

Crystallography, Morphology, and Martensite Transformation of Prior Austenite in Intercritically Annealed High-Aluminum Steel

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Abstract The crystallography and morphology of the intercritical austenite phase in two high-aluminum steels annealed at 850 °C was examined on the basis of electron backscattered diffraction analysis, in concert with a novel orientation relationship determination and prior austenite reconstruction algorithm. The formed intercritical austenite predominantly shared a Kurdjumov-Sachs type semicoherent boundary with at least one of the neighboring intercritical ferrite grains. If the austenite had nucleated at a high-energy site (such as a grain corner or edge), no orientation relationship was usually observed. The growth rate of the austenite grains was observed to be slow, causing phase inequilibrium even after extended

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annealing times. The small austenite grain size and phase fraction were consequently shown to affect martensite start temperature. Both steels had distinct variant pairing tendencies in the intercritically annealed condition.

Keywords Ferrite · Austenite · Martensite · Orientation relationship · EBSD

1 Introduction

Low-alloy dual-phase (hereafter referred to as DP) steels are characterized by a microstructure consisting of fine recrystallized ferrite with evenly dispersed islands of martensite. This structure is typically developed by the annealing of a cold-rolled ferrite-pearlite microstructure at a temperature between A_{c1} and A_{c3} , followed by quenching to room temperature in a continuous annealing line. The phase fractions, morphology, and the crystallographic texture of the final DP product are inherited from the cold-rolled structure through ferrite recrystallization, austenite nucleation and growth, and finally martensitic transformation.

10

The focus of this paper is on the nucleation and growth of austenite during intercritical annealing, with an emphasis on its morphology and crystallographic properties. Dilatometry heat treatments were carried out for two high-aluminum steels, followed by electron backscattered diffraction (hereafter referred to as EBSD) analysis.

16

The contributions in this paper are as follows. It is shown that Markov clustering [1] combined with the iterative determination of the austenite-martensite orientation relationship (hereafter referred to as OR) [2] can be used to reconstruct the EBSD orientation map of austenite formed during intercritical annealing. The algorithm used for this purpose is described and made freely available. The accuracy of the OR determined from martensitic lath boundaries with the iterative method is discussed and compared with the OR observed directly between martensite and reconstructed austenite. Based on the reconstructed image maps and op-

24

25 tical microscopy, the growth mechanisms prevalent in two intercritically annealed
26 high-aluminum steels are identified, as well as the significant aspects affecting the
27 martensite start temperatures determined through dilatometry. It is shown how
28 the various ORs determined in this work deviate from the Kurdjumov-Sachs [3]
29 orientation relationship.

31 **2 Intercritical austenite morphology and crystallography**

32 It has previously been reported by Garcia and DeArdo [4] that in a cold-rolled, 1.5
33 wt-% Mn steel, austenite preferentially nucleates at cementite particles on ferrite-
34 ferrite grain boundaries. In various studies, the austenite grains have often been
35 observed to bear a Kurdjumov-Sachs type orientation relationship with a neighbor-
36 ing ferrite grain [5, 6, 7]. Shtansky et al. [5] reported that the growth direction of a
37 nucleated austenite grain is then towards an adjacent neighbor with an incoherent
38 phase boundary, which has greater mobility compared to an ordered, semicoher-
39 ent interface. Austenite growth is initially rapid [4, 5], controlled primarily by the
40 diffusion of carbon, but at later stages slows down as interstitial alloying elements
41 start to partition between the phases.

42
43 While various studies have been carried out over the years to determine the ki-
44 netics of austenite formation in DP steels [4, 5, 8, 9, 10, 11], crystallographic analysis
45 of the austenite phase has been less common. The cited studies have concerned
46 the analysis of retained austenite either through EBSD [6] or transmission elec-
47 tron microscopy studies [5, 11]. These methods cannot be applied to the study of
48 ferrite-martensite dual phase steels directly, because austenite is either completely
49 absent or present in such small amounts that statistical analysis of the results
50 is not worthwhile. One way to mitigate this issue is the reconstruction of prior
51 austenite orientation maps from EBSD orientation maps. Several approaches for
52 prior austenite reconstruction have been created over the last few years [12, 13, 14,

53 15, 16].

54

55 The current reconstruction methods can be broadly divided into two categories:
56 operations on a weighted graph constructed from a grain map [12, 14] and opera-
57 tions on cropped sections of the orientation map that has been segmented into a
58 square grid [16, 13]. In both approaches, the goal is to identify a suitable amount
59 of crystallographically distinct martensitic variant orientations originating from
60 the same prior austenite grain, for which a reliable estimation of a prior austenite
61 orientation can be made. A growth or link-up procedure for these initial variant
62 clusters is usually included in the method [12, 15, 16] to reconstruct prior austenite
63 grains fully. The grain map approach is computationally efficient, reducing the
64 number of orientations necessary to process. On the other hand, Bernier et al. [16]
65 and Miyamoto et al. [13] claim that reconstruction on a local, pixel-based scale
66 allows for more reliable reconstruction results for deformed austenite grains with
67 orientation gradients.

68

69 One of the main problems in the reconstruction of prior austenite orientation
70 maps is the high frequency of ambiguous variant orientations that are crystallo-
71 graphically related to several neighboring prior austenite orientations [14]. Prior
72 austenite orientations may share one or more variants as a random occurrence,
73 caused by the high degree of symmetry in the cubic lattices involved in the
74 phase transformations. However, it is a more likely event that a prior austenite
75 grain has one or more $\Sigma 3$ type twins, resulting in six martensite variant orienta-
76 tions shared by each twin when the transformation follows the Kurdjumov-Sachs
77 orientation relationship [14]. For these reasons, misidentified austenite orientations
78 are a common occurrence at prior austenite grain boundaries and twin orientations
79 especially may be frequently misidentified during reconstruction.

80

81 The methods discussed here require an assumption about the austenite-martensite
82 orientation relationship (OR), which is the misorientation necessary to bring an
83 orientation in the austenite coordinate system to the martensite coordinate system.
84 Several authors [13, 14, 16] have found that the use of an experimentally measured
85 OR results in improved reconstruction performance, considerably reducing am-
86 biguous variant orientations and improving twin identification. Bernier et al. [16]
87 and Miyamoto et al. [13] determined an optimal OR through a manual grain selec-
88 tion method followed by numerical fitting. Humbert et al. [17] also performed such
89 an analysis for a manually cropped prior austenite grain. In this case, the approach
90 for OR determination was based on finding the correct symmetry operators result-
91 ing in a common parent austenite orientation. Later, Humbert et al. [18] presented
92 a modification where the OR was determined through the analysis of triple junc-
93 tions of martensitic variants inherited from the same parent grain. Although not
94 discussed in the article, the calculations suggest that this approach should also be
95 viable for cases where the dataset selected for OR refinement contains martensitic
96 orientations from several prior austenite grains. It bears mentioning that the OR
97 determined in this manner is an average value, and in reality varies considerably
98 depending on local conditions. Cayron et al. [19] observed considerable variation
99 in the orientation relationship between austenite and martensite even within indi-
100 vidual prior austenite grains.

101

102 In the case of DP steels, the size of prior austenite grains is relatively small,
103 on the order of a few μm . The small parent austenite grain size will significantly
104 reduce the available data for the determination of the optimal OR with a manual
105 selection method, so its use is not practical. In the present study, an algorithm was
106 created for the automatic reconstruction of local austenite orientations that ad-
107 dresses this issue. The austenite reconstruction algorithm presented here consists
108 of three major steps. The first step is the determination of the orientation relation-
109 ship from intergranular misorientations as per the procedure outlined in [2]. The

110 second step is the construction of an undirected graph G describing the EBSD
111 grain map, in which each individual grain represents a node and the neighbor-
112 to-neighbor misorientations represent edges connecting the nodes. The third and
113 final step is the separation of discrete clusters (prior austenite grains) from the
114 undirected graph with the use of the Markov Cluster Algorithm (hereafter referred
115 to as MCL [1,20]).

116

117 MCL is meant for discovering natural groups (or clusters) in graphs, postulat-
118 ing that a random walk in an undirected graph G that visits a dense natural group
119 is unlikely to leave before visiting the nodes in that group many times. With a
120 series of mathematical operations, the connections within the natural groups are
121 strengthened and the connections between groups weakened, with the final result
122 being a group of distinct clusters. Here, the expected natural groups in G are
123 defined by parent austenite grains. Each node within a group originating from a
124 single parent austenite grain will have many strong connections with the other
125 nodes of the same group, while the connections to nodes from other groups (other
126 parent austenite grains) will be sparse and weak. The algorithm is computationally
127 efficient and does not require the specification of a predefined number of clusters.
128 Previously, Gomes and Kestens [20] showed succesful austenite reconstructions
129 produced via the MCL route, although they did not provide details of their algo-
130 rithm. A full description of MCL can be found in the dissertation of Van Dongen
131 [1]. Here, the focus is on how the Markov matrix T_{G+I} was assembled using the
132 iterative OR determination algorithm [2] and what operations it was subjected to
133 during the reconstruction.

134

135 The described method is largely similar to the one proposed by Gomes and
136 Kestens [20], with the major difference being the iterative algorithm used to deter-
137 mine an experimentally observed orientation relationship. It has some similarities
138 to the methods by Cayron et al. [12] and Germain et al. [14], in that operations

139 are conducted on a weighted graph constructed from a grain map. The main dif-
140 ference compared to these two methods is the attempt here to segment the graph
141 into clusters before the calculation of prior orientations. From a computational
142 perspective, this will reduce the number of calculations necessary to determine
143 parent orientations. The downside is the lack of information concerning ambigu-
144 ous prior orientations, which can be better identified if the prior orientation of an
145 individual node is considered for multiple clusters [14].

