

SOME CHARACTERIZATIONS OF A SUBMANIFOLD WHICH IS ISOMETRIC TO A SPHERE

By

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Introduction. H. Liebmann (1900) [1]¹⁾, proved the following.

Theorem 0.1. *The only ovaloids with constant mean curvature H in an Euclidean space E^3 are spheres.*

Extension of this theorem to a convex hypersurface in an n -dimensional Euclidean space E^n has been given by W. Süss (1929) [2], (cf. also [3], p. 118, and [4]). Then H. Hopf (1951) [5], and A. D. Alexandrov (1958) [6], have shown the results that the convexity is not necessary for the validity of Liebmann-Süss theorem.

Recently the analogous problem for closed hypersurfaces in an n -dimensional Einstein space R^n admitting a conformal Killing vector field ξ has been discussed by the present author [8], [9], A. D. Alexandrov [7], K. Nomizu [14], [15]. Afterward T. Nagai, H. Kôjyo and the present author [10], [11], [13] have given a certain extension of this problem to an m -dimensional closed submanifold V^m ($1 \leq m \leq n-1$) in R^n . However we have given there a restriction such that at each point on V^m , the vector ξ lies in the vector space spanned by the tangent space of V^m and the Euler-Schouten vector n .

In the previous paper [12], the present author has proved the following two theorems except this restriction for a closed orientable submanifold V^m in an orientable Riemannian manifold R^n with constant Riemann curvature.

Theorem 0.2. *If in R^n , there exists such a group G of conformal transformations generated by ξ as ρ is positive (or negative) at each point of V^m and if H_1 is constant, then every point of V^m is umbilic with respect to the normal vector n , where ρ denotes $n_i \xi^i$, n is the unit vector normal V^m in the vector space spanned by the tangent space of V^m and ξ and H_v means the v -th mean curvature of V^m with respect to n .*

Theorem 0.3. *If in R^n , there exists such a group G of conformal transformations generated by ξ as ρ is positive (or negative) at each point of V^m ,*

1) Numbers in brackets refer to the references at the end of the paper.

and if the principal curvatures with respect to n, k_1, \dots, k_m at each point of V^m , are positive and H_ν is constant for any ν ($1 < \nu \leq m-1$), then every point of V^m is umbilic with respect to the normal vector n .

The purpose of this paper is to induce a characteristic property such that V^m is isometric to a sphere from these theorems.

§ 1. Some characterizations of a submanifold to be isometric to a sphere. We suppose an n -dimensional Einstein space R^n ($n \geq 3$) of class C^r ($r \geq 3$) which has local coordinates x^i and admits a proper conformal Killing vector field ξ^i , that is, ξ^i satisfies an equation:

$$(1.1) \quad \mathfrak{L}_\xi g_{ij} \equiv \xi_{i;j} + \xi_{j;i} = 2\phi g_{ij} \quad ([16], \text{ p. } 32)$$

where g_{ij} is the metric tensor of R^n , $\mathfrak{L}_\xi g_{ij}$ means the Lie derivative of g_{ij} with respect to ξ and the symbol “;” the operation of D -symbol due to van der Waerden-Bortolotti ([18], p. 254). Then the Lie derivative of the Riemann curvature tensor R^h_{ijk} of R^n with respect to the conformal Killing vector field ξ is given by

$$(1.2) \quad \mathfrak{L}_\xi R^h_{ijk} = \delta_j^h \phi_{i;k} - \delta_k^h \phi_{i;j} + g_{ik} \phi^h_{;j} - g_{ij} \phi^h_{;k} \quad ([16], \text{ p. } 160)$$

where ϕ_i means $\phi_{;i}$ and δ_j^h is the Kronecker delta.

Since R^n is an Einstein space, we have

$$(1.3) \quad R_{ij} = \frac{R}{n} g_{ij} \quad (R = \text{Constant})$$

where R_{ij} and R are the Ricci tensor and the curvature scalar of R^n respectively.

Making use of (1.2) and (1.3), after some calculations we obtain the following result

$$(1.4) \quad \phi_{i;j} = -\frac{R}{n(n-1)} \phi g_{ij}.$$

From (1.4) we can see that the Einstein space R^n admitting the conformal Killing vector field ξ with the non-constant scalar field ϕ must always admit the vector field ϕ^i ($\phi^i = g^{ij} \phi_{;j}$), which is the special conformal Killing vector field.

