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# **Seasonal and depth variations in molecular and isotopic alkenone composition of sinking particles from the western North Pacific**

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#### **Abstract:**

Seasonal and depth variations in alkenone flux and molecular and isotopic composition of sinking particles were examined using a 21-month time-series sediment trap experiment at a mooring station WCT-2 (39°N, 147°E) in the mid-latitude NW Pacific to assess the influences of seasonality, production depth, and degradation in the water column on the alkenone unsaturation index  $U^{K}$ <sub>37</sub>. Analysis of the underlying sediments was also conducted to evaluate the effects of alkenone degradation at the

water-sediment interface on  $U^{K}{}_{37}$ . Alkenone sinking flux and  $U^{K}{}_{37}$ -based temperature showed strong seasonal variability. Alkenone fluxes were higher from spring to fall than they were from fall to spring. During periods of high alkenone flux, the  $U^{K}{}_{37}$ -based temperatures were lower than the contemporary SSTs, suggesting alkenone production in a well-developed thermocline (shallower than 30m). During low alkenone flux periods, the  $U^{K}{}_{37}$ -based temperatures were nearly constant and were higher than the contemporary SSTs. The nearly constant carbon isotopic ratios of  $C_{37:2}$  and  $C_{38:2}$ alkenones suggest that alkenones produced in early fall were suspended in the surface water until sinking. The alkenone sinking flux decreased exponentially with increasing depth. The decreasing trend was enhanced during the periods of high alkenone flux, suggesting that fresh and labile particles sank from spring to fall, while old and stable particles sank from fall to spring. The  $U^{K}{}_{37}$ -based temperature usually increased with increasing depth. The preservation efficiency of alkenones was  $\sim 2.7 - 5.2\%$  at the water-sediment interface. Despite the significant degradation of the alkenones, there was little difference in  $U^{K}$ <sub>37</sub> levels between sinking particles and the surface sediment.

Keywords: alkenones, unsaturation index, sediment trap, the North Pacific, carbon isotopes.

## **1. Introduction**

Long-chain alkenones are biolipids in a specific group of haptophyte algae (Volkman et al., 1980), and until now, they have been reported solely from *Emiliania* and *Gephyrocapsa* (Family Gephyrocapsae) and *Chrysotila* and *Isochrysis* (Family Isochrysidaceae) (Marlowe et al., 1984; Volkman et al., 1995). In open marine environments, alkenones are thought to be produced exclusively by *Emiliania* and *Gephyrocapsa* (Marlowe et al., 1984; 1990).

Alkenone paleothermometry was proposed in the mid-1980s (Brassell et al., 1986; Prahl and Wakeham, 1987) and has been widely applied to the assessment of late Quaternary changes in sea surface temperatures (reviewed by Brassell, 1993; Müller et al., 1998). Alkenone paleothermometry uses the physiological response of the unsaturation degree of C<sub>37</sub> alkenones (expressed as  $U_{37}^{K}$  and  $U_{37}^{K}$ ) to the growth temperature. An early attempt exhibited a linear relationship between alkenone unsaturation indices and growth temperature in a batch culture experiment with an *E. huxleyi* strain (55a) from the NE Pacific (Prahl and Wakeham, 1987; Prahl et al., 1988). Nearly identical relationships were found in a comparison of the  $U^{K}{}_{37}$  of core-top and surface sediments with the mean annual temperature at 0 m in the overlying waters (e.g., Müller et al., 1998; Conte et al., 2006). The calibration equations based on these relationships have been used for assessing sea-surface paleotemperature. Recently Conte et al. (2006) showed that the surface sediment calibration differs significantly from the surface-water calibration and attributed the deviation to the combined effects of seasonality and thermocline production as well as the differential degradation of triand di-unsaturated alkenones.

The season and depth of alkenone production determine the value of sedimentary  $U^{K}$ <sub>37</sub>. It is thus necessary to evaluate the effects of these factors on paleotemperature estimation. Because the alkenones sampled by time-series sediment traps record the temperature when and where the alkenones were produced, the temperatures indicated by trapped alkenones provide a key for estimating the season and depth of alkenone production. In the northwestern Pacific, Sawada et al. (1998) reported that the

 $U^{K}$ <sub>37</sub>-based temperatures in sinking particles corresponded with the sea-surface temperatures (SSTs) 1–2 months prior to sampling at the southern margin of the Kuroshio–Oyashio mixing zone. Ohkouchi et al. (1999) argued that alkenones record the temperatures within or below the thermocline  $(\sim 150 \text{ m}$  deep) because the alkenone temperatures in surface sediments were nearly 10°C lower than annual mean SSTs in the overlying water in the subtropical Pacific. In the subarctic Pacific, Harada et al. (2006) reported that  $U^{K}$ <sub>37</sub>-based temperatures in sinking particles corresponded with the thermocline temperatures during the high export season, while they were higher than SSTs during the low export season.

