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10 Running title: Influenza vaccine in pregnancy

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41 ABSTRACT

42 This questionnaire survey was conducted at 11 hospitals in Japan to determine 43 vaccination coverage against seasonal influenza and the prevalence rate of influenza 44 among pregnant Japanese women. Of 2808 postpartum women who gave birth at the 11 45 hospitals during the study period from March 1, 2014, to July 31, 2014, 1713 (61%) 46 participated in this study and 876 (51%) reported having received vaccination against 47 influenza in or after October 2013. Women aged < 25 years had a significantly lower 48 vaccination rate than those aged ≥ 25 years (31% vs. 53%, respectively; P=0.0000). 49 Eighty-seven (5.1%) and 1626 (94.9%) women did and did not contract influenza, 50 respectively. Although prior birth did not affect overall vaccination coverage (50% for 51 primiparous vs. 53% for multiparous), multiparous women had a significantly higher 52 rate of contracting influenza than primiparous women irrespective of vaccination status (5.6% vs. 2.2% [P=0.0216] and 9.7% vs. 3.5% [P=0.0003] for women with and without 53 54 vaccination, respectively). The 2013 – 2014 vaccination program significantly reduced 55 influenza infection rate by 35% (3.9% vs. 6.3% for women with and without 56 vaccination, respectively; P=0.0272). Seventy-two (83%) of the 87 women took 57 antiviral agents for the treatment of influenza and two (2.3%) required hospitalization. 58 These results suggested that pregnant Japanese women had a high level of concern 59 regarding seasonal influenza. However, campaigns targeting young pregnant Japanese 60 women as well as multiparous women for vaccination are needed to further reduce the incidence of influenza among pregnant Japanese women. 61

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63 Key words: Influenza, vaccine, pregnancy, pandemic

64 Introduction

65 Pregnant women are at an increased risk of severe influenza-related complications (1 -66 9). Evidence from several countries demonstrated increased hospitalization rates and 67 disproportionately higher rate of mortality in pregnant women during the previous 68 seasonal influenza and pandemic (H1N1) 2009 (1-9). However, there were no 69 mortalities among pregnant women during the pandemic (H1N1) 2009 in Japan (10, 11). 70 At that time of pandemic (H1N1) 2009, pregnant Japanese women had a high level of 71 concern regarding pandemic influenza because the Japan Society of Obstetrics and 72 Gynecology aggressively intensified outreach to pregnant women, policy-makers, and 73 medical workers, including medical doctors, midwives, and nurses, to minimize the 74 number of cases of infection among pregnant women (10, 12). Indeed, more than 60% 75 of candidates were vaccinated within 1.5 months after the availability of vaccine against 76 the pandemic (H1N1) 2009 virus and half of all women infected with influenza had 77 taken prophylactic antiviral drug after coming into close contact with an infected person 78 in Hokkaido, the northernmost and the second largest island of Japan (13).

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80 However, to our knowledge, there have been no studies regarding how many pregnant 81 Japanese women are vaccinated against seasonal influenza and how many pregnant 82 Japanese women contracted seasonal influenza before or after the pandemic (H1N1) 83 2009. It may be important to determine the baseline level of concern regarding 84 influenza among pregnant Japanese women to prepare for future avian influenza 85 epidemics. Therefore, we conducted this multi-center study to determine vaccination 86 coverage against seasonal influenza and the prevalence rate of influenza infection 87 among pregnant Japanese women during the 2013 - 2014 influenza season.

89 Materials and Methods

This multi-center observational study was conducted with the approval of the 90 91 Institutional Review Boards of Hokkaido University Hospital and the following 11 92 hospitals widely dispersed throughout Japan that participated in this study: Nagasaki 93 University Hospital, Rakuwakai Otowa Hospital, Toyama University Hospital, Mie 94 Chuo Medical Center, Nippon Medical School Tama-Nagayama Hospital, National 95 Center for Child Health and Development, University of Tsukuba Hospital, Jichi Medical University Hospital, Hakodate Central General Hospital, Sapporo Toho 96 97 Hospital, and Hokkaido University Hospital.

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In Japan, women usually remain at obstetric facilities for 4 to 8 days after giving birth. We conducted an anonymous questionnaire study (Table 1) among all postpartum women who gave birth at and after gestational week 22 and within 5 days after delivery before leaving the obstetric facility during the study period from March 1, 2014, to July 31, 2014. Therefore, the majority of these postpartum conceived in or before October 2013.

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106 All data are presented as means \pm SD. For statistical analysis of categorical data, χ^2 or 107 Fisher's exact test was applied. The statistical software package StatView 5.0 for 108 Macintosh (SAS Institute Inc. Cary, NC) was used for data analysis. In all analyses, P <109 0.05 was taken to indicate statistical significance.