In R^n , we consider a domain M . If the domain M is simply covered by the orbits of transformations generated by ϕ^i , and ϕ^i is everywhere of class C^r and $\neq 0$ in M ; then we call M a regular domain with respect to the vector field ϕ^i .

Let us denote by V^m an m -dimensional closed orientable submanifold of class C^3 imbedded in a regular domain M with respect to the vector field ϕ^i , locally given by

$$(1.5) \quad x^i = x^i(u^\alpha) \quad i=1, \dots, n; \alpha=1, \dots, m$$

where u^α are local coordinates of V^m . Throughout the present paper Latin indices run from 1 to n and Greek indices from 1 to m . We assume that at any point on V^m the vector ϕ^i is not on its tangent space.

We shall indicate by n_ϕ^i ($p=m+1, \dots, n$) the contravariant unit vector normal V^m and suppose that they are mutually orthogonal. Let n_ϕ be in the vector space spanned by $m+1$ independent vector $\frac{\partial x^i}{\partial u^\alpha}$ ($\alpha=1, \dots, m$) and ϕ^i and be the unit vector normal V^m . Then we may consider n_ϕ as one of the unit normal vectors of V^m , that is, $n_\phi^i = n^i$.

If we denote by $k_\phi^1, \dots, k_\phi^m$ the principal curvatures of V^m with respect to n_ϕ^i and by H_ν the ν -th mean curvature, the ν -th elementary symmetric function of $k_\phi^1, \dots, k_\phi^m$ divided by the number of terms, i. e.,

$$\binom{m}{\nu} H_\nu = \sum_{\alpha_1 < \dots < \alpha_\nu} k_{\phi^{\alpha_1}} \dots k_{\phi^{\alpha_\nu}}, \quad (1 \leq \nu \leq m),$$

then as a special case of Theorem 0.2. and of Theorem 0.3., we have the following two theorems.

Theorem 1.1. *Let ξ^i be a proper conformal Killing vector field in R^n with constant Riemann curvature (i. e., $\xi_{i;j} + \xi_{j;i} = 2\phi g_{ij}$). Then every point of a closed orientable submanifold V^m such that $H_1 = \text{constant}$ and $\phi_i n^i > 0$ (or < 0) for all points of V^m is umbilic with respect to n_ϕ .*

Remark. We remark here that $\phi_i n^i > 0$ (or < 0) for all points of V^m is equivalent that the vector ϕ^i is not on the tangent space of V^m at any point fo V^m .

Theorem 1.2. *Let ξ^i be a proper conformal Killing vector field in R^n with constant Riemann curvature (i. e., $\xi_{i;j} + \xi_{j;i} = 2\phi g_{ij}$). Then every point of a closed orientable submanifold V^m such that $H_\nu = \text{constant}$, $k_\phi^1 > 0, \dots, k_\phi^m > 0$ and $\phi_i n^i > 0$ (or < 0) for all points of V^m is umbilic with respect to n_ϕ .*

From the above theorems and the following theorem due to M. Obata [19], we obtain Theorem 1.3 and Theorem 1.4.

Theorem (Obata). Let R^n ($n \geq 2$) be a complete Riemann manifold which admits a non-null function φ such that $\varphi_{;i;j} = -C^2 \varphi g_{ij}$ ($C = \text{constant}$). Then R^n is isometric to a sphere of radius $1/C$.

Theorem 1.3. If ξ^i is a proper conformal Killing vector field in R^n with constant Riemann curvature (i. e., $\xi_{i;j} + \xi_{j;i} = 2\phi g_{ij}$), then a closed orientable submanifold V^m such that $H_1 = \text{constant}$ and $\phi_i n^i > 0$ (or < 0) for all points of V^m is isometric to a sphere.

