



Title	Efficiency at maximum power of minimally nonlinear irreversible heat engines
Author(s)	Izumida, Y.; Okuda, K.
Citation	EPL (Europhysics Letters), 97(1), 10004 https://doi.org/10.1209/0295-5075/97/10004
Issue Date	2012-01
Doc URL	http://hdl.handle.net/2115/48547
Type	article (author version)
File Information	EPL97-1_10004.pdf



[Instructions for use](#)

Efficiency at maximum power of minimally nonlinear irreversible heat engines

Y. IZUMIDA and K. OKUDA

Division of Physics, Hokkaido University - Sapporo, 060-0810, Japan

PACS 05.70.Ln – Nonequilibrium and irreversible thermodynamics

Abstract – We propose the minimally nonlinear irreversible heat engine as a new general theoretical model to study the efficiency at the maximum power η^* of heat engines operating between the hot heat reservoir at the temperature T_h and the cold one at T_c ($T_c \leq T_h$). Our model is based on the extended Onsager relations with a new nonlinear term meaning the power dissipation. In this model, we show that η^* is bounded from the upper side by a function of the Carnot efficiency $\eta_C \equiv 1 - T_c/T_h$ as $\eta^* \leq \eta_C/(2 - \eta_C)$. We demonstrate the validity of our theory by showing that the low-dissipation Carnot engine can easily be described by our theory.

Introduction. – Facing with the recent worldwide problems such as the global warming and the depletion of energy resources, we have been urged to a low-carbon sustainable society. Demands for more efficient and greener heat engines have rapidly been rising since heat engines convert heat energy into useful work by utilizing only temperature difference, which is abundant in the earth’s environment: geothermal power generation, solar thermal power generation, etc. might be promising candidates, for example. To evaluate and control performance of heat engines, it must be important to know the upper bound of the energy conversion efficiency of them. The efficiency η for a heat engine is defined as $\eta \equiv W/Q_h$, where Q_h and W denote the heat transferred from the hot heat reservoir at the temperature T_h and the work output, respectively. Defining Q_c as the heat transferred from the cold heat reservoir at the temperature T_c ($\leq T_h$), we can express W as $W \equiv Q_h + Q_c$. Thermodynamics tells us that η is bounded from the upper side as

$$\eta \leq 1 - \frac{T_c}{T_h} \equiv \eta_C, \quad (1)$$

where η_C denotes the Carnot efficiency and the equality holds only when the heat engine is infinitely slowly (quasistatically) operated to satisfy reversibility. Because the heat engine realizing the Carnot efficiency takes infinite time to output a finite amount of work, its power (work output per unit time) is absolutely 0 and thus it is of no practical use. Motivated by this fact, Curzon and Ahlborn [1] proposed a phenomenological finite-time Carnot cycle model and derived that the efficiency at the

maximum power η^* of their model is given by an appealing expression as

$$\eta^* = 1 - \sqrt{\frac{T_c}{T_h}} \equiv \eta_{CA}, \quad (2)$$

which reminds us of the Carnot efficiency. Historically and strictly speaking, the formula $1 - \sqrt{T_c/T_h}$ itself was derived by others [2, 3] more previously than [1]. But it is usually called the Curzon-Ahlborn (CA) efficiency. We also call it the CA efficiency here in accord with the custom. The paper by Curzon and Ahlborn triggered subsequent studies on the efficiency at the maximum power of various heat engine models [4–37]. Among recent studies on the CA efficiency, it is an important progress that Van den Broeck [12] proved that the CA efficiency $\Delta T/(2T) = \eta_{CA} + O(\Delta T^2)$ is the upper bound of the efficiency at the maximum power for the heat engines working in the linear response regime. Here, we have defined the temperature difference $\Delta T \equiv T_h - T_c$, which is assumed to be small, and the averaged temperature $T \equiv (T_h + T_c)/2$, respectively. Those heat engines working in the linear response regime, which we call the linear irreversible heat engines, are described by the following Onsager relations [38, 39]:

$$J_1 = L_{11}X_1 + L_{12}X_2, \quad (3)$$

$$J_2 = L_{21}X_1 + L_{22}X_2, \quad (4)$$

where $X_1 \equiv F/T_c \simeq F/T$ with an external force F , $J_1 \equiv \dot{x}$ with the conjugate variable x of F , $X_2 \equiv 1/T_c - 1/T_h \simeq \Delta T/T^2$, $J_2 \equiv \dot{Q}_h$ and L_{ij} ’s are the Onsager coefficients

