

1           CONTRIBUTION TO THE PV-TO-INVERTER SIZING  
2           RATIO DETERMINATION USING A CUSTOM FLEXIBLE  
3           EXPERIMENTAL SETUP

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12   **Abstract**

13           This work presents a novel approach to the experimental validation of the optimal PV-  
14   to- inverter sizing ratio value for the energy yield maximization of a GCPVS by means the  
15   implementation of a custom workbench using a solar array simulator which has allowed to  
16   replicate a wide variety of technical configurations and environmental data. The compliance  
17   between the experimental setup and the mathematical model developed to simulate the optimal  
18   PV-to- inverter sizing ratio value was demonstrated by the specific tests carried out on its two  
19   main subsystems (the PV generator and the inverter), thus the subsequent simulations were  
20   made on a firm basis. Likewise, the evaluation of the overall system also showed a good  
21   agreement between the experimental and the simulated *energy yield* and optimal PV-to-  
22   inverter sizing ratio results, rendering relative errors below 3% for both magnitudes.

## 24 Keywords

25 PV-to-Inverter Sizing Ratio, Grid Connected PV Systems, Inverter, final Energy Yield Factor, Renewable  
26 Energy

## 27 1. Introduction

28 Photovoltaic (PV) energy is a secure, clean, renewable and environmentally friendly  
29 energy source. PV energy generation plays an important role worldwide and represents a  
30 growing renewable energy alternative. Nevertheless, although the cost of grid connected PV  
31 systems (GCPVS) has been decreasing over the time, their high capital cost when compared  
32 with conventional energy sources is still an important drawback.

33 In order to make GCPVS more competitive, many research has been done on the  
34 optimal relationship between the power capacities of all the different elements that compose  
35 them [1]. The optimal sizing of GCPVS implies an optimal relationship between the nominal or  
36 peak power of the PV generator and the nominal or maximum power of the inverter used for  
37 grid connection (see Fig. 1). The first references on this issue are dated in the 90's [2, 3] and  
38 many authors have addressed their work since then on this topic.

39 Fig. 1. Elements of the PV power conversion chain in a GCPVS

40 The most common expression employed to refer to this ratio of powers is PV-to-Inverter  
41 sizing ratio  $R_S$  [7, 8, 9, 17, 19, 31 and 32]. This concept is formulated in Eq.(1), where  $P_{PVG(STC)}$   
42 (Wp) is the peak power of the PV generator measured at Standard Test Conditions (STC)  
43 (1,000 W/m<sup>2</sup> and 25 °C), and  $P_{ACn}$  (W) is the nominal power of the inverter measured at the AC  
44 side under nominal conditions.

$$45 \quad R_S = \frac{P_{PVG (STC)}}{P_{ACn}} \quad (1)$$

46  
47 However, there are some studies where the nominal power of the inverter is measured  
48 at the DC side [6] or were this ratio of powers is reversely defined [22, 23, 24 and 35].

49 Additionally, it can be found in the literature a wide variety of terms that denote the same  
50 concept, such as Inverter-to-PV array size ratio (SF) [28 and 29], Inverter-to-PV array de-rating  
51 factor (k) [44 and 45], Inverter-to-PV power ratio (r) [36], Inverter Power Ratio (IPR) [39] or  
52 Power Ratio (PR) [21 and 41], Inverter Sizing Factor (ISF) [43] and Inverter Sizing Ratio (ISR)  
53 [12, 13 and 34].

54

55 The state of the art of the optimum sizing of GCPVS is synthesized in Table 1. The  
56 structure of the table tries to summarize the key aspects of the developed research. On the one  
57 hand, the columns of the table identify the two key aspects addressed in the sizing of the  
58 GCPVS, namely, the energy yield and the economic results. In turn, these two aspects are  
59 subdivided into their main characteristic issues.

60

61 On the other hand, the Table 1 has been horizontally divided into three sections that  
62 show the main approaches to GCPVS sizing identified in the literature, namely, those only  
63 relying on simulation, those combining an experimental setup with simulation, and finally, those  
64 based exclusively on the data obtained from an experimental setup.

65

66 Each of the horizontal sections has been subdivided into five main rows that capture the  
67 essential items taken into account in the reviewed research. The first one is called "Physical  
68 characteristics of GCPVS" and refers to aspects of the facility such as the location, mounting  
69 type, orientation and tilt, irradiance, temperature, etc. The row called "Technological  
70 characteristics of GCPVS" includes aspects such as the different module technologies, inverter  
71 efficiency, sun tracking systems, shadows and data sampling. The rows "Model formulation"  
72 and "Employed software" refer to the use of a mathematical model for describing the behaviour  
73 of the GCPVS and to the specific utilized software, respectively. The row "Experimental setup"  
74 applies to those cases where a physically implemented workbench was employed.

75

76

TABLE 1

77

78

In spite of the large range of studies addressed to determine the optimal value of  $R_s$ , it can be considered that the basic difference between these works stems from the use or not of an experimental set

79 up in order to clear up the problem. As mentioned above, other differential distinctive features are related  
80 to the side of the inverter where the nominal power is measured, to the employment of a reversed  
81 definition of  $R_S$ , to the specific model for the GCPVS used, etc.

82 As seen in Table 1, the studies relying only on simulation are far more abundant than  
83 those only employing data from an experimental setup or a combination of both. Also, the row  
84 “Physical characteristics of GCPVS” contains the greater number of references. This reveals  
85 that, regardless of the employed sizing approach, determining how the different location,  
86 mounting types, tilt and orientation, irradiance and temperature can affect the performance of  
87 the GCPVS has been the main issue addressed in the research.

88 On the contrary, the use of an experimental setup has been not so extensive. A  
89 possible explanation to the poor figures of studies employing an experimental setup or jointly  
90 integrating it with simulation lies in the difficulty to reproduce exactly in the setup the vast  
91 number of technical configurations and the different environmental conditions that can be easily  
92 applied in the simulations. All the setups reported in the examined references are specific  
93 implementations of GCPVS, which in some cases allow the possibility of varying the number of  
94 connected PV modules or changing the inverter. As a result, data obtained from these  
95 experimental setups is usually restricted to a limited number of cases and on-site conditions,  
96 which are practically impossible to replicate when desired in more than one experimental test.

97 Following this gap, this paper proposes a simulation technique for the determination of  
98 the GCPVS optimal value of  $R_S$  in combination with an experimental setup capable of validating  
99 the model results for a wide range of technical configurations and environmental conditions.

100 Thus, section 2 describes the problem under study, the main objectives to attain and the basic  
101 traits of the methodology applied to this aim. Next, a mathematical model for the sizing problem  
102 is formulated and the experimental setup utilized to validate the proposed approach is  
103 presented (Section 3). The environmental data and the applied processing are also described  
104 (Section 4). Then, the methodology by which the simulation and emulation processes are  
105 conducted is thoroughly explained (Section 5). Following, data from simulations and from the  
106 experimental setup are confronted and discussed (Section 6). Finally, all the factors deemed  
107 relevant for the study are duly systematized and conclusions are raised (Section 7).



### 130 3. The experimental setup and its model

#### 131 3.1. An overview

132 The proposed workbench consists of a solar array simulator (SAS), a grid-connected  
133 PV inverter and a digital power meter. A personal computer is also used as a control element  
134 for workbench management purposes. Figure 3 shows a block diagram of the proposed  
135 workbench.

