

1 **A Systematic Review of Anticoagulation Strategies for Patients With Atrial Fibrillation in**  
2 **Critical Care.**

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23 **Abstract**

24 **Background.** Atrial fibrillation (AF) is the most common cardiac arrhythmia in critically  
25 ill patients. There is a paucity of data assessing the impact of anticoagulation strategies on  
26 clinical outcomes for general critical care patients with AF. Our aim was to assess the existing  
27 literature to evaluate the effectiveness of anticoagulation strategies used in critical care for AF.

28 **Methodology.** A systematic literature search was conducted using MEDLINE,  
29 EMBASE, CENTRAL and PubMed databases. Studies reporting anticoagulation strategies for  
30 AF in adults admitted to a general critical care setting were assessed for inclusion.

31 **Results.** Four studies were selected for data extraction. A total of 44087 patients were  
32 identified with AF, of which 17.8-49.4% received anticoagulation. The reported incidence of  
33 thromboembolic events was 0-1.4% for anticoagulated patients, and 0-1.3% in non-  
34 anticoagulated patients. Major bleeding events were reported in three studies and occurred in  
35 7.2-8.6% of the anticoagulated patients and in up to 7.1% of the non-anticoagulated patients.

36 **Conclusions.** There was an increased incidence of major bleeding events in  
37 anticoagulated patients with AF in critical care compared to non-anticoagulated patients. There  
38 was no significant difference in the incidence of reported thromboembolic events within studies  
39 between patients who did and did not receive anticoagulation. However, the outcomes reported  
40 within studies were not standardised, therefore, the generalisability of our results to the general  
41 critical care population remains unclear. Further data is required to facilitate an evidence-based  
42 assessment of the risks and benefits of anticoagulation for critically ill patients with AF.

43 **Keywords:** Atrial fibrillation, anticoagulation, critical care, intensive care, new-onset atrial  
44 fibrillation.

45 **Introduction**

46 Atrial fibrillation (AF) is the most common cardiac arrhythmia in the critical care population<sup>1,2</sup>.

47 Nearly one third of patients admitted to critical care have a diagnosis of pre-existing atrial  
48 fibrillation (PEAF) or develop new-onset atrial fibrillation (NOAF) during their admission<sup>1</sup>. AF  
49 can result in rapid ventricular rates, leading to decreased cardiac output and haemodynamic  
50 compromise which may acutely decompensate already unstable critically ill patients<sup>1,3</sup>. Reduced  
51 blood velocity in the left atrium, as a result of inefficient atrial systole, predisposes patients with  
52 AF to cardiac and systemic emboli, which can cause a significant disease burden both in critical  
53 care and long term<sup>4,5</sup>. AF in the critical care setting is associated with a two to fivefold increased  
54 risk of mortality, and a twofold increased risk of stroke<sup>1,6</sup>.

55 In addition to well-known risk factors for AF, such as advancing age, hypertension, ischaemic  
56 heart disease, heart failure and valvular disease, there are specific factors related to critical illness  
57 that predispose patients to the development of NOAF<sup>1,2</sup>. These factors include electrolyte  
58 abnormalities, hypoxaemia, adrenergic overstimulation, progressive autonomic dysfunction,  
59 acute systemic inflammation, sepsis and shock<sup>1,2</sup>. Changes in autonomic activity, resulting from  
60 vasopressor administration and electrolyte disturbances, can lead to increased atrial ectopic  
61 impulses and subsequent NOAF<sup>3</sup>.

62 Chronic comorbid conditions associated with AF, such as hypertension, ischaemic heart disease  
63 and pulmonary diseases are common in patients admitted to critical care<sup>7</sup>. Furthermore, critical  
64 illness predominantly affects adults above the age of 65 years which matches the age-related risk  
65 for developing AF in the general population<sup>8</sup>.

66 Oral anticoagulation for thromboembolism prophylaxis is a key component of managing AF in  
67 the general population, however, no specific guidelines currently exist for the use of

68 anticoagulation in the critical care setting<sup>9</sup>. Internationally, clinicians are guided in the  
69 management of AF by recommendations from the National Institute for Health and Care  
70 Excellence (NICE), the European Cardiology Society and the American Heart Association<sup>10-12</sup>.  
71 With regard to anticoagulation for NOAF; these guidelines recommend the use of validated tools  
72 to assess thromboembolic risk (e.g. CHA<sub>2</sub>DS<sub>2</sub>-VASc) and bleeding risk (HAS-BLED) to stratify  
73 patients that may benefit from systemic anticoagulation through prevention of thromboembolic  
74 events such as stroke<sup>10</sup>. However, the risk-benefit tools used to aid decision making about  
75 anticoagulation, including CHA<sub>2</sub>DS<sub>2</sub>-VASc and HAS-BLED, have not been validated in critical  
76 care populations<sup>13</sup>. A recent retrospective study showed that CHA<sub>2</sub>DS<sub>2</sub>-VASc and HAS-BLED  
77 scores were not associated with stroke or major bleeding and were unable to predict these events  
78<sup>14</sup>. Therefore, decisions around anticoagulation strategies in critical care populations are complex  
79 and challenging. There are currently no recommendations relating specifically to the rational  
80 therapy of AF in the critical care setting<sup>13,15</sup>. Critically ill patients may be at increased risk of  
81 bleeding, whilst simultaneously being hypercoagulable due to the abnormal haemostasis that is  
82 associated with critical illness<sup>13</sup>. In critically ill patients thrombocytopenia is seen in up to 44%  
83 of patients and is associated with a four- to fivefold increased risk of bleeding<sup>16</sup>. Other factors  
84 contributing to this acquired coagulopathy include severe sepsis, disseminated intravascular  
85 coagulation, prolonged global coagulation times and reduced levels of coagulation inhibitors<sup>16</sup>.  
86 Additionally, critically ill patients are at a greater risk of thromboembolism due to  
87 immobilisation, inflammation, mechanical ventilation and dehydration<sup>17,18</sup>. Furthermore, the  
88 potential need for urgent procedures and invasive devices, such as arterial lines and central  
89 venous catheters, poses an additional challenge in effectively anticoagulating these patients and  
90 must be considered when making anticoagulation decisions<sup>19</sup>. Major bleeding occurs in over 5%