with the reciprocity $L_{12} = L_{21}$. The dot denotes the quantity per unit time. Regarding X_1 as the control parameter for the maximization of the power $P = -F\dot{x} = -J_1X_1T$, we can see that the maximum power P^* and the efficiency at the maximum power η^* of the linear irreversible heat engines described by eqs. (3) and (4) are given by

$$P^* = \frac{q^2 L_{22} \Delta T^2}{4T^3}, \quad (5)$$

$$\eta^* = \frac{\Delta T}{2T} \frac{q^2}{2 - q^2}, \quad (6)$$

respectively [12], where q is called the coupling strength parameter and is defined as

$$q \equiv \frac{L_{12}}{\sqrt{L_{11}L_{22}}}. \quad (7)$$

Since the positivity of the entropy production rate $\dot{\sigma} \equiv J_1X_1 + J_2X_2$, which is a quadratic form of the thermodynamic forces, restricts the Onsager coefficients L_{ij} 's to

$$L_{11} \geq 0, L_{22} \geq 0, L_{11}L_{22} - L_{12}L_{21} \geq 0, \quad (8)$$

q should take $-1 \leq q \leq 1$. Thus η^* in eq. (6) takes the upper bound $\Delta T/(2T)$ which is equal to the CA efficiency up to the linear order of ΔT , when the tight-coupling condition

$$|q| = \left| \frac{L_{12}}{\sqrt{L_{11}L_{22}}} \right| = 1 \quad (9)$$

holds. This condition is equivalent to saying that the two thermodynamic fluxes become proportional as $J_2 \propto J_1$. Due to the generality of the theory, the study by Van den Broeck renewed the interests in the CA efficiency and inspired the studies on the efficiency at the maximum power of various heat engine models: it has been indeed shown that η^* of many heat engine models, ranging from the steady-state brownian motors [13, 17, 18] to the finite-time Carnot cycles [30, 31], is given by eq. (6) in the linear response regime. Even when the system begins to enter the nonlinear response regime, it is often observed that η^* agrees with the CA efficiency up to the quadratic order of ΔT as $\eta^* = \Delta T/(2T) + \Delta T^2/(8T^2) = \eta_{CA} + O(\Delta T^3)$ [24–27, 34, 35]. This fact was firstly observed in [24] and proposed as a conjecture in [25]. Later, it was proved to be a precise result for the system which satisfies the left-right symmetry condition in addition to the tight-coupling condition in [27]. However, it is also shown, for example in [19, 20, 24–29, 34, 35], that η^* can exceed the CA efficiency in the nonlinear response regime, when those conditions do not hold. Therefore the CA efficiency is no longer the upper bound of η^* for nonlinear irreversible heat engines and we need to construct a general theory to determine the upper bound of η^* for them.

In this paper, we propose the minimally nonlinear irreversible heat engine described by the extended Onsager relations, where a new nonlinear term $-\gamma_h J_1^2$ meaning the

power dissipation is added to eq. (4) (see eq. (12)). The addition of this new nonlinear term can be seen as a natural extension of the linear irreversible heat engine and we formulate η^* of such nonlinear irreversible heat engines. Our new formula eq. (20) contains the coupling strength parameter q and a parameter γ_c/γ_h , where γ_c and γ_h denote the degree of dissipation to the cold and hot heat reservoirs, respectively. We show that our η^* is bounded from the upper side by a function of the Carnot efficiency η_C as $\eta^* \leq \eta_+$, where $\eta_+ \equiv \eta_C/(2 - \eta_C)$. Remarkably this η_+ was also mentioned in the previous studies on various finite-time heat engine models [24, 32–35, 40, 41]. The generality of our theory allows us to unify these previous results and explain the universality of η_+ . For a demonstration of the validity of our theory, we show that a finite-time Carnot cycle model, called the low-dissipation Carnot engine [35], can be described by the extended Onsager relations.

