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**Abstract:** Previous studies in animals have shown an increase of hydroxytyrosol (OHTyr), a potent phenolic antioxidant and a minor metabolite of dopamine (also called 3,4-dihydroxyphenylethanol or DOPET), after ethanol intake. The interaction between ethanol and dopamine metabolism is the probable mechanism involved. The aim of the study was to establish the contribution of the dose of ethanol on OHTyr formation. 24 healthy male volunteers were included. Subjects were distributed in three different cohorts and each volunteer received two doses of ethanol or placebo. Doses of ethanol administered were 6, 12, 18, 24, 30 and 42g. Study design was double-blind, randomized, crossover and controlled. Hydroxytyrosol, tyrosol (Tyr), 3,4-dihydroxyphenylacetic acid (DOPAC), and homovanillic acid (HVA) urinary excretion, ethanol plasma concentrations and drunkenness were evaluated along a 6-hour period. Urinary excretion of OHTyr and Tyr increased with ethanol administered dose. A reduction in the ratio DOPAC/OHTyr from placebo to the highest dose was observed, compatible with a shift in the dopamine metabolism to preferentially produce OHTyr instead of DOPAC. Also a dose-dependent increase in plasma ethanol concentrations and subjective effects was observed. This study demonstrates an endogenous production of OHTyr and Tyr in relation to ethanol administered dose in humans. Biological effects of both phenols from this source should be investigated in future studies.

1 **TITLE**

2 **Ethanol induces hydroxytyrosol formation in humans**

3

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25

26 **Abstract**

27 Previous studies in animals have shown an increase of hydroxytyrosol  
28 (OHTyr), a potent phenolic antioxidant and a minor metabolite of dopamine  
29 (also called 3,4-dihydroxyphenylethanol or DOPET), after ethanol intake. The  
30 interaction between ethanol and dopamine metabolism is the probable  
31 mechanism involved. The aim of the study was to establish the contribution of  
32 the dose of ethanol on OHTyr formation. 24 healthy male volunteers were  
33 included. Subjects were distributed in three different cohorts and each  
34 volunteer received two doses of ethanol or placebo. Doses of ethanol  
35 administered were 6, 12, 18, 24, 30 and 42g. Study design was double-blind,  
36 randomized, crossover and controlled. Hydroxytyrosol, tyrosol (Tyr), 3,4-  
37 dihydroxyphenylacetic acid (DOPAC), and homovanillic acid (HVA) urinary  
38 excretion, ethanol plasma concentrations and drunkenness were evaluated  
39 along a 6-hour period. Urinary excretion of OHTyr and Tyr increased with  
40 ethanol administered dose. A reduction in the ratio DOPAC/OHTyr from  
41 placebo to the highest dose was observed, compatible with a shift in the  
42 dopamine metabolism to preferently produce OHTyr instead of DOPAC. Also a  
43 dose-dependent increase in plasma ethanol concentrations and subjective  
44 effects was observed. This study demonstrates an endogenous production of  
45 OHTyr and Tyr in relation to ethanol administered dose in humans. Biological  
46 effects of both phenols from this source should be investigated in future  
47 studies.

48

49 **Keywords**

50 Alcohol, dopamine, hydroxytyrosol, DOPET, tyrosol

51 **Abbreviations**

52	4-HPAA	4-hydroxyphenylacetic acid
53	AE	adverse events
54	AUC <sub>0-6h_c</sub>	area under the blood concentration curve from 0 to 6h
55	AUC <sub>0-6h_e</sub>	area under the curve for effects (drunkenness) from 0 to 6h
56	C <sub>max</sub>	maximum blood alcohol concentration
57	DA	dopamine
58	DOPAC	3,4-dihydroxyphenylacetic acid
59	DOPAL	3,4-dihydroxyphenylacetaldehyde
60	DOPET	3,4-dihydroxyphenylethanol
61	EIA	enzyme immunoassay
62	E <sub>max</sub>	maximum alcohol effect (drunkenness)
63	GCMS	gas chromatography mass spectrometry
64	HDL	high density lipoprotein
65	HVA	homovanillic acid
66	HPLC/MS/MS	liquid chromatography coupled to tandem mass spectrometry
67	LDL	low density lipoprotein
68	OHTyr	hydroxytyrosol
69	t <sub>max_c</sub>	time to reach maximum blood alcohol concentration
70	t <sub>max_e</sub>	time to reach maximum effect (drunkenness)
71	Tyr	tyrosol
72	VAS	visual analog scale
73		
74		
75		

76 **1. Introduction**

77 Accumulating scientific evidence indicates that light to moderate drinking done  
78 on a daily basis may significantly reduce the risks of coronary heart disease  
79 (CHD) and all-cause mortality [1-3]. A J-shaped relationship describes the  
80 association between alcohol and total mortality. Ethanol doses higher than 4  
81 drinks per day in men or 2 drinks per day in women are associated with  
82 increased risk of medical complications and death [1].

83 Moderate alcohol consumption is thought to be protective because improves  
84 insulin sensitivity, reduces several coagulation factors and inflammation,  
85 increases fibrinolytic capacity and also rises high density lipoprotein (HDL)  
86 cholesterol concentrations in a dose dependent manner [4,5]. However,  
87 mechanisms involved are poorly understood and controversy still exists  
88 regarding if beneficial effects are primarily attributable to ethanol [6,7], to  
89 polyphenols or to both components in some alcoholic beverages, like wine [8].

90 Hydroxytyrosol (OHTyr) is the main phenol present in olive oil and also in minor  
91 quantities in wine [9]. It is one of the most potent antioxidants present in the  
92 Mediterranean Diet. In the EUROLIVE study, oxidative stress markers including  
93 oxidized low-density lipoprotein levels, decreased linearly with the increasing  
94 phenolic content (including OHTyr) of olive oil [10]. According to these data a  
95 health claim was released by the European Food Safety Authority (EFSA) for  
96 the consumption of 5 mg per day of OHTyr and its derivatives in olive oil [11] as  
97 protective of LDL particles from oxidative damage. In terms of safety it has been  
98 shown in vitro that OHTyr is non-genotoxic and non-mutagenic at  
99 concentrations exceeding those attainable after intake [12].

100 Data from a bioavailability study of resveratrol after red wine administration in  
101 healthy volunteers showed a recovery of substantial amounts of OHTyr that  
102 could not be explained by the small quantities contained in wine. A 200% of the  
103 administered dose was recovered in urine suggesting OHTyr endogenous  
104 formation after wine intake [9].

