



## ROBUST QUASI-LPV MODEL REFERENCE FTC OF A QUADROTOR UAV SUBJECT TO ACTUATOR FAULTS

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A solution for fault tolerant control (FTC) of a quadrotor unmanned aerial vehicle (UAV) is proposed. It relies on model reference-based control, where a reference model generates the desired trajectory. Depending on the type of reference model used for generating the reference trajectory, and on the assumptions about the availability and uncertainty of fault estimation, different error models are obtained. These error models are suitable for *passive FTC*, *active FTC* and *hybrid FTC*, the latter being able to merge the benefits of active and passive FTC while reducing their respective drawbacks. The controller is generated using results from the robust linear parameter varying (LPV) polytopic framework, where the vector of varying parameters is used to schedule between uncertain linear time invariant (LTI) systems. The design procedure relies on solving a set of linear matrix inequalities (LMIs) in order to achieve regional pole placement and  $\mathcal{H}_\infty$  norm bounding constraints. Simulation results are used to compare the different FTC strategies.

**Keywords:** linear parameter varying systems, fault tolerant control, quadrotor, model reference-based control, linear matrix inequalities.

### 1. Introduction

In the last years, unmanned aerial vehicles (UAVs) have become an important topic of research because of their characteristics that make them ideal vehicles for several applications, such as security, traffic surveillance, management of natural risks, environment exploration, agriculture and military (Sharifi *et al.*, 2010). Considerable efforts have been made to control these vehicles, applying techniques ranging from PID control (Hoffmann and Waslander, 2008) to nonlinear control techniques (Chowdhary *et al.*, 2014), such as dynamic feedback control (Mokhtari and Benallegue, 2004), backstepping (Aranjo-Estrada *et al.*, 2009; Guenard *et al.*, 2008), nested saturations (Castillo *et al.*, 2005), predictive/nonlinear  $\mathcal{H}_\infty$  control (Raffo *et al.*, 2010) and quaternion-based feedback for event-triggered stabilization (Guerrero-Castellano *et al.*, 2013).

Recently, some works have considered fault detection and diagnosis (FDD) and fault tolerant control

(FTC) for UAVs (Zhang *et al.*, 2013); see Table 1. Generally speaking, FTC techniques can be classified into two types: *passive* and *active* (see the works of Zhang and Jiang (2008) as well as Benosman (2010) for reviews). In passive techniques, controllers are fixed and designed to be robust against a class of presumed faults. This approach needs neither FDD schemes nor controller reconfiguration, but it has limited fault-tolerant capabilities. On the other hand, active techniques react to system component failures actively by reconfiguring control actions so that the stability and acceptable performance of the entire system can be maintained. In such control systems, the controller compensates for the impacts of the faults either by selecting a pre-computed control law or by synthesizing a new one on-line. In the last years, some comparative studies between passive and active FTC techniques have appeared (see, e.g., Jiang and Yu, 2012; Rotondo *et al.*, 2013b). A comparison of active and passive FTC strategies shows the importance of investigating the design of *hybrid* techniques that can merge the benefits of active and passive FTC, while

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Table 1. Techniques applied for fault tolerant control.

Technique	Reference
model predictive control (MPC)	Izadi et al., 2010 Izadi et al., 2011 Yu et al., 2013
feedback linearization (FL)	Freddi et al., 2011
model reference adaptive control (MRAC)	Dydek et al., 2010a Dydek et al., 2010b Sadeghzadeh et al., 2011a Sadeghzadeh et al., 2011b Chamseddine et al., 2011
control allocation	Zhou et al., 2010 Chamseddine et al., 2012
gain-scheduled PID	Sadeghzadeh et al., 2011a Sadeghzadeh et al., 2011b Milhim et al., 2010 Amoozgar et al., 2012
backstepping	Zhang et al., 2010 Khebbache et al., 2012
sliding mode control (SMC)	Sharifi et al., 2010 Li et al., 2013 Merheb et al., 2013
adaptive control	Zhang and Zhang, 2010

reducing or even nullifying their respective drawbacks. Some results in this direction have been obtained in a few papers that have appeared recently (e.g., Maki et al., 2004; Staroswiecki et al., 2009; 2012; Yu and Jiang, 2012).

In the last decades, the linear parameter varying (LPV) paradigm has become a standard formalism in systems and control, for analysis, controller synthesis and system identification (Shamma, 2012). This class of systems is important because, by embedding the system nonlinearities in the varying parameters, gain-scheduling control of nonlinear systems can be performed using an extension of linear techniques (in this case, the system is referred to as *quasi-LPV* since the varying parameters depend on exogenous signals). Some applications of LPV control theory to quadrotor UAVs can be found in the recent literature (Budiyono and Sutarto, 2006; Rangajeeva and Whidborne, 2011; Serirojanakul and Wongaisuwan, 2012; Rotondo et al., 2014).

Recently, the robust LPV polytopic framework, obtained by extending known results from the robust polytopic and the LPV polytopic control area has been introduced (Rotondo et al., 2013a; 2013c). In the proposed framework, the vector of varying parameters is used to schedule between uncertain LTI systems. The resulting approach consists in using a double-layer polytopic description to take into account both variability due to the parameter vector and uncertainty. The first polytopic layer manages the varying parameters and is used to obtain vertex uncertain systems, where vertex controllers are designed. The second polytopic layer is

built in each vertex system to take into account model uncertainties and add robustness in the design step.

In this paper, a solution to the fault tolerant control problem is proposed for a quadrotor UAV. This solution relies on the use of a reference model that describes the desired trajectory. The idea of using a model reference-based control is well-established in the LTI framework (Landau, 1979) and has been recently extended to cope with the control of LPV systems (Abdullah and Zribi, 2009). Depending on the type of reference model used for generating the reference trajectory and on the assumptions about the availability and uncertainty of fault estimation, different error models are obtained. In the first one, faults enter into the system as if they were perturbations, making such an error model suitable for *passive FTC* (see Fig. 1). The second one is scheduled by faults, and it is referred to as the *active FTC* error model (see Fig. 2). Finally, in the third one, the error model is scheduled by the fault and considers the fault estimation uncertainty as a perturbation and an uncertainty at the same time: this model will be used for *hybrid FTC* (the scheme shown in Fig. 2 is valid in this case, too). The controller is obtained using theoretical results from the robust LPV polytopic framework and linear matrix inequalities (LMIs), in order to achieve regional pole placement and  $\mathcal{H}_\infty$  norm bounding constraints. Simulation results are used to compare the different FTC strategies.

It is worth highlighting that, in the active and hybrid FTC cases, the overall scheme should include a module that provides fault estimation using some available measurements and the knowledge about the mathematical model of the system, as shown in Fig. 2. Furthermore, a fault detection and isolation (FDI) module could be added in order to reduce on-line the number of faults taken into consideration by the fault tolerant controller, allowing increasing the obtainable performance, as shown by Rotondo et al. (2013c). However, the fault detection, fault isolation and fault estimation problems, for which some recent solutions have been proposed (Zhang et al., 2013; Izadi et al., 2010; 2011; Rotondo et al., 2012; Zhaohui and Noura, 2013; Aguilar-Sierra et al., 2014; Cen et al., 2014), are not considered in this article. Indeed, the main goal of this work is to propose an FTC strategy that efficiently takes into account the information available from a fault estimator, independently of the fault estimation algorithm considered, and to show that it is possible to increase the FTC robustness using a hybrid passive/active FTC approach thanks to the robust LPV framework.

The paper is structured as follows. Section 2 introduces the dynamic model of the quadrotor, the reference and error models that are used for passive FTC, active FTC and hybrid FTC. Section 3 presents the robust LPV framework and the error feedback controller design

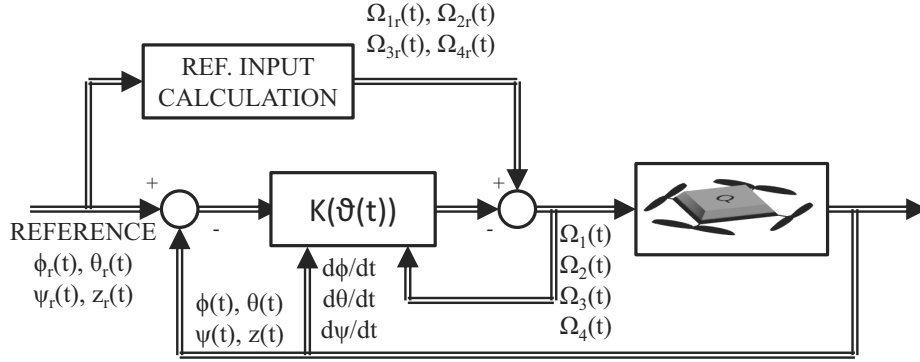


Fig. 1. Passive FTC scheme.