91 of critically ill patients and is associated with a higher risk of in-hospital death. As a  
92 consequence, thromboembolic prophylaxis for AF in critical care cannot be managed in the same  
93 way as in non-critically ill patients<sup>20</sup>. Hence, further research is required to facilitate the  
94 development of management guidelines for AF in the critical care setting.  
95 There is a paucity of data assessing the impact of different anticoagulation strategies on clinical  
96 outcomes for general critical care patients with AF, both NOAF and PEAFF<sup>21</sup>. A nationwide  
97 survey of intensive care clinicians revealed that 63.0% of clinicians would not routinely  
98 anticoagulate critically ill patients with NOAF, while 30.8% would consider anticoagulation if  
99 NOAF persisted beyond 72 hours, rather than the 48 hours recommended by guidelines<sup>9,12,22</sup>.  
100 Despite international guidance, a large variation in practice exists between critical care  
101 clinicians, representing the unique challenges of managing AF in critically unwell patients<sup>11,12,22</sup>.  
102 A consensus on an effective anticoagulation strategy for AF has therefore not yet been reached,  
103 with current practice largely based on observational studies and expert opinion<sup>3</sup>. This systematic  
104 review is designed to evaluate the effectiveness of anticoagulation strategies for AF in the critical  
105 care setting.

106

## 107 **Methods**

### 108 **Protocol and registration**

109 This systematic review was conducted in accordance with The Cochrane Collaboration  
110 principles of Systematic Reviews and reported following the Preferred Reporting Items for  
111 Systematic Reviews and Meta-Analyses (PRISMA) guidelines<sup>23,24</sup>. The protocol for this  
112 systematic review is registered with the International Prospective Register of Systematic

113 Reviews (PROSPERO) database (registration number: CRD42020158237). A full publication of  
114 this protocol is available<sup>25</sup> (PMID: 33082186, doi:10.1136/ bmjopen-2020-037591).

115

### 116 **Eligibility criteria**

117 All quantitative studies reporting anticoagulation strategies for AF in adults (>18 years) admitted  
118 to a general critical care unit or high dependency unit were assessed for inclusion. Study  
119 selection was unrestricted by language. Non-randomised, randomised, prospective and  
120 retrospective studies were eligible for inclusion, however, qualitative studies, case studies,  
121 editorials, letters, practice guidelines, grey literature, abstract only reports, reviews and  
122 commentaries were excluded. In order to comparatively assess the outcome measures, included  
123 studies had both an anticoagulated and a non-anticoagulated group of patients with AF in critical  
124 care. Studies including patients that had undergone cardiothoracic surgery were excluded, as  
125 were studies based in service-specific intensive care units (ICU) (such as coronary care units,  
126 surgical ICUs and paediatric ICUs), acute medical units and emergency departments. Studies  
127 including patients who had been commenced on anticoagulation for a reason other than AF or  
128 had an inherited or pre-existing bleeding or clotting disorder who could not be disentangled from  
129 the entire cohort were also excluded.

130

### 131 **Data sources and search strategy**

132 A comprehensive broad literature search was conducted with the assistance of a health  
133 information specialist. Databases were accessed via NICE Healthcare Database Advanced  
134 Search (HDAS) using OpenAthens in October 2019. Studies were identified by searching  
135 Medical Literature Analysis and Retrieval System Online (MEDLINE), Excerpta Medica

136 database (EMBASE), the Cochrane Central Register of Controlled Trials (CENTRAL) and  
137 PubMed. A full description of the search strategy used in HDAS is included (Supplementary  
138 Table 1).

139

#### 140 **Data extraction and study selection**

141 The results of studies identified from the search strategy were exported to Endnote X9 (Clarivate  
142 analytics) and any duplicates were removed (AN). All citations were then imported into the  
143 Covidence systematic review platform (Veritas Health Innovation, Melbourne, Australia). Two  
144 reviewers (AN and GL) independently screened the titles and abstracts of the identified studies  
145 from the search strategy and any potentially relevant studies were then screened against inclusion  
146 and exclusion criteria. Reference lists of included studies were screened to identify any other  
147 eligible studies and authors were contacted if clarification regarding the data and/or methodology  
148 was required. Any discrepancies or conflicts in this screening process were resolved by  
149 discussion and subsequent input from a third senior reviewer (BWJ). Data was extracted  
150 independently by one researcher (AN) and reviewed by a second researcher (GL). The following  
151 information was extracted from studies: 1) study characteristic, including title, authors, journal,  
152 publication date; 2) study design and methodology, including study type, study period and  
153 number of participants; 3) population characteristics: age, sex, setting, patient comorbidities,  
154 number of patients with AF; 4) recruitment procedures; and 5) the outcome measures and  
155 reported findings in each study. The extracted data was documented in a series of study tables for  
156 analysis.