146 3 Calculation

147 In this section, the algorithm for the reconstruction of parent austenite orientation
148 maps is described.

149 3.1 Step 1: Orientation relationship determination

150 The crystallographic orientation of martensite at a point x_i on a suitably prepared
151 surface can be determined by means of electron backscattered diffraction in a
152 scanning electron microscope. This martensitic orientation can be thought of as
153 the result of a specific rotation of a previous orientation in the coordinate system
154 of a prior austenite phase. The orientation relationship between the prior austenite
155 and martensite orientations can then be expressed in the following manner:

$$O_{\alpha'}(x_i) = O_{\gamma}(x_i)P_iT_{\gamma \rightarrow \alpha}C_i \quad (1)$$

156 In Equation 1, O_{γ} and $O_{\alpha'}$ are orientation matrices representing the crystal-
157 lographic orientations of austenite and martensite at x_i . $T_{\gamma \rightarrow \alpha}$ is a misorientation
158 matrix representing the orientation relationship between the phases. P_i is one of 24
159 rotational symmetry operators for the prior austenite phase and C_i is a correspond-
160 ing symmetry operator for the martensite phase. Considering all combinations of
161 symmetry operators, the equation results in 24 distinct $O_{\alpha'}$ variant orientations

162 for the same O_γ when calculated using the Kurdjumov-Sachs OR. Further assum-
 163 ing that neighboring orientation measurements at locations x_i and x_j represent
 164 two different martensitic variants that have been formed from the same austenitic
 165 parent grain, the misorientation matrix M between the two would be:

$$M = C_j^{-1} T_{\gamma \rightarrow \alpha}^{-1} P_j^{-1} P_i T_{\gamma \rightarrow \alpha} C_i \quad (2)$$

166 It can be found that $T_{\gamma \rightarrow \alpha}^{-1} P_j^{-1} P_i T_{\gamma \rightarrow \alpha}$ results in multiple occupations of some
 167 rotations and can be fully described with a set of 24 distinct solutions, in the case
 168 of the Kurdjumov-Sachs and various other ORs, as remarked by various authors
 169 [13, 21, 22, 23]. Considering only the combinations of C_i and C_j , each singular mis-
 170 orientation has 24^2 crystallographically related solutions.

171

172 To determine whether an experimentally observed misorientation M_{exp} be-
 173 tween points x_i and x_j can be described with Equation 2, it is necessary to calculate
 174 its deviation angle with each possible candidate M , resulting in 24^3 comparisons
 175 to a single M_{exp} . If the smallest deviation angle found from this set of comparisons
 176 falls below a predetermined threshold value, the experimentally observed misori-
 177 entation can be classified as a misorientation between two laths originating from
 178 the same prior austenite grain.

179

180 Prior to this calculation, it is necessary to determine an initial candidate for
 181 $T_{\gamma \rightarrow \alpha}$, such as the orientation relationship determined by Kurdjumov and Sachs.
 182 The K-S OR predicts that the $(111)_\gamma$ and $(011)\alpha'$ planes and the $[\bar{1}01]_\gamma$ and
 183 $[\bar{1}\bar{1}1]\alpha'$ directions are exactly parallel. Studies by Miyamoto et al. [13] and Stor-
 184 mvinter et al. [22] have shown that actually observed orientation relationships
 185 differ considerably from the K-S OR and that it is necessary to determine an ex-
 186 perimentally obtained average value for the orientation relationship to ensure a
 187 reliable indexation of the symmetry operators necessary to properly characterize

188 each experimentally observed misorientation.

189

190 To this end, solving for $T_{\gamma \rightarrow \alpha}$ by manipulating Equation 2 gives:

$$T_{\gamma \rightarrow \alpha} = (T_{\gamma \rightarrow \alpha}^{-1} P_j^{-1} P_i)^{-1} C_j M_{exp} C_i^{-1} \quad (3)$$

191 Unfortunately, $T_{\gamma \rightarrow \alpha}$ is found on both sides of the equation, so it cannot be
 192 solved directly using Equation 3. Instead, an assumption $T_{\gamma \rightarrow \alpha} = T_{\gamma \rightarrow \alpha, init.}$ must
 193 be made to obtain $T_{\gamma \rightarrow \alpha}$. An erroneous assumption of $T_{\gamma \rightarrow \alpha, init.}$ will result in a
 194 misorientation between the true orientation relationship and the calculated $T_{\gamma \rightarrow \alpha}$.
 195 However, assuming that in a large set of misorientations where all combinations
 196 of symmetry operators are equally represented, the mean of the $T_{\gamma \rightarrow \alpha}$ determined
 197 in this manner will equal the true orientation relationship. This is visualized in
 198 Figure 1, in which the OR is shown as $(011)\alpha'$ and $[\bar{1}\bar{1}1]\alpha'$ orientations on a stan-
 199 dard stereographic projection for austenite. In the Figure, the K-S OR has been
 200 taken as the assumed orientation relationship $T_{\gamma \rightarrow \alpha, init.}$ and the misorientation
 201 matrix M_{exp} has been created with the Greninger-Troiano OR, using Equation
 202 2. Identity matrices were taken as C_i and C_j , resulting in 24 misorientations in

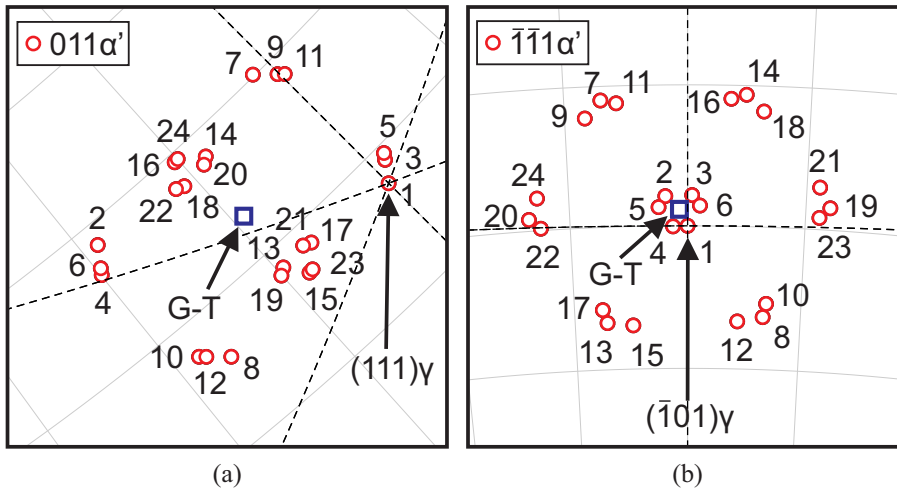


Fig. 1 Sections of a standard stereographic projection for austenite, overlaid with corresponding a) $(011)\alpha'$ planes and b) $[\bar{1}\bar{1}1]\alpha'$ directions. The grid spacing in the figure is 3 degrees.

203 M_{exp} . The G-T relationship corresponds exactly with the mean of the orientation
 204 relationships calculated with Equation 3.

205

206 An iterative procedure can therefore be used for the determination of the true
 207 OR:

$$T_{n+1}(x_i, x_j) = (\bar{T}_n^{-1} P_j^{-1} P_i)^{-1} C_j M_{x_i, x_j} C_i^{-1} \quad (4)$$

208 In Equation 4, $T_{n+1}(x_i, x_j)$ is an austenite-martensite orientation relationship
 209 resulting from $n+1$ iterations, determined from the misorientation M_{x_i, x_j} , which
 210 is the misorientation between experimentally determined orientations $O_{\alpha'}(x_i)$ and
 211 $O_{\alpha'}(x_j)$. $T_{n+1}(x_i, x_j)$ is calculated using the symmetry operators C_i and C_j and the
 212 inverse austenite-martensite orientation relationship described by $\bar{T}_n^{-1} P_j^{-1} P_i$. The
 213 symmetry operators have been determined with Equation 2, assuming $T_{\gamma \rightarrow \alpha} = \bar{T}_n$,
 214 by comparison of all possible calculated misorientations to observed M_{x_i, x_j} . \bar{T}_n
 215 is the mean of all of the orientation relationships determined during the previous
 216 round of iteration.

217

218 The iterative procedure is based on the correct indexation of symmetry opera-
 219 tors C_i and C_j and the identification of the correct $\bar{T}_n^{-1} P_j^{-1} P_i$. Several misindex-
 220 ations are likely to occur during the initial rounds of iteration, with indexation
 221 accuracy improving on each subsequent iteration round. When the indexation ac-
 222 curacy ceases to improve (or there is no change in the indexation of symmetry
 223 operators from one round of iteration to the next), the final \bar{T}_n can be taken as
 224 the experimentally determined orientation relationship, $T_{\gamma \rightarrow \alpha}^{exp}$.

225

226 3.2 Step 2: Assembling the undirected graph

227 After many iterations, the indexation of the symmetry operators does not improve
 228 further. The final \bar{T}_n can then be taken as $T_{\gamma \rightarrow \alpha}^{exp}$ and it can be used to generate
 229 a list of theoretical misorientations, to which the list of experimentally measured
 230 intergranular misorientations can be compared. Each intergranular misorientation
 231 M can then be assigned a value determining the likelihood to be a misorientation
 232 between two martensite grains originating from the same prior austenite grain. In
 233 this study the likelihood, with values ranging from 0 to 1, was determined using
 234 the Burr cumulative distribution survival function:

235

$$S(x|\alpha, c, k) = \frac{1}{[1 + (\frac{M_{ang}}{\alpha})^c]^k} \quad (5)$$

236 where M_{ang} is the minimum deviation angle found between a given intergran-
 237 ular misorientation M and the theoretically generated set of martensitic misorien-
 238 tations. The constants α , c and k are scale and shape parameters with values of
 239 2, 5 and 1, respectively. An m -by- m incidence matrix G can then be generated, in
 240 which m equals the total number of grains in the grain map and each individual
 241 element $e_{i,j}$ describes the edge $e = S_{i,j}$ between nodes (grains) i and j . The matrix
 242 is symmetric, with diagonal elements set to 1.

