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# **Audio Localization for Mobile Robots**

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#### 1. INTRODUCTION

This document is a report about the internship that I realized at the UPC (University Polytechnic of Barcelona) from March to September 2009. The project is linked to a European project called URUS, whose the aim is to develop a network of robots that in a cooperative way interact with human beings and the environment.

This internship tallies with my end studies training in my engineering school E.S.E.O. (Ecole Superieur d'Electronique de l'Ouest)

I will explain chronologically my work in order to let the next person who will continue this study in the best conditions.

My task was to realize a robot head able to detect a sound source. This work is a subject of audio localization for robot. It requires my knowledge in Electronic a signal processing.

This report will be presented in three parts:

- -The presentation of the University and the project.
- -The presentation of the subject
- -The presentation of my work.



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## 2.INTERNSHIP RESUME

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End of studies training
Promotion Zeeman
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#### Audio localisation for mobile robots

#### **SUBJECT**

The department of the University for which I worked is developing a project based on the interaction with robots in the environment. My work was to define an audio system for the robot. This audio system that I have to realize consists on a mobile head which is able to follow the sound in its environment. This subject was treated as a research problem, with the liberty to find and develop different solutions and make them evolve in the chosen way.

#### **RESULTS**

This project should present a solution based on the audio signal treatment, and had to be started from the beginning. An electronic treatment has been done and a DSPIC has to evaluate the signals and give the order to the motor to move in the good direction.

This complete system had been done but still has to be tested, and I have to optimize it and study its robustness in an adequate context. At the end, this work will be able to detect the sound in adequate conditions (without reverberation effects): Indeed, the acoustic problems in a room which has reverberation are very difficult to resolve, even for a human which has an auditive system much more complicated.

Different solutions have been developed and must now be tested in order to obtain the best results.

# 3. PRESENTATION OF THE UPC – PRESENTATION OF THE URUS PROJECT

# 3.1. TECHNICAL UNIVERSITY OF CATALONIA, UPC

#### **DEPT ESAII**

In this part, we will chronologically describe the university, the school and the department where I worked, and finally, the organisation of the project for which I work.



The *Universitat Politècnica de Catalunya* (UPC) is a public institution dedicated to higher education and research that specializes in the fields of architecture, science and engineering. Their schools and research centers are known, nationally and internationally, for the education and training of professionals and for research in these areas.

The UPC is fully engaged in the country's technological progress; the quality of its research is recognized world-wide. This in turn informs the education and training of researchers who will be capable of facing future technological challenges. Its substantial capacity for technology transfer assures that the knowledge and research that they generate has a real-world impact in terms of innovation and technological development, especially in Catalonia, Spain and Europe.

This University is a public university having an international profile.

The UPC presents 5 campuses located in Barcelona, Castelldefels, Manresa, Sant Cugat del Vallès, Terrassa and Villanova i la Gertrù. This university contains 2 colleges in Terrassa, two faculties, and several schools specialized in architecture, engineering, industrial, nautic, aeronautic, telecommunications, building construction, agriculture, and technology.

These schools, colleges and faculties are divided in departments.

I worked for the Department of Automatic Control (ESAII), which is joined to the Faculty of Mathematics and statistics (FME).



Figure 1: School of Mathematics and Statistics

The School of Mathematics and Statistics, first offered a course of studies pursuing a graduate degree in Mathematics in the academic year 1992-1993. In the same academic year the school began offering a bachelor's degree in Statistics, previously offered by the School of Informatics of the UPC.

The School currently has some 500 students enrolled. It participates in international student exchanges through the Socrates Erasmus and Sicue Seneca programs, along with other, smaller scale, programs. Currently SMS has cooperative agreements with other Spanish, European and South American universities. An agreement has also been signed with l'*Institute National de Grenoble* to allow students to obtain a double degree in Mathematics and Ingénieur INPG/ENSIMAG.

The master's degrees offered are in Applied Mathematics, Mathematical Engineering and Statistics and Operations Research. All these degrees comply with the requirements of the European Higher Education Area (EHEA).

The Department of Automatic Control develops applications used in production, advanced automation and biomedical engineering and focuses on the fields of control, computer vision, robotics and biomedical signal processing.

The Department has several laboratories in Barcelona that are devoted to bioengineering, biomedical signals, robotics, computer vision, automation and mobile robotics. It has another laboratory in Vilanova i la Geltrú and several research facilities on the Terrassa Campus that concentrate on control engineering, industrial informatics, advanced control, robotics and aeronautics. The Department hosted the Fifteenth World Congress of the International Federation of Automatic Control (b'02) and the Sixteenth International Workshop on Qualitative Reasoning (QR2002).

#### 3.2. THE URUS PROJECT

## 3.2.1. Project summary

European ancient cities are becoming difficult places to live due to noise, pollution, lack of quality facilities and security. Moreover, the average age of people living in large European cities is growing and in a short period of time there will be an important community of elderly people. City Halls are becoming conscious of this problem and are studying solutions, for example by reducing the areas of free circulation of cars. Free car areas imply a revolution in the planning of urban settings, for example, by imposing new means for transportation of goods to the stores, security issues, new ways of human assistance, etc. In this project we want to analyse and test the idea of incorporating a network of robots (which include robots, intelligent sensors, intelligent devices and communications) in order to improve quality of life in such urban areas.

Given the broad spectrum of an initiative like this, the URUS project will focus on designing and developing a network of robots that in a cooperative way interact with human beings and the environment for tasks of guidance and assistance, transportation of goods, and surveillance in urban areas. Specifically, our objective is to design and develop a cognitive networked robot architecture that integrates cooperating urban robots, intelligent sensors (video cameras, acoustic sensors, etc.), intelligent devices (PDA, mobile telephones, etc.) and communications. The main scientific and technological challenges that will be addressed in the project are: navigation and motion coordination among robots; cooperative environment perception; cooperative map building and updating; task negotiation within cooperative systems; human robot interaction; and wireless communication strategies between users (mobile phones, PDAs), the environment (cameras, acoustic sensors, etc.), and the robots. Moreover, in order to facilitate the tasks in the urban environment and the human robot interaction, commercial platforms that have been specifically designed to navigate and assist humans in such urban settings will be given autonomous mobility capabilities, as well as a simple but friendly robot head.

Proof-of concept tests of the hardware and the software systems developed will take place in a pedestrian area of a city quarter of Barcelona.

The initiative of this project comes from the European Group inside of the Research Atelier on Network Robot Systems (NRS) (part of EURON) which is producing a Roadmap of Network Robots in Europe, one important company expert in communications and sensors, one SME company working on augmenting the urban robot sensory capabilities to produce a versatile robot, and one organisation dealing with organizational studies for the city of Barcelona in conjunction with the Barcelona City Hall and the Government of Catalonia.

This Urban application has been selected to focus the principles of Network Robotics tackled in this project. However, the major contribution is scientific and technological, and in principle can be applied to any other ubiquitous robotic domain.



Figure 2 : Guiding of transportation of people and goods

## 3.2.2. Project objectives

The general objective of this project is the development of new ways of cooperation between network robots and human beings and/or the environment in urban areas, in order to achieve efficiently tasks that in the other way can be very complex, time consuming or too costly. For example, the cooperation between robots and video cameras can solve surveillance problems in urban areas, or the cooperation between robots and wireless communication devices can help people in several ways. The focus of the project is in urban pedestrian areas, an important topic in Europe where there exists a growing interest in reducing the number of cars in the streets and improving the quality of life. Network robots can be an important instrument to address these issues in the cities.

Network robots is a new concept that integrates robots, sensors, communications and mobile devices in a cooperative way, which means not only a physical interconnection between these elements, but also, for example, the development of novel intelligent methods of cooperation for task oriented purposes, new communication languages between the different elements, or new mobility methods using the ubiquity of sensors and robots. We have identified several scientific and technological challenges, when thinking on network robots for urban areas, which have been taken into account to define the objectives of this project.

