein has been reported targeting acute
 exacerbations of COPD [179].

6

7 <u>3.8.3. Vaccination against Otopathogens within the Context of PM</u>

8 From a PM perspective pneumococcal vaccines should be effective in the population which 9 experience the greatest disease burden, e.g. otitis-prone children. Otitis-prone children have 10 multiple dysfunctions in adaptive immunity including responses to vaccines [188-194]. In 11 particular, otitis-prone children respond with significantly lower antibody levels and lower 12 generation of memory B cells following PCV13 vaccination [188,194]. They respond to natural 13 immunization induced by nasopharyngeal colonization and AOM infections with less antibody 14 against pneumococcal proteins which are considered as vaccine candidates [192,195,196]. Otitisprone children also have diminished Th1 and Th17 immune responses [193] and generally have 15 poor response to most routine vaccination [188]. Similar to the response to pneumococcal 16 17 vaccines, otitis-prone children respond less well to H. influenzae type b vaccine [188], as well as 18 to several proteins expressed by *H. influenzae* and Mcat that are vaccine candidates 19 [195,197,198].

20

21 4. Implications for Practice

Despite the considerable morbidity and health care costs for OM treatment, a barrier thatprevents development of new vaccines and treatments for OM including those arising from

1 knowledge brought forth by new genomic technologies is the lack of appreciation of OM as a 2 major public health threat, particularly by industry. Genetic diversity among OM pathogens is 3 another major challenge that must be addressed. From a public health perspective vaccines 4 targeting otopathogens would be most cost-effective if they not only reduced OM but also 5 reduced nasopharyngeal carriage to produce an indirect herd immunity effect. However, for any 6 vaccine, is total elimination of nasopharyngeal carriage risky? Might elimination of potential 7 otopathogens open a niche for other pathogenic organisms? Some scientists recommend that 8 elimination of nasopharyngeal colonization is not desirable, and rather enhancing the host 9 immunity to prevent bacteria from reaching a pathogenic threshold should be the goal [160]. 10 Eliciting mucosal responses by formulating vaccines to enhance Th17 responses or by mucosal 11 immunization is a strategy under investigation. Combination vaccines targeting Spn, NTHi and 12 Mcat would be the preferred formulation to target OM and other diseases caused by these 13 pathogens. Modulating the microbiome by monitoring bacterial abundances not just of OM 14 pathogens but also of the commensal middle ear bacteria is another potential goal for OM 15 prevention.

16 The increasing pace of large-scale WGS of clinical bacterial isolates and novel insights 17 into bacterial population dynamics and pathogenesis offer great promise to dissect how bacteria 18 adapt during pathogenesis, pointing to the molecular mechanisms important within the human 19 host. The methods and tools are now available to gain direct insights into the specific bacterial 20 genomic variation that impacts OM. In combination with carefully collected and curated clinical 21 isolate collections, applying these analyses to bacterial otopathogens will not only improve 22 epidemiological tracking but also dissecting bacterial pathoadaptation and virulence 23 mechanisms. Increasing use of functional approaches, like transcriptome and metabolome

analyses [199,1200] —applied in a comparative context—will help inform new disease
 management strategies, identify new diagnostic biomarkers, and suggest new routes for
 therapeutic intervention.

4 In the near future, human GWAS using next-generation sequence data will further 5 illuminate how coding and non-coding variants with a wide MAF spectrum, i.e. both common 6 and rare variants, play a role in OM susceptibility. Further studies in non-European populations 7 are also needed to elucidate OM susceptibility variants that are important in various human 8 ethnic groups. In addition there continue to be many advances in the identification of genes that 9 play a role in OM from animal studies, virtually all in the mouse. These include natural and 10 ENU-induced mutations, as well as studies of knockout and other gene-modified mice. The 11 categories that influence OM include genes related to immunity, inflammation, secretory 12 activity, morphology, and tissue growth. This diversity of OM-related pathways suggests that 13 many more such OM-related genes will be discovered in human and mouse studies, which are 14 important to understand mechanism of disease in OM and to determine pathways that may be targeted for treatment and prevention. Collaboration between disciplines and across populations 15 16 is mandatory in the development of PM, where research and development in translational -omics 17 will be the cornerstone.

