

# On the module of effective relations of a standard algebra

BY FRANCESC PLANAS-VILANOVA

Dept. Matemàtica Aplicada I. ETSEIB-UPC. Diagonal 647, E-08028 Barcelona.

## 1 Introduction

Let  $A$  be a commutative ring. We denote by a standard  $A$ -algebra a commutative graded  $A$ -algebra  $U = \bigoplus_{n \geq 0} U_n$  with  $U_0 = A$  and such that  $U$  is generated as an  $A$ -algebra by the elements of  $U_1$ . Take  $\underline{x}$  a set of (possibly infinite) generators of the  $A$ -module  $U_1$ . Let  $V = A[\underline{t}]$  be the polynomial ring with as many variables  $\underline{t}$  (of degree one) as  $\underline{x}$  has elements and let  $f : V \rightarrow U$  be the graded free presentation of  $U$  induced by the  $\underline{x}$ . For  $n \geq 2$ , we will call *module of effective  $n$ -relations* the  $A$ -module  $E(U)_n = \ker f_n / V_1 \cdot \ker f_{n-1}$ . The minimum positive integer  $r \geq 1$  such that the effective  $n$ -relations are zero for all  $n \geq r + 1$  is known to be an invariant of  $U$ . It is called the relation type of  $U$  and is denoted by  $\text{rt}(U)$ . For an ideal  $I$  of  $A$ , we define  $E(I)_n = E(\mathcal{R}(I))_n$  and  $\text{rt}(I) = \text{rt}(\mathcal{R}(I))$ , where  $\mathcal{R}(I) = \bigoplus_{n \geq 0} I^n t^n \subset A[t]$  is the Rees algebra of  $I$ .

In this paper, we give two descriptions of the  $A$ -module of effective  $n$ -relations. In terms of André-Quillen homology we have that  $E(U)_n = H_1(A, U, A)_n$  (see 2.3). It turns out that this module does not depend on the chosen  $\underline{x}$ . In terms of Koszul homology we prove that  $E(U)_n = H_1(\underline{x}; U)_n$  (see 2.4). Using these characterizations, we show later some properties on the module of effective  $n$ -relations and the relation type of a graded algebra. Meanwhile, our line of disquisition approaches us to several earlier works on the subject (see [2], [5], [6], [7], [9], [10], [13] and [14]).

Section 2 is devoted to state the above mentioned (co)homological characterizations of the  $A$ -module of effective  $n$ -relations and compare them with some already known results. In section 3, we give some applications. The interest is specially centered on the module of  $n$ -relations of powers of an ideal and the module of  $n$ -relations of Veronese subrings. In particular, one concludes that  $\text{rt}(U^{(p)}) \leq \text{rt}(U_+^p)$  but, in general,  $\text{rt}(U^{(p)}) \neq \text{rt}(U_+^p)$ , where  $U_+ = \bigoplus_{n > 0} U_n$  is the irrelevant ideal of  $U$  and  $U^{(p)} = \bigoplus_{n \geq 0} U_{np}$  is the  $p$ -th Veronese subring of  $U$  (see 3.12). Finally, in section 4 we characterize, in terms of a system of generators, which ideals have module of effective  $n$ -relations zero. In particular, a new characterization of sequences of linear type is obtained.

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e-mail: planas@ma1.upc.es

## 2 Homological description of effective relations

Let  $U = \bigoplus_{n \geq 0} U_n$  be a standard  $A$ -algebra. Put  $U_+ = \bigoplus_{n > 0} U_n$  its irrelevant ideal. If  $E = \bigoplus_{n \geq 1} E_n$  is a graded  $U$ -module and  $r \geq 1$ , we denote by  $F_r(E)$  the submodule of  $E$  generated by the elements of degree at most  $r$ . Put (possibly infinite)

$$s(E) = \min\{r \geq 1 \mid E_n = 0 \text{ for all } n \geq r + 1\}.$$

Since  $(E/U_+E)_n = E_n/U_1E_{n-1}$ , then the following three conditions are equivalent:  $F_r(E) = E$ ,  $s(E/U_+E) \leq r$ , and,  $E_n = U_1E_{n-1}$  for all  $n \geq r + 1$ .

Given  $h : W \rightarrow U$ , a surjective graded morphism of standard  $A$ -algebras, we are interested in the graded  $A$ -module  $E(h) = \ker h/W_+ \cdot \ker h$ . The following is an elementary, but useful lemma:

**Lemma 2.1** *Let  $f : V \rightarrow U$  and  $g : W \rightarrow V$  be two surjective graded morphisms of standard  $A$ -algebras. Then, there exists a graded exact sequence of  $A$ -modules:*

$$E(g) \rightarrow E(f \circ g) \xrightarrow{g} E(f) \rightarrow 0. \quad (1)$$

*In particular,  $s(E(f)) \leq s(E(f \circ g)) \leq \max(s(E(f)), s(E(g)))$ . Moreover, if  $V$  and  $W$  are two symmetric algebras, then  $E(g)_n = 0$  and  $E(f \circ g)_n = E(f)_n$  for all  $n \geq 2$ .*

*Proof.* Exact sequence (1) follows from the snake lemma applied to the commutative diagram:

$$\begin{array}{ccccccc} & & W_1 \otimes \ker g_{n-1} & \longrightarrow & W_1 \otimes \ker(f \circ g)_{n-1} & \xrightarrow{1 \otimes g_{n-1}} & W_1 \otimes \ker f_{n-1} & \longrightarrow & 0 \\ & & \downarrow & & \downarrow & & \downarrow & & \\ 0 & \longrightarrow & \ker g_n & \longrightarrow & \ker(f \circ g)_n & \xrightarrow{g_n} & \ker f_n & \longrightarrow & 0 \end{array}$$

Moreover, if  $W = \mathbf{S}(W_1)$  and  $V = \mathbf{S}(V_1)$ , then  $\ker g = F_1(\ker g)$ . ■

**Definition 2.2** Let  $U$  be a standard  $A$ -algebra and let  $\alpha : \mathbf{S}(U_1) \rightarrow U$  be the graded morphism of standard  $A$ -algebras induced by the identity on  $U_1$ . Given  $n \geq 2$ , the *module of effective  $n$ -relations* of  $U$  is defined to be  $E(U)_n = \ker \alpha_n/U_1 \cdot \ker \alpha_{n-1}$ . Put  $E(U) = \bigoplus_{n \geq 2} E(U)_n = \ker \alpha/\mathbf{S}_+(U_1) \cdot \ker \alpha$ . Then, the *relation type* of  $U$  is defined to be  $\text{rt}(U) = s(E(U))$ . Remark that if  $h : W \rightarrow U$  is any *symmetric presentation* of  $U$ , that is,  $W$  is a symmetric algebra and  $h$  is a surjective graded morphism of standard  $A$ -algebras, then  $h$  can be factorized into  $h = f \circ g$ , where  $g : \mathbf{S}(W_1) \rightarrow \mathbf{S}(U_1)$  is the induced morphism by  $h_1 : W_1 \rightarrow U_1$  and  $f = \alpha$ . Thus, applying Lemma 2.1,  $E(U)_n = E(h)_n$  for all  $n \geq 2$  and  $s(E(U)) = s(E(h))$ . If  $I$  is an ideal of  $A$ , the *module of effective  $n$ -relations* of  $I$  is  $E(I)_n = E(\mathcal{R}(I))_n$  and the *relation type* of  $I$  is  $\text{rt}(I) = \text{rt}(\mathcal{R}(I))$ , where  $\mathcal{R}(I) = \bigoplus_{n \geq 0} I^n t^n$  is the Rees algebra of  $I$ . An ideal with module of effective 2-relations zero is called *syzygetic*. An ideal of relation type 1 is called of *linear type* (see, e.g., [8]).

**Remark 2.3** In fact, sequence (1) is part of a long exact sequence of André-Quillen homology. Indeed, the Jacobi-Zariski sequence associated to the morphisms  $g : W \rightarrow V$  and  $f : V \rightarrow U$ , with respect to the  $U$ -module  $A = U/U_+$ , gives rise to

$$\dots \rightarrow H_1(W, V, A) \rightarrow H_1(W, U, A) \rightarrow H_1(V, U, A) \rightarrow H_0(W, V, A) \rightarrow \dots$$

Using  $H_1(A, A/I, M) = I/I^2 \otimes M$  and  $H_0(A, A/I, M) = 0$  for any ideal  $I$  of  $A$  and any  $A/I$ -module  $M$ , we get (1) (see [1]).

On the other hand, the Jacobi-Zariski sequence associated to the morphisms  $A \rightarrow \mathbf{S}(U_1)$  and  $\alpha : \mathbf{S}(U_1) \rightarrow U$ , with respect to the  $U$ -module  $A$ , is

$$\dots \rightarrow H_1(A, \mathbf{S}(U_1), A) \rightarrow H_1(A, U, A) \rightarrow H_1(\mathbf{S}(U_1), U, A) \rightarrow H_0(A, \mathbf{S}(U_1), A) \rightarrow \dots$$

Using  $H_1(A, \mathbf{S}(U_1), A) = 0$  and  $H_0(A, \mathbf{S}(U_1), A) = H_0(A, U, A)$ , we get the graded isomorphism of  $A$ -modules  $H_1(A, U, A) = H_1(\mathbf{S}(U_1), U, A) = \ker \alpha / \mathbf{S}_+(U_1) \cdot \ker \alpha$ . Thus,  $H_1(A, U, A)_n = E(U)_n$  is the module of effective  $n$ -relations of  $U$ . In particular,  $\text{rt}(U) = \text{s}(H_1(A, U, A))$ .

