

# 1 Plankton Reach New Heights in Effort to Avoid Predators

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6

## 7 ABSTRACT

8 The marine environment associated with the air-water interface (neuston) provides an  
9 important food source to pelagic organisms where subsurface prey is limited. However,  
10 studies on predator-prey interactions within this environment are lacking. Copepods are  
11 known to produce strong escape jumps in response to predators but must contend with a  
12 low Reynolds number environment where viscous forces limit escape distance. All previous  
13 work on copepods interaction with predators has focused on a liquid environment. Here,  
14 we describe a novel anti-predator behavior in two neustonic copepod species where  
15 individuals frequently exit the water surface and travel many times their own body length  
16 through air to avoid predators. Using both field recordings with natural predators and  
17 high speed laboratory recordings we obtain detailed kinematics of this behavior, and  
18 estimate energetic cost associated with this behavior. We demonstrate that despite losing  
19 up to 88% of their initial kinetic energy, copepods which break the water surface travel  
20 significantly further than escapes underwater and successfully exit the perceptive field of  
21 the predator. This behavior provides an effective defense mechanism against subsurface  
22 feeding visual predators and the results provide insight into trophic interactions within the  
23 neustonic environment.

24

## 25 1. INTRODUCCION

26 Copepods are one of the most abundant metazoans on the planet [1-2] and are known to  
27 be important prey for fish [3-6] and other marine organisms [7-8]. The copepod's role in marine  
28 food webs makes their behavioral adaptations to predation important to understand. The  
29 neustonic environment consists of the upper few millimeters of water associated with the air-  
30 water interface. This environment is often characterized by elevated biomass and numbers of  
31 organisms relative to the water beneath [9] and provides food to higher trophic levels such as fish  
32 [10]. Pontellid copepods are a ubiquitous group often found in neustonic environments and

33 adults are known to reside during daylight hours in the brightly lit surface water of coastal  
34 oceans [11].

35 Many planktonic organisms residing in the photic zone have nearly transparent tissues  
36 which are assumed to reduce conspicuousness to visual predators [12]. However, species which  
37 live in close proximity to the water surface (neuston) are often highly pigmented, including  
38 copepods [13]. Pigmentation in copepods has been demonstrated to reduce the effects of  
39 damaging UV radiation [14-15] and may play a similar role in Pontellids. These copepods are  
40 also large in comparison with many other copepod taxa [16]. This large size combined with  
41 pigmentation makes these copepods more visually conspicuous and thus, should be preferred by  
42 visual fish predators [17-18].

43 One of the mechanisms by which copepods are known to avoid fish predators is through  
44 the use of powerful escape jumps [19-22]. These escape jumps are present throughout  
45 development [23-24] and can generate speeds up to  $800 \text{ mm s}^{-1}$  and accelerations of up to  $200 \text{ m}$   
46  $\text{s}^{-2}$  [20]. The interaction of copepods and their natural predators has been investigated in a liquid  
47 medium [22, 25-26]. However aerial escapes have never been investigated for a planktonic  
48 organism but may have significant ecological and evolutionary implications for the wide variety  
49 of species that live and feed within the surface layer of the ocean.

50 Reports of copepods breaking through the water surface occurred as early as the late 19<sup>th</sup>  
51 century [27]. The observer hypothesized that the leaps into the air and subsequent re-entry into  
52 the water functioned as a mechanism to assist with molting, by jarring them loose from their old  
53 exoskeleton. A later report of aerial copepod jumps proposed an anti-predator mechanism [28],  
54 but the function of this behavior remained hypothetical.

55           Using field video recordings and high speed video in the laboratory, we demonstrate that  
56 aerial jumps provide an effective escape mechanism in response to visual fish predators.  
57 Kinematic analysis of this little known behavior reveals a significant energetic cost of breaking  
58 the water surface, yet this aerial escape behavior still provides a net energy savings relative to an  
59 escape performed solely underwater. These findings provide insight into how this group of  
60 animals can be successful in a pelagic environment where they appear conspicuous and easily  
61 targeted by visual predators.