136

137 Fig. 3. Block-diagram of the proposed workbench

138

#### 139 3.2. Solar array simulator and PV generator model

140 In the proposed workbench, the PV generator is emulated using the Agilent E4360  
141 Modular Array Simulator operating with the E4360A power module.

142 The SAS operates as a PV generator with a user programmable current-voltage  
143 characteristic. This characteristic is defined by four parameters: the short-circuit current  $I_{SC}$  (A),  
144 the open-circuit voltage  $V_{OC}$  (V), and the current  $I_{MPP}$  (A) and voltage  $V_{MPP}$  (V) at the maximum  
145 power point. The E4360A power module presents some technical constraints that limit the  
146 electrical characteristics of the PV generators that can be emulated. These constraints are  
147 summarized in Table 2.

148 Table 2. Electrical constraints of the E4360A SAS.

149 The SAS used in the setup is a low power equipment (600 W) compared with the  
150 medium or large PV generators usually installed. This limitation does not lead to an important  
151 loss of generality because the rated power of the used equipment is considered in the  
152 mathematical models proposed for the description of the PV system under consideration. On

153 the other side, the main objective of the experimental setup is the implementation of a proof of  
 154 concept demonstration prototype. However, it is always possible the series and/or parallel  
 155 interconnection of low power SASs in order to emulate large PV generators.

156 The values of  $V_{OC}$  and  $I_{SC}$  needed for the SAS user programmable current-voltage  
 157 characteristic are obtained by means of the PV generator model. The employed expressions  
 158 are taken from the model reported in [53 and 54] and are shown in Eqs. (2), (3) and (4):

$$I_{SC} = I_{SC(STC)} \cdot \frac{H}{H_{(STC)}} \cdot \left(1 + \alpha \cdot (T_C - T_{C(STC)})\right) \quad (2)$$

$$V_{OC} = V_{OC(STC)} \cdot \left(1 + \beta \cdot (T_C - T_{C(STC)})\right) \cdot \left(1 + \delta \cdot \ln\left(\frac{H}{H_{(STC)}}\right)^2\right) \quad (3)$$

$$P_{PVG} = P_{PVG(STC)} \cdot \frac{I_{SC} \cdot V_{OC}}{I_{SC(STC)} \cdot V_{OC(STC)}} \quad (4)$$

159 Magnitudes affected by the subscript  $_{(STC)}$  correspond to STC, while when not affected  
 160 correspond to any operating point other than STC.  $H$  and  $H_{(STC)}$  are incident irradiances on the  
 161 PV generator plane and  $T_C$  and  $T_{C(STC)}$  are temperatures of operation of the PV generator. The  
 162 constants  $\alpha$  and  $\beta$  are the current and voltage correction coefficients for temperature,  
 163 respectively, and  $\delta$  is a correction coefficient for solar radiation.

164 The other two parameters needed for the SAS programmable current-voltage  
 165 characteristic are  $I_{MPP}$  and  $V_{MPP}$ . They can be obtained by solving iteratively the Eqs. (5), (6), (7)  
 166 and (8), which are taken from [57] and complete the PV model.

$$R_{SER} = \frac{V_{OC} - V_{MPP}}{I_{MPP}} \quad (5)$$

$$\varepsilon = \frac{V_{MPP} \cdot \left(1 + \frac{R_{SER} \cdot I_{SC}}{V_{OC}}\right) + R_{SER} \cdot (I_{MPP} - I_{SC})}{V_{OC}} \quad (6)$$

$$N = \frac{\ln(2 - 2^\varepsilon)}{\ln\left(\frac{I_{MPP}}{I_{SC}}\right)} \quad (7)$$

$$V = \frac{V_{OC} \cdot \ln\left(2 - \left(\frac{I}{I_{SC}}\right)^N\right) - R_{SER} \cdot (I - I_{SC})}{\ln 2 + \frac{R_{SER} \cdot I_{SC}}{V_{OC}}} \quad (8)$$

167 In Eq. (8),  $I$  (A) and  $V$  (V) represent the current and the voltage of any operating point of  
 168 the current-voltage characteristic of the PV generator. Assigning to  $I$  a set of values ranging  
 169 between 0 and  $I_{SC}$ , and estimate of the complete current-voltage characteristic will be obtained.  
 170 In turn, the shape of this characteristic will depend on the setting parameters  $R_{SER}(\Omega)$ ,  $\varepsilon$   
 171 (dimensionless) and  $N$  (dimensionless) in Eqs. (5), (6) and (7), which are a function of  $I_{SC}$ ,  $V_{OC}$ ,  
 172  $I_{MPP}$  and  $V_{MPP}$ . Being  $I_{MPP}$  and  $V_{MPP}$  unknown, an initial guess for them must be provided to start  
 173 the iterative process of resolution of the Eqs. (5)-(8).  $I_{MPP}$  and  $V_{MPP}$  should be adjusted  
 174 iteratively until their product is consistent with the value of  $P_{PVG}$  of the estimated current-voltage  
 175 characteristic:

$$P_{PVG} \approx V_{MPP} \cdot I_{MPP} \quad (9)$$

177 A set of tests were carried out in order to compare the current-voltage characteristics  
 178 obtained from the SAS with the characteristics predicted by the PV generator model. The input  
 179 data for the tests correspond to a commercial PV panel with the following specifications:  $I_{SC(STC)}$   
 180 = 4.8 A,  $V_{OC(STC)} = 60.2$  V,  $P_{PVG(STC)} = 215$  W,  $\alpha = 0,000665$  °C<sup>-1</sup>,  $\beta = -0,0034$  °C<sup>-1</sup>. The  
 181 correction coefficient for solar radiation was  $\delta = -0.04$ , which is a typical value for mono-  
 182 crystalline Si PV panels [55]. The results are depicted in Figure 4, where dashed lines  
 183 correspond to the SAS and solid lines to the PV generator model. The subplot on the left shows  
 184 the characteristics obtained at  $T_C = 25$  °C and for  $H$  ranging from 200 W/m<sup>2</sup> to 1000 W/m<sup>2</sup>,  
 185 while the subplot on the right displays the characteristics obtained at  $H = 1000$  W/m<sup>2</sup> for  $T_C$



186 ranging from 10°C to 25 °C. As can be seen in Figure 4, the characteristics obtained from the  
 187 SAS and from the PV generator model exhibit an excellent agreement for all the tested  
 188 conditions.

189 Fig. 4. Current-voltage characteristics from the SAS (dashed) and predicted by the PV  
 190 generator model (solid), for  $T_C = 25$  °C and different values of  $H$  (left) and for  $H = 1000$  W/m<sup>2</sup>  
 191 and different values of  $T_C$  (right).

