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High loadings and source strengths of organic aerosols in China Gehui Wang^{1, 2,}, Kimitaka Kawamura¹*, Tomomi Watanabe¹, Shuncheng Lee³, Kinfai Ho³, Junji Cao⁴ ¹Institute of Low Temperature Science, Hokkaido University, Kita 19, Nishi 8, Kita-ku, Sapporo 060- 0819, Japan ²School of the Environment, State Key Laboratory of Pollution Control and Resources Reuse, Nanjing University, Nanjing 210093, China ³Department of Civil and Structural Engineering, Hong Kong Polytechnic University, Hong Kong, China ⁴Institute of Earth Environment, Chinese Academy of Sciences, Xi'an, China *Corresponding author phone: 81-11-706-5147; fax: 81-11-706-7142, E-mail: kawamura@lowtem.hokudai.ac.jp Submitted to Geophysical Research Letters on September 9, 2006 (revised version)

41	Abstract: Nation-wide studies of organic aerosols were conducted on a molecular level in
42	15 Chinese cities. The results showed strikingly high levels of organic compounds (e.g.,
43	annual concentrations of polycyclic aromatic hydrocarbons, phthalates, sugars and diacids
44	are 110, 370, 400 and 830 ng m ⁻³ , respectively), especially in the mid-west region during
45	winter (up to 125 μ g m ⁻³ organic carbon). Fossil fuel combustion and/or biomass burning
46	products are 3–30 times more abundant in winter than in summer. In contrast, significant
47	quantity of phthalates (168–2200 ng m ⁻³) was detected in summer. Concentrations of the
48	pollutants are generally 1–3 orders of magnitude higher than those in developed countries.
49	Their source strengths are characterized in winter by fossil fuel combustion, followed by
50	secondary oxidation, plant wax emissions and biomass burning, whereas in summer by
51	secondary oxidation, followed by fossil fuel combustion and plastic emissions.
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64 **1. Introduction**

65 One fourth of primary anthropogenic organic aerosols on the globe is generated in 66 China [Cooke et al., 1999]. A steep increase in the concentrations of NOx, volatile organic compounds, particles, and ozone has been considered as the result of heavy usage of coals 67 68 and the rapid growth of the number of vehicles in the urban areas, especially in the mega-69 cities [Akimoto, 2003; Richter et al., 2005]. China is the largest user of coal in the world, 70 consuming 1.2 billion tons of coal in 2002, most of which are burned without efficient 71 controls. Its annual usage is predicted to increase by 3 times in 2020 [Aldhous, 2005]. 72 Traditional Chinese style of domestic energy utilization (i.e., coal and biofuel usage) 73 combined with its vigorous expansion of economy and number of motor vehicles make its 74 air pollution problems different from those of any other countries in the world. 75 To better understand the current status of air pollution in China, a nation wide 76 survey of organic aerosols was conducted on molecular levels in its 15 cities during 77 summer and winter. Here, we highlight the anthropogenic compounds (i.e., polycyclic 78 aromatic hydrocarbons (PAHs), phthalates, and biomass burning products) and 79 photochemical oxidation products (i.e., dicarboxylic acids) and discuss their molecular 80 compositions, seasonal and spatial distributions, and source strengths. 81 2. Experiment 82 PM_{2.5} atmospheric aerosols were collected for 24hr using pre-combusted quartz 83 filter. Two days of the samplings in 14 Chinese cities except Nanjing were simultaneously performed on January 13th and 14th, 2003 for winter campaign, but on 84 85 different days for summer campain in June/July, 2003 to avoid any wet deposition. While

- 86 a week term of aerosol collection in Nanjing was conducted in summer and winter 2004,
- 87 respectively. The filter aliquot was extracted with a mixture of methanol/dichloromethane

88 (2:1, v/v), followed by concentration, and derivatization with N,O-bis-

89 (trimethylsilyl)trifluoroacetamide prior to GC/MS determination. Another aliquot of the 90 sample was extracted with pure water to isolate low molecular weight dicarboxylic acids, 91 which were concentrated and reacted with BF_3/n -butanol before GC analysis. More details 92 about the sample collection and determination can been seen in the previous papers

93 [Kawamura and Yasui, 2005; Wang et al., 2006a; Wang et al., 2006b].

