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1 **A model identification approach to quantify whole-body vertical vibrations**
2 **impact on limb compliant dynamics and walking stability**

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22 List of Abbreviations

23	AFO	Ankle-foot orthosis
24	BoS	Base of support
25	CoM	Center of mass
26	CNS	Central Nervous system
27	DR	Damping Ratio
28	DRT	Dorsiflexion resistive torque
29	DRR	Dorsiflexion range-of-motion restriction
30	DPRT	Dorsi-plantarflexion resistive torques
31	DPRR	Dorsi-plantarflexion range-of-motion restrictions
32	PC1	First principal component
33	GM(s)	Gain Margin(s)
34	HS	Heel Strike
35	IMU	Inertial Measurement Unit
36	MP	Minimal phase
37	NMP	Non-minimum phase
38	N&B	Nyquist and Bode
39	PM(s)	Phase Margin(s)
40	PCA	Principal component analysis
41	ROM	Range of motion
42	RMS	root-mean-square
43	SM	spring-mass
44	SMD	spring-mass-damper
45	TF(s)	Transfer function(s)
46	TO	Toe off
47	WBV(s)	Whole body vibrations

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50 Highlights:

- 51 ▪ This study introduces methods to quantify vertical limb dynamics while walking.
- 52 ▪ A model identification approach is proposed to quantify lower limb compliant
- 53 dynamics.
- 54 ▪ Linear control theory is applied to analyse the effect of vertical loading impacts on
- 55 stability.
- 56 ▪ Proposed methods are applied to investigate the structural impacts of wearable
- 57 devices.
- 58 ▪ Our methods show that a wearable orthosis has significant effect on the limbs'
- 59 vertical dynamics.

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71 **Abstract**

72 Extensive research is ongoing in the field of orthoses/exoskeleton design for efficient lower
73 limbs assistance. However, despite wearable devices reported to improve lower limb
74 mobility, their structural impacts on whole-body vertical dynamics have not been
75 investigated. This study introduced a model identification approach and frequency domain
76 analysis to quantify the impacts of orthosis-generated vibrations on limb stability and
77 contractile dynamics. Experiments were recorded in the motion capture lab using 11
78 unimpaired subjects by wearing an adjustable ankle-foot orthosis (AFO). The lower limb
79 musculoskeletal structure was identified as spring-mass (SM) and spring-mass-damper
80 (SMD) based compliant models using the whole-body centre-of-mass acceleration data.
81 Furthermore, Nyquist and Bode methods were implemented to quantify stabilities resulting
82 from vertical impacts. Our results illustrated a significant decrease ($p < 0.05$) in lower limb
83 contractile properties by wearing AFO compared with a normal walk. Also, stability margins
84 quantified by wearing AFO illustrated a significant variance in terms of gain-margins ($p <$
85 0.05) for both loading and unloading phases whereas phase-margins decreased ($p < 0.05$)
86 only for the respective unloading phases. The methods introduced here provide evidence that
87 wearable orthoses significantly affect lower limb vertical dynamics and should be considered
88 when evaluating orthosis/prosthesis/exoskeleton effectiveness.

89 **Keywords:** ankle-foot orthosis, limb contractile properties, dynamic stability, gait, vertical
90 impacts, loading and unloading phases, wearable devices

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94 **1. Introduction**

95 People with growing age and/or neuromuscular impairments tend to avoid bipedal activities
96 because of fear of falling [1]. Clinically, a range of lower limb orthoses or exoskeletons is
97 recommended for assistance or rehabilitation [2]. Intensive research studies are ongoing to
98 make these devices portable, lightweight and stronger [3]. Most of the commercially
99 available orthoses/exoskeletons are made of the metallic structure strapped rigidly to the
100 lower limbs for efficient power transmission. Earlier studies have reported that the vertical
101 impact forces generated because of inertial changes at the lower extremity (ankle-foot) are
102 two to three times of the body weight and resultant shock waves are considered as one of the
103 major reasons for worsening joint diseases and neuromuscular injuries [4, 5]. However, their
104 effects on gait dynamic stability and lower limb contractual properties have not been reported
105 in view of wearable devices. Lower-limbs contractile properties are being used to simulate
106 walking dynamics in terms of spring-mass system [6-8]. Such models are also built in
107 portable motion monitoring devices such as inertial measurement units [9] to understand limb
108 compliant dynamics.

109 Considering prior modelling approaches, studies have developed various bipedal models to
110 simulate lower limb vertical dynamics to investigate limb contractile properties and
111 neuromotor control aspects. These include spring-mass [7, 10, 11] and spring-mass-damper
112 [6, 8, 12] based inverted pendulum models in which model parameters are adjusted to get a
113 model output equivalent to the ground reaction force (GRF) data collected experimentally
114 from human subjects. These approaches use rigid body elements (e.g., spring, mass and
115 damper models) that underestimate the actual impact dynamics in the lower limbs during
116 weight loading and unloading gait phases. During these phases, a rate of deceleration or
117 acceleration illustrates highly transient features and also acts as somatosensory feedback to
118 actuate leg muscles [13-15]. Alternatively, a few studies also employ a general second-order

119 underdamped system in which model parameters (natural frequency, damping ratio) are
120 estimated using time series data collected from human subjects [16, 17]. These fixed-order
121 empirical models also underrate limb vertical dynamics to mimic actual gait transients which
122 illustrate sinusoidal patterns. Furthermore, the efficiency of the best-fit models has not been
123 reported in either of the mentioned modelling approaches. Because of these limitations, lower
124 limb contractile properties are previously reported with large variations [18]. A critical need
125 remains to validate previously reported lower limb vertical dynamics by applying methods
126 that will address the highlighted discrepancies.

127 Recent studies also apply whole-body vertical vibrations/impact forces as a rehabilitation tool
128 to recover from chronic ankle instabilities, ankle sprains and muscular or neural deficits [19-
129 21]. Oppositely, a range of heel pads is also reported in prior studies to damp the vertical
130 loading impacts [22, 23]. Previously, the effectiveness of these rehabilitation techniques on
131 gait dynamic stability is reported to assess in anterior-posterior and medial-lateral directions
132 [24, 25] but lacked to quantify in the vertical direction despite the vertical GRFs having
133 maximum magnitudes and rate of variations. That may be because of the methodological and
134 or analytical limitations in existing techniques used to simulate limb vertical dynamics.

135 This study proposes methods of identifying lower limb compliant models directly from the
136 experimental data. From the engineering control theory, Nyquist and Bode (N&B) methods
137 are implemented to analyse the effect of compliant dynamics on walking stability. More
138 recent studies employed these techniques for gait dynamic stability assessments in the
139 forward direction of motion [26-28]. These methods are applicable to quantify gait
140 stability/instability in all three anatomical directions, hence, overcome the limitations of
141 previously reported assessments [24, 29] which were reported in the anterior-posterior and
142 medial-lateral directions (deficient to quantify the impact of vertical forces). This study
143 extends the work done previously by considering vertical loading impacts while performing a

144 level ground walk. Furthermore, these methods are applied to investigate wearable ankle-foot
145 orthosis (AFO) impacts on the vertical limb dynamics for a range of clinically applied
146 adjustments.