### 192 3.3. Tie grid-inverter and inverter model

193 The model for the inverter when the input power is lower than the maximum inverter  
 194 power is adapted from that outlined in [56]. The inverter power at the AC side  $P_{AC}$  is expressed  
 195 in terms of the inverter power at the DC side  $P_{DC}$  as:

$$P_{AC} = \left( \frac{P_{ACn}}{P_{DCn} - P_{DC0}} - C_0 \cdot (P_{DCn} - P_{DC0}) \right) \cdot (P_{DC} - P_{DC0}) + C_0 \cdot (P_{DC} - P_{DC0})^2 \quad (10)$$

196 where  $P_{ACn}$  (W) and  $P_{DCn}$  (W) are the nominal inverter powers at the AC side and at the DC  
 197 side at nominal operating conditions,  $P_{DC0}$  (W) is the minimum power at the DC side required to  
 198 start the inversion process and  $C_0$  (W<sup>-1</sup>) is a parameter defining the parabolic curvature of the  
 199 relationship between  $P_{AC}$  and  $P_{DC}$ . In the model,  $P_{DC}$  is assumed to be equal to  $P_{PVG}$ .

200 For input power ranges higher than the inverter maximum power, the proposed model  
 201 assumes the limitation of the inverter output power to its nominal value:

$$P_{AC} = P_{ACn} \quad (11)$$

202 In this situation, the inverter's control unit limits the inverter input power to its maximum  
 203 value (by shifting the operating point away from the maximum power point) until the overload  
 204 condition is no longer present, thus ensuring the inverter output delivery with no interruptions.

205 The specific inverter employed in the experimental setup is the SMA Sunny Boy 700  
 206 (SB700). This device is designed for a nominal output power of 700 W and the maximum  
 207 voltage and current at the DC side are 250 V and 10 A. For adequate voltage matching with the  
 208 SAS, the SB700 has been configured for operation at a reduced input voltage range from 70 to

209 150 V, which limits the maximum current and power at the DC side to 7 A and 510 W,  
210 respectively, and the maximum power at the AC side to 460 W.

211 The SB700 is an inverter model adequate to the Spanish legislation (where low  
212 frequency galvanic isolation is mandatory for grid-connected devices), with a maximum  
213 efficiency around 93 % and with the behaviour of the output power limitation to the maximum  
214 value implemented.

215 In order to determine the parameters of the inverter model some tests were performed  
216 in the experimental setup. From the  $P_{ACn}$  operating point specified by the manufacturer, the  $P_{DC}$   
217 received from the SAS was progressively reduced and the pair  $P_{AC}$  and  $P_{DC}$  was recorded. A 2<sup>nd</sup>  
218 order polynomial was fit to the obtained data, which rendered the results for the model  
219 parameters shown in Table 3. In Figure 5 it is represented the inverter efficiency, i.e. the ratio of  
220  $P_{AC}$  to  $P_{DC}$ , calculated from both experimental data (grey points) and the inverter model (solid  
221 black line). It is apparent the close agreement between the model and the experimental data.

222

223 Table 3. Parameters of the inverter model resulting from the 2<sup>nd</sup> order polynomial fit of  
224 experimental data

225

226 Fig. 5. Efficiency calculated from experimental data (red points) and from the inverter model  
227 (solid black line)

### 228 3.4. Power meter and recorder

229 A Yokogawa WT1600 digital power meter was used as power meter and recorder. This  
230 device monitored the voltage and current at the DC and AC sides of the inverter and recorded  
231 samples every two seconds. Data was transferred to the personal computer for further  
232 processing and permanent storage purposes at the end of each of the performed tests.

233 4. Environmental data. Solar irradiance and temperature

234  $P_{PVG}$  depends, among others, on the value of  $H$  and the ambient temperature at the  
235 GCPVS location ( $T_A$ ). For this study it has been considered a GCPVS located in Barcelona,  
236 Spain (41°23'N, 2°11'E), facing south and tilted 36°.

237 As a starting point to calculate  $H$ , daily horizontal radiation data for the selected location  
238 was obtained from [49]. Applying the models and correlations presented in [50], such as Erbs,  
239 Collares Pereira, Liu and Jordan and Perez, the hourly radiation at tilted surface for a  
240 representative day of each month was calculated. The day of a month whose daily radiation  
241 was the most similar to the monthly average daily radiation was selected as the representative  
242 day. This data was interpolated in periods of 15 minutes using a MATLAB® cubic interpolation  
243 function and then the resulting radiation values were expressed in terms of  $H$  ( $W/m^2$ ).

244 Hourly  $T_A$  values were also taken from [49]. This data was monthly averaged in order to  
245 obtain the hourly  $T_A$  evolution for the representative day of each month. As in the case of  $H$ ,  
246 these results were also interpolated in periods of 15 minutes.

247 The  $T_C$  can be modelled as in [51 and 52], namely:

$$T_C = T_A + k \cdot H \quad (12)$$

248 Where  $k$  ( $^{\circ}C \cdot m^2/W$ ) is a PV generator thermal coefficient called the coefficient of Ross.

249 The coefficient of Ross is related with the ventilation capability (natural ventilation by  
250 convection or forced ventilation by wind or airflows) and thereby depends on the PV generator  
251 mounting type. In this study it has been taken  $k = 0.027$   $^{\circ}Cm^2/W$ , which corresponds to the  
252 estimation carried out in [51 and 52] for not well cooled free standing PV generators mounted  
253 on flat roof.

254 Figures 6 and 7 show the 15-minute values of  $H$  and  $T_C$  for the twelve representative  
255 days of each month.

256

257 Fig. 6. 15-minute  $H$  [ $W/m^2$ ] values for the representative day of each month at selected location

258

259 Fig. 7. 15-minute  $T_C$  [ $^{\circ}C$ ] values for the representative day of each month at selected

260 location

261 5. Emulation and simulation processes. Proposed methodology for

262 laboratory tests

263 5.1. *Emulation process.*

264 Once the experimental setup had been built, characterized and validated, it was ready  
265 to be employed in the tests for the optimum  $R_S$  determination of a GCPVS. The different stages  
266 of the emulation process are illustrated in the left branch of the block-diagram in Figure 8, under  
267 the label "Emulation Procedure."

268 FIGURE 8

269 Initially, the PV generator model is configured to represent a specific PV module by  
270 means of the parameters  $I_{SC(STC)}$ ,  $V_{OC(STC)}$ ,  $P_{PVG(STC)}$ ,  $\alpha$ ,  $\beta$  and  $\delta$ . From this point, all the 15-  
271 minute interval values of  $H$  and  $T_C$  of the representative day of a month begin to be passed to  
272 the PV generator model. The output of the PV generator model are the parameters needed by  
273 the SAS to emulate the PV module behaviour under the specific environmental data  $H$  and  $T_C$ ,  
274 namely  $I_{SC}$ ,  $V_{OC}$ ,  $I_{MPP}$ ,  $V_{MPP}$ . In turn, the SAS supplies the corresponding value of  $P_{PVG}$  to the DC  
275 side of the inverter.

276 Next, the resulting  $P_{AC}$  at the AC side of the inverter is sampled and recorded every 2  
 277 seconds within all the 15-minute time intervals. At the end of the test of a representative day  
 278 (with an approximate duration of 7 hours, depending on the number of daily sunny hours), all  
 279 the recorded data (around 12,600 samples per day) is transferred to a personal computer for  
 280 further processing.