## 62 **2. MATERIALS AND METHODS**

### 63 *a) Field recordings*

64           Field recordings were made using a hand-held video recorder at 30 frames s<sup>-1</sup> (Sony  
65 Handycam CCD-TR3300) above the water surface. Recordings were edited in Adobe Premier  
66 Pro to maximize distinction between copepods and the surrounding water by adjusting both  
67 brightness and contrast. Two-dimensional escape kinematics in response to fish predators were  
68 obtained using ImageJ v1.43 software. Statistical analysis for both laboratory and field  
69 recordings were performed using Sigmaplot 11.0 (Systat Software Inc).

70           Field recordings of the copepod, *Anomalocera ornata* interacting with juvenile mullet  
71 (*Mugil cephalus*) were performed for 15 min at the University of Texas Marine Science Institute  
72 marina and escape responses from 89 individuals were obtained during analysis. The movement  
73 of the camera required to follow individual fish interacting with copepods made simple size and  
74 distance calibrations inappropriate. Instead, we captured and measured 22 of the juvenile *M.*  
75 *cephalus* that were in the location of the video recordings and the resulting standard length of  
76 24.2 mm (SD 1.96) was used to scale the video frames during kinematic analysis. This method  
77 does not provide the finest spatial resolution but allows a reasonable approximation of both

78 distance and velocity. It should be noted that the calculated kinematic values represent minimum  
79 estimates of both velocity and distance since recordings were based solely in an X-Y plane  
80 normal to the camera lens so any Z component of motion was not accounted for. Therefore,  
81 velocity and distance are likely underestimated but this effect is minimal for the laboratory  
82 studies since the narrow (4 cm width) aquarium limited movement in the Z plane.

### 83 ***b) Laboratory recordings***

84 Copepods (*Labidocera aestiva*) were collected from inshore waters of the Northern Gulf  
85 of Mexico (27° 50' 19" N 97° 3' 8" W) using a 0.5 m diameter plankton net (150 µm mesh).  
86 Approximately 50 individuals were placed in a small, narrow rectangular acrylic aquarium  
87 (20cm x 4cm x 20cm) filled to 50% capacity with filtered seawater. A high speed camera,  
88 Redlake MotionMeter® model 1140-0003 equipped with a Nikon Nikkor 55-mm lens was used  
89 to capture the escape behavior. Dark field illumination was provided by infrared light emitting  
90 diodes (peak wavelength 890 nm). The copepod escape jumps were recorded at 250-500 frames  
91 s<sup>-1</sup>. After 10 recordings, copepods were replaced with 50 new animals to limit the probability of  
92 recording the same animal multiple times.

93 Two camera positions were utilized during laboratory recordings. In position 1 the  
94 camera was aligned with the aquarium so that the surface of the water was near the bottom of the  
95 field of view in order to capture the entire aerial portion of the escape and 60 escapes were  
96 recorded using this configuration. In position 2 the camera was oriented so that approximately  
97 1/3<sup>rd</sup> of the field of view was below the surface of the water and 2/3<sup>rd</sup>s were above the water  
98 surface. This allowed determination of the copepod's speed as it broke the water's surface, the  
99 contact angle to the surface and the trajectory through air. 24 escapes were recorded with this  
100 configuration. The contact angle was determined at the instant contact was made at the water

101 surface, while the entire animal remained underwater. Using image analysis software (ImageJ)  
102 we determined the angle using the water surface and the longitudinal central plane of the animal.  
103 Recordings were performed in a darkroom and escape responses from the copepods were elicited  
104 through a photic startle response by a rapid change in light intensity [29]. The subsequent escape  
105 responses resulted in many copepods breaking the water's surface and traveling variable  
106 distances through the air. Escapes in which more than 50% of the aerial trajectory was out of the  
107 field of view were not used for analysis. In cases where only a smaller portion (less than 50%) of  
108 the escape traveled beyond the field of view, the maximal distance was extrapolated using  
109 Vogel's model for an object in free fall [30]. This was required for 19 of the 60 escapes used in  
110 our analysis.

### 111 *c) Data analysis*

112 To compare the kinematic results obtained from both ImageJ v1.43 software and Celltrak  
113 v1.5 motion analysis software, data was log transformed and checked for normality using a  
114 Shapiro-Wilk test. A one-way analysis of variance (ANOVA) was performed for both total  
115 horizontal distance and maximum velocity.