The objectives of this project are the following:

A scientific and technological objective: Develop an adaptable cognitive network robot architecture which integrates the following functionalities (sub-objectives)

- -Cooperative localisation and navigation.
- -Cooperative environment perception.
- -Cooperative map building and updating.
- -Human robot interaction.
- -Multi-task negotiation.

Wireless communication with hand held devices, ubiquitous sensors, and other robots.

An experiment objective: Test the cognitive network robot architecture in two different urban tasks:

- -Guiding and transportation of people and goods.
- -Surveillance.

The first main objective of URUS is to develop an adaptable cognitive network robot architecture which integrates the basic functionalities required for the network robot system to do urban tasks.

Cooperative localization and navigation: The specific objective is to extend the navigation capabilities of the robots by using cooperative localisation, perception, maps, short-term-planning robot navigation and cooperative control in unstructured and dynamic environments, in particular for urban settings.

Cooperative perception environment: The specific objective here is to create and maintain a consistent view of the urban world containing dynamic objects, i.e., pedestrians, vehicles (autonomous or conventional small transportation vehicles), by means of the information provided by the robots and sensors embedded in the urban environment. Cooperative surveillance tasks including the fleet of robots and the embedded sensors will be addressed, including cooperative event detection and identification. The cooperation not only includes the fusion of data, but also the development of adequate actions for developing these tasks. Also, decentralized cooperative tracking techniques for the estimation of the people flow and other moving objects in a certain area will be considered.

Cooperative map building and updating: The specific objective is to augment the classical static Simultaneous Localization and Map Building (SLAM) problem to deal with dynamic environments, and to be cooperative using not only a troupe of robots, but all the different elements of the NRS, where the static (background) and moving elements (foreground) must both to be taken into account, at all times during map construction. For urban areas, one could take advantage of a GIS (Geographic Information System) for background information and rely on state of the art cooperative SLAM for dynamically updating such map, and for detecting the foreground.

Human robot interaction: The specific objective is developing a series of tools to have a robust communication interface between robots and persons and a simple but friendly head for the urban robots. A person will communicate to a robot by means of mobile phones, voice and gestures. The robot will communicate to a person by voice, a robot screen or through the mobile phone. The mobile phones will be the main communication interface that will allow the human beings to ask for assistance, help or any other order, and moreover they will be used to have the first location approximation of the person in the urban site (this last task will be done in work package 7). That means that we will define a bidirectional language communication using mobile phones. The robot touch screen will be an interactive device to interchange information. The human gestures will be used for two actions: to express very simple commands and to locate a person in a specific urban point. An important issue will be to locate precisely a person by identifying its gestures. Finally, we will develop a simple but friendly head for the urban robots which will include the elements described in this work package.

Multi-task negotiation: The specific objective is to use general optimal or suboptimal techniques to achieve multi-system task allocation among the members of the system, that is, the robots and the sensors and other systems of the environment. In this project, we will consider a set of heterogeneous robots with capabilities of interaction with the environment and with humans. The team of robots will be heterogeneous due to their motion capabilities (kinematic, dynamic), the type of sensors on-board, their visibility and the communication constraints between robots and the environment.

Wireless communication with hand held devices, ubiquitous sensors, and other robots: The specific objective is to extend the localisation of human beings fusing information from typical communication systems (mobile phones, embedded and mobile sensors) and detecting hand human movements; improve the communication recovery with robots and humans; and establish a common

wireless interactive language and protocol for the communication between humans (by means of mobile phone), robots and ubiquitous sensors.

The second main objective is to test this cognitive network robot architecture with the specific goal of achieving the deployment of a network of robots, sensors and communication devices for the following urban oriented tasks that will become experiments in the URUS project quoted before:

- -Guidance and transportation of persons and goods.
- -Surveillance.

The first experiment will consist in assisting people to find places to go and to transport people and goods from one place to another, using the best path taking into account for example, the map information and the on line street situation obtained by the network of robots. For the purpose of assisting people, some of the community of robots will have special interfaces to communicate with people (special monitor, new generation of mobile phones, PDAs etc.) and the people will use similar devices to communicate with the network robots. In this task, we need an accurate estimate of the position of the person that requires the service, and for this reason sensor integration for localization is of uttermost importance; for example, by tracking the person with vision sensors, by aiding in his/her localization from mobile phone signals, by identifying the person by his/her movements and by referring such data to a map (if such exists). With respect to transportation, some of the robots will be prepared to transport people and goods (small size). Figure 1 shows a virtual view of the Guiding and Transportation of people and goods task.

The second experiment will consist in a surveillance task. Some robots in conjunction with the network will navigate the urban area to detect abnormal situations (vandalized urban furniture, big areas with trash, suspicious activity etc.). Moreover the network robots will be used to measure the flow of people in the streets or the flow of mobile elements in the area. For this purpose some of the community of robots will have special sensors and they will have to cooperate to exchange information, and give their location to send an alarm when they detect something strange.

In the two tasks, there will be cooperation among robots, sensors and communication systems. Basically the six functionalities aforementioned must be taken into account, however with different priorities.

The network of robots, sensors and communications will be tested in two different environments: a full experiment will be in the Campus of the Technical University of Catalonia, in Barcelona, which includes streets, passages, and closed environment (shops, restaurants, offices); and secondly a more limited testing will be performed in a selected "Superblock" (Supermanzana) of the city of Barcelona. At present there are several superblock studies in Barcelona, for example in Poble Nou, 22@, and Gracia's quarter.

N°	Proposer Name	Country	Total Cost (€)	%	Grant requested (€)	%
1	Universitat Politècnica de Catalunya	Spain	908000	22.36	511000	19.17
2	Centre National de la Recherche Scientifique	France	472792	11.64	243640	9.14
3	EIDGENÖSSISCHE TECHNISCHE HOCHSCHULE ZURICH	Switzerland	243440	5.99	243440	9.13
4	Asociación de Investigación y Cooperación Industrial de Andalucía.	Spain	471093	11.60	243000	9.12
5	Scuola Superiore di Studi Universitari e di Perfezionamento Sant'Anna	Italy	233000	5.74	233000	8.74
6	Universidad de Zaragoza	Spain	243000	5.98	243000	9.12
7	Instituto Superior Técnico	Portugal	476000	11.72	243000	9.12
8	The University of Surrey	United Kingdom	233000	5.74	233000	8.74
9	AGÈNCIA D'ECOLOGIA URBANA DE BARCEONA	Spain	150605	3.71	150605	5.65
10	Telefónica Investigación y Desarrollo Sociedad Anónima Unipersonal	Spain	467300	11.50	238150	8.94
11	RoboTech Srl	Italy	163500	4.03	83500	3.13
		TOTAL	4061730	100%	2665335	100%

# 3.2.3 Participant List

Partic. Role*	Partic. No	Participant name	Participant short name	Country	Date enter project**	Date exit project**
co	1	Technical University of Catalonia (Institute of Robotics)	UPC	Spain	Month 1	Month 36
CR	2	Centre National de la Recherche Scientifique	LAAS	France	Month 1	Month 36
CR	3	Eidgenössische Technische Hochschule	ETHZ	Switzerland	Month 1	Month 36
CR	4	Asociación de Investigación y Cooperación Industrial de Andalucia	AICIA	Spain	Month 1	Month 36
CR	5	Scuola Superiore di Studi Universitari e di Perfezionamento Sant'Anna	SSSA	Italy	Month 1	Month 36
CR	6	Universidad de Zaragoza	UniZar	Spain	Month 1	Month 36
CR	7	Instituto Superior Técnico	IST	Portugal	Month 1	Month 36
CR	8	University of Surrey	UniS	U.K.	Month 1	Month 36
CR	9	Urban Ecology Agency of Barcelona	UbEc	Spain	Month 1	Month 36
CR	10	Telefónica I+D	TID	Spain	Month 1	Month 36
CR	11	RoboTech	RT	Italy	Month 1	Month 36

<sup>\*</sup>CO = Coordinator

These columns are needed for possible later contract revisions caused by joining/leaving participants

CR = Contractor

<sup>\*\*</sup> Normally insert "month 1 (start of project)" and "month n (end of project)"

#### 4. DESCRIPTION OF THE INTERNSHIP

#### 4.1. INTRODUCTION

There are many techniques used by humans to estimate the location of a source of sound.