105 Furthermore, in a subsample (n=1009) of a large intervention clinical trial,  
106 intended at demonstrating the effects of a Mediterranean-style Diet on primary  
107 prevention of cardiovascular disease, it was observed that baseline OHTyr  
108 urinary concentrations correlated with wine consumption , but also with ethanol  
109 ingestion [13].

110 Previous studies in animals have shown an increase of DOPET (3,4-  
111 dihydroxyphenylethanol, OHTyr) formation, a minor metabolite of dopamine  
112 (DA), due to the presence of ethanol [14,15]. In a study with liver slices the  
113 addition of ethanol changed the ratio DOPAC (3,4-dihydroxyphenylacetic  
114 acid)/OHTyr from 10 to 0.25, compatible with a shift in DA metabolism from the  
115 oxidative pathway to produce DOPAC to the reductive one to produce OHTyr  
116 [16]. Other routes for OHTyr production had also been described in animals  
117 through the conversion of DOPAC to OHTyr via DOPAC reductase [17] or  
118 through DOPAL oxidation via an aldehyde reductase (ADR) [18]. MOPET (4-  
119 hydroxy-3-methoxyphenylethanol or HVAL) is the methylated metabolite of  
120 OHTyr while homovanillic acid (HVA) is the main metabolite of DOPAC. While  
121 OHTyr and HVAL are present physiologically in low concentrations in biological  
122 matrices DOPAC and HVA are more abundant and the last one is a typical  
123 biomarker of dopamine turnover. See in **Figure 1** a general description of all  
124 components involved in dopamine metabolism.

125 On the other hand, ethanol is converted to acetaldehyde by hepatic oxidative  
126 metabolism in a reaction regulated by alcohol dehydrogenase (ADH). In turn  
127 acetaldehyde is converted in acetic acid (acetate) by acetaldehyde  
128 dehydrogenase (ALDH). Both reactions produce reduced nicotinamide adenine  
129 dinucleotide (NADH). The reductive environment created is thought to be  
130 responsible for the change in the aldehyde (DOPAL) metabolism enhancing the  
131 formation of the alcohol derivative (OHTyr or DOPET) instead of the acid one  
132 (DOPAC) [16]. A similar shift was also observed for serotonin, where the  
133 alcohol metabolite 5-hydroxytryptophol was preferably produced after ethanol  
134 intake instead of 5-hydroxyindolacetic acid [19].

135 Taking into account the studies with ethanol conducted in animals and  
136 preliminary data obtained with wine in humans it was hypothesized that the  
137 interaction of ethanol (also present in wine) with the metabolism of DA to  
138 produce OHTyr, could explain, at least in part, the human beneficial health  
139 effects of low doses of ethanol [9].

140 Tyrosol (Tyr or 2-(4-hydroxyphenyl)ethanol) is also a well-known phenolic  
141 compound that is mainly present in extra-virgin olive oil and wine. It has also  
142 anti-inflammatory and antioxidant properties [20,21]. However, in comparison  
143 with OHTyr, Tyr has lower antioxidant activity because it lacks of the hydroxyl  
144 group in position 3 of the phenolic ring [22]. In animals Tyr excretion increased  
145 after ethanol administration due to an alteration of tyramine metabolism [23]. In  
146 our study Tyr excretion was measured as a secondary outcome.

147 The aim of the study was to establish the contribution of the dose of ethanol on  
148 OHTyr formation.

149

150 **2. Materials and methods**

151 *2.1 Participants*

152 The study was conducted in accordance with the Declaration of Helsinki and  
153 approved by the local Ethics Committee (CEIC Parc de Salut Mar). Informed  
154 consent was obtained from all volunteers previously to any study related  
155 procedure and they were paid for their participation. The study was registered in  
156 [clinicaltrials.gov](https://clinicaltrials.gov) (NCT01788670).

157 Eligibility criteria required social ethanol consumption. Subjects with daily  
158 alcohol consumption higher than 30g or meeting criteria of ethanol abuse or  
159 dependence were excluded. To confirm health status, volunteers were  
160 interviewed by a physician and underwent a general physical examination,  
161 routine laboratory tests, urinalysis, and a 12-lead electrocardiogram.

162 *2.2 Study design, procedures and outcomes*

163 The study design was double-blind, randomized, crossover, and controlled with  
164 placebo. Participants were distributed in three different cohorts. In cohort 1,  
165 doses of 18 and 30g of ethanol were administered to 12 subjects. In cohort 2,  
166 doses of 6 and 12g of ethanol were administered to 6 subjects. Finally in cohort  
167 3 doses of 24 and 42g of ethanol were administered to 6 subjects. Thus each  
168 participant received two doses of ethanol and placebo in three different  
169 experimental sessions (6h duration per session) with a minimum wash out  
170 period of three days between them. Participants were randomly assigned to  
171 each treatment sequence using a balanced 3 x 3 Latin square design.

172 Subjects were requested to abstain from ethanol ingestion three days before  
173 each session. Olive oil and olives were also prohibited due to its high OHTyr  
174 content. Beverages containing xanthines were not allowed in the previous 24 h



175 and during the experimental sessions. Subjects were also requested to abstain  
176 for any drug of abuse during the study. Breath alcohol tests and drug of abuse  
177 tests in urine (Instant-View®, Alpha Scientific Designs, Inc, Poway, CA, USA)  
178 were conducted along the study to confirm abstinence.

179 On session day, participants arrived at the clinical trials unit at 08:00 AM. An  
180 intravenous catheter was inserted into a subcutaneous vein to obtain blood  
181 samples. Treatments were administered at 8:30 AM in fasting conditions and a  
182 light meal (half of a cheese sandwich) was provided 2 and 6h after treatment  
183 administration. Additional water was given to volunteers at 2h (300 ml) and 4h  
184 (100ml) after administration in order to assure urine generation in each time  
185 interval. Participants left the unit 6 hours after administration once verified that  
186 the breath alcohol test was negative. Tobacco smoking was prohibited during  
187 the experimental sessions.

188 The main outcome of the study was total OHTyr urinary concentrations from 0  
189 to 6h after administration. Secondary outcomes included ethanol plasma  
190 concentrations and subjective effects (drunkenness feelings). DOPAC, HVA  
191 and total tyrosol (Tyr) urinary concentrations from 0 to 6 h after administration  
192 were also assessed. Total OHTyr was calculated as the sum of: OHTyr-3-O-  
193 glucuronide, OHTyr-4-O-glucuronide, OHTyr-3-O-sulfate, free OHTyr and total  
194 4-hydroxy-3-methoxyphenylethanol (HVAL). Total HVAL in turn was the sum of  
195 free HVAL + HVAL-4-O-glucuronide. Total Tyr in urine was calculated as the  
196 sum of free Tyr and Tyr-4-O-glucuronide.