116 We used the following equation to estimate the net kinetic energy loss ( $\Delta K$ ) incurred  
117 from a copepod breaking the water surface:

$$118 \quad \Delta K = 0.5 m_{\text{copepod}} (U_0^2 - U_1^2) \quad (1)$$

119 where  $m_{\text{copepod}}$  is the body mass of the copepod,  $U_0$  is the copepod velocity at the moment just  
120 before the copepod starts to break the water surface, and  $U_1$  is the copepod velocity at the  
121 moment right after the copepod becomes completely airborne.  $m_{\text{copepod}} = \rho_{\text{copepod}} \times V_{\text{copepod}}$ , where  
122  $\rho_{\text{copepod}}$  is the mass density of the copepod (approximately equal to the mass density of the

123 seawater,  $\rho_{\text{seawater}}$ ), and  $V_{\text{copepod}}$  is the copepod body volume.  $V_{\text{copepod}}$  is calculated as  $4/3\pi\eta^2a^3$ ,  
124 where  $a$  is half the prosome length,  $\eta$  the copepod aspect ratio, and assuming the shape of a  
125 prolate spheroid with the long axis equal to the prosome length,  $2a$ , and the short axis equal to  
126  $\eta \times 2a$ .

127 Here, we estimate three likely contributions to this energy loss:

128 (1) The loss due to the water drag can be estimated as:

$$129 \quad \Delta K_1 = 0.25 C_d \rho_{\text{seawater}} U_0^2 S_e d_e \quad (2)$$

130 where  $C_d$  is the drag coefficient of the equivalent sphere having the same volume as that of the  
131 copepod body,  $S_e$  is the cross-sectional area of the equivalent sphere, and  $d_e$  is the diameter of the  
132 equivalent sphere. We estimate this energy loss during breaking the water surface (very short  
133 time scale) as the average between the moment the animal makes contact with the surface (fully  
134 underwater), and moment the animal fully breaks free of the surface (fully in air). Here, we  
135 assume that the drag acting on the copepod when it just starts to break the water surface is  $0.5 C_d$   
136  $\rho_{\text{seawater}} U_0^2 S_e$ , and the drag acting on the copepod when it just leaves the water surface to  
137 become completely airborne is  $0.5 C_d \rho_{\text{air}} U_1^2 S_e$ , where  $\rho_{\text{air}}$  is the mass density of air. Because  $\rho_{\text{air}}$   
138  $\ll \rho_{\text{seawater}}$ , the average drag for this short time interval is approximately  $0.25 C_d \rho_{\text{seawater}} U_0^2 S_e$ .  
139 The average drag multiplied by the distance traveled,  $d_e$ , leads to Equation (2).  $C_d$  is calculated  
140 based on the Reynolds number  $\text{Re} = U_0 d_e / \nu_{\text{seawater}}$ , where  $\nu_{\text{seawater}}$  is the kinematic viscosity of  
141 the seawater. Although we are not sure about the applicability of the commonly used drag law,  
142 Equation (2) should give upper bound estimation of the energy loss due to the water drag.

143 (2) The loss due to the increase of the gravitational potential energy of the copepod body  
144 estimated as:

145  $\Delta K_2 = m_{\text{copepod}} g d_e \cos(\alpha)$  (3)

146 where  $g$  is acceleration due to gravity, and  $\alpha$  is the exit angle (figure S1).

147 (3) The loss due to overcoming the surface tension:

148  $\Delta K_3 = \sigma A_{\text{copepod}} \cos(\theta)$  (4)

149 where  $\sigma$  ( $= 0.075 \text{ N m}^{-1}$ ) is the surface tension for the seawater-air interface,  $A_{\text{copepod}}$  is the  
150 surface area of the copepod, and  $\theta$  is the contact angle between the copepod body and the  
151 seawater surface. Here, we assume that the energy loss is due to the copepod surface condition  
152 changing from interfacing with seawater to interfacing with air, i.e.

153  $\Delta K_3 = (\sigma_{\text{copepod-air}} - \sigma_{\text{copepod-seawater}}) A_{\text{copepod}}$ , where  $\sigma_{\text{copepod-air}}$  and  $\sigma_{\text{copepod-seawater}}$  are the surface  
154 energies associated with the copepod-air and copepod-seawater interfaces, respectively. Using  
155 Young's law for the contact angle, i.e.  $\sigma_{\text{copepod-air}} = \sigma_{\text{copepod-seawater}} + \sigma \cos(\theta)$  [31], we obtain  
156 Equation (4).