Some of them can be reproduced on a mobile robot equipped with two microphones and modest processing power to apply the adequate algorithm.

All the cues used by a human to localize the source of a sound and some of them are not yet fully understood.

Some are easier than others to model and reproduce on a robot. The robots of the URUS project have to detect sound so they need a audio system for sound localisation that is the problem we have to solve in this subject.

#### 4.2. SUBJECT

The aim of the internship is to realise a simple system able to recognize the direction of the source. The localization must be performed by a rotating movement of the head, until it gives the good direction.

#### 4.3. OBJECTIVE

The robot should give in his final version the good direction of the audio source. This objective doesn't consider the fact that reverberations in a small room make the detection really difficult to find. Our system will present a solution of the problem only in adequate conditions.

#### 4.4. CONDITIONS OF THE WORK / CONSTRAINTS

The first part of this work was to find different solutions, realizable with the main material proposed, the DSPIC30F4011. This study needed a research work to have different propositions in case one of the solutions was not sufficient.

The system is based on a system of 2 or more electrets microphones. The signals have to be treated and amplified to be recovered by the DSPIC, which will be used to treat them and obtain an indication of the direction. A servomotor will be used to operate the rotating movement of the axis of microphones (whose the perpendicular will be considered as the direction similarly to human ears), in order to detect the good direction.

This work has to be started from the beginning, with simple microphones, and a DSPIC. Therefore all should be prepared to let the audio signal treatment possible: the electronic system, the detection system, the rotating system and the algorithm in order to realise a continuous detection have to be realised for this.

This project requires equipment that is grouped in the work room, which is not the best in terms of the acoustic tests and all the verification of the detection system. The part of the evaluation of the robustness of the work has to be done outside this reverberant room.

#### 4.5. CONTEXT

This project is a part of a the European project, in which Spain, France, Switzerland, Italy, Portugal and the United Kingdom have to coordinate their work to insert a network of robots in order to improve the quality of life in such urban areas.

The name of this project is URUS. This study is programmed in three years, and actually we are on the middle of the program.

### 4.6. ORGANIZATION

This subject was put forward as a research subject, and was open to many different possibilities. Total liberty permitted to develop a part more than the other, and to conduct the research where it seemed to be the most required.

The first part of the internship was based around research. Many solutions had to be found, to anticipate the fact that the first that would be tested would not be necessarily be the good correct one

This subject has already been studied and yet some research effort has been devoted to microphone array processing techniques, especially for teleconferencing and large room recording, but also for speech recognition. For this reason, it was not so hard to collect the different possibility in researches done by the past in the last years.

After having prepared these options, simulations have been realised to confirm the fact that the solutions should work properly, and permitted to develop a beginning of what the final algorithm should be.

An electronic part had to be done, to obtain amplified and exploitable signals by the DSPIC30F4011. So a symmetric amplificatory system has been designed to permit this amplification.

After that, the algorithm of detection made in the simulation with real audio signals with an Analogical/Digital conversion board which enabled the confirmation of the availability of the simulation of our solution, and to give an indication on the reliability of this simulation work. To continue, this project had been established on the DSPIC, with the best parameters possible that could keep a good reliability and a good resolution of the problem.

To conclude, the servomotor has been integrated to the circuit, and now enables to rotation of the microphone axis in the good direction, with an algorithm based on the simulation done.

#### 5. AUDIO LOCALIZATION FOR ROBOT

# 5.1. INTRODUCTION

This project will be explained in the order that it was that it took place: In first part, the two main ideas that were developed will be described. The theory will be established, and then we will then proceed to presenting the work concerning the simulation of these two solutions. After that, the electronic part to obtain the signals required and the implementation with the DSPIC of the processing will be presented. In a final part, the mobile part with the servomotor used and the final algorithm of the detection will be explained.

These solutions do not consider the reverberation of the environment and this problem is not studied yet.

The implementation of an algorithm aimed to develop passive acoustical source localization and tracking, is presented by employing as reference framework of the amplitude difference and time difference of arrival. In order to reduce the computational effort and facilitate the detection, an amplitude comparison and a cross-correlation based approach are proposed for parameters calculation.

This part of the project is based on the fact that the human ear uses these two methods to detect the sound.

Indeed, the human ear uses more than these two simple methods, but these are the easiest to understand and to implement for a robot which has to detect a source.

The sound I used to work is a sinusoidal signal (for me an A at 440 Hz), and my work was studied in two dimensions. Two electrets microphones were used to represent the two ears of the human as the ears of the robot.

#### 5.2. THEORICAL SOLUTIONS

## 5.2.1 First solution: Amplitude comparison

The most important advantage of this solution is that it is really simple to understand and to implement. It is based on the fact that in a neutral and soundproof location, we can use the propriety of the sound that its amplitudes decrease as the distance with the source increase.

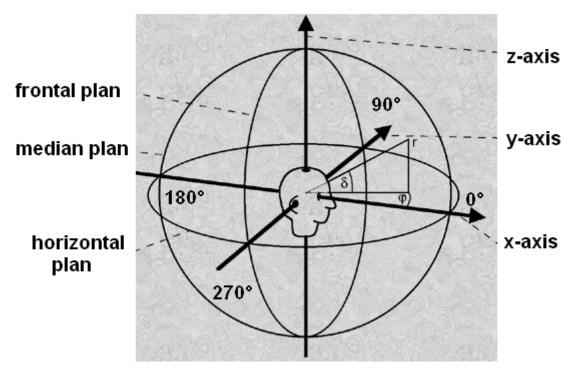


Figure 3: Considerable angles around the head

The law of inverse square indicates that the amplitude of a spherical sound decreases by 6dB for each doubled distance will have a direct impact on the interaural amplitude difference. With the same azimuth there will be an amplitude difference more important for a source which is near the ear (or the microphone) than for a distant one. This should be one of the problems of this solution. It is difficult to give a real relation of this effect because it depends on many factors like the frequency and duration of the sound and others.

The advantage of this system is that the human head makes this difference more important than it should in relation to distance, acting as a barrier between the two ears.

The disadvantage is that a lot of factors change the amplitude response, and the reverberation changes allot the values, so it is not an accurate solution, even if it will be used to help our system. Even if we test it in good conditions, the difference will not be the same with the distance, and it is normally the brain that interprets it for the human.

Our detection system will use the fact that from an equidistance of the ears or the microphones, the amplitude of the signal is the same (so in the figure 3, it corresponds to the azimuth 0° and 180°). The maximum of the difference in amplitude will be found for 90° and 270°.

Our electronic system must rectify the sinusoidal signals and compare both of them to determine the most important amplitude, and turn to obtain an equality of the signals.

The electronic advantage of this solution is that it is really simple to realize with an analogical system. The disadvantage is that the signal should be higher in amplitude than the diode voltage that will rectify the sinusoid.

## 5.2.2 Second solution: Time differential of arrival

This solution is based on the fact that the speed of sound in air is approximately 340m/s in normal conditions.

With this knowledge, we can obtain a time difference between the two ears or the two microphones. The difference is null if the source comes from the azimuth 0° or 180°, and will be maximum for 90° and 270° angles. For a human being, the maximum time is approximately 0.65 ms, with a distance of 20cm between the two ears. These approximations are due to the fact that the distance between the ears is different for each person, and that the speed of sound depends on the temperature.

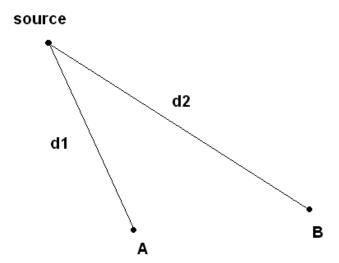


Figure 4: Both microphone positions and a source in a simple acoustic field

We consider point A and point B as the ears or microphones. The distances from the source to these points are d1 and d2.