94 **3. Results and discussion**

A total of 129 organic compounds were detected, including *n*-alkanes (C_{16} - C_{31}), 95 96 PAHs (18 species), hopanes (C₂₇-C₃₂), phthalates (6 species), fatty acids (C₁₀-C₃₄), fatty alcohols (C_{12} – C_{32}), sterols (4 species), lignin and resin products (3 species), sugars (8 97 98 species), polyols/polyacids (4 species), and dicarboxylic acids (C₂–C₁₁). Levoglucosan 99 was found as the dominant species in winter, followed by oxalic, octadecenoic and 100 hexadecanoic acids, whereas oxalic acid was found as the dominant in summer, followed 101 by bis(2-ethylhexyl)phthalate, hexadecanoic acid and dibutyl phthalate. Concentrations of 102 the organic compounds detected in Chinese aerosols are 1-3 orders of magnitude higher 103 than those in developed countries, especially in cold seasons due to the usage of coal for 104 house heating (Table 1).



107 1a). Their concentrations are generally 1–2 orders of magnitude higher than those reported

108 in Los Angeles (12 ng m⁻³) [*Rogge et al.*, 1993b], London (17 ng m⁻³) [*Baek et al.*, 1992],

109 and Tokyo (20 ng m⁻³) [*Kawamura*, 1989]. The highest concentrations were obtained in

110 the mid-west China, i.e., Xi'an and Chongqing (Figure 1a). In winter,

benzo[*b*]fluoranthene (BbF) was found as the dominant PAH in all the cities studied (56.8 $\pm 53.4 \text{ ng m}^{-3}$), accounting for $30.1 \pm 4.9 \%$ of Σ PAHs. In summer, BbF was also the most abundant ($9.3 \pm 14.3 \text{ ng m}^{-3}$), except for Hong Kong, Xiamen and Jinchang. Indeno[1,2,3 *cd*]pyrene (IP) and benzo[*ghi*]perylene (BghiP) were the second most abundant PAHs in both seasons.

116 Coals are commonly used in China for heating and cooking, in which combustion 117 efficiency is very low. Around 5000 tons of PAHs were emitted in 2000 from combustion 118 of Chinese household honeycomb-briquette that are made of coal, in which BbF gives the 119 highest emission factor [Chen et al., 2005]. BbF was found as the dominant PAH in the 120 soot deposits from coal-burning stoves in China [Wornat et al., 2001]. Previous PAH 121 studies also showed the predominance of BbF in Chinese continental [Guo et al., 2003; 122 Wang et al., 2006a] and coastal marine aerosols [Simoneit et al., 2004b]. All the data 123 including those in this study (except the Hong Kong summer samples) demonstrated that 124 BbF is the most abundant PAH in aerosols all over China mainly due to incomplete 125 combustion of coals. This is different from the cases in other countries, where PAHs are 126 largely derived from incomplete combustion of petroleum, and IP or BghiP is the 127 dominant PAH [Menichini et al., 1999; Rogge et al., 1993a]. PAHs ratios are also used to 128 discuss the sources of combustion-derived PAHs [Yunker et al., 2002]. Ratio of BbF/(IP + BghiP) in the 15 Chinese cities was 1.2 ± 0.4 in summer and 1.8 ± 0.4 in winter. They are 129 130 much higher than those reported in developed countries (e.g., 0.3 ± 0.2 in USA) [Rogge et 131 al., 1993al, further suggesting the difference in the PAH sources between China and other 132 countries.