Degradation of alkenones through water and sediment columns presumably affects the alkenone unsaturation index (Freeman and Wakeham, 1992; Hoefs et al., 1998; Gong et al., 1999). If this effect is relatively large, it introduces error into paleotemperature estimations that are based on the alkenone unsaturation index. Alkenone degradation through the water column is evaluated based on the decreasing trend of sinking flux with increasing depth (Müller and Fischer, 2001). Most sediment trap studies, however, have not detected this decreasing trend because of interference by the lateral influx of allochthonous alkenones to deeper traps (e.g., Sawada et al., 1998). Also, very little is known about the degradation rate of alkenones at the water-sediment interface, except for a few studies (e.g., Prahl et al., 1993; Müller and Fischer, 2001). Evaluation requires a combined data set of the sinking fluxes of alkenones through the water column and their accumulation rates in the sediment at the same location.

Lateral advection of allochthonous alkenones by strong surface currents can also alter the alkenone signal. High  $U^{K}{}_{37}$ -based temperature in the southern Indian Ocean during the last glacial maximum has been interpreted as the lateral advection of warm-water detrital alkenones by the Agulhas Current (Sicre et al., 2005). Other research has attributed the low  $U^{K}{}_{37}$ -based temperature of the suspended particulates in the Brazil-Malvinas Confluence to the lateral advection of cold-water detrial alkenones by the Malvinas Current (Rühlemann and Butzin, 2006; Conte et al., 2006).

In this study, we examined the seasonal and depth variations in alkenone flux and molecular and isotopic compositions of sinking particles using a time-series sediment trap experiment at a selected mooring station (39°N, 147°E; Fig. 1) in the mid-latitude NW Pacific to assess the influences of seasonality, production depth and degradation of alkenones in the water column on  $U^{K}$ <sub>37</sub>. Analysis of the underlying sediments was also conducted to evaluate the effects of alkenone degradation at the water-sediment interface on  $U^{K}{}_{37}$ .

The study site, station WCT-2, is located in the mixing zone between Oyashio and Kuroshio waters (Fig. 1). Cold and warm mesoscale eddies from the Oyashio and Kuroshio, respectively, develop in the mixing zone. The Oyashio–Kuroshio boundary is displaced seasonally. The southern limit of the Oyashio stays at ~38.5°N in April, gradually shifts northward to  $\sim 40^{\circ}$ N until October, and then moves more rapidly northward to ~41.5°N to December before gradually returning southward until April (Data from Japan Meteorological Agency, [http://www.data.kishou.go.jp/kaiyou/](http://www.data.kishou.go.jp/kaiyou/%20db/hakodate/knowledge/oyashio.html)  [db/hakodate/knowledge/oyashio.html](http://www.data.kishou.go.jp/kaiyou/%20db/hakodate/knowledge/oyashio.html).) The monthly mean SST ranges from ~9°C (March) to  $\sim$ 24°C (August) and averages  $\sim$ 15°C (Fig. 2a; Conkright et al., 2002). The seasonal SST change reflects both the latitudinal displacement of the Oyashio–Kuroshio boundary and the development of thermal stratification. Thermal stratification develops from summer to fall in this region (Fig. 2b). An ocean general circulation model study indicated that the average surface current velocity was  $\sim$ 10 cm/sec ( $\sim$ 8.6 km/day and  $\sim$ 260 km/month) during winters at site WCT-2 (Nonaka et al., 2006); this velocity is much lower than that of the Kuroshio Extension.

#### **2. Samples and methods**

#### *Samples*

Moored time-series sediment traps were employed at three different depths at the WCT-2 site (39°00'N, 147°00'E) in the western North Pacific from 19 November 1997 to 10 August 1999 (Table 1). The traps were set and recovered during the Western Pacific Environmental Assessment Study (WEST)  $CO<sub>2</sub>$  Ocean Sequestration for Mitigation of Climate Change (COSMIC) cruises in 1997, 1998, and 1999 aboard the R/V Daini Hakurei-maru (Mohiuddin et al., 2002). Sample cups at shallow and deep depths were replaced every 13 days from 19 November 1997 to 6 August 1998 and every 18 days from 26 August 1998 to 10 August 1999. The sample cups at middle depths were replaced every 22 days from 19 November 1997 to 10 August 1998 and every 30 days from 27 August 1998 to 10 August 1999. The cups were filled with 1% HgCl<sub>2</sub> seawater (pH =  $\sim$ 7). Recovered particles were separated into a coarse fraction  $($ >1 mm diameter) and a fine fraction  $($ <1 mm) by filtering. The coarse fraction was made up of scoriae and swimmers. The fine fraction was collected on a membrane filter (0.6 μm pore diameter), dried at 60°C for one day and milled using an agate mortar. Scoriae were picked with tweezers during grinding. The results of biogenic fluxes and foraminiferal associations at this station have been reported by Mohiuddin et al. (2002).

The multiple core CMC18, 30 cm long, was taken at 39°00.0'N, 146°56.0'E, at a depth of 5389 m during WEST COSMIC cruise NH99 of the R/V Daini Hakurei-maru on 7 August 1999. The sediments consist of dark olive brown diatomaceous silty clay from 0 to 10 cm deep and olive black diatomaceous clay with ash patches from 10 to 30 cm deep (Fig. 3). The core was sampled every 0.31 cm, and 14 samples were selected for analysis.