### 157 3. RESULTS

158 Field video recordings captured the copepod *Anomalocera ornata* (prosome length 2.5-  
159 3.1 mm) in the presence of small plankton feeding fish (juvenile *Mugil cephalus*) within inshore  
160 waters of the Northwestern Gulf of Mexico. The escape behavior was stimulated by the approach  
161 of the predatory fish, *M. cephalus*, (figure 1) and consisted of an airborne leap covering a  
162 horizontal distance of  $80 \pm 30$  mm (N= 89), with maximum distances of up to 170 mm observed  
163 (see data supplement for video of this behavior). On average, the copepods travelled over 40  
164 times their own body length and 3.4 times the body length of the fish predator (mean standard  
165 length 24.2mm). The maximum aerial velocity achieved during these escapes was  $890 \pm 200$  mm

166  $s^{-1}$  and average velocities over the entire escape were  $660 \pm 150 \text{ mm s}^{-1}$  (figure 2a). Only 1 of the  
167 89 observed escapes resulted in multiple attacks by the same fish.

168 A smaller Pontellid copepod (prosome length 1.8-2.0 mm), *Labidocera aestiva*, was  
169 stimulated to perform escape jumps in the laboratory using a photic startle response and the  
170 escapes were recorded with a high speed video camera at 250-500 frames  $s^{-1}$  (see data supplement  
171 for video of this behavior). This species swam approximately 0-40 mm below the water's surface  
172 until stimulated to escape. We found that maximum aerial velocity of the copepods after they  
173 broke the water's surface to be  $630 \pm 150 \text{ mm s}^{-1}$ . This was significantly lower ( $P = <0.001$ ) than  
174 velocities produced by *A. ornata* and also resulted in significantly lower ( $P = <0.001$ ) horizontal  
175 escape distances (figure 2a). *Labidocera aestiva* was able to attain heights over 60 mm above the  
176 water's surface and up to 76 mm in distance from the exit point in the water. However, the mean  
177 horizontal distance travelled during escapes through air was  $16.0 \pm 14.1 \text{ mm}$ . It is interesting to  
178 note that in most cases rotation was imparted on the animal as it broke the surface (see  
179 supplemental video). In some cases the rotation was estimated in excess of 45,000 degrees  $s^{-1}$   
180 (7500 rpm). The underwater portion of the escapes for *L. aestiva* yielded maximum velocities of  
181  $1036 \pm 121 \text{ mm s}^{-1}$  which is significantly greater ( $P = <0.001$ ) than maximum velocities observed  
182 after breaking the surface.

183 The results of a correlation analysis between horizontal escape distance and maximum  
184 aerial velocity for *A. ornata* exhibited a moderate relationship ( $R^2 = 0.36$ ) (figure 2b). The same  
185 analysis performed for *L. aestiva* exhibited virtually no correlation between horizontal escape  
186 distance and maximum aerial velocity ( $R^2 = 0.04$ ) (figure 2c). Notably, swimming pattern and  
187 orientation of the two species relative to the water surface before escape is also different (figure  
188 3). *L. aestiva* was observed to swim freely below the water surface using an intermittent



189 (cruising-sinking) swimming pattern. During the cruising phase, the copepod was oriented  
190 randomly to the water surface but during sinking, *L. aestiva* was consistently observed to orient  
191 with its anterior end towards the water surface. *A. ornata* exhibited a cruising swimming pattern  
192 and was consistently oriented with its ventral side facing downwards (away from the surface)  
193 and the dorsal side of the animal at the water surface.

194         When high speed recordings during the aerial portion of an escape jump of *L. aestiva* are  
195 compared to a model of biological projectiles [29] the copepod acts as a ballistic object in free-  
196 fall (figure 4a). Using data from both 500 fps and 250 fps observations, we estimate that 58-  
197 88% of the kinetic energy at the moment when the copepod starts to break the water surface will  
198 be lost for breaking the water surface (figure 4b). Among the total loss (fit to the data), 61-67%  
199 is due to overcoming the water drag force (i.e.  $\Delta K_1$ ), the contribution from increases of  
200 gravitational potential energy ( $\Delta K_2$ ) is negligible, and the loss due to overcoming the surface  
201 tension ( $\Delta K_3$ ) is 33-39%. When a similar calculation is made for adult flying fish which are  
202 orders of magnitude larger than Pontellid copepods, yet produce a functionally analogous  
203 behavior, the cost of breaking the surface is  $< 0.07\%$  of the kinetic energy possessed at the  
204 moment when the fish starts to break the water surface.