Extremely high levels of phthalates (up to 2200 ng m⁻³) dominated by bis(2-133 134 ethylhexyl), dibutyl and diisobutyl phthalates are detected in the aerosols, especially in 135 hot seasons (Table 1), probably due to an enhanced evaporative release from plastics, 136 followed by adsorptive deposition on pre-existing particles (Figure 1b). Phthalate 137 concentrations in Chinese aerosols are 1-3 orders of magnitude higher than those in Belgium [Kubátová et al., 2002], Sweden [Thuren and Larsson, 1990] and France [Teil et 138 139 al., 2006] (Table 1 and Figure 1b). Phthalates are carcinogenic and endocrine-disrupting, 140 and PAHs are mutagenic/carcinogenic as well. Their ubiquitous and abundant occurrences 141 in the Chinese atmosphere may have a significant adverse impact on the local human 142 health.

Levoglucosan (dehydrated sugar) is a major burning product of cellulose, whereas dehydroabietic acid is produced by burning conifer resin. Concentrations of these biomass burning products in winter were found to be several times greater than those in summer (see Figure 1c, only for levoglucosan). In mid-west cities (Chongqing and Xi'an), their wintertime concentrations are much higher than those reported in USA, Belgium and Amazonia [*Simoneit et al.*, 2004a]. This study clearly shows that biofuel combustion is another important source for organic aerosols in China.

Homologous dicarboxlylic acids (C_2 - C_{11}) were detected in the aerosol, dominated with oxalic acid followed by malonic and succinic acids. Their concentrations (200–2150 ng m⁻³, average 840 ng m⁻³) are lower than those from Los Angeles in 1984 [*Kawamura and Kaplan*, 1987], but are similar to those from Amazonia in 1999 [*Graham et al.*, 2002] and Tokyo in 1989 [*Kawamura and Yasui*, 2005] (Table 1 and Figure 1d). Diacids are more abundant in summer than in winter in most north cities and vice versa in most south

156 cities. Diacids can be produced primarily from vehicular exhaust, but the major portion is 157 secondary oxidation products of the organic precursors [Kawamura and Kaplan, 1987; 158 Kawamura and Yasui, 2005]. Ratios of total diacids on a carbon basis to organic carbon in 159 the 15 cities were all higher in summer $(6.8 \pm 2.5\%)$ and lower in winter $(4.0 \pm 2.0\%)$, 160 indicating the enhanced photochemical oxidation in summer. The more abundant 161 wintertime diacids in the south cities were probably caused by the accumulation within the 162 inversion layers that are frequently developed in winter. Diacids and sugars are water-163 soluble, and thus have been recognized as active cloud condensation and ice formation 164 nuclei [Sun and Ariya, 2006]. 165 Based on the organic tracers mentioned above and the classification by Simoneit et 166 al [Simoneit et al., 2004b], averaged source strengths of organic matter in aerosols from 167 the 15 cities are evaluated as follows. Firstly, contribution of fossil fuel usage (coal and 168 petroleum) was defined as the sum of coal and petroleum derived *n*-alkanes, UCM, PAHs 169 and hopanes. Polyacids and dicarboxylic acids were classified as secondary oxidation. 170 Terrestrial natural background was defined as the sum of plant wax alkanes and higher 171 molecular weight (HMW) fatty acids ($C \ge 15$) and alcohols ($C \ge 22$). The biomass burning contribution was calculated as the sum of levoglucosan, lignin and resin products, and 172 173 sterols (e.g., β -sitosterols and ergosterol). Phthalates were categorized as plastics, while 174 primary saccharides and reduced sugars were classified into the soil category. Finally, 175 contribution of marine natural background was defined as the sum of lower molecular 176 weight (LMW) fatty acids and alcohols, since the LMW fatty acids and alcohols were 177 undetectable in most cases for the inland samples.