281 When the test is ended for the twelve representative days of a year, the annual energy  
 282 delivered to the grid  $E_{AC}$  is calculated according to Eq. (13):

$$283 \quad E_{AC} = \sum_1^m \sum_1^{n_m} D_m P_{AC(n_m, m)} \cdot \Delta t \quad (13)$$

284 where  $m$  is the ordinal of the month corresponding to a representative day ( $0 \leq m \leq 12$ ),  $D_m$   
 285 is the number of days of the month  $m$  ( $0 \leq D_m \leq 31$ ),  $n_m$  is the ordinal of the  $P_{AC}$  samples taken in  
 286 the representative day of a month  $m$ ,  $P_{AC(n_m, m)}$  is the value of the  $P_{AC}$  sample  $n_m$  in the  
 287 representative day of the month  $m$  and  $\Delta t$  is the time interval between samples.

288 Finally, the GCPVS energy yield  $Y_f$ , also known as final energy yield factor, is computed  
 289 in accordance with Eq. (14):

$$290 \quad Y_f = \frac{E_{AC}}{P_{PVG(STC)}} \quad (14)$$

291 In order to evaluate the influence of  $R_S$  on  $Y_f$ , a set of emulation procedures as the  
 292 previously described was performed for different  $R_S$  values. For the given inverter employed in  
 293 the experimental setup, this involved varying  $P_{PVG(STC)}$  (see Eq. (1)). The obtained results are  
 294 shown at the Table 4, where a selection of the tested  $R_S$  ranging from 0.85 to 1.18 is listed in its  
 295 1<sup>st</sup> column. The correspondent values of  $P_{PVG(STC)}$  are shown in the 2<sup>nd</sup> column, and the  $E_{AC}$  and  
 296  $Y_f$  obtained in the emulation process are listed in the 3<sup>rd</sup> and 5<sup>th</sup> columns, respectively.

297  
 298  
 299 Table 4.  $E_{AC}$  and  $Y_f$  for different values of  $R_S$ , obtained from the emulation and the simulation  
 300 procedures.  
 301

302

## 303 5.2. Simulation procedure

304 The right branch of the block-diagram in Fig. 8 under the label "Simulation Procedure"  
305 shows the different stages of the simulation process.

306 The PV generator model is configured as in the emulation procedure, and the same  
307 environmental data is passed to it. Nevertheless, the output of the PV generator model needed  
308 in this case is directly the  $P_{PVG}$  value to apply to the DC side of the inverter model. The inverter  
309 model renders the  $P_{AC}$  at its AC side and then,  $E_{AC}$  and  $Y_f$  are calculated.

310 This simulation procedure is carried out for the same  $R_S$  range considered in the  
311 emulation process, and the obtained values for  $E_{AC}$  and  $Y_f$  are listed in the 4<sup>th</sup> and 6<sup>th</sup> columns  
312 of Table 4.

## 313 6. Analysis of simulation and experimental results

314 Figure 9 shows the simulated results of  $Y_f$  as a function of  $R_S$  for a range of  $R_S$  values  
315 extended to 2. The pattern of this function is basically the same regardless the values of the  
316 characteristic parameters of the considered PV system. These curves are divided into three  
317 different regions: I) Region with positive slope, where the effect of the inverter operation in low  
318 load conditions is predominant. II) Low sensitivity region, where the variation of  $R_S$  has low  
319 effect over the system energy yield and where is located the optimum value of  $R_S$  ( $R_{S(OPT)}$ ). III)  
320 Region with negative slope, where the predominant effect is the limitation of the inverter output  
321 power.

322 The values of  $R_S$  used in the simulation procedure cannot be reached in the emulation  
323 procedure due to the technical limits of the experimental setup. As can be seen in Table 4 and  
324 Figure 10, the emulated values of  $R_S$  are ranged between 0.85 and 1.18. The effect of the  
325 inverter operation in low load conditions on the system energy yield can be seen for low values  
326 of  $R_S$ . Furthermore, the effect of the inverter output power limitation is not appreciable in the  
327 range of emulated values of  $R_S$ .

328 The overview presented in Figure 9 evidences a rapid decay of  $Y_f$  beyond the range of  
329  $R_S$  values listed in Table 4. Accordingly  $R_{S(OPT)}$ , i.e., the optimal value of  $R_S$ , was estimated  
330 about 1.08.

331 It is noteworthy the low sensitivity of  $Y_f$  to the  $R_S$  values around  $R_{S(OPT)}$ . For example,  
332 the maximum value of  $Y_f$  (1.6540 kWh/kW<sub>p</sub>, see Table 4) experiences a reduction of less than  
333 3% in the range of  $R_S$  between 1.00 and 1.24.

334

335 Fig. 9. Simulated results of  $Y_f$  as a function of  $R_S$ ,

336 for a range of  $R_S$  values extended to 2

337

338 On the other hand, the examination of Table 4 reveals a good agreement between the  
339 experimental and the simulation results. The 7<sup>th</sup> column of Table 4 presents the relative error  
340  $\varepsilon_{E_{AC}}$  (%) among the  $E_{AC}$  values obtained from the emulation and the simulation processes, which  
341 is always lower than 3 %. This relative error is also valid for the  $Y_f$  values obtained from the  
342 emulation and the simulation processes, since  $E_{AC}$  and  $Y_f$  are directly proportional magnitudes.

343 The subplot on the left of Figure 10 shows the evolution of  $E_{AC}$  for the limited range of  
344  $R_S$  values around  $R_{S(OPT)}$  presented at Table 4, while the subplot on the right is devoted to  $Y_f$ . In  
345 both subplots, emulation results have been presented in dashed lines and simulation results in  
346 solid lines.

347

348 Fig. 10. Emulated (dashed lines) and simulated (solid lines) results of  $E_{AC}$  (left subplot)  
349 and  $Y_f$  (right subplots) for a range around  $R_{S(OPT)}$

350 The  $R_{S(OPT)}$  calculated from the emulation data is around 1.05, which slightly differs  
351 from the value calculated from de simulation results, namely 1.08. The relative error between  
352 both values is below 3 %.

353 The almost flat shape of  $Y_f$  around  $R_{S(OPT)}$  suggest that rather than seeking a precise  
354  $R_{S(OPT)}$  value, looking for an optimum  $R_S$  interval could also be a suitable alternative approach.  
355 In the case studied in this paper the optimum  $R_S$  interval could be extended near 1.2, which  
356 would imply oversizing  $P_{PVG(STC)}$  respect to  $P_{AC,n}$  up to 20 %.

357 Additionally, it was explored the effect of the simplifying approach based on considering  
358 the most representative day of each month. To this aim, the described simulation procedure  
359 was repeated taking now into account the environmental data from the 365 days of a year  
360 rather than working with the representative day of every month. The obtained results were  
361 represented in Figure 11. While the calculated value of  $R_{S(OPT)}$  remained the same, the  
362 convexity of the  $Y_f$  curve around  $R_{S(OPT)}$  increased. This implies that the  $R_{S(OPT)}$  value gains  
363 relevance and the optimum  $R_S$  interval is reduced. It can also be observed a reduction of the  
364 values of  $E_{AC}$  and  $Y_f$ . Specifically, at the  $R_{S(OPT)}$  point  $E_{AC}$  and  $Y_f$  approximately decreased by  
365 6 %.