Extended Onsager relations. – Let us consider that a general heat engine is working between the hot and the cold heat reservoirs with the temperature difference $\Delta T = T_h - T_c$. Heat engines are generally classified into two types: steady-state heat engines and cyclic heat engines. Steady-state heat engines literally work in a steady state since an external force applied on the heat engines is time-independent and the hot and cold heat reservoirs contact with the heat engines simultaneously. Cyclic heat engines, in contrast, work cyclically in a time-dependent way such that the hot and the cold heat reservoirs contact with the heat engines alternately, not simultaneously. Our theory below can treat both types of heat engines in a unified manner. We can generally write the total entropy production rate $\dot{\sigma}$ of the heat engine as the entropy increase rate of the heat reservoirs as

$$\dot{\sigma} = -\frac{\dot{Q}_h}{T_h} - \frac{\dot{Q}_c}{T_c} = -\frac{\dot{W}}{T_c} + \dot{Q}_h \left(\frac{1}{T_c} - \frac{1}{T_h} \right), \quad (10)$$

where we do not need to consider the entropy increase inside the heat engine since the heat engine itself is always in a steady state or comes back to the original state after one cycle. Note that the dot denotes the quantity per unit time for steady-state heat engines and the quantity divided by the one-cycle period τ_{cyc} for cyclic heat engines. The power $P \equiv \dot{W}$ is expressed as $P = -F\dot{x}$ for steady-state heat engines where the time-independent external force F is acting on its conjugate variable x , and as $P = W/\tau_{cyc}$ for cyclic heat engines. From the decomposition $\dot{\sigma} \equiv J_1X_1 + J_2X_2$, we can define the thermodynamic force $X_1 \equiv F/T_c$ and its conjugate thermodynamic flux $J_1 \equiv \dot{x}$ for steady-state heat engines as well as $X_1 \equiv -W/T_c$ and $J_1 \equiv 1/\tau_{cyc}$ for cyclic heat engines. We can also define the other thermodynamic force $X_2 \equiv 1/T_c - 1/T_h$ and its conjugate thermodynamic flux $J_2 \equiv \dot{Q}_h$ for both types of heat engines. By using these thermodynamic fluxes and forces, the power P is rewritten as $P = -J_1X_1T_c$. We assume that these thermodynamic fluxes and forces satisfy

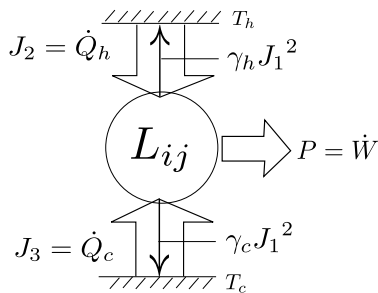


Fig. 1: Schematic illustration of the minimally nonlinear irreversible heat engine described by eqs. (14) and (15).

the following extended Onsager relations:

$$J_1 = L_{11}X_1 + L_{12}X_2, \quad (11)$$

$$J_2 = L_{21}X_1 + L_{22}X_2 - \gamma_h J_1^2, \quad (12)$$

where the features of the Onsager coefficients L_{ij} 's in eqs. (3) and (4) are assumed to hold also in eqs. (11) and (12). In eq. (12), the new nonlinear term $-\gamma_h J_1^2$ is introduced into the standard Onsager relation eq. (4) and γ_h is assumed to be a positive constant as $\gamma_h > 0$. We also assume that no other higher nonlinear terms arise in eqs. (11) and (12) by considering that the coefficients of higher nonlinear terms are too small to have effective contributions to $\dot{\sigma}$ compared to $-\gamma_h J_1^2$, as will be seen in eq. (18). In our model, we also assume that X_1 and X_2 are not restricted to small values, unlike in the linear irreversible heat engine described by eqs. (3) and (4), where the limit of $X_1 \rightarrow 0$ and $X_2 \rightarrow 0$ is taken.

Here we introduce the heat flux from the cold heat reservoir

$$\dot{Q}_c = P - \dot{Q}_h = -J_1 X_1 T_c - J_2 \equiv J_3. \quad (13)$$

Then we can rewrite the heat fluxes eqs. (12) and (13) into more suggestive and symmetrical forms as (see fig. 1)

$$J_2 = \frac{L_{21}}{L_{11}} J_1 + L_{22}(1 - q^2)X_2 - \gamma_h J_1^2, \quad (14)$$

$$J_3 = -\frac{L_{21}T_c}{L_{11}T_h} J_1 - L_{22}(1 - q^2)X_2 - \gamma_c J_1^2, \quad (15)$$

where q is defined in eq. (7) and γ_c is defined as

$$\gamma_c \equiv \frac{T_c}{L_{11}} - \gamma_h. \quad (16)$$

We assume γ_c to be a positive constant as $\gamma_c > 0$. We can use eqs. (14) and (15) to describe the heat engines instead of eqs. (11) and (12), regarding J_1 as the control parameter of the heat engines instead of X_1 since J_1 and X_1 are uniquely related through eq. (11) when X_2 is fixed. We call the heat engines described by eqs. (14) and (15) (or the extended Onsager relations eqs. (11) and (12)) the minimally nonlinear irreversible heat engines. The term ‘‘minimally’’ implies that we take into account

only $-\gamma_h J_1^2$ and $-\gamma_c J_1^2$ as the nonlinear terms. As we explain below, those terms will turn out to be the inevitable power dissipations accompanied by the finite-time motion of the heat engines.