157

#### 158 **Outcome measures**

159 The primary outcome measures were the percentage of patients anticoagulated for treatment of  
160 AF in a critical care setting and the anticoagulation strategy they received. Secondary outcome  
161 measures included the incidence of any thromboembolic events (defined as stroke, transient  
162 ischaemic attacks (TIAs), mesenteric ischaemia, acute limb ischaemia and pulmonary embolism)  
163 during critical care admission; the development of a major bleeding event (defined by the  
164 included study); length of stay (LOS) on ICU; and mortality on ICU, mortality at 28 days, 90  
165 days and 365 days post discharge to identify both short and long term mortality. Other data  
166 abstracted included the use of any risk stratification scores for anticoagulation such as  
167 CHA<sub>2</sub>DS<sub>2</sub>-VASc and HAS-BLED, and risk stratification scores for critical illness such as  
168 APACHE II or SOFA.

169

## 170 **Assessment of bias**

171 Risk of bias in the identified studies was assessed using a modified Newcastle-Ottawa Scale  
172 (NOS), a scoring system for non-randomised trials<sup>26</sup>. The NOS is used to assess a study on three  
173 broad perspectives: the selection of the study groups; the comparability of groups; and the  
174 ascertainment of the outcome of interest. Although we did not identify any randomised  
175 controlled trials, we had planned to use the revised Cochrane Collaborations Risk of Bias (RoB2)  
176 tool to assess bias in those studies<sup>25</sup>, using the criteria outlined in the Cochrane Handbook of  
177 Systematic Reviews of Intervention<sup>27</sup>.

178

## 179 **Results**

### 180 **Study identification**



181 Our literature search identified 1119 studies, of which 1081 were excluded following title and  
182 abstract screening. Full text review was undertaken for the 38 remaining studies and a further 34  
183 studies were excluded. Four studies were progressed for data abstraction. We did not undertake  
184 meta-analysis due to the limited number of studies and their heterogeneity, which would have  
185 made statistical comparisons unmeaningful. As such, the results are presented as a narrative  
186 synthesis of the available data. Figure 1 represents a flowchart of the study identification process  
187 including the reasons for exclusion after full text review.

188

### 189 **Study characteristics**

190 One prospective and three retrospective observational studies were included in our review (Table  
191 1); the respective patient population sizes of each study were 115<sup>21</sup>, 325<sup>15</sup>, 38582<sup>28</sup> and 57110<sup>29</sup>  
192 (Table 2). We contacted all authors for clarification of individual methodology and outcomes of  
193 their study, particularly mortality at 30 and 365 days, illness severity scores, and type of  
194 therapeutic anticoagulants used. However, no additional data could be made available for further  
195 analysis. We included one study, by Walkey et al., representing a patient population not  
196 exclusively based in ICU<sup>28</sup>. However, all 38,582 patients included in this study had sepsis and  
197 AF. Of these, 62% of study participants were ICU patients and 39% received vasopressors  
198 during their admission, highlighting that the majority of these patients were critically ill and  
199 haemodynamically unstable<sup>28</sup>. This study matched the eligibility criteria on all other aspects, and  
200 represents a population of predominantly critically ill patients, thus providing a large invaluable  
201 data set amongst the limited number of studies available. In the largest study by Gamst et al.<sup>29</sup>,  
202 the adult population was defined as 15+ years, which was outside the limits of our inclusion  
203 criteria of adults  $\geq 18$  years. Exact figures for the population between 15 and 18 years in this

204 study were not documented, and therefore could not be disaggregated from the data. It was  
205 established by communication with the author that the 15 to 18 year old patients included in the  
206 study would have minimal effect on the results obtained, hence the study was included. There  
207 were considerable differences within the methodology of each paper, precluding progression to  
208 meta-analysis. The assessment of risk of bias for each study is outlined in Table 3<sup>26</sup>. There were  
209 two high quality studies scoring seven stars<sup>28,29</sup>, one fair quality study scoring five stars<sup>21</sup> and  
210 one poor quality study scoring four stars out of a maximum of nine stars<sup>15</sup>.

211

### 212 **Anticoagulation use and exposure**

213 Table 4 summarises the patient characteristics of individual studies. Anticoagulation use in  
214 patients and the risk scores associated with the corresponding patient populations is shown in  
215 Table 5. The reported percentages of patients receiving therapeutic anticoagulation for AF in the  
216 four studies was 17.8%<sup>15</sup>, 30.4%<sup>21</sup>, 35.3%<sup>29</sup> and 49.4%<sup>28</sup>. Anticoagulant exposure in the study by  
217 Kanji et al.<sup>15</sup> included therapeutic doses of unfractionated heparin (UH), low molecular weight  
218 heparin (LMWH) and enteral anticoagulants. The study by Walkey et al.<sup>28</sup> included patients  
219 receiving an initial therapeutic dose of parenteral (subcutaneous or intravenous) anticoagulation  
220 and patients with PEAf who initially received oral anticoagulants. The results from the patients  
221 receiving oral anticoagulation<sup>28</sup> in this study were not robust enough to perform instrumental  
222 variable estimations of risk of stroke or bleeding events. As such, the results regarding oral  
223 anticoagulation have to be interpreted with caution<sup>28</sup>. The study by Darwish et al.<sup>21</sup> included  
224 warfarin, UH, and enoxaparin and identified that warfarin and UH dosing was challenging, with  
225 subtherapeutic levels in up to 50% of patients during their ICU admission. Warfarin was the  
226 most common oral anticoagulant, prescribed in two studies to 69%<sup>21</sup> and 89%<sup>28</sup> of patients

227 during their ICU admission. All patients receiving anticoagulation in the study by Gamst et al.<sup>29</sup>,  
228 were prescribed vitamin K antagonists (VKA) pre-admission to ICU, however the administration  
229 of other anticoagulants was not recorded. Enoxaparin was the most common initial parenteral  
230 anticoagulant, prescribed for 50% of patients in the study by Walkey et al<sup>28</sup>.