The acoustic signals for these two points are:

$$a(t) = s(t) + \eta a(t)$$
  
$$b(t) = \alpha \times (t - \tau) + \eta b(t)$$

Where s(t) is the acoustic signal of the source measured in A,  $\alpha$  is an amplitude coefficient and  $\eta a$  and  $\eta b$  are the independent noise components. If we consider that the distance between the source and the microphones is far enough, behind the distance between the two microphones, we get:

$$a(t) = s(t) + b(t)$$
  
$$b(t) = s(t - \tau) + \eta b(t)$$

We can obtain the time differential of arrival between the point A and B:

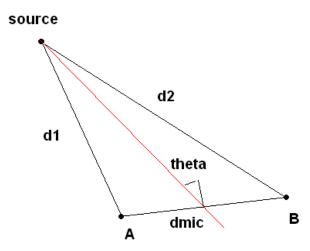


Figure 5: Obtaining the time differential of arrival

We can deduce an approximation of the azimuth with the relation:

$$cos\theta = \frac{c \times \tau}{dmte}$$

Where c corresponds to the celerity of the sound,  $\tau$  corresponds to the delay and *dmic* the distance between the microphones.

The advantage of this method is that it is more efficient in a disrupted environment than the precedent than the one previously discussed and it can work with every kind of signal. It also gives directly an angle, but this angle will be more accurate if the azimuth is around 0°. The disadvantages are that it requires an important sample time to implement an algorithm able to find this angle. This solution does not prevent the reverberation problem that changes a little the real value. The implementation of this solution will be resolved with a cross-correlation calculated by the DSPIC.

#### **5.3 SIMULATIONS**

# 5.3.1. First simulation: Amplitude comparison

Electronic simulation:

First, a model of what could be the electronic work to obtain the two signals was realised with Simulink.

This part contains for both of the signals:

- A first part that creates the signal for each microphone with the amplitude and a variable factor for the noise.
- An adjustable Lowpass Filter which cleans the audio signal by removing variations introduced by the noise.
- An adjustable amplification.
- A rectification (choice between half-wave rectification and full-wave rectification whose the basic ideas are described by the two figures 6 and 7).

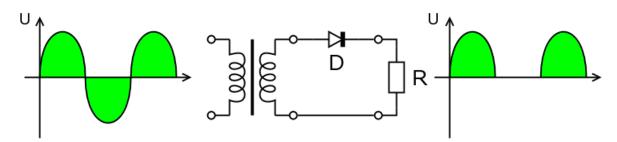


Figure 6: Half-wave rectification

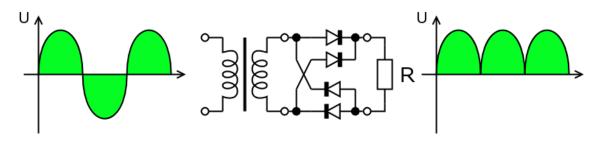


Figure 7: Full-wave rectification

- Another Lowpass filter which keeps the continuous signal from the sinusoidal signal rectified. Finally this last Lowpass filter was replaced by a method of smoothing with capacitor, for better results reasons.

Signals simulation: Utilisation of the "Audio Systems Array processing Toolbox"

After having simulated the electronic part which gives the continuous signals, the problem was to verify that this method would be efficient in real conditions. I have found a Toolbox developed by the

University of Kentucky in audio system laboratory by the Department of Electrical and Computer Engineering called «Audio Systems Array processing Toolbox».

Description of the Toolbox:

This toolbox (under development) is a collection of Matlab functions useful for simulating and processing data from audio array systems. In array systems signals are processed with respect to a spatial geometry of the microphones and sources. So in addition to typical time and frequency characterizations of audio sources and receivers, positions and spatial paths must be known and incorporated into the processing. The Matlab functions in this toolbox have a standard convention for vectors and matrices that provide position information. Functions developed around these conventions allow for efficient reuse, compatibility, and modification of toolbox functions.

The following matrix and vector conventions apply to all applicable toolbox functions:

Collections of signals associated with an array are stored columns-wise in a matrix (row indices correspond to time sample and column indices correspond to signals from different microphones or sources). Larger row indices correspond to more recent time samples (row index 1 is the oldest sample).

Positions of array elements, sources, and other elements in space are denoted with column vectors or column-wise in matrices where each column corresponds to a position and the rows correspond to the x, y, and z coordinates of the position. If only 2 dimensions are given (2 rows) the algorithms will work in a plane (2D). If one dimension is given the algorithms will work along a line, which may be appropriate in applications such as calibration procedures for end-fire arrays or speed of sound measurements.

The field of view (FOV) defines the spatial limits for analysis or imaging. The FOV is limited to rectangular/cubic dimensions and is a 2 column matrix denoting the coordinates of opposite corner points. The coordinates of the array elements and FOV must be with respect to the same spatial frame of reference.

The above conventions simplify the programming and use of toolbox functions with few limitations. Note there is no limitation on the number of array elements or the array element geometry.

This Toolbox is a specific and complete toolbox for the audio systems, so some functions had to be simplified and adapted to remove the reverberation effects. Indeed, the room (asymmetric) where these experiments took place is too difficult to simulate, because the geometry and all furniture and equipment which are inside would be too complicated to establish, and the results would be erroneous for this reason.

This Toolbox was exactly what I needed to simulate the signals with more reliability. It was used to simulate an audio sinusoid source with two microphones placed in a room with adjustable characteristics. With this function, a simple interface was made to represent the room, and the three key elements:

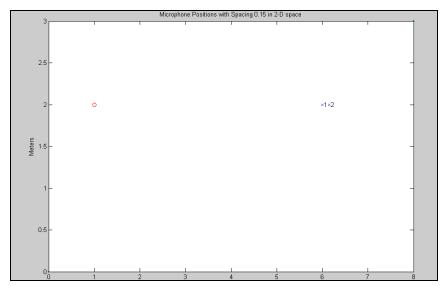


Figure 8: Matlab interface used to show locations of the elements

For instance, simple utilisation with a sinusoidal signal in this condition gives a response for the two microphones, like in the next graph shown:

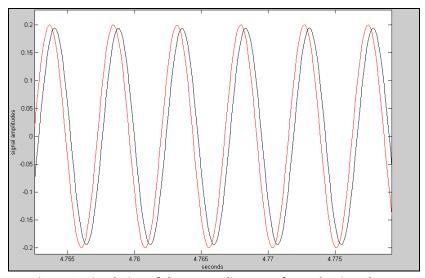


Figure 9: Simulation of the two audio waves for each microphone

Then, I made an interactive simulation between my electronic simulated part, the signals simulated by the Toolbox, and the interface to verify that the system was efficient. At the end of the simulation, the axis of the microphones has moved in the direction of the source position, even with noised signals. These are the representation of the two noised signals at the beginning of a simulation with 440Hz for the same location of the elements in the room:

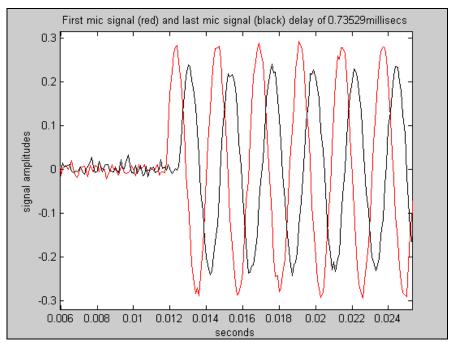


Figure 10: Simulation of the two audio waves at the beginning

At the end of the simulation:

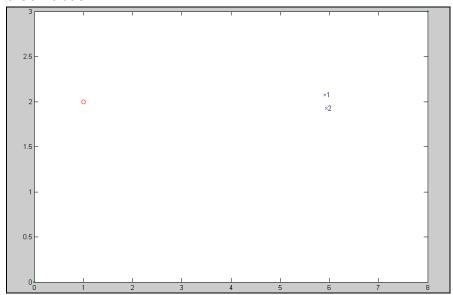


Figure 11: Positions of the microphones at the end

The precision is not totally reliable, because it is very difficult to consider all the factors in the simulation, but it gives an idea of what would be the adjustment for each parameter.