The age model was created using the calendar ages converted from the AMS  $^{14}$ C ages of six bulk organic matter samples  $(1380–8000$  <sup>14</sup>C yrs. BP) using CALIB4.3 software and the marine98 calibration data set (Stuiver and Reimer, 1993) with a 400-year global reservoir correction (Table 2).

#### *Analytical methods*

Alkenones were analyzed by the modified method of Yamamoto et al. (2000b). Lipids were extracted from the fine fraction by five 5-min rounds of ultrasonication with 5 ml of dichloromethane-methanol (6:4), then concentrated and passed through a short bed of  $Na<sub>2</sub>SO<sub>4</sub>$  to remove water. The lipid extract was separated into four fractions  $(F1: 3 \text{ ml of hexane}; F2: 3 \text{ ml of hexane-toluene } (3:1); F3: 4 \text{ ml of toluene}; F4: 3 \text{ ml of } (3:1); F5: 4 \text{ ml of toluene}$ toluene-methanol (3:1)) by column chromatography ( $SiO<sub>2</sub>$  with 5% distilled water; i.d., 5.5 mm; length, 45 mm). Then,  $n-C_{36}H_{74}$  was added as an internal standard into the F3 (alkenones and alkenoates) fraction.

Gas chromatography of the F3 fraction was conducted using a Hewlett Packard 5890 series II gas chromatograph (GC) with on-column injection and electron pressure control systems and a flame ionization detector (FID). Samples were dissolved in hexane. Helium was used as a carrier gas, and the flow velocity was maintained at 30 cm/s. The column used was a Chrompack CP-Sil5CB (length, 60 m; i.d., 0.25 mm; thickness, 0.25  $\mu$ m). The oven temperature was programmed from 70°C to 310°C at 20°C/min and then held at 310°C for 40 min. The standard deviations of the five

duplicate analyses averaged 0.008 for  $U^{K}{}_{37}$  (0.24 °C) and 7.5% of the concentration for  $C_{37}$  alkenones.

Gas chromatography-mass spectrometry was conducted using a Hewlett Packard 5973 gas chromatograph-mass selective detector with on-column injection and electron pressure control systems and a quadrupole mass spectrometer. The GC column, oven temperature and carrier pressure programs were the same as described above. The mass spectrometer was run in the full-scan ion-monitoring mode (*m/z* 50–650). Electron impact spectra were obtained at 70 eV. Identification of compounds was achieved by comparison of their mass spectra and retention times with those of an extract from cultured *Emiliania huxleyi* (Yamamoto et al., 2000a) and those described in the literature (e.g*.*, de Leeuw et al., 1980; Rechka and Maxwell, 1988).

The alkenone unsaturation index  $U^{K}{}_{37}$  was calculated from the concentrations of diand tri-unsaturated  $C_{37}$  alken-2-ones using the following expression (Prahl et al., 1988):

$$
U^{K'}_{37} = [C_{37:2}MK]/([C_{37:2}MK] + [C_{37:3}MK]).
$$

Temperature was calculated according to the following equation based on an experimental result for the cultured strain 55a of *Emiliania huxleyi* (Prahl et al., 1988):

$$
U^{K'}{}_{37} = 0.034T + 0.039,
$$

where  $T =$  temperature  $[°C]$ ; analytical accuracy was 0.24 $°C$  in our laboratory. Alkenone concentration is the total concentration of  $C_{37}-C_{39}$  alkenones. Alkenoate concentration is the total concentration of  $C_{37}$  methyalkenoates and  $C_{38}$  ethylalkenoate.

The F3 fraction was separated into four fractions  $(Ag-F1: 4 ml)$  of dichloromethane; Ag-F2: 12 ml of dichloromethane (3:1); Ag-F3: 4 ml of diethyl ether; Ag-F4: 4 ml of methanol) by column chromatography  $(SiO<sub>2</sub>$  with 10% argentine nitrate; i.d., 5.5 mm; length, 45 mm). Alkadienones were eluted mostly in the Ag-F2 fraction.

Combined gas-chromatography-isotope ratio-mass spectrometry (GC/IRMS) for alkadienones was carried out using a Hewlett-Packard 5890 series II gas chromatograph with a capillary column coated with DB-5MS (60m length; i.d. 0.32 mm; 0.25 μm film thickness) combined with a Finnigan MAT delta S mass spectrometer through a combustion furnace at 840°C. The sample was dissolved in toluene and then injected into the on-column injection system. The oven temperature was programmed from 50°C to 280°C at 20°C/min. and from 280°C to 310°C at 5°C/min. and then maintained at 310°C for 35 min. As internal isotopic standards,  $n-C_{37}H_{76}$ ,  $n-C_{38}H_{78}$ , and  $n-C_{41}H_{84}$  were used. Data were converted to values relative to VPDB using standard delta notation. The analysis was repeated, and the average and standard deviation of the  $\delta^{13}C$  values were calculated.