110

111 Results

112 During the 5-month study period, 2808 women gave birth on and after gestational week 113 22 at the 11 participating hospitals. Preterm birth (at < 37 weeks of gestation) occurred 114 in 373 (13%) women. A total of 1713 (61%) of the 2808 women participated in this 115 study (Table 2). Although the response rate and vaccination coverage differed 116 considerably between hospitals, the mean (SD) response rate was 62% (22%), and mean 117 vaccination rate was 53% (13%) for the 11 hospitals. The age-specific response rate was 118 as follows: 54% (124/229), 59% (332/559), 62% (571/927), 63% (501/793), and 62% 119 (185/300) for women aged 24 years or less, 25 - 29, 30 - 34, 35 - 39, and 40 years or 120 more, respectively. Of the 1713 respondents, 876 (51%) and 837 (49%) women did and 121 did not receive a vaccine against influenza in or after October 2013, respectively.

122

123 Maternal age affected vaccination coverage-women aged 24 years or less received 124 vaccination significantly less often than those in other age categories (Table 3). 125 However, experience of prior birth did not affect the overall vaccination coverage (50% 126 for primiparous vs. 53% for multiparous women), although a significantly larger 127 number of primiparous women aged 25-29 years old received the vaccination 128 compared to multiparous women in the same age group (57% vs. 44%, respectively). In 129 contrast, among women 35 years old or more, multiparous women tended to have a 130 greater vaccination rate than primiparous women.

131

Eighty-seven (5.1%) and 1626 (94.9%) women did and did not contract influenza, respectively (Table 4). The prevalence of influenza did not differ markedly between hospitals, ranging from 3.4% (10/298) in Jichi Medical University Hospital to 8.9% (10/112) in Nagasaki University Hospital (mean \pm SD, 5.5% \pm 1.8%). In the 1626

women without influenza than in the 87 with influenza, the fraction size of women with vaccination and that of primiparous women were significantly greater (Table 4). As this suggested that the experience of childbirth was a risk factor for contracting influenza, we analyzed the differences in prevalence rate of influenza between primiparous and multiparous women according to maternal age (Fig. 1). The infection rate was consistently higher for multiparous than primiparous women irrespective of vaccination status. Maternal age was not associated with the infection rate (Fig. 1, Table 4).

143

144 The effects of vaccination on prevention of influenza were analyzed (Table 5). Two 145 women (one was vaccinated and the other was unvaccinated) contracted both influenza 146 A and B viruses. The number of women infected with influenza virus A did not differ 147 significantly between the 876 and 837 women with and without vaccination (2.7% vs. 148 3.2%, respectively), while that of women with influenza virus B infection was 149 significantly lower among women with than without vaccination (0.8% vs. 1.9%, respectively; P = 0.0455). Overall, the 2013 – 2014 vaccination program against 150 151 influenza reduced the risk of influenza infection by 35% ([54 – 35]/54) among pregnant 152 Japanese women.

153

Among the 87 women with influenza, 72 (83%) reported having taken antiviral agents for treatment of influenza. Antiviral agents administered for these 72 women were oral tablets in 34 (47%) women and inhalation drugs for the remaining 38 (53%) women. Two (2.3%) women required hospitalization for treatment of the influenza—both were multiparous, one with vaccination contracted both influenza viruses A and B, and the other without vaccination contracted influenza virus A. 160

161 **Discussion**

162 To our knowledge, this is the first study focusing on the behavior of pregnant Japanese163 women with regard to seasonal influenza.

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165 It may be important to determine the attitudes of pregnant women toward vaccination 166 and antiviral drugs. A survey conducted in the 2006 - 2007 influenza season in the USA 167 indicated that almost one third of health care workers not believe that vaccines are a 168 safe and effective way to decrease infections, although the Advisory Committee on 169 Immunization Practices began recommending routine influenza vaccination for healthy 170 pregnant women during the influenza season in 2004 (14). A meeting designed to integrate scientific evidence and expert opinion (13) in 2008 in the USA concluded that 171 172 pregnant women should be considered a high-priority group for receipt of vaccine and 173 that increased seasonal influenza vaccine coverage may improve vaccine uptake in a 174 pandemic (13). Indeed, at the pandemic (H1N1) 2009, 7.8% of 102 obstetric patients 175 who required hospitalization for pandemic (H1N1) 2009 died in California (15). In 176 Australia and New Zealand, pregnant women accounted for 9.1% of 722 patients who 177 required treatment at an intensive care unit (ICU) (7) and 11% of 64 pregnant women 178 who required ICU treatment died (8). Pregnant women accounted for 5%, 7.5%, and 179 8.3% of hospitalized cases in Canada, the UK, and Brazil, respectively (16), although 180 pregnant women account for approximately 1.0% of the total population.