#### 205 **4. DISCUSSION**

206         Large scale movement of copepods that reside in the neustonic surface layer of the ocean  
207 is often subject to surface currents. They have been observed to accumulate at oceanic frontal  
208 boundaries [32] where small predatory fish are also more abundant [33]. Thus, successful  
209 predator evasion is essential to the copepod's survival. However being confined at the surface  
210 limits escape ability and predators have been observed using the water surface to aid in prey  
211 capture [34]. The ability of some Pontellid copepods to break the water surface provides

212 advantages over escapes which occur solely underwater. First, exiting the perceptive field of a  
213 predator and re-entering at a random location reduces the chance of continued pursuit and the 80  
214  $\pm 30$  mm horizontal escape distance observed for *A. ornata* is well beyond the perceptive distance  
215 determined for fish of the similar length to *C. mugil* [35]. Second, for a copepod to achieve a  
216 similar escape distance solely underwater, it would have to expend  $\sim 20$  times more mechanical  
217 energy, therefore a significant energetic savings exists by jumping into air.

218         The underwater velocity is higher than maximum velocities reported for other similarly  
219 sized copepods [20] which facilitate these small organisms breaking the water surface. However,  
220 the mode in which the two species of copepods exit the water is different (figure 3). *A. ornata*  
221 consistently swims with its dorsal side at the water surface while the anterior end of *L. aestiva*  
222 was generally directed toward the surface but was observed to swim at many orientations just  
223 below the surface. This may explain why *L. aestiva* exhibits a lower correlation between  
224 maximum aerial velocity and horizontal distance than *A. ornata* (figure 2b, c).

225         Considering a single stroke escape jump that occurs completely underwater, the copepod  
226 achieves its peak velocity approximately at the end of the power stroke of the swimming legs.  
227 During the power stroke, the copepod travels a distance  $nL$ , where  $L$  is the prosome length and  $n$   
228  $\sim 1-2$  [21]. Upon completion of the power stroke, the copepod rapidly decelerates due to drag  
229 forces but maintains enough inertia to move forward another distance of  $\sim nL$  until coming to  
230 rest. The present observations show that copepods, via a one-kick jump, can break the surface of  
231 the water (see supplemental video) and peak velocity ( $U_0$ ) is obtained just before breaking the  
232 surface. At the moment when the animal becomes completely airborne it travels at a velocity  
233 ( $U_1$ ), which is significantly smaller than  $U_0$ . In other words, there is a net kinetic energy loss

234 (figure 4b). The net kinetic energy loss ( $\Delta K$ ) incurred during the copepod *Labidocera aestiva*  
235 breaking the water surface is 58-88%.

236 This energy loss, however, is compensated for by increased escape distance. After  
237 becoming airborne, the copepod can travel significantly farther than  $nL$  (i.e. the distance it  
238 otherwise travels underwater) because it now experiences the air mass density, which is  $\sim 850$   
239 times smaller than the mass density of seawater. Therefore, the copepod will experience less drag  
240 resulting in increased distance. There is no propulsive force exerted by the copepod after it  
241 becomes airborne, and the copepod undergoes ballistic motion because of gravity (and the air  
242 drag force) (figure 4a).

243 Our field observations show that copepods can effectively use aerial escapes as an anti-  
244 predator mechanism. By leaving the perceptive environment of the visual fish predators and re-  
245 entering the water up to 170 mm ( $\approx 60$  body lengths) away from the attack site, a copepod can  
246 utilize this effective strategy which appears analogous to that of some larger organisms (e.g.  
247 flying fish). An important difference, however, is that all species known to perform similar types  
248 of behavior are orders of magnitude larger than copepods. This means that copepods must  
249 contend with the reduced inertial forces (lower Reynolds number) and a greater proportion of the  
250 total energy dedicated to break the surface tension of water.