Organic carbon content was determined with a Yanaco MT-5 CHN analyzer after removal of carbonate by HCl vapor acidification in ceramic sample boats (Yamamuro and Kayanne, 1995). Carbonate content was calculated from the difference between total carbon and organic carbon contents. Opal content was analyzed using the sodium-carbonate leaching method modified from Mortlock and Froelich (1989) as described by Kawahata et al. (1998).

#### *Calculation of mass accumulation rate in core CMC18*

The accumulation rates of organic carbon (OCAR) and alkenones (Alkenone AR)

were calculated according to the following formulas:

OCAR  $(mg/cm^2/kyr) = TOC$  (%) x DBD ( $g/cm^3$ ) x LSR (cm/kyr) x 10 Alkenone AR ( $\mu$ g/cm<sup>2</sup>/kyr) = Alkenones ( $\mu$ g/g-sed.) x DBD (g/cm<sup>3</sup>) x LSR (cm/kyr)

where AR is the accumulation rate, DBD is the dry bulk density, and LSR is the linear sedimentation rate. The DBD was obtained by the following regression equation between ten measured DBDs and depth (T. Fukuhara, unpublished data):

DBD 
$$
(g/cm^3)
$$
 = 0.00780 x Depth (cm) + 0.324

### **3. Results**

#### *Sediment trap experiment*

Two seasonal cycles were observed in both the compositions and the fluxes of biogenic matter and alkenones during the 21 months from November 1997 to August 1999. Fluxes of the total fine fraction, organic carbon, calcium carbonate and opal in the shallow and deep traps have been reported by Mohiuddin et al. (2002). Tables 3 and 4 present the fluxes of the above-mentioned biogenic materials in the middle trap and the fluxes of alkenones and alkenoates, respectively.

Opal content ranged from 28% to 63% with an average of 48%, implying that diatom frustules are a major component of the sinking particles at this site. The total fine fraction, organic carbon, calcium carbonate, and opal fluxes showed strong seasonal variability in traps at each depth (Fig. 4). In 1998, biogenic fluxes began to increase in early March and reached a maximum in late June/early July except for the calcium carbonate flux in the shallow trap, which reached a maximum in early May, abruptly decreased in late July, and remained nearly constant after August. Traps at different depths showed similar variations. Fluxes increased gradually from November 1998 to January 1999 and increased more rapidly after February. The shallow trap showed double maxima, in March and May, while middle and deep traps showed a single maximum in early May. The biogenic fluxes in the middle and deep traps exceeded those in the shallow trap from April to June, which was attributed to the lateral influx of particles at deeper traps (Mohiuddin et al., 2002).

The alkenone concentration and flux also showed strong seasonal variability in traps at each depth (Figs. 5a and 5b). In 1998, the alkenone concentration and sinking flux increased abruptly in April, showed triple maxima, in mid-May, early July, and late October and decreased gradually after November to February 1999. In 1999, the alkenone concentration and sinking flux increased abruptly in mid-March and showed double maxima, in late April/early May and early July. Traps at the different depths showed similar variations except for the interval between April and May 1999, when the alkenone fluxes in the middle and deep traps exceeded those in the shallow trap. This phenomenon was consistent with the higher flux of total fine particles and biogenic materials at deeper traps during the same period (Fig. 4). The high alkenone flux from spring to fall reflects the repeated blooms of *Emiliania huxleyi* in this station (Y. Tanaka, per com.). Seasonal cycles in alkenone flux lagged behind those of the fluxes in total fine fraction and bulk biogenic components by about one month (Figs. 4 and 5b). The sinking particles were composed mainly of biogenic opal and calcium carbonate, implying that the total flux reflected mainly the sinking fluxes of diatom frustules and foraminifers. The time lag between bulk biogenic and alkenone fluxes is attributed to

the ecological succession of the blooming of alkenone-producing coccolithophores after the blooming of diatoms.

The  $U^{K}$ <sub>37</sub>-based temperature calculated using the culture calibration of Prahl et al. (1988) showed parallel changes at each depth, varying from  $12^{\circ}$ C to  $24^{\circ}$ C (Fig. 5). The temperatures calculated using the surface-water calibration of Conte et al. (2006) were generally higher than those by culture calibration, and the deviation was  $\sim 1^{\circ}C$  in warm seasons and  $\sim$ 3 $\degree$ C in cold seasons. In this study, we used the culture calibration to obtain the  $U^{K}$ <sub>37</sub>-based temperature. The  $U^{K}$ <sub>37</sub>-based temperature decreased gradually by ~3<sup>o</sup>C from November 1997 to March 1998 during the low alkenone flux period, abruptly decreased in April at the beginning of the high alkenone flux period, was minimized (10–12°C) in May 1998, and then increased until September 1998 (Fig. 5c). The temperature decreased again after October 1998 to April 1999 and then increased after late April. This change was different from the SST change (Integrated Global Ocean Services System [IGOSS] weekly SST; Reynolds et al., 2002). The  $U^{K}{}_{37}$ -based temperature was generally higher than the SST during the low alkenone flux period from fall to spring, while it was lower than the SST during the high alkenone flux period from spring to fall.