231

### 232 **Risk identification**

233 The annual risk of stroke in patients with AF, as determined by CHADS<sub>2</sub> or CHA<sub>2</sub>DS<sub>2</sub>-VAS<sub>c</sub>  
234 scoring systems, was reported in two studies<sup>21,28</sup>. Darwish et al.<sup>21</sup> revealed anticoagulated  
235 patients had a mean CHADS<sub>2</sub> score of 3.43, whilst non-anticoagulated patients had a mean score  
236 of 3.05. The CHADS<sub>2</sub> scores reported in both populations place them in the ‘high risk of stroke’  
237 category, but only 30% of these patients received anticoagulation. The most common reason that  
238 anticoagulation was not continued in ICU was an increased INR (>3), with 24% of patients  
239 having had their warfarin discontinued on admission to ICU<sup>21</sup>. There was no significant  
240 difference in CHA<sub>2</sub>DS<sub>2</sub>-VAS<sub>c</sub> scores for the anticoagulated and non-anticoagulated populations  
241 in the study by Walkey et al.<sup>28</sup>, with poor discrimination of the risk of ischaemic stroke during  
242 sepsis between these populations (C statistic = 0.526). Walkey et al. demonstrated that the  
243 anticoagulated population were younger (p< 0.001) and less likely to have prior bleeding events  
244 (p<0.001), acute haematological failure (p<0.001), acute kidney failure (p<0.001), chronic  
245 kidney disease (p<0.001), cancer (p<0.001) or metabolic acidosis (p<0.002)<sup>28</sup>. Patients who  
246 received parenteral anticoagulation had significantly fewer comorbidities and acute conditions  
247 than the non-anticoagulated population<sup>28</sup>. There is no indication in either of these studies that  
248 calculated risk scores were used to aid the decision of whether anticoagulation should be  
249 prescribed. Table 6 summarises the primary and secondary study outcomes.

250

251 **Thromboembolic events**

252 The definition of thromboembolic events varied in the studies reviewed. Thromboembolic events  
253 included were defined as the following: a diagnosis of ischaemic stroke; embolism or thrombosis  
254 in extremities, mesenteric arteries or any unspecified arteries<sup>29</sup>; ICD-9CM codes for ischaemic  
255 stroke<sup>28</sup>; embolic stroke<sup>15</sup>; and the incidence of any stroke type during ICU admission<sup>21</sup>. The  
256 study by Gamst et al.<sup>29</sup> calculated the adjusted cumulative risk ratio (CRR) for arterial  
257 thromboembolism at 30 and 365 days post-admission to critical care among patients with PEA  
258 who took VKAs pre-admission compared to those who did not. The adjustments for  
259 confounding were the risk factors included in the CHA<sub>2</sub>DS<sub>2</sub>-VAS<sub>c</sub> score: congestive heart failure,  
260 hypertension, age, diabetes mellitus, previous stroke, vascular disease and sex. Patients  
261 anticoagulated with a VKA pre-admission to critical care were found to have an adjusted CRR of  
262 0.57 (95% CI 0.26- 1.25) at 30 days and 0.76 (95% CI 0.50-1.16) at 365 days post-admission to  
263 critical care, indicating a reduced risk of arterial thromboembolic events. Walkey et al.<sup>28</sup> carried  
264 out a propensity-score matched analysis including 13505 patients with AF who had or had not  
265 received parenteral anticoagulation and demonstrated that there was no significant difference in  
266 the incidence of ischaemic stroke; 1.3% of parentally anticoagulated patients and 1.4% of non-  
267 anticoagulated patients developed an ischaemic stroke, with a relative risk (RR) of 0.94 (95% CI  
268 0.77-1.15)<sup>28</sup>. Patients who received initial oral anticoagulants experienced lower rates of stroke  
269 (0.5% compared to 1.3% in patients not receiving oral anticoagulation, RR 0.46, 95% CI 0.32-  
270 0.66)<sup>28</sup>. No patients were diagnosed with stroke in the studies by Darwish et al. and Kanji et al.,  
271 though their populations were much smaller in size, totalling 115<sup>21</sup> and 325<sup>15</sup> patients  
272 respectively.