147 **2. Materials and Methods**

148 2.1 Experimental protocol and setup

149 A total of 11 healthy subjects (aged 30 ± 1 yrs, weight 74 ± 3 kg and height 1.72 ± 2.5 m) were
150 included in this study. The subjects were inducted with no prior history of neurological or
151 neuromuscular impairments. Each subject signed an informed consent form. The
152 experimental protocol was approved by the institutional ethical review board at the
153 University of Leeds.

154 An adjustable ankle-foot orthosis was designed to induce perturbations into the ankle joint in
155 the sagittal plane following earlier studies [30, 31]. The AFO was made with metallic
156 (aluminium) shank and carbon-fibre foot parts embedded with an adjustable Ultraflex ankle-
157 foot joint [32] as shown in **Figure 1(a)**. The AFO was tuneable to a range of clinical stiffness
158 and a range of motions (ROMs; ± 67.8 Nm and $\pm 40^\circ$) both in dorsiflexion and plantarflexion
159 directions. The simulated ankle-foot restrictions and their operating ranges are summarised in
160 **Table 1**. The AFO restrictions were implemented following prior studies [30, 33] in which
161 various gait-related aspects were investigated by applying restrictions to the healthy subjects
162 ankle-foot joint. Clinically, these restrictions are tuned using AFO to treat ankle-foot
163 deficiencies such as foot drop, Charcot-Marie tooth etc. A total of 26 reflective markers were
164 attached to the body at lower limbs. The placement of the markers was followed from Visual-
165 3D help document [34] as illustrated in Figure A1 (Appendix A). The subjects were asked to
166 get familiar with the AFO by wearing it on an eight-metre walkway, and then the trials were
167 recorded in a motion capture lab using 12 cameras (Qualisys software and Oqus cameras) and

168 two force plates (AMTI BP400600-2000) at 1 kHz and 400 Hz, respectively. The trials were
 169 recorded first at normal speed barefoot, then wearing AFO (free mode) and applying the
 170 aforementioned restrictions. Prior to recording the experiments, each participant was asked to
 171 perform a few trials to get familiar with his preferred normal pace and to ensure this pace in
 172 all walking conditions. The preferred walking speed trials were recorded following
 173 previously reported similar studies [35-37]. A total of five trials were recorded per subject per
 174 walking condition.

175 **Table 1 here**

176 **Figure 1 here**

177 2.2 Data processing

178 The lower-limb joint angles and moments were computed using Visual3D motion analysis
 179 software (C-Motion Inc., Germantown, MD) and filtered at 6 Hz using fourth-order
 180 Butterworth. The ankle and knee joint angles and moments are illustrated in **Figure 1(b–e)**.
 181 The vertical GRF raw data was exported to MATLAB 2017a and normalised with individual
 182 subject body weight. The resultant signals present whole-body CoM-acceleration (i.e.
 183 GRF/mass) which were further processed into two steps. Firstly, the finite difference
 184 algorithm [38] was implemented using Eq. 1 to determine the rate of change in the body's
 185 CoM-acceleration (' \dot{a} ' unit m/s^3). The resultant waveforms were filtered using Butterworth
 186 fourth order filter at 18Hz and illustrated in **Figure 2(a)** – named as actual CoM-oscillations
 187 (i.e. before rectification).

$$188 \quad \dot{a} = (a_2 - a_1)/(t_2 - t_1) \quad (1)$$

189 where a_2, a_1 are two consecutive samples of CoM-acceleration and t_2, t_1 are respective time
 190 instants. Secondly, the root-mean-square (RMS) of the aforementioned CoM-oscillations

191 (\dot{a}_{rms}) was computed using Eq. 2. The RMS of CoM-oscillations was computed following
 192 prior similar studies [37, 39, 40] where higher order signals (derivative) were reported to be
 193 rectified in-order to analyse or characterise important features in the frequency domain.
 194 Likewise, in the current study, a frequency domain stability analysis was performed to the
 195 RMS CoM-oscillations as discussed in the subsequent section 2.3.

$$196 \quad \dot{a}_{rms} = \sqrt{(\dot{a}_1)^2 + (\dot{a}_2)^2} / 2 \quad (2)$$

197 Where \dot{a}_1 and \dot{a}_2 are the rate-of-change of CoM-acceleration and present two consecutive
 198 samples of the actual CoM-oscillations. The RMS CoM-oscillations were time normalised to
 199 500 samples (stance phase) and filtered using fourth-order Butterworth filter at 18 Hz. After
 200 computing the RMS and filtering the waveforms, the resultant vertical CoM-oscillations are
 201 plotted in Figure 2(b). Both the actual and respective RMS waveforms of CoM-oscillations
 202 are presented in Figure 2(a) and 2(b) respectively for the normal walking condition. An
 203 optimum cut-off frequency for the Butterworth filter is selected applying residual analysis
 204 method [41] to the raw waveforms of CoM-oscillations and the order of the filter was
 205 confirmed from prior similar studies [42]. The data filtration using fourth order Butterworth
 206 at 18Hz removed the noise effectively, however, due to averaging of consecutive samples, the
 207 last few samples of the unloading phases illustrated over smoothing in Figure 2(a). To
 208 overcome this issue, the whole stance phase (500 samples) was split into two windows of
 209 equal lengths. Each window (250 samples) was processed independently while computing
 210 RMS and applying filtration. Thus, the windowing of the stance phase eliminates the over
 211 smoothing effect and resultant waveforms are illustrated in Figure 2(b). The resultant
 212 CoM-oscillations showed oscillatory impulsive responses with decaying magnitudes in
 213 loading and rising magnitudes during the respective unloading phases as shown in **Figure**
 214 **2(b)**. Observing these responses, window sizes of 150 samples were selected for further

215 analysis such that the initial 30 percent of the stance from heel contact (HC) present the
216 loading phase and the last 30 percent towards toe-off present the unloading phases [43].

217 **Figure 2 here**

218 The derivative of CoM-acceleration waveforms induced noise in the output data.
219 Furthermore, the variations in the subjects' demographic data (weight, height and foot length)
220 and adaptability towards orthosis restrictions induced artefacts in the output waveforms.
221 These artefacts induce variability and hence nonlinearity in the data. In order to confirm this
222 non-linearity resulted due to demographic variations among the subjects, at first, the PCA
223 was performed using a single subject all trials and the results illustrated that the first principle
224 component (PC1) counted 99% of the variance of the input waveforms. Further, the PCA was
225 applied combined to all eleven subjects' walking trials and results illustrated that the
226 variation explained by PC1 reduced to 90%. Implies, the combined data scatted in other
227 dimensions as well. Thus, the demographic variations among the testing subjects induced
228 non-linearity. The requirement for analysing these oscillatory waveforms applying Nyquist
229 and Bode methods is to be modelled using linear time-invariant models. Following similar
230 applications from prior studies [44, 45], we have implemented principal component analysis
231 (PCA) to reduce the artefacts from repeatedly measured oscillatory waveforms. This
232 technique converts a set of correlated variables into linearly uncorrelated variables called
233 principal components (PCs). For each walking condition, an input data matrix (5 trials x 11
234 subjects) was used to reduce the variability in the data following an earlier study [46]. The
235 PCs which explained variances >80% were used to reconstruct the linear waveforms. The
236 mean of each subject's five trials was used for further analyses.