There is also a description of the module of effective  $n$ -relations in terms of Koszul (co)homology. Let  $f : V \rightarrow U$  be a surjective graded morphism of standard  $A$ -algebras. For each  $p \geq 1$ , consider the map  $V_p \otimes U \rightarrow U$  sending  $x \otimes y$  to  $f_p(x)y$  and let  $\mathcal{K}(f, p)$  be the Koszul complex associated to this  $U$ -linear form (see 1.6.1 of [3]). Since it is an homogeneous form of degree zero,  $\mathcal{K}(f, p)$  is a complex of graded  $U$ -modules having differentials homogeneous morphisms of degree zero. Concretely,  $\mathcal{K}(f, p) = \bigoplus_{n \geq 0} \mathcal{K}(f, p)_n$  where  $\mathcal{K}(f, p)_n$  is the following subcomplex ( $U_n = 0$  for  $n < 0$ ):

$$\dots \longrightarrow \Lambda_2^A(V_p) \otimes_A U_{n-2p} \xrightarrow{\partial_2} V_p \otimes_A U_{n-p} \xrightarrow{\partial_1} U_n \longrightarrow 0,$$

where  $\partial_q((x_1 \wedge \dots \wedge x_q) \otimes y) = \sum_{i=1}^q (-1)^{i-1} x_1 \wedge \dots \wedge \widehat{x}_i \wedge \dots \wedge x_q \otimes f_p(x_i)y$ , for all  $x_i \in V_p$  and  $y \in U_{n-qp}$ . In particular, for every  $q \geq 0$ ,  $H_q(\mathcal{K}(f, p))$  is a graded  $A$ -module with  $H_q(\mathcal{K}(f, p))_n = H_q(\mathcal{K}(f, p)_n)$ .

**Theorem 2.4** *Let  $f : V \rightarrow U$  and  $g : W \rightarrow V$  be two surjective graded morphisms of standard  $A$ -algebras. Let  $\alpha : \mathbf{S}(U_1) \rightarrow U$  be the canonical morphism and suppose  $W$  is a symmetric algebra. Given  $(n \geq 2, p = 1)$  or  $(n \geq 2p + 1, p \geq 2)$ , there are isomorphisms of  $A$ -modules*

$$H_1(\mathcal{K}(f, p)_n) = \frac{\ker(f \circ g)_n}{W_p \cdot \ker(f \circ g)_{n-p}} = \frac{\ker \alpha_n}{\mathbf{S}_p(U_1) \cdot \ker \alpha_{n-p}}.$$

*In particular, the module of effective  $n$ -relations of  $U$  is  $E(U)_n = H_1(\mathcal{K}(f, 1)_n)$  and the relation type of  $U$  is  $\text{rt}(U) = \text{s}(H_1(\mathcal{K}(f, 1)))$ .*

*Proof.* Put  $h = f \circ g$ . Since  $n - p \geq p$ , then  $W_{n-p} \cdot \ker g_p \subset W_p \cdot \ker g_{n-p} \subset W_p \cdot \ker h_{n-p}$ . Applying the snake lemma to the commutative diagram of exact rows

$$\begin{array}{ccccccc}
\ker g_p \otimes W_{n-p} \oplus W_p \otimes \ker h_{n-p} & \longrightarrow & W_p \otimes W_{n-p} & \xrightarrow{g_p \otimes h_{n-p}} & V_p \otimes U_{n-p} & \longrightarrow & 0 \\
& & \downarrow & & \downarrow & & \\
0 & \longrightarrow & \ker h_n & \longrightarrow & W_n & \xrightarrow{h_n} & U_n \longrightarrow 0
\end{array}$$

we get the exact sequence of  $A$ -modules

$$0 \rightarrow (g_p \otimes h_{n-p})(\mathcal{Z}_1(1_W, p)_n) \rightarrow \mathcal{Z}_1(f, p)_n \rightarrow \ker h_n / W_p \cdot \ker h_{n-p} \rightarrow 0,$$

where  $\mathcal{Z}_1(1_W, p)_n$ ,  $\mathcal{Z}_1(f, p)_n$  stand for the  $n$ -th component of the 1-cycles module of  $\mathcal{K}(1_W, p)$ ,  $\mathcal{K}(f, p)$ . If  $\mathcal{Z}_1(1_W, p)_n = \mathcal{B}_1(1_W, p)_n$  (the  $n$ -th component of the 1-boundaries module of  $\mathcal{K}(1_W, p)$ ), then  $(g_p \otimes h_{n-p})(\mathcal{Z}_1(1_W, p)_n) = \mathcal{B}_1(f, p)_n$ . Thus, the first isomorphism is demonstrated provided we prove  $H_1(\mathcal{K}(1_W, p))_n = 0$  for a symmetric algebra  $W$  (see next lemma). In particular, if we take  $V = U$  and  $f = 1_U$ , then  $h = f \circ g = g$  and one of the possible choices of  $h$  is the canonical morphism  $\alpha$ . Hence, applying twice the first equality to  $\alpha$  and to any  $h : W \rightarrow U$  arising from a symmetric algebra  $W$ , we have

$$H_1(\mathcal{K}(1_U, p)_n) = \frac{\ker \alpha_n}{\mathbf{S}_p(U_1) \cdot \ker \alpha_{n-p}} = \frac{\ker h_n}{W_p \cdot \ker h_{n-p}}. \blacksquare$$

**Lemma 2.5** *Let  $M$  be an  $A$ -module and  $W = \mathbf{S}(M)$  the symmetric algebra of  $M$ . Then, for  $(n \geq 1, p = 1)$  or  $(n \geq 2p + 1, p \geq 2)$ ,  $H_1(\mathcal{K}(1_W, p))_n = 0$ .*

*Proof.* Put  $\mathbf{T}(M)$  the tensorial algebra of  $M$  and  $q = n - p$ . Applying the snake lemma to the commutative diagram of exact rows

$$\begin{array}{ccccccc}
& & \mathbf{T}_p(M) \otimes \mathbf{T}_q(M) & \xlongequal{\quad} & \mathbf{T}_n(M) & \longrightarrow & 0 \\
& & \downarrow v & & \downarrow \varepsilon & & \\
0 & \longrightarrow & \ker \omega & \longrightarrow & W_p \otimes W_q & \xrightarrow{\omega} & W_n \longrightarrow 0
\end{array}$$

we get the exact sequence  $0 \rightarrow \ker v \rightarrow \ker \varepsilon \xrightarrow{v} \ker \omega \rightarrow 0$ . Thus,  $\mathcal{Z}_1(1_W, p)_n = \ker \omega = v(\ker \varepsilon)$  is the  $A$ -module generated by the elements

$$(x_1 \cdots x_{p-1} x_p) \otimes (y_1 y_2 \cdots y_q) - (x_1 \cdots x_{p-1} y_1) \otimes (x_p y_2 \cdots y_q),$$

where  $x_i, y_j \in M$  and  $x_1 \cdots x_p$  stands for the product in  $W = \mathbf{S}(M)$ . Clearly, if  $(n \geq 1, p = 1)$ , then  $\mathcal{Z}_1(1_W, p)_n = \mathcal{B}_1(1_W, p)_n$ . Suppose  $(n \geq 2p + 1, p \geq 2)$ , i.e.,  $q > p$ . Then,  $H_1(\mathcal{K}(1_W, p))_n = 0$  follows from the equality:

$$\begin{aligned}
& (x_1 \cdots x_p) \otimes (y_1 \cdots y_q) - (x_1 \cdots x_{p-1} y_1) \otimes (x_p y_2 \cdots y_q) = \\
& (x_1 \cdots x_p) \otimes (y_1 \cdots y_q) - (y_2 \cdots y_{p+1}) \otimes (x_1 \cdots x_p y_1 y_{p+2} \cdots y_q) + \\
& (y_2 \cdots y_{p+1}) \otimes (x_1 \cdots x_{p-1} y_1 x_p y_{p+2} \cdots y_q) - (x_1 \cdots x_{p-1} y_1) \otimes (x_p y_2 \cdots y_q). \blacksquare
\end{aligned}$$

**Remark 2.6** Let  $f : \mathbf{S}(F) \rightarrow \mathbf{S}(M)$  be the induced morphism on the symmetric algebras by an epimorphism  $\pi : F \rightarrow M$  of  $A$ -modules. Then, the last three nonzero terms of  $\mathcal{K}(f, p)_{p+q}$ ,  $q \geq p \geq 1$ , define the sequence:

$$\mathbf{\Lambda}_2^A(\mathbf{S}_p(F)) \otimes_A \mathbf{S}_{q-p}(M) \xrightarrow{\partial_2} \mathbf{S}_p(F) \otimes_A \mathbf{S}_q(M) \xrightarrow{\partial_1} \mathbf{S}_{p+q}(M) \rightarrow 0, \quad (2)$$

with  $\partial_2((x_1 \cdots x_p) \wedge (y_1 \cdots y_p) \otimes z) = (y_1 \cdots y_p) \otimes f(x_1 \cdots x_p)z - (x_1 \cdots x_p) \otimes f(y_1 \cdots y_p)z$  and  $\partial_1((x_1 \cdots x_p) \otimes t) = f(x_1 \cdots x_p)t$ ,  $x_i, y_j \in F$ ,  $z \in \mathbf{S}_{q-p}(M)$  and  $t \in \mathbf{S}_q(M)$ .