243

244 3.3 Step 3: Clusterization of the graph using MCL

245 Each column of the incidence matrix G is normalized by multiplying with a suitable
 246 diagonal matrix:

$$T_{G+I} = Gd_n \quad (6)$$

247 The resulting stochastic matrix $Q = T_{G+I}$ is then subjected to operations of
 248 expansion and inflation. Expansion consists simply of the multiplication of the
 249 stochastic matrix $Q = T_{G+I}$ by itself:

$$Q^2 = QQ \quad (7)$$

250 Inflation consists of a Hadamard (elementwise) power of r over Q^2 and is
 251 followed by the normalization of each column by multiplying the matrix with a
 252 suitable diagonal matrix d_t :

$$T_{G+I,2} = (Q^2)^{\circ r} d_t \quad (8)$$

253 where $\circ r$ denotes the Hadamard power. The result is another stochastic matrix,
 254 in which the edges of nodes within clusters are strengthened and the node edges
 255 between the clusters are weakened. After a sufficient amount of alternating sets
 256 of expansion and inflation, the intercluster edges become zero and the resulting
 257 graph describes a set of discrete clusters. The process can be made more efficient
 258 by pruning the matrix during each inflation step prior to normalization. In the
 259 pruning process, edges that fall below a certain threshold are set to zero.

260

261 4 Materials and Methods

262 For the purposes of testing the reconstruction algorithm on a fully austenitized
 263 microstructure, a reference steel was heated to 1200 °C at 5 °C/s, soaked for
 264 three minutes and quenched to room temperature at 50 °C/s using a TA DIL805
 265 dilatometer.

266

267 Two high-aluminum steels with nominal 0.2 wt-% carbon content were pre-
 268 pared for the intercritical austenite studies (hereafter referred to as steels A and B).
 269 Table 1 shows the steel compositions. The steels were vacuum-cast as 40x40x180

Table 1 Chemical compositions of the investigated steels.

Element [wt-%]	C	Mn	Si	Al	P	Ni	Cu	Nb	Cr
Steel A	0.19	1.99	0.38	1.96	0.05	0.02	0.02	0.03	0.11
Steel B	0.22	2.03	0.04	2.93	0.01	0.48	0.96	0.03	0.12

270 mm billets into a water-cooled copper die in a low pressure casting furnace. The
271 cast specimens were soaked at 1200 °C for 30 minutes prior to hot and cold rolling
272 into sheets using a laboratory rolling mill. The samples were first hot rolled into
273 3 mm sheets with the finish rolling temperature well above the recrystallization
274 limit temperature, then quenched to 600 °C, followed by slow cooling by wrapping
275 the hot rolled samples into an insulator blanket to simulate the cooldown after
276 coiling. The specimens were subsequently cold rolled into 60 mm wide and 1.3 mm
277 thick strips, from which 4x10 mm dilatometry specimens were cut.

278

279 The dilatometry specimens were then heat treated to produce a range of inter-
280 critical annealing conditions, using a TA DIL805 dilatometer to assure a controlled
281 heating and cooling cycle and for monitoring the dilatation of the specimens. The
282 annealing temperatures were 750, 800, 850 and 900 °C with a heating rate of 5
283 °C/s, followed by annealing for varying holding times of 3, 10 and 60 minutes.
284 At 900 °C, only the three minute holding time was studied. After annealing, the
285 steels were quenched to room temperature at a cooling rate of 25 °C/s. A prediction
286 for the balance of phases at thermodynamic equilibrium was calculated for each
287 of the annealing conditions using the JMATPRO[®] [24] computer program. The
288 predicted chemical composition of the equilibrium austenite phase fraction at each
289 temperature was also calculated, as well as the predicted martensite start temper-
290 ature (hereafter referred to as M_s) using the methodology outlined by Bhadeshia
291 [25]. Table 2 shows the calculation results.

292

293 M_s temperatures were determined experimentally from the dilatometric data
294 by least squares fitting of the Koistinen-Marburger equation [26] in the manner de-

Table 2 Calculated austenite fractions, M_s temperatures, and selected austenite phase constituents (in wt-%) at indicated annealing temperatures.

Steel	T_a , [°C]	f_γ	M_s , [°C]	C	Mn	Si	Al
A	750	0.24	82.83	0.77	4.09	0.32	1.57
	800	0.31	203.5	0.59	3.35	0.33	1.61
	850	0.40	285.8	0.46	2.88	0.34	1.67
	900	0.52	344.6	0.36	2.55	0.34	1.74
B	750	0.26	136.9	0.72	3.91	-	2.58
	800	0.33	240.9	0.57	3.25	-	2.61
	850	0.42	314.1	0.45	2.82	-	2.66
	900	0.52	366.8	0.37	2.52	-	2.73

295 scribed by van Bohemen et al. [27]. The dilatation data below 0.2 vol-% martensite
 296 fraction was excluded from the fitting to reduce the effect of the observed initial
 297 gradual martensite start on the fit, as it was shown by Sourmail and Smanio [28]
 298 that the observed gradual start of the martensite transformation can be treated as
 299 an effect of thermal gradients and austenite grain size distribution in the dilata-
 300 tion specimen, rather than an intrinsic property of the martensite transformation.
 301 The M_s value was determined directly from the least squares fitted Koistinen-
 302 Marburger equation.

303

304 The microstructure of the steels annealed at 850 °C was examined with opti-
 305 cal and scanning electron microscopy. The specimens were sectioned, ground and
 306 polished with 0.1 μm colloidal silica used in the final polishing step. Addition-
 307 ally, the optical microscopy specimens were tint etched for 10 s with the Le Pera
 308 etchant [29]. The optical microscope used was the Alicona InfiniteFocus G5. Ten
 309 micrographs were taken from each specimen at a resolution of 11.4 px/ μm and a
 310 field of view of 162x162 μm . The phase fractions of martensite and ferrite were
 311 determined using the automated intensity thresholding tool in the Fiji open source
 312 image analysis software [30]. Carbon extraction replicas were then manufactured
 313 from the optical microscopy specimens and subjected to an examination by trans-
 314 mission electron microscopy (TEM) in a Jeol JEM 2010 to determine if any type

315 of carbides were present in the steels after quenching.

316

317 EBSD studies were conducted with a Zeiss Ultra Plus UHR FEG-SEM system
318 fitted with a Nordlys F400 EBSD detector. For the fully austenitized reference
319 sample condition, four maps of $119 \times 82 \mu\text{m}$ were collected with a step size of 0.3
320 μm . For each intercritical annealing condition at $850 \text{ }^\circ\text{C}$, three sets of $35 \times 24 \mu\text{m}$
321 were measured with a step size of $0.05 \mu\text{m}$. Grain maps were constructed from the
322 datasets at an angular tolerance of 3° . Prior to the reconstruction, the intercrit-
323 ical ferrite was excluded using a grain average band slope cutoff, a method used
324 previously [31,32] to successfully separate ferrite and martensite. The grain map
325 datasets were then processed with the prior austenite reconstruction algorithm.

326

327 A script for automated prior austenite reconstruction was written on Matlab[®]
328 supplemented with the MTEX texture and crystallography analysis toolbox de-
329 veloped by Bachmann et al. [33]. The inflation operator r was set to 1.6 and the
330 threshold value for pruning was set to 0.001. The stochastic matrix T_{G+I} was run
331 through alternating sets of expansion and inflation until convergence. Convergence
332 was determined to have occurred when the difference between the maximum value
333 in each column and the sum of Hadamard squares in each column was smaller
334 than 0.001.

335

336 5 The evaluation of the reconstruction algorithm

337 5.1 Orientation relationship determination

338 The quality of the orientation relationship determined with the iterative algo-
 339 rithm was assessed on the fully austenitized and quenched reference steel by com-
 340 paring the iteratively obtained OR against every intergranular misorientation in
 341 the dataset and calculating the minimum deviation angle, using Equation 2. The
 342 mean of all deviation angles is shown in Table 3, in which a lower value indicates
 343 a better fit with the experimental data. There was no angular thresholding to sort
 344 the misorientations; instead, all of the misorientations in the set were used for
 345 the comparison. In addition, each austenite orientation pixel in the reconstructed
 346 dataset was compared to its corresponding martensite orientation, thus obtaining
 347 a large dataset of misorientations describing the austenite-martensite orientation
 348 relationship. This dataset was used for two things: to calculate a mean value of
 349 the austenite-martensite misorientations, resulting in a new OR, and to compare
 350 the iteratively obtained OR directly to this dataset. The second row of Table 3
 351 shows the results of these comparisons as the mean deviation angle.

352

353 The Kurdjumov-Sachs, Nishiyama-Wasserman and Greninger-Troiano ORs were
 354 used to make similar comparisons. The iteratively determined OR has a better av-
 355 erage fit value compared to the literature ORs, although the Greninger-Troiano
 356 OR comes close. The difference between the iteratively determined OR and the
 357 one calculated directly from austenite-martensite misorientations is negligible. The

Table 3 The fit between various ORs and the experimental and reconstructed data, shown as the mean angular deviation.

Misorientation dataset	Iter.	Rec.	K-S	N-W	G-T
$\alpha'_{exp} - \alpha'_{exp}$	3.23	3.22	4.38	7.12	3.46
$\gamma_{rec.} - \alpha'_{exp}$	2.17	2.17	4.14	5.91	2.37

358 iteratively determined OR was found to provide a satisfactory match for the ex-
 359 perimental data.

360 5.2 Reconstruction result

361 A partial EBSD grain map for the reference steel with IPF ND coloring is shown
 362 in Figure 2a). The entire dataset contains 5357 grains, from which MCL found 579
 363 discrete clusters. The reconstruction resulted in 196 prior austenite grains (angular
 364 threshold 5 degrees). From Figure 2b) it is clear that MCL has oversegmented the
 365 graph compared to the final reconstruction result (shown in Figure 2c)).