The power $P = -J_1 X_1 T_c = J_2 + J_3$ can be rewritten as

$$P = \frac{L_{21}}{L_{11}} \eta_C J_1 - \frac{T_c}{L_{11}} J_1^2, \quad (17)$$

by adding eqs. (14) and (15). Here we immediately notice that the second terms in eqs. (14) and (15) do not contribute to eq. (17) at all. They mean just the direct heat transfer from the hot heat reservoir to the cold one, which arises in the case of the non-tight coupling condition $|q| \neq 1$. Note that similar decomposition of the power like eq. (17) is also given in [32]. The first term in eq. (17) is proportional to ΔT through η_C , meaning the power generation due to the temperature difference. On the other hand, the second term in eq. (17) remains nonzero even when $\Delta T = 0$ and means the power dissipation which should necessarily be consumed once the heat engine moves at a finite rate ($J_1 \neq 0$) regardless of how small J_1 is. The power dissipation results in the increase of the total internal energy of the heat reservoirs. When $\Delta T = 0$, this is nothing but the effect of Joule heating, which is seen if we can consider that T_c/L_{11} and J_1 in eq. (17) correspond to resistance and electric current, respectively.

To clarify the physical meaning of each term in eqs. (14) and (15) in more detail, we rewrite the total entropy production rate $\dot{\sigma} = -\dot{Q}_h/T_h - \dot{Q}_c/T_c = -J_2/T_h - J_3/T_c$ as

$$\dot{\sigma} = L_{22}(1 - q^2)X_2^2 + \frac{J_1^2}{L_{11}} - \gamma_h J_1^2 X_2, \quad (18)$$

by using eqs. (14) and (15). The first term means the entropy increase rate of the heat reservoirs due to the direct heat transfer. The second term comes from the inevitable work consumption due to the finite-time operation. The third term in eq. (18) arises due to the presence of $-\gamma_h J_1^2$ in eq. (12). In the case of the linear irreversible heat engine described by eqs. (3) and (4), the third term is suppressed and the non-negativity of $\dot{\sigma}$ restricts the Onsager coefficients L_{ij} 's to eq. (8). Even in our model, we assume that the restriction eq. (8) still holds although X_1 and X_2 are not restricted to small values. Then the non-negativity of eq. (18) is always guaranteed since it is rewritten as $\dot{\sigma} = L_{22}(1 - q^2)X_2^2 + (\gamma_h/T_h + \gamma_c/T_c)J_1^2$ by using eq. (16): the first term is always non-negative due to eq. (8) and the second one is also always non-negative due to the assumptions $\gamma_h > 0$ and $\gamma_c > 0$.

Efficiency at maximum power. – We consider the efficiency of the heat engine $\eta = W/Q_h = P/\dot{Q}_h = P/J_2$, where P and J_2 are given in eqs. (17) and (14), respectively. When X_2 and L_{ij} 's are given, the maximum power is realized at $J_1 = L_{12}X_2/2$ as a solution of $\partial P/\partial J_1 = 0$

and then we obtain the maximum power P^* and the efficiency at the maximum power η^* as

$$P^* = \frac{q^2 L_{22} \Delta T^2}{4 T_h^2 T_c}, \quad (19)$$

$$\eta^* = \frac{\eta_C}{2} \frac{q^2}{2 - q^2 (1 + \eta_C / (2(1 + \gamma_c / \gamma_h)))}, \quad (20)$$

respectively. The formula eq. (20) is the main result of this paper. We notice that it includes the formula eq. (6) of the linear irreversible heat engine as the linear term of $\eta_C \simeq \Delta T / T$ in the limit of $\Delta T \rightarrow 0$. We also notice that eq. (20) has the lower bound η_-^q and the upper bound η_+^q at a fixed q as

$$\eta_-^q \equiv \frac{\eta_C}{2} \frac{q^2}{2 - q^2} \leq \eta^* \leq \frac{\eta_C}{2} \frac{q^2}{2 - q^2 (1 + \eta_C / 2)} \equiv \eta_+^q, \quad (21)$$

by taking the asymmetrical dissipation limits $\gamma_c / \gamma_h \rightarrow \infty$ and $\gamma_c / \gamma_h \rightarrow 0$, respectively. Moreover η_+^q takes the maximum value

$$\eta_+^q \leq \frac{\eta_C}{2 - \eta_C} \equiv \eta_+, \quad (22)$$

when the tight-coupling condition $|q| = 1$ is satisfied in η_+^q . Therefore this η_+ is the upper bound of η^* for the minimally nonlinear irreversible heat engines.