On the other hand, Micali and Roby defined (in [10]) the sequence of  $A$ -modules

$$\mathbf{T}_{p+q}^A(F) \xrightarrow{\lambda} \mathbf{S}_p(F) \otimes_A \mathbf{S}_q(M) \xrightarrow{\mu} \mathbf{S}_{p+q}(M) \rightarrow 0, \quad (3)$$

with  $\lambda(x_1 \otimes \dots \otimes x_{p+q}) = (x_1 \cdots x_p) \otimes f(x_{p+1} \cdots x_{p+q}) - (x_1 \cdots x_{p-1} x_{p+1}) \otimes f(x_p x_{p+2} \cdots x_{p+q})$  and  $\mu = \partial_1$ . By a similar argument to that one of the end of Lemma 2.5, one can prove that  $\text{Im} \partial_2$  is always contained in  $\text{Im} \lambda$  and that if  $q > p$ , then both modules are equal. Thus, the exactness of (2) (settled by Theorem 2.4 either for  $q \geq p = 1$  or either for  $q > p \geq 2$ ) assures the exactness of (3). Nevertheless, if  $q = p \geq 2$ , then (2) might not be exact (see proof of Lemma 3.8) while (3) is always exact (see [10]).

**Corollary 2.7** *Let  $U$  be a standard  $A$ -algebra and let  $\underline{x}$  be a (possibly infinite) set of forms of degree one generating  $U_+$ . If  $H_1(\underline{x}; U)$  denotes the first Koszul homology group associated to  $\underline{x}$ , then  $E(U)_n = H_1(\underline{x}; U)_n$  for all  $n \geq 2$ . In particular,  $\text{rt}(U) = \text{s}(H_1(\underline{x}; U))$ .*

*Proof.* Take in Theorem 2.4,  $f : \mathbf{S}(F) \rightarrow U$  induced by a free presentation  $F \rightarrow U_1$  associated to  $\underline{x}$ . Then,  $\mathcal{K}(f, 1) = \mathcal{K}(\underline{x}; U)$  is the usual Koszul complex associated to the elements  $\underline{x}$ . ■

**Remark 2.8** Using duality between Koszul homology and cohomology (see 1.6.10 of [3]) we recover Schenzel's result  $\text{rt}(U) = \text{s}(H^{d-1}(\underline{x}; U)) + d$ , when  $\underline{x}$  is finite of cardinal  $d$  (see [13]).

**Remark 2.9** Let  $I$  be an ideal of  $A$  and  $\mathcal{R}(I) = \bigoplus_{n \geq 0} I^n t^n$  its Rees algebra. Take  $f = 1_{\mathcal{R}}$ , the identity on  $\mathcal{R}(I)$ , in Theorem 2.4. Then,

$$\mathcal{Z}_1(f, p)_n = \ker(I^p \otimes I^{n-p} \rightarrow I^n) = \text{Tor}_1^A(A/I^p, I^{n-p}),$$

which is known to be isomorphic to  $Z_1 \cap I^{n-p}F/I^{n-p}Z_1$ , where  $0 \rightarrow Z_1 \rightarrow F \rightarrow I^p \rightarrow 0$  is a presentation of  $I^p$  with  $F$  free (see, e.g., 2.5 of [8]). Moreover, via the same isomorphism

$$\mathcal{B}_1(f, p)_n = \text{Im}(\mathbf{\Lambda}_2^A(I^p) \otimes I^{n-2p} \rightarrow I^p \otimes I^{n-p}) = I^{n-2p}B_1/I^{n-p}Z_1.$$

Thus, by Theorem 2.4, we have

$$H_1(f, p)_n = \frac{\ker \alpha_n}{\mathbf{S}_p(I) \cdot \ker \alpha_{n-p}} = \frac{Z_1 \cap I^{n-p}F}{I^{n-2p}B_1},$$

which reproves an earlier result of Kühl (see 1.2 of [9]).

### 3 Some applications

The purpose of this section is to give some applications of Lemma 2.1 and Theorem 2.4.

**Example 3.1** CYCLIC STANDARD ALGEBRAS Let  $U$  be a cyclic standard  $A$ -algebra generated by a degree one form  $x \in U_1$ . Put  $f : A[t] \rightarrow U$  with  $f(t) = x$  in Theorem 2.4. Then,  $E(U)_n = H_1(\mathcal{K}(f, 1)_n) = (0 : x) \cap U_{n-1}$  and  $\text{rt}(U) = \min\{r \geq 1 \mid (0 : x^{r+1}) = (0 : x^r)\}$ .

**Example 3.2** CHANGE OF BASE RING Let  $U$  be a standard  $A$ -algebra and let  $\varphi : A \rightarrow B$  be a homomorphism of rings. Take  $f : V \rightarrow U$  any surjective graded morphism of standard  $A$ -algebras in Theorem 2.4. It induces  $f \otimes 1 : V \otimes_A B \rightarrow U \otimes_A B$ . Since  $\mathcal{K}(f \otimes 1, p)_n = \mathcal{K}(f, p)_n \otimes_A B$ , one can deduce  $\text{rt}(U \otimes_A B) \leq \text{rt}(U)$ . If  $\varphi$  is flat, then  $H_1(\mathcal{K}(f \otimes 1, p)_n) = H_1(\mathcal{K}(f, p)_n) \otimes_A B$ . In particular,  $\text{rt}(U) = \sup\{\text{rt}(U_{\mathfrak{p}}) \mid \mathfrak{p} \in \text{Spec}(A)\}$ . If  $\varphi$  is faithfully flat, then  $\text{rt}(U \otimes_A B) = \text{rt}(U)$ . In particular, via the Nagata morphism  $A \rightarrow A[t]_{\mathfrak{m}[t]} = B$ ,  $\mathfrak{m}$  a maximal ideal of  $A$ , one can always suppose, when calculating the relation type of  $U$ , that  $A$  is a local ring of maximal  $\mathfrak{m}$  and residual field  $A/\mathfrak{m} = k$  infinite.

Let  $I$  be an ideal of  $A$  and  $\mathcal{G}(I) = \bigoplus_{n \geq 0} I^n / I^{n+1}$  its associated graded ring. Since  $\mathcal{G}(I) = \mathcal{R}(I) \otimes_A A/I$ , then (by 3.2)  $\text{rt}(\mathcal{G}(I)) \leq \text{rt}(\mathcal{R}(I)) = \text{rt}(I)$ . In [14], Valla showed that if  $\text{rt}(\mathcal{G}(I)) = 1$ , then  $\text{rt}(I) = 1$  too. Next proposition is a generalization of that result.

**Proposition 3.3** *If  $I$  is an ideal, there exists  $E(I)_{n+1} \rightarrow E(I)_n \rightarrow E(\mathcal{G}(I))_n \rightarrow 0$ , exact sequence of  $A$ -modules, for all  $n \geq 2$ . In particular, if  $\text{rt}(I) < \infty$ , then  $\text{rt}(\mathcal{G}(I)) = \text{rt}(I)$ .*

*Proof.* If  $1_{\mathcal{R}}$ ,  $1_{\mathcal{G}}$ , denote the identity on  $\mathcal{R}(I)$ ,  $\mathcal{G}(I)$ , respectively, then for each  $n \geq 1$ , there is an exact sequence of complexes  $\mathcal{K}(1_{\mathcal{R}}, 1)_{n+1} \rightarrow \mathcal{K}(1_{\mathcal{R}}, 1)_n \rightarrow \mathcal{K}(1_{\mathcal{G}}, 1)_n \rightarrow 0$ . Since the 0-th component of the first morphism is injective and  $H_0(\mathcal{K}(1_{\mathcal{R}}, 1)_{n+1}) = 0$ , we have enough to deduce the exact sequence  $E(I)_{n+1} \rightarrow E(I)_n \rightarrow E(\mathcal{G}(I))_n \rightarrow 0$ . In particular, if  $\text{rt}(I) < \infty$ , one can proceed by decreasing induction. ■

**Remark 3.4** If  $\text{rt}(I) = \infty$ , then 3.3 might be false as Example 4.4 of [11] shows. Note that, as a consequence of next proposition, we will see that for the irrelevant ideal of a standard algebra hypothesis  $\text{rt}(I) < \infty$  can be removed.