366

367 Fig. 11. Simulated values of  $Y_f$  as a function of  $R_S$ , considering environmental data of  
368 the 365 days of a year

## 369 7. Conclusions

370 A novel approach to the experimental validation of the  $R_{S(OPT)}$  value for the  $Y_f$   
371 maximization of the GCPVS has been here presented. The implementation of a custom  
372 workbench using a SAS has allowed to overcome one of the main gaps identified in the  
373 literature at this respect, as is the scarce number of references incorporating experimental  
374 validation of the  $R_{S(OPT)}$  simulation results or the limited capability of the employed setups for  
375 replicating a wide variety of technical configurations and environmental data.

376 The compliance between the experimental setup and the mathematical model  
377 developed to simulate the  $R_{S(OPT)}$  value was demonstrated by the specific tests carried out on its  
378 two main subsystems (the PV generator and the inverter), thus the subsequent simulations



379 were made on a firm basis. Likewise, the evaluation of the overall system also showed a good  
380 agreement between the experimental and the simulated  $Y_f$  and  $R_{S(OPT)}$  results, rendering  
381 relative errors below 3% for both magnitudes. The obtained results also evidenced the suitability  
382 of defining an optimum interval for  $R_S$  instead of focusing on a precise value for  $R_{S(OPT)}$ , due to  
383 the low sensitivity of  $Y_f$  to  $R_S$  in the neighbourhood of  $R_{S(OPT)}$ . Nevertheless, this asseveration  
384 resulted somewhat tempered when more accurate environmental data was employed, since  $Y_f$   
385 results were reduced by 6%. Ultimately, the combination of the proposed simulation procedure  
386 and the custom flexible experimental setup has proved a useful tool for validating the  $R_{S(OPT)}$   
387 value, or alternatively the optimum  $R_S$  interval, that maximizes the energetic performance of the  
388 GCPVS.

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391 Innovación (MICINN)

392

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548 **Acronyms**

549 PV: photovoltaic

550 GCPVS: grid connected photovoltaic systems

551 PV: photovoltaic

552 STC: Standard Test Conditions

553 SAS: solar array simulator

554 **Variables:**

555  $C_0$ : parameter defining the parabolic curvature of the relationship between  $P_{AC}$  and  $P_{DC}$  ( $W^{-1}$ )

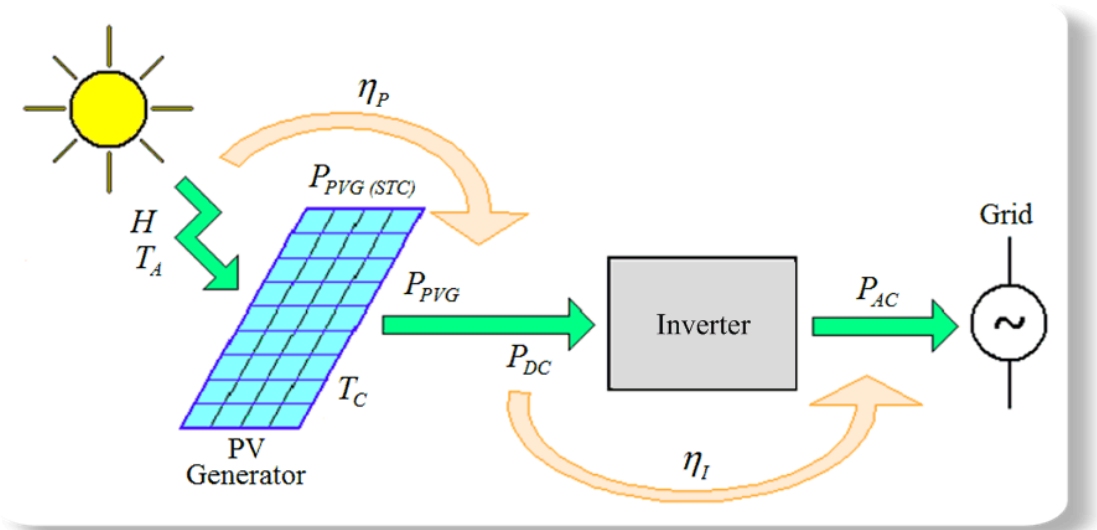
556  $D_m$ : number of days of the month  $m$

557  $E_{AC}$ : annual energy generated by the GCPVS (kWh)

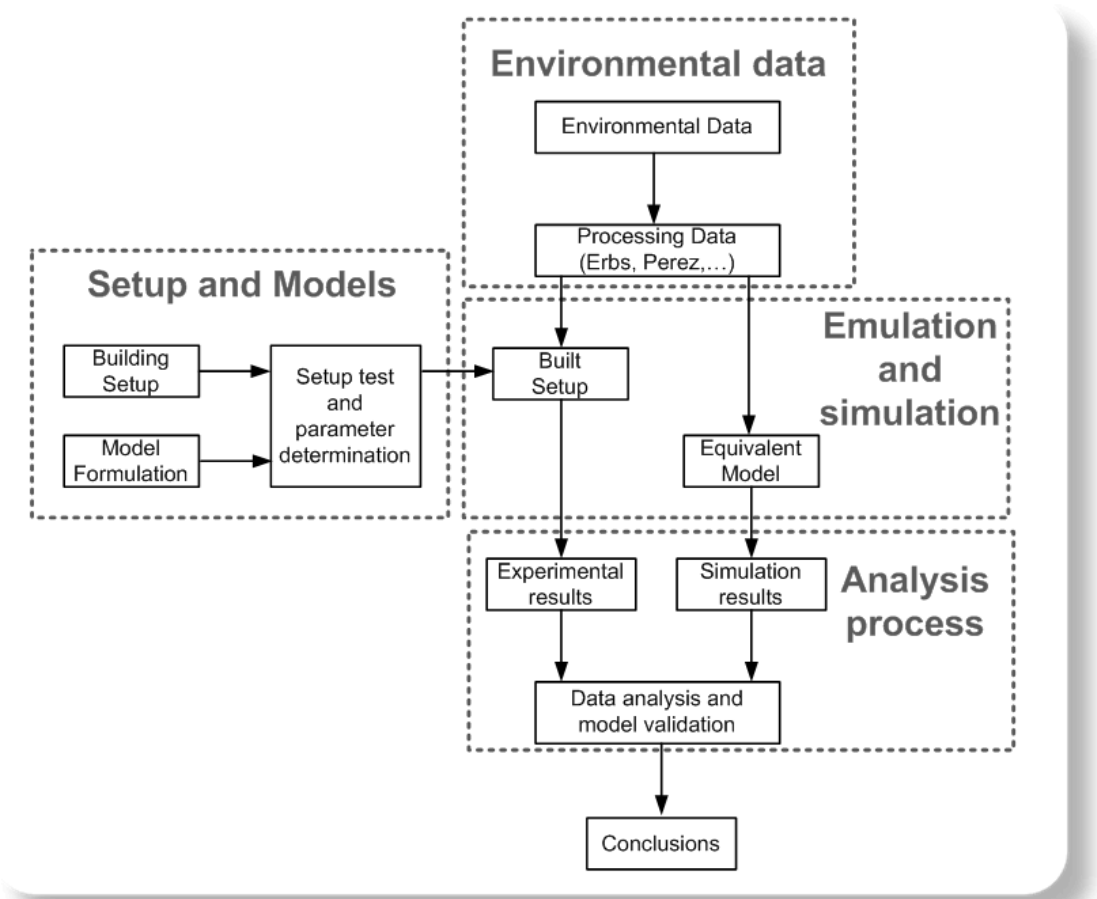
558  $H$ : irradiance on the PV generator plane ( $W/m^2$ )