197 Ethanol in plasma was determined at pre-dose and at 15, 30, 45 minutes, and  
198 1, 1.5, 2, 3, 4, 6 hours after administration. Subjective effects were measured by

199 means of a visual analogue scale (VAS) of drunkenness from 0 to 100 mm at  
200 pre-dose and 30 minutes and at 1, 2, 4 and 6h after administration [24].

201 Urine samples were collected just before administration (spot sample) and at  
202 different interval periods after treatment administration (0-2h, 2-4h, 4-6h). Urine  
203 0-6h was the sum of the three collection intervals.

204 Heart rate, blood pressure and oral temperature were measured with  
205 Carescape™ V100 monitor (GE Healthcare. Milwaukee, WI) across the  
206 sessions (baseline, and at 1 and 6h after administration) and adverse events  
207 during the study were also recorded.

### 208 *2.3 Treatments*

209 Ethanol conditions were obtained mixing ethanol (pharmaceutical grade) and  
210 lemon flavored water (Fontvella, Barcelona, Spain). Placebo consisted in lemon  
211 flavored water. The total volume of the beverages ingested was 150 ml.  
212 Beverages were administered in opaque recipients, served cold and ingested  
213 along 5 minutes.

### 214 *2.4 Samples preparation and analysis*

215 Blood samples were collected in lithium heparin tubes for alcohol analysis. After  
216 centrifugation at 3000 rpm for 10 minutes at 4° C, plasma was transferred to  
217 tubes sealed with a plastic paraffin film and frozen immediately to avoid alcohol  
218 evaporation. Blood ethanol concentrations were determined with the DRI® Ethyl  
219 Alcohol Assay (Thermo Fisher, Fremont, CA, USA).

220 Urine samples were collected in different containers and the total amount of  
221 urine generated in each time interval was registered. Three aliquots were saved  
222 from each time interval for the assessment of phenolic compounds and DA  
223 metabolites' concentrations. Urines were treated with hydrochloric acid to

224 acidify the sample. Phenolic compounds and its metabolites were determined  
225 by liquid chromatography coupled to tandem mass spectrometry  
226 (HPLC/MS/MS), as previously described [25,26]. DOPAC and HVA were  
227 measured by GCMS [27,28].

## 228 *2.5 Statistical analysis*

229 Differences from baseline were calculated for both subjective and physiological  
230 outcomes. Regarding plasma concentrations of ethanol and subjective effects  
231 the following pharmacokinetic parameters were calculated: maximum  
232 concentration ( $C_{max}$ ), or maximum effect ( $E_{max}$ ), the time to reach the maximum  
233 concentration ( $t_{max_c}$ ) or effect ( $t_{max_e}$ ), and area under the curve from 0 to 6  
234 hours for concentrations ( $AUC_{0-6h_c}$ ) and effects ( $AUC_{0-6h_e}$ ). The AUC were  
235 calculated using the trapezoidal rule. The same parameters were calculated for  
236 physiological outcomes. Total urinary excretion of OHTyr and Tyr as well as the  
237 ratio between DOPAC and OHTyr excretion were calculated from 0 to 6h. For  
238 each of the outcomes of interest, a linear mixed model with a random intercept  
239 and ethanol dose as independent variable was fitted. These models account for  
240 the correlation between the repeated measures within study participants. In the  
241 case of phenols' excretion, DOPAC/OHTyr ratio, and the  $AUC_{0-6h}$  of the ethanol  
242 concentrations, the relationship between the outcomes and ethanol dose was  
243 not always linear. For that reason, log-transformations of only the outcomes and  
244 of both the outcomes and the ethanol dose were also considered and those  
245 models that showed the most adequate model fit based on graphical inspection  
246 of the corresponding residual plots were used for the corresponding analysis. In  
247 addition, the analyses for the main outcomes were also performed with the  
248 weight-adjusted ethanol dose as independent variable. Pearson's correlation

249 coefficient was used to quantify the association between ethanol  
250 concentrations, subjective effects, total OHTyr, total Tyr and DOPAC excretion  
251 (0-6h). Statistical significance was set at 0.05 and the statistical software  
252 package R, version 3.1.1 (R Foundation for Statistical Computing, Vienna,  
253 Austria) was used for the analyses.

254

### 255 **3. Results**

#### 256 *3.1 Participants*

257 Twenty four male healthy volunteers were included in the study. All were non-  
258 smokers but eight (27.8%). Their average consumption of alcohol was 7g a day  
259 (5 units per week; 1 unit=10g of ethanol). The mean age, body weight and body  
260 mass index were  $25.8 \pm 4.5$  years,  $79.2 \pm 6.5$ kg and of  $24.3 \pm 2.2$ kg/m<sup>2</sup>,  
261 respectively.

#### 262 *3.2 Ethanol concentrations*

263 Baseline samples were all negative for ethanol. Ethanol pharmacokinetic  
264 parameters calculated from 0 to 6h after administration increased with the  
265 ethanol administered dose.  $C_{max}$  increased in a dose linear manner (each gram  
266 of ethanol increased the  $C_{max}$  on average in 0.43 nmol/ml (95%-CI: [0.4, 0.5];  
267  $p < 0.001$ ). The logarithm of the  $AUC_{0-6h_c}$  increased linearly as a function of the  
268 logarithm of the ethanol dose (log-dose): on average, augmenting the log-dose  
269 by one unit, the log- $AUC_{0-6h_c}$  increased by 1.47 units per gram of alcohol  
270 (95%-CI: [1.3, 1.7];  $p < 0.001$ ), which is equivalent to an increase of the  $AUC_{0-6h_c}$   
271 by factor 1.47. Maximum blood alcohol concentrations were reached at 23  
272 minutes (6g), 30 minutes (12g, 18g), 37 minutes (30g) and 45 minutes (24g,

273 42g) after administration. Model-based mean estimations of the  $C_{max}$  and  $AUC_{0-}$   
274  $6h_c$  and the corresponding 95% prediction intervals are shown in **Table 1**.

275

276 Ethanol could be detected longer for higher doses. Some correlative doses (24-  
277 30g and 12-18g) showed similar concentrations probably because they were  
278 obtained in different subjects (see **Figure 2**).