**Proposition 3.5** *Let  $U$  be a standard  $A$ -algebra and let  $U_+ = \bigoplus_{n > 0} U_n$  denote its irrelevant ideal. Take  $f : W \rightarrow U$  a surjective graded morphism of standard  $A$ -algebras with  $W$  a symmetric algebra. Given  $(n \geq 2, p = 1)$  or  $(n \geq 3, p \geq 2)$ , the module of effective  $n$ -relations of  $U_+^p$  is*

$$E(U_+^p)_n = \bigoplus_{q \geq np} \frac{\ker f_q}{W_p \cdot \ker f_{q-p}}.$$

*In particular,  $E(U_+^p)_n = 0$  if, and only if,  $\text{rt}(U_+^p) \leq n - 1$ . For  $p = 1$ ,  $\text{rt}(U) = \text{rt}(U_+)$ . Moreover,  $U_+$  is a syzygetic ideal if, and only if,  $U$  is a symmetric algebra.*

*Proof.* Let  $g : \mathbf{S}^U(U_p \otimes_A U) \rightarrow \mathcal{R}(U_+^p)$  be induced by the natural epimorphism of  $A$ -modules  $U_p \otimes_A U \rightarrow U_+^p$ . It is not hard to see  $\mathcal{K}(g, 1)_n = \bigoplus_{i \geq 0} \mathcal{K}(1_U, p)_{np+i}$ . Moreover, if  $(n \geq 2, p = 1)$ , then  $np + i \geq 2$  and if  $(n \geq 3, p \geq 2)$ , then  $np + i \geq 2p + 1$ . Therefore, by Theorem 2.4,

$$E(U_+^p)_n = H_1(\mathcal{K}(g, 1)_n) = \bigoplus_{i \geq 0} H_1(\mathcal{K}(1_U, p)_{np+i}) = \bigoplus_{i \geq 0} \frac{\ker f_{np+i}}{W_p \cdot \ker f_{(n-1)p+i}} = \bigoplus_{q \geq np} \frac{\ker f_q}{W_p \cdot \ker f_{q-p}}.$$

In particular,  $E(U_+^p)_n \supset E(U_+^p)_{n+1}$ . Thus,  $E(U_+^p)_n = 0$  is equivalent to  $\text{rt}(U_+^p) \leq n - 1$ . For  $p = 1$  and  $n \geq 2$ ,  $E(U_+)_n = \bigoplus_{i \geq 0} \ker f_{n+i}/W_1 \cdot \ker f_{n-1+i} = \bigoplus_{i \geq 0} E(U)_{n+i} = \bigoplus_{q \geq n} E(U)_q$ . In particular,  $\text{rt}(U) = \text{s}(E(U)) = \text{s}(E(U_+)) = \text{rt}(U_+)$ . Moreover,  $E(U_+)_2 = \bigoplus_{q \geq 2} E(U)_q = E(U)$ . Thus,  $U_+$  be syzygetic is equivalent to  $U$  be a symmetric algebra. ■

Now, let us focus our attention into the relation type of Veronese subrings. Let  $U$  be a standard  $A$ -algebra. Recall that the  $p$ -th Veronese subring of  $U$  is defined to be the standard  $A$ -algebra  $U^{(p)} = \bigoplus_{n \geq 0} U_{np}$ . Clearly, if  $f : V \rightarrow U$  is a (surjective) graded morphism of standard  $A$ -algebras, then it induces  $f^{(p)} : V^{(p)} \rightarrow U^{(p)}$  another (surjective) graded morphism of standard  $A$ -algebras.

**Lemma 3.6** *Let  $f : V \rightarrow U$  be a surjective graded morphism of standard  $A$ -algebras. Then, for all  $p \geq 1$ ,  $\text{s}(E(f^{(p)})) \leq 1 + [(\text{s}(E(f)) - 1)/p]$  ( $[a]$  is the integer part of  $a$ ).*

*Proof.* Write  $\text{s}(E(f)) - 1 = pa + b$  with  $0 \leq b < p$ . So  $[(\text{s}(E(f)) - 1)/p] = a$ . Take  $n \geq 2 + a$ . Then  $(n - 1)p \geq pa + p \geq \text{s}(E(f))$ . Thus,  $\ker f_{np} = V_1 \cdot \ker f_{np-1} = \dots = V_p \cdot \ker f_{(n-1)p}$  and hence  $\text{s}(E(f^{(p)})) \leq 1 + a$ . ■

**Lemma 3.7** *Let  $U$  be a standard  $A$ -algebra and let  $f : V \rightarrow U$  be a symmetric presentation of  $U$ . If  $(n \geq 2, p = 1)$  or  $(n \geq 3, p \geq 2)$ , then the module of effective  $n$ -relations of  $U^{(p)}$  is*

$$E(U^{(p)})_n = \frac{\ker f_{np}}{V_p \cdot \ker f_{(n-1)p}}.$$

*Proof.* Take  $g : \mathbf{S}(V_p) \rightarrow U^{(p)}$  induced by  $f_p : V_p \rightarrow U_p$  in degree one. We have  $\mathcal{K}(g, 1)_n = \mathcal{K}(f, p)_{np}$ . Moreover, if  $(n \geq 2, p = 1)$ , then  $np \geq 2$ , and if  $(n \geq 3, p \geq 2)$ , then  $np \geq 2p + 1$ . Thus, by Theorem 2.4,  $E(U^{(p)})_n = H_1(\mathcal{K}(g, 1)_n) = H_1(\mathcal{K}(f, p)_{np}) = (\ker f_{np})/(V_p \cdot \ker f_{(n-1)p})$ . ■

**Lemma 3.8** *Let  $M$  be an  $A$ -module and  $\mathbf{S}(M)$  its symmetric algebra. Then, for all  $p \geq 1$ ,  $\text{rt}(\mathbf{S}(M)^{(p)}) \leq 2$ . Moreover, if  $p \geq 2$  and  $M$  is finitely generated, then  $\text{rt}(\mathbf{S}(M)^{(p)}) = 1$  if, and only if,  $M$  is locally cyclic.*

*Proof.* By Lemma 3.7,  $E(\mathbf{S}(M)^{(p)})_n = 0$  for all  $n \geq 3$ . Thus,  $\text{rt}(\mathbf{S}(M)^{(p)}) \leq 2$ . Suppose  $p \geq 2$  and  $(A, \mathfrak{m}, k)$  is local (see 3.2). If  $M$  is cyclic, then  $\mathbf{S}(M)^{(p)} = \mathbf{S}(\mathbf{S}_p(M))$  and  $\text{rt}(\mathbf{S}(M)^{(p)}) = 1$ . Conversely, suppose  $M$  finitely generated, but not cyclic. Take  $x, y$  part of a basis of  $M \otimes k$  and  $x^p, y^p, x^{p-1}y$  in  $\mathbf{S}_p(M) \otimes k$ . Then,  $z = x^p \otimes y^p - x^{p-1}y \otimes$

$xy^{p-1} \in \mathcal{Z}_1(f \otimes 1_k, 1)_2$ . Moreover, looking at the components of an element in a  $k$ -basis of  $\mathcal{B}_1(f \otimes 1_k, 1)_2$ , one sees that  $z \notin \mathcal{B}_1(f \otimes 1_k, 1)_2$ . Thus,  $H_1(\mathcal{K}(f \otimes 1_k, 1)_2) \neq 0$ , hence (by 3.2)  $H_1(\mathcal{K}(f, 1)_2) \neq 0$  and  $\text{rt}(\mathbf{S}(M)^{(p)}) = 2$ . ■

**Remark 3.9** Let  $I$  be an ideal of linear type finitely generated, but not locally principal. Then, by Lemma 3.8,  $\text{rt}(I^p) = 2$  for all  $p \geq 2$ , which reproves 2.6 of [7].

**Theorem 3.10** *Let  $U$  be a standard  $A$ -algebra. Then,  $\text{rt}(U^{(p)}) \leq \max(1 + \lceil (\text{rt}(U) - 1)/p \rceil, 2)$  for all  $p \geq 1$ . Moreover, if  $U$  is finitely generated and  $p \geq 2$ , then  $\text{rt}(U^{(p)}) = 1$  if, and only if,  $U_p$  is locally generated by a  $d$ -sequence of length 1.*

*Proof.* Let  $\alpha : \mathbf{S}(U_1) \rightarrow U$  be the canonical morphism. Put  $g : \mathbf{S}(\mathbf{S}_p(U_1)) \rightarrow \mathbf{S}(U_1)^{(p)}$  and  $f = \alpha^{(p)}$ . Then, by Lemma 2.1,  $\text{rt}(U^{(p)}) \leq \max(\text{s}(E(f)), \text{s}(E(g)))$  and, by Lemmas 3.6 and 3.8, we prove the inequality. Suppose  $p \geq 2$  and  $U$  finitely generated. By 3.2, one can suppose that  $(A, \mathfrak{m}, k)$  is a local ring of infinite residual field  $k$ . If  $U_p$  is generated by a  $d$ -sequence of length 1, then (by 3.1)  $\text{rt}(U^{(p)}) = 1$ . Conversely, suppose  $\text{rt}(U^{(p)}) = 1$ . Take  $V = U \otimes k$ , so  $V^{(p)} = U^{(p)} \otimes k$  and  $\text{rt}(V^{(p)}) \leq \text{rt}(U^{(p)}) = 1$ . Therefore,  $V^{(p)}$  is a polynomial ring of Krull dimension  $l = \mu(V_p) = \dim V^{(p)} = \dim V$  (since  $V^{(p)} \subset V$  is an integral extension). Take  $W \subset V$  a graded Noether normalization (it exists since  $k$  is infinite, see 1.5.17 of [3]). Thus,  $\dim W = \dim V = l$  and so  $\binom{l+p-1}{p} = \mu(W_p) \leq \mu(V_p) = l$ , which forces  $l = 1$ . Hence,  $\mu(U_p) = \mu(V_p) = 1$ ,  $U_p = Ax$  is cyclic and, by 3.1 again,  $x$  is a  $d$ -sequence. ■

**Remark 3.11** The inequality of 3.10 was firstly proved by Backelin and Fröberg for finitely generated  $k$ -algebras (see [2]). Recently, Johnston and Katz showed a very similar statement to that of 3.10, but for  $U = \mathcal{R}(I)$  the Rees algebra of an ideal  $I$  (see [7]). Since  $\mathcal{G}(I)^{(p)} = \mathcal{R}(I)^{(p)} \otimes A/I = \mathcal{R}(I^p) \otimes A/I$ , then (by 3.2)  $\text{rt}(\mathcal{G}(I)^{(p)}) \leq \text{rt}(I^p)$ . In particular, for  $I = U_+$  the irrelevant ideal of a standard algebra  $U$ ,  $\mathcal{G}(I) = U$  and  $\text{rt}(U^{(p)}) \leq \text{rt}(U_+^p)$ . Thus, Johnston-Katz's result implies Backelin-Fröberg's result and the inequality of 3.10, when  $U$  is a Noetherian ring. Nevertheless, the whole Theorem 3.10 can not be deduced directly from earlier results since, in general,  $\text{rt}(U^{(p)}) \neq \text{rt}(U_+^p)$  as next example shows.