- 559  $H_{(STC)}$  : irradiance on the PV generator plane at STC (1000 W/m<sup>2</sup>)
- 560  $I_{MPP}$ : current at the maximum power point of the PV generator (A)
- 561  $I_{SC}$ : short-circuit current of the PV generator (A)
- 562  $I_{SC(STC)}$ : short-circuit current of the PV generator at STC (A)
- 563  $k$ : PV generator thermal coefficient according to the mounting type (Ross coefficient) (°C.m<sup>2</sup>/W)
- 564  $m$ : ordinal of the month corresponding to a representative day
- 565  $n_m$ : ordinal of the  $P_{AC}$  samples taken in the representative day of a month  $m$
- 566  $P_{AC}$ : inverter power at the AC side (W)
- 567  $P_{ACn}$ : nominal or maximum inverter power at the AC side under nominal operating conditions (W)
- 568  $P_{AC(mm,m)}$ : value of the  $n_m^{th}$   $P_{AC}$  sample in the representative day of the month  $m$
- 569  $P_{DC}$ : inverter power at the DC side (W)
- 570  $P_{DC0}$ : minimum inverter power at the DC side needed to start the inversion process (W)
- 571  $P_{DCn}$ : nominal or maximum inverter power at the DC side under nominal operating conditions (W)
- 572  $P_{PVG}$ : power of the PV generator (W)
- 573  $P_{PVG(STC)}$ : nominal or peak power of the PV generator measured at STC ( $W_p$ )
- 574  $R_S$ : PV-to-Inverter sizing ratio
- 575  $R_{S(OPT)}$ : optimal value of  $R_S$
- 576  $T_A$ : ambient temperature of the PV generator (°C)
- 577  $T_C$ : operating temperature of the PV generator (°C)
- 578  $T_{C(STC)}$  : operating temperature of the PV generator at STC (25°C)
- 579  $V_{MPP}$ : voltage at the maximum power point of the PV generator (V)
- 580  $V_{OC}$ : open-circuit voltage of the PV generator (V)
- 581  $V_{OC(STC)}$ : open-circuit voltage of the PV generator at STC (V)
- 582  $Y_f$ : energy yield or final energy yield factor (Wh/ $W_p$ )
- 583  $\alpha$ : current correction coefficient for temperature (°C<sup>-1</sup>)
- 584  $\beta$ : voltage correction coefficient for temperature (°C<sup>-1</sup>)
- 585  $\delta$ : correction coefficient for solar radiation (dimensionless)
- 586  $\Delta t$ : time interval between  $P_{AC}$  samples [s]
- 587 **Figure list:**

588 Fig. 1. Elements of the PV power conversion chain in a GCPVS

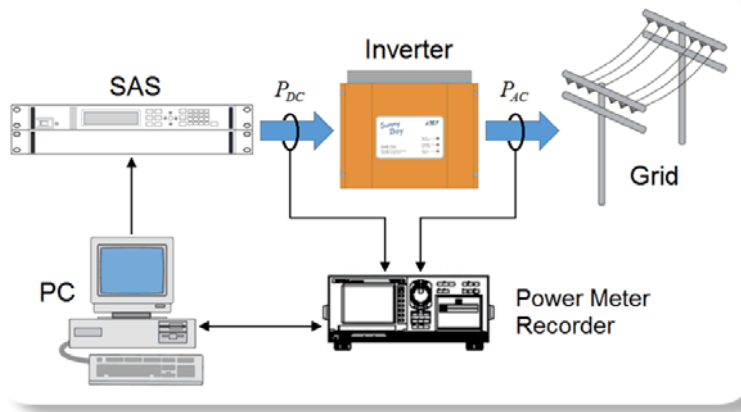


589  
590 Fig. 2. Overview of the applied methodology



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592  
593 Fig. 3. Block-diagram of the proposed workbench

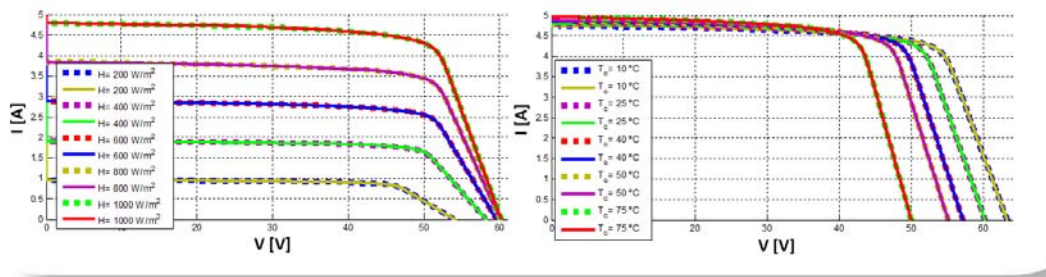




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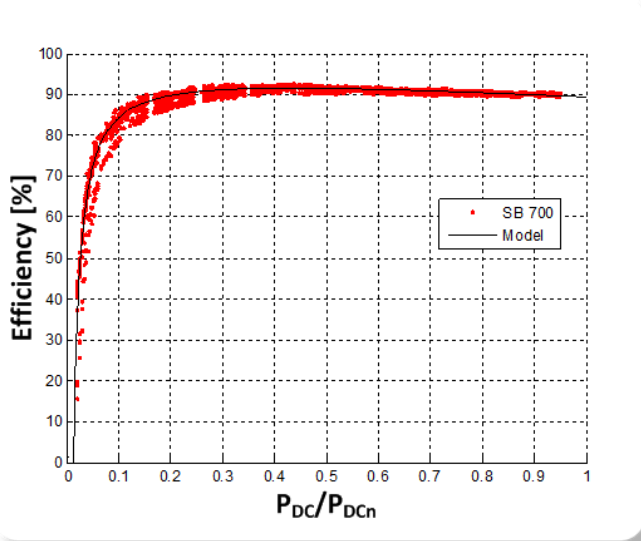
596 Fig. 4. Current-voltage characteristics from the SAS (dashed) and predicted by the PV generator model  
 597 (solid), for  $T_C = 25^\circ\text{C}$  and different values of  $H$  (left) and for  $H = 1000\text{ W/m}^2$  and different values of  $T_C$   
 598 (right)



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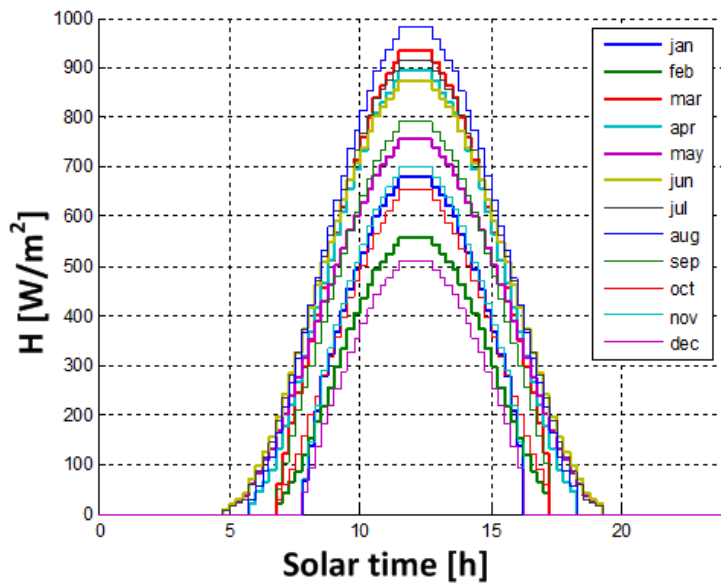
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601 Fig. 5. Efficiency calculated from experimental data (red points) and from the inverter model (solid black  
 602 line)