251 Consider the case of a flying fish. We calculate that flying fish lose  $<0.07\%$  of their  
252 overall kinetic energy breaking the surface tension compared to 33-39% in the case of the  
253 copepod, despite a greater magnitude of energy loss (due to larger surface area) than copepods.  
254 This is due to the fact that flying fish possess orders of magnitude more kinetic energy upon  
255 contact with the water surface because of much greater mass and underwater speeds of  $\approx 10 \text{ m s}^{-1}$   
256 [36], compared to  $\approx 1 \text{ m s}^{-1}$  in copepods. However, it should be noted that although aerial escapes

257 in larger, heavier aquatic animals lose almost no kinetic energy from surface tension effects,  
258 horizontal distances in terms of body length (for animals exhibiting ballistic aerial motion) are  
259 much shorter [37]. Thus, what appears to be a disadvantage of small mass (e.g. losing significant  
260 proportion of kinetic energy) can translate into an advantage: once the water surface is broken,  
261 the copepod travels disproportionately farther than larger animals (with ballistic flight paths). The  
262 major reason for this is that the copepod has the ability to generate and maintain a  
263 disproportionately large air-entry velocity (relative to body length) compared to larger animals. A  
264 secondary reason might be that the flying copepod experiences smaller air drag-induced  
265 deceleration than larger animals. Therefore ballistic aerial escape paths can be effective in  
266 pelagic ecosystems when the animal (and predator) is small, but are unlikely to carry a larger  
267 animal out of the perceptive range of their predator. Instead, specialized structures and behavior  
268 such as those observed in flying fish are required to extend horizontal distance above water.

269         Because escapes are energetically costly [38-40], a copepod's fitness can be reduced even  
270 without being captured by a predator. It therefore benefits the copepod to balance predation risk  
271 and energy cost by avoiding unnecessary escapes. To avoid pursuit or multiple attacks from a  
272 predator, copepods must travel to a distance outside of the perceptive range of the predator.  
273 During an escape, a copepod travels approximately 1-2 times its prosome length per stroke  
274 (calculated from [21]). For the Pontellid copepods this would result in a distance of 2-6 mm per  
275 stroke. However, even small fish can perceive prey at least 10 mm away [35, 41] thus; multiple  
276 escape jumps are required for a copepod to exit the predator's perceptive field. Therefore, if an  
277 escape occurs in air rather than water, reduced drag forces can extend escape distance. This can  
278 transport a copepod further from a predator with a single escape jump, than with multiple jumps

279 in an aqueous environment, resulting in net energy savings. They also return to the water in an  
280 unpredictable location making pursuit from the predators unlikely.

281 Finally, the Pontellid copepods may have special adaptations to make it easier for them to  
282 jump out of the water: One possible adaptation is that the body surface of those copepod species  
283 that do perform such air-entering jumps is less wettable than other copepods or crustaceans in  
284 general and thus, their surface properties may be essential for their unusual capability of  
285 breaking the water surface. Our kinetic energy budget calculation suggests that if the surface  
286 tension is not altered during the breaking of the surface (i.e. a constant  $\sigma = 0.075 \text{ N m}^{-1}$ ), in order  
287 to maintain a useful level of kinetic energy after breaking the surface the copepod body surface  
288 has to be hydrophobic, i.e. much larger contact angle in the  $68\text{-}81^\circ$  range [Fig. 4b; calculated  
289 according to Equation (4)]. Another suspected adaptation may be that the copepods inject  
290 chemicals during breaking of the surface to reduce the surface tension by 3-6 times, and  
291 therefore a useful level of air-entry kinetic energy can still be maintained even when the contact  
292 angle remains similar to published measurements for other crustaceans in the range of below  $20^\circ$   
293 [42]. Further investigation is required to find out if these adaptations indeed exist. Nevertheless,  
294 unusual morphological structures are known to exist on the dorsal side of Pontellid copepods  
295 [43], which might contribute to making the copepod body surface less wettable. However, these  
296 morphological structures make up only a small part of the animal's total surface and  
297 alternatively, pores specialized for secretion onto the body surface exist in Pontellids [44].  
298 Similar pores with currently unknown function may also be involved in secreting substances  
299 presumably to alter surface properties or surface tension of water immediately surrounding the  
300 animal. Regardless of the mechanism, escaping through air appears to be an effective strategy to  
301 not only avoid and survive attacks from predators by temporarily exiting the liquid environment

302 and exiting the predator's perceptive field, but also to conserve energy during escapes, providing  
303 a competitive advantage for Pontellid copepods in the neustonic environment.