273

274 **Bleeding events**

275 Bleeding complications were more frequent in the anticoagulated patients than in the non-  
276 anticoagulated patients in the three studies that reported bleeding events. Walkey et al.<sup>28</sup> defined  
277 clinically significant bleeding using ICD-9CM bleeding codes and reported that bleeding  
278 occurred more often in the parentally anticoagulated population (8.6%) compared to in the non-  
279 anticoagulated population (7.2%) (RR 1.21, 95% 1.10-1.32). Patients receiving oral  
280 anticoagulation in this study experienced lower rates of bleeding compared to matched patients  
281 who did not receive any anticoagulation (5.2% and 6.0% respectively, RR 0.85, 95% CI 0.74-  
282 0.97)<sup>28</sup>. Kanji et al.<sup>15</sup> reported 8.6% of patients receiving anticoagulation reported bleeding,  
283 requiring interruption of their anticoagulation and blood transfusion. Incidence of bleeding was  
284 not recorded for the non-anticoagulated population of this study<sup>15</sup>. Darwish et al.<sup>21</sup> reported one  
285 fatal central nervous system haemorrhage and one non-fatal gastrointestinal haemorrhage in the  
286 group of 35 patients who were anticoagulated; there were no incidents of bleeding in the group  
287 of 80 non-anticoagulated patients.

288

289 **Length of stay**

290 Mean LOS on ICU was only reported in one study<sup>21</sup> and was  $8.7 \pm 9.2$  days for non-  
291 anticoagulated and  $7.2 \pm 6.7$  days for anticoagulated patients. This difference was not statistically  
292 significant ( $p=0.718$ )<sup>21</sup>.

293

294 **Mortality**

295 We initially planned to investigate short- and long-term mortality in patients at 28 days, 90 days  
296 and 365 days. However, long term mortality data was only reported by Gamst et al.<sup>29</sup> who  
297 reported mortality at 30 days and 365 days. We chose to modify our outcome measures to report  
298 mortality on ICU, at 30 days and 365 days. Darwish et al.<sup>21</sup> reported no significant difference in  
299 mortality on ICU, where 26% of the anticoagulated population and 34% of the non-  
300 anticoagulated population died during admission<sup>21</sup>. Gamst et al.<sup>29</sup> reported a significantly lower  
301 mortality and relative risk (RR) of death at 30 days and 365 days post-admission to ICU for the  
302 patients anticoagulated with VKA pre-admission compared to the non-VKA users<sup>29</sup>. 30-day  
303 mortality was 22.9% (21.3-24.6) in the pre-admission VKA users and 30.6% (28.9-32.4) in the  
304 non-VKA users (RR 0.91, 95% CI 0.82-1.00)<sup>29</sup>. 365-day mortality was 35.4 % (33.5-37.3), in the  
305 pre-admission VKA users and 46.3% (44.4-44.8) in the non-VKA users (RR 0.91, 95% CI 0.85-  
306 0.97)<sup>29</sup>. The RR of death was adjusted for age, sex, comorbidities and services provided by the  
307 general practitioner.

308

## 309 **Discussion**

310 This is the first systematic review assessing the current evidence for anticoagulation strategies in  
311 critically ill patients with AF. Previous reviews have investigated the epidemiology, treatment  
312 and prevention of AF and the risk factors, treatment and outcomes of NOAF, however, there is a  
313 lack of literature focusing specifically on anticoagulation in the critical care setting<sup>30,31</sup>. This  
314 systematic review demonstrates that an array of anticoagulation strategies are used for AF in  
315 critical care, and only 17.8- 49.4% of the patients received therapeutic anticoagulation. In the  
316 studies reporting the specific anticoagulants prescribed, the most common were warfarin and  
317 enoxaparin. Anticoagulated populations had lower 30 and 365 day mortality rates post-admission

318 to critical care; however, this data was only extracted from one study<sup>29</sup>. This study by Gamst et  
319 al. included patients with PEAf who were anticoagulated with VKA pre-admission to critical  
320 care and did not consider other forms of anticoagulation initiated or discontinued in critical care  
321 nor patients that developed NOAF<sup>29</sup>. This must be taken into consideration when interpreting the  
322 results. Critically ill patients are subject to bleeding events due to the associated coagulopathy,  
323 thrombocytopenia and platelet dysfunction that may occur in critical illness<sup>20</sup>. There was an  
324 increase in clinically significant bleeding in the anticoagulated population compared to the non-  
325 anticoagulated population<sup>15,21</sup>, however, there was no difference in the rate of thromboembolic  
326 events between patients receiving and not receiving anticoagulation<sup>28</sup>.

327 Anticoagulant exposure varied between the studies and only two studies specified the  
328 anticoagulant doses prescribed<sup>15,28</sup>. The variation in type of anticoagulant, the lack of clarity  
329 about doses and monitoring practices used, in addition to the potential for other factors such as  
330 augmented renal clearance to alter the effectiveness of anticoagulation, may all have influenced  
331 the incidence of thromboembolic and bleeding events documented in the studies<sup>32</sup>. Therefore, the  
332 effects of anticoagulation on the adverse outcomes (arterial thromboembolic events in ICU,  
333 bleeding events, 30-day and 365-day mortality) remain unclear. Given the low number of  
334 selected studies and their retrospective nature, it was not possible to investigate outcomes of  
335 different anticoagulants, for example, parenteral versus oral anticoagulants. The four studies did  
336 not clearly define treatment doses of individual anticoagulants and therefore the safety and  
337 efficacy of different anticoagulant prescriptions could not be assessed. Future prospective studies  
338 should define clear outcome measures including dosing regimens of anticoagulants to allow  
339 comparison between different cohort studies and to increase the validity of conclusions drawn.