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182

183 Approximately half of pregnant Japanese women were vaccinated against seasonal influenza. As this figure was similar to those at and after the pandemic (H1N1) 2009 in 184 185 the USA (17, 18), it may have been brought about by the pandemic (H1N1) 2009. Before the pandemic (H1N1) 2009, estimated influenza vaccination coverage among 186 187 pregnant women in the USA was consistently low (approximately 15%) (18 - 20), 188 although there is no significant increase in adverse reactions in mothers or neonates 189 related to the vaccine and side effects are similar to those in the general population (19, 190 20). Women offered influenza vaccination by a health care provider are more likely to 191 be vaccinated and are more likely to have positive attitudes about vaccine effectiveness 192 and safety (18). The Healthy People 2020 initiative of the United States Department of 193 Health and Human Services has set a goal of 80% vaccine coverage among pregnant 194 women in the USA (21). As the maternal influenza immunization is a highly 195 cost-effective intervention to reduce disease rates and severity corresponding to both 196 seasonal influenza epidemics and occasional pandemics (22), continued efforts are 197 needed to encourage pregnant women to receive influenza vaccination.

198

Eighty-three percent of infected Japanese women reported having taken antiviral drugs. An early treatment of pregnant women with antiviral medications is associated with fewer ICU admissions and fewer maternal deaths (23). No harmful effects of neuraminidase inhibitors, including oseltamivir, zanamivir, and laninamivir, on fetuses exposed *in utero* have been reported (24, 25), and they are believed to have contributed to the lack of maternal mortality during the pandemic (H1N1) 2009 in Japan (10 – 12).

206

Multiparous women had an approximately twofold higher risk of influenza infection than primiparous women in this study. To our knowledge, this phenomenon has not been reported to date. The reason for this phenomenon is not yet clear. However, these observations may be explained by the greater number of cohabitants for multiparous than primiparous women, which may be associated with higher risk of infection.

212

213 In conclusion, although it was difficult to verify that respondents answered questions correctly due to the nature of this questionnaire study, our results suggested that 214 215 influenza vaccine coverage was approximately 50% among pregnant women in Japan 216 and approximately 1 in 20 pregnant women contracted influenza in the 2013 – 2014 influenza season. These observations indicated that the higher vaccination level 217 achieved during the pandemic (H1N1) 2009 was sustained. However, the vaccination 218 219 coverage was insufficient in younger pregnant women, and multiparous women had an 220 approximately twofold higher risk of infection compared with primiparous women. 221 Continued efforts are needed to encourage pregnant women, especially those less than 222 25 years old and multiparous women, to receive the vaccination to further reduce the 223 number of pregnant women with influenza in Japan.

224

225 Disclosure

226 All authors declare that they have no financial relationships with biotechnology

227 manufacturers, pharmaceutical companies, or other commercial entities with an interest

in the subject matter or materials discussed in this manuscript.

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- 317 Figure legend
- 318

Figure 1. Prevalence rate of influenza infection according to maternal age among primiparous and multiparous women

321

322 *, P < 0.05 vs. primiparous women. Figures on top of bars indicate actual numbers of 323 women with influenza/designated population. The prevalence rate of influenza was 324 consistently higher for multiparous (closed bars) than primiparous (open bars) women 325 in all age categories irrespective of vaccination status. Overall, the infection rate was 326 significantly higher in multiparous than primiparous women for both vaccinated (5.6% 327 [24/429] vs. 2.2% [10/427], P=0.0216) and unvaccinated women (9.7% [37/381] vs. 328 3.5% [16/456], P=0.0003). Among primiparous women, the infection rate did not differ 329 between those with without vaccination in any age category. Among multiparous 330 women, the infection rate was significantly lower in those with vaccination and aged 30 331 -34 years than in their counterparts (5.4% [8/149] vs. 13.0% [16/123], respectively; P 332 = 0.0321). Overall, the infection rate did not differ significantly between primiparous 333 women with and without vaccination (2.2% [10/447] vs. 3.5% [16/456], respectively), 334 whereas it was significantly lower in multiparous women with than without vaccination 335 (5.6% [24/429] vs. 9.7% [37/381], respectively; P = 0.0324)336



Table 1. Questionnaire form given to women within 5 days after delivery duringthe study period (March 1, 2014, to July 31, 2014)