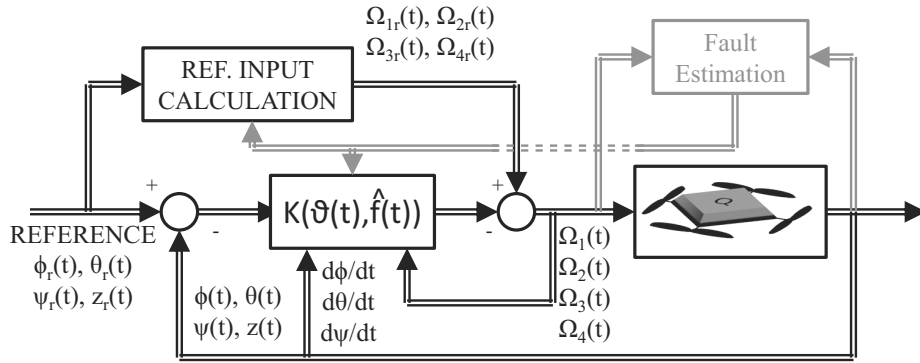


Fig. 2. Active and hybrid FTC schemes.

using LMI-based techniques. In Section 4, reference input calculation for trajectory tracking is discussed. Simulation results are shown in Section 5. Finally, the main conclusions are outlined in Section 6.

## 2. Quadrotor modeling

The quadrotor is a vehicle that has four propellers in a cross configuration. Two propellers can rotate in a clockwise direction, while the other two can rotate anticlockwisely. The quadrotor is moved by changing the rotor speeds. For example, by increasing or decreasing together the four propeller speeds, a vertical motion is achieved. Changing only the speeds of the propellers situated oppositely produces either roll or lateral motions. Finally, a yaw rotation results from the difference in the counter-torque between each pair of propellers.

Let us consider an earth fixed frame  $\{X Y Z\}$  and a body fixed frame  $\{x_b y_b z_b\}$  with the origin in the

quadrotor center of mass. Under the assumptions that the body is rigid and symmetrical, and the propellers are rigid, i.e., no blade flapping occurs, the quadrotor faulty dynamic model is described by the following equations, obtained by Bouabdallah *et al.* (2004), adding multiplicative faults in the actuators ( $\Omega_i \rightarrow f_i \Omega_i$ ):

$$\ddot{x}_b = (\cos \phi \sin \theta \cos \psi + \sin \phi \sin \psi) \frac{U_1^f}{m}, \quad (1)$$

$$\ddot{y}_b = (\cos \phi \sin \theta \sin \psi + \sin \phi \cos \psi) \frac{U_1^f}{m}, \quad (2)$$

$$\ddot{z}_b = -g + \cos \phi \cos \theta \frac{U_1^f}{m}, \quad (3)$$

$$\ddot{\phi} = \dot{\theta} \dot{\psi} \frac{I_y - I_z}{I_x} - \frac{J_{TP}}{I_x} \dot{\theta} \Omega_f + \frac{U_2^f}{I_x}, \quad (4)$$

$$\ddot{\theta} = \dot{\phi} \dot{\psi} \frac{I_z - I_x}{I_y} + \frac{J_{TP}}{I_y} \dot{\phi} \Omega_f + \frac{U_3^f}{I_y}, \quad (5)$$

Table 2. System parameters.

Param.	Description	Value
$I_x$	Body moment of inertia around the $x$ -axis	$8.1 \times 10^{-3}$ [Nms <sup>2</sup> ]
$I_y$	Body moment of inertia around the $y$ -axis	$8.1 \times 10^{-3}$ [Nms <sup>2</sup> ]
$I_z$	Body moment of inertia around the $z$ -axis	$14.2 \times 10^{-3}$ [Nms <sup>2</sup> ]
$m$	Mass of the quadrotor	1 [kg]
$g$	Acceleration due to gravity	9.81 [ms <sup>-2</sup> ]
$J_{TP}$	Total rotational moment of inertia around the propeller axis	$104 \times 10^{-6}$ [Nms <sup>2</sup> ]
$l$	Center of quadrotor to center of propeller distance	0.24 [m]
$b$	Thrust factor	$54.2 \times 10^{-6}$ [Ns <sup>2</sup> ]
$d$	Drag factor	$1.1 \times 10^{-6}$ [Nms <sup>2</sup> ]

$$\ddot{\psi} = \dot{\phi}\dot{\theta}\frac{I_x - I_y}{I_z} + \frac{U_4^f}{I_z}, \quad (6)$$

where  $\phi$  is the roll angle,  $\theta$  is the pitch angle,  $\psi$  is the yaw angle, and the faulty inputs  $U_1^f, U_2^f, U_3^f, U_4^f, \Omega_f$  are defined as follows:

$$U_1^f = b(f_1^2\Omega_1^2 + f_2^2\Omega_2^2 + f_3^2\Omega_3^2 + f_4^2\Omega_4^2), \quad (7)$$

$$U_2^f = b(f_4^2\Omega_4^2 - f_2^2\Omega_2^2), \quad (8)$$

$$U_3^f = b(f_3^2\Omega_3^2 - f_1^2\Omega_1^2), \quad (9)$$

$$U_4^f = d(f_2^2\Omega_2^2 + f_4^2\Omega_4^2 - f_1^2\Omega_1^2 - f_3^2\Omega_3^2), \quad (10)$$

$$\Omega_f = f_2\Omega_2 + f_4\Omega_4 - f_1\Omega_1 - f_3\Omega_3, \quad (11)$$

where  $f_i$  and  $\Omega_i$  denote the  $i$ -th rotor fault magnitude and speed, respectively ( $f_i = 1$  corresponds to the healthy rotor while  $f_i = 0$  corresponds to its total loss). For a description of the system parameters, as well as the values used in the simulations that are taken from Bresciani (2008); see Table 2.

In this paper, only the problem of attitude/altitude tracking control will be addressed. Hence, the dynamics of the system along the  $x_b$  and  $y_b$  axes, i.e., Eqns. (1) and (2), will be neglected.

**2.1. Passive FTC reference model.** In passive FTC, no information about the fault is available on-line. Hence, the same reference model used for the nominal case, and described by Rotondo *et al.* (2014), should be used as follows:

$$\dot{\phi}_r = v_\phi^r, \quad (12)$$

$$\dot{v}_\phi^r = \dot{\theta}v_\psi^r\frac{I_y - I_z}{2I_x} + v_\theta^r\dot{\psi}\frac{I_y - I_z}{2I_x} - \frac{J_{TP}}{I_x}\dot{\theta}\Omega_r + \frac{lU_2^r}{I_x}, \quad (13)$$

$$\dot{\theta}_r = v_\theta^r, \quad (14)$$

$$\dot{v}_\theta^r = \dot{\phi}v_\psi^r\frac{I_z - I_x}{2I_y} + v_\phi^r\dot{\psi}\frac{I_z - I_x}{2I_y} + \frac{J_{TP}}{I_y}\dot{\phi}\Omega_r + \frac{lU_3^r}{I_y}, \quad (15)$$

$$\dot{\psi}_r = v_\psi^r, \quad (16)$$

$$\dot{v}_\psi^r = v_\phi^r\dot{\theta}\frac{I_x - I_y}{2I_z} + \dot{\phi}v_\theta^r\frac{I_x - I_y}{2I_z} + \frac{dU_4^r}{I_z}, \quad (17)$$

$$\dot{z}_r = v_z^r, \quad (18)$$

$$\dot{v}_z^r = -g + \cos\phi\cos\theta\frac{U_1^r}{m}, \quad (19)$$

where  $\phi_r$  is the reference roll angle,  $\theta_r$  is the reference pitch angle,  $\psi_r$  is the reference yaw angle,  $z_r$  is the reference height,  $v_\phi^r, v_\theta^r, v_\psi^r, v_z^r$  are the corresponding derivatives, and the reference inputs  $U_1^r, U_2^r, U_3^r, U_4^r, \Omega_r$  are defined as follows:

$$U_1^r = b(\Omega_1\Omega_{1r} + \Omega_2\Omega_{2r} + \Omega_3\Omega_{3r} + \Omega_4\Omega_{4r}), \quad (20)$$

$$U_2^r = b(\Omega_4\Omega_{4r} - \Omega_2\Omega_{2r}), \quad (21)$$

$$U_3^r = b(\Omega_3\Omega_{3r} - \Omega_1\Omega_{1r}), \quad (22)$$

$$U_4^r = d(\Omega_2\Omega_{2r} + \Omega_4\Omega_{4r} - \Omega_1\Omega_{1r} - \Omega_3\Omega_{3r}), \quad (23)$$

$$\Omega_r = \Omega_{2r} + \Omega_{4r} - \Omega_{1r} - \Omega_{3r}, \quad (24)$$

where  $\Omega_{ir}$  denotes the  $i$ -th reference rotor speed.