178 We found that fossil fuel usage is the dominant source in winter (Figure 2), 179 contributing to nearly 50 % of total identified compound mass (TCM), followed by 180 secondary oxidation products, terrestrial plant emissions, and biomass burning (Figure 2). 181 As discussed above, coal burning is the overwhelming source of organic aerosols in winter, 182 although numbers of automobiles have rapidly increased these days. In contrast, secondary 183 oxidation (i.e., diacids) were found as the most important source of summer organic 184 aerosols, contributing one third of TCM, followed by fossil fuel combustion, plastic 185 evaporation, and terrestrial plant emissions. The important contributions of photochemical 186 oxidation of organic precursors have been reported on urban aerosols from Tokyo and Los 187 Angels [Kawamura and Yasui, 2005; Schauer et al., 1996]. Large contribution of plastic 188 materials to summer organic aerosols may be characteristic to Chinese aerosols.

189 **4.** Conclusions

190 A heavy loading of organic pollutants has been confirmed as a common 191 phenomenon in many regions of China, not only in the economically developed areas near 192 the coast but also in the mid and western regions. However, it was found to be different 193 from the satellite observation of tropospheric nitrogen dioxide over China [Richter et al., 194 2005], whose column concentrations maximized in the economically developed eastern 195 part mainly due to the intensified emissions of vehicular exhaust. The ground surface 196 observations demonstrated that the Chinese organic aerosols are characterized by fossil 197 fuel and biofuel burning in winter and secondary oxidation products in summer. The high 198 loadings of Chinese organic aerosols probably influence the local human health and 199 regional/global climate in a significant manner.

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Figure Captions

Figure 1. Seasonal and spatial distributions of organic compounds in the aerosols from 15 Chinese cities (ng m^{-3}).

Figure 2. Source strengths of organic matter in aerosols from 15 Chinese cities.

Compound	winter		summer		Comparison with other cities and regions	
	range	mean	range	mean		
n-Alkanes(C ₁₆ -C ₃₁)	195-1430	516	10-328	138	69, Los Angeles [Rogge et al., 1993a]	
UCM ^a	784-6050	2200	37-1520	586	880, Tokyo [Kawamura et al., 1995]	
PAHs	14-701	198	2-168	29	12, Los Angeles [<i>Rogge et al.</i> , 1993a] 17, London [<i>Baek et al.</i> , 1992] 20, Tokyo [<i>Kawamura</i> , 1989]	
Hopanes(C_{27} - C_{32})	3-60	18	0-15	3.1	2.6, Miami, Florida [Lang et al., 2002]	
Phthalates	62-445	196	168-2200	551	8.2, Paris [Teil et al., 2006]	
Fatty acids (C ₁₀ -C ₃₄)	318-3240	1020	155-876	457	317, Los Angeles [<i>Rogge et al.</i> , 1993a] 330, Tokyo [<i>Kawamura et al.</i> , 2003]	
Fatty alcohols $(C_{12}-C_{32})^{b}$	6-527	91	3-42	21		
Sterols	11-1450	297	0-81	19		
Lignin & resin products	5-333	63	1-53	9		
Sugars	64-3240	675	9-735	130	1920, Amazonia [Graham et al., 2002]	
Polyols & polyacids	33-439	120	41-195	110		
Diacids (C_2 - C_{11})	315-1920	867	198-2150	796	1870, Los Angeles [Kawamura and Kaplan, 1987]678, Amazonia [Graham et al., 2002]636, Tokyo [Kawamura and Yasui, 2005]	

Table 1. Concentrations of organic compounds in aerosols ($PM_{2.5}$) from 15 Chinese cities, and comparison with other cities and regions in the world (ng m⁻³⁾

^aUCM: unresolved complex mixture of hydrocarbons; ^bfatty alcohols with even carbon number.

Figure 1. (Wang et al.)



Figure 2 (Wang et al.)





- ☑ Fossil fuel usage
- Terrestrial natural background
- Plastics
- □ Marine natural background
- Secondary oxidation
- Biomass burning
- Soil suspension