We note that η_+ has also been found in the previous studies on the efficiency at the maximum power: in a finite-time heat engine model [40], where the heat fluxes are assumed to obey a specific conduction law, η_+ arises as a limiting case. In a Feynman ratchet model [41], η_+ has been obtained as Feynman efficiency under the no heat leak condition between the heat reservoirs. In a few finite-time Carnot cycle models [24, 34, 35], η_+ has been found as the upper bound of η^* in the asymmetrical dissipation limit. Finally in [32] (see also [33]), η_+ has been proved to be the upper bound of η^* in the asymmetrical dissipation limit in a general and model-independent way. But the proof in [32] is limited to the case of stochastic steady-state heat engines. Our theory can be applicable to cyclic heat engines as well and unify these previous results.

Here we stress physical importance of η_+ : if the efficiency at the maximum power of a finite-time heat engine exceeds η_+ , it implies that the heat engine works under higher degree of nonequilibrium. In fact, we can see that η^* of the finite-time Carnot cycle model of ideal gas reported in [28, 29] exceeds η_+ due to the higher nonequilibrium effect [42]. Therefore η_+ could be a criterion for determining the degree of nonequilibrium of finite-time heat engines.

Example: low-dissipation Carnot engine. – For a demonstration of the validity of our theory, we show that the low-dissipation Carnot engine [35] is described by the extended Onsager relations eqs. (11) and (12). Here the low-dissipation Carnot engine is a heat engine model proposed as a finite-time extension of the quasistatic Carnot

cycle. It assumes the specific form of the heats transferred from the heat reservoirs during the isothermal processes as

$$Q_h = T_h \Delta S - \frac{T_h \Sigma_h}{\tau_h} + \dots, \quad (23)$$

$$Q_c = -T_c \Delta S - \frac{T_c \Sigma_c}{\tau_c} + \dots, \quad (24)$$

where ΔS is the quasistatic entropy change inside the heat engine during the isothermal process in contact with the hot heat reservoir, τ_h and τ_c are the durations during the isothermal processes in contact with the hot heat reservoir and the cold one, respectively, and Σ_h and Σ_c are positive constants. We consider that the constants Σ_h and Σ_c contain the details how the engine deviates from the quasistatic limit. The assumption eqs. (23) and (24) means that the lowest deviation from the quasistatic heat should be proportional to the inverse of the duration. In a stochastic finite-time Carnot cycle model [24] analyzed by the Fokker-Planck equation, such a τ^{-1} term indeed arises [cf. eq. (16) in [24]]. In a finite-time Carnot cycle model of ideal gas analyzed by the molecular kinetic theory [28], it is also confirmed that the lowest deviation is proportional to τ^{-1} [cf. eq. (11) in [28]]. Finally such a τ^{-1} term also arises in a quantum dot Carnot engine model [34] analyzed by the master equation approach [cf. eq. (28) in [34]]. Therefore the assumption of the specific form of the heats eqs. (23) and (24) has microscopically been justified in these models. Additionally, we neglect higher order terms such as $O(\tau^{-2})$ in eqs. (23) and (24) in this low-dissipation approximation.

We can express the power $P = (Q_h + Q_c) / (\tau_h + \tau_c)$ of this engine as $P = (\Delta T \Delta S - T_h \Sigma_h / \tau_h - T_c \Sigma_c / \tau_c) / (\tau_h + \tau_c)$ by using eqs. (23) and (24). Maximizing this power by the durations τ_h and τ_c as $\partial P / \partial \tau_h = \partial P / \partial \tau_c = 0$, we find the physically relevant solutions as

$$\tau_h = \frac{2 T_h \Sigma_h}{(T_h - T_c) \Delta S} \left(1 + \sqrt{\frac{T_c \Sigma_c}{T_h \Sigma_h}} \right) \equiv \tau_h^*, \quad (25)$$

$$\tau_c = \frac{2 T_c \Sigma_c}{(T_h - T_c) \Delta S} \left(1 + \sqrt{\frac{T_h \Sigma_h}{T_c \Sigma_c}} \right) \equiv \tau_c^*. \quad (26)$$