304

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309

310 **Figure legends:**

311

312 Figure 1. Representative diagram showing the copepod, *Anomalocera ornata*, response to the  
313 approach of a planktivorous fish predator (juvenile *Mugil cephalus*). The fish swims in a  
314 random cruising pattern just below the water surface until visually encountering a  
315 copepod. a) Once located visually, the fish swims toward the copepod and attempts to  
316 ingest it. b) The approach of the fish alerts the copepod to the presence of a potential  
317 predator and the copepod responds with an aerial leap. c) The copepod travels many  
318 times its own body length and significantly further than a single escape underwater to exit  
319 the perceptive field of the predator. d) Once the copepod re-enters the water it resumes  
320 swimming at the surface. Note: Not drawn to scale.

321

322 Figure 2. a) Relationship between horizontal distance and maximum aerial velocity for two  
323 species of copepods during airborne escapes. *Anomalocera ornata* exhibits a significantly  
324 greater horizontal distance ( $P = <0.001$ ,  $\alpha = 0.050$ : 1.000 One-way ANOVA) and aerial  
325 velocity ( $P = <0.001$ ,  $\alpha = 0.050$ : 1.000 One-way ANOVA) than *Labidocera aestiva*. The  
326 larger copepod, *A. ornata*, is able to travel proportionally further per unit energy. Note:  
327 maximum aerial velocity was obtained at the moment the animal fully exited the water  
328 surface. Error bars represent Standard Deviation. b) Regression plot for *A. ornata* ( $R^2 =$   
329  $0.36$ ) and c) *L. aestiva* ( $R^2 = 0.04$ ), where *A. ornata* shows a stronger correlation of  
330 velocity with distance.

331

332 Figure 3. Two observed techniques utilized by neustonic copepods to break through surface  
333 tension of seawater during aerial escape responses. a) *Labidocera aestiva* swims below  
334 the surface and is often oriented with the anterior portion of its body toward the water  
335 surface (1). b) *Anamolcera ornata* swims at the air-water interface with its dorsal side  
336 facing the surface and ventral side facing downwards (1). After being stimulated to  
337 perform an escape, swimming appendages (pereiopods) of both species beat sequentially  
338 as antennae fold against the body as the animal is propelled forward (2). As the animals  
339 accelerate, the increase in kinetic energy allows the body to overcome surface tension  
340 forces and travel through the air (3).

341

342 Figure 4. a) Observed copepod trajectory during airborne versus a ballistic/free-fall model  
343 prediction. b) Kinetic energy loss as a function of the copepod (maximum) speed below  
344 water surface. The squares label the data obtained via 500-frames-per-second video  
345 recording, and the triangles label the data obtained via 250-frames-per-second video  
346 recording. The solid green line is a fit to the data ( $\Delta K = 1.26 \times 10^{-7} U_0^2$ , where  $U_0$  is the  
347 copepod speed below water surface). The solid blue line is the contribution to the kinetic  
348 energy loss due to water drag. The solid red line is the difference between the green line  
349 and the blue line. The 2 dashed horizontal lines represent, respectively, the work needed  
350 to overcome the surface tension in order for the copepod to be airborne for 2 assumed  
351 receding contact angles between the copepod and the seawater interface [calculated from  
352 Equation (4) for a constant  $\sigma = 0.075 \text{ N m}^{-1}$ ]. Note that the red line is bounded between  
353 these 2 dashed horizontal lines. Copepod prosome length = 1.8 mm, and aspect ratio =  
354 0.32.