18

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3		
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7		
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1 Legend to Figures

3	Fig. 1. Analysis of the Critical Assessment of Metagenome Interpretation community (>250
4	species) demonstrated the ability of MDx to identify and determine abundances of hundreds of
5	species over >3 logs of variance. Reproduced from reference 52 which is an author owned paper
6	
7	Fig. 2. Twenty bilateral microbiomes for chronic otitis media with effusion. The top 18 most
8	prevalent species are shown as colored bars. Reproduced from reference 52 which is an author
9	owned paper
10	

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Table 1. Genome-wide significant^a loci from genome-wide association studies on otitis media

Ref	dbSNP ID	Gene	Variant ^b	All	NFE	Lat	Afr
-				MAF	MAF	MAF	MAF
11	rs2406176	TMPRSS15	c.1172-3049A>C	0.72	0.75	0.80	0.61
12,13	rs681343	FUT2°	c.249C>T (p.Tyr83=)	0.39	0.47	0.27	0.50
11	rs4825724	C1GALT1C1—[]—CT47B1	Intergenic	0.47	0.40	0.45	0.63
12,13	rs1978060°	TBX1	c.410+722A>G	0.64	0.61	0.46	0.75
12,13	rs2808290	RAB18[]—MKX	Intergenic	0.38	0.49	0.40	0.18
12	rs7174062	SPATA8[]—ARRDC4	Intergenic	0.78	0.72	0.81	0.89
12,13	rs4329147 ^d	HLA-DRB5[]HLA-DQA1	Intergenic	0.84	0.85	0.88	0.83
12,13	rs8176643	ABO ^c	c.28+869delG	0.14	0.20	0.13	0.04
12,13	rs1802575	EFEMP1	c.*1004C>G	0.07	0.11	0.05	0.03
12,13	rs5829676	NT5C1B-RDH14[]OSR1	Intergenic	0.31	0.40	0.39	0.19
11	rs885932	HLA-G—[]—HLA-H	Intergenic	0.11	0.15	0.08	0.05
11	rs3821170	ADAM23	c.1852+432C>T	0.14	0.10	0.14	0.23
12,13	rs72931768	FGF3[]ANO1	Intergenic	0.10	0.12	0.11	0.05
12,13	rs35213789	AUTS2	c.310-96260C>T	0.25	0.26	0.19	0.23
12,13	rs114947103	CDHR3	c.1653+409T>C	0.21	0.17	0.17	0.27
12,13	rs13281988	NIPAL2[]KCNS2	Intergenic	0.31	0.29	0.33	0.32
14	rs10497394°	CDCA7[]—SP3	Intergenic	0.23	0.26	0.34	0.12
12,13	rs67035515	BSN	c.225-5877_225-5874delTGAA	0.86	0.83	0.89	0.87
15	rs2932989°	FNDC1	~6kb from 3'UTR	0.88	0.87	0.91	0.87
12,13	rs73015965	PLG	c.112A>G (p.Lys38Glu)	0.003	0.005	0.003	0.001
12	rs151208372	DCBLD2[]COL8A1	Intergenic	0.09	0.08	0.15	0.13

Abbreviations: Ref, reference; MAF, minor allele frequencies from gnomAD database; NFE, non-Finnish European; Lat, Latino, Afr, African.

 $^{a}p < 5x10^{-8}$; Variants are listed in order of increasing *p*-value.