Proof. Let C^i_j be $\sum_{p=m+1}^n n^i n_j$ ($n = n$) and i ($\lambda = 1, \dots, m$) mutually orthogonal unit tangent vectors of V^m . Then putting $B^i_\alpha = \frac{\partial x^i}{\partial u^\alpha}$, we have

$$n^i_{; \alpha} = C^i_{j;k} n^j B^k_\alpha = - \sum_{\lambda=1}^m (i_{j;k} n^j B^k_\alpha) i^\lambda_i.$$

Therefore we may write as follows

$$n^i_{; \alpha} = \gamma^i_\alpha B^i_\gamma.$$

Since we have

$$g_{ij} B^i_{\beta;\alpha} n^j = -g_{ij} B^i_\beta n^j_{;\alpha},$$

we obtain

$$(1.6) \quad n^i_{; \alpha} = -b^i_\alpha \gamma^i_\beta \quad (p = \phi, m+2, \dots, n)$$

where $b^i_\alpha \gamma^i_\beta$ means $g^{\gamma\beta} b_{\alpha\gamma}$ and $b_{\alpha\beta} \stackrel{\text{def}}{=} B^i_{\alpha;\beta} n_i$, and $g^{\gamma\beta}$ is the contravariant metric tensor of V^m .

As well-known on the theory of a submanifold in a Riemannian manifold, we have

$$B^i_{\alpha;\beta} = \frac{\partial B^i_\alpha}{\partial u^\beta} + \Gamma^i_{jk} B^j_\alpha B^k_\beta - \Gamma^i_{\alpha\beta} B^i_\gamma,$$

$$n^i_{; \alpha} = \frac{\partial n^i}{\partial u^\alpha} + \Gamma^i_{jk} n^j B^k_\alpha - \Gamma^i_{p\alpha} n^i_{; \beta},$$

where Γ^i_{jk} and $\Gamma^i_{\alpha\beta}$ are the Christoffel symbols with respect to g_{ij} and $g_{\alpha\beta}$ respectively, and

$$\Gamma^i_{p\alpha} \stackrel{\text{def}}{=} \frac{\partial n^i}{\partial u^\alpha} n_i + \Gamma^i_{jk} n^j B^k_\alpha n_i.$$

Since $n^i_{; \alpha} = \delta_{p\alpha} (\delta_{p\alpha}$ means the Kronecker delta, $\Gamma^i_{p\alpha}$ is anti-symmetric with

respect to the indices P and Q . Consequently we have

$$(1.7) \quad \Gamma''_{P\alpha} = 0 \quad \text{for any } P \quad (P = \phi, m+2, \dots, n).$$

We now consider the scalar function on V^m given by

$$\varphi = \phi_{\phi} n^{\phi},$$

and we assume, moreover, that $\varphi \neq$ constant along V^m , then we have

$$\varphi_{\alpha} = \phi_{\phi; j} n^{\phi} B_{\alpha}^j + \phi_{\phi} (n^{\phi}_{; \alpha} + \Gamma''_{\phi \alpha} n^{\phi}).$$

On the other hand the vector ϕ_{ϕ} is expressed as follows

$$(1.8) \quad \phi_{\phi} = \varphi n_{\phi} + C_{\gamma} B_{\phi}^{\gamma},$$

where $B_{\phi}^{\gamma} \stackrel{\text{def}}{=} g_{jk} B_{\phi}^j g^{\phi k}$.

From (1.7) and (1.8), we have

$$\phi_{\phi} \Gamma''_{\phi \alpha} n^{\phi} = 0.$$

Since R^n with constant Riemann curvature is an Einstein space, we get

$$\phi_{\phi; j} n^{\phi} B_{\alpha}^j = - \frac{R}{n(n-1)} \phi g_{\phi j} n^{\phi} B_{\alpha}^j = 0,$$

and also from (1.6), we have

$$\varphi_{\alpha} = -b_{\alpha}^{\gamma} B_{\phi}^{\gamma} \phi_{\phi}.$$

Furthermore by virtue of Theorem 1.1, every point of V^m is umbilic with respect to n^{ϕ} , that is,

$$(1.9) \quad b_{\alpha\beta}^{\phi} = H_1 g_{\alpha\beta}.$$

So, it follows that

$$b_{\alpha}^{\beta} = H_1 \delta_{\alpha}^{\beta}.$$

Thus we obtain

$$\varphi_{\alpha} = -H_1 B_{\alpha}^{\phi} \phi_{\phi}$$

and

$$(1.10) \quad \varphi = -H_1 \phi + C \quad (C = \text{Constant}).$$

Since $H_1 = \text{constant}$, we get

$$(1.11) \quad \varphi_{\alpha;\beta} = -H_1 \left(\phi_{\alpha;j} B_{\alpha}^i B_{\beta}^j + \phi_i B_{\alpha;\beta}^i \right).$$