Then by using the definition $\eta = (Q_h + Q_c) / Q_h$ and eqs. (23), (24), (25) and (26), we can obtain the efficiency at the maximum power η^* as

$$\eta^* = \frac{\eta_C \left(1 + \sqrt{\frac{T_c \Sigma_c}{T_h \Sigma_h}} \right)}{\left(1 + \sqrt{\frac{T_c \Sigma_c}{T_h \Sigma_h}} \right)^2 + \left(1 - \frac{\Sigma_c}{\Sigma_h} \right) \frac{T_c}{T_h}}. \quad (27)$$

We can easily notice that eq. (27) is bounded from the lower side and the upper side as

$$\frac{\eta_C}{2} \leq \eta^* \leq \frac{\eta_C}{2 - \eta_C}, \quad (28)$$

by taking the asymmetrical dissipation limits $\Sigma_c / \Sigma_h \rightarrow \infty$ and $\Sigma_c / \Sigma_h \rightarrow 0$, respectively [35] (see also [34] for the

derivation of these bounds in a quantum dot Carnot engine model). In [35], it is stated that observed efficiencies of various actual power plants tend to locate between these two bounds. It is also interesting to see that the same bounds were derived in a different finite-time heat engine model based on a specific heat conduction law [40]. By comparing eq. (28) with eq. (21), we may consider that the tight-coupling condition $|q| = 1$ holds in this low-dissipation Carnot engine. We can prove it by writing the extended Onsager relations of this engine explicitly as follows.

First, we consider the total entropy production rate

$$\begin{aligned}\dot{\sigma} &= -\frac{\dot{Q}_h}{T_h} - \frac{\dot{Q}_c}{T_c} = -\frac{\dot{W}}{T_c} + \dot{Q}_h \left(\frac{1}{T_c} - \frac{1}{T_h} \right) \\ &= -\frac{W}{T_c(\alpha+1)\tau_h} + \dot{Q}_h \left(\frac{1}{T_c} - \frac{1}{T_h} \right),\end{aligned}\quad (29)$$

where we have defined the parameter α as $\alpha \equiv \tau_c/\tau_h$ and the dot denotes the quantity divided by the one-cycle period $\tau_{cyc} = \tau_h + \tau_c = (\alpha+1)\tau_h$. From the decomposition $\dot{\sigma} = J_1 X_1 + J_2 X_2$, we can define the thermodynamic forces $X_1 \equiv -W/T_c$, $X_2 \equiv 1/T_c - 1/T_h$ and their corresponding thermodynamic fluxes $J_1 \equiv 1/((\alpha+1)\tau_h)$, $J_2 \equiv \dot{Q}_h$. Using eqs. (23), (24) and the definitions of the thermodynamic forces and fluxes, we can easily calculate the Onsager coefficients L_{ij} 's and the constant γ_h of this low-dissipation Carnot engine as

$$L_{11} = \frac{T_c}{(T_h \Sigma_h + T_c \Sigma_c / \alpha)(\alpha+1)},\quad (30)$$

$$L_{12} = \frac{T_h T_c \Delta S}{(T_h \Sigma_h + T_c \Sigma_c / \alpha)(\alpha+1)},\quad (31)$$

$$L_{21} = \frac{T_h T_c \Delta S}{(T_h \Sigma_h + T_c \Sigma_c / \alpha)(\alpha+1)},\quad (32)$$

$$L_{22} = \frac{T_h^2 T_c \Delta S^2}{(T_h \Sigma_h + T_c \Sigma_c / \alpha)(\alpha+1)},\quad (33)$$

$$\gamma_h = T_h \Sigma_h (\alpha+1),\quad (34)$$

respectively. γ_c is also given by

$$\gamma_c = \frac{T_c \Sigma_c (\alpha+1)}{\alpha},\quad (35)$$

by using eqs. (30), (34) and (16). We notice that the reciprocity $L_{12} = L_{21}$ surely holds from eqs. (31) and (32). Moreover we can confirm the tight-coupling condition $|q| = |L_{12}/\sqrt{L_{11}L_{22}}| = 1$ from eqs. (30), (31) and (33) as expected. We can obtain η^* of the low-dissipation Carnot engine as