^bRefSeq accession numbers for coding and intronic variants: *TMPRSS15*, NM_002772.2; *FUT2*, NM_000511.5; *TBX1*, NM_005992.1; *ABO*, NM_020469.2; *EFEMP1*, NM_001039348.2; *ADAM23*, NM_003812.3; *AUTS2*, NM_015570.3; *CDHR3*, NM_152750.4; *BSN*, NM_003458.3; *PLG*, NM_000301.3.

^cOnly these variants/genes have been replicated in human subjects with otitis media using an independent dataset. ^dThis variant was associated with childhood ear infections in the initial GWAS; however reanalysis with conditioning for the *HLA-DRB1* Gln96 allele resulted in loss of association with the rs4329147 variant.

Variant	Gene	Tissue	eQTL
(Chromosomal Band)			p-value
rs681343 (19q13.33)	FUT2	Esophageal mucosa	1.4×10^{-102}
-		Unexposed skin	5.0x10 ⁻³⁰
		Exposed skin	1.5×10^{-25}
		Transverse colon	2.7x10 ⁻¹⁵
		Small intestine (ileum)	2.9x10 ⁻¹⁰
		Lung	7.4x10 ⁻⁰⁶
	NTN5	Transverse colon	2.7x10 ⁻¹⁵
		Unexposed skin	2.0x10 ⁻¹³
	RASIP1	Esophageal mucosa	4.6×10^{-16}
		Exposed skin	4.9x10 ⁻¹⁴
		Unexposed skin	3.1×10^{-10}
		Sigmoid colon	2.0x10 ⁻⁰⁷
	IZUMO1	Lung	1.2×10^{-06}
	FAM83E	Exposed skin	3.8x10 ⁻⁰⁶
rs1978060 (22q11.21)	TBX1	Prostate ^b	5.2x10 ⁻¹²
_rs2808290 (10p12.1)	PTCHD3	Testis ^b	9.7x10 ⁻⁰⁶
rs4329147 (6p21.32)	HLA-DRB5	Lung	6.4x10 ⁻⁸⁶
		Exposed skin	7.0x10 ⁻⁷⁶
		Esophageal mucosa	3.8x10 ⁻⁷³
		Unexposed skin	1.2×10^{-64}
		Stomach	5.8x10 ⁻⁴³
		Sigmoid colon	2.6x10 ⁻³³
		Vagina	1.1×10^{-21}
		Uterus	1.8x10 ⁻¹⁹
		Small intestine (ileum)	2.3×10^{-18}
	HLA-DRB6	Exposed skin	2.6x10 ⁻⁴⁵
		Lung	2.8x10 ⁻⁴²
		Esophageal mucosa	3.7x10 ⁻³⁹
		Unexposed skin	3.5×10^{-32}
		Transverse colon	6.8x10 ⁻²⁸
		Stomach	6.0×10^{-27}
		Small intestine (ileum)	9.9x10 ⁻¹⁶
		Sigmoid colon	4.8×10^{-15}
		Uterus	1.2×10^{-10}
		Vagina	3.2×10^{-10}
	HLA-DQB1	Lung	1.2×10^{-22}
		Esophageal mucosa	1.1×10^{-20}
		Exposed skin	7.2×10^{-20}
		Stomach	6.5×10^{-13}
		Transverse colon	4.9×10^{-13}
		Sigmoid colon	2.0×10^{-11}
		Small intestine (ileum)	8.8x10 ⁻⁰⁷
	HLA-DRB9	Lung	1.0×10^{-18}
		Small intestine (ileum)	9.1×10^{-10}
		Unexposed skin	1.6×10^{-08}
		Vagina	1.3×10^{-05}
		Exposed skin	3.1×10^{-05}

 Table 2. Single-tissue expression quantitative trait loci (eQTL) in the Genotype-Tissue