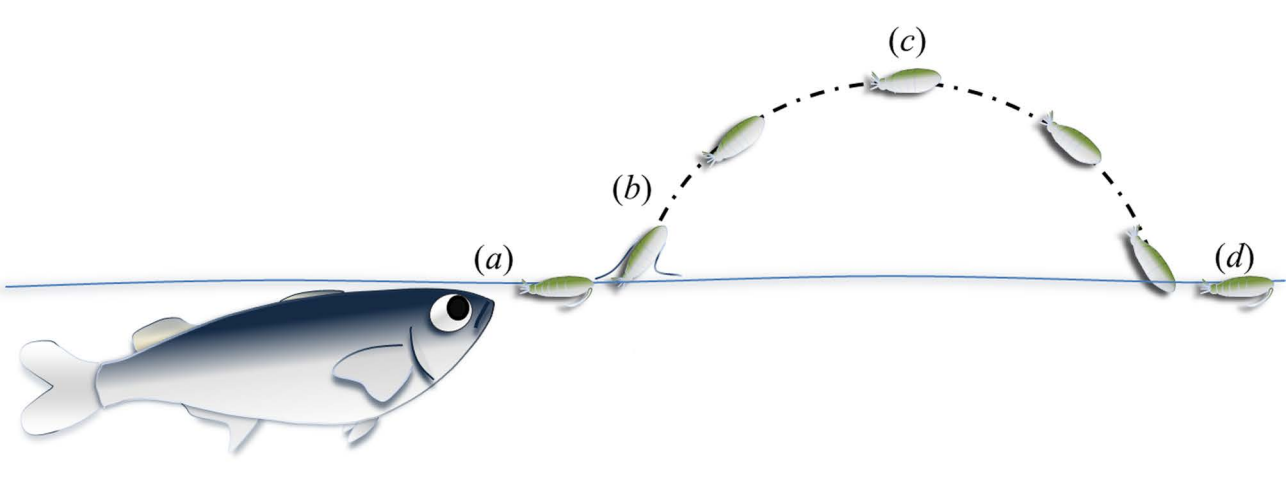


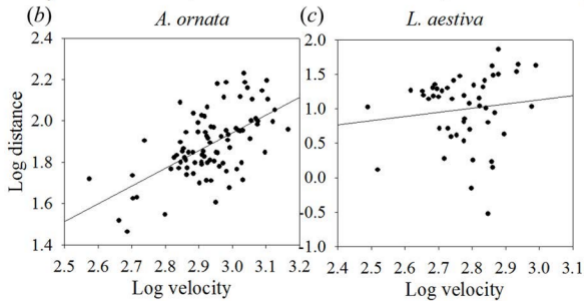
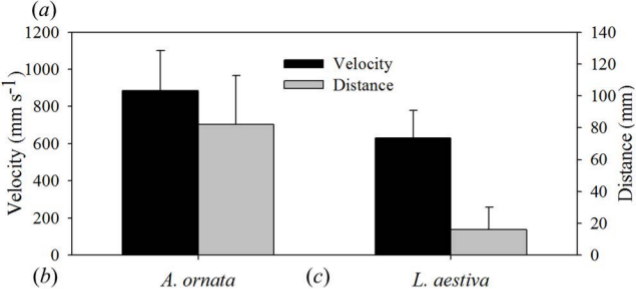
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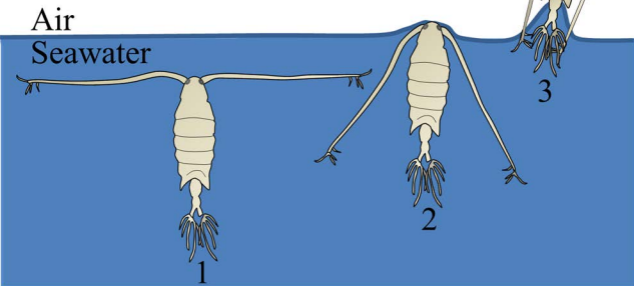
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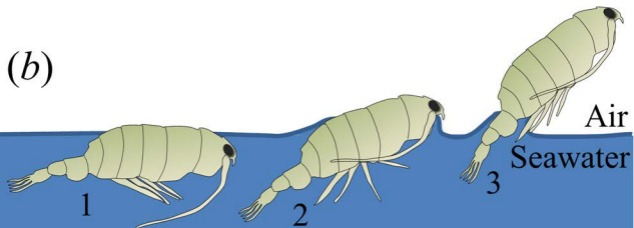




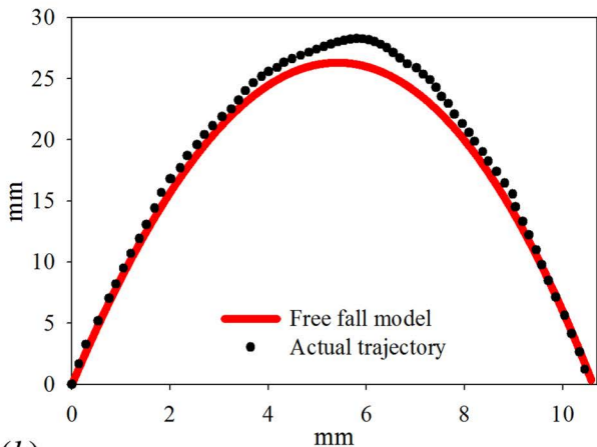
(a)



(b)



(a)



(b)