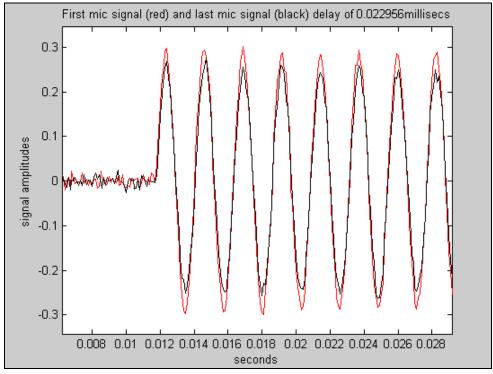


Figure 12: Simulation of the two audio waves at the end

#### 5.3.2 Second simulation: Time differential time of arrival

The same tools were used to simulate the second solution. The audio Toolbox and the same interface were used to simulate the signals and the elements in the room. The Toolbox was used to create a cross-correlation system with a specific function. It was really simple to create a simulated implementation of this numeric solution, because a lot of functions like cross-correlation or Fast Fourier Transfer have been studied by the University for Equivalent Projects: there was no need to create my own function for this reason.

For this part, we consider that the two microphones are spaced by 25 cm.

-Determination of an appropriate sample time:

In theory, the maximum delay between the two signals, in a soundproof room in optimal conditions is 0.718 ms for the extreme angles. If we use a sample time of 10000 Hz, the accuracy of our measurement will be around the tenth of millisecond. Consequently, we will obtain 8 possible delay values and the good resolution for the angle would be around 10° (around because it is different for the small angles than for larger ones). Based on the same reasoning, with a sample time of 100 000Hz, We obtain accuracy around the hundredth of millisecond for the delay, and a reasonable resolution could be around 5° or less.

This reasoning let us think that we have to set a sample time between this two frequencies:  $10\,000\,\text{Hz} < \text{Fsample} < 100\,000\text{Hz}$ 

This will be decided with the DSPIC conversion capacities.

The cross correlation:

$$(f*g)[n] = \sum_{m=-\infty}^{\infty} f*[m] \times g[n+m]$$

In signal processing, the cross-correlation is a measure of similarity of two waveforms as a function of a time-lag applied to one of them. This will permit us to obtain the delay between the two signals. The cross-correlation should present a sinusoid if we apply this operation with two infinite sound vectors. In our case, we work with finite vectors, so it should present a pseudo-sinusoidal signal with only one maximum. We have to adjust the parameters to make them correspond to the real delay with the biggest peak.

We can see the nature of the results of a cross-correlation realized with two sinusoidal signals, contained in finite vectors on the graph below:

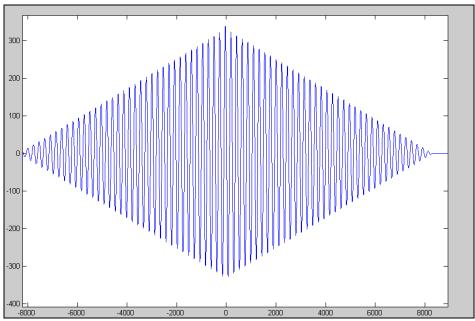


Figure 13: Simulation of the cross6correlation between two sinusoid signals

We face two problems when applying a cross-correlation on periodic and finite signals:

The first problem is that if we have two parts of a signal that are too small, the maximum peak that will be encountered will tend to be when the maximum of points are considered (time 0), and will not be a good indication of the delay measure. For this reason, we have to apply our correlation on parts of signals that contain more than a period.

#### Example:

We take only two positive sequences parts of a period without noise.

The real delay is 0.718ms

The frequency of our audio signal is 200Hz in this simulation

The sample frequency is 10 000Hz

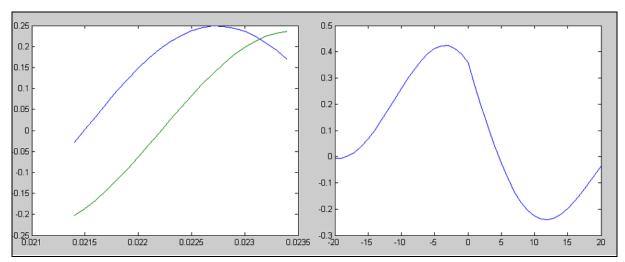


Figure 14: Case 1. Signals fragments / Case 2. Cross-correlation

The delay result calculated in figure the 14 by the cross-correlation is 0.3 ms whereas if we take a larger part for the two audio signals in the same conditions in the diagram below:

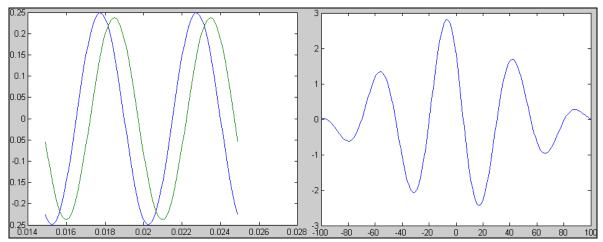


Figure 15 : Case 1. Signals fragments/ Case 2. Cross-correlation

The delay found is 0.70 ms, and it is the best possible value with this sample time.

This simulation shows that we must apply the cross-correlation on fragments of signals larger than a period to have an acceptable precision.

The second problem is very important too. These two next figures represent our two signals from the two microphones. The frequency of the source on the first figure is 1000 Hz, and in the second 200 Hz.

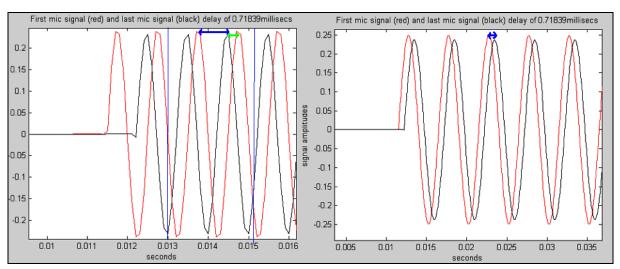


Figure 16: Case 1. Signals sequences / Case 2. Cross-correlation

Therefore, we can conclude that the cross-correlation applied on two parts of this signals could find a false maximum peak, leading us to compare two peaks that are not from the same period.

#### Example:

In the first case, we apply the cross-correlation between the two vertical blue lines, the peak will be larger when we take the period n of the first signal and the period n+1 of the second one because more points are considered to calculate than if we take the period n for each one (the error is green on the first case and the good correspondence is blue).

In the second case, the correspondence will be good, because the peaks of the signals are closer.

We define that the delay must be less than the half-period of the source signal. With a space of 25 cm between the microphones, it imposes to work with these frequencies:

We have chosen a space of 25 cm to obtain delays large enough, and to be in equivalent conditions to human ears. The interactive simulation was not carried out in the second case because enough information had been gathered with different tests, with different simulations to observe this.

To conclude, comparisons between the angle calculated by the cross-correlation function with the approximation of the delay and the real angle are calculated with our coordinates in the interface.

The simulation confirmed the fact that the approximation of the angle is more important for the angles on the extreme azimuth (90° and 270°) than for these angles. The errors depend on the sample time, the length of the vectors and the frequency. Working with 440 Hz, with more than a period for each signal, seems to be largely acceptable and realisable to have a good resolution for the angles near 0. Before that, an approach would be necessary to avoid the approximations of the extreme angles.

The simulation shows that the cross-correlation was a better method to find the direction of the source, and it confirmed that we need several rotations to obtain a better precision with the small angles.

#### 5.4. ELECTRONIC DESCRIPTION

Working on the two subjects at the same time, it was necessary to develop an electronic which gave the possibility to apply both of the solutions without any problems. The choice to amplify the audio signal, to rectify this signal and to send them separately to the DSPIC was done to keep these different solutions individual. Another reason for this was that both of the solution could eventually work together. For instance, the simulations suggested that the solution of the magnitude solution, which seemed less efficient, could help to start the detection, and the solution time delay of arrival, which works only for the angles between -90° and +90°, could be used to obtain more precision.