 Expression (GTEx) database among genome-wide significant loci for otitis media

	HLA-DRB1	Lung	9.6x10 ⁻¹⁴
		Exposed skin	1.9×10^{-12}
		Esophageal mucosa	2.2x10 ⁻⁰⁹
		Unexposed skin	2.9x10 ⁻⁰⁷
		Transverse colon	2.4×10^{-05}
	HLA-DQA2	Esophageal mucosa	2.4x10 ⁻¹³
		Lung	5.7x10 ⁻¹²
		Exposed skin	5.0x10 ⁻¹¹
		Transverse colon	1.1×10^{-09}
		Sigmoid colon	2.8x10 ⁻⁰⁶
		Unexposed skin	4.8×10^{-06}
		Stomach	5.5x10 ⁻⁰⁶
	PRRT1	Esophageal mucosa	6.1x10 ⁻¹¹
		Exposed skin	2.8x10 ⁻⁰⁶
		Transverse colon	2.1×10^{-05}
	HLA-DOB2	Lung	5.1×10^{-10}
	2	Transverse colon	4.4×10^{-09}
		Sigmoid colon	4.8×10^{-07}
		Stomach	1.8×10^{-06}
	HLA-DOA1	Lung	2.8×10^{-09}
	£	Exposed skin	7.3x10 ⁻⁰⁶
		Esophageal mucosa	2.5×10^{-05}
	NOTCH4	Unexposed skin	3.1×10^{-09}
	CYP21A2	Exposed skin	4.3×10^{-05}
	-	Unexposed skin	6.6×10^{-05}
	C4A	Exposed skin	5.1×10^{-05}
rs8176643 (9q34.2)	ABO	Esophageal mucosa	1.2×10^{-06}
	SURF1	Exposed skin	2.4×10^{-06}
	~	Transverse colon	2.8×10^{-06}
		Lung	1.1×10^{-05}
rs1802575 (2p16.1)	EFEMP1	Unexposed skin	8.0x10 ⁻⁰⁷
		Exposed skin	2.0×10^{-06}
rs885932 (6p22.1)	ZFP57	Exposed skin	6.0x10 ⁻³⁵
		Esophageal mucosa	1.6×10^{-32}
		Lung	1.4×10^{-29}
		Unexposed skin	1.2×10^{-28}
		Transverse colon	4.0×10^{-24}
		Small intestine (ileum)	5.7×10^{-13}
		Sigmoid colon	5.7x10 ⁻¹¹
		Vagina	2.4×10^{-08}
	HLA-K	Unexposed skin	2.4×10^{-15}
		Transverse colon	3.0×10^{-13}
		Esophageal mucosa	6.4×10^{-13}
		Lung	5.0x10 ⁻¹²
		Small intestine (ileum)	2.0x10 ⁻⁰⁶
		Sigmoid colon	1.1×10^{-05}
	HLA-A	Exposed skin	1.4×10^{-13}
		Unexposed skin	3.4×10^{-12}
		Esophageal mucosa	6.0×10^{-11}
	TRIM31	Unexposed skin	8.2x10 ⁻¹²
		Lung	1.1×10^{-06}
		0	-

		Exposed skin	4.5x10 ⁻⁰⁶
	HLA-J	Lung	5.4x10 ⁻¹¹
		Exposed skin	3.9x10 ⁻⁰⁹
		Transverse colon	5.6x10 ⁻⁰⁹
		Unexposed skin	2.0x10 ⁻⁰⁷
		Esophageal mucosa	7.0x10 ⁻⁰⁷
		Sigmoid colon	1.4×10^{-06}
	HLA-V	Lung	2.7x10 ⁻⁰⁸
		Esophageal mucosa	7.4x10 ⁻⁰⁵
	HLA-T	Lung	9.0x10 ⁻⁰⁵
	HLA-U	Unexposed skin	2.1×10^{-05}
		Transverse colon	4.9x10 ⁻⁰⁵
		Esophageal mucosa	$1.1 \mathrm{x} 10^{-04}$
	IER3	Esophageal mucosa	3.5x10 ⁻⁰⁵
	TRIM27	Exposed skin	$1.1 \mathrm{x} 10^{-04}$
	RNF39	Exposed skin	1.2×10^{-04}
rs3821170 (2q33.3)	LOC200726	Heart (left ventricle) ^b	1.2×10^{-07}
rs10497394 (2q31.1)	CDCA7	Lung	9.0x10 ⁻⁰⁵
rs2932989 (6q25.3)	FNDC1	Esophageal muscle ^b	1.6x10 ⁻⁰⁹