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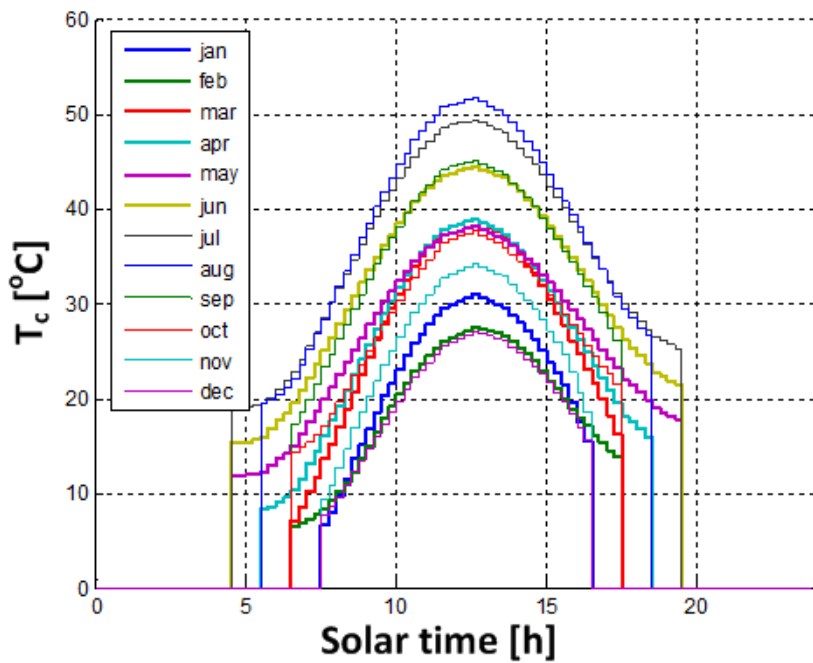
604 Fig. 6. 15-minute  $H$  [ $\text{W/m}^2$ ] values for the representative day of each month at selected location



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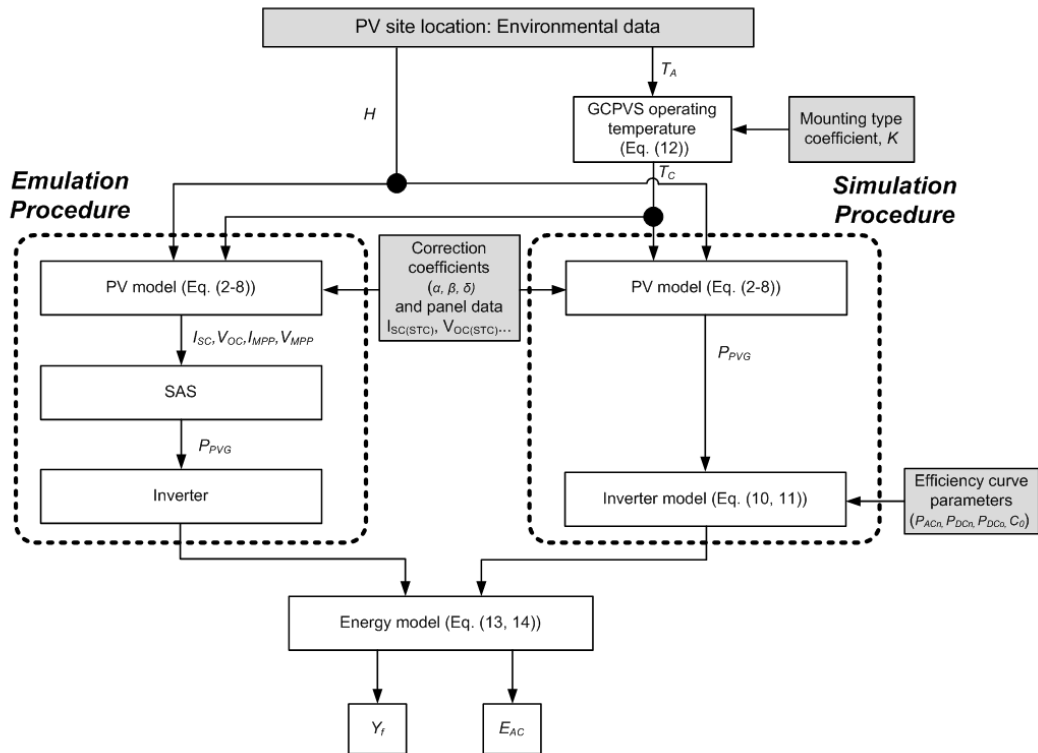
607 Fig. 7. 15-minute  $T_c$  [ $^{\circ}\text{C}$ ] values for the representative day of each month at selected location



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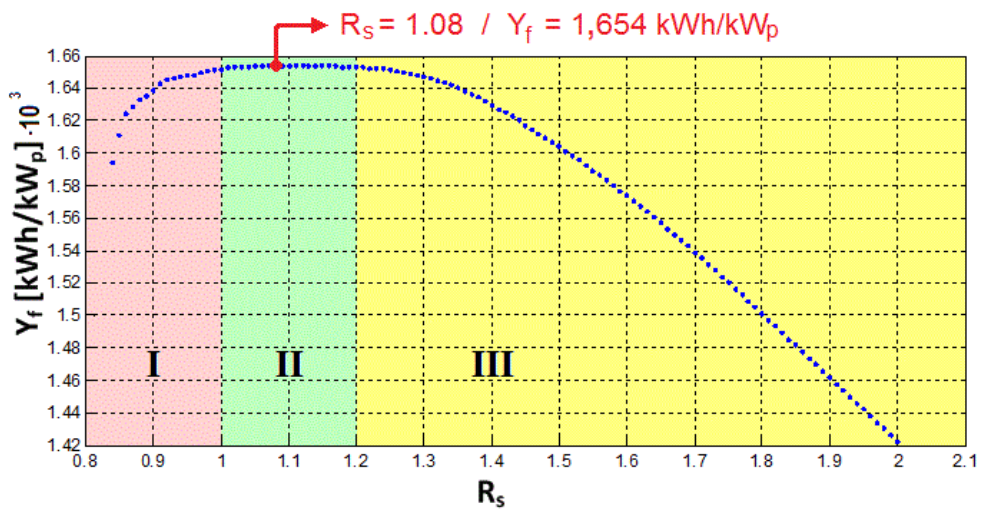
610 Fig. 8. Emulation and simulation procedures block-diagram



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613 Fig. 9. Simulated results of  $Y_f$  as a function of  $R_s$ , for a range of  $R_s$  values extended to 2