**Example 3.12** Put  $U = k[x, y, z]/J$  with  $J = (x^3y, xy^3, z^4, x^2y^2z^3)$ . Then,  $\text{rt}(U) = 7$ ,  $\text{rt}(U^{(2)}) = 2$  and  $\text{rt}(U_+^2) = 3$  (remark that  $\max(1 + \lceil (\text{rt}(U) - 1)/2 \rceil, 2) = 4$ ). Indeed, since  $E(U)_n = \ker \alpha_n / U_1 \cdot \ker \alpha_{n-1}$ ,  $\alpha : \mathbf{S}(U_1) \rightarrow U$  the canonical morphism, then  $E(U)_n = 0$  for all  $n \geq 2$ ,  $n \neq 4, 7$  and  $E(U)_4 = k^{\oplus 3}$  and  $E(U)_7 = k$ . Thus,  $\text{rt}(U) = \text{s}(E(U)) = 7$ . Since  $\ker \alpha_8 \subset F_4(\ker \alpha)$ , then, by Lemma 3.7,  $E(U^{(2)})_n = \ker \alpha_{2n} / \mathbf{S}_2(U_1) \cdot \ker \alpha_{2(n-1)} = 0$  for all  $n \geq 3$ . Thus,  $\text{rt}(U^{(2)}) \leq 2$ . Moreover,  $\text{rt}(U^{(2)}) = 2$  since  $U_2$  is not locally cyclic (see Theorem 3.10). Besides, using Proposition 3.5,  $E(U_+^2)_4 = \bigoplus_{q \geq 8} (\ker \alpha_q / \mathbf{S}_2(U_1) \ker \alpha_{q-2}) = 0$ , so  $\text{rt}(U_+^2) \leq 3$ . But, since  $\ker \alpha_7 \neq \mathbf{S}_2(U_1) \cdot \ker \alpha_5$ ,  $E(U_+^2)_3 = \bigoplus_{q \geq 6} (\ker \alpha_q / \mathbf{S}_2(U_1) \ker \alpha_{q-2}) \neq 0$ . Hence,  $\text{rt}(U_+^2) = 3$ .



## 4 Conditions on the generators

In this section we characterize, in terms of a system of generators, which ideals have module of effective  $n$ -relations zero. Our work here is inspired in previous results by Costa, see [5] and [6]. Concretely, in [6], it was defined a *sequence of linear type* as a sequence of elements  $x_1, \dots, x_d$  such that the ideals  $(x_1, \dots, x_i)$  are of linear type for  $i = 1, \dots, d$ . As a consequence of the main result of this section (see 4.7), we get a new characterization of sequences of linear type involving annihilator ideals (see 4.9). For an ideal  $I$  generated by  $d$  elements  $x_1, \dots, x_d$ , we will denote by  $I_{i_1, \dots, i_s}$  the ideal generated by the  $x_j$ , where  $j \notin \{i_1, \dots, i_s\}$ . For an  $A$ -module  $M$ , we will denote by  $\mathcal{A}_d(M)$  the set of alternating  $d \times d$  matrices with coefficients in  $M$ .

**Lemma 4.1** *Let  $I$  be generated by  $d$  elements  $x_1, \dots, x_d$  and take  $n \geq 2$ . Then,  $E(I)_n = 0$  if, and only if, for all  $(a_1, \dots, a_d) \in (I^{n-1})^{\oplus d}$  with  $a_1x_1 + \dots + a_dx_d = 0$ , there exists  $(b_{i,j}) \in \mathcal{A}_d(I^{n-2})$  such that*

$$\begin{pmatrix} a_1 \\ a_2 \\ \vdots \\ a_d \end{pmatrix} = \begin{pmatrix} 0 & b_{1,2} & \dots & b_{1,d} \\ -b_{1,2} & 0 & \dots & b_{2,d} \\ \vdots & \vdots & \ddots & \vdots \\ -b_{1,d} & -b_{2,d} & \dots & 0 \end{pmatrix} \begin{pmatrix} x_1 \\ x_2 \\ \vdots \\ x_d \end{pmatrix}.$$

*Proof.* By Corollary 2.7,  $E(I)_n = H_1(\underline{xt}; \mathcal{R}(I))_n$ , where  $\mathcal{K}(\underline{xt}; \mathcal{R}(I))_n$  is the  $n$ -th component of the Koszul complex associated to the elements  $x_1t, \dots, x_dt$  in  $\mathcal{R}(I) = \bigoplus_{n \geq 0} I^n t^n$ . That is,  $\dots \rightarrow (I^{n-2})^{\oplus \binom{d}{2}} \xrightarrow{\partial_2} (I^{n-1})^{\oplus d} \xrightarrow{\partial_1} I^n \rightarrow 0$ , with  $\partial_2(b_{1,2}, \dots, b_{1,d}, b_{2,3}, \dots, b_{d-1,d}) = (a_1, \dots, a_d)$  defined by  $\begin{pmatrix} a_1 \\ a_2 \\ \vdots \\ a_d \end{pmatrix} = \begin{pmatrix} 0 & b_{1,2} & \dots & b_{1,d} \\ -b_{1,2} & 0 & \dots & b_{2,d} \\ \vdots & \vdots & \ddots & \vdots \\ -b_{1,d} & -b_{2,d} & \dots & 0 \end{pmatrix} \begin{pmatrix} x_1 \\ x_2 \\ \vdots \\ x_d \end{pmatrix}$ , and  $\partial_1(a_1, \dots, a_d) = a_1x_1 + \dots + a_dx_d$ . ■

**Lemma 4.2** *Let  $I$  be generated by  $d$  elements  $x_1, \dots, x_d$  and take  $n \geq 2$ . If  $E(I)_n = 0$ , then  $I_1 I^{n-1} : x_1^n = I_1 I^{n-2} : x_1^{n-1}$ .*

*Proof.* If  $a \in I_1 I^{n-1} : x_1^n$ , then  $ax_1^n = a_2x_2 + \dots + a_dx_d$ ,  $a_i \in I^{n-1}$ . In particular, (by 4.1)  $\begin{pmatrix} ax_1^{n-1} \\ -a_2 \\ \vdots \\ -a_d \end{pmatrix} = \begin{pmatrix} 0 & b_{1,2} & \dots & b_{1,d} \\ -b_{1,2} & 0 & \dots & b_{2,d} \\ \vdots & \vdots & \ddots & \vdots \\ -b_{1,d} & -b_{2,d} & \dots & 0 \end{pmatrix} \begin{pmatrix} x_1 \\ x_2 \\ \vdots \\ x_d \end{pmatrix}$ ,  $b_{i,j} \in I^{n-2}$ . Thus  $ax_1^{n-1} \in I_1 I^{n-2}$ . ■

**Remark 4.3** If  $d = 1$ , then the necessary condition of Lemma 4.2 becomes  $0 : x_1^n = 0 : x_1^{n-1}$ , which is known to be sufficient to assure  $E(I)_n = 0$  (see Example 3.1).

**Lemma 4.4** *Let  $I$  be generated by  $d$  elements  $x_1, \dots, x_d$  ( $d \geq 2$ ) and  $n \geq 2$ . If  $E(I)_n = 0$ , then*

$$(0 : x_1) \cap I^{n-1} = \left\{ \sum_{i=2}^d a_i x_i \mid a_i \in I^{n-2}, x_1 \begin{pmatrix} a_2 \\ \vdots \\ a_d \end{pmatrix} = (b_{i,j}) \begin{pmatrix} x_2 \\ \vdots \\ x_d \end{pmatrix} \text{ for } (b_{i,j}) \in \mathcal{A}_{d-1}(I_1^{n-2}) \right\}.$$

In particular, if  $d = 2$  and  $E(I)_n = 0$ , then  $(0 : x_1) \cap I^{n-1} = x_2((0 : x_1) \cap I^{n-2})$  and  $(0 : x_1 x_2) \cap I^{n-2} = (0 : x_1) \cap I^{n-2} + (0 : x_2) \cap I^{n-2}$ .