**2.2. Active FTC reference model.** In active FTC, an estimate of the faults, denoted in the following by  $\hat{f}_i$ , is available. This information is added to the reference model (12)–(19) by changing  $U_1^r, U_2^r, U_3^r, U_4^r, \Omega_r$  in (20)–(24) with the following values:

$$U_1^r = b\left(\hat{f}_1^2\Omega_1\Omega_{1r} + \hat{f}_2^2\Omega_2\Omega_{2r} + \hat{f}_3^2\Omega_3\Omega_{3r} + \hat{f}_4^2\Omega_4\Omega_{4r}\right) \quad (25)$$

$$U_2^r = b\left(\hat{f}_4^2\Omega_4\Omega_{4r} - \hat{f}_2^2\Omega_2\Omega_{2r}\right), \quad (26)$$

$$U_3^r = b\left(\hat{f}_3^2\Omega_3\Omega_{3r} - \hat{f}_1^2\Omega_1\Omega_{1r}\right), \quad (27)$$

$$U_4^r = d(\hat{f}_2^2\Omega_2\Omega_{2r} + \hat{f}_4^2\Omega_4\Omega_{4r} - \hat{f}_1^2\Omega_1\Omega_{1r} - \hat{f}_3^2\Omega_3\Omega_{3r}), \quad (28)$$

$$\Omega_r = \hat{f}_2\Omega_{2r} + \hat{f}_4\Omega_{4r} - \hat{f}_1\Omega_{1r} - \hat{f}_3\Omega_{3r}. \quad (29)$$

**2.3. Passive FTC error model.** By defining the tracking errors  $e_1 \triangleq \phi_r - \phi$ ,  $e_2 \triangleq v_\phi^r - \dot{\phi}$ ,  $e_3 \triangleq \theta_r - \theta$ ,  $e_4 \triangleq v_\theta^r - \dot{\theta}$ ,  $e_5 \triangleq \psi_r - \psi$ ,  $e_6 \triangleq v_\psi^r - \dot{\psi}$ ,  $e_7 \triangleq z_r - z_b$ ,  $e_8 \triangleq v_z^r - \dot{z}_b$ , the new inputs  $o_i \triangleq \Omega_{ir} - \Omega_i$ ,  $i = 1, 2, 3, 4$ , and rewriting the faults as  $\Delta f_i = f_i - 1$ , the error model for passive FTC of the quadrotor can be obtained from (3)–(24) and brought to a quasi-LPV representation following the non-linear embedding in the parameters approach proposed by Kwiatkowski *et al.* (2006) as follows:

$$\dot{e}(t) = A(\vartheta(t))e(t) + B(\vartheta(t))o(t) + D(\vartheta(t))\Delta f(t), \quad (30)$$

where the vector of varying parameters is

$$\vartheta(t) = \begin{bmatrix} \vartheta_1(t) \\ \vartheta_2(t) \\ \vartheta_3(t) \\ \vartheta_4(t) \\ \vartheta_5(t) \\ \vartheta_6(t) \\ \vartheta_7(t) \\ \vartheta_8(t) \\ \vartheta_9(t) \\ \vartheta_{10}(t) \\ \vartheta_{11}(t) \\ \vartheta_{12}(t) \end{bmatrix} = \begin{bmatrix} \dot{\phi}(t) \\ \dot{\theta}(t) \\ \dot{\psi}(t) \\ \Omega_1^2(t) \\ \Omega_1(t) \\ \Omega_2^2(t) \\ \Omega_2(t) \\ \Omega_3^2(t) \\ \Omega_3(t) \\ \Omega_4^2(t) \\ \Omega_4(t) \\ \cos \phi(t) \cos \theta(t) \end{bmatrix}, \quad (31)$$

and the matrices  $A(\vartheta(t))$ ,  $B(\vartheta(t))$  and  $D(\vartheta(t))$  are defined by (32), (33) and (34).

**2.4. Active FTC error model.** The error model for active FTC of the quadrotor can be obtained from (3)–(19) and (25)–(29) considering  $f_i = \hat{f}_i$ ,  $i = 1, 2, 3, 4$ , and brought to a quasi-LPV representation as follows (Kwiatkowski *et al.*, 2006):

$$\dot{e}(t) = A(\vartheta(t))e(t) + B(\vartheta(t))o(t), \quad (35)$$

where the vector of varying parameters is

$$\vartheta(t) = \begin{bmatrix} \vartheta_1(t) \\ \vartheta_2(t) \\ \vartheta_3(t) \\ \vartheta_4(t) \\ \vartheta_5(t) \\ \vartheta_6(t) \\ \vartheta_7(t) \\ \vartheta_8(t) \\ \vartheta_9(t) \\ \vartheta_{10}(t) \\ \vartheta_{11}(t) \\ \vartheta_{12}(t) \\ \vartheta_{13}(t) \\ \vartheta_{14}(t) \\ \vartheta_{15}(t) \\ \vartheta_{16}(t) \end{bmatrix} = \begin{bmatrix} \dot{\phi}(t) \\ \dot{\theta}(t) \\ \dot{\psi}(t) \\ \Omega_1(t) \\ \Omega_2(t) \\ \Omega_3(t) \\ \Omega_4(t) \\ \hat{f}_1^2(t) \\ \hat{f}_1(t) \\ \hat{f}_2^2(t) \\ \hat{f}_2(t) \\ \hat{f}_3^2(t) \\ \hat{f}_3(t) \\ \hat{f}_4^2(t) \\ \hat{f}_4(t) \\ \cos \phi(t) \cos \theta(t) \end{bmatrix}, \quad (36)$$

the matrix  $A(\vartheta(t))$  is defined as in (32), and the matrix  $B(\vartheta(t))$  is defined by (37).

**2.5. Hybrid FTC error model.** Fault estimation algorithms are affected by uncertainties that will cause a difference between the fault estimated value, given by the algorithm, and the real fault value. Among the causes of uncertainty, there are the presence of external disturbances, the mismatch between the real and modeled dynamics, due to unmodeled nonlinearities

and errors in the calibration of the model parameters during the identification phase, and the noise affecting the measurements given by the sensors. The presence of these uncertainties in fault estimation, if not properly taken into account, can degrade the fault tolerant control system performances and give rise to undesired behaviours. This fact motivates a combination of the benefits of passive and active FTC strategies in order to obtain a hybrid passive/active FTC.

The error model for hybrid passive/active FTC of the quadrotor can be obtained from (3)–(19) and (25)–(29) considering<sup>1</sup>  $f_i = \hat{f}_i + \Delta f_i$ ,  $i = 1, 2, 3, 4$ . Then, the resulting quasi-LPV representation (Kwiatkowski *et al.*, 2006) has the same structure of the passive FTC error model (30) with the vector of varying parameters made up by the one of active FTC error models (36) plus the following varying parameters:

$$\begin{bmatrix} \vartheta_{17}(t) \\ \vartheta_{18}(t) \\ \vartheta_{19}(t) \\ \vartheta_{20}(t) \end{bmatrix} = \begin{bmatrix} \Omega_1^2(t) \\ \Omega_2^2(t) \\ \Omega_3^2(t) \\ \Omega_4^2(t) \end{bmatrix}, \quad (38)$$

where the matrix  $A(\vartheta(t))$  is defined by (32), the matrix  $B(\vartheta(t))$  is defined by (37), and the matrix  $D(\vartheta(t))$  is defined by (39).

### 3. Robust LPV framework

In this paper, a framework based on a combination of robust and LPV polytopic designs is proposed. In this framework, the variation in the state matrix is due to the vector of varying parameters  $\vartheta$ , whose measurement or estimate is assumed to be available, together with some bounded uncertainties. The nominal LPV model is used to generate a polytope described by its vertices. Later, the model uncertainties are taken into account generating more polytopes, one for each vertex of the nominal polytope. The robust LPV polytopic design problem involves obtaining a controller scheduled by  $\vartheta(t)$  as a combination of vertex controllers, each of which is designed to satisfy some LMI conditions at all vertices of the vertex polytope. Under some assumptions, the final result will be an LPV controller scheduled by  $\vartheta$  that is robust against bounded uncertainties.