The  $\delta^{13}$ C values of heptatriacontadien-2-one  $(C_{37.2}MK)$  and octatriacontadien-2-one and 3-one  $(C_{38:2}MK + C_{38:2}EK)$  ranged from -26.5‰ to -21.9‰ and from -25.2‰ to -21.9‰, respectively (Table 5; Fig. 6). The  $\delta^{13}C$  values were nearly constant and higher than -24‰ from November 1997 to mid-March 1998. These values dropped once in early April, recovered in mid-April, were nearly constant until early May, and then decreased again from mid-May. They were generally constant during the low alkenone flux period, while they varied after the increase in alkenone flux in spring.

#### *Core CMC18*

Total organic carbon content (TOC) ranged from 0.66 to 1.51%, with an average of 0.91% (Table 6). The TOC decreased from the core top to  $\sim$ 4 cm deep ( $\sim$ 2.3 ka) and gradually decreased downward below  $\sim$ 4 cm deep ( $\sim$ 2.3 ka; Fig. 7a). The organic carbon accumulation rate (OCAR) ranged from 6.2 to 12.2 mg/cm<sup>2</sup>/kyr with an exceptionally high peak interval between  $\sim$ 2.8 and  $\sim$ 6.6 cm deep ( $\sim$ 2.2 ka and 2.4 ka; Fig. 7a). This high peak was due to the high linear sedimentation rate in this interval.

The alkenone concentration was highest at the core top, decreased abruptly downwards until 1.57 cm (~1.6 ka), and then increased with increasing depth (Fig. 7b). The decrease in alkenone concentration beneath the core top presumably resulted from diagenetic degradation. The alkenone AR showed a similar changing pattern with that of OCAR that showed a high peak interval from  $\sim$ 2.8 to  $\sim$ 6.6 cm deep (from  $\sim$ 2.2 ka to  $-2.4$  ka; Fig. 7b).

The  $U^{K}{}_{37}$ -based temperature at the core top was 14.9°C, which is nearly identical to the mean annual SST at this site (15<sup>o</sup>C, Conkright et al., 2001). The  $U^{K}{}_{37}$ -based temperature increased from  $\sim$ 9.7 ka to  $\sim$ 3.5 ka and decreased to 0.9 ka (Fig. 7c).

#### **4. Discussion**

#### *Season and depth of alkenone production*

The changes in  $U^{K}{}_{37}$ -based temperature were different from those of the contemporary SST (Reynolds et al., 2002). The  $U^{K}{}_{37}$ -based temperature was lower than the SST during the high alkenone flux period (spring to fall), while it was higher than the SST during the low alkenone flux period (fall to spring).

During periods of high alkenone flux, the  $U^{K}{}_{37}$ -based temperatures were 0–7°C lower than the contemporary SSTs (Fig. 5c). This phenomenon has been previously reported for the northern Mediterranean Sea (Ternois et al., 1997), the central Arabian Sea (Prahl et al., 2000), the subtropical North Pacific (Prahl et al., 2005; Popp et al., 2006) and the subarctic NW Pacific (Harada et al., 2006) and has been attributed to either alkenone production at the thermocline depth (Ternois et al., 1997; Harada et al., 2006) or nutrient deficiency (Popp et al., 2006). Culture experiments have shown a decrease in  $U^{K}{}_{37}$  with nutrient deficiency (Epstein et al., 1998; Conte et al, 1998a; Yamamoto et al., 2000a). Such a physiological response is associated with the increase of the alkenoate/alkenone ratio (Conte et al., 1998a; Yamamoto et al., 2000a). In this study, the variation in the alkenoate/alkenone ratio did not correspond to the deviation of  $U^{K}$ <sub>37</sub>-based temperature from SST, suggesting that nutrient deficiency is less likely to explain the deviation. Genotypic variations in alkenone producers can also alter the *U*<sup>K'</sup><sub>37</sub> (Volkman et al., 1995; Sawada et al., 1996; Conte et al., 1998a; Yamamoto et al., 2000a). However, a coccolith study showed that *Emiliania huxleyi* always predominated with a minor contribution from *Gephyrocapsa oceanica* at this station (Y. Tanaka, unpublished data), although variations at the sub-species level were not investigated; there appears to be little seasonal variation in alkenone producers. This evidence suggests that subsurface production of alkenones is more likely to explain the deviation of  $U^{K}{}_{37}$ -based temperature from SST rather than nutrient deficiency and genotypic variations. Because thermal stratification is developed after April in the western North Pacific (Fig. 2), the  $U^{K}{}_{37}$ -based temperature most likely reflects the temperature at the thermocline. The depth profile of water temperature at the study site in the summer of 1998 indicates that the  $U^{K}{}_{37}$ -based temperature corresponded to the temperature at ~30 m deep (Fig. 8). This correspondence suggests that the depth of alkenone production was ~30 m if there was no time lag between alkenone production and sinking. This estimated depth is 10 m shallower than that of the chlorophyll maximum (Fig. 8). If there was a time lag of maximum three months, the alkenone production depth must be shallower.