*Proof.* If  $a \in (0 : x_1) \cap I^{n-1}$ , then (by 4.1)  $\begin{pmatrix} a \\ 0 \\ \vdots \\ 0 \end{pmatrix} = \begin{pmatrix} 0 & b_{1,2} & \dots & b_{1,d} \\ -b_{1,2} & 0 & \dots & b_{2,d} \\ \vdots & \vdots & \ddots & \vdots \\ -b_{1,d} & -b_{2,d} & \dots & 0 \end{pmatrix} \begin{pmatrix} x_1 \\ x_2 \\ \vdots \\ x_d \end{pmatrix}$  for

some  $(b_{i,j}) \in \mathcal{A}_d(I^{n-2})$ . Thus,  $a = b_{1,2}x_2 + \dots + b_{1,d}x_d$  and

$$\begin{aligned} x_1 \begin{pmatrix} b_{1,2} \\ b_{1,3} \\ \vdots \\ b_{1,d} \end{pmatrix} &= \begin{pmatrix} 0 & b_{2,3} & \dots & b_{2,d} \\ -b_{2,3} & 0 & \dots & b_{3,d} \\ \vdots & \vdots & \ddots & \vdots \\ -b_{2,d} & -b_{3,d} & \dots & 0 \end{pmatrix} = \\ &= \begin{pmatrix} 0 & c_{2,3} & \dots & c_{2,d} \\ -c_{2,3} & 0 & \dots & c_{3,d} \\ \vdots & \vdots & \ddots & \vdots \\ -c_{2,d} & -c_{3,d} & \dots & 0 \end{pmatrix} \begin{pmatrix} x_2 \\ x_3 \\ \vdots \\ x_d \end{pmatrix} + x_1 \begin{pmatrix} 0 & e_{2,3} & \dots & e_{2,d} \\ -e_{2,3} & 0 & \dots & e_{3,d} \\ \vdots & \vdots & \ddots & \vdots \\ -e_{2,d} & -e_{3,d} & \dots & 0 \end{pmatrix} \begin{pmatrix} x_2 \\ x_3 \\ \vdots \\ x_d \end{pmatrix} \end{aligned}$$

with  $c_{i,j} \in I_1^{n-2}$ ,  $e_{i,j} \in I^{n-3}$  and  $b_{i,j} = c_{i,j} + x_1 e_{i,j}$  (if  $n = 2$ ,  $I^{n-3} = 0$ ). Put

$$\begin{pmatrix} a_2 \\ a_3 \\ \vdots \\ a_d \end{pmatrix} = \begin{pmatrix} b_{1,2} \\ b_{1,3} \\ \vdots \\ b_{1,d} \end{pmatrix} - \begin{pmatrix} 0 & e_{2,3} & \dots & e_{2,d} \\ -e_{2,3} & 0 & \dots & e_{3,d} \\ \vdots & \vdots & \ddots & \vdots \\ -e_{2,d} & -e_{3,d} & \dots & 0 \end{pmatrix} \begin{pmatrix} x_2 \\ x_3 \\ \vdots \\ x_d \end{pmatrix}.$$

Then  $x_1 \begin{pmatrix} a_2 \\ a_3 \\ \vdots \\ a_d \end{pmatrix} = \begin{pmatrix} 0 & c_{2,3} & \dots & c_{2,d} \\ -c_{2,3} & 0 & \dots & c_{3,d} \\ \vdots & \vdots & \ddots & \vdots \\ -c_{2,d} & -c_{3,d} & \dots & 0 \end{pmatrix} \begin{pmatrix} x_2 \\ x_3 \\ \vdots \\ x_d \end{pmatrix}$  and  $a = a_2 x_2 + \dots + a_d x_d$ . Conversely,

if  $a = a_2 x_2 + \dots + a_d x_d$ ,  $a_i \in I^{n-2}$ , with  $x_1 \begin{pmatrix} a_2 \\ a_3 \\ \vdots \\ a_d \end{pmatrix} = \begin{pmatrix} 0 & b_{2,3} & \dots & b_{2,d} \\ -b_{2,3} & 0 & \dots & b_{3,d} \\ \vdots & \vdots & \ddots & \vdots \\ -b_{2,d} & -b_{3,d} & \dots & 0 \end{pmatrix} \begin{pmatrix} x_2 \\ x_3 \\ \vdots \\ x_d \end{pmatrix}$ ,

$b_{i,j} \in I_1^{n-2}$ , then clearly  $ax_1 = 0$ . In particular, for  $d = 2$ , we have that  $(0 : x_1) \cap I^{n-1} = x_2((0 : x_1) \cap I^{n-2})$ . Moreover, if  $a \in (0 : x_1 x_2) \cap I^{n-2}$ , then  $ax_2 \in (0 : x_1) \cap I^{n-1}$  and hence  $ax_2 = x_2 b$  for some  $b \in (0 : x_1) \cap I^{n-2}$ . Thus,  $a = b + (a - b)$  where  $b \in (0 : x_1) \cap I^{n-2}$  and  $(a - b) \in (0 : x_2) \cap I^{n-2}$ . ■

**Proposition 4.5** *Let  $I$  be generated by  $x_1, x_2$  and  $n \geq 2$ . Then,  $E(I)_n = 0$  if, and only if,*

(i)  $x_2 I^{n-1} : x_1^n = x_2 I^{n-2} : x_1^{n-1}$ ,

(ii)  $(0 : x_2) \cap I^{n-1} = x_1((0 : x_2) \cap I^{n-2})$ .

*Proof.* By Lemmas 4.2 and 4.4,  $E(I)_n = 0$  implies conditions (i) and (ii). Conversely, suppose (i) and (ii) are fulfilled and let us prove  $E(I)_n = 0$  via Lemma 4.1. Take  $(a_1, a_2) \in$

$(I^{n-1})^{\oplus 2}$  with  $a_1x_1 + a_2x_2 = 0$ . Since  $I^{n-1} = Ax_1^{n-1} + x_2I^{n-2}$ ,  $a_1 = b_1x_1^{n-1} + b_2x_2$  with  $b_1 \in A$  and  $b_2 \in I^{n-2}$ . Then,  $0 = a_1x_1 + a_2x_2 = b_1x_1^n + (a_2 + b_2x_1)x_2$  and  $b_1 \in x_2I^{n-1} : x_1^n = x_2I^{n-2} : x_1^{n-1}$  (by (i)). So  $b_1x_1^{n-1} = c_2x_2$ ,  $c_2 \in I^{n-2}$ , and  $a_1 = (b_2 + c_2)x_2$ . Therefore,  $0 = a_1x_1 + a_2x_2 = (a_2 + b_2x_1 + c_2x_1)x_2$ . So, by (ii),  $(a_2 + b_2x_1 + c_2x_1) \in (0 : x_2) \cap I^{n-1} = x_1((0 : x_2) \cap I^{n-2})$ . We thus have  $a_2 + b_2x_1 + c_2x_1 = c_1x_1$  with  $c_1 \in I^{n-2}$  and  $c_1x_2 = 0$ . That is,  $a_2 = (c_1 - b_2 - c_2)x_1$  and  $a_1 = (b_2 + c_2)x_2 = (b_2 + c_2 - c_1)x_2$ . ■

**Remark 4.6** Proposition 4.5 generalizes Theorem 2 of [5] and his later improvement in Theorem 4 of [6]. Remark that for an ideal  $I = (x_1, x_2)$ , be of linear type does not imply  $I_1 = (x_2)$  or  $I_2 = (x_1)$  be of linear type (see Example 3.3 of [12] where  $I = (x_1, x_2)$  of linear type is constructed with  $0 : x^2 \neq 0 : x$  for all  $x \in I$ ).

**Theorem 4.7** *Let  $I$  be generated by  $d$  elements  $x_1, \dots, x_d$  ( $d \geq 3$ ) and take  $n \geq 2$ . Then  $E(I)_n = 0$  if, and only if,*

- (i)  $I_i I^{n-1} : x_i^n = I_i I^{n-2} : x_i^{n-1}$  for all  $i = 1, \dots, d$ ,
- (ii)  $\left( \left( \sum_{1 \leq i < j \leq d-1} x_i x_j I_d^{n-2} \right) : x_d \right) \cap I^{n-1} = \sum_{i=1}^{d-1} x_i \left( (I_{i,d} I_d^{n-2} : x_d) \cap I^{n-2} \right)$ ,
- (iii) If  $\begin{pmatrix} a_1 \\ \vdots \\ a_{d-1} \end{pmatrix} = \begin{pmatrix} 0 & \dots & b_{1,d-1} \\ \vdots & \ddots & \vdots \\ b_{d-1,1} & \dots & 0 \end{pmatrix} \begin{pmatrix} x_1 \\ \vdots \\ x_{d-1} \end{pmatrix}$  with  $\sum_{i=1}^{d-1} a_i x_i = 0$  and  $b_{i,j} \in I_d^{n-2}$ , then  $\begin{pmatrix} a_1 \\ \vdots \\ a_{d-1} \\ 0 \end{pmatrix} = \begin{pmatrix} 0 & \dots & c_{1,d-1} & c_{1,d} \\ \vdots & \ddots & \vdots & \vdots \\ -c_{1,d-1} & \dots & 0 & c_{d-1,d} \\ -c_{1,d} & \dots & -c_{d-1,d} & 0 \end{pmatrix} \begin{pmatrix} x_1 \\ \vdots \\ x_{d-1} \\ x_d \end{pmatrix}$  for some  $(c_{i,j}) \in \mathcal{A}_d(I^{n-2})$ .