In particular, consider a continuous-time uncertain LPV system as in (30), where  $e(t) \in \mathbb{R}^{n_e}$  is the state,  $o(t) \in \mathbb{R}^{n_o}$  is the control input,  $\Delta f(t) \in \mathbb{R}^{n_f}$  is a vector of exogenous inputs,  $\vartheta(t) \in \Theta \subset \mathbb{R}^{n_\vartheta}$  is the vector of varying parameters and  $A(\vartheta(t))$ ,  $B$  (assumed to be constant),  $D(\vartheta(t))$  are matrices of appropriate

<sup>1</sup>Notice that  $\Delta f_i$  used in the passive FTC error model is different from  $\Delta \hat{f}_i$  employed in the hybrid FTC error model. However, the same notation is used because the design procedure described in this paper deals with both of them in the same way.

$$A(\cdot) = \begin{pmatrix} 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & \vartheta_3 \frac{(I_y - I_z)}{2I_x} & 0 & \vartheta_2 \frac{(I_y - I_z)}{2I_x} & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & \vartheta_3 \frac{(I_z - I_x)}{2I_y} & 0 & 0 & 0 & \vartheta_1 \frac{(I_z - I_x)}{2I_y} & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & \vartheta_2 \frac{(I_x - I_y)}{2I_z} & 0 & \vartheta_1 \frac{(I_x - I_y)}{2I_z} & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \end{pmatrix}, \quad (32)$$

$$B(\cdot) = \begin{pmatrix} 0 & 0 & 0 & 0 \\ \frac{J_{TP}}{I_x} \vartheta_2 & -\frac{J_{TP}}{I_x} \vartheta_2 - \frac{lb}{I_x} \vartheta_7 & \frac{J_{TP}}{I_x} \vartheta_2 & -\frac{J_{TP}}{I_x} \vartheta_2 + \frac{lb}{I_x} \vartheta_{11} \\ 0 & 0 & 0 & 0 \\ -\frac{J_{TP}}{I_y} \vartheta_1 - \frac{lb}{I_y} \vartheta_5 & \frac{J_{TP}}{I_y} \vartheta_1 & -\frac{J_{TP}}{I_y} \vartheta_1 + \frac{lb}{I_y} \vartheta_9 & \frac{J_{TP}}{I_y} \vartheta_1 \\ 0 & 0 & 0 & 0 \\ -\frac{d}{I_z} \vartheta_5 & \frac{d}{I_z} \vartheta_7 & -\frac{d}{I_z} \vartheta_9 & \frac{d}{I_z} \vartheta_{11} \\ 0 & 0 & 0 & 0 \\ \frac{b}{m} \vartheta_5 \vartheta_{12} & \frac{b}{m} \vartheta_7 \vartheta_{12} & \frac{b}{m} \vartheta_9 \vartheta_{12} & \frac{b}{m} \vartheta_{11} \vartheta_{12} \end{pmatrix}, \quad (33)$$

$$D(\cdot) = \begin{pmatrix} 0 & 0 \\ -\frac{J_{TP}}{I_x} \vartheta_5 & \frac{J_{TP}}{I_x} \vartheta_7 + \frac{lb(2 + \Delta f_2)}{I_x} \vartheta_6 \\ 0 & 0 \\ \frac{J_{TP}}{I_y} \vartheta_5 + \frac{lb(2 + \Delta f_1)}{I_y} \vartheta_4 & -\frac{J_{TP}}{I_y} \vartheta_7 \\ 0 & 0 \\ \frac{d(2 + \Delta f_1)}{I_z} \vartheta_4 & -\frac{d(2 + \Delta f_2)}{I_z} \vartheta_6 \\ 0 & 0 \\ -\frac{b(2 + \Delta f_1)}{m} \vartheta_4 \vartheta_{12} & -\frac{b(2 + \Delta f_2)}{m} \vartheta_6 \vartheta_{12} \\ 0 & 0 \\ -\frac{J_{TP}}{I_x} \vartheta_9 & \frac{J_{TP}}{I_x} \vartheta_{11} - \frac{lb(2 + \Delta f_4)}{I_x} \vartheta_{10} \\ 0 & 0 \\ \frac{J_{TP}}{I_y} \vartheta_9 - \frac{lb(2 + \Delta f_3)}{I_y} \vartheta_8 & -\frac{J_{TP}}{I_y} \vartheta_{11} \\ \dots & 0 \\ 0 & 0 \\ \frac{d(2 + \Delta f_3)}{I_z} \vartheta_8 & -\frac{d(2 + \Delta f_4)}{I_z} \vartheta_{10} \\ 0 & 0 \\ -\frac{b(2 + \Delta f_3)}{m} \vartheta_8 \vartheta_{12} & -\frac{b(2 + \Delta f_4)}{m} \vartheta_{10} \vartheta_{12} \end{pmatrix}, \quad (34)$$

$$B(\cdot) = \begin{pmatrix} 0 & 0 & 0 & 0 \\ \frac{J_{TP}}{I_x} \vartheta_2 \vartheta_9 & -\frac{J_{TP}}{I_x} \vartheta_2 \vartheta_{11} - \frac{lb}{I_x} \vartheta_5 \vartheta_{10} & \frac{J_{TP}}{I_x} \vartheta_2 \vartheta_{13} & -\frac{J_{TP}}{I_x} \vartheta_2 \vartheta_{15} + \frac{lb}{I_x} \vartheta_7 \vartheta_{14} \\ 0 & 0 & 0 & 0 \\ -\frac{J_{TP}}{I_y} \vartheta_1 \vartheta_9 - \frac{lb}{I_y} \vartheta_4 \vartheta_8 & \frac{J_{TP}}{I_y} \vartheta_1 \vartheta_{11} & -\frac{J_{TP}}{I_y} \vartheta_1 \vartheta_{13} + \frac{lb}{I_y} \vartheta_6 \vartheta_{12} & \frac{J_{TP}}{I_y} \vartheta_1 \vartheta_{15} \\ 0 & 0 & 0 & 0 \\ -\frac{d}{I_z} \vartheta_4 \vartheta_8 & \frac{d}{I_z} \vartheta_5 \vartheta_{10} & -\frac{d}{I_z} \vartheta_6 \vartheta_{12} & \frac{d}{I_z} \vartheta_7 \vartheta_{14} \\ 0 & 0 & 0 & 0 \\ \frac{b}{m} \vartheta_{16} \vartheta_4 \vartheta_8 & \frac{b}{m} \vartheta_{16} \vartheta_5 \vartheta_{10} & \frac{b}{m} \vartheta_{16} \vartheta_6 \vartheta_{12} & \frac{b}{m} \vartheta_{16} \vartheta_7 \vartheta_{14} \end{pmatrix}. \quad (37)$$

dimensions. Moreover, consider the additional algebraic equation

$$h(t) = C(\vartheta(t))e(t) + Eo(t) + F(\vartheta(t))\Delta f(t), \quad (40)$$

where  $h \in \mathbb{R}^{n_h}$  is a vector of output signals related to the  $\mathcal{H}_\infty$  performance of the control system, and  $C(\vartheta(t))$ ,  $E$ ,  $F(\vartheta(t))$  are matrices of appropriate dimensions. The system state-space matrices take values inside a polytope as follows:

$$\begin{pmatrix} A(\vartheta(t)) \\ C(\vartheta(t)) \\ D(\vartheta(t)) \\ F(\vartheta(t)) \end{pmatrix} = \sum_{i=1}^N \alpha_i(\vartheta(t)) \begin{pmatrix} A_i \\ C_i \\ D_i \\ F_i \end{pmatrix}, \quad (41)$$

with

$$\sum_{i=1}^N \alpha_i(\vartheta(t)) = 1, \quad \alpha_i(\vartheta(t)) \geq 0, \\ \forall i = 1, \dots, N, \quad \vartheta \in \Theta. \quad (42)$$

The matrices  $A_i$ ,  $C_i$ ,  $D_i$ ,  $F_i$  denote the vertices of  $A(\vartheta(t))$ ,  $C(\vartheta(t))$ ,  $D(\vartheta(t))$ ,  $F(\vartheta(t))$  at the  $i$ -th vertex of the polytope. Each of these matrices, together with  $B$  and  $E$ , is uncertain, with an uncertainty that can be described as well in a polytopic way by  $M$  LTI systems as follows:

$$\begin{pmatrix} A_i \\ B \\ C_i \\ D_i \\ E \\ F_i \end{pmatrix} = \sum_{j=1}^M \eta_{ij} \begin{pmatrix} A_{ij} \\ B_j \\ C_{ij} \\ D_{ij} \\ E_j \\ F_{ij} \end{pmatrix}, \quad (43)$$

with

$$\sum_{j=1}^M \eta_{ij} = 1, \quad \eta_{ij} \geq 0, \\ i = 1, \dots, N, \quad j = 1, \dots, M. \quad (44)$$

The goal is to compute a polytopic LPV state-feedback control law:

$$o(t) = K(\vartheta(t))e(t) = \sum_{i=1}^N \alpha_i(\vartheta(t)) K_i e(t) \quad (45)$$

that meets an  $\mathcal{H}_\infty$  performance constraint and a regional pole<sup>2</sup> placement constraint on the closed-loop behaviour. These specifications must be satisfied in the *robust LPV* sense, that is, for each possible value that the parameter  $\vartheta$  and the uncertain matrices  $A, \dots, F$  in (30) and (40) can take. In order to achieve this goal, the following theorem, namely, an extension of the results obtained by Chilali and Gahinet (1996), is used.