340

341 Additionally, there were different definitions of outcome measures within the four studies  
342 included in this review. Thromboembolic events recorded were based on a variety of  
343 thromboembolic outcomes including a diagnosis of ischaemic stroke; embolism or thrombosis in  
344 extremities, mesenteric arteries or any unspecified arteries<sup>29</sup>; ICD-9CM codes for ischaemic  
345 stroke<sup>28</sup>; embolic stroke<sup>15</sup>; and the incidence of any stroke type during ICU admission<sup>21</sup>.  
346 Similarly, there was variation in the defined outcomes of bleeding events in the three studies  
347 reporting haemorrhage. Walkey et al.<sup>28</sup> defined clinically significant bleeding using ICD-9CM  
348 bleeding codes, Kanji et al.<sup>15</sup> reported bleeding requiring interruption of anticoagulation and  
349 blood transfusion and Darwish et al.<sup>21</sup> did not provide a defined outcome of bleeding events.  
350 Therefore, It is difficult to draw meaningful and valid conclusions regarding events such as  
351 bleeding/haemorrhage and thromboembolic events, as there was significant heterogeneity in  
352 defining these outcomes in the four studies. Not all studies reported the proposed outcome  
353 measures, therefore the sample size available for analysis and inferences was limited.

354 There were two studies reporting the risk of stroke using the CHA<sub>2</sub>DS<sub>2</sub>-VAS<sub>c</sub> or CHADS<sub>2</sub>. Both  
355 studies included patients who reached the threshold score for commencing anticoagulation  
356 therapy, however, there is no indication in either of these studies that calculated risk scores were  
357 used to aid the decision of whether anticoagulation should be prescribed. There remains a lack of  
358 clarity in initiating anticoagulation in these “at risk” patients, which is also reflected in other  
359 studies. A recent study reported AF outcomes for critically ill patients admitted to step down  
360 units. CHA<sub>2</sub>DS<sub>2</sub>-VAS<sub>c</sub> scores were not associated with the occurrence of stroke or TIA and  
361 failed to predict these thromboembolic events<sup>14</sup>.

363



364 This systematic review aimed to assess both NOAF and PEAf together to cumulate the  
365 outcomes associated with AF during critical care admission. However, the data for post-  
366 admission mortality at 30 and 365 days are based solely on one study<sup>29</sup>, which included only  
367 patients with PEAf. Although there is evidence that NOAF is an independent risk factor for  
368 increased mortality and risk of stroke during critical illness and sepsis<sup>1,33</sup>, the available data did  
369 not allow discrimination between these two subtypes of AF with regards to outcomes.

370 Mortality at 28 days and 90 days was not reported in any of the studies, therefore it was excluded  
371 from analysis. The protocol for this review outlined the intention to review the incidence of  
372 thromboembolic events, including stroke<sup>25</sup>, TIAs, mesenteric ischaemia, acute limb ischemia and  
373 pulmonary embolism. Only one study<sup>29</sup> reported the risk of arterial thromboembolism (including  
374 embolism or thrombosis in the extremities), whilst the other three studies reported stroke  
375 incidence only<sup>15,21,28</sup>. Diagnosis of stroke may have been underreported as clinical examination  
376 and diagnostic testing in critically ill patients receiving mechanical ventilation and sedative  
377 medications may be limited<sup>34</sup>. The two studies by Darwish et al. and Walkey et al.<sup>21,28</sup> focused  
378 on septic patients only, which may have affected the outcome results in these studies. There is  
379 evidence that sepsis affects the coagulation cascade with both prothrombotic and antithrombotic  
380 effects, therefore, it is impossible to determine whether the adverse outcomes documented in  
381 these studies are related to anticoagulation strategies or the underlying sepsis<sup>35</sup>.

382 The largest study by Gamst et al.<sup>29</sup> with 5065 patients did not report the incidence of  
383 thromboembolic events, incidence of major haemorrhage or other anticoagulation-related  
384 complications, LOS and mortality on ICU, thus limiting its comparability with other studies. For  
385 analysis of the data reported, percentage values were used for universal comparisons between

386 studies. In order to avoid overrepresentation of outcomes in the small cohort studies by Kanji et  
387 al. and Darwish et al.<sup>15,21</sup>, careful interpretation is required when comparing these to the large  
388 cohort studies by Walkey et al. and Gamst et al.<sup>28,29</sup>. Adjustment for confounding factors were  
389 made by both authors<sup>28,29</sup> through propensity-score matched analysis and calculation of relative  
390 risk respectively. This may have introduced bias in comparison with the other studies<sup>15,21</sup> as a  
391 result of unadjusted confounding factors, such as age, sex, diabetes mellitus, previous stroke and  
392 hypertension.

393 Clinical diversity was evident with variability in the participants, interventions, settings and the  
394 measured outcomes in each study. The largest study<sup>29</sup> included admissions with sepsis inside and  
395 outside ICU, while the other studies<sup>15,21,28</sup> reported mixed ICU populations (surgical versus  
396 medical versus cardiac surgery). Due to this variability and the limited number of studies, the  
397 data available for extraction was insufficient to perform statistical analysis between studies, and  
398 as such meta-analysis was not feasible. Given the nature of the study designs, power analysis  
399 was not included. Our systematic review also identified methodological diversity with varying  
400 risk of bias scores as reflected in the Newcastle Ottawa assessment scores. The varying bias in  
401 the studies further jeopardises the reliability of inferences made collectively on the effectiveness  
402 of anticoagulation strategies for AF in the general critical care setting.