$$\eta^* = \frac{\eta_C}{2} \frac{1}{2 - \left(1 + \eta_C / \left(2 \left(1 + \frac{T_c \Sigma_c}{\alpha T_h \Sigma_h} \right) \right) \right)},\quad (36)$$

by substituting $|q| = 1$, eqs. (34) and (35) into eq. (20). We can also obtain P^* as

$$P^* = \frac{\Delta S^2 \Delta T^2}{4(T_h \Sigma_h + T_c \Sigma_c / \alpha)(\alpha+1)},\quad (37)$$

by substituting $|q| = 1$, eq. (33) into eq. (19). However we notice that eq. (37) still contains the tunable parameter α and can further be maximized as $\partial P^*(\alpha)/\partial \alpha = 0$, which reduces to $\alpha = \sqrt{T_c \Sigma_c / (T_h \Sigma_h)} \equiv \alpha^*$. From eqs. (25) and (26), we can see that $\alpha^* = \tau_c^*/\tau_h^*$ holds. Substituting this α^* into eq. (36), we finally reproduce eq. (27). Therefore we can conclude that the low-dissipation Carnot engine is exactly described by the extended Onsager relations. In other words, the inclusion of the power dissipation term $-\gamma_h J_1^2$ into the Onsager relation as in eq. (12) is justified by this explicit example, whose assumptions eqs. (23) and (24) are consistent with the microscopically analyzed models [24, 34].

Summary and discussion. – We proposed the minimally nonlinear irreversible heat engine described by the extended Onsager relations, where a new nonlinear term meaning the power dissipation is added to the heat flux from the hot heat reservoir in the standard Onsager relation and no other nonlinear terms are assumed to arise. Thus our model can be regarded as a natural and minimal extension of the linear irreversible heat engine. We formulated the efficiency at the maximum power η^* of our model and showed that it is bounded from the upper side by $\eta_C/(2 - \eta_C)$. This upper bound can be attained when the heat engine satisfies the tight-coupling condition $|q| = 1$ and the asymmetrical dissipation limit $\gamma_c/\gamma_h \rightarrow 0$ is taken. As a demonstration of the validity of our theory, we explicitly wrote down the extended Onsager relations of the low-dissipation Carnot engine [35] and confirmed that it satisfies the tight-coupling condition $|q| = 1$. Though the low-dissipation Carnot engine is an example of the cyclic heat engine, we should note that the power dissipation terms arise also in a few steady-state systems [43–45], where analytical calculations of the Onsager coefficients L_{ij} 's, γ_h and γ_c are explicitly done based on a molecular kinetic theory. These calculations and the present example of the low-dissipation Carnot engine in this paper could support the validity of our theory, which treats the cyclic heat engines and the steady-state ones in the unified manner. It will be a future challenge to find the upper bound of the efficiency at the maximum power for more general irreversible heat engines with higher nonlinear terms beyond our model.

The authors thank N. Ito, K. Nemoto, M. Hoshina and S. Oono for helpful discussions. The authors also thank the Yukawa Institute for Theoretical Physics at Kyoto University. Discussions during the YITP workshop YITP-W-10-16 on "YITP Workshop 2010: Physics of Nonequilibrium Systems -Fluctuation and Collective Behavior-" were useful to complete this work. YI acknowledges the financial support from a Grant-in-Aid for JSPS Fellows (Grant No. 22-2109).