366
 367 In Figure 2c), green boundaries indicate twinned austenite grain boundaries. It
 368 is expected that these boundaries should follow the traces of the coinciding (111)
 369 planes of the twins. However, it is evident from Figure 2 that in several cases the
 370 boundaries follow a somewhat jagged line. This is likely a sign of some austenite

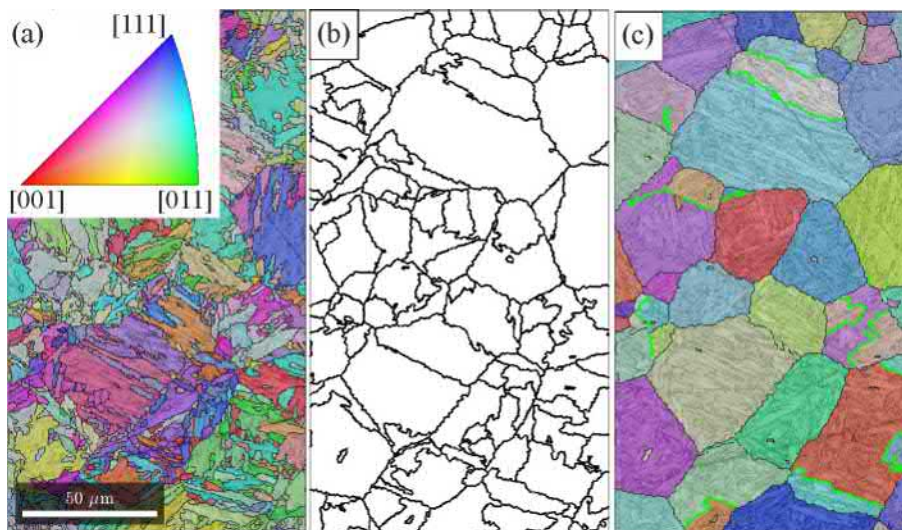


Fig. 2 EBSD band contrast image overlaid with an IPF ND orientation colored grain map. The color key is displayed in the upper left corner of Figure 1a). Reference steel held at 1200 °C for 3 minutes and quenched to RT: a) martensitic EBSD grain map, b) discrete clusters assembled by MCL and c) reconstructed austenite grain map. Highlighted grain boundaries indicate twin boundaries with coincidence site lattice $\Sigma = 3$ equivalence. For colors, please refer to the online version.

371 orientations misindexed as their twin.

372

373 An example of a probable twin misindexation is shown in Figure 3, which shows
 374 three reconstructed prior austenite grains. The middle grain (grain 2) shares a
 375 twin relationship with its neighbors. The (100) pole figure in Figure 3c) shows the
 376 martensite orientations corresponding to the large bottom grain (grain 1). Theo-
 377 retical martensitic orientations were calculated from the reconstructed austenite
 378 orientations of grains 1 and 2 and they are shown as superimposed black (grain
 379 1) and magenta (grain 2) dots in Figure 3c). Careful examination of the pole fig-
 380 ure shows the presence of martensite orientations that should be classified to the
 381 twin orientation instead. It should be mentioned that following a strict $\Sigma 3$ twin
 382 relationship and a strict Kurdjumov-Sachs type orientation relationship, six of the
 383 martensite variants shown in Figure 3 should coincide exactly [14,34,35,36]. Ev-
 384 idently, this is not the case here, as shown by the calculated and experimentally

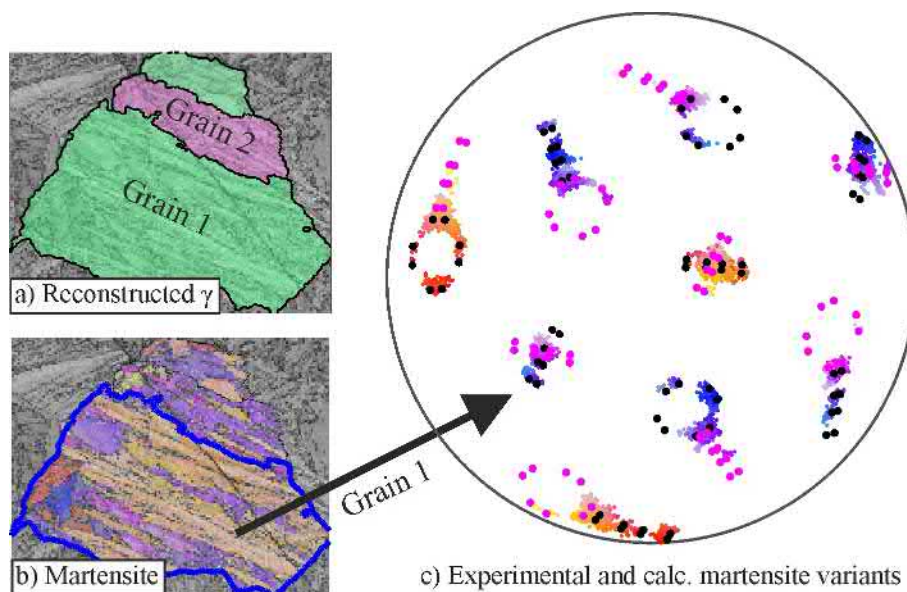


Fig. 3 Cropped orientation map segments showing a) prior austenite grains with IPF TD coloring, and b) corresponding martensite orientations. The (100) pole figure in c) shows the measured martensite orientations from b), along with theoretical martensite variant orientations calculated from the orientations in a). For the IPF color key, refer to Fig. 2a). Consult the online version of the article for references to color.

385 obtained martensite orientations in Figure 3c). The observed misorientation be-
 386 tween reconstructed grains 1 and 2 deviates from $\Sigma 3$ by approximately 1 degree. In
 387 addition, it has been shown by Miyamoto et al. [13] that when the experimentally
 388 obtained orientation relationship deviates to a significant degree from the K-S OR
 389 (as is the case here), the expected overlap of martensite orientations disappears
 390 even with an ideal $\Sigma 3$ twinning relationship. In optimal conditions the correct
 391 parent orientation may then be calculated from the misorientation between only
 392 a pair of orientations [13].

393

394 Following this idea, another calculation was made to further study the under-
 395 lying problems related to twin misindexation. All of the possible misorientations
 396 between individual martensite orientation pixels corresponding to the prior austen-
 397 ite grains highlighted in Figure 3a) were compared to each other to determine the
 398 symmetry operators C_i and C_j , as in Equation 2. The prior austenite orientations
 399 were then calculated for each neighboring $O_{\alpha'}(x_i)$ and $O_{\alpha'}(x_j)$ pair in the following
 400 manner:

$$\begin{aligned} O_{\gamma}(x_i)P_i &= O_{\alpha'}(x_i)(T_{\gamma \rightarrow \alpha}C_i)^{-1} \\ O_{\gamma}(x_j)P_j &= O_{\alpha'}(x_j)(T_{\gamma \rightarrow \alpha}C_j)^{-1} \end{aligned} \quad (9)$$

401 Although the symmetry operators P_i and P_j remain unknown, the left side
 402 of Equation 9 should equal crystallographically related solutions of O_{γ} . Each ob-
 403 tained pair of austenite orientations was compared to each other to verify this.
 404 Figure 4a) shows the results of the calculation: a partially reconstructed austenite
 405 orientation map calculated from the misorientations between pairs of individual
 406 orientation pixels. The presence of an unidentified twin in the lower region of the
 407 prior austenite grain (highlighted with a white rectangle) appears to be confirmed
 408 by the calculations.

409

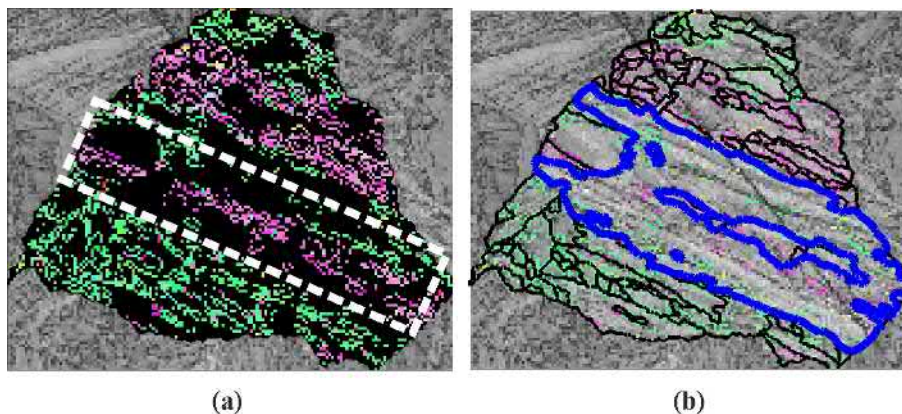


Fig. 4 Austenite orientations with IPF TD coloring (refer to Fig. 1a) for color key), calculated from individual misorientations, with a) a black underlay for emphasis and a highlighted extra twin and b) original martensite grain map overlay. Consult the online version of the article for references to color.

410 Figure 4b) has been overlaid with the grain boundaries of the initial grain map
411 reconstructed from the martensite orientation map. It is telling that based on the
412 partial reconstruction calculated from individual misorientations, the boundaries
413 of the misidentified twin lie within a single large grain of the initial martensite grain
414 map, outlined blue in the Figure. It is clear that the initial grain reconstruction
415 of the martensite orientation map has failed to differentiate regions with sufficient
416 (lath) accuracy. In this case, the angular threshold was 3 degrees; it appears that
417 some of the low-angle boundary misorientations between individual laths have
418 fallen below this value. It follows that the graph generated from the initial grain
419 map based on misorientation angle thresholding lacks information related to low-
420 angle interlath boundaries. The algorithm described here is therefore unable to
421 segment the map at these locations, resulting in twin misindexation. A logical
422 step towards improving the algorithm would be the incorporation of some other
423 method to generate the initial graph; one such possibility would be to segment
424 the orientation map based on the intermartensitic misorientations identified in
425 the final iteration round of the orientation relationship determination algorithm,
426 possibly combined with a boundary completion algorithm such as ALGrId [37].