**Theorem 1.** *Let  $\mathcal{D}$  be an LMI region:*

$$\mathcal{D} = \{z \in \mathbb{C} : f_{\mathcal{D}}(z) < 0\}, \quad (46)$$

with the characteristic function

$$f_{\mathcal{D}}(z) = \alpha + z\beta + \bar{z}\beta^T \\ = [\alpha_{kl} + \beta_{kl}z + \beta_{lk}\bar{z}]_{k,l \in [1,m]}, \quad (47)$$

and  $\gamma > 0$  being an upper bound on the closed-loop  $\mathcal{H}_\infty$  performance. Assume that there exist a single Lyapunov matrix  $X = X^T > 0$  and  $N$  matrices  $\Gamma_i$  such that the following system of LMIs is feasible:

$$[\alpha_{kl}X + \beta_{kl}U_{ij}(X, \Gamma_i) + \beta_{lk}(X, \Gamma_i^T)]_{k,l \in [1,m]} < 0, \quad (48)$$

$$\begin{pmatrix} U_{ij}(X, \Gamma_i) + U_{ij}(X, \Gamma_i)^T & D_{ij} & V_{ij}(X, \Gamma_i)^T \\ D_{ij}^T & -I & F_{ij}^T \\ V_{ij}(X, \Gamma_i) & F_{ij} & -\gamma^2 I \end{pmatrix} < 0, \quad (49)$$

with

$$U_{ij}(X, \Gamma_i) = A_{ij} + B_j \Gamma_i, \quad (50)$$

<sup>2</sup>Notice that, following Ghersin and Sanchez-Peña (2002) and with a little abuse of language, the poles of an LPV system are defined as the set of all the poles of the LTI systems obtained by freezing  $\vartheta(t)$  to all its possible values  $\vartheta \in \Theta$ .

$$D(\cdot) = \left( \begin{array}{cc} 0 & 0 \\ -\frac{J_{TP}\vartheta_4}{I_x} & \frac{J_{TP}\vartheta_5 + lb(2\hat{f}_2 + \Delta f_2)\vartheta_{18}}{I_x} \\ 0 & 0 \\ \frac{J_{TP}\vartheta_4 + lb(2\hat{f}_1 + \Delta f_1)\vartheta_{17}}{I_y} & -\frac{J_{TP}\vartheta_{18}}{I_y} \\ 0 & 0 \\ \frac{d(2\hat{f}_1 + \Delta f_1)\vartheta_{17}}{I_z} & -\frac{d(2\hat{f}_2 + \Delta f_2)\vartheta_{18}}{I_z} \\ 0 & 0 \\ -\frac{b(2\hat{f}_1 + \Delta f_1)\vartheta_{16}\vartheta_{17}}{m} & -\frac{b(2\hat{f}_2 + \Delta f_2)\vartheta_{16}\vartheta_{18}}{m} \\ 0 & 0 \\ -\frac{J_{TP}\vartheta_6}{I_x} & \frac{J_{TP}\vartheta_7}{I_x} - \frac{lb(2\hat{f}_4 + \Delta f_4)\vartheta_{20}}{I_x} \\ 0 & 0 \\ \frac{J_{TP}\vartheta_6}{I_y} - \frac{lb(2\hat{f}_3 + \Delta f_3)\vartheta_{19}}{I_y} & -\frac{J_{TP}\vartheta_7}{I_y} \\ 0 & 0 \\ \frac{d(2\hat{f}_3 + \Delta f_3)\vartheta_{19}}{I_z} & -\frac{d(2\hat{f}_4 + \Delta f_4)\vartheta_{20}}{I_z} \\ 0 & 0 \\ -\frac{b(2\hat{f}_3 + \Delta f_3)\vartheta_{16}\vartheta_{19}}{m} & -\frac{b(2\hat{f}_4 + \Delta f_4)\vartheta_{16}\vartheta_{20}}{m} \end{array} \right) \quad (39)$$

$$V_{ij}(X, \Gamma_i) = C_{ij} + E_j \Gamma_i. \quad (51)$$

Then, if  $(X^*, \Gamma_i^*)$ ,  $i = 1, \dots, N$ , is a solution of (48) and (49), the LPV state-feedback controller (45), with vertex gains calculated as  $K_i = \Gamma_i^*(X^*)^{-1}$ , satisfies the pole placement in  $\mathcal{D}$  constraint and the  $\mathcal{H}_\infty$  performance bound  $\gamma$  in the robust LPV sense.

*Proof.* The pole placement in the  $\mathcal{D}$  constraint and the  $\mathcal{H}_\infty$  performance bound  $\gamma$  are satisfied in the robust LPV sense if the following conditions<sup>3</sup> hold,  $\forall \vartheta \in \Theta$ :

$$\left[ \begin{array}{c} \alpha_{kl}X + \beta_{kl}U(X, \Gamma(\vartheta(t))) \\ + \beta_{lk}U(X, \Gamma(\vartheta(t)))^T \end{array} \right]_{k,l \in [1,m]} < 0, \quad (52)$$

$$\left( \begin{array}{cc} U(X, \Gamma(\vartheta(t))) + U(X, \Gamma(\vartheta(t)))^T & \\ D(\vartheta(t))^T & \\ V(X, \Gamma(\vartheta(t))) & \\ D(\vartheta(t)) & V(X, \Gamma(\vartheta(t)))^T \\ -I & F(\vartheta(t))^T \\ F(\vartheta(t)) & -\gamma^2 I \end{array} \right) < 0, \quad (53)$$

<sup>3</sup>These conditions are a consequence of the theorems presented by Chilali and Gahinet (1996).

where

$$U(X, \Gamma(\vartheta(t))) = A(\vartheta(t))X + B\Gamma(\vartheta(t)), \quad (54)$$

$$V(X, \Gamma(\vartheta(t))) = C(\vartheta(t))X + E\Gamma(\vartheta(t)). \quad (55)$$

Taking into account (41)–(45), (52) can be rewritten as

$$\left[ \begin{array}{c} \alpha_{kl}X + \beta_{kl} \left( \sum_{i=1}^N \alpha_i(\vartheta(t)) \sum_{j=1}^M \eta_{ij} A_{ij} X \right. \\ \left. + \sum_{j=1}^M \eta_{ij} B_j \sum_{i=1}^N \alpha_i(\vartheta(t)) \Gamma_i \right) \\ + \beta_{lk} \left( \sum_{i=1}^N \alpha_i(\vartheta(t)) \sum_{j=1}^M \eta_{ij} X A_{ij}^T \right. \\ \left. + \sum_{i=1}^N \alpha_i(\vartheta(t)) \Gamma_i^T \sum_{j=1}^M \eta_{ij} B_j^T \right) \end{array} \right]_{k,l \in [1,m]} < 0, \quad (56)$$

which can be rewritten as

$$\sum_{i=1}^N \alpha_i(\vartheta(t)) \sum_{j=1}^M \eta_{ij} \Phi_{ij}^D < 0, \quad (57)$$





$$\begin{aligned}
& \dot{\phi}\Psi \cos\left(\frac{2\pi t}{N_\psi}\right) \frac{2\pi}{N_\psi} \frac{I_z - I_x}{2I_y} \\
& + \dot{\psi}\Phi \cos\left(\frac{2\pi t}{N_\phi}\right) \frac{2\pi}{N_\phi} \frac{I_z - I_x}{2I_y} \\
& + \frac{J_{TP}}{I_y} \dot{\phi} \left( \hat{f}_2 \Omega_{2r} + \hat{f}_4 \Omega_{4r} - \hat{f}_1 \Omega_{1r} - \hat{f}_3 \Omega_{3r} \right) \\
& + \frac{lb}{I_y} \left[ \hat{f}_3^2 (\Omega_{3r} - o_3) \Omega_{3r} - \hat{f}_1^2 (\Omega_{1r} - o_1) \Omega_{1r} \right] \\
& + \Theta \left( \frac{2\pi}{N_\theta} \right)^2 \sin\left(\frac{2\pi t}{N_\theta}\right) = 0,
\end{aligned} \tag{78}$$