During periods of low alkenone flux, the  $U^{K}{}_{37}$ -based temperatures were ~1–7°C higher than the contemporary SSTs. If the surface water calibration of Conte et al. (2006) is used, the deviation is more enhanced. Higher  $U^{K}{}_{37}$ -based temperatures compared with contemporary SSTs in low alkenone flux periods have been previously reported from several regions such as the eastern North Pacific (Prahl et al., 1993), the Sargasso Sea (Conte et al., 1998b), the northwestern Mediterranean Sea (Sicre et al., 1999), the central Arabian Sea (Prahl et al., 2000), the subtropical North Pacific (Prahl et al., 2005; Popp et al., 2006) and the subarctic North Pacific (Harada et al., 2006). Conte et al. (1998b) and Sicre et al. (1999) attributed this phenomenon to the gradual sinking of alkenones that had been produced in the previous high alkenone flux period. Prahl et al. (2005) and Popp et al. (2006) suggested that the physiological response to light limitation in the deep chlorophyll maximum increased  $U^{K}{}_{37}$  during the winter flux maximum. However, no winter flux maximum was observed at our study site (Fig. 5), suggesting that the alkenone production was not significant in winter. Harada et al. (2006) proposed that alkenones produced in subtropical regions were transported into subarctic regions by the movement of meso-scale warm rings in the NW Pacific. We, however, also found higher  $U^{K}{}_{37}$ -based temperatures compared with contemporary SSTs in low alkenone flux periods at site WCT-1 mooring sediment traps (25°N, 137°E) in the subtropical Pacific (M. Yamamoto, unpublished data). This finding suggests that this phenomenon is not restricted in the subarctic region, but is common in the western North Pacific, being inconsistent with the hypothesis of Harada et al. (2006).

In this study, the  $\delta^{13}C$  value of alkenones changed in small ranges during the low alkenone flux period (Fig. 6). Alkenones in haptophyte algae are more depleted in  ${}^{13}C$ than inorganic carbon in seawater because of the preferential fixation of  ${}^{12}C$  by photosynthetic enzymes (Jasper and Hayes, 1990). Culture studies have demonstrated that the fractionation of  $\delta^{13}$ C between alkenones and inorganic carbon depends on the dissolved  $CO<sub>2</sub>$  concentration, growth rate, cellar surface area/volume ratio, and bicarbonate utilization (e.g., Laws et al., 1995, 1997; Bidigare et al., 1997; Popp et al., 1998). Thus the stable  $\delta^{13}$ C value during the low alkenone flux period, along with the stable  $U^{K}$ <sub>37</sub> value, suggests a common source of alkenones, which is consistent with the hypothesis of Conte et al. (1998b) and Sicre et al. (1999).

The gradual decrease in alkenone concentration from fall to spring suggests that the alkenones produced in early fall were suspended in the surface water during winter until sinking. When particle residence times are long enough, the lateral advection of allochthonous alkenones by strong surface currents can alter the alkenone signal (Rühlemann and Butzin, 2006; Conte et al., 2006). An ocean general circulation model study indicated that the average of surface current velocity was  $\sim$ 10 cm/sec ( $\sim$ 8.6 km/day and ~260 km/month) during winters at site WCT-2 (Nonaka et al., 2006). The subsurface current velocity must be lower than the surface velocity. This low current velocity suggests that suspended alkenones could stay near the production area during winter, although it is also possible that the warm-water detrital alkenones were supplied by the Kuroshio in winters, if the residence time of detrital alkenones was long enough.

The following two questions, however, arise from this scenario. Why were the

suspended alkenones not degraded in the oxygenated surface water? What kind of process exports the suspended alkenones from the surface to deeper water? We speculate that the formation of organo-clay complex preserves alkenones in the surface water and also generates aggregates that can sink in the water column. Lithogenic matter makes up  $\sim$ 12–38% of total fine fraction (Shimamoto, unpublished data), and its flux ranged from 12 to 37 mg/m<sup>2</sup>/d. The rate of deposition of mineral aerosol in this region is estimated to be  $\sim$ 27 mg/m<sup>2</sup>/d, based on atmospheric observations (Duce et al., 1991). This correspondence suggests that that the contribution of eolian continental dust and volcanic ash (Olivarez et al., 1991) has constantly been significant at the study site. It is therefore likely that alkenones were protected from aerobic microbial degradation by incorporation into organo-clay complexes, and the aggregation of the organo-clay complex triggers the export from the surface water. This preservation-export process might be significant during periods of low alkenone flux, whilst the marine snow and fecal pellets dominate transport during periods of high alkenone flux.

### *Depth changes of biogenic and alkenone sinking fluxes*

Biogenic and alkenone fluxes decreased exponentially with increasing depth (Figs. 9a and 9b). The biogenic fluxes were almost identical between the NH97 and NH98 intervals, while the alkenone flux in the NH97 interval was lower than that in the NH98 interval.