427 6 Results of the intercritical annealed specimens

428 6.1 Dilatometry results for intercritical annealing

429 The measured M_s values are shown in Figure 5 for all tested conditions. The curves
 430 in Figure 5 show a calculated prediction for M_s versus annealing temperature.
 431 The measured temperatures fall well below the predicted values at all annealing
 432 temperatures and holding times.

433 6.2 Prior austenite morphology

434 The EBSD austenite orientation maps were reconstructed for the DP steels, fol-
 435 lowing the separation of the data into ferrite and martensite by grain average band
 436 slope cutoff. Examples of the reconstructed intercritical microstructures are shown
 437 in Figure 6. The austenite grains distinguished in the steels have both faceted and
 438 smoothly curved interfaces with neighboring ferrite. After 60 minutes, the grains
 439 have undergone significant growth. Figure 7 shows the grain size of the recon-
 440 structed austenite grains with respect to annealing time, determined through the
 441 point-sampled intercept length method demonstrated as suitable for the grain size

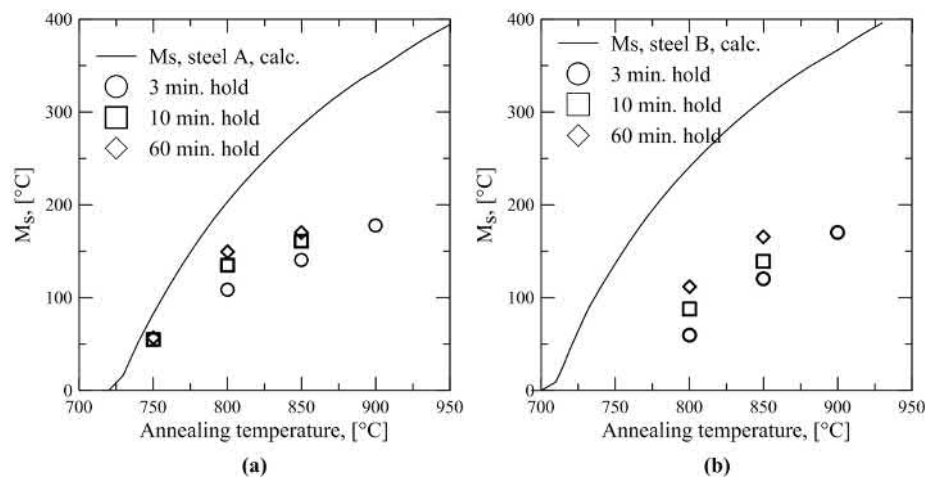


Fig. 5 M_s temperature with respect to annealing temperature for a) steel A and b) steel B.

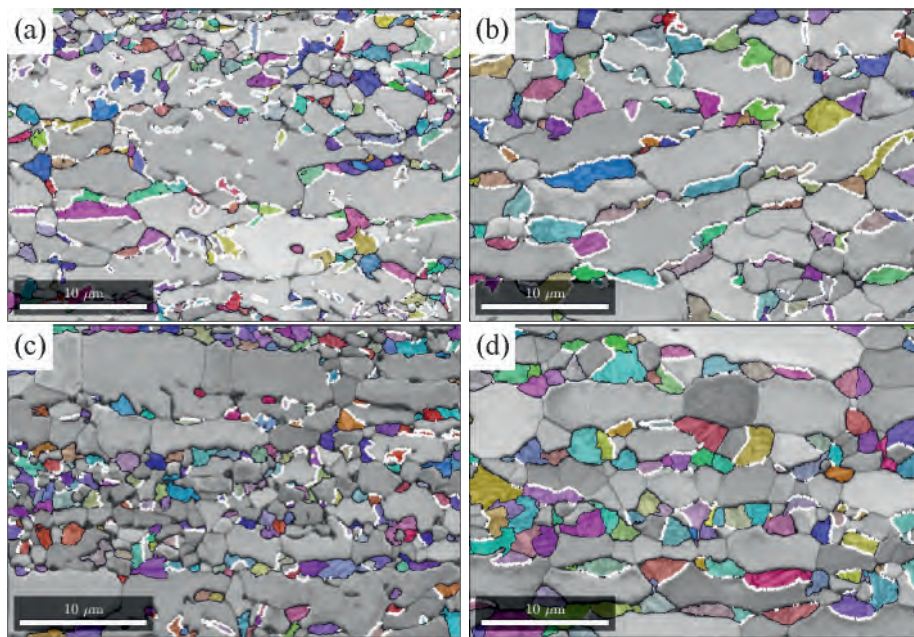


Fig. 6 EBSD band contrast image overlaid with IPF ND orientation coloring for reconstructed austenite (ref. to Fig. 1a) for color key). Steel A: a) 3 minute annealing, b) 60 minute annealing. Steel B: c) 3 minute annealing, d) 60 minute annealing. K-S-type boundary indicated in white. For colors, please refer to the online version.

442 characterization of complex steel microstructures by Lehto et al. [38] The error
 443 bars show the standard deviation of the measured line intercept values.

444

445 6.3 Orientation relationships

446 The average OR between martensite and reconstructed austenite was determined
 447 for all of the reconstructed datasets using the iterative procedure described in Sec-
 448 tion 3, as well as through the direct comparison of the reconstructed austenite and
 449 corresponding martensite orientations. In the latter case, the iterative procedure
 450 was modified to find a solution for $T_{\gamma \rightarrow \alpha}$ using Equation 1, as O_{γ} was known for
 451 each $O_{\alpha'}$ after the reconstruction.

452

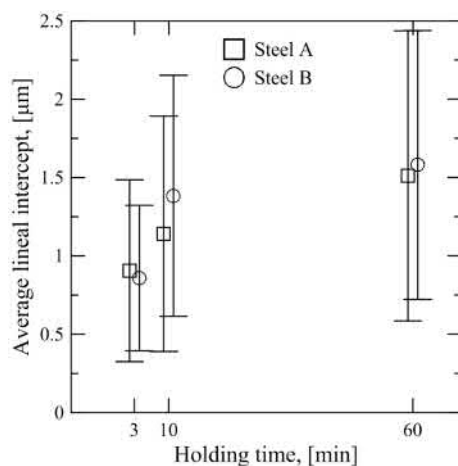


Fig. 7 Reconstructed prior austenite grain size obtained from EBSD maps for the annealing at 850 °C. The data points are staggered on the x axis to improve readability.

453 Several of the reconstructed austenite grains shared a Kurdjumov-Sachs type
 454 grain boundary with neighboring intercritical ferrite. This type of semicoherent
 455 boundary was typically associated with a faceted rather than a curved interphase
 456 boundary. The exact OR describing this type of boundary was determined with
 457 the modified iterative algorithm.

458

459 The austenite-martensite OR determined with the iterative algorithm for Steel
 460 A annealed for 1 hour at 850 °C is shown in Figure 8a). For this analysis, all of
 461 the experimentally found intergranular misorientations were reindexed as the ori-
 462 entation relationship of $(111)\gamma$ and $[\bar{1}01]\gamma$ between $(011)\alpha$ and $[\bar{1}\bar{1}1]\alpha$. Figure 8a)
 463 shows a standard stereographic projection for the austenite phase in the middle,
 464 with close-up sections of the $[\bar{1}01]\gamma$ and $(111)\gamma$ regions in the sides. Corresponding
 465 $(011)\alpha$ and $[\bar{1}\bar{1}1]\alpha$ orientations are overlaid on the close-up regions as contour maps.

466

467 The averaged OR is overlaid as a white circle and coincides with the peaks
 468 of the contours. $(111)\gamma$ and $[\bar{1}01]\gamma$ are shown to be almost but not exactly par-
 469 allel with $(011)\alpha$ and $[\bar{1}\bar{1}1]\alpha$. Figure 8b) shows a similar analysis done using the
 470 OR determined with the modified iterative method using the misorientations be-

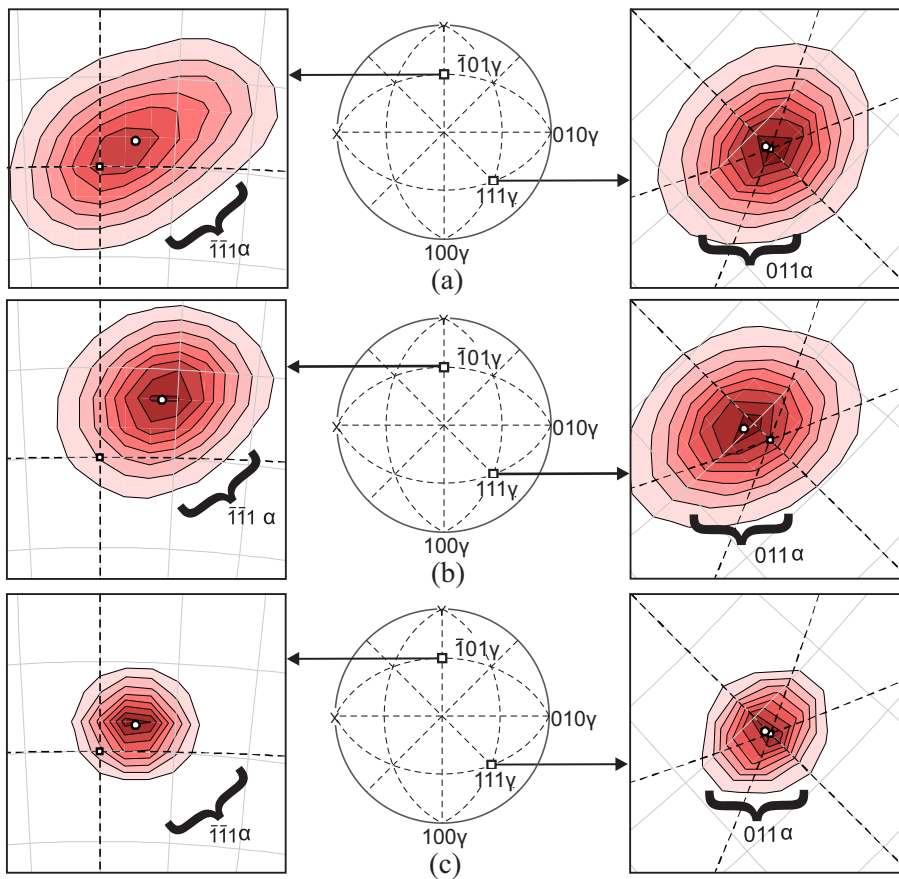


Fig. 8 Examples of the distribution of the orientation relationship between austenite, martensite, and intercritical ferrite for steel A annealed at 850 °C for 60 minutes. Grid spacing in the pole figures is 3 degrees. a) OR determined through boundary misorientation analysis and b) direct comparison between austenite and martensite. c) The orientation relationship between intercritical ferrite and austenite at semicoherent boundaries.