#### The preamplifier:

In this project, electret microphones were used as the ears of the robot. They have the advantages to be cheap, simple to use, small, and sensible enough for this application.



Figure 17: Two pins electret microphone

An electret microphone is a microphone with a component called "electrets", which can be likened to a capacitor. Electret microphones give low signals and have to be amplified to obtain a readable signal by our next DSPIC.

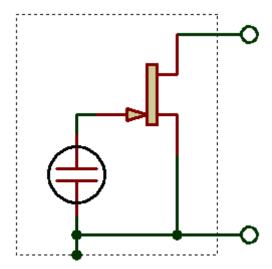


Figure 18: Electronic representation of electret microphone

The output impedance of the electret is very high, and can not be connected directly to high or to very low capacitive impedance. For this reason, it is impossible to directly connect it to a « classic » preamplifier input. It is why it contains a small electronic system responsible for lowering the high output impedance in smaller output impedance therefore rendering it exploitable more easily.

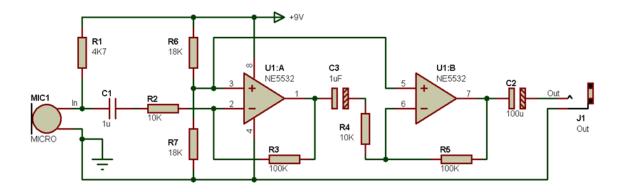


Figure 19: Electronic representation of electret microphone

Our preamplifier will use a symmetric source. Both of the operational amplifiers have an amplification of 10 (+20dB), therefore this preamplifier has an amplification of 100 (+40dB).

These operational amplifiers (NE5532) are precise and are often chosen for sound application.

#### The rectifier:

A half wave rectifier was sufficient to obtain the continuous signal. A diode with a low step was used to not loose an important part of the signal with the rectification. Our amplified signal can reach 3 Volts, and the diode makes only fall the tension of 0.3V.

The second lowpass filter of the simulation was not used, but a smoothing with a capacitor and a resistance replaced it with a better efficiency.

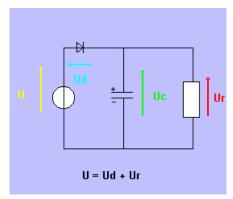


Figure 20: Electronic Amplification circuit

The most important element to keep a good continuous signal was to choose a good compromise between the resistor and the capacitor to obtain a large enough constant time to keep the amplitude at its highest value between two maximum values of the sinusoid signal, and not too large to prevent the signal from changing with the sound.

This is the simulation result of the rectification: with a 3 V signal, a capacitor of  $10\mu F$  and a resistor of 820  $000\Omega$ . Therefore, the discharge constant is:

$$R.C = 8.2 s$$

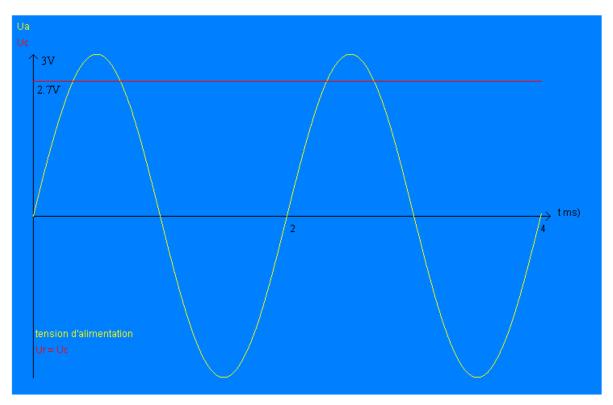


Figure 21: Electronic Amplification circuit

As we can see, this time constant does not permit a discharge between two periods at 440Hz, but after 8.2 seconds, that is available for a future application.

# Validation of the Theory/Constraints:

These electronic parts have enabled to obtain the two sinusoidal signals, and the two continuous signals. Thanks to an A/D converter board in the laboratory and a Simulink application, it was possible to receive the signals in the Matlab workspace and try the algorithms simulated with Matlab.

The conditions of the room were reverberant, so the results were not excellent. The amplitude comparison did not work at all, and the cross- correlation was not precise enough.

But the second method showed a signal with the cross-correlation which presented the same form than the one expected in the simulation, with a maximum giving a delay. This delay was not exactly what it should have been, because the signals were modified by the acoustic of the room. The delay seen with the reception signals is the same that we obtained with the cross-correlation, so it confirmed that the algorithm was good, and only the acoustic field was the problem. The negative

part of the sinusoidal signals was cut but this doesn't perturb the cross-correlation which gives a good delay despite this problem. The problem comes from the fact the source is positive with 0V and 9V and has to be resolved in the optimization that will follow.

Here are the results of a cross-correlation done with the real amplified signals, and then treated by the algorithm made in simulation with two vectors of 100 points:

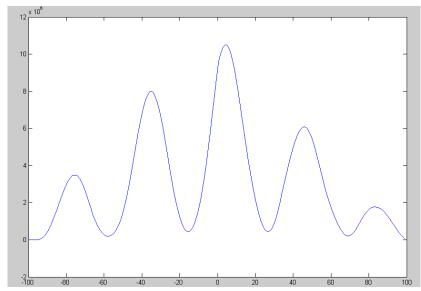


Figure 22: Cross-correlation with the real amplified signals after conversion A/D

Now, we can define conclusions that will precise the parameters of our conception.

-Due to the rectification, we know that the diode makes fall the tension, so the continuous signal amplitude has to be more than the diode voltage value. Little audio signals with small amplitude (less than 0.3 Volts) will not be detected by the DSPIC with the conversion, and the continuous signal will not be helpful for the detection.

-Concerning the cross-correlation, we work with two sinusoidal signals. This mathematic tool is well suited for an audio application, and will permit to work with any other signals, that are not only sinusoidal signals. But working with sinusoidal signals implies constraints to respect.

# 5.5. DESCRIPTION AND USE OF THE DSPIC30F4011-ICM4011



Figure 23: iCM4011 - DSPIC30F4011

ICM4011 is an embeddable board, basically made up of a processor, based on a DSPIC for its multiple connectivity interfaces including RS232, USB, CAN and I2C bus. The rank of application where ICM4011 is suitable and very extensive (digital processing, motor control, bridges between communication interface and human interfaces), so the DSPIC30F4011 is exactly what requires this project.

Electronically, the board is mainly composed of the following blocks:

- Power regulation
- Clock (7.37 MHz oscillator)
- Reset generator
- Processing unit
- Interfaces (GPIOs, ADCs, transceivers, programming and debugging connectors)

This DSPIC is programmed with MICROCHIP, with the USB connection. These are the peripherals and modules used for my application:

- ADCs to convert the signals.
- TIMERS to temporise and organize the steps.
- UART to have a connection with the program values.
- a DSP library to apply the math operations, like the cross-correlation.
- PWM to control the servomotor.

These modules are presented with examples included to be more efficient at the beginning.

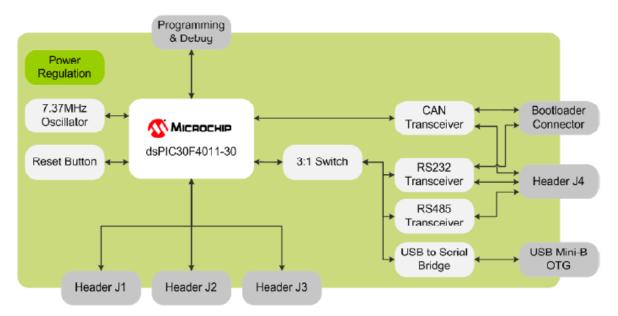


Figure 24: ICM4011 architecture

I will only explain the configurations and the choices selected for each module to be able to do a good detection, and the role of the most important registers I configured for the moment.