### 403 **Conclusion**

404 A variety of anticoagulation regimens are currently used to treat critically ill patients with AF.  
405 There is limited evidence available regarding anticoagulation strategies in critically ill patients.  
406 We cannot confirm an optimal strategy due to the limited number of available studies, variation  
407 in study methodology, differences between patient populations included, and a lack of

408 standardisation of study outcomes. We could not identify any randomised clinical trials for this  
409 review, which clearly represents a gap in the available evidence. Further high quality studies and  
410 well planned randomised trials investigating the effectiveness and safety of anticoagulation in  
411 critically ill patients with AF are urgently needed, , with standardised outcomes to facilitate  
412 comparison and the development of evidence based guidance.

413 **Ethics approval and consent to participate**

414 Not applicable.

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416 **Consent for publication**

417 Not applicable.

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419 **Availability of data and material**

420 Not applicable.

421

422 **Conflicts of interest**

423 The authors declare there are no competing interests.

424

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426 There was no funding received by any institution to carry out this research.

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428 **Authors' contributions**

429 The protocol was conceived and designed by IW, BWJ and AW. AN conducted primary  
430 screening and data collection, reviewed by BJW and GL. Data extraction, analysis and

431 preparation of the manuscript was conducted by AN. IW, BWJ, AW and AN read and approved  
432 the final manuscript.

433

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532 Figure captions

533 Figure 1: PRISMA flowchart of studies selected in the systematic review.

**Table 1:** Study characteristics

Author, year	Study design	Study period	Journal	Number of patients	Setting
Kanji et al., 2012	Retrospective, observational	2006	Journal of Critical Care	325	Mixed medical/surgical ICU
Darwish et al., 2013	Retrospective, observational	2004-2009	Annals of Pharmacotherapy	115	General ICU
Gamst et al., 2015	Prospective, observational	2005-2011	Journal of the American Medical Association: Cardiology	57110	All ICU centres
Walkey et al., 2016	Retrospective, observational	2010-2013	Journal of the American Medical Association: Cardiology	38582	NS

535 *ICU* intensive care unit, *NS* not specified

**Table 2** Eligibility criteria, intervention and outcome measures of studies

Author, year	Intervention	Comparators	Inclusion	Exclusion	Illness Severity Analysis	Risk score analysis	Outcome measures
Kanji et al., 2012	Direct current cardioversion, pharmacological rhythm conversion, pharmacological rate control and systemic anticoagulation for thromboembolism prevention	No treatment plus standard care	Adults admitted to ICU with AF	Patients recovering from cardiac surgery	APACHE II	NS	Incidence and time of rate and rhythm control, development of a pulmonary embolism, embolic stroke or MI, LOS in hospital and ICU, disposition and mortality.
Darwish et al., 2013	Anticoagulation for thromboembolism prevention	No treatment plus standard care	Adults admitted to general ICU with AF and sepsis	Patients with contraindications for anticoagulation	Patients requiring mechanical ventilation	CHADS <sub>2</sub>	Incidence of bleeding, HIT, stroke, LOS in hospital and ICU and mortality



Gamst et al., 2015	ICU therapies including RRT, inotropes, NIV, mechanical ventilation. Preadmission therapies including statins, aspirin, VKA, beta blockers, CCB, digoxin and amiodarone	Patients admitted to ICU without a diagnosis of AF	Adults* admitted to general ICU with AF	NS	NS	CHA <sub>2</sub> DS <sub>2</sub> -VAS <sub>c</sub>	Arterial thromboembolism and mortality at 30 days and 365 days post ICU admission
Walkey et al., 2016	Anticoagulation for thromboembolism prophylaxis	No treatment plus standard care	Adults with sepsis and AF	Patients with other indications for anticoagulation	NS	CHA <sub>2</sub> DS <sub>2</sub> -VAS <sub>c</sub>	In-hospital ischaemic stroke and bleeding incidence

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\*Age 15+ years. *AF* atrial fibrillation, *ICU* intensive care unit, *NS* not specified, *MI* myocardial infarction, *LOS* length of stay, *HIT* heparin induced thrombocytopenia, *NIV* non-invasive ventilation, *VKA* vitamin K antagonist, *RRT* renal replacement therapy, *CCB* non dihydropyridine calcium channel blocker.

**Table 3** Newcastle Ottawa Assessment Scale for assessment of bias.

	Selection <sup>a</sup>	Comparability <sup>b</sup>	Outcomes <sup>c</sup>	Total
Kanji et al., 2012	***	-	*	4
Darwish et al., 2013	****	-	*	5
Gamst et al., 2015	***	*	***	7
Walkey et al., 2016	****	**	*	7

\* Represents the number of stars appointed after assessment

<sup>a</sup> Selection was assessed based on the representativeness of the anticoagulated cohort, identification of the non-anticoagulated cohort, ascertainment of anticoagulant exposure and demonstration that the measured primary outcomes were not present at the start of the study. The maximum score for the selection component is 4.

<sup>b</sup> Comparability was assessed by examining whether the study controlled for age, sex and patient comorbidities. The maximum score for comparability is 2.

<sup>c</sup> Outcomes were assessed by examining how the outcome was assessed, the follow-up period and follow up response. The maximum score for outcomes is 3.