$$\begin{aligned}
& \dot{\theta}\Phi \cos\left(\frac{2\pi t}{N_\phi}\right) \frac{2\pi}{N_\phi} \frac{I_x - I_y}{2I_z} \\
& + \dot{\phi}\Theta \cos\left(\frac{2\pi t}{N_\theta}\right) \frac{2\pi}{N_\theta} \frac{I_x - I_y}{2I_z} \\
& + \frac{d}{I_z} \left[ \hat{f}_2^2 (\Omega_{2r} - o_2) \Omega_{2r} + \hat{f}_4^2 (\Omega_{4r} - o_4) \Omega_{4r} \right] \\
& - \frac{d}{I_z} \left[ \hat{f}_1^2 (\Omega_{1r} - o_1) \Omega_{1r} + \hat{f}_3^2 (\Omega_{3r} - o_3) \Omega_{3r} \right] \\
& + \Psi \left( \frac{2\pi}{N_\psi} \right)^2 \sin\left(\frac{2\pi t}{N_\psi}\right) = 0,
\end{aligned} \tag{79}$$

$$\begin{aligned}
& \frac{b \cos \phi \cos \theta}{m} \left[ \hat{f}_1^2 (\Omega_{1r} - o_1) \Omega_{1r} + \hat{f}_2^2 (\Omega_{2r} - o_2) \Omega_{2r} \right] \\
& + \frac{b \cos \phi \cos \theta}{m} \left[ \hat{f}_3^2 (\Omega_{3r} - o_3) \Omega_{3r} + \hat{f}_4^2 (\Omega_{4r} - o_4) \Omega_{4r} \right] \\
& - g + Z \left( \frac{2\pi}{N_z} \right)^2 \sin\left(\frac{2\pi t}{N_z}\right) = 0.
\end{aligned} \tag{80}$$

Given  $\dot{\phi}$ ,  $\dot{\theta}$ ,  $\dot{\psi}$  (measured),  $\hat{f}_1$ ,  $\hat{f}_2$ ,  $\hat{f}_3$ ,  $\hat{f}_4$  (estimated), and  $o_1$ ,  $o_2$ ,  $o_3$ ,  $o_4$  (obtained using (45)), we get (77)–(80) as a system of nonlinear equations with unknown variables  $\Omega_{1r}$ ,  $\Omega_{2r}$ ,  $\Omega_{3r}$ ,  $\Omega_{4r}$  that can be solved using some appropriate solver, e.g., *fsolve* in the Matlab Optimization Toolbox (Coleman et al., 1999).

Notice that when the passive FTC reference model is considered, i.e., (20)–(24), the changes  $\hat{f}_i \rightarrow 1$ ,  $i = 1, 2, 3, 4$  should be introduced in (77)–(80).

## 5. Results

The results presented in this section compare the proposed FTC strategies. As already discussed in Section 3, since the input matrix  $B$  is not constant, a prefiltering of the inputs is needed to obtain a constant input matrix  $\hat{B}$ . Adding the states  $x_{o_1}$ ,  $x_{o_2}$ ,  $x_{o_3}$  and  $x_{o_4}$  to the error vector such that  $o_i(t) = x_{o_i}(t)$ , this corresponds to the case  $C_o = I$  in (62), with the state equation (61) given by

$$\dot{x}_{o_i}(t) = -\omega_i x_{o_i}(t) + \omega_i \bar{o}_i(t), \tag{81}$$

where  $\bar{o}_i(t)$ ,  $i = 1, \dots, 4$  are the new inputs, and  $\omega_i$  has been chosen as  $\omega_i = 100$ ,  $i = 1, \dots, 4$ .

The polytopic approximation (41) of the quadrotor quasi-LPV passive FTC error model (30)–(34) was obtained by considering

$$\vartheta_1 \in [\min(\dot{\phi}), \max(\dot{\phi})] = [-0.25, 0.25], \tag{82}$$

$$\vartheta_2 \in [\min(\dot{\theta}), \max(\dot{\theta})] = [-0.25, 0.25], \tag{83}$$

$$\vartheta_3 \in [\min(\dot{\psi}), \max(\dot{\psi})] = [-0.25, 0.25], \tag{84}$$

$$\begin{aligned}
\left( \begin{array}{c} \vartheta_{2i+2} \\ \vartheta_{2i+3} \end{array} \right) \in \text{Tr} \left\{ \left( \begin{array}{c} \min(\Omega_i)^2 \\ \min(\Omega_i) \end{array} \right), \left( \begin{array}{c} \min(\Omega_i)^2 \\ \max(\Omega_i) \end{array} \right), \right. \\
\left. \left( \begin{array}{c} \max(\Omega_i)^2 \\ \max(\Omega_i) \end{array} \right) \right\},
\end{aligned} \tag{85}$$

with  $\min(\Omega_i) = 100$ ,  $\max(\Omega_i) = 500$ ,  $i = 1, 2, 3, 4$ , and where ‘Tr’ denotes a triangular polytopic approximation, which was preferred to a bounding box one in order to reduce the conservativeness. Finally,  $\vartheta_8 \in [0.5, 1]$ , which corresponds to the interval of possible values of  $\vartheta_8$  when  $\phi \in [-\pi/4, \pi/4]$  and  $\theta \in [-\pi/4, \pi/4]$ .

The polytopic approximation (41) of the quadrotor quasi-LPV active FTC error model (35)–(37) was obtained by considering

$$\vartheta_1 \in [\min(\dot{\phi}), \max(\dot{\phi})] = [-0.25, 0.25], \tag{86}$$

$$\vartheta_2 \in [\min(\dot{\theta}), \max(\dot{\theta})] = [-0.25, 0.25], \tag{87}$$

$$\vartheta_3 \in [\min(\dot{\psi}), \max(\dot{\psi})] = [-0.25, 0.25], \tag{88}$$

$$\begin{aligned}
\vartheta_{i+3} \in [\min(\Omega_i), \max(\Omega_i)] = [100, 500], \\
i = 1, 2, 3, 4,
\end{aligned} \tag{89}$$

$$\begin{aligned}
\left( \begin{array}{c} \vartheta_{2i+6} \\ \vartheta_{2i+7} \end{array} \right) \\
\in \text{Tr} \left\{ \left( \begin{array}{c} \min(f_i)^2 \\ \min(f_i) \end{array} \right), \left( \begin{array}{c} \min(f_i)^2 \\ 1 \end{array} \right), \left( \begin{array}{c} 1 \\ 1 \end{array} \right) \right\},
\end{aligned} \tag{90}$$

$$\vartheta_{16} \in [0.5, 1]. \tag{91}$$

Similar considerations were applied to the quadrotor quasi-LPV hybrid FTC error model for obtaining its polytopic approximation. In particular, the results presented hereafter were obtained considering  $\min(f_i) = 0.7$ .

The passive/active/hybrid controllers were designed using (48)–(49), to assure stability and pole clustering in:

$$\begin{aligned}
\mathcal{D} = \{z \in \mathbb{C} : \text{Re}(z) < -0.5, \text{Re}(z)^2 + \text{Im}(z)^2 \\
< 10000, \tan(0.3)\text{Re}(z) < -|\text{Im}(z)|\}
\end{aligned} \tag{92}$$

and an  $\mathcal{H}_\infty$  performance bound  $\gamma = 1000$ , and considering  $h(t) = [\phi \ \theta \ \psi \ z]^T$  in (40).

It must be remarked that, due to the exponential growth of the vertices with the number of faults taken into consideration ( $2^8 \times 3^i$  vertices in the passive and active FTC cases,  $2^{8-i} \times 3^{2i}$  in the hybrid FTC case, where  $i$  is the number of considered faults), the time needed to solve the LMIs grows exponentially, too. However, the strong calculating capacity available nowadays and the fact that the controller design is performed off-line and only the coefficients of the polytopic decomposition must be calculated on-line make this issue less critical.