471 tween reconstructed austenite and corresponding martensite orientations. Figure
 472 8c) shows the OR distribution of the boundaries of reconstructed austenite shar-
 473 ing a K-S type orientation relationship with neighboring intercritical ferrite (the
 474 boundaries shown in white in Figure 6). The determined orientation relationships
 475 were similar for both steels and invariant with respect to annealing time.

476

477 6.4 Martensite morphology and variant formation

478 Following the reconstruction, a martensite variant indexation number could be de-
479 termined for each martensitic orientation pixel following the convention of Morito
480 et al. [21], where the variants are divided into groups sharing the same near-parallel
481 close-packed planes: V1-V6, V7-V13, V14-V18 and V19-V23. Table 4 describes the
482 approximate plane and direction parallelisms of each martensitic variant, as well as
483 the corresponding intervariant misorientations calculated from the experimentally
484 obtained orientation relationship for steel A annealed for 1 hr. Figure 9 shows ex-
485 amples of variant distribution in both steels annealed at 850 °C for the annealing
486 times of 3 minutes and 1 hour.

487

488 The variant pairing in the steels was studied further by applying the orientation
489 relationship determination algorithm described in Section 3 to each pixel-to-pixel
490 misorientation in the spatially decomposed orientation map, rather than the mis-
491 orientations between grain average orientations. This increased the data available
492 to the algorithm and allowed the direct calculation of each variant pair bound-
493 ary length fraction. Each intervariant misorientation was then classified according
494 to the notation described in Table 4. Figure 10 shows the boundary length frac-
495 tions of each variant pairing. It is clear both from Figure 9 and Figure 10 that
496 within a packet, V1-V2 and V1-V6 type of variant pairing is preferred. On packet
497 boundaries, there is a clear preference toward V1-V16 and V1-V17 types of variant
498 pairings.

499

500 A byproduct of the indexation of boundary misorientations was the resolu-
501 tion of block and packet boundaries. Examples of block and packet boundaries
502 are shown in Figure 9, where green boundaries denote block boundaries and red
503 boundaries packet boundaries. The indexed boundaries are in good agreement with
504 the variant numbering. Similarly to the parent austenite, the block and packet

Table 4 24 variants in martensite as defined by Morito et al. [21]. Misorientation axes and angles are shown for the OR measured for steel A annealed for 1 hr.

Variant No.	Plane parallel	Direction parallel [γ] [α']	Rotation from Variant 1		
			Axis (indexed martensite)	by	Angle [deg.]
V1		$[\bar{1}01] [\bar{1}\bar{1}\bar{1}]$	-		-
V2		$[\bar{1}01] [\bar{1}\bar{1}\bar{1}]$	[-0.5554 0.5332 0.6381]		60.15
V3	(111) γ	$[0\bar{1}\bar{1}] [\bar{1}\bar{1}\bar{1}]$	[-0.0098 0.7000 0.7141]		60.01
V4	(011) α'	$[0\bar{1}\bar{1}] [\bar{1}\bar{1}\bar{1}]$	[-0.6322 -0.0000 0.7748]		6.17
V5		$[\bar{1}\bar{1}0] [\bar{1}\bar{1}\bar{1}]$	[-0.7000 0.0098 0.7141]		60.01
V6		$[\bar{1}\bar{1}0] [\bar{1}\bar{1}\bar{1}]$	[-0.7071 0.0054 0.7071]		53.87
V7		$[10\bar{1}] [\bar{1}\bar{1}\bar{1}]$	[-0.5922 0.5465 0.5922]		49.71
V8		$[10\bar{1}] [\bar{1}\bar{1}\bar{1}]$	[-0.6486 0.1985 0.7348]		11.17
V9	($\bar{1}\bar{1}\bar{1}$) γ	$[\bar{1}\bar{1}0] [\bar{1}\bar{1}\bar{1}]$	[-0.6486 0.1985 0.7348]		51.28
V10	(011) α'	$[\bar{1}\bar{1}0] [\bar{1}\bar{1}\bar{1}]$	[-0.4754 0.5475 0.6886]		49.77
V11		$[011] [\bar{1}\bar{1}\bar{1}]$	[-0.4974 0.0641 0.8651]		14.68
V12		$[011] [\bar{1}\bar{1}\bar{1}]$	[-0.6556 0.1770 0.7341]		57.33
V13		$[0\bar{1}\bar{1}] [\bar{1}\bar{1}\bar{1}]$	[-0.0641 0.4974 0.8651]		14.68
V14		$[0\bar{1}\bar{1}] [\bar{1}\bar{1}\bar{1}]$	[-0.5475 0.4754 0.6886]		49.77
V15	($\bar{1}\bar{1}\bar{1}$) γ	$[\bar{1}0\bar{1}] [\bar{1}\bar{1}\bar{1}]$	[-0.2373 0.6619 0.7110]		55.59
V16	(011) α'	$[\bar{1}0\bar{1}] [\bar{1}\bar{1}\bar{1}]$	[-0.6871 0.2361 0.6871]		18.17
V17		$[110] [\bar{1}\bar{1}\bar{1}]$	[-0.6460 0.4067 0.6460]		49.99
V18		$[110] [\bar{1}\bar{1}\bar{1}]$	[-0.2709 0.6549 0.7055]		49.67
V19		$[\bar{1}\bar{1}0] [\bar{1}\bar{1}\bar{1}]$	[-0.1985 0.6486 0.7348]		51.28
V20		$[\bar{1}\bar{1}0] [\bar{1}\bar{1}\bar{1}]$	[-0.1770 0.6556 0.7341]		57.33
V21	($\bar{1}\bar{1}\bar{1}$) γ	$[0\bar{1}\bar{1}] [\bar{1}\bar{1}\bar{1}]$	[-0.1477 0.0000 0.9890]		20.43
V22	(011) α'	$[0\bar{1}\bar{1}] [\bar{1}\bar{1}\bar{1}]$	[-0.6549 0.2709 0.7055]		49.69
V23		$[101] [\bar{1}\bar{1}\bar{1}]$	[-0.6619 0.2373 0.7110]		55.59
V24		$[101] [\bar{1}\bar{1}\bar{1}]$	[-0.2605 0.0000 0.9655]		20.77

505 sizes were determined with the point linear intercept method and are displayed in

506 Figure 11.

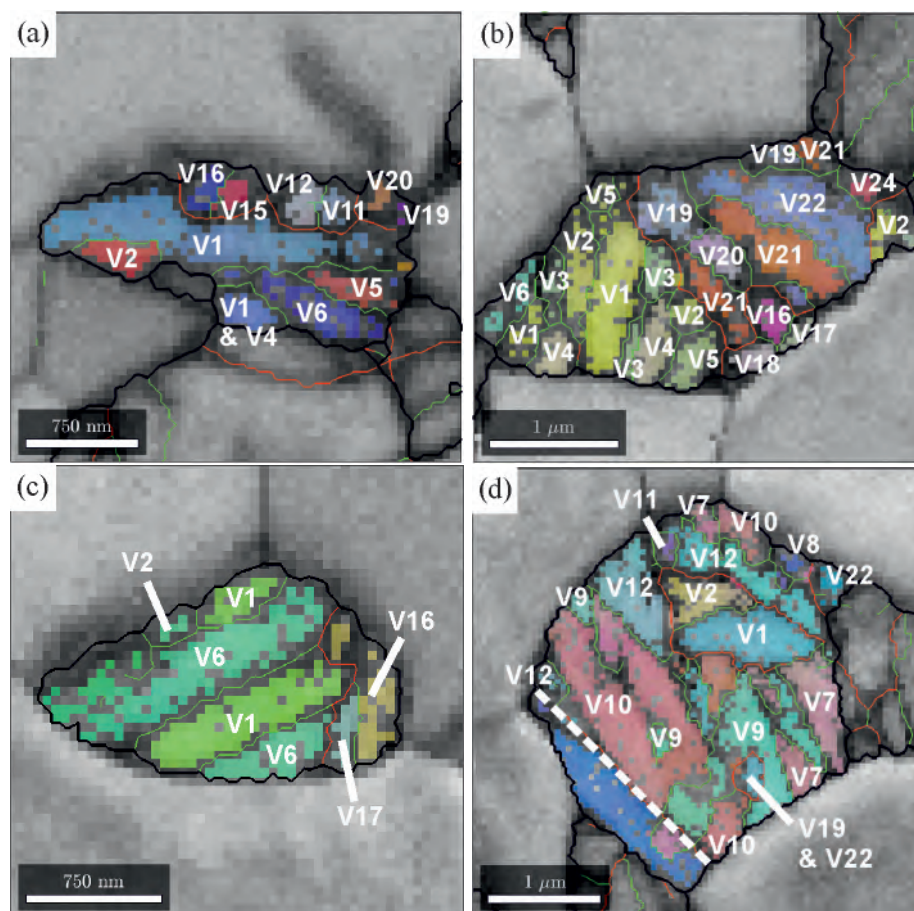


Fig. 9 Examples of martensitic variant distributions in prior austenite grains. Band contrast images with martensite orientations colored in IPF ND coloring (ref. to Fig. 1a) for color key). Red = packet boundaries, green = block boundaries. Steel A: a) 3 minute anneal, b) 1 hr anneal. Steel B: c) 3 minute anneal, d) 1 hr anneal (twin boundary indicated with dashed line). For colors, please refer to the online version.