Consequently from (1.4) and (1.8), because of the relation $B_i^i B_{\alpha;\beta}^i = 0$, we have

$$\varphi_{\alpha;\beta} = -H_1 \left(-\frac{R}{n(n-1)} \phi g_{\alpha\beta} + \varphi b_{\alpha\beta} \right),$$

and from (1.9) and (1.10), (1.11) becomes as follows

$$(1.12) \quad \varphi_{\alpha;\beta} = \left\{ -\left(H_1^2 + \frac{R}{n(n-1)} \right) \varphi + \frac{R}{n(n-1)} C \right\} g_{\alpha\beta}$$

If $H_1^2 + \frac{R}{n(n-1)} = 0$, then (1.12) becomes

$$\varphi_{\alpha;\beta} = \frac{R}{n(n-1)} C g_{\alpha\beta},$$

from which $\Delta\varphi = \frac{mR}{n(n-1)} C$ (Δ is the Laplacian operator on V^m), which is

impossible unless $\varphi = \text{constant}$. Therefore $H_1^2 + \frac{R}{n(n-1)} \neq 0$, then we have

$$\varphi_{\alpha;\beta} = -\left(H_1^2 + \frac{R}{n(n-1)} \right) \left(\varphi - \frac{\frac{R}{n(n-1)} C}{H_1^2 + \frac{R}{n(n-1)}} \right) g_{\alpha\beta}.$$

putting

$$\sigma = \varphi - \frac{\frac{R}{n(n-1)} C}{H_1^2 + \frac{R}{n(n-1)}},$$

we obtain

$$\sigma_{\alpha;\beta} = -\left(H_1^2 + \frac{R}{n(n-1)} \right) \sigma g_{\alpha\beta}$$

and

$$\Delta\sigma = -m \left(H_1^2 + \frac{R}{n(n-1)} \right) \sigma,$$

consequently it follows that $H_1^2 + \frac{R}{n(n-1)} > 0$ [17]. Thus V^m is isometric to a sphere according to Obata's theorem.

Theorem 1.4. *If ξ^i is a proper conformal Killing vector field in R^n with constant Riemann curvature (i. e., $\xi_{i;j} + \xi_{j;i} = 2\phi g_{ij}$), then a closed orientable submanifold V^m such that $H_\nu = \text{const.}$ for any ν ($1 < \nu \leq m-1$), $k_1 > 0, \dots, k_m > 0$ and $\phi_\nu n^\nu > 0$ (or < 0) for all points of V^m is isometric to a sphere.*

Proof. From Theorem 1.2, every point of V^m is umbilic with respect to n^ν , that is,

$$k_1 = \dots = k_m.$$

Accordingly we have

$$H_\nu = (k_1)^\nu = (H_1)^\nu = \text{const.}$$

Thus we get

$$H_1 = \text{const.}$$

Consequently by virtue of Theorem 1.3, V^m is isometric to a sphere.

§ 2. Some specializations of the preceding theorems. In this section, we shall discuss on some special cases of Theorem 1.3 and Theorem 1.4.

Let R^n be a Riemannian manifold with constant Riemann curvature and a vector field ξ^i be a vector field given by a concircular scalar field η , that is ξ^i satisfies an equation

$$\xi_{i;j} = \phi g_{ij}$$

where ϕ is not constant, we call such the vector field ξ^i a proper concircular Killing vector field. Then we have

$$\xi_{i;j;k} = \phi_k g_{ij}$$

and

$$\xi_{i;j;k} - \xi_{i;k;j} = \phi_k g_{ij} - \phi_j g_{ik}.$$

On the other hand, by means of Ricci identity it follows that

$$\xi_{i;j;k} - \xi_{i;k;j} = -R^l_{ijk} \xi^l.$$

Since R^n is of constant Riemann curvature, we obtain

$$R_{ijkl} = \kappa (g_{lj} g_{ik} - g_{lk} g_{ij})$$

and so we have

$$R_{ijkl} \xi^l = \phi_j g_{ik} - \phi_k g_{ij},$$

$$\kappa(\xi_j g_{ik} - \xi_k g_{ij}) = \phi_j g_{ik} - \phi_k g_{ij}$$

and

$$(2.1) \quad \kappa \xi_j = \phi_j.$$

In this case we can see easily that the unit normal vector n^ξ with respect to ξ^ξ is coincide with the unit normal vector n^ϕ with respect to ϕ^ξ , that is,