The results shown in this paper refer to simulations which last 30 s, where the quadrotor is driven from the initial state:

$$\begin{aligned} \phi(0) &= \frac{\pi}{6}, & \theta(0) &= \frac{\pi}{6}, & \psi(0) &= \frac{\pi}{6}, & z(0) &= 0, \\ \dot{\phi}(0) &= 0, & \dot{\theta}(0) &= 0, & \dot{\psi}(0) &= 0, & \dot{z}(0) &= 0 \end{aligned}$$

to the desired trajectory defined as in (77)–(80), with  $\Phi = \Theta = \Psi = 0.1$ ,  $Z = 0$ ,  $N_\phi = N_\theta = N_\psi = N_z = 10$  s. The desired trajectory was generated by the reference model (12)–(19) starting from the initial reference state:

$$\begin{aligned} & \left( \phi_r(0), v_\phi^r(0), \theta_r(0), v_\theta^r(0), \psi_r(0), v_\psi^r(0), z_r(0), v_z^r(0) \right)^T \\ &= \left( 0, 2\pi\Phi/N_\phi, 0, 2\pi\Theta/N_\theta, 0, 2\pi\Psi/N_\psi, 0, 2\pi Z/N_z \right)^T \end{aligned}$$

Figures 3–6 present a comparison between the responses obtained with a nominal controller and the ones obtained with the proposed passive FTC approach. A fault in the first actuator acts starting from the time instant  $t = 15$  s. It can be seen that even a small fault, e.g.,  $f_1 = 0.9$ , is enough to drive the system to instability if the nominal controller is used. On the other hand, passive FTC shows some tolerance capability since, for  $f_1 = 0.8$  and  $f_1 = 0.9$ , the stability is preserved, although with a steady-state error due to the effect of the fault<sup>4</sup>.

On the other hand, the proposed active FTC technique can achieve perfect fault tolerance as long as the fault is correctly estimated, as shown in Figs. 7–10 (black solid line), where a fault  $f_1 = 0.7$  acting from  $t = 15$  s is considered. However, as the uncertainty in fault estimation (in this work modeled as a uniformly bounded noise) increases, so does the error between the real trajectory and the reference one.

By applying the proposed hybrid FTC method, the overall performance can be improved, thus reducing the effect that the fault estimation error has on the closed-loop response, as shown in Figs. 11–14.

In order to quantify numerically the improvement brought by the FTC strategies considered, let us introduce

<sup>4</sup>Adding an integral action to the controller could eliminate the steady-state error, although at the expense of worsening the dynamical transient performance of the closed-loop system.

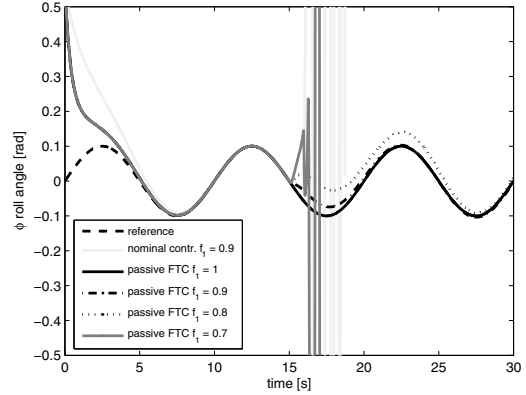


Fig. 3. Roll angle response (comparison between the nominal controller and passive FTC).

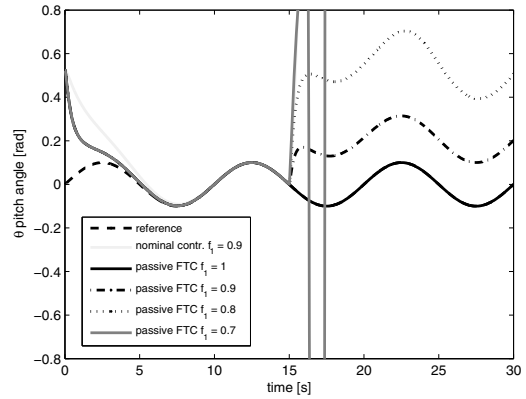


Fig. 4. Pitch angle response (comparison between the nominal controller and passive FTC).

the following performance measures:

$$J_\phi = \frac{1}{1500} \sum_{k=1500}^{3000} \left( \phi_r \left( \frac{k}{100} \right) - \phi \left( \frac{k}{100} \right) \right)^2, \quad (93)$$

$$J_\theta = \frac{1}{1500} \sum_{k=1500}^{3000} \left( \theta_r \left( \frac{k}{100} \right) - \theta \left( \frac{k}{100} \right) \right)^2, \quad (94)$$

$$J_\psi = \frac{1}{1500} \sum_{k=1500}^{3000} \left( \psi_r \left( \frac{k}{100} \right) - \psi \left( \frac{k}{100} \right) \right)^2, \quad (95)$$

$$J_z = \frac{1}{1500} \sum_{k=1500}^{3000} \left( z_r \left( \frac{k}{100} \right) - z \left( \frac{k}{100} \right) \right)^2, \quad (96)$$

$$J = J_\phi + J_\theta + J_\psi + J_z. \quad (97)$$

A comparison of the performance measures obtained in the different cases, as given in Table 3, shows the improvement brought by the proposed FTC strategies with respect to the nominal one, as well as the one brought by hybrid FTC with respect to the passive and active FTC strategies.

Table 3. Comparison of nominal controllers with passive/active/hybrid FTC.

Type of FTC strategy	Fault/uncertainty magnitude	$J_\phi$	$J_\theta$	$J_\psi$	$J_z$	$J$
Nominal	$f_1 = 1$	$3.90 \cdot 10^{-9}$	$3.45 \cdot 10^{-9}$	$4.12 \cdot 10^{-9}$	$1.62 \cdot 10^{-11}$	$1.15 \cdot 10^{-8}$
Passive	$f_1 = 0.9$	105.16	593.65	388.47	$1.60 \cdot 10^3$	$2.69 \cdot 10^3$
	$f_1 = 1$	$1.39 \cdot 10^{-10}$	$1.38 \cdot 10^{-10}$	$1.19 \cdot 10^{-10}$	$2.71 \cdot 10^{-10}$	$6.67 \cdot 10^{-10}$
	$f_1 = 0.9$	$2.00 \cdot 10^{-4}$	0.0471	0.0114	0.0266	0.0853
Active	$f_1 = 0.8$	0.0023	0.3246	0.0814	0.1400	0.5483
	$f_1 = 0.7$	$6.60 \cdot 10^3$	$3.74 \cdot 10^3$	$9.32 \cdot 10^4$	$2.03 \cdot 10^5$	$3.06 \cdot 10^5$
	$f_1 = 0.7$					
	$\Delta f_1 = 0$	$5.73 \cdot 10^{-10}$	$1.18 \cdot 10^{-8}$	$9.36 \cdot 10^{-10}$	$7.04 \cdot 10^{-11}$	$1.34 \cdot 10^{-8}$
	$\Delta f_1 \in [-.01, .01]$	$8.56 \cdot 10^{-8}$	$1.77 \cdot 10^{-4}$	$5.29 \cdot 10^{-7}$	$7.21 \cdot 10^{-8}$	$1.78 \cdot 10^{-4}$
Hybrid	$\Delta f_1 \in [-.05, .05]$	$2.37 \cdot 10^{-6}$	0.0056	$1.69 \cdot 10^{-5}$	$2.26 \cdot 10^{-6}$	0.0056
	$\Delta f_1 \in [-.10, .10]$	$5.52 \cdot 10^{-6}$	0.0246	$7.30 \cdot 10^{-5}$	$1.02 \cdot 10^{-5}$	0.0247
	$f_1 = 0.7$	$8.71 \cdot 10^{-6}$	$3.91 \cdot 10^{-4}$	$5.75 \cdot 10^{-5}$	$1.25 \cdot 10^{-4}$	$5.82 \cdot 10^{-4}$
	$\Delta f_1 \in [-.10, .10]$					

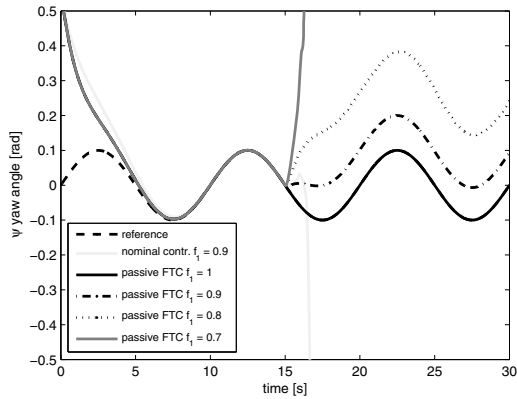


Fig. 5. Yaw angle response (comparison between the nominal controller and passive FTC).

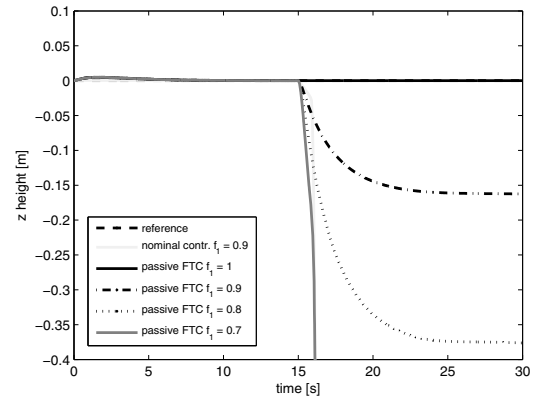


Fig. 6. Height response (comparison between the nominal controller and passive FTC).