### 279 *3.3 Phenols and DA metabolites excretion*

280 Baseline OHTyr concentrations were low and not different between treatment  
281 conditions (n=24:  $0.4 \pm 0.5$  nmol/ml).

282 OHTyr total urinary excretion from 0 to 6h increased with ethanol dose (each  
283 gram of ethanol increased the log-OHTyr on average in 0.026 units (95%-CI:  
284 [0.02, 0.04];  $p < 0.001$ ), which is equivalent to an increase by factor 1.03 per  
285 gram of alcohol). High variability was found between subjects as the coefficient  
286 of variation of the different doses ranged from 49% to 78%. A clear dose  
287 relationship was found when high and low dose of ethanol given to the same  
288 subjects were compared (18 vs. 30g or 24 vs. 42g). However excretion with 24g  
289 was higher than with 30g probably due to intersubject variability. Observed  
290 values of total OHTyr excretion and its metabolites are presented in **Figures 2**  
291 and **3**.

292 Model-based mean estimations for total OHTyr and the corresponding 95%  
293 prediction intervals are shown in **Table 2**.

294 OHTyr was excreted mainly in its conjugated form with sulfate (**Figure 4**). The  
295 sulfate metabolite and HVAL increased with ethanol administered dose while  
296 this relationship was not found with glucuronides. Amounts of free OHTyr  
297 excreted were very low and apparently unrelated to ethanol dose.

298 Baseline tyrosol concentrations were also not different among conditions (n=24:  
299  $0.1 \pm 0.1$  nmol/ml). Total Tyr excretion also increased with ethanol dose (each  
300 gram of ethanol increased the log-Tyr on average in 0.051 units (95%-CI:[0.04,  
301 0.07];  $p < 0.001$ ), which is equivalent to an increase by factor 1.05 per gram of  
302 ethanol). See **Table 2** for model-based estimations of the mean.

303 DOPAC and HVA excretion did not show any statistically significant relationship  
304 with ethanol administered dose ( $p = 0.286$  and  $p = 0.498$ ). DOPAC excretion  
305 mean values for 0, 6, 12, 18, 24, 30 and 42g of ethanol were 3239, 3484, 4688,  
306 2024, 2559, 2190, 3393 nmol, respectively. HVA excretion for all doses was  
307 higher than DOPAC excretion (1.6-3.7 times).

308 A statistically significant association was observed between both ethanol  $C_{max}$   
309 and  $AUC_{0-6h_c}$  with the logarithm of total OHTyr excretion ( $r = 0.53$ ;  $p = 0.005$  and  
310  $r = 0.45$ ;  $p = 0.02$ , respectively). DOPAC/OHTyr ratio decreased with the ethanol  
311 content of the beverage ( $p < 0.001$ ). The ratios observed were  $14.0 \pm 14.7$  (0g),  
312  $10.1 \pm 5.6$  (6g),  $11.7 \pm 8.7$  (12g),  $3.9 \pm 2.6$  (18g),  $3.8 \pm 2.8$  (24g),  $4.0 \pm 2.5$  (30g)  
313 and  $3.6 \pm 2.0$  (42g) and estimated values ranged from 8.4 (95% CI: 6.0-12.0) for  
314 placebo to 2.4 (95% CI: 1.5-3.7) with the highest dose.

### 315 *3.4 Subjective effects*

316 Drunkenness increased with ethanol dose (except for doses of 12-18g, obtained  
317 in different subjects). High variability in subjective effects was found between  
318 subjects as coefficient of variation of different doses ranged from 63% to 150%.

319 The median  $t_{max_e}$  value was 30 minutes for all ethanol containing beverages  
320 except 1 hour for the dose of 42g. Subjective effects time-curves are presented  
321 in **Figure 5**.

322 The highest drunkenness- $E_{\max}$  (38 of 100) and  $AUC_{0-6h_e}$  (96 mm x h) were  
323 obtained with the highest dose of ethanol.  $AUC_{0-6h_e}$  and  $C_{\max}$  showed a slight  
324 correlation ( $r=0.35$ ;  $p=0.01$ ) similar to the correlation between  $E_{\max}$  and  $C_{\max}$   
325 ( $r=0.31$ ,  $p=0.055$ ).

### 326 *3. 5 Physiological outcomes and adverse events*

327 No differences were found in heart rate, blood pressure and temperature  
328 between the different doses of ethanol administered.

329 No serious adverse events (AE) were reported during the study. 14 subjects  
330 reported a total of 26 AE. Those considered to be related with treatment (13)  
331 were mainly headaches (9). One subject reported nausea, unsteadiness and  
332 dizziness with 42g of ethanol.

### 333 *3. 6 Alcohol dose adjusted to weight*

334 No overlap between doses was observed when the dose of ethanol was  
335 adjusted to weight (6g:  $79 \pm 4$  mg/kg, 12g:  $157 \pm 7$  mg/kg, 18g:  $226 \pm 23$  mg/kg,  
336 24g:  $305 \pm 25$  mg/kg, 30g:  $376 \pm 38$  mg/kg, 42g:  $533 \pm 43$  mg/kg). Results  
337 obtained with ethanol adjusted doses for the different outcomes showed the  
338 same trends previously described (data not shown).

339

## 340 **4. Discussion**

341 In this study we report for the first time in healthy volunteers and in a controlled  
342 setting the endogenous generation of OHTyr after the ingestion of ethanol.  
343 OHTyr formation was ethanol dose dependent. Doses tested (except 42g) are  
344 in the range of daily doses associated with a reduction of all-cause mortality [1]  
345 and recent consensus recommendations about moderate alcohol use [29].

346 As previously mentioned, in animal studies it has been shown that ethanol can  
347 induce a shift in the metabolism of DA from a predominantly oxidative to a  
348 reductive pathway with formation of OHTyr (DOPET) instead of DOPAC [14, 16]  
349 In our study a reduction in the ratio DOPAC/OHTyr from placebo to 42g of  
350 ethanol was observed (from 14 to 3.6), compatible with the occurrence of a shift  
351 in the oxidative metabolism of DA with ethanol. OHTyr excretion with 42g of  
352 ethanol triplicated the values obtained with placebo (1296 vs 427 nmol).  
353 However OHTyr excretion was at least 3 times lower (for the dose of 24g) in  
354 comparison with the administration of the same amount of ethanol contained in  
355 wine in a previous study [9]. Therefore the endogenous generation of OHTyr  
356 via ethanol interaction with DA oxidative metabolism only explains a relatively  
357 small portion of the recoveries of OHTyr after wine ingestion for the same  
358 alcohol dose.