*Proof.* By Lemmas 4.2 and 4.1,  $E(I)_n = 0$  clearly implies conditions (i) and (iii). Let us prove (ii) provided  $E(I)_n = 0$ . Take  $a \in \sum_{i=1}^{d-1} x_i \left( (I_{i,d} I_d^{n-2} : x_d) \cap I^{n-2} \right)$ , so  $a = a_1x_1 + \dots + a_{d-1}x_{d-1}$  with  $a_i \in I^{n-2}$  and

$$x_d \begin{pmatrix} a_1 \\ a_2 \\ \vdots \\ a_{d-1} \end{pmatrix} = \begin{pmatrix} 0 & b_{1,2} & \dots & b_{1,d-1} \\ b_{2,1} & 0 & \dots & b_{2,d-1} \\ \vdots & \vdots & \ddots & \vdots \\ b_{d-1,1} & b_{d-1,2} & \dots & 0 \end{pmatrix} \begin{pmatrix} x_1 \\ x_2 \\ \vdots \\ x_{d-1} \end{pmatrix},$$

$b_{i,j} \in I_d^{n-2}$ . Therefore,  $a \in I^{n-1}$  and  $ax_d = \sum_{i,j \neq d}^{i \neq j} b_{i,j} x_i x_j \in \left( \sum_{1 \leq i < j \leq d-1} x_i x_j I_d^{n-2} \right)$ . Conversely, take  $a \in \left( \left( \sum_{1 \leq i < j \leq d-1} x_i x_j I_d^{n-2} \right) : x_d \right) \cap I^{n-1}$ . So there exist  $b_{i,j} \in I_d^{n-2}$  such that  $ax_d = x_1c_1 + \dots + x_{d-1}c_{d-1}$  where

$$\begin{pmatrix} c_1 \\ c_2 \\ \vdots \\ c_{d-1} \end{pmatrix} = \begin{pmatrix} 0 & b_{1,2} & \dots & b_{1,d-1} \\ b_{2,1} & 0 & \dots & b_{2,d-1} \\ \vdots & \vdots & \ddots & \vdots \\ b_{d-1,1} & b_{d-1,2} & \dots & 0 \end{pmatrix} \begin{pmatrix} x_1 \\ x_2 \\ \vdots \\ x_{d-1} \end{pmatrix}.$$

Since  $E(I)_n = 0$ , then (by Lemma 4.1)

$$\begin{pmatrix} -c_1 \\ -c_2 \\ \vdots \\ -c_{d-1} \\ a \end{pmatrix} = \left[ \begin{pmatrix} 0 & 0 & \dots & 0 & e_{1,d} \\ 0 & 0 & \dots & 0 & e_{2,d} \\ \vdots & \vdots & \ddots & \vdots & \vdots \\ 0 & 0 & \dots & 0 & e_{d-1,d} \\ -e_{1,d} & -e_{2,d} & \dots & -e_{d-1,d} & 0 \end{pmatrix} + \begin{pmatrix} 0 & f_{1,2} & \dots & f_{1,d-1} & 0 \\ -f_{1,2} & 0 & \dots & f_{2,d-1} & 0 \\ \vdots & \vdots & \ddots & \vdots & \vdots \\ -f_{1,d-1} & -f_{2,d-1} & \dots & 0 & 0 \\ 0 & 0 & \dots & 0 & 0 \end{pmatrix} \right. \\ \left. + x_d \begin{pmatrix} 0 & g_{1,2} & \dots & g_{1,d-1} & 0 \\ -g_{1,2} & 0 & \dots & g_{2,d-1} & 0 \\ \vdots & \vdots & \ddots & \vdots & \vdots \\ -g_{1,d-1} & -g_{2,d-1} & \dots & 0 & 0 \\ 0 & 0 & \dots & 0 & 0 \end{pmatrix} \right] \begin{pmatrix} x_1 \\ x_2 \\ \vdots \\ x_{d-1} \\ x_d \end{pmatrix}$$

where  $e_{i,d} \in I^{n-2}$ ,  $f_{i,j} \in I_d^{n-2}$  and  $g_{i,j} \in I^{n-3}$ . Put

$$\begin{pmatrix} h_1 \\ h_2 \\ \vdots \\ h_{d-1} \end{pmatrix} = \begin{pmatrix} -e_{1,d} \\ -e_{2,d} \\ \vdots \\ -e_{d-1,d} \end{pmatrix} - \begin{pmatrix} 0 & g_{1,2} & \dots & g_{1,d-1} \\ -g_{1,2} & 0 & \dots & g_{2,d-1} \\ \vdots & \vdots & \ddots & \vdots \\ -g_{1,d-1} & -g_{2,d-1} & \dots & 0 \end{pmatrix} \begin{pmatrix} x_1 \\ x_2 \\ \vdots \\ x_{d-1} \end{pmatrix}.$$

Then,  $x_1 h_1 + \dots + x_{d-1} h_{d-1} = a$  and  $x_d \begin{pmatrix} h_1 \\ \vdots \\ h_{d-1} \end{pmatrix} = x_d \begin{pmatrix} -e_{1,d} \\ \vdots \\ -e_{d-1,d} \end{pmatrix} - x_d (g_{i,j}) \begin{pmatrix} x_1 \\ \vdots \\ x_{d-1} \end{pmatrix} =$

$$\begin{pmatrix} c_1 \\ \vdots \\ c_{d-1} \end{pmatrix} + (f_{i,j}) \begin{pmatrix} x_1 \\ \vdots \\ x_{d-1} \end{pmatrix} = (b_{i,j} + f_{i,j}) \begin{pmatrix} x_1 \\ \vdots \\ x_{d-1} \end{pmatrix}. \text{ Thus, } a \in \sum_{i=1}^{d-1} x_i ((I_{i,d} I_d^{n-2} : x_d) \cap I^{n-2}).$$

Now, suppose that (i), (ii) and (iii) hold and let us prove  $E(I)_n = 0$  by using Lemma 4.1. Take  $(a_1, \dots, a_d) \in (I^{n-1})^{\oplus d}$  such that  $a_1 x_1 + \dots + a_d x_d = 0$ . Fix  $i \in \{1, \dots, d\}$ . Since  $a_i \in I^{n-1} = A x_i^{n-1} + I_i I^{n-2}$ , then  $a_i = b_i x_i^{n-1} + \sum_{j \neq i} b_j x_j$  with  $b_i \in A$  and  $b_j \in I^{n-2}$ . Since  $0 = \sum_{j=1}^d a_j x_j = b_i x_i^n + \sum_{j \neq i} (a_j + b_j x_i) x_j$ , then  $b_i \in I_i I^{n-1} : x_i^n = I_i I^{n-2} : x_i^{n-1}$  (by (i)). Thus  $b_i x_i^{n-1} = \sum_{j \neq i} c_j x_j$ ,  $c_j \in I^{n-2}$  and  $a_i = \sum_{j \neq i} (b_j + c_j) x_j \in I_i I^{n-2}$ . Hence, we can

write  $\begin{pmatrix} a_1 \\ a_2 \\ \vdots \\ a_d \end{pmatrix} = \begin{pmatrix} 0 & b_{1,2} & \dots & b_{1,d} \\ b_{2,1} & 0 & \dots & b_{2,d} \\ \vdots & \vdots & \ddots & \vdots \\ b_{d,1} & b_{d,2} & \dots & 0 \end{pmatrix} \begin{pmatrix} x_1 \\ x_2 \\ \vdots \\ x_d \end{pmatrix}$  where  $b_{i,j} \in I^{n-2}$ . For  $i, j \neq d$ ,  $i \neq j$ , put

$b_{i,j} = e_{i,j} + x_d h_{i,j}$  with  $e_{i,j} \in I_d^{n-2}$  and  $h_{i,j} \in I^{n-3}$ . For  $i \neq d$ , put  $c_{i,d} = b_{i,d} + \sum_{j \neq i,d} h_{i,j} x_j$ . Then,

$$\begin{pmatrix} a_1 \\ \vdots \\ a_{d-1} \\ a_d \end{pmatrix} = \begin{pmatrix} 0 & \dots & 0 & c_{1,d} \\ \vdots & \ddots & \vdots & \vdots \\ 0 & \dots & 0 & c_{d-1,d} \\ b_{d,1} & \dots & b_{d,d-1} & 0 \end{pmatrix} \begin{pmatrix} x_1 \\ \vdots \\ x_{d-1} \\ x_d \end{pmatrix} + \begin{pmatrix} 0 & \dots & e_{1,d-1} & 0 \\ \vdots & \ddots & \vdots & \vdots \\ e_{d-1,d} & \dots & 0 & 0 \\ 0 & \dots & 0 & 0 \end{pmatrix} \begin{pmatrix} x_1 \\ \vdots \\ x_{d-1} \\ x_d \end{pmatrix}$$

Since  $\sum_{i=1}^d a_i x_i = 0$ , then  $x_d (\sum_{i=1}^{d-1} (b_{d,i} + c_{i,d}) x_i) = - \sum_{i,j \neq d}^{i \neq j} x_i x_j e_{i,j} \in \sum_{1 \leq i < j \leq d-1} x_i x_j I_d^{n-2}$  and, by hypothesis (ii),  $(b_{d,1} + c_{1,d}) x_1 + \dots + (b_{d,d-1} + c_{d-1,d}) x_{d-1} = f_1 x_1 + \dots + f_{d-1} x_{d-1}$

where  $f_i \in I^{n-2}$  and  $x_d \begin{pmatrix} f_1 \\ f_2 \\ \vdots \\ f_{d-1} \end{pmatrix} = \begin{pmatrix} 0 & g_{1,2} & \cdots & g_{1,d-1} \\ g_{2,1} & 0 & \cdots & g_{2,d-1} \\ \vdots & \vdots & \ddots & \vdots \\ g_{d-1,1} & g_{d-1,2} & \cdots & 0 \end{pmatrix} \begin{pmatrix} x_1 \\ x_2 \\ \vdots \\ x_{d-1} \end{pmatrix}$ ,  $g_{i,j} \in I_d^{n-2}$ .