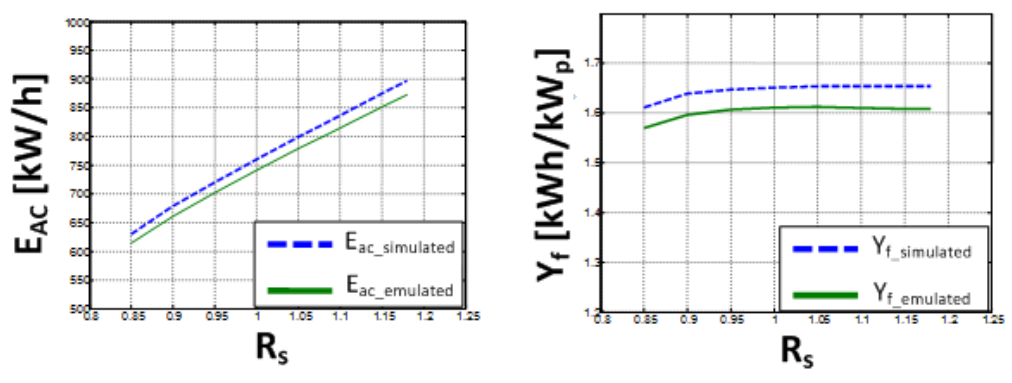
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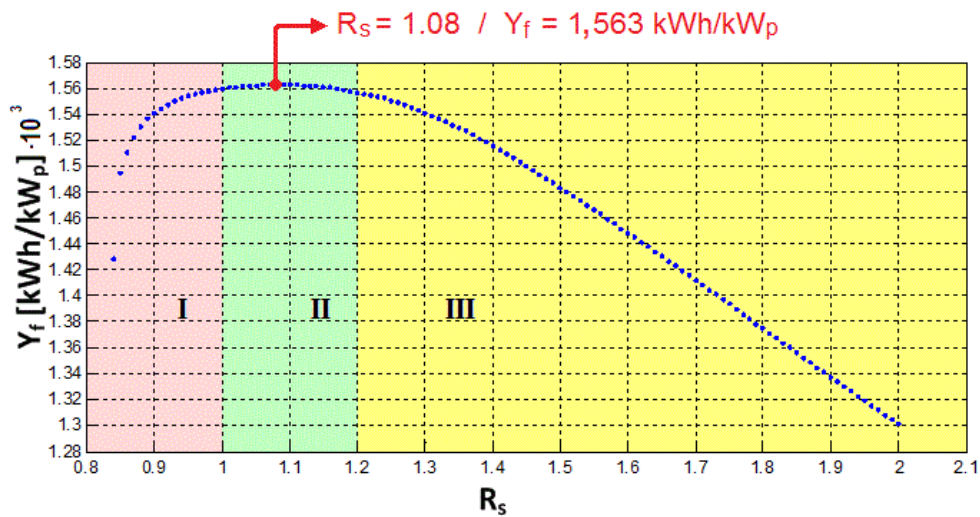
618 Fig. 10. Emulated (dashed lines) and simulated (solid lines) results of  $E_{AC}$  (left subplot) and  $Y_f$  (right  
 619 subplots) for a range around  $R_{S(OPT)}$



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622 Fig. 11. Simulated values of  $Y_f$  as a function of  $R_S$ , considering environmental data of the 365 days of a  
 623 year



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630 Table list:

631 Table 1. Synthesized state of the art of the optimum sizing of GCPVS

		Energy yield		Economical aspects			
		AC-Side	DC-Side	Life-cycle investment	Financial return	Economic performance	
O n l y s i m u l a t i o n	Physical characteristics of GCPVS	[4, 9-15, 17-19, 21-35]	[6]	[11]	[13]	[17-19, 27, 29, 32-34]	
	Technological characteristics of GCPVS	[7-12, 17-19, 24-26, 33,34]		[11]			
	Model formulation	[4, 7-15, 17-19, 21-35]	[6]	[11]	[13]	[17-19, 27, 29, 32-34]	
	E m p l o y e d s o f t w a r e	Unspecified	[4, 7-12, 27]	[6]	[11]	[13]	
		Insel					
		Matlab	[15, 21-35]				[27, 29, 32, 33]
		TRNSYS	[17-19]				[17-19]
		Proprietary software	[13, 14, 15]				
Experimental setup							
S i m u l a t i	Physical characteristics of GCPVS	[5, 12, 14, 36]				[5]	
	Technological characteristics of GCPVS	[5, 36]				[5]	
	Model formulation	[12, 14]					

o n l y s e t u p	E m p l o y e d s o f t w a r e	Unspecified	[5, 12, 14]				[5]
		Insel	[36]				
		Matlab					
		TRNSYS					
		Proprietary software					
	Experimental setup	[5, 12, 36]					
O n l y s e t u p	P h y s i c a l c h a r a c t e r i s t i c s o f G C P V S	Physical characteristics of GCPVS	[38-45]				[38, 39]
		Technological characteristics of GCPVS	[39,44]				[38, 39]
		Model formulation	[43]				
	E m p l o y e d s o f t w a r e	Unspecified					
		Insel					
		Matlab					
		TRNSYS					
	Proprietary software						
Experimental setup	[38-45]						

633 Table 2. Electrical constrains of the E4360A SAS

634

Parameter	Value
Maximum $P_{PVG}$	600 W
Maximum $V_{OC}$	130 V
Maximum $I_{SC}$	5 A
Maximum $I$ - $V$ curve slope ( $\Delta V/\Delta I$ )	1 $\Omega$

635

636 Table 3. Parameters of the inverter model resulting from the 2nd order polynomial fit of experimental  
637 data

638

Parameter	Value
$P_{ACn}$	460 W
$P_{DCn}$	514,66 W
$P_{DC0}$	6.37 W
$C_0$	$-1.245 \cdot 10^{-4} \text{ W}^{-1}$

639

640

641 Table 4.  $E_{AC}$  and  $Y_f$  for different values of  $R_S$ , obtained from the emulation and the simulation procedures

642 Table 4.  $E_{AC}$  and  $Y_f$  for different values of  $R_S$ , obtained from the emulation and the simulation  
643 procedures.

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$R_S$	$P_{PVG}$ (W)	$E_{AC}$ (kWh) <i>emulated</i>	$E_{AC}$ (kWh) <i>simulated</i>	$Y_f$ (kWh/kW <sub>p</sub> ) <i>emulated</i>	$Y_f$ (kWh/kW <sub>p</sub> ) <i>simulated</i>	$\epsilon_{EAC}$ (%) $\epsilon_{Yf}$ (%)
0.85	391.0	613.79	629.88	1,569.8	1,610.9	2.62
0.90	414.0	660.79	678.30	1,596.1	1,638.4	2.65
0.95	437.0	702.06	719.96	1,606.5	1,647.5	2.55
1.00	460.0	741.20	759.65	1,611.3	1,651.4	2.49
1.05	483.0	778.56	798.65	1,611.9	1,653.5	2.58
1.08	496.8	800.28	821.71	1,610.9	1,654.0	2.68
1.15	529.0	851.12	874.75	1,608.9	1,653.6	2.78
1.18	542.8	872.75	897.31	1,607.9	1,653.1	2.81