359 To explain biological activities when free forms of phenols are almost  
360 undetectable it has been postulated that conjugates could act as depot forms  
361 and be hydrolyzed intracellularly releasing free OHTyr [30,31].

362 The demonstration in humans that ethanol ingestion can endogenously produce  
363 a potent phenolic antioxidant is in contrast with the fact that ethanol is typically  
364 considered a pro-oxidant substance [32]. In a previous observational study a  
365 relationship between circulating levels of oxidized LDL and ethanol consumption  
366 was reported [33]. Future experiments should evaluate whether the antioxidant  
367 effects of OHTyr generated *in vivo* can be overshadowed by the pro-oxidant  
368 influence of ethanol. The balance between wine phenolic compounds and  
369 ethanol concentrations has been already suggested may be critical in the  
370 protection of LDL oxidation [34]. In addition to the OHTyr ability of protecting



371 LDL against oxidation it also displays anti-inflammatory and antiaggregant  
372 activities [8,35] that could be also contributing in ethanol cardioprotective  
373 effects.

374 The increase in Tyr excretion with ethanol dose is reported for the first time in  
375 humans. Its formation is also ethanol dose dependent. Amounts recovered are  
376 about 40% of those observed for OHTyr at the higher ethanol doses. The  
377 mechanism involved could be a shift in tyramine oxidative metabolism to  
378 preferably produce Tyr instead of 4-hydroxyphenylacetic acid (4-HPAA), also  
379 described in animals [23]. Globally Tyr recovery increased 10 fold in the range  
380 of doses tested.

381 No relationship was found between DOPAC or HVA excretion and ethanol  
382 administered dose. As these compounds are found in very high concentrations  
383 in body fluids in comparison with OHTyr, it is plausible that small changes due  
384 to OHTyr formation could be not detected.

385 Ethanol concentrations and time to reach maximum concentration increased  
386 with the administered dose. Furthermore a linear relationship was described for  
387  $C_{max}$  while for  $AUC_{0-6h_c}$  the linearity was lost at higher doses. Delayed  $t_{max}$  can  
388 be explained due to a reduction in gastric emptying with more concentrated  
389 beverages and  $AUC_{0-6h_c}$  disproportionate increase was related to the limited  
390 capacity of alcohol elimination by ADH [36,37].

391 Drunkenness feelings reported were mild and increased with ethanol  
392 administered dose. High interindividual variability was found probably due to  
393 different degrees of tolerance to ethanol. No serious adverse events were  
394 reported although headaches that appeared after several hours of consumption  
395 with higher doses could correspond to hangover symptomatology.

396 The study has several strengths and limitations. The cross over design allowed  
397 the same subjects to be treated with at least two different doses of ethanol and  
398 the double blind procedure was optimal to study ethanol subjective effects.  
399 However, for practical issues not all subjects received all doses and some  
400 comparisons were indirect. We enrolled only male volunteers for avoiding  
401 potential sex differences in ethanol pharmacokinetics and subjective effects,  
402 mainly due to a lower volume of distribution and a reduced tolerance to ethanol  
403 in women [38,39]. The ethanol dose was not adjusted to weight however no  
404 overlap between doses was observed when doses were adjusted. The  
405 biological implications of the observations made still has to be investigated,  
406 most probably with one of the ethanol doses tested but with additional  
407 comparison groups other than placebo.

408

## 409 **5. Conclusions**

410 There is a dose-related increase of urinary excretion of OHTyr and Tyr after  
411 ethanol administration. Results can be explained by endogenous generation  
412 produced by shifts in dopamine and tyramine oxidative metabolism,  
413 respectively, in the presence of ethanol. The biological significance of these  
414 findings deserves further evaluation in future clinical trials.

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416

## 417 **6. Registration**

418 The trial was registered in Clinicaltrials.gov (NCT01788670).

## 419 **7. Acknowledgements**

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427

428

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592 Table 1. Model-based estimations of the mean (95% prediction intervals) of the  
 593 pharmacokinetic parameters as a function of ethanol dose (from 0 to 42g).

Parameter	6g	12g	18g	24g	30g	42g
AUC <sub>0-6h_c</sub>	2.5	6.8	12.3	18.9	26.2	43.0
nmol x h/ml	(1.8- 3.4)	(4.4- 10.5)	(7.4- 20.6)	(10.8- 33.0)	(14.4- 47.7)	(22.2- 83.1)
C <sub>max</sub>	2.8	5.4	7.9	10.5	13.0	18.1
nmol/ml	(1.3- 4.3)	(4.2- 6.6)	(7.0- 8.9)	(9.6- 11.4)	(12.0- 14.0)	(16.5- 19.8)
AUC <sub>0-6h_e</sub>	-3.1	9.3	21.6	33.9	46.3	58.6
mm x h	(-18.1- 12.0)	(-3.7- 22.2)	(9.8- 33.4)	(22.1- 45.8)	(33.3- 59.3)	(43.6- 73.6)
E <sub>max</sub>	1.3	7.1	12.9	18.8	24.6	30.5
mm	(-4.9- 7.4)	(1.7- 12.5)	(7.9- 17.9)	(14.0- 23.8)	(19.2- 30.0)	(24.3- 36.6)

594 AUC<sub>0-6h\_c</sub>, area under the blood concentration curve from 0 to 6h; AUC<sub>0-6h\_e</sub>,  
 595 area under the curve for effects (drunkenness) from 0 to 6h; C<sub>max</sub>, maximum  
 596 blood alcohol concentration; E<sub>max</sub>, maximum alcohol effect (drunkenness)

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602 Table 2. Total OHTyr and Tyr urinary excretion from 0 to 6h after administration.  
 603 Model-based estimations of the mean (95% prediction intervals) as a function of  
 604 ethanol dose (from 0 to 42g).