The next configurations can change during the tests of the robot, so the values are set up but would evaluate in the next days, therefore it is useless to detail each value of register for the moment.

## -10 BITS HIGH SPEED ANALOG-TO-CONVERTER:

#### Constraint:

10 000 Hz < Fsample < 100 000 Hz

I configured my conversion to keep a good compromise between the number of periods required to calculate the delay for the Time differential of arrival method. The other solution does not need to be rapid in conversion.

This module has six 16-bits registers: ADCON1, ADCON2, ADCON3 are the three ADC10 configuration registers. The 3 other are used to select, and scan different channels.

In fact, with the DSPIC, it was possible to keep two tabs of 150 values. Configuring a small sample time enabled us to obtain more than one period. The register has been configured to obtain a quick conversion with one channel, and to do in two times successively with the two different inputs. I had configured ADCON1, ADCON2 and ADCON3 to obtain a correct sample time. This conversion works with interruptions, and uses the internal clock for the conversion.

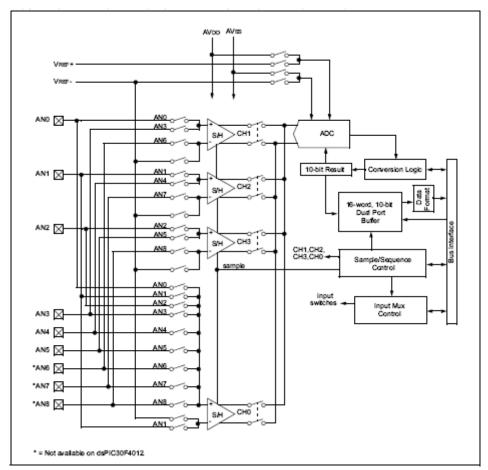


Figure 25 : ADC architecture

Currently, the sample time for the conversion is set up to 20 000Hz.

## -TIMER1:

This timer is a six 16-bits timer configured with the internal clock in of our program, and its use gives the cadence to the required conversions. It permits to capture the 150 values for each signal, to create the audio sound vector. The timer Period has been configured to the lowest value, and permit to enable a conversion as soon as the previous conversion is finished.

This is the architecture of the TIMER module:

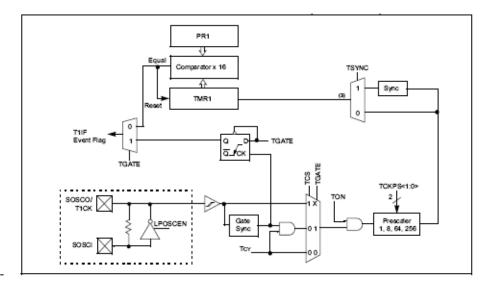


Figure 26: TIMER1 architecture

Timer23 and Timer45 are also used in the algorithm. They are used to temporize, and give a cadence to the complete program. Their performance is close to this one.

# -UNIVERSAL ASYNCHRONOUS RECEIVER TRANSMITTER (UART) MODULE

This module is used to 8 data connection which only works as a transmitter in this case. This is connected by USB to the computer. The aim is to have a visualization of these values that are running inside of the microcontroller and to display it with a HyperTerminal. The Baud rate of the connection is set to 115 200 Hz.

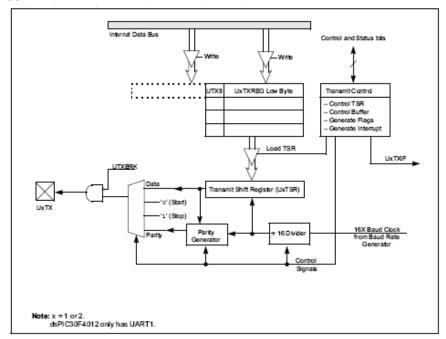


Figure 27: UART architecture

This module is a 5 16-bits registers.

U1BRG is a16 bits prescaler used to accurate the timer.

I have set the U1TXREG to permit to use the UART as a transmitter

The Baud Rate is adjusted with the U1MODE register, with the next relation:

$$BandRate = \frac{Fcy}{16 \times (BRG + 1)}$$

## -THE DSP LIBRARY

This incorporated library enabled us to apply the cross-correlation with our two vectors of 150 values. This library contains a lot of useful functions for processing signal, and is very complete. However, this kind of computation could easily become heavy for the processor, and take too much time for an application. In this project, this cross-correlation is the heaviest part of the code so we have to organize this code to not perturb the continuously program.

# - MOTOR CONTROL (PWM Module) - SERVOMOTOR

This was used to create a control signal for the servomotor. Like a lot of servomotors, this one needs a command signal each 20ms maximum. This command is a logic value of '1' during approximately 1ms to 2ms, and this time corresponds to an angle the servomotor will maintain throughout the time where the command is maintained.

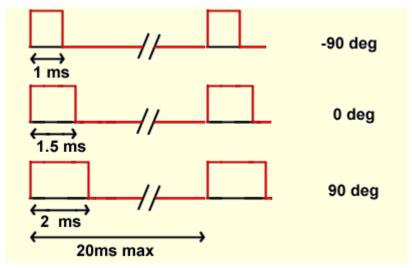


Figure 28: Servomotor command signals

The most important thing was to set the command signal every 15-20 ms like the figure 28 shows.

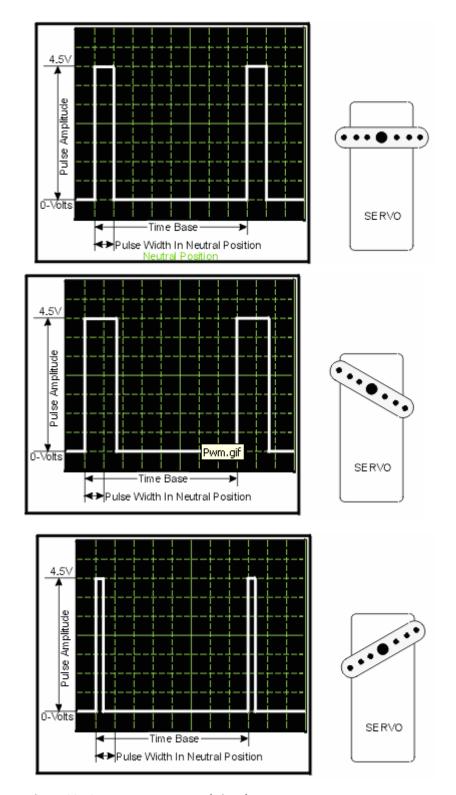


Figure 29 : Servomotor command signals

In this project, it corresponds to 16 milliseconds. After that, a calibration is necessary to set the good angles with the duration at the logic value "1" between 1ms and 2 ms in order to adjust it perfectly with what we want.



Figure 30 : Servomotor used-FUTABA S3003

This is the current version of the project. The microphones are not fixed to permit other positions for the next tests.

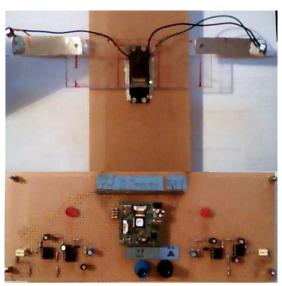


Figure 31 : current version of the project

## 5.6. DESCRIPTION OF THE AUTOMATIC SYSTEM

The algorithm will use both of the two solutions implemented.

The Time Differential of Arrival is able to find an angle between -90° and 90°.

If the source is located behind or in front of the robot, the rotations have to be reversed. The amplitude comparison will help us to know this important indication.

So a first step with the continuous signal will be to obtain the continuous values when the robot is turned at +90° and / or at -90°.

After that, we should know if the source is located behind or in front of the head. The cross-correlation will do the next part of the work searching the exact angle, by applying his algorithm five times to benefit to the advantage of the small angle precision.