237 2.3 CoM-vibrations modelling and analysis

238 In the vertical direction, the resultant CoM-oscillations were modelled in time and frequency
239 domains applying two different model identification approaches as illustrated in **Figure 3**.
240 Since vertical GRF vector presents the resultant of whole-body inertial impacts, spring-mass
241 or spring-mass-damper models were used to present the resultant effect of whole limb
242 dynamics. In the first approach, a sum of sinusoidal functions was found the best fit
243 ($99\pm 0.5\%$) to the time series linear waveforms applying curve fitting tools (least square
244 regression) in MATLAB 2017a for both loading and unloading phases (models presented in
245 Appendix – Table A.1). This approach follows the spring-mass system identification, with
246 the assumption damping ratio approaching zero and the body experiencing free vibrations.
247 The time-domain models were converted to the frequency domain by Laplace transformation,
248 also known as transfer function (TF). In the second approach, frequency-domain models were
249 identified directly as the ratio of output to input polynomials using the System Identification
250 Toolbox in MATLAB with criteria of best fit $>95\%$ (models presented in Appendix – Table
251 A.1). This approach follows spring-mass-damper (SMD) based model identification with
252 relatively less fit for loading phase waveforms and unable to predict the unloading phase at
253 all. Hence, the second approach was used to quantify contractile properties as a result of
254 loading impacts, including the effect of damping factor.

255 **Figure 3 here**

256 A transfer function presents a system in the frequency domain as a ratio of Laplace of the
257 output to input polynomials. The roots of the denominator of a TF are used to define the
258 stability of a system (i.e., stable if it lies on the left half of the s-plane; otherwise, it is
259 unstable). Furthermore, the modelled TFs can illustrate the non-minimum phase (NMP)
260 systems in which numerator/denominator roots lie on the right half of the s-plane (Figure
261 A2). Based on a study, most of the flexible systems had NMP natures and were found
262 difficult to analyse [47]. We have applied unit impulse inputs to the modelled TFs, which is a

263 standard control engineering approach to test systems responses in the frequency domain [28]
264 and resultant outputs presented the CoM-oscillations as gain and phase plots in the frequency
265 domain (Figure A3).

266 2.4 Nyquist and Bode (N&B) stability criteria

267 The N&B methods present TFs graphically as gain or phase versus logarithmic frequency
268 axes (Figure A3). Both methods are applied alternatively; however, Bode plot is more widely
269 used with its distinct graphical representation for gain and phase plots compared with an
270 equivalent single Nyquist plot. Here, both methods were implemented, and stability margins
271 were confirmed from each other. The Nyquist criteria define relative stabilities in terms of
272 gain and phase margins. It employed Cauchy's theorem with distinct stability cutoffs (i.e., 0
273 dB gain and $\pm 180^\circ \pm 2k\pi$ phase) with reference of which stability margins are quantified such
274 that the points where gain and phase plots cut respective axis are called cutoff frequencies. At
275 phase cutoff frequency, the difference of gain plot from '0dB axis' measures gain margin
276 (GM), and at gain cutoff frequency, the difference of phase magnitude from ' $\pm 180^\circ \pm 2k\pi$
277 axes' measures as phase margins (PM) as illustrated in Figure A3. The GM and PM quantify
278 the ability of a system to withstand internal or external disturbances. Applying Nyquist and
279 Bode methods, the gain instability refers to how much a person deviates from the point of
280 stability for which the reference thresholds are 0dB gain and $\pm 180^\circ$ phase. A GM quantifies
281 robustness with respect to amplitude, and a PM quantifies the ability to withstand time
282 delays. A system may have one or more GMs and PMs, and among those, the one with the
283 smallest absolute margin would be critical to define the system's stability [48]. The
284 contractile properties define lower-limbs overall compliant dynamics (resultant of muscles
285 activation) such as damping ratio, natural frequency and peak gain. These properties quantify
286 limb impact forces attenuation properties. Previously, these contractile properties were
287 evaluated using resultant ankle moments [16] or vertical GRFs [6, 18]. These properties have

288 been used to differentiate healthy versus impaired subjects' ability to generate/absorb impact
 289 forces. In the current study, the structural impact of a wearable orthosis on the limb compliant
 290 dynamics are evaluated using these properties. These properties are defined here using
 291 formulae described in control theory texts [49] (Figure A4).

292 1) Damping Ratio (DR) - The damping ratio is a dimensionless quantity that quantifies the
 293 system's ability to attenuate oscillations/vibrations in response to a disturbance. Practically,
 294 an underdamped system has $0 < \zeta < 1$, and an undamped system has $\zeta = 0$. A decrease in the
 295 damping ratio implies more oscillations resulting from heel contact.

$$296 \quad \zeta = -\cos(\theta)$$

297 where ' θ ' is the angle from the origin to the pole location.

298 2) Peak Gain (Mr) - It presents the maximum magnitude in the gain plot. For a normal gait
 299 performance, peak gains are required to maintain the range of healthy subject data to provide
 300 optimum somatosensory inputs to the neuromotor for balance control.

301 3) Natural Frequency (ω_n) – This presents the frequency of CoM-oscillations, which is used
 302 to analyse the response of a system.

$$303 \quad \omega_n = |s|$$

304 where 's' is pole location. Since the natural frequency of oscillations depends on pole
 305 locations, the pole which presents maximum natural frequency is used for analysis.

306 2.5 Statistical Analysis

307 Both contractile properties and stability margins were compared statistically using IBM
 308 SPSS-V23 software. First, the distribution of data samples in each variable was tested
 309 applying the Shapiro–Wilk test and found overall non-normal distributions ($p < 0.05$).

310 Observing that, a nonparametric Wilcoxon signed-rank test was applied in pairwise. Gait
311 metrics are considered statistically significant if $p < 0.05$. All AFO walking conditions are
312 compared with a normal walk to understand the effect of an orthosis on gait dynamic stability
313 with/without applying restrictions, and all AFO-restricted walking conditions are also
314 compared with an AFO free-mode walk to understand the dynamic response of AFO
315 adjustments.

316 **3. Results**

317 The best models fitted to whole-body vertical vibrations are identified from the coefficient of
318 determinant (R^2) as described in the Appendix (Tables A.2 and A.3). Lower limb contractile
319 dynamics identified from spring-mass models illustrated that the natural frequency (ω_n) of
320 CoM-oscillations decreased ($p < 0.05$) in all AFO walking conditions when compared with
321 both normal and AFO (free-mode) walks as illustrated in **Figure 4** and Table A.3. The only
322 exception was the dorsi-plantar combined resistance (DPRT) condition which illustrated an
323 increase ($p < 0.05$) in frequency. Considering peak gains (M_r), only the dorsiflexion-
324 restricted walking conditions (i.e., moderate restriction [DRT] and severe restriction [DRR])
325 showed a decrease ($p < 0.05$) in peak gain compared with a normal walk. The best-fit
326 sinusoidal models illustrated undamped response, that is, the damping ratio (ζ) approaches
327 zero in all walking conditions.