Urinary excretion	0g	6g	12g	18g	24g	30g	42g
Total OHTyr	322	375	437	510	594	693	941
nmol	(235- 441)	(281- 500)	(333- 574)	(389- 668)	(448- 788)	(509- 942)	(640- 1385)
Total Tyr	56	76	103	139	189	256	470
nmol	(39-81)	(56- 103)	(79- 134)	(108- 180)	(142- 252)	(181- 362)	(282- 782)

605 OHTyr, hydroxytyrosol; Tyr, tyrosol

Figure(s)

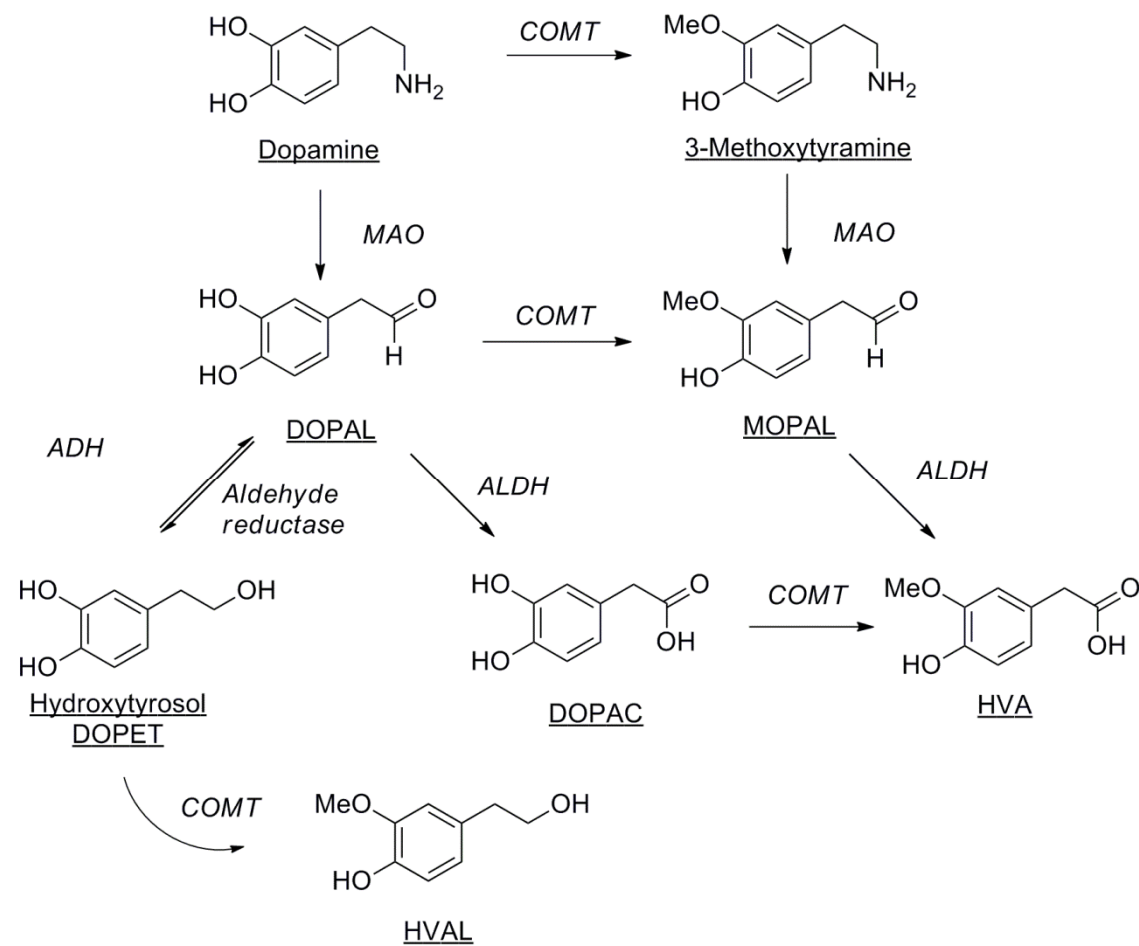


Figure 1. General diagram of dopamine metabolism. ADH: alcohol dehydrogenase.; ALDH: aldehyde dehydrogenase; COMT:catechol-O-methyl transferase; DOPA: 3,4-dihydroxyphenylalanine; DOPAL: 3,4-dihydroxyphenylacetaldehyde; DOPAC: 3,4-dihydroxyphenylacetic acid; DOPET: 3,4-dihydroxyphenylethanol; HVA: homovanillic acid; HVAL: homovanillyl alcohol; MAO: monoaminoxidase; MOPAL: 3-methoxy-4-hydroxyphenylacetaldehyde; MOPET: 4-hydroxy-3-methoxyphenylethanol.

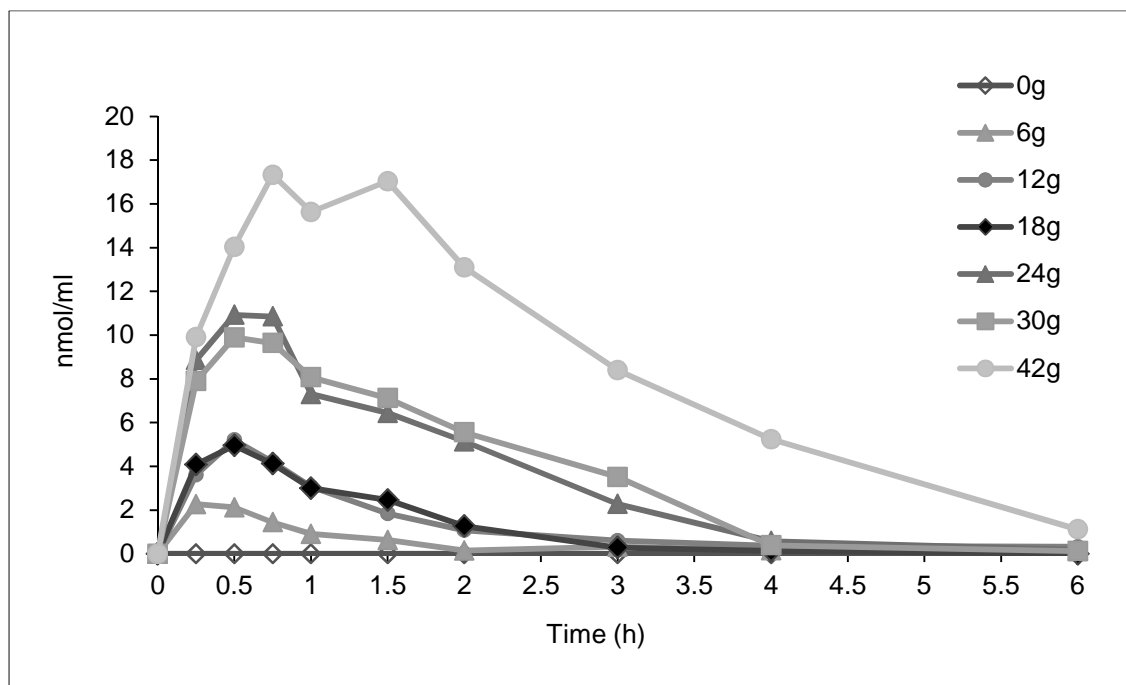


Figure 2. Plasma ethanol concentrations. Doses of 6 and 12g (n=6), doses of 18 and 30g (n=12), doses of 24 and 42g (n=6) and placebo (n=24).