REFERENCES

- [1] CURZON F. and AHLBORN B., *Am. J. Phys.*, **43** (1975) 22.
- [2] YVON J., *Proceedings of the International Conference on Peaceful Uses of Atomic Energy*, **2** (United Nations Publications, Geneva, 1955) 337.
- [3] NOVIKOV I. I., *J. Nuclear Energy*, **7** (1958) 125.
- [4] RUBIN M. H., *Phys. Rev. A*, **19** (1979) 1272.
- [5] RUBIN M. H., *Phys. Rev. A*, **19** (1979) 1277.
- [6] LANDSBERG P. T. and LEFF H., *J. Phys. A.*, **22** (1989) 4019.
- [7] GORDON J. M., *Am. J. Phys.*, **57** (1989) 1136.
- [8] KOSLOFF R., *J. Chem. Phys.*, **80** (1984) 1625.
- [9] BENJAMIN A., *J. Appl. Phys.*, **79** (1996) 1191.
- [10] ASFAW M. and BEKELE M., *Eur. Phys. J. B*, **38** (2004) 457.
- [11] ASFAW M. and BEKELE M., *Phys. Rev. E.*, **72** (2005) 056109.
- [12] VAN DEN BROECK C., *Phys. Rev. Lett.*, **95** (2005) 190602.
- [13] GOMEZ-MARIN A. and SANCHO J. M., *Phys. Rev. E*, **74** (2006) 062102.
- [14] JIMÉNEZ DE CISNEROS B. and CALVO HERNÁNDEZ A., *Phys. Rev. Lett.*, **98** (2007) 130602.
- [15] JIMÉNEZ DE CISNEROS B. and CALVO HERNÁNDEZ A., *Phys. Rev. E*, **77** (2008) 041127.
- [16] BENJAMIN R. and KAWAI R., *Phys. Rev. E*, **77** (2008) 051132.
- [17] BENJAMIN R., arXiv: 0907.0829v1.
- [18] GAO T., ZHANG Y. and CHEN J., *Chinese Phys. B.*, **18** (2009) 3279.
- [19] BIRJUKOV J., JAHNKE T. and MAHLER G., *Eur. Phys. J. B*, **64** (2008) 105.
- [20] ALLAHVERDYAN A. E., JOHAL R. S. and MAHLER G., *Phys. Rev. E*, **77** (2008) 041118.
- [21] JOHAL R. S., *Phys. Rev. E*, **80** (2009) 041119.
- [22] RUTTEN R., ESPOSITO M. and CLEUREN B., *Phys. Rev. B*, **80** (2009) 235112.
- [23] ZHOU Y. and SEGAL D., *Phys. Rev. E*, **82** (2010) 011120.
- [24] SCHMIEDL T. and SEIFERT U., *Europhys. Lett.*, **81** (2008) 20003.
- [25] TU Z. C., *J. Phys. A*, **41** (2008) 312003.
- [26] ESPOSITO M., LINDENBERG K. and VAN DEN BROECK C., *Europhys. Lett.*, **85** (2009) 60010.
- [27] ESPOSITO M., LINDENBERG K. and VAN DEN BROECK C., *Phys. Rev. Lett.*, **102** (2009) 130602.
- [28] IZUMIDA Y. and OKUDA K., *Europhys. Lett.*, **83** (2008) 60003.
- [29] IZUMIDA Y. and OKUDA K., *Prog. Theor. Phys. Suppl.*, **178** (2009) 163-168.
- [30] IZUMIDA Y. and OKUDA K., *Phys. Rev. E*, **80** (2009) 021121.
- [31] IZUMIDA Y. and OKUDA K., *Eur. Phys. J. B*, **77** (2010) 499.
- [32] GAVEAU B., MOREAU M. and SCHULMAN L. S., *Phys. Rev. Lett.*, **105** (2010) 060601.
- [33] MOREAU M., GAVEAU B. and SCHULMAN L. S., *Eur. Phys. J. D*, **62** (2011) 67.
- [34] ESPOSITO M., KAWAI R., LINDENBERG K. and VAN DEN BROECK C., *Phys. Rev. E*, **81** (2010) 041106.
- [35] ESPOSITO M., KAWAI R., LINDENBERG K. and VAN DEN BROECK C., *Phys. Rev. Lett.*, **105** (2010) 150603.
- [36] BENENTI G., SAITO K. and CASATI G., *Phys. Rev. Lett.*, **106** (2011) 230602.
- [37] SEIFERT U., *Phys. Rev. Lett.*, **106** (2011) 020601.
- [38] ONSAGER L., *Phys. Rev.*, **37** (1931) 405.
- [39] DE GROOT S. R. and MAZUR P., *Non-equilibrium Thermodynamics* (Dover, New York) 1984.
- [40] CHEN L. and YAN Z., *J. Chem. Phys.*, **90** (1989) 3740.
- [41] VELASCO S., ROCO J. M. M., MEDINA A. and CALVO HERNÁNDEZ A., *J. Phys. D*, **34** (2001) 1000.
- [42] IZUMIDA Y., *Doctoral Thesis* (Hokkaido University) 2011.
- [43] VAN DEN BROECK C., KAWAI R. and MEURS P., *Phys. Rev. Lett.*, **93** (2004) 090601.
- [44] VAN DEN BROECK C. and KAWAI R., *Phys. Rev. Lett.*, **96** (2006) 210601.
- [45] VAN DEN BROECK M. and VAN DEN BROECK C., *Phys. Rev. Lett.*, **100** (2008) 130601.