328 **Figure 4 here**

329 Considering the second modelling approach (spring-mass-damper system), the natural
330 frequency of CoM-oscillations are in range to that of the first modelling approach. However,
331 the damping ratio reduced the peak gains as shown in **Figure 5** and Table A.4. Both methods
332 illustrated similar patterns with respect to natural frequency and peak gains, that is, the
333 natural frequency decreased compared with the normal and AFO free-mode walks and the

334 peak gain decreased compared with a normal walk. Overall, SMD models illustrated a low
335 damping ratio (DR) in all walking conditions. The DR increased by wearing AFO in free
336 mode and decreased significantly on applying restriction compared with both normal and
337 AFO free-mode walks.

338 **Figure 5 here**

339 Walking with AFO in its free mode illustrated no difference in loading phase stability
340 margins compared with a normal walk. However, applying restrictions to the ankle-foot joint
341 by tuning AFO (**Figure 6**, Table A.2), all walking restrictions showed a decrease ($p < 0.05$)
342 in GMs during the loading phase compared with a normal walk, and only totally restricted
343 walking conditions (i.e., DPRR, DRR) showed a decrease in GMs ($p < 0.05$) when compared
344 with an AFO free-mode walk. The PMs increased significantly in all AFO restricted walks
345 when compared with an AFO free-mode walk, and no difference was found when compared
346 with a normal walk. During the respective unloading gait transitions (**Figure 7**, Table A.2),
347 moderately restricted walking conditions (i.e., DPRT, DRT) illustrated an increase ($p < 0.05$)
348 in both GMs and PMs when compared with AFO free-mode walk. Comparing with a normal
349 walk, both moderately restricted conditions also illustrated an increase in GMs, however,
350 decreased in the PMs. In comparison, totally restricted walking conditions (i.e., DPRR, DRR)
351 showed a decrease in GMs and an increase in PMs compared with an AFO free-mode walk.
352 However, both of these restricted walking conditions illustrated a decrease in PMs compared
353 with a normal walk.

354 **Figure 6 here**

355 **Figure 7 here**

356 Gait spatiotemporal parameters are also evaluated and summarised in Table A.5 (Appendix
357 A). There is no difference found in the walking speed and stride duration while comparing
358 AFO restricted conditions with AFO free-mode walk. However, a normal walk at preferred
359 speed illustrated a significant increase in both parameters when compared with all AFO
360 walking conditions. The initial double limb support time is significantly increased ($p < 0.05$)
361 in all AFO walking conditions compared with a normal walk.

362 **4. Discussion**

363 The goal of this study was to introduce methods for quantifying vertical vibration impacts on
364 walking stability and lower-limb compliant dynamics. These methods are further applied to
365 investigate wearable orthosis structural impacts. Our results illustrated significant variations
366 in the aforementioned gait dynamics with the effect of wearable AFO which was tuned to
367 various clinically applied ranges (**Table 1**). Compared with prior studies [6, 7], this study
368 evaluated lower limb vertical dynamics directly from the experimental GRF data applying
369 system identification approach. The identified models included spring-mass (SM) and spring-
370 mass-damper (SMD) based approaches with predictable best-fit coefficients. In earlier
371 studies, the rigid elements, such as body mass, spring stiffness and damper parameters, are
372 adjusted randomly to achieve the resultant GRF close to the experimental data. These
373 empirical models are speculated to overrate limb compliant dynamics because of either misfit
374 or missing limb dynamics.

375 Further analysis of both identified models illustrated that the SM model was found the best fit
376 (99%) to the experimental data and offered lesser variations while quantifying stability
377 margins applying Nyquist and Bode methods. However, SM models do not consider the
378 damping factor that is responsible for the decays in transient impacts generated during heel
379 contact, which illustrates a limitation of the SM-based modelling approach. In comparison,

380 the SMD-based model identification illustrated large standard deviations in stability margins
381 with relatively less fit to the impact loading waveforms (Appendix Table A.6). That follows
382 the Nyquist stability criteria which quantify GM/PM with respect to the reference point (-
383 1,0j), where a large deviation would result if gain or phase magnitudes deviate [48]. These
384 findings illustrate that the gait dynamic stability evaluation is sensitive to the best-fit model
385 and that spring-mass models are more appropriate for such evaluations. However, the
386 consideration of the damping factor makes the SMD model potentially appropriate while
387 quantifying limb compliant dynamics in the vertical direction. Despite SMD models having
388 the damping characteristics of the lower limbs, this approach was not found to be convergent
389 to the respective unloading phase oscillatory waveforms.

390 Prior studies illustrate that the CoM-oscillations generated during loading and unloading gait
391 phases transmit along the longitudinal direction of the lower limbs [4] and act as
392 somatosensory feedback to control neuromuscular activations [50]. Our results from SMD
393 models illustrated that the variations in peak gain both with/without wearing AFO are in
394 range (30 to 22 dB) to an earlier study [16] where the limb contractile properties are
395 quantified from ankle-foot torque waveforms fitted to a second-order underdamp model.
396 Furthermore, the peak gains quantified here illustrated decreasing trends by applying
397 restrictions to the ankle joint, also reported previously for patients (50 to 39 dB) [16] having
398 reduced ankle motions because of spastic gait. However, both the damping ratio and the
399 natural frequency of CoM-oscillations (impact forces) are far less in our study compared with
400 a prior study. This might be resultant of the empirical-based modelling approach adopted
401 previously without any predictable accuracy of best fit. In another study [18], SMD model
402 based lower limb compliant dynamics is reviewed and has reported large variations in the
403 damping ratio (0.17 to 1.9), whereas our results support lower ranges (0.25 ± 0.006 for a
404 normal walk) of these reported DRs [6, 7]. This study also illustrated a decrease in DR on

405 applying moderate to severe AFO restrictions. This implies that the leg muscles are stiffed
406 enough against applied restrictions and unable to generate any further moments. This is
407 consistent with prior studies where the ankle and knee joint moments are reported to increase
408 during loading phases and under similar walking conditions [6, 8].

409 Considering walking stabilities, the loading and unloading phases are of particular
410 importance, during which a maximum push-off is exerted in the leading limb and braking
411 torque is generated in the trailing limb. Previously reported methods [24, 29] used to quantify
412 gait stability in anterior-posterior and medial-lateral directions (e.g. margin-of-stability
413 quantifies CoM w.r.t BoS), however, the current study scaled the gait stability in the vertical
414 direction and filled this gap. Stability margins quantified in this study illustrated unstable
415 responses during both of these two phases. This is consistent with the prior studies where
416 vertical oscillations were reported to deteriorate the lower limb joints performance [4, 5] and
417 various foot insoles were used to damp their effect [22, 23]. Thus, vertical CoM-oscillations
418 induce instability even in a normal walk, however, this instability normally remains tolerable
419 i.e. the margins are small enough to prevent fall as quantified here as GMs and PMs. This
420 instability diminishes as CoM-oscillations decay towards mid-terminal stance during which
421 these oscillations remain relatively steady-state as illustrated in Figure 2(b). Thus, the
422 periodic instability quantified in this study during loading and unloading phases also
423 reinforce the argument of inherent instability in the human gait and regain of stability during
424 single limb support (i.e. mid-terminal stance - during which CoM remains within BoS in the
425 AP direction).