Therefore,

$$\left\{ \begin{array}{l} a_d = b_{d,1}x_1 + \cdots + b_{d,d-1}x_{d-1} = (f_1 - c_{1,d})x_1 + \cdots + (f_{d-1} - c_{d-1,d})x_{d-1} \\ a_{d-1} = e_{d-1,1}x_1 + \cdots + e_{d-1,d-2}x_{d-2} + c_{d-1,d}x_d = \\ \quad (e_{d-1,1} + g_{d-1,1})x_1 + \cdots + (e_{d-1,d-2} + g_{d-1,d-2})x_{d-2} + (c_{d-1,d} - f_{d-1})x_d \\ \vdots \\ a_1 = e_{1,2}x_2 + \cdots + e_{1,d-1}x_{d-1} + c_{1,d}x_d = \\ \quad (e_{1,2} + g_{1,2})x_2 + \cdots + (e_{1,d-1} + g_{1,d-1})x_{d-1} + (c_{1,d} - f_1)x_d. \end{array} \right.$$

We thus can write  $\begin{pmatrix} a_1 \\ a_2 \\ \vdots \\ a_{d-1} \\ a_d \end{pmatrix} = \begin{pmatrix} 0 & \tilde{c}_{1,2} & \cdots & \tilde{c}_{1,d-1} & \tilde{b}_{1,d} \\ \tilde{c}_{2,1} & 0 & \cdots & \tilde{c}_{2,d-1} & \tilde{b}_{2,d} \\ \vdots & \vdots & \ddots & \vdots & \vdots \\ \tilde{c}_{d-1,1} & \tilde{c}_{d-1,2} & \cdots & 0 & \tilde{b}_{d-1,d} \\ -\tilde{b}_{1,d} & -\tilde{b}_{2,d} & \cdots & -\tilde{b}_{d-1,d} & 0 \end{pmatrix} \begin{pmatrix} x_1 \\ x_2 \\ \vdots \\ x_{d-1} \\ x_d \end{pmatrix}$ , with  $\tilde{b}_{i,d} \in$

$I^{n-2}$ , but  $\tilde{c}_{i,j} \in I_d^{n-2}$ . Applying hypothesis (iii) to  $\begin{pmatrix} 0 & \tilde{c}_{1,2} & \cdots & \tilde{c}_{1,d-1} \\ \tilde{c}_{2,1} & 0 & \cdots & \tilde{c}_{2,d-1} \\ \vdots & \vdots & \ddots & \vdots \\ \tilde{c}_{d-1,1} & \tilde{c}_{d-1,2} & \cdots & 0 \end{pmatrix} \begin{pmatrix} x_1 \\ x_2 \\ \vdots \\ x_{d-1} \end{pmatrix}$ ,

we finish. ■

**Corollary 4.8** INDUCTION THEOREM *Let  $I$  be generated by  $d$  elements  $x_1, \dots, x_d$  ( $d \geq 3$ ) and take  $n \geq 2$ . Suppose that  $E(I_d)_n = 0$ . Then  $E(I)_n = 0$  if, and only if,*

(i)  $I_i I^{n-1} : x_i^n = I_i I^{n-2} : x_i^{n-1}$  for all  $i = 1, \dots, d$ ,

(ii)  $\left( \left( \sum_{1 \leq i < j \leq d-1} x_i x_j I_d^{n-2} \right) : x_d \right) \cap I^{n-1} = \sum_{i=1}^{d-1} x_i \left( (I_{i,d} I_d^{n-2} : x_d) \cap I^{n-2} \right)$ .

*Proof.* By lemma 4.1,  $E(I_d)_n = 0$  assures that condition (iii) of Theorem 4.7 is fulfilled. ■

**Corollary 4.9** *Let  $\underline{x} = x_1, \dots, x_d$  be  $d$  elements of  $A$ . Then,  $\underline{x}$  is a sequence of linear type if, and only if, for all  $n \geq 2$*

(i)  $(x_1, \dots, \hat{x}_i, \dots, x_k)(x_1, \dots, x_k)^{n-1} : x_i^n = (x_1, \dots, \hat{x}_i, \dots, x_k)(x_1, \dots, x_k)^{n-2} : x_i^{n-1}$  for all  $1 \leq i \leq k \leq d$ ,

(ii) For all  $1 \leq i < j < k \leq d$ ,

$$\left( \left( \sum_{1 \leq i < j \leq k-1} x_i x_j (x_1, \dots, x_{k-1})^{n-2} \right) : x_k \right) \cap (x_1, \dots, x_k)^{n-1} = \sum_{i=1}^{k-1} x_i \left( ((x_1, \dots, \hat{x}_i, \dots, x_{k-1})(x_1, \dots, x_{k-1})^{n-2} : x_k) \cap (x_1, \dots, x_k)^{n-2} \right),$$

(understanding  $\sum_{1 \leq i < j \leq k-1} (\dots) = 0$  for  $k \leq 2$  and  $\sum_{i=1}^{k-1} (\dots) = 0$  for  $k = 1$ ).

**Remark 4.10** With the hypothesis  $E(I_d)_n = 0$  of Corollary 4.8, it is not hard to prove that  $E(I)_n = 0$  is equivalent to

$$(i) \quad I_d I^{n-1} : x_d^n = I_d I^{n-2} : x_d^{n-1},$$

(ii) If  $(a_1, \dots, a_{d-1}) \in (I^{n-1})^{\oplus(d-1)}$  with  $a_1 x_1 + \dots + a_{d-1} x_{d-1} = 0$ , then there exists  $(b_1, \dots, b_{d-1}) \in (I^{n-2})^{\oplus(d-1)}$  and  $(c_1, \dots, c_{d-1}) \in (I_d^{n-1})^{\oplus(d-1)}$  such that  $b_1 x_1 + \dots + b_{d-1} x_{d-1} = 0$  and  $a_i = x_d b_i + c_i$  for all  $i = 1, \dots, d-1$ .

In fact, this is the expected generalization of Costa's Induction Theorem (see 4 of [6]).

**Corollary 4.11** *Let  $I$  be generated by  $x_1, x_2, x_3$  and take  $n \geq 2$ . Then,  $E(I)_n = 0$  if, and only if,*

$$(i) \quad I_i I^{n-1} : x_i^n = I_i I^{n-2} : x_i^{n-1} \text{ for all } i = 1, 2, 3,$$

$$(ii) \quad (x_1 x_2 I_3^{n-2} : x_3) \cap I^{n-1} = x_1 \left( (x_2 I_3^{n-2} : x_3) \cap I^{n-2} \right) + x_2 \left( (x_1 I_3^{n-2} : x_3) \cap I^{n-2} \right),$$

$$(iii) \quad (0 : x_1) \cap I^{n-1} = \{a_2 x_2 + a_3 x_3 \mid a_i \in I^{n-2}, a_2 x_1 = b x_3, a_3 x_1 = -b x_2 \text{ for } b \in I_1^{n-2}\}.$$

Moreover, if  $(0 : x_1 x_2) \cap I_3^{n-2} = (0 : x_1) \cap I_3^{n-2} + (0 : x_2) \cap I_3^{n-2}$  (for instance, if  $E(I_3)_n = 0$ ) then condition (iii) can be skipped.

*Proof.* Suppose  $E(I)_n = 0$ . Then, Lemma 4.2 assures (i), Lemma 4.4 assures (iii) and Theorem 4.7 assures (ii). Conversely, suppose (i), (ii) and (iii) hold and let us prove  $E(I)_n = 0$  by proving (iii) of Theorem 4.7. So take  $\begin{pmatrix} a_1 \\ a_2 \end{pmatrix} = \begin{pmatrix} 0 & b \\ c & 0 \end{pmatrix} \begin{pmatrix} x_1 \\ x_2 \end{pmatrix}$  with  $a_1 x_1 + a_2 x_2 = 0$  and  $b, c \in I_3^{n-2}$ . Since  $(b+c)x_1 x_2 = 0$ , then  $(b+c)x_2 \in (0 : x_1) \cap I^{n-1}$  and, by hypothesis (iii),  $(b+c)x_2 = e x_2 + f x_3$  with  $e, f \in I^{n-2}$  and  $e x_1 = g x_3, f x_1 = -g x_2$  for some  $g \in I_1^{n-2}$ . Thus,  $\begin{pmatrix} a_1 \\ a_2 \\ 0 \end{pmatrix} = \begin{pmatrix} 0 & e-c & -f \\ c-e & 0 & g \\ f & -g & 0 \end{pmatrix} \begin{pmatrix} x_1 \\ x_2 \\ x_3 \end{pmatrix}$ . Analogously, one could prove that  $(0 : x_1 x_2) \cap I_3^{n-2} = (0 : x_1) \cap I_3^{n-2} + (0 : x_2) \cap I_3^{n-2}$  implies (iii) of 4.7. ■

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