$$(2.2) \quad n^\xi = n^\phi$$

Hence we have the following relations

$$k_\nu = k_\nu, \quad H_\nu = H_\nu$$

for any ν ($1 \leq \nu \leq m$). Consequently we can give

Theorem 2.1. *If ξ^ξ is a proper concircular Killing vector field in R^n with constant Riemann curvature, then a closed orientable submanifold V^m such that $H_1 = \text{const.}$ and $\xi_i n^\xi > 0$ (or < 0) for all points of V^m is isometric to a sphere.*

Theorem 2.2. *If ξ^ξ is a proper concircular Killing vector field in R^n with constant Riemann curvature, then a closed orientable submanifold V^m such that $H_\nu = \text{const.}$ for any ν ($1 < \nu \leq m-1$), $k_1 > 0, \dots, k_m > 0$ and $\xi_i n^\xi > 0$ (or < 0) for all points of V^m is isometric to a sphere.*

If ξ^ξ is a proper conformal Killing vector field which satisfies $\xi_{i,j} + \xi_{j,i} = 2\phi g_{ij}$ in R^n with constant Riemann curvature and if we put a restriction such that at each point on V^m the vector ϕ^ξ lies in the vector space spanned by the tangent space of V^m and the Euler-Schouten unit vector n^E of V^m , then we find that the unit normal vector n^ϕ with respect to ϕ^ξ is coincide with the Euler-Schouten unit vector n^E , that is,

$$(2.3) \quad n^\phi = n^E,$$

Consequently we have

$$k_\nu = k_\nu, \quad H_\nu = H_\nu$$

for any ν ($1 \leq \nu \leq m$), where H_1 means the first mean curvature H_1 of V^m .

Therefore we have

Theorem 2.3. *Let ξ^ξ be a proper conformal Killing vector field such that $\xi_{i,j} + \xi_{j,i} = 2\phi g_{ij}$ in R^n with constant Riemann curvature and V^m a closed*

orientable submanifold such that

- (i) H_1 — constant,
- (ii) $\phi_i n^i$ has fixed sign on V^m ,
- (iii) ϕ^i lies in the vector space spanned by the tangent space of V^m and n^i at each point of V^m .

Then V^m is isometric to a sphere.

Theorem 2.4. Let ξ^i be a proper conformal Killing vector field such that $\xi_{i,j} + \xi_{j,i} = 2\phi g_{ij}$ in R^n with constant Riemann curvature and V^m a closed orientable submanifold such that

- (i) $H_\nu = \text{constant}$ for any ν ($1 < \nu \leq m-1$),
- (ii) $k_1 > 0, \dots, k_m > 0$ and $\phi_i n^i > 0$ (or < 0) for all points of V^m ,
- (iii) ϕ^i lies in the vector space spanned by the tangent space of V^m and n^i at each point of V^m .

Then V^m is isometric to a sphere.

From these four theorems, we have the following corollaries.

Corollary 2.1. Let ξ^i be a proper concircular Killing vector field in R^n with constant Riemann curvature and V^m a closed orientable submanifold such that

- (i) $H_1 = \text{constant}$,
- (ii) $\xi_i n^i$ has fixed sign on V^m ,
- (iii) ξ^i lies in the vector space spanned by the tangent space of V^m and n^i at each point of V^m .

Then V^m is isometric to a sphere.

Corollary 2.2. Let ξ^i be a proper concircular Killing vector field in R^n with constant Riemann curvature and V^m a closed orientable submanifold such that

- (i) $H_\nu = \text{constant}$ for any ν ($1 < \nu \leq m-1$),
- (ii) $k_1 > 0, \dots, k_m > 0$ and $\xi_i n^i > 0$ (or < 0) for all points of V^m ,
- (iii) ξ^i lies in the vector space spanned by the tangent space of V^m and n^i at each point of V^m .