507 7 Discussion

508 7.1 Austenite nucleation, grain growth and crystallography

509 It has been established that the optimal shape and location for an austenite nu-
 510 cleus is the one that results in the smallest total interfacial free energy [39]. Gen-
 511 erally speaking, this means that new grains will preferentially nucleate as abutted
 512 spherical caps at grain boundaries. A semicoherent boundary with a well-defined
 513 orientation relationship may be created with one of the neighbors, reducing inter-

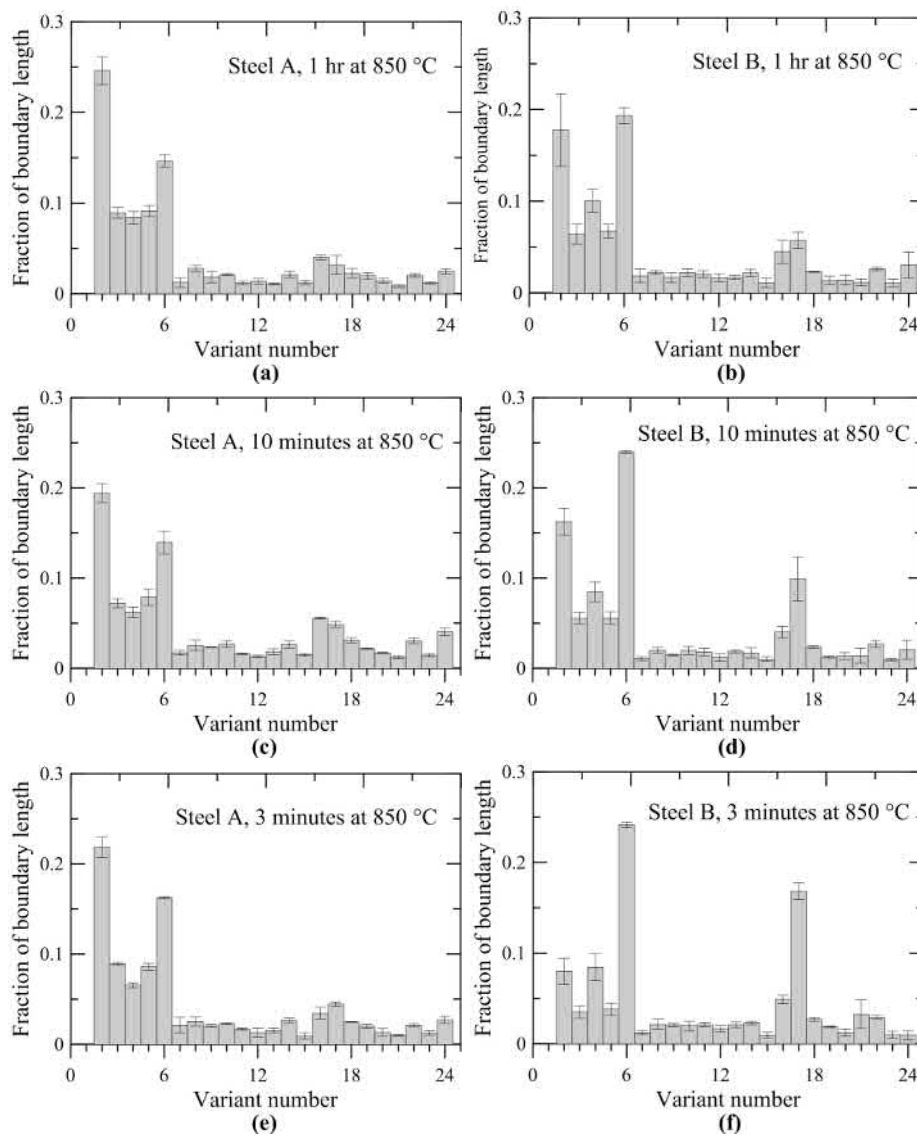


Fig. 10 Variant pairing distributions in the steels A (a), c) and e)) and B (b), d) and e)) for the annealing times of: a) and b) 1 hr, c) and d) 10 minutes and e) and f) 3 minutes reported as fraction of total boundary length of each variant pair.

514 facial energy and, consequently, resulting in texture inheritance from one phase
 515 to another. Further reductions to activation energy can be gained by nucleation
 516 at grain edges and corners, where the potential removal of a high-energy defect

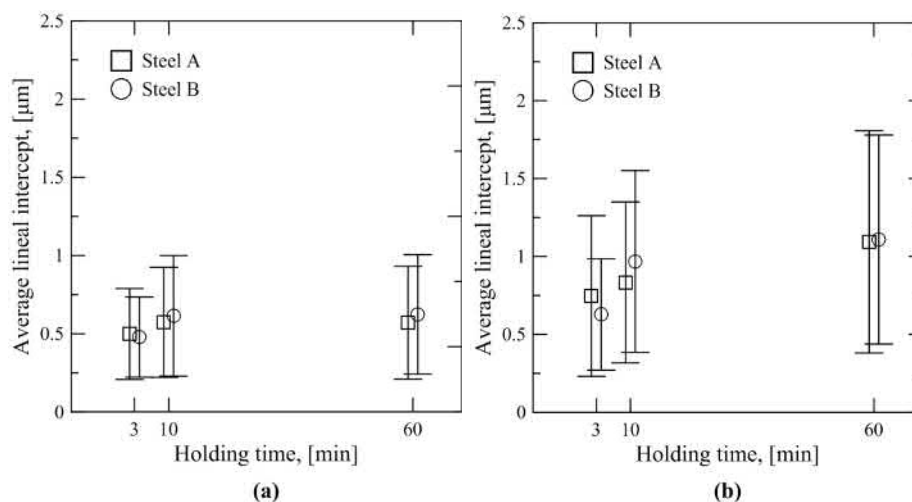


Fig. 11 Martensite a) block and b) packet size obtained from EBSD maps for the annealing at 850 °C. The data points are staggered on the x axis to improve readability.

517 reduces the energy barrier for nucleation.

518

519 Nearly all of the reconstructed prior austenite grains nucleated at grain bound-
 520 aries (see Figure 6) were found to share a Kurdjumov-Sachs type orientation re-
 521 lationship with at least one of its ferritic neighbors. Most of the prior austenite
 522 had nucleated at the grain boundaries, edges or corners of the recrystallized ferrite
 523 grains, likely after carbide dissolution had provided a carbon-rich volume prefer-
 524 ential to austenite nucleation. A small amount of austenite had also nucleated at
 525 defects inside ferrite grains. Commonly these had a K-S type OR with the sur-
 526 rounding ferrite and an elongated shape, the long axis being parallel with a $\{011\}$
 527 plane in ferrite and a $\{111\}$ plane in austenite.

528

529 Grain boundary nucleation with a single semicoherent interface was most com-
 530 mon in steel A, where the ferrite grain size distribution is unimodal. In the case
 531 of steel B, the distribution of intercritical ferrite size is bimodal, providing more
 532 high-energy nucleation sites (grain edges and corners) for austenite. This results
 533 in a lesser need for semicoherent boundaries to lower the interfacial energy, and

534 thus a smaller amount of grains sharing a semicoherent boundary with neighbor-
535 ing austenite. The degree of texture inheritance from recrystallized ferrite is thus
536 reduced in steel B. The average area fraction of austenite grains with no orienta-
537 tion relationship to neighboring ferrite increased from approximately 15 % (steel
538 A) to approximately 40 % (steel B) with no effect from the annealing time. This
539 implies that by providing an ample amount of high-energy nucleation sites for
540 austenite (for example by reducing recrystallized ferrite grain size), the texture
541 inheritance from one manufacturing stage to another could be reduced. This is
542 perhaps not so important for DP steels, in which recrystallized ferrite is the phase
543 that accommodates most of the deformation during later shaping processes. How-
544 ever, non-textured austenite could be useful in operations where the nucleation
545 and growth of austenite proceeds to full austenitization, followed by deformation
546 while in the austenitic stage.

547

548 Figure 7 shows that the growth rate of austenite is initially rapid, slowing
549 down considerably at extended annealing times. This is consistent with previ-
550 ous findings [4,5]. For austenite nucleated at grain boundaries, the growth of the
551 austenite grain was typically accomplished by an increase of curvature in the di-
552 rection of the ferrite grain that did not share an ordered semicoherent boundary
553 with the neighboring austenite. In most cases, the semicoherent boundary retained
554 its faceted shape even after an extended annealing time. It should be mentioned
555 that the observation of increased curvature is based on the examination of data
556 on a 2D plane. In any case, based on the observed growth behavior both in terms
557 of austenite grain size and increased curvature on a 2D plane, the primary growth
558 mechanism of the austenite appears to be diffusion across an incoherent interphase
559 boundary. It is possible that the diffusion of aluminum from austenite to ferrite
560 becomes the controlling factor in austenite growth: the volume ahead of the trans-
561 formation front is enriched with ferrite-stabilizing aluminum, which must diffuse
562 further away from the interface before the transformation can continue. The slow

563 growth of the austenite phase has been attributed to this type of substitutional
564 diffusion of heavier alloying elements (such as Mn or Cr) in other works as well [4,
565 9, 40].