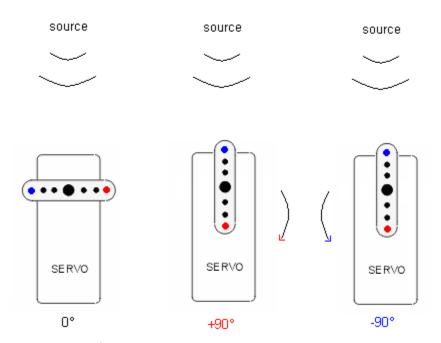


Figure 32: Diagram of the servomotor movement below the automatic algorithm

<sup>\*</sup>A third acquisition in the first case (0°) would be useful if the source is located near +90° or near -90°, because these cases are more ambiguous, and could be a problem for the detection with the automatic system shown on the next diagram.\*

The next graph shows the current algorithm is made:

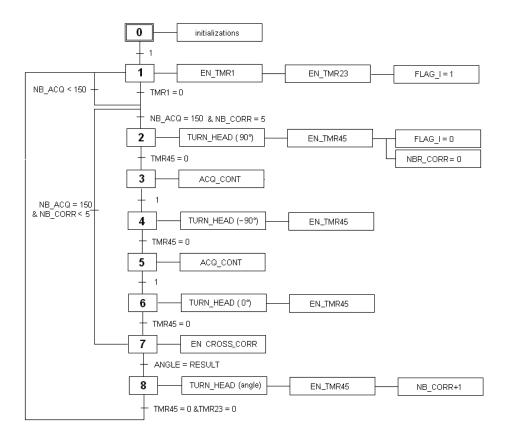


Figure 33: Diagram Automatic functionality of the algorithm

- -TIMER1 is used to give the cadence to the sinusoidal acquisitions.
- -FLAG\_I stops this acquisition after 150 iterations.
- -TURN is the function that permits to rotate the axis of the microphones with the PWM module.
- -TIMER45 is used to temporize this rotation.
- -AC\_CONT corresponds to the continuous acquisitions.
- -EN\_CROSS\_CORR enables the cross-correlation and permits to set the new angle calculated
- NB\_CORR permits to avoid the two rotations in order to obtain the continuous acquisitions and to give more precision angles with the cross-correlation repeating it 5 times.

Now, the project contains all the required parts to apply its task. Optimization of the mechanic system and of the different configurations will be done in the next days. All these configurations and this algorithm are not fixed and could change soon if the results are not convincing.

The problem of this algorithm is that it is reset permanently. To realize a monitoring of the audio source, we should add a Kalman algorithm that would avoid the steps between 2 and 6 on the previous scheme. It would permit to search continuously the source without turning in the two sides before, but this work would take too much time for me, and I prefer work on the reliability of the detection, doing my tests for the next days.

## 6. CONCLUSIONS

To conclude, the conception work is finished, and the tests have only to optimize what was done before, therefore the audio localization must be operative soon.

I am very satisfied with this project, because it required a research part, and an application based on its theory. It is a complete work to realize this system, because the work was not only technical, but also focused on the preparation to the final implementation. All the research part and the simulations were really interesting to do. However, it is difficult to have a real idea of the reliability with the sound, because it is very complicated to evaluate.

This internship was for me an opportunity to finalize my studies applying what I learned during the last years, and it was what happened. I taught a lot about audio concepts during the research part, and it was what I preferred. Implement this detection algorithm from the theory was very rewarding too. The URUS project seems to be a very interesting and complete project that offers a lot of different applications, but my work was not directly linked to it, because this first step towards the audio localization should be designed alone at the beginning. Following this project, it would be interesting to realize the monitoring of the source and to include it on the robots of the URUS project.

I wanted to realize a project to learn more about the audio applications because this is currently the field that interests me the most, and this internship was an excellent opportunity to realize it.

## 7. REFERENCES

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   Rolando R. Henríquez Antonio Paulo G. M. Moreira \*, Paulo J. C. G. da Costa \*\*
- \* University of Pinar dei Río, Department of Telecommunications and Eiectronics, Cuba.
- \* \* University of Porto, Facuity of Engineering, Portugal.
- Algoritmo de localizacioasiva y seguimiento bidimensional para una fuente acustica Ricardo Alzate Castaño – German Catellanós Domínguez
- \*Grupo de Sistemas no lineas, redes y control
- \*\*Grupo de control y procesamiento digital de señales Universidad de Columbia – Universidad de napóles (Italia)
- Ubiquitous Networking Robotics in Urban Settings URUS-045062
- Audio Systems Array Processing Toolbox <u>Kevin D. Donohue</u>
   Department of Electrical and Computer Engineering Audio Systems Laboratory
   University of Kentucky
- -Acoustique des salles R.Atinza-Suzel Balez-Ecole Nationale Superieure d'Architecture de Grenoble
- -http://fribotte.free.fr
- -http://cnx.org/content/m12510/latest/

# 8. ANNEXES

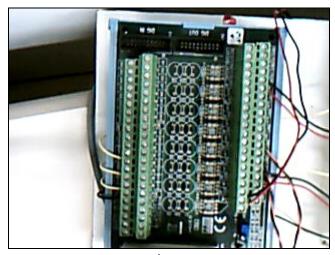


Figure 34 : A/D converter card

This is the board used to validate the theory with the real signals. It permits to convert my continuous and sinusoid signals taken from the electronic circuit, and to send it on the Matlab workspace. After that, I can apply my simulation algorithm and test it, but only in this small room which has too much reverberation to obtain precision.

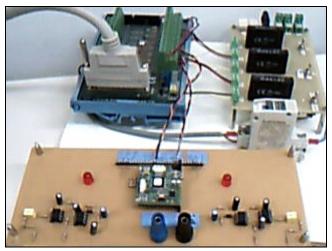


Figure 35: my project working with this card.

The next scheme resumes the entire electronic part described before:

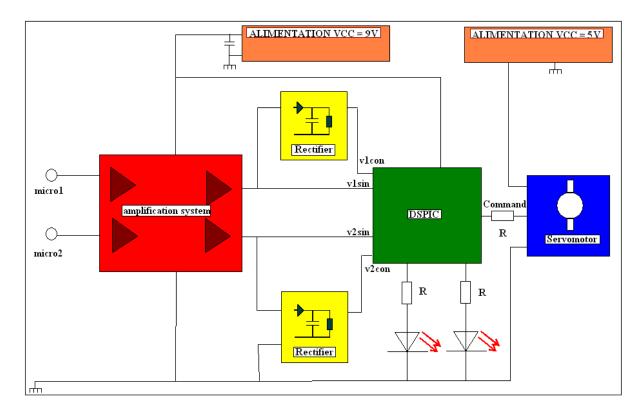


Figure 36: Full electronic diagram.

The amplification system and the rectifier are presented in 5.4.

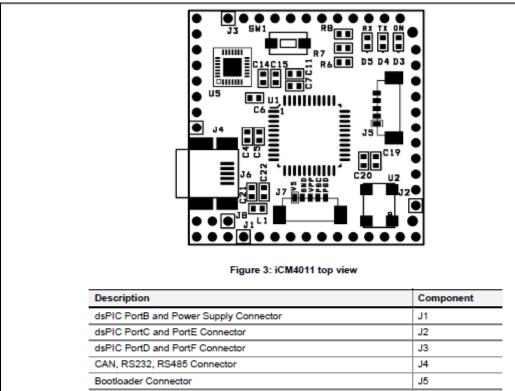
 $R = 1000 \Omega$ 

 $C = 10\mu F$ 

v1sin, v2sin are the amplified sinusoid signals.

v1con and v2con are the continuous rectified signals.

These signals are linked on the first analogical inputs ANO,AN1,AN2,AN3.



 dsPIC PortB and Power Supply Connector
 J1

 dsPIC PortC and PortE Connector
 J2

 dsPIC PortD and PortF Connector
 J3

 CAN, RS232, RS485 Connector
 J4

 Bootloader Connector
 J5

 USB Mini-B Connector
 J6

 Program and Debug Connector
 J7

 Serial Configuration Jumpers
 J8

 ON LED
 D3

 TX USB LED
 D4

 RX USB LED
 D5

 Reset pushbutton
 SW1

Figure 37: iCM4011 TOP VIEW