^aNo significant single-tissue eQTLs in the GTEx database were identified for variants rs2406176 (21q21.1), rs4825724 (Xq24), rs7174062 (15q26.2), rs72931768 (11q13.3), rs35213789 (7q11.22), rs114947103 (7q22.3), rs13281988 (8q22.2), rs67035515 (3p21.31), rs73015965 (6q26) and rs151208372 (3q12.1). Variant rs5829676 (2p24.1) was not in the GTEx database. ^bNo other mucosal or epithelial tissue identified for single-tissue eQTLs.

Gene	Protein product	Known role in OM	Ref.		
Bpifa1	Antibacterial	Deletion increases OM susceptibility	30		
Casp4	Inflammasome effector	Inflammasome deficiency enhances OM	31		
Ccl3	Chemotactic chemokine	Deletion enhances OM	32		
Ccr2	Chemokine receptor	Deletion enhances OM	U		
Cd44	Hyaluronin receptor,	Deletion alters leukocyte recruitment in OM	33		
	multifunctional				
Celsr1	Intercellular organization	Mutation causes OM	J		
Corola	Lytic granule secretion	Mutation causes immunodeficiency and chronic OM	34		
Dusp1	MAP kinase phosphatase	Inhibits MUC5AC production in response to NTHi	35		
Enpp1	Transmembrane glycoprotein	Mutations cause OM with ectopic bone formation	36		
Entpd1	ATP hydrolase	Predicted to increase chronic OM	А		
Fbxo11	Transcription factor	Mutation causes a middle ear cavitation	37		
Fli1	Transcription factor	Haploinsufficiency causes OM	38		
Grn	Granulin growth factor precursor	Deletion reduces bacterial clearance in OM	39		
Hbegf	Epithelial growth factor	Stimulates middle ear epithelial growth	40		
Il1rn	IL1 receptor antagonist	Deletion exacerbates OM	U		
Mapk9	JNK isoform 2, gene regulation	JNK2 mutation exacerbates OM	41		
Mif	Pro-inflammatory mediator	Blocking MIF alleviates OM	42		
Mkp1	MAPK phosphatase	Upregulation reduces MUC5AB production in OM	35		
Ncf2	Superoxide source in neutrophils	Predicted to increase chronic OM	А		
Nfkb1	Immune, growth signaling	Deletion enhances OM	U		
Nisch	Cell signaling	Mutation causes OM	43		
Nlrp3	Pathogen receptor, inflammasome	Inflammasome deficiency enhances OM	31		
Nodl	Pathogen receptor	Mutation exacerbates OM	U		
Nod2	Pathogen receptor	Mutation reduces bacterial clearance in OM	44		
Pai1	Plasminogen inhibitor	Deletion exacerbates OM	45		
Pax9	Growth-related transcription factor	Down-regulation leads to OM	46		
Pycard	ASC inflammasome component	Deletion enhances OM	31		
Ripk2	Pathogen receptor signaling	Deletion disables OM recovery	U		
Spag6	Cilia gene	Deletion causes OM	47		
<i>Tbx1</i>	Transcription factor	Mutation alters facial morphology, increases OM incidence	22		
Tlr2	Pathogen receptor	Deletion exacerbates OM	48		
Abbreviations: U, unpublished observations from D.G. Hur, B. Nuyen and A. Kurabi; J, phenotype from Jackson					
Labs; NTHi, non-typeable Haemophilus influenzae; A, ARCHS4 database					

Table 3. Otitis media (OM)-related genes: animal studies 2014-2019