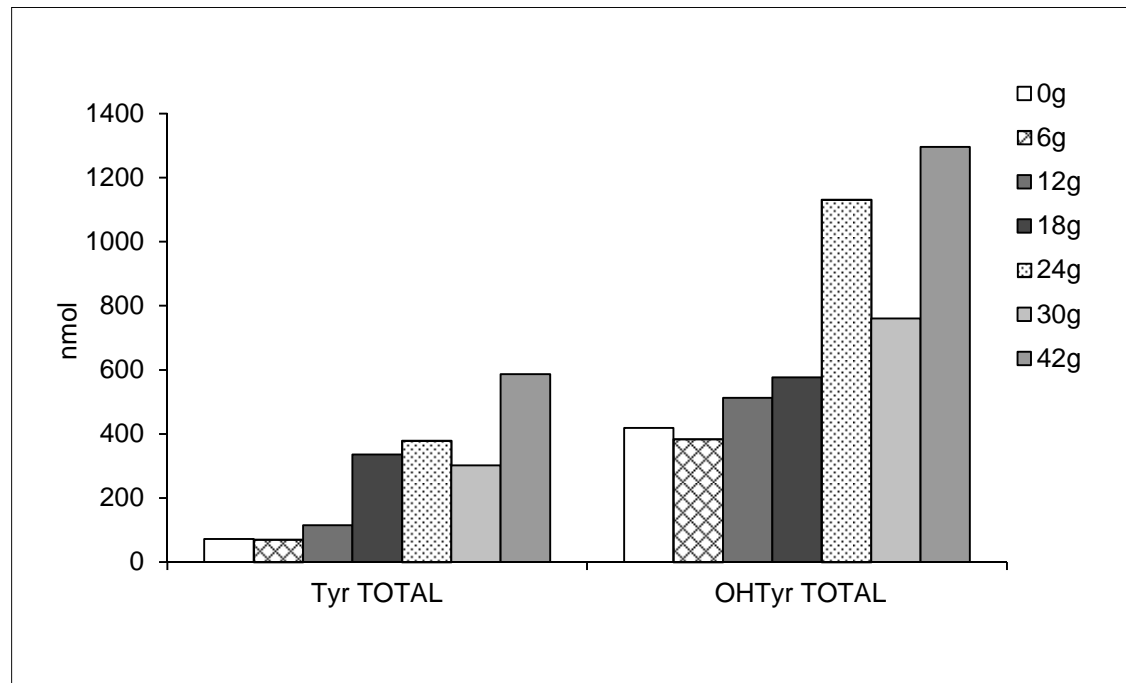


Figure 3. Urinary excretion of total hydroxytyrosol (OHTyr) and tyrosol (Tyr). Doses of 6 and 12g (n=4), doses of 18 and 30g (n=9), doses of 24 and 42g (n=6) and placebo (n=19).

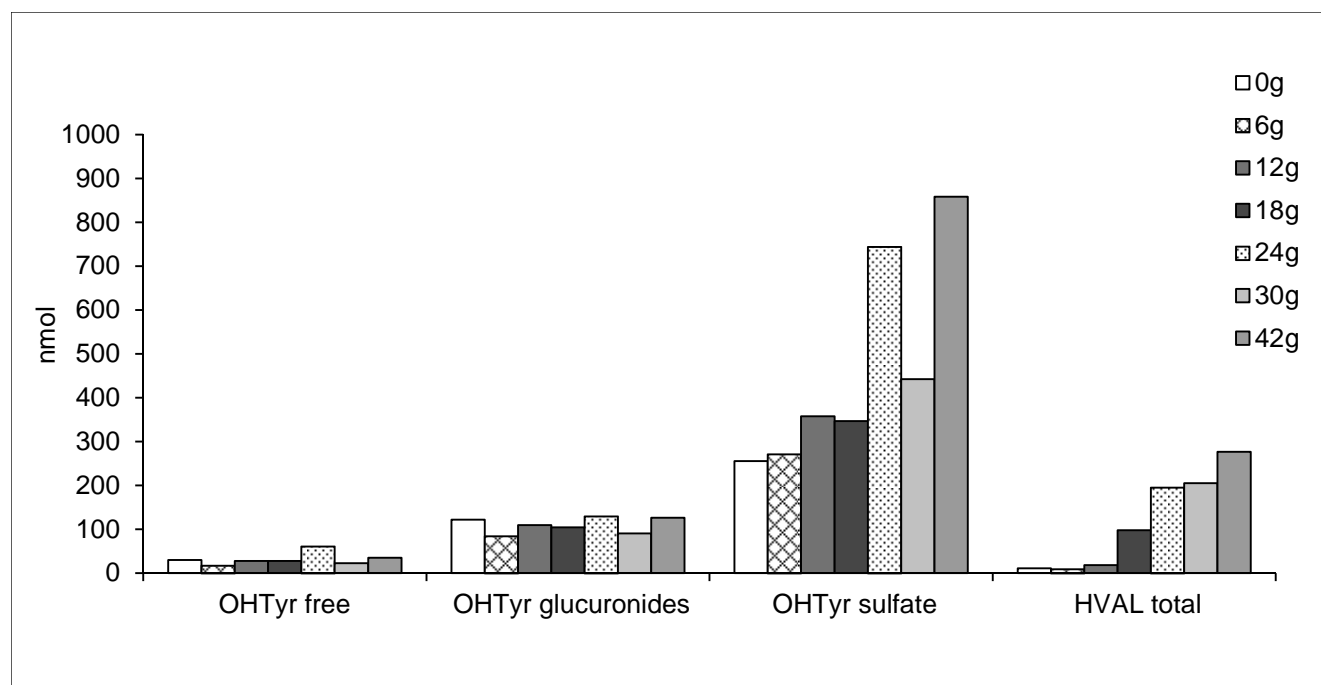


Figure 4. Urinary excretion of hydroxytyrosol (OHTyr) metabolites. Doses of 6 and 12g (n=4), doses of 18 and 30g (n=9), doses of 24 and 42g (n=6) and placebo (n=19). Urinary excretion of hydroxytyrosol metabolites.