426 During the impact loading, our results using SM models illustrated a decrease in instability
427 (GMs) by restricting ankle-foot motion through AFO, and no effect was found in terms of
428 PMs (time delay). Because the AFO rigid structure allows less freedom to the ankle-foot
429 movements, hence, subjects adapted wearable device with a reduction in their preferred

430 walking speed and joints movements, as a result, the vertical CoM-oscillations reduced both
431 in magnitude (peak gains) and GMs during loading. Also, the increase in initial double-limb-
432 support time by wearing AFO illustrated that the subjects emphasized to stay longer on their
433 double limbs during the loading phase in an effort to maintain instability closer to normal
434 thresholds. Our results for the PMs also reflect this outcome with a relative increase in time
435 delays by wearing AFO, though this increase is statistically insignificant. Thus, a neuromotor
436 control illustrates robustness with respect to time delays during loading phases and decreased
437 peak gains and GMs compared with a normal walk. Earlier studies reported that a nominal
438 range of CoM-oscillations is essential as sensory feedback in neuromotor balance control and
439 muscles activations [51]. Our results for the loading phases illustrated that this sensory
440 feedback gets affected in terms of peak gain and GMs by wearing wearable orthosis.

441 During the respective unloading phases, the instability quantified by GMs increased in both
442 AFO (free mode) and moderately applied restrictions and decreased for severe restrictions.
443 This is because moderately applied resistive torques allow leg muscles to increase their
444 activity against applied restrictions, also illustrated by an increase in ankle and knee moments
445 near push-off (Table A.7), whereas more severe restrictions do not allow ankle-foot motion
446 at all. Overall, wearable AFO illustrated a reduction in PMs (time delays) compared with a
447 normal walk, although the effect size was small. This is consistent with a prior study where
448 very small delays are reported in the activation of leg muscles in response to AFO
449 plantarflexion resistance [30].

450 The methods introduced in this study provide a proof of concept that wearable devices affect
451 gait vertical dynamics and hence neuromotor control. The whole-body vertical oscillations
452 were modelled here just like the mathematical models used in Visual3D or OpenSim software
453 to compute gait biomechanics. This study involved various data processing and mathematical
454 steps, but also the following limitations of this work have been identified. Firstly, the

455 analysing signals (i.e. vertical GRFs) are experimentally measured using the force plates only
456 for the stance phase, hence, these methods are limited to assess stance phase stability.
457 Secondly, the best fit models (i.e. curve fitting approach) did not consider the damping effect
458 of the lower limbs, hence, the second approach (i.e. system identification) was adopted with
459 relatively less fit (R^2) to define the limb contractile dynamics completely. Lastly, in this
460 study, the CoM-oscillations modelled using the best-fit criteria (i.e. R^2) were resulted in the
461 higher-order frequency domain transfer functions which can be simplified with little
462 compromise in the results.

463 Summarising, an SMD based model identification was found more predictive to quantify
464 limb contractile dynamics, and SM models were determined appropriate to quantify limb
465 dynamic stabilities. Limb contractile dynamics are important to evaluate in a situation like
466 poor neuromuscular sensation or leg paraesthesia in which patients experience serious
467 weight-bearing problems. These methods are helpful in the differential diagnosis of an
468 impaired limb and in the evaluation of rehabilitative measures such as heel pads, assistive
469 orthosis and vibration therapies. A reduced ankle-foot motion simulated here by wearing an
470 AFO also mimics ankle-foot impairments such as Charcot-Marie-Tooth (CMT), foot drop
471 and spastic gait [52] and thus gives insight for stability evaluation in such patients. Further
472 analysis of modelled limb dynamics applying Nyquist criteria provides critical information
473 about limb stability. In the future, we will extend the scope of our research by acquiring the
474 CoM-oscillations data for the swing phase using IMU sensors and applying model reduction
475 techniques to optimise the higher-order models used in this study. Further, these methods will
476 be applied to evaluate the effectiveness of wearable orthosis in patients with lower limb
477 impairments.

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485 (Ref. MEEC 15-050)

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609 individuals affected by Charcot-Marie-Tooth disease: the usefulness of gait profile score and gait
610 variable score. *Disability and Rehabilitation*. 2018:1-6.

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Table 1

615 Table 1. The ankle-foot restricted conditions simulated using an adjustable ankle-foot
616 orthosis (AFO).

617

AFO Restrictions (Single limb)	Abbreviation	Range
Normal (without AFO)	Normal	-
AFO restriction free	AFO (reference)	Free
Dorsiflexion resistive torque	DRT	33Nm
Dorsiflexion range-of-motion restriction	DRR	$35^{\circ} \pm 5^{\circ}$
Dorsi-plantarflexion resistive torques	DPRT	$\pm 33\text{Nm}$
Dorsi-plantarflexion range-of-motion restrictions	DPRR	$\pm 35^{\circ} \pm 5^{\circ}$

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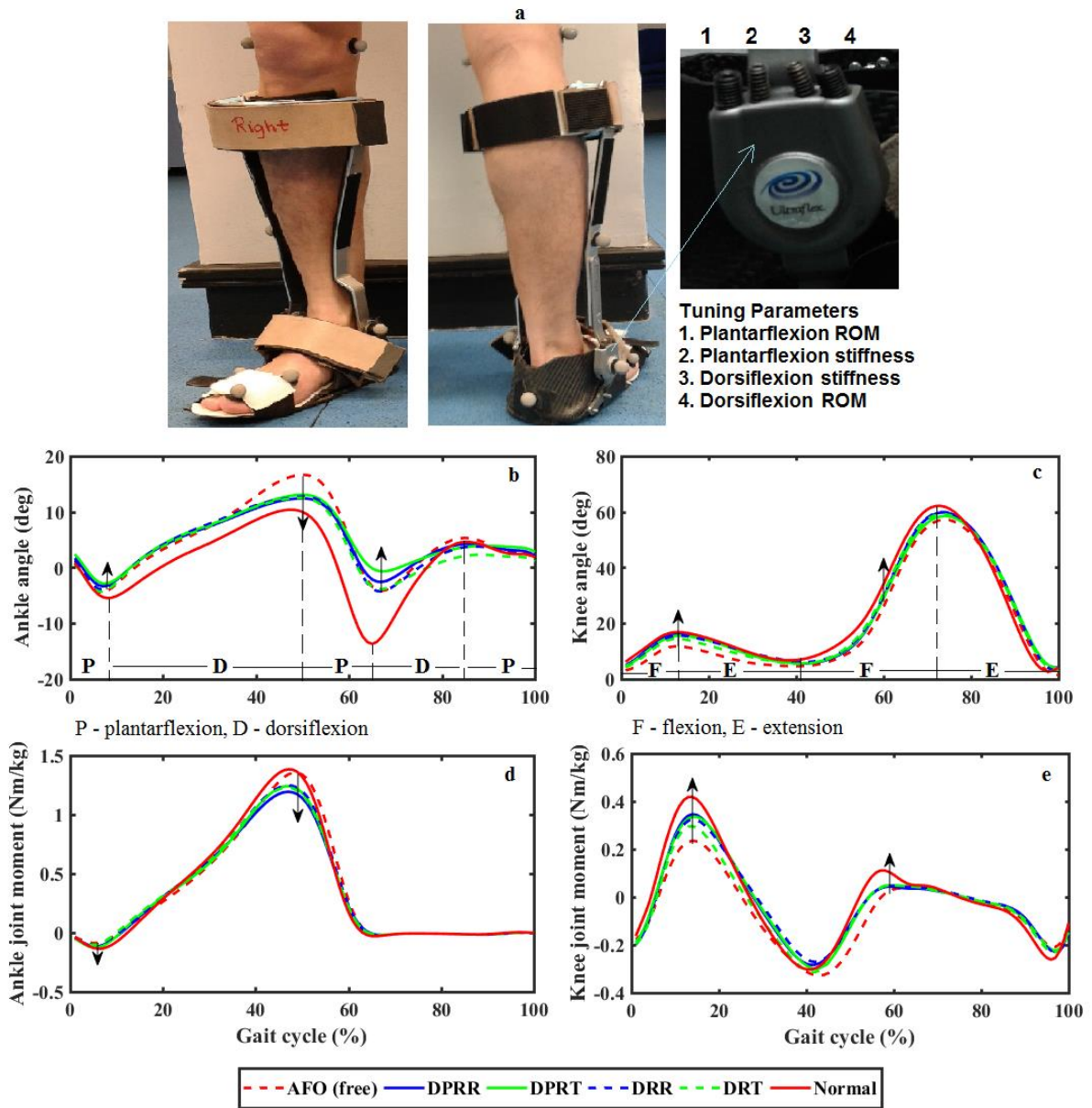
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Figure 1