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**Table 4** Characteristics of patients with atrial fibrillation in selected studies

Author, year	Mean age, years (range)		Number of Patients with AF	Male sex with AF, n (%)	Comorbidities of patients with AF, n (%)
Kanji et al., 2012	NOAF	PEAF	325	189 (58)	CHF: 61 (19) HTN: 87 (58) Stroke: 43 (13) DM: 74 (23) CAD: 126 (39) VHD: 22 (7) Asthma: 23 (7) COPD on HO: 17 (5) Cardiomyopathy: 22 (7) Chronic renal insufficiency: 40 (12) Chronic renal failure: 14 (4)
	72 (12.5)	74 (9.2)			
Darwish et al., 2013	81 (9.5)		115	47 (41)	CHF: 64 (56) HTN: 95 (83) Stroke/TIA: 78 (68)
Gamst et al., 2015	75 (67-81) <sup>a</sup>		5065	3162 (62)	CHF: 1714 (34) HTN: 2457 (49) TIA: 359 (7) DM: 830 (27) VHD: 1215 (24) PAD: 824 (16) MI: 1056 (20) CLD: 1136 (26) CKD: 518 (10)

					IHD: 1724 (34)
					Angina pectoris: 1506 (30)
					Cerebrovascular disease: 1217 (24)
					Hemiplegia: 35 (1)
					Dementia: 109 (2)
					Connective tissue disease: 360 (7)
					Liver disease: 160 (3)
					Cancer: 1233 (24)
Walkey et al., 2016	A	A'	38582	18976 (49)	CHF: 15504 (40)
	73 (11.7)	76 (11.7)			HTN: 26839 (70)
					Stroke: 1316 (3)
					DM: 13864 (36)
					CAD/MI: 12502 (32)
					CLD: 15130 (39)
					CKD: 12667 (33)
					VHD: 5358 (14)
					PVD: 5126 (13)
					Prior bleeding: 3775 (10)
					Cancer: 5326 (14)
					Dementia: 2752 (7)

*NOAF* new-onset atrial fibrillation, *PEAF* pre-existing atrial fibrillation, *A* anticoagulated patient cohort, *A'* non-anticoagulated patient cohort, *CHF* congestive heart failure, *HTN* hypertension, *TIA* transient ischaemic attack, *DM* diabetes mellitus, *CAD* coronary artery disease, *VHD* valvular heart disease, *PAD* peripheral artery disease, *MI* myocardial infarction, *CLD* chronic lung disease, *CKD* chronic kidney disease, *PVD* peripheral vascular disease, *COPD on HO* chronic obstructive pulmonary disease on home oxygen, *IHD* ischaemic heart disease.

<sup>a</sup> Median age (interquartile range)

**Table 5** Anticoagulation use and corresponding risk score values

Author, year	Number of patients with AF	Patients receiving anticoagulation, n (%)	Anticoagulation strategy, n (%)	Risk score (mean±SD)	
				A	A'
Kanji et al., 2012	325	58 (17.8)	NS	NS	NS
Darwish et al., 2013	115	35 (30.4)	Warfarin 24 (69) UH 10 (29) LMWH 1 (2)	3.43 ±1.17 <sup>a</sup>	3.05 ±1.18 <sup>a</sup>
Gamst et al., 2015	5065	2500 (49.4)	VKA 2500 (100)	NS	NS
Walkey et al., 2016	38582	13611 <sup>c</sup> (35.3)	Enoxaparin 6991 (50) Heparin 5004 (35) Dalteparin 1296 (9) Fondaparinux 830 (6) Warfarin 8289 (89) Dabigatran 722 (8) Rivaroxaban 282 (3) Apixaban 1 (0)	3.40 ±1.5 <sup>b</sup>	3.60 ±1.5 <sup>b</sup>

*AF* atrial fibrillation, *A* anticoagulated patient cohort, *A'* non-anticoagulated patient cohort, *SD* standard deviation, *NS* not specified

<sup>a</sup> CHADS<sub>2</sub> score

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<sup>b</sup> CHA<sub>2</sub>DS<sub>2</sub>-VAS<sub>c</sub> score

<sup>c</sup> Number of patients receiving an initial or subcutaneous anticoagulant in doses greater than prophylactic dose for venous thromboembolism

<sup>d</sup> Number of patients with PEF receiving an initial oral anticoagulant

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**Table 6** Study Outcomes

Author, years	Number of patients with AF who received anticoagulation n (%)	Incidence of any thromboembolic event, n (%)		Major bleeding event/ anticoagulation complication, n (%)		Length of stay on ICU, mean (days)		ICU mortality, n (%)		30 day mortality, n (%)		365 day mortality, n (%)	
		A	A'	A	A'	A	A'	A	A'	A	A'	A	A'
Kanji et al., 2012	58 (17.8)	0 (0)	0 (0)	5 (8.6)	NS		NS		NS		NS		NS
Darwish et al., 2013	35 (30.4)	0 (0)	0 (0)	2 (5.7)	0 (0)	7.2 ± 6.7	8.7 ± 9.2	9 (26)	27 (34)		NS		NS
Gamst et al., 2015	2500 (49.4)		NS		NS		NS		NS	573 (23)	785 (31)	885 (35)	1188 (46)
Walkey et al, 2016 <sup>a</sup>	13611 (35.3)	174 (1.3)	185 (1.4)	1163 (8.6)	979 (7.2)		NS		NS		NS		NS

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*A* anticoagulated population *A'* non-anticoagulated population, *NS* not specified

<sup>a</sup> Results reported using propensity matched scores which matched 13505 of 13611 (99.2%) of anticoagulated patients and 13505 of 24971 (54.1%) of non-anticoagulated patients

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