566

567 A deviation was observed between the results for the austenite-martensite
568 OR generated by the iterative boundary misorientation analysis and by direct
569 austenite-martensite comparison. From Figure 8a, the OR determined iteratively
570 from grain pair misorientations suggests a nearly perfect parallelism between
571 $(011)\alpha'$ and $(111)\gamma$, while the OR determined through the direct comparison of re-
572 constructed austenite and corresponding martensite (Figure 8b) suggests that the
573 martensite close-packed planes deviate from those of austenite by approximately a
574 degree on average. This difference between results is explained by the fact that the
575 iterative OR determination algorithm characterizes the misorientations between
576 martensitic blocks and packets, which are composed of martensitic laths. As shown
577 earlier by Miyamoto et al. [41], during transformation, the austenitic matrix sur-
578 rounding the newly formed martensitic laths has deformed plastically and elasti-
579 cally to accommodate the shape change. When another martensite lath forms im-
580 mediately next to the initial lath, its orientation must accommodate the deformed
581 austenite. It stands to reason that the orientation relationship measured from the
582 average misorientation between these kinds of neighboring laths will differ from
583 the orientation relationship between the formed martensite and the local austenite
584 orientation. In the case of direct comparison between reconstructed austenite and
585 martensite orientations, this is avoided. The results suggest that while iterative
586 boundary misorientation analysis provides a good first approximation for recon-
587 struction purposes, accurate orientation relationship studies preferentially require
588 direct comparison between the reconstructed austenite and martensite orienta-
589 tions.

590

591 7.2 Martensite transformation

592 The EBSD studies showed that the microstructure consisted of intercritical fer-
 593 rite and an arrangement of smaller ferrite laths that could be reconstructed into
 594 prior austenite. Combined with the results dilatometric studies, which indicated a
 595 phase change at a relatively low temperature (below 200 °C), it is likely that the
 596 lath arrangements are untempered martensite. This was supported by the TEM
 597 studies on the carbon replicas. The only type of carbides found in these studies
 598 were a small amount of approximately spherical niobium carbides ranging from 5
 599 to 20 nm in diameter. The orientation relationship between the carbides and the
 600 surrounding ferrite could not be determined, as the surrounding metallic matrix
 601 had fully dissolved in the replica. Considering the relatively small molar fraction of
 602 niobium in the experimental alloys, it is likely that the majority of carbon resides
 603 in solution in the untempered martensite.

604

605 The phase fractions of intercritical ferrite and austenite in steel A and steel B
 606 annealed at 850 °C for the holding time of 60 minutes were estimated from optical
 607 micrographs. These are shown in Figure 12. For shorter holding times, reliable
 608 phase fraction analyses could not be made via optical microscopy due to the small
 609 austenite grain size and optical microscopy resolution limitations.

610

611 For correlation, phase fractions were also extrapolated by comparison of the
 612 measured M_s values with the curves shown in Figure 5. Before comparison, M_s
 613 was offset with the correction for austenite grain size determined with Equation
 614 10 by Yang and Bhadeshia [42]:

$$M_s^0 - T = \frac{1}{b} \ln \left[\frac{1}{aV_\gamma} \left\{ e^{-\frac{\ln(1-f)}{m}} - 1 \right\} + 1 \right] \quad (10)$$

615 In Equation 10, a and b are empirically determined fitting constants with values
 616 1 mm^{-3} and 0.2689, respectively. $f = 0.01$ represents the first detectable fraction

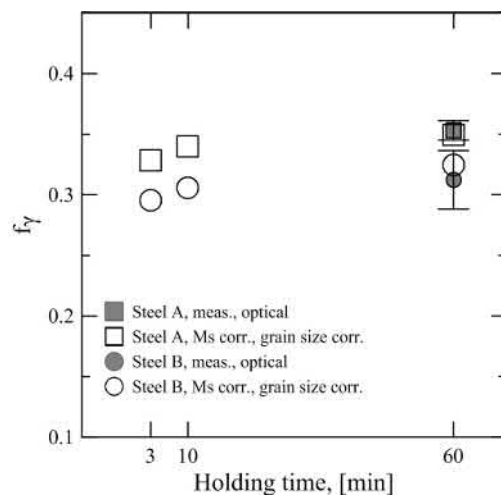


Fig. 12 Phase fractions obtained directly through optical metallography and extrapolation from measured M_s .

617 of martensite and $m = 0.05$ the aspect ratio of a martensite lens. V_γ is the vol-
 618 ume of the parent austenite grain and is approximated by L_γ^3 , L_γ representing the
 619 grain size of the parent austenite. L_γ was substituted with the average measured
 620 linear intercept value from the reconstructed parent austenite orientation maps.
 621 The grain sizes observed here resulted in a calculated decrease of M_s in the range
 622 of 66-75 °C.

623

624 The extrapolated values correlate with the austenite fractions measured di-
 625 rectly from optical micrographs. Thus, it is a likely explanation that the low mea-
 626 sured M_s values are the result of a combination of two factors: low fractions of
 627 intercritical austenite prior to the onset of martensite transformation as well as
 628 the small prior austenite grain size.

629

630 There are two possible explanations for the low intercritical austenite phase
 631 fraction. Either the balance of phases has changed during cooling to room tem-
 632 perature, or the steel alloy has not had sufficient time to achieve equilibrium dur-
 633 ing annealing. The change of phase fractions during cooling would be facilitated
 634 by the Widmanstätten growth of ferrite into austenite, diffusion-aided interfacial

635 migration, or the formation of bainite. No clear ferritic Widmanstätten-type pro-
636 trusions were found in the microstructure, leaving the interfacial growth of ferrite
637 into austenite or bainite formation. Analysis of the dilatation curves did not reveal
638 any clear evidence of bainite or ferrite transformations.

639

640 The evidence obtained here suggests that the first explanation is correct: slow,
641 diffusion-aided growth of the austenite phase during annealing has not allowed the
642 austenite phase to reach equilibrium even after extended annealing times. How-
643 ever, the interpretation of the cooling curves in the high-temperature regime where
644 diffusion-aided phase transformation might occur is not straightforward, so ferrite
645 interfacial growth or limited bainite formation during cooling cannot be completely
646 ruled out.

647

648 The main variant pairs formed in the steels studied here were V1-V2 and V1-
649 V6. V1-V4 type martensite variant pairing is preferred in low-carbon martensite,
650 as shown by Stormvinter et al. [22] and Morito et al. [21]. Such variant pairing
651 was not common in the steels studied here. Rather, the morphology and crystal-
652 lography of the martensite closely follows earlier observations for lath martensite
653 formed in a Fe-0.6C [21] or Fe-0.7C [22] steel, in which V1-V4 type sub-block
654 boundaries were found to be either nearly completely absent [21] or not nearly
655 as common [22]. This variant pairing behavior was attributed to a greater need
656 (compared to low-carbon lath martensite) for plastic self-accommodation result-
657 ing from high carbon content and (consequent) low transformation temperature.
658 Based on the experimental evidence, it is likely that the same factors apply for
659 the steels studied here. The carbon content in austenite is high and the grain
660 size has remained small as the result of intercritical annealing, resulting in a low
661 observed M_s and thus a high critical driving force necessary for the transformation.

662

663 There is a preference for V1-V16/V17 type of variant pairing across packet
664 boundaries. The tendency for such boundaries was noted to increase with car-
665 bon content by Stormvinter et al. [22]. There is a notable decrease in V1-V2 and
666 an increase in V1-V6 boundaries for steel B at shorter annealing times, which is
667 accompanied by an increase in V1-V17 type packet boundaries. V1,V6,V16,V17
668 belong to the same plate group, noted to form in burst fashion as the result of
669 autocatalytic nucleation in high-nickel plate martensite [43,44] and high-carbon
670 steel [22]. It is possible that at shorter annealing times, steel B is shifting towards
671 the generation of plate martensite. At the very least, there is a clear preference
672 towards the generation of variants belonging to the same plate group.

673

674 8 Conclusions

- 675 1. Markov clustering combined with automatic iterative orientation relationship
676 determination can be used to successfully reconstruct austenite orientation
677 maps in both fully austenitized and intercritically annealed microstructures.
- 678 2. Austenite has a tendency to nucleate at grain edges and corners, when enough
679 of these high-energy nucleation sites are provided. In these cases the need for
680 a semicoherent interface with neighboring ferrite is reduced. When ferrite
681 grain size is large, austenite may nucleate with a semicoherent K-S type in-
682 terface with one neighbor and an incoherent interface with another. Austenite
683 growth may occur most rapidly via diffusion in the direction of the incoherent
684 boundary.
- 685 3. The orientation relationship at ferrite-austenite semicoherent boundaries de-
686 viates slightly from Kurdjumov-Sachs, with approximately one degree devia-
687 tion between $(011)_\gamma$ and $[\bar{1}\bar{1}1]_\alpha$ (as indicated by the mean of the orientation
688 relationships determined from the observed K-S type boundaries).
- 689 4. It was observed that for the intercritically annealed steels studied here, there
690 is a small but systematic difference in the orientation relationships deter-

691 mined from boundary misorientations and those determined from martensite-
692 reconstructed austenite misorientations.

693 5. The low M_s temperatures are explained by a combination of phase fraction
694 inequilibrium at the onset of cooling and small austenite grain size.

695 6. It was observed that in an intercritically annealed condition, the marten-
696 site consists of single-variant blocks preferentially forming V1-V2 or V1-V6
697 type variant pairs in a packet. A preference was also observed towards the
698 formation of variants belonging to the same plate group.

699 An interesting possibility is raised by the tendency of austenite in steel B to
700 nucleate at grain edges and corners, when ferrite grain size is sufficiently small. In
701 these cases, the crystallographic orientation of the austenite is random and there is
702 no texture inheritance from the neighboring recrystallized ferrite. By providing a
703 large amount of high-energy nucleation sites for austenite, it may become possible
704 to reduce the inheritance of cold rolled texture from one manufacturing stage to
705 another, resulting in a more isotropic material.

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712
713 The reconstruction algorithm and associated Matlab[®] script presented here
714 is made freely available and can be obtained by request from the corresponding
715 author or from the web address: [https://github.com/nyyssont/parent_austenite_](https://github.com/nyyssont/parent_austenite_reconstruction)
716 **reconstruction**

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