## 6. Conclusions

In this paper, a solution for FTC of a quadrotor UAV has been proposed. By defining two reference models, different error models suitable for FTC can be obtained. In particular, three kinds of strategies can be used: (i) *passive FTC*, where faults are dealt with as though as they were exogenous perturbations, (ii) *active FTC*, where the controller is scheduled by the fault estimation, and (iii) *hybrid FTC*, which combines the characteristics of passive and active FTC.

Controller design is performed within the robust LPV framework, where an LPV controller is designed to be scheduled by the vector of varying parameters and to be robust against bounded uncertainties, satisfying some conditions expressed as LMIs.

The results presented in the paper have shown the relevant features of the proposed FTC strategy, which is able to improve the performances under fault occurrence. In particular, whereas the passive FTC shows some limited tolerance capability, resulting in the appearance of steady-state errors due to the fault effect, the active

FTC technique can achieve perfect fault tolerance as long as the fault is correctly estimated. However, as the uncertainty in fault estimation increases, so does the error between the real trajectory and the reference one. By applying the proposed hybrid FTC method, the overall performance can be improved, thus reducing the effect that the fault estimation error has on the closed-loop response. The introduction and comparison of some performance measures have allowed to numerically confirm such analysis.

Future research will be aimed at applying the proposed FTC strategy to a real set-up. This goal brings additional challenges, due to the presence of many sources of uncertainties that must be taken into account in order to enforce the robustness of the FTC strategy. Moreover, as remarked in the introduction, the inclusion of an FDI module can allow increasing the obtainable performance. Thus, further research will investigate FDI (as well as fault estimation) algorithms that can be successfully applied to quadrotor UAVs.

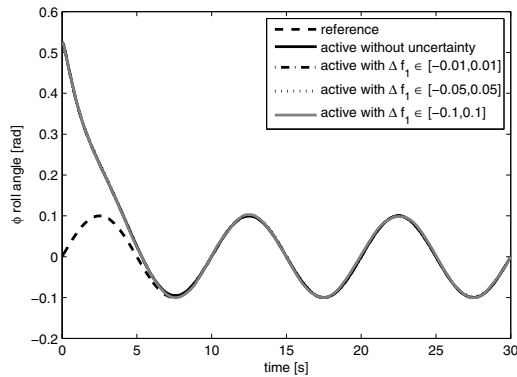


Fig. 7. Roll angle response (active FTC without and with uncertainty,  $f_1 = 0.7$ ).

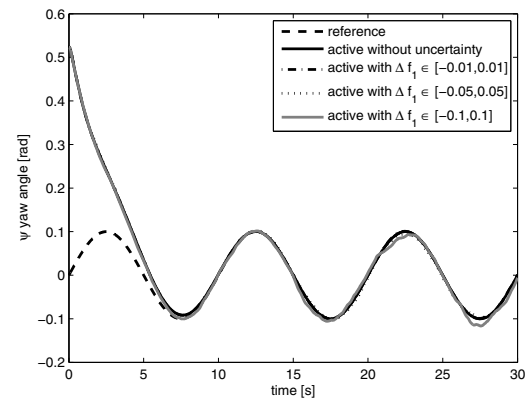


Fig. 9. Yaw angle response (active FTC without and with uncertainty,  $f_1 = 0.7$ ).

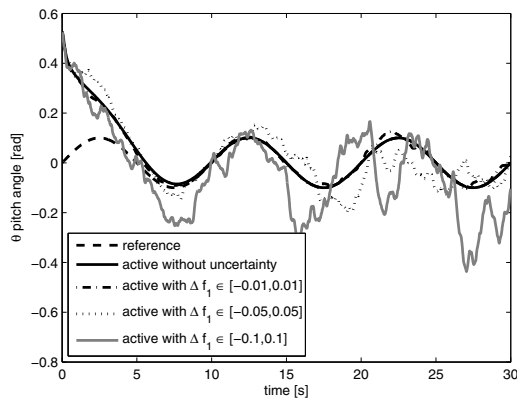


Fig. 8. Pitch angle response (active FTC without and with uncertainty,  $f_1 = 0.7$ ).

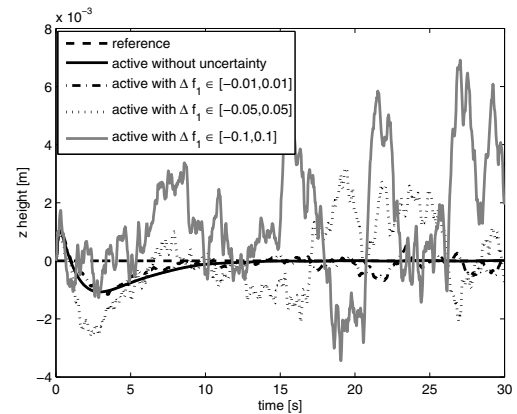


Fig. 10. Height response (active FTC without and with uncertainty,  $f_1 = 0.7$ ).

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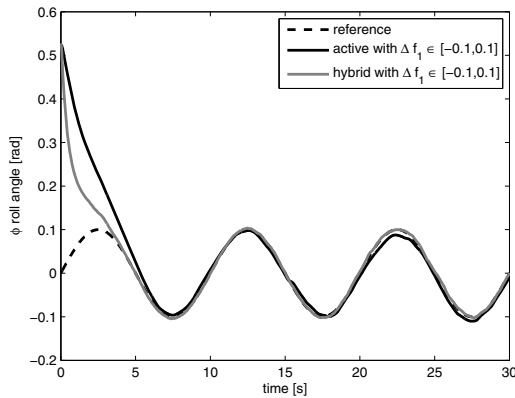


Fig. 11. Roll angle response (comparison between active FTC and hybrid FTC,  $f_1 = 0.7$ ).

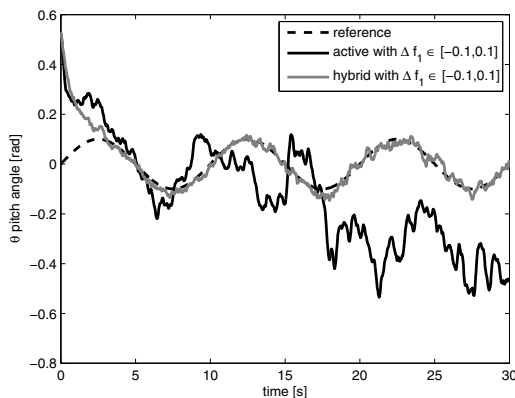


Fig. 12. Pitch angle response (comparison between active FTC and hybrid FTC,  $f_1 = 0.7$ ).

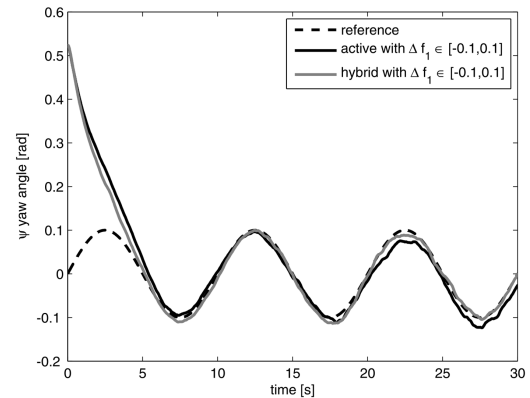


Fig. 13. Yaw angle response (comparison between active FTC and hybrid FTC,  $f_1 = 0.7$ ).

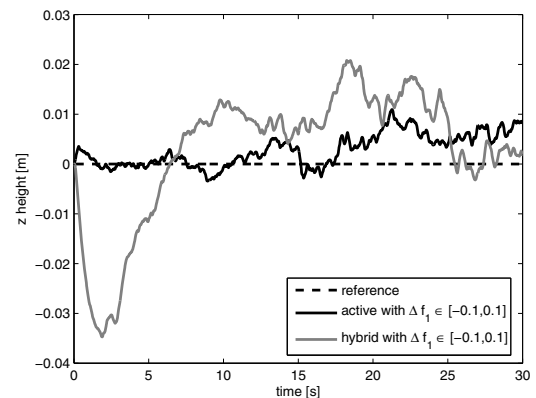


Fig. 14. Height response (comparison between active FTC and hybrid FTC,  $f_1 = 0.7$ ).

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