Then V^m is isometric to a sphere.

§ 3. Characteristic properties of a hypersurface to be isometric to

a sphere In this section, we consider a special case such that $m=n-1$, that is, V^m is a hypersurface V^{n-1} .

If n^ξ, k_ν ($\nu=1, \dots, n-1$) and H_ν are the normal unit vector, the principal curvatures and the ν -th mean curvature of V^{n-1} respectively, then we can easily find the following relations

$$\begin{aligned} n^\xi &= n^\phi = n^E = n^\xi, \\ k_\nu &= k_\phi = k^E_\nu = k_\nu, \\ H_\nu &= H_\phi = H^E_\nu = H_\nu, \end{aligned}$$

for any ν ($1 \leq \nu \leq m-1$). Therefore we have

Theorem 3.1. *Let ξ^ξ be a proper conformal Killing vector field such that $\xi_{i;j} + \xi_{j;i} = 2\phi g_{ij}$ in R^n with constant Riemann curvature and V^{n-1} a closed orientable hypersurface such that*

- (i) $H_1 = \text{constant}$,
- (ii) $\phi_\xi n^\xi$ has fixed sign on V^{n-1} .

Then V^{n-1} is isometric to a sphere.

Theorem 3.2. *Let ξ^ξ be a proper conformal Killing vector field such that $\xi_{i;j} + \xi_{j;i} = 2\phi g_{ij}$ in R^n with constant Riemann curvature and V^{n-1} a closed orientable hypersurface such that*

- (i) $H_\nu = \text{constant}$ for any ν ($1 < \nu \leq n-2$),
- (ii) $k_1 > 0, \dots, k_{n-1} > 0$ and $\phi_\xi n^\xi > 0$ (or < 0) for all points of V^{n-1} .

Then V^{n-1} is isometric to a sphere.

Moreover from Theorem 3.1 and Theorem 3.2 in the previous paper [8] given by the present author, making use of the Gauss equation for a hypersurface in R^n with constant Riemann curvature, we also have without any difficulty the following theorems.

Theorem 3.3. *Let ξ^ξ be a proper conformal Killing vector field in R^n with constant Riemann curvature and V^{n-1} a closed orientable hypersurface such that $H_1 = \text{constant}$ and $\xi_\xi n^\xi$ has fixed sign on V^{n-1} . Then V^{n-1} is isometric to a sphere.*

Theorem 3.4. *Let ξ^ξ be a proper conformal Killing vector field in R^n with constant Riemann curvature and V^{n-1} a closed orientable hypersurface such that*

- (i) $H_\nu = \text{constant}$ for any ν ($1 < \nu \leq n-2$)
- (ii) $k_1 > 0, \dots, k_{n-1} > 0$ and $\xi_\xi n^\xi > 0$ (or < 0) for all points of V^{n-1} .

Then V^{n-1} is isometric to a sphere.

In an Einstein space R^n , the present author gave already the following theorem ([9], p. 170).

Theorem (Katsurada). *Let R^n be an Einstein space which admits a proper conformal Killing vector field such that $\xi_{i,j} + \xi_{j,i} = 2\phi g_{ij}$ and V^{n-1} a closed orientable hypersurface in R^n such that $H_1 = \text{constant}$ and $\xi_i n^i > 0$ (or < 0) for all points of V^{n-1} . Then every point of V^{n-1} is umbilic.*

From the above theorem, we then obtain the following two theorems.

Theorem 3.5. *Let ξ^i be a proper conformal Killing vector field such that $\xi_{i,j} + \xi_{j,i} = 2\phi g_{ij}$ in an Einstein space R^n and V^{n-1} a closed orientable hypersurface such that $H_1 = \text{constant}$ and $\phi_i n^i > 0$ (or < 0) for all points of V^{n-1} . Then V^{n-1} is isometric to r sphere.*

Theorem 3.6. *Let ξ^i be a proper conformal Killing vector field in an Einstein space R^n and V^{n-1} a closed orientable hypersurface such that $H_1 = \text{constant}$ and $\xi_i n^i > 0$ (or < 0) for all points of V^{n-1} . Then V^{n-1} is isometric to a sphere.*

These theorems are proved almost similarly to the proof of Theorem 1.3.

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(Received Sep. 2, 1969)