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641 Figure 1. Ankle-foot orthosis and resultant ankle and knee joints angle and moment waveforms are

642 plotted for with/without AFO restrictions.

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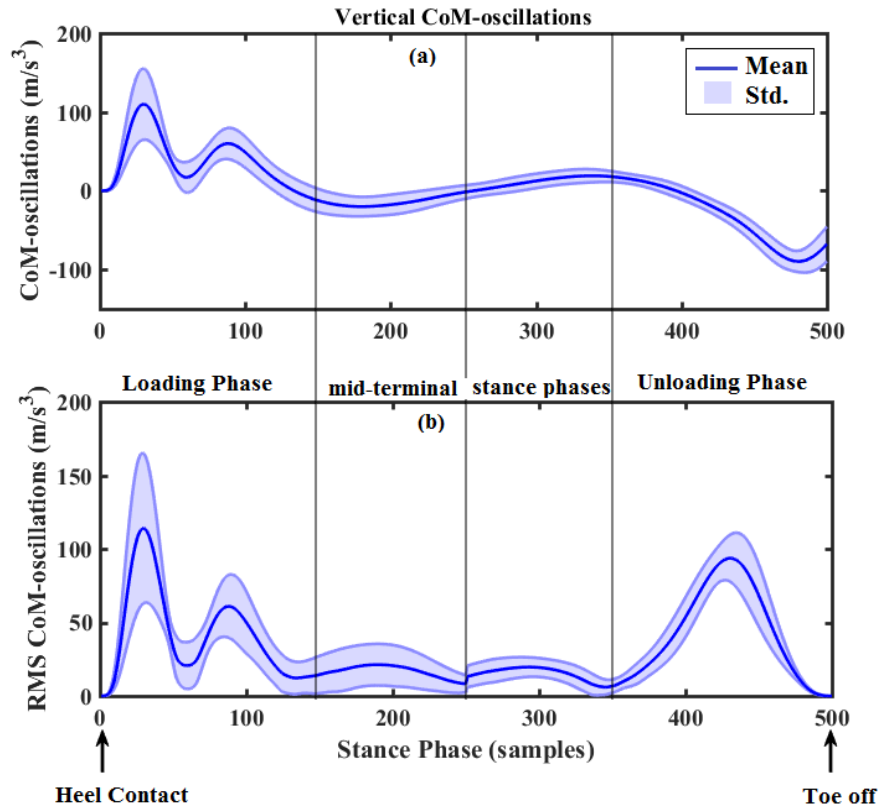
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Figure 2



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653 Figure 2. Rate of change in vertical-GRF illustrating impulsive oscillations during loading and
 654 unloading of stance phase. (a) actual CoM-oscillations, (b) root-mean-square (RMS)
 655 oscillations, (c) CoM-oscillations act as somatosensory feedback.