Q1:	When did you give birth?
	□March, □April, □May, □June, □July in 2014
Q2:	How old are you?
	$\Box \le 19, \Box 20 - 24, \Box 25 - 29, \Box 30 - 34, \Box 35 - 39, \Box 40 - 44, \Box \ge 45$
Q3:	Was this your first experience of birth?
-	\Box Yes, \Box No
Q4:	Were you vaccinated against influenza on or after October 2013?
	□Yes, □No
Q5:	Did you contract influenza during the current pregnancy?
	□Yes, □No
The	following questions are for women with "Yes" in response to Q5
Q6:	Were you hospitalized for treatment of influenza?
	\Box Yes, \Box No
Q7:	What was the type of influenza?
	$\Box A$, $\Box B$, $\Box Unknown$
Q8:	Did you receive antiviral agent for the treatment of influenza?
	\Box Yes, \Box No
The	following question is for women with "Yes" in response to Q8
Q9:	What was the type of antiviral agent given?
	□Oral tablet, □Inhalation drug

_		No. of women		
Institution	Candidates*	Participants	Vaccinated	
NUH	132	112 (85%)	55 [49%]	
ROH	136	97 (71%)	31 [32%]	
TUH	131	60 (46%)	36 [60%]	
MCMC	190	124 (65%)	82 [66%]	
NMSTH	252	77 (31%)	33 [43%]	
NCCHD†	318	139 (44%)	97 [70%]	
UTH	392	382 (97%)	166 [43%]	
JMUH	338	298 (88%)	127 [43%]	
HCGH	259	140 (54%)	81 [58%]	
STH	480	166 (35%)	80 [48%]	
HUH	180	118 (66%)	88 [75%]	
Overall	2808	1713 (61%)	876 [51%]	
Overall (mean±SD)	255±116	156±98 (62%±22%)	80±41 [53%±13%]	

Table 2. Number of participants, response rates, and vaccination rates according to institution

*, Number of women who gave birth during the study period; †, study subjects were women who gave birth in March and April only. Percentage response rate (participants/candidates) to this questionnaire survey and vaccination rate (vaccinated/participants) are indicated in parentheses and square brackets, respectively. NUH, Nagasaki University Hospital; ROH, Rakuwakai Otowa Hospital; TUH, Toyama University Hospital; MCMC, Mie Chuo Medical Center; NMSTH, Nippon Medical School Tama-Nagayama Hospital; NCCHD, National Center for Child Health and Development; UTH, University of Tsukuba Hospital; JMUH, Jichi Medical University Hospital; HCGH, Hakodate Central General Hospital; STH, Sapporo Toho Hospital, HUH, Hokkaido University Hospital.

<u>Age (year)</u>	Overall	Primiparous	Multiparous	<i>P</i> -value*	
≤ 24	39/124 (31%)¶	29/92 (32%)¶	10/32 (31%)†	0.9772	
25 - 29	171/332 (52%)	112/198 (57%)	59/134 (44%)	0.0249	
30 - 34	305/571 (53%)	156/299 (52%)	149/272 (55%)	0.5330	
35 - 39	260/501 (52%)	106/222 (48%)	154/279 (55%)	0.0974	
\geq 40	101/185 (55%)	44/92 (48%)	57/93 (61%)	0.0659	
Overall	876/1713 (51%)	447/903 (50%)	429/810 (53%)	0.1525	

Table 3. Vaccination rates according to maternal age and experience of prior birth

*, Comparison between primiparous and multiparous women.

¶, P < 0.05 vs. any other age category. †, P < 0.05 vs. any other age category except women aged 25 – 29 years.

Infection with influenza			
	Yes	No	<i>P</i> -value
No. of women	87	1626	
Vaccinated	34 (39%)	842 (52%)	0.0210
Primiparous	26 (30%)	877 (54%)	0.0000
Maternal age (ye	ars)		
≤ 29	21 (24%)	435 (27%)	0.7088
30 - 35	34 (39%)	537 (33%)	0.2450
<u>> 35</u>	32 (37%)	654 (40%)	0.5235

Table 4. Comparison of women who did and did not contract influenza

Table 5. Vaccination and infection with influenza virus A and D				
	Vaccinated	Unvaccinated	<i>P</i> -value	
No. of women	876	837		
Type of influenz	a virus			
A	24* (2.7%)	27* (3.2%)	0.5542	
В	7 (0.8%)	16 (1.9%)	0.0455	
Unknown	4 (0.5%)	11 (1.3%)	0.0569	
Overall	34 (3.9%)	53 (6.3%)	0.0272	

Table 5. Vaccination and infection with influenza virus A and B

*, One was also infected with influenza virus B.