The relationship between sinking flux and depth is expressed by the following formulas:

 $F_Z = F_0 e^{-kZ}$ 

where *Z* is depth (m),  $F_Z$  is the sinking flux at depth *Z*,  $F_0$  is the presumed sinking flux at the sea surface, and *k* is the decomposition rate constant with depth. The half depth  $(Z_{1/2})$  is calculated using the following equation:

$$
Z_{1/2} (m) = \ln 2/k = 0.693/k
$$

Table 7 shows the calculated  $Z_{1/2}$ ,  $F_0$ , and  $F_{5389}$  (the sinking flux at the sea bottom). The half depths of biogenic, alkenone and alkenoate fluxes in the NH97 interval were nearly equal to those in the NH98 interval. The half depths of opal, calcium carbonate, and organic carbon decreased in this descending order. The half depth of alkenones was much shallower than those of bulk biogenic components, implying that alkenones were more labile than bulk biogenic components.

The ratio of alkenone flux at shallow traps to that at deep traps showed strong seasonal variation (Fig. 10b). The ratio was higher in high alkenone flux periods (spring to fall) than in low alkenone flux periods (fall to spring). This tendency implies either that the sinking rate was higher or that sinking particles were more stable during low alkenone flux periods. Sinking particles tend to be larger during blooming in general, which is inconsistent with faster sinking during low alkenone flux periods, suggesting that sinking particles were more stable during low alkenone flux periods. This implies that fresh and labile particles sink during high alkenone flux periods, while old and stable particles sink during low alkenone flux periods.

The half depth of alkenoates was much deeper than that of alkenones and was at the same level as the bulk biogenic components (Table 7). The abundance ratio of alkenoates to alkenones consistently increased with increasing depth (Fig. 9c). This trend implies that alkenoates are more stable than alkenones, which is consistent with the conclusion of Prahl et al. (1993).

The abundance ratios of alkenoates to alkenones in late 1998 and 1999 were higher than those in previous periods (Fig. 10a). Conte et al. (1998a) and Yamamoto et al. (2000a) reported an increase in the ethylalkenoate/alkenone ratio with nutrient deficiencies in cultured strains of *Emiliania huxleyi* and *Gephyrocapsa oceanica*. Goes et al. (2001) found that the estimated nitrate concentration in March 1998 was higher than that in March 1999 in the Kuroshio–Oyashio transition because of the El Niño influence in 1998. The nutrient supply in this region is driven by the winter mixing of the ocean surface water, which is closely related to the Aleutian Low (Goes et al., 2001). The Aleutian Low is enhanced in El Niño conditions by the excitation of the Pacific–North America teleconnection pattern (Wallace and Gutzler, 1981). These results suggest that the high alkenoate/alkenone ratio in 1998 may have reflected the physiological response of alkenone producers to nutrient enrichment, which was presumably related to the El Niño.

A comparison of organic carbon flux at the bottom of the water column with the core-top OCAR of Core CMC18 provides the preservation efficiency of organic carbon at the water-sediment interface. The organic carbon flux at the bottom of the water column estimated by the sediment trap was 3.5 and 4.3 mg/m<sup>2</sup>/d during the NH97 and NH98 sampling intervals, respectively (Table 7). The NH97 interval did not cover an entire year and did not include the autumn high flux season; thus the organic carbon flux was underestimated. The NH98 interval covered an entire year but also included periods of significant lateral influx into deeper traps; thus the organic carbon flux was

overestimated. Because the core-top OCAR of Core CMC18 was 8.7 mg/cm<sup>2</sup>/kyr (0.24)  $mg/m^2/d$ ; Table 6), the preservation efficiency of organic carbon is estimated to be  $~5.5–6.7\%$ .

In the same way, the preservation efficiency of alkenones can be obtained. Fluxes of alkenone sinking to the bottom of the water column were estimated by the sediment trap experiment as 0.79 and 1.54  $\mu$ g/m<sup>2</sup>/d for the NH97 and NH98 sampling intervals, respectively (Table 7). Because the core-top alkenone AR was 1.50  $\mu$ g/cm<sup>2</sup>/kyr (0.041  $\mu$ g/m<sup>2</sup>/d; Table 6), the preservation efficiency of alkenones is estimated to be  $-2.7 - 5.2\%$ .

#### *Changes in the alkenone unsaturation index through water and sediment columns*

The flux-weighted average  $U^{K}$ <sub>37</sub>-based temperature (integrated production temperature, IPT; defined by Conte et al., 1992) showed complex trends with depth (Fig. 9d). The IPT constantly increased with increasing depth in the NH97 sampling interval, while it increased slightly from shallow to middle traps and decreased to the deep trap in the NH98 sampling interval. The  $U^{K}$ <sub>37</sub>-based temperature in the shallow trap was always lower than that in the deep traps in the NH97 interval, while this was not the case in the NH98 interval (Fig. 5c). The temperature at shallow traps was often higher from September to December 1998 and April to July 1999 (Fig. 10c). The lower  $U^{K}$ <sub>37</sub>-based temperature at the deep trap in these periods resulted in the decreasing trend of IPT in the NH98 interval.