Figure 3

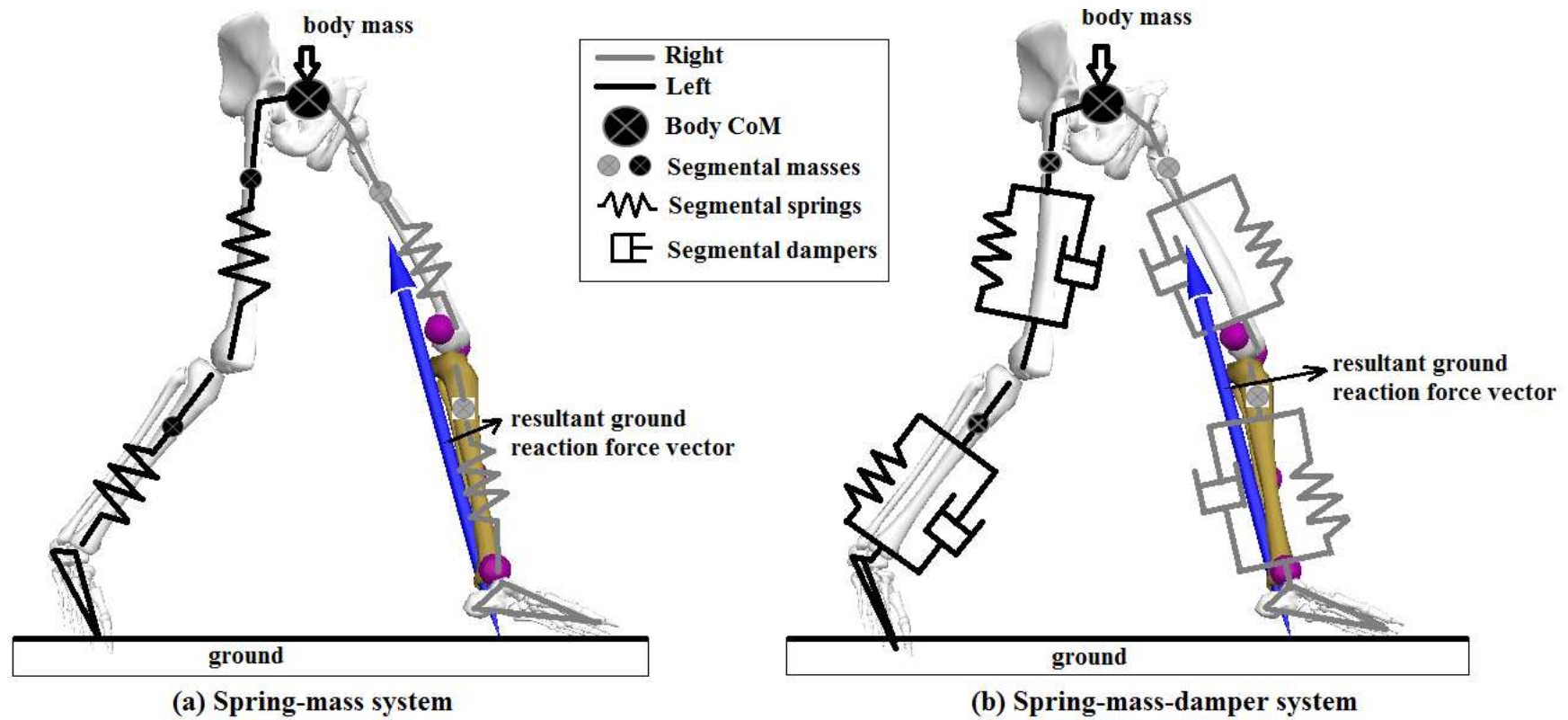
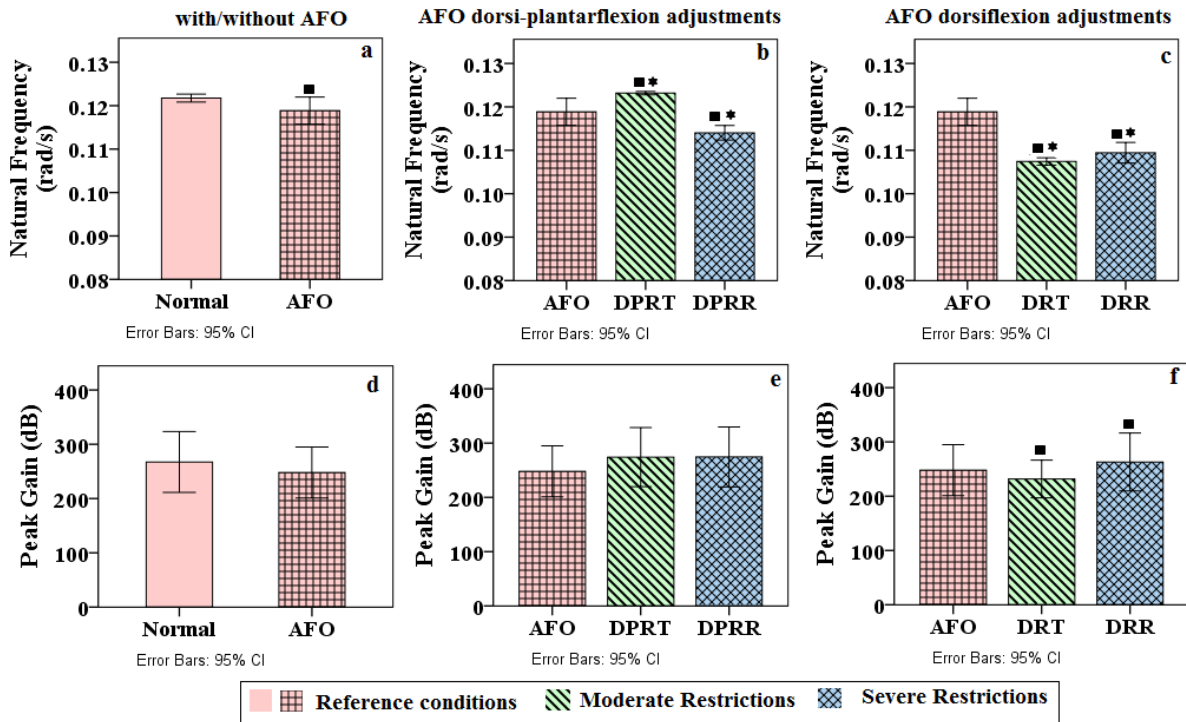


Figure 3. Lower limb model identification approaches using vertical-GRF. (a) Spring-mass (SM) model, (b) Spring-mass-damper (SMD) model.

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Figure 4



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3 Figure 4. Lower limb contractile dynamics quantified from loading impact using a spring-
 4 mass model identification approach. ‘★’ illustrate a significant difference with a normal
 5 walk, ‘■’ illustrate the significant difference with an AFO free-mode walk.

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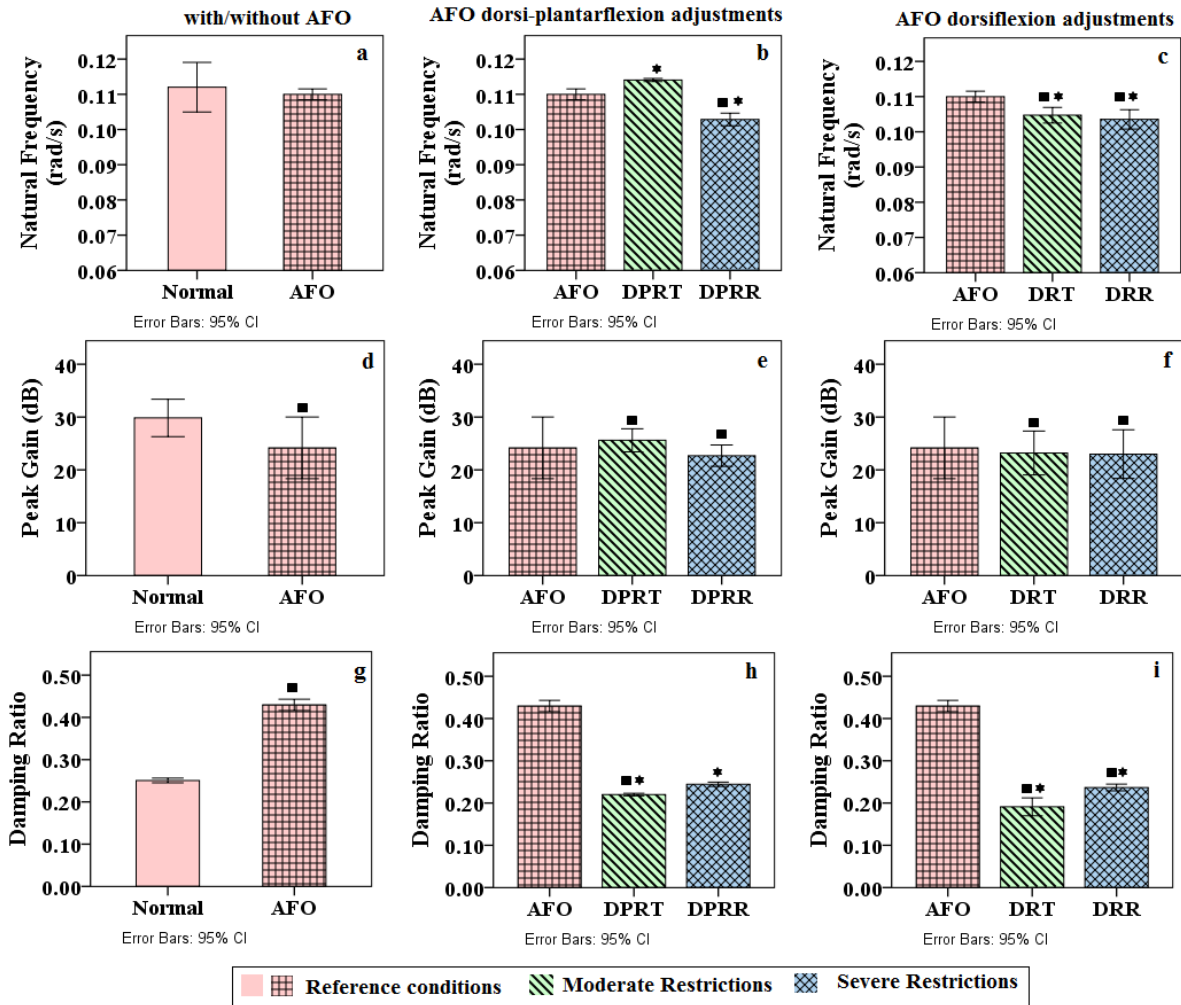
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Figure 5



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21 Figure 5. Lower limb contractile dynamics quantified from loading impact using spring-
 22 mass-damper model identification approach. ‘★’ illustrate a significant difference with a
 23 normal walk, ‘■’ illustrate the significant difference with an AFO free-mode walk.