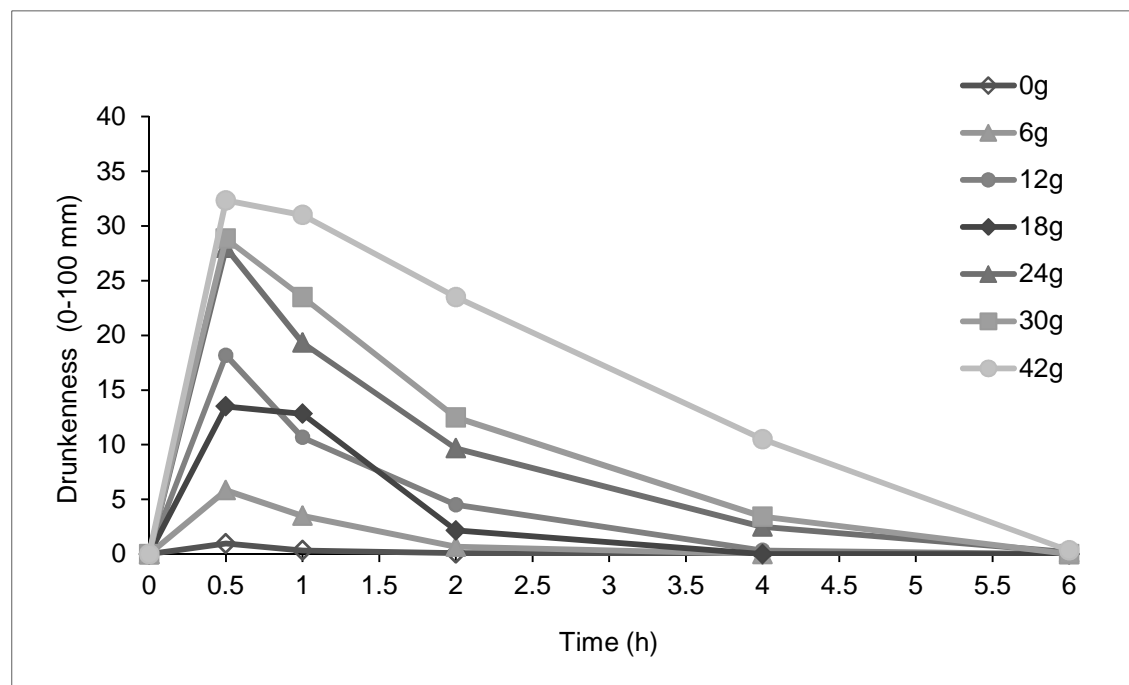
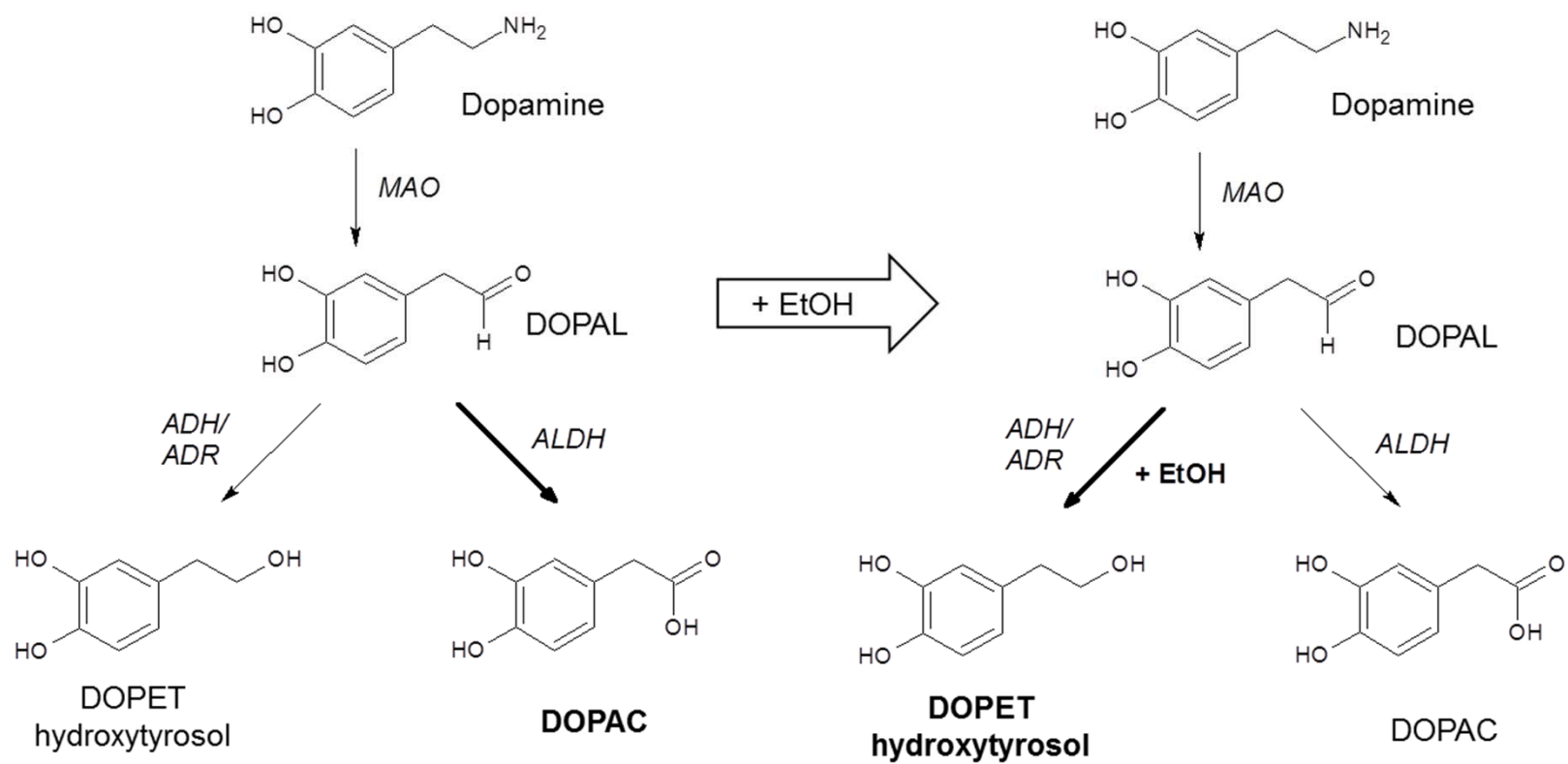


Figure 5. Ethanol-induced drunkenness. Doses of 6 and 12g (n=6), doses of 18 and 30g (n=12), doses of 24 and 42g (n=6) and placebo (n=24).





Graphical abstract. Hydroxytyrosol generation due to the interaction of ethanol with dopamine metabolism.