The downward increase in  $U^{K}{}_{37}$ -based temperature observed in most periods suggests that the  $U^{K}{}_{37}$  is affected by alterations in the water column and to the preferential degradation or dissolution of tri-unsaturated alkenone relative to di-unsaturated alkenone. Although most studies showed that the degradation of alkenones does not change  $U^{K}{}_{37}$  (e.g., Bentaleb et al., 1999; Sicre et al., 1999; Grimalt et al., 2000), Freeman and Wakeham (1992) argued that the degradation of alkenones increases  $U^{K}$ <sub>37</sub> in the water column. With regard to the lower  $U^{K}$ <sub>37</sub>-based temperature at deep traps, the larger total fine flux in deeper traps in some intervals during these periods suggests the possibility of a lateral influx of alkenones produced in cooler waters to deeper traps.

The IPT at the deep trap was 14.5°C in the NH98 interval, which is 0.4°C lower than the  $U^{K}$ <sub>37</sub>-based temperature at the core top (14.9 $^{\circ}$ C). This difference of estimated temperatures between deep-trap and core-top sediment ranged within the error of alkenone paleothermometry (Prahl et al., 1988). This result indicates that the degradation at the water-sediment interface did not affect the  $U^{K}{}_{37}$ , an observation that is consistent with previous observations (Prahl et al., 1993; 2001; Müller and Fischer, 2001).

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Table Captions:

- Table 1 Location, sampling periods and trap depths of the WCT-2 mooring sediment trap system
- Table 2 The  $^{14}$ C ages of bulk organic matter from core CMC18 at 39°00'N, 146°56'E
- Table 3 Contents and sinking fluxes of biogenic components in the middle trap from November 1997 to August 1999 at site WCT-2
- Table 4 Concentrations and sinking fluxes of alkenones and alkenoates and  $U^{K}{}_{37}$  from November 1997 to August 1999 at site WCT-2
- Table 5 Carbon isotopic compositions of heptatriacontadien-2-one  $(C_{37:2}MK)$  and the mixture of octatriacontadien-2-one and octatriacontadien-3-one  $(C_{38:2}MK & EK)$ collected in the shallow trap from November 1997 to August 1998 at site WCT-2.

Table 6 Concentrations and accumulation rates of organic carbon, alkenones and

alkenoates and  $U^{K}$ <sub>37</sub> in core CMC18 at 39°00'N, 146°56'E

Table 7 Sinking fluxes of total fine particles, biogenic materials, alkenones and alkenoates and integrated production temperatures at site WCT-2

Figure Captions:

Fig. 1 Map showing the location of the study site (WCT-2).

Fig. 2 (a) Monthly mean temperatures at various depths. (b) The depth profiles of temperature in different seasons at the WCT-2 site (39°N, 147°E).

Fig. 3 Lithology of multiple-core CMC18 at 39°00'N, 146°56'E.

Fig. 4 Changes in the sinking fluxes of (a) the total fine fraction of less than 1-mm diameter, (b) organic carbon (OC), (c) calcium carbonate  $(CaCO<sub>3</sub>)$  and (d) opal from November 1997 to August 1999 at site WCT-2. Data for shallow and deep traps are from Mohiuddin et al. (2002).

Fig. 5 Changes in (a) the concentration and (b) sinking flux of alkenones and (c)  $U^{K'}_{37}$ -based temperature from November 1997 to August 1999. The IGOSS weekly SSTs at 39°N, 147°E are from Reynolds et al. (2002).

Fig. 6 Changes in the  $\delta^{13}C$  of heptatriacontadien-2-one  $(C_{37.2}MK)$  and

octatriacontadien-2-one and 3-one  $(C_{38:2}MK&EK)$  and the alkenone sinking flux in the shallow trap from November 1997 to August 1998.

Fig. 7 (a) Total organic carbon contents (TOC) and accumulation rates (OCAR), (b) alkenone concentrations and accumulation rates and (c)  $U^{K}{}_{37}$ -based temperatures in core CMC18.

Fig. 8 Depth profiles of the measured temperature and chlorophyll a concentration (Chl. a) when the trap was recovered on 26 August 1998. The  $U^{K}{}_{37}$ -based temperature of the cup from 24 July to 6 August 1998 and the expected production depth (EPD) are also presented.

Fig. 9 Depth changes in the (a) biogenic fluxes, (b) alkenone flux, (c) alkenoate/alkenone ratio and (d) flux-weighted average  $U^{K}$ <sub>37</sub>-based temperature (IPT defined by Conte et al., 1992).

Fig. 10 Changes in (a) the ratio of alkenoates to alkenones, (b) the ratio of alkenone flux in the shallow traps to that in deep traps, and (c) the difference of  $U^{K}{}_{37}$ -based temperature between shallow and deep traps from November 1997 to August 1999.









 $OC = organic carbon$ 



# Table 4 continue



A&A = alkenones and alkenoates.

NA = not analyzed because of the lack of sample.



No.: number of repeated measurements

AV: average

STD: standard deviation

STE: standard error.





 $\text{TOC} = \text{total organic carbon}$ 

 $OCAR = organic carbon accumulation rate$ 

 $AR = accumulation rate$ .



OC = organic carbon IPT = Integrated production temperature, defined by Conte et al. (1992).



Fig. 1



Fig. 2



Fig. 3











Fig. 6



Fig. 7



Fig. 8







Fig. 10