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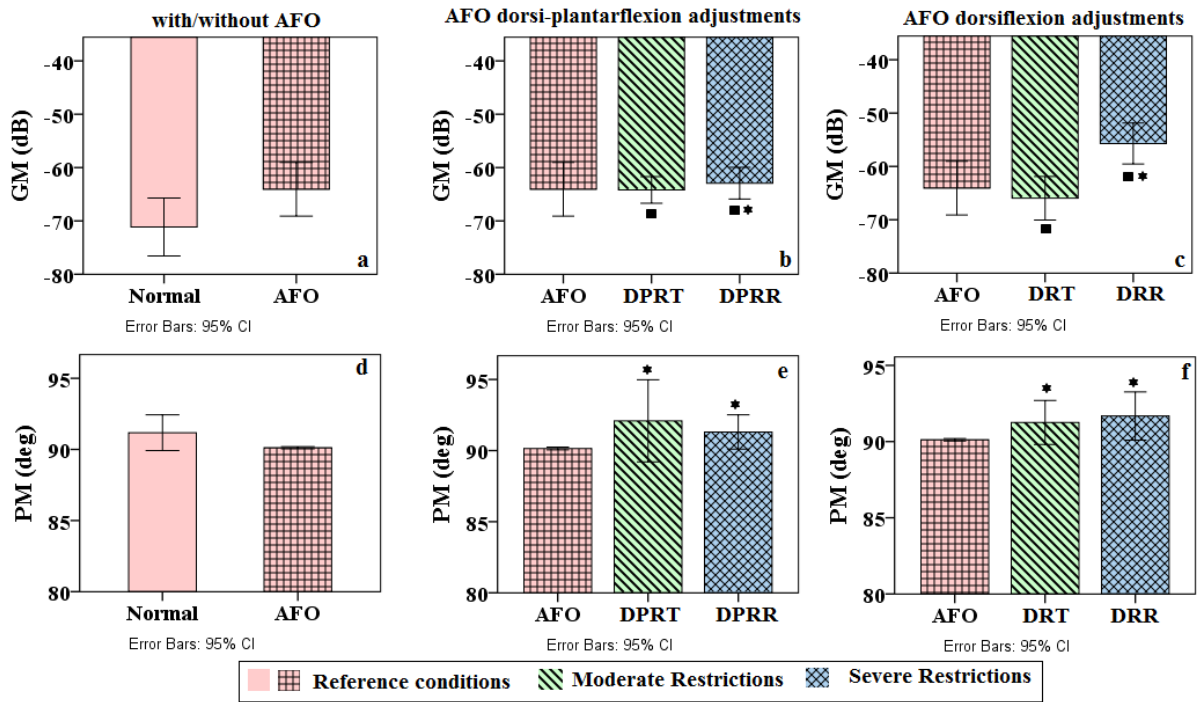
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Figure 6



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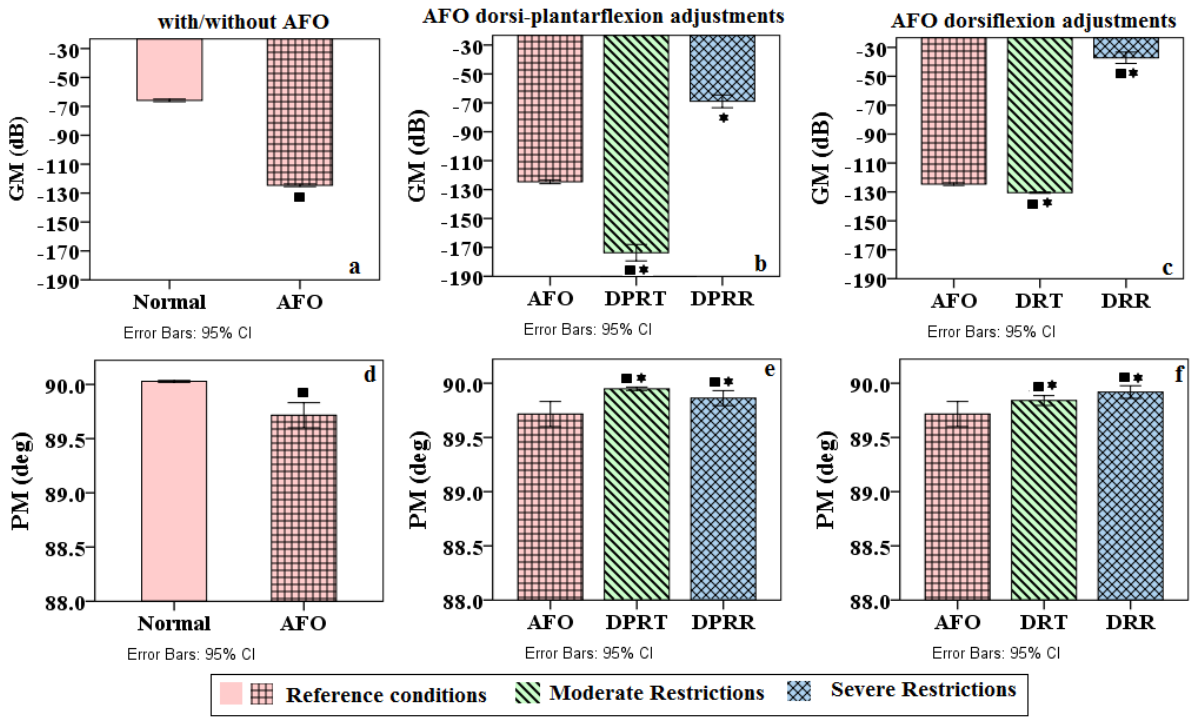
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Figure 6. Loading phases stability margins compared to gain margins (GM) and phase margins (PM) with and without the effect of an ankle-foot orthosis (AFO). ‘★’ illustrate a significant difference with a normal walk, ‘■’ illustrate a significant difference with an AFO free-mode walk.

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Figure 7



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52 Figure 7. Unloading phases stability margins compared with and without an ankle-foot
 53 orthosis (AFO). ‘★’ illustrate a significant difference with a normal walk, ‘■’ illustrate a
 54 significant difference with an AFO free-mode walk.

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