

1 **Auditory temporal resolution and evoked responses to pulsed sounds for the**
2 **Yangtze finless porpoises (*Neophocaena phocaenoides asiaeorientalis*)**

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1 **Abstract**

2 Temporal cues are important for some forms of auditory processing, such as
3 echolocation. Among odontocetes (toothed whales, dolphins, and porpoises), it has been
4 suggested that porpoises may have temporal processing abilities which differ from other
5 odontocetes because of their relatively narrow auditory filters and longer duration echolocation
6 signals. This study examined auditory temporal resolution in two Yangtze finless porpoises
7 (*Neophocaena phocaenoides asiaeorientalis*) using auditory evoked potentials (AEPs) to
8 measure: (i) rate following responses and modulation rate transfer function for 100 kHz centered
9 pulse sounds and (ii) hearing thresholds and response amplitudes generated by individual pulses
10 of different durations. The animals followed pulses well at modulation rates up to 1250 Hz, after
11 which response amplitudes declined until extinguished beyond 2500 Hz. The subjects had
12 significantly better hearing thresholds for longer, narrower-band pulses similar to porpoise
13 echolocation signals compared to brief, broadband sounds resembling dolphin clicks. Results
14 indicate that the Yangtze finless porpoise follows individual acoustic signals at rates similar to
15 other odontocetes tested. Relatively good sensitivity for longer duration, narrow-band signals
16 suggests that finless porpoise hearing is well-suited to detect their unique echolocation signals.

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18

1 **Introduction**

2 Among mammals, odontocetes (toothed whales, dolphins and porpoises) have relatively
3 rapid auditory temporal processing abilities. This may partially be an adaption for echolocation,
4 which requires comparatively short processing times, high temporal resolution, to discriminate
5 cues (see Bullock et al. 1968; Au et al. 1988; Au 1993). Higher processing speeds are also
6 associated with high frequency hearing and wide auditory filters. Wide filters (often found at
7 higher frequencies) are considered to have shorter temporal responses, reducing “ringing” after
8 stimulus cessation, and thus allowing less physiological overlap between two stimuli close in
9 time (Viemeister and Plack 1993). In many mammals wider filters also result in decreased
10 frequency discrimination. Odontocetes are unusual in that they combine high temporal resolution
11 with narrow frequency discrimination (Supin and Popov 1995; Supin et al. 2001). However, it is
12 not clear how temporal processing abilities vary between most odontocetes. Species can differ in
13 filter bandwidths, hearing ranges or echolocation parameters, and these characteristics may
14 impact temporal resolution abilities.

15 The Yangtze finless porpoise (*Neophocaena phocaenoides asiaeorientalis*) is a
16 freshwater odontocete that may differ in temporal resolution capabilities. They show a relative
17 narrowing of auditory filters, or a filter quality (the ratio of filter center frequency to its passband
18 width, also referred to as Q) of more than 40 at higher frequencies (Popov et al. 2006). While
19 other odontocetes tested also show a narrowing, it is typically to a lesser extent. For example,
20 the high-frequency Q of the bottlenose dolphin (*Tursiops truncatus*) is near 20 (Popov et al.
21 1996). This high Q and filter narrowing for the porpoise would imply improved frequency
22 discrimination but decreased auditory temporal resolution.

1 Finless porpoise echolocation sounds are distinct from the short, broadband clicks of
2 most delphinids (i.e. family Delphinidae). These signals are typically narrowband (20 ± 4.24
3 kHz in 3-dB bandwidth) and on average fairly long duration at $\sim 70 \mu\text{s}$ with some continuing, up
4 to $120 \mu\text{s}$ with 10 or more sinusoid cycles (Li et al. 2005). With longer duration echolocation
5 pulses, it is possible that the finless porpoise may not require as high temporal processing speeds,
6 or as precise resolution, as the dolphin. Perhaps the porpoise auditory system may show
7 improved detection of longer, narrower-band signals.

8 The high-frequency limit of finless porpoise hearing is 95 dB re $1 \mu\text{Pa}$ at 152 kHz (Popov
9 et al. 2005). While 95 dB is sensitive for this frequency, and they have not been tested higher,
10 152 kHz is not exceedingly high for an odontocete. Bottlenose dolphins thresholds are 137 dB at
11 150 kHz, *Lagenorhynchus albirostris* hear 99 and 120 dB at 152 and 181 kHz respectively, and
12 *Phocoena phocoena* thresholds are 106 dB at 180 kHz (Johnson 1966; Kastelein et al. 2002;
13 Nachtigall et al. 2008). If the finless porpoise has a high-frequency cut-off similar to other
14 odontocetes, their temporal resolution may also be similar to other odontocetes. To resolve these
15 uncertainties there is a need to evaluate how the finless porpoise temporally processes sounds
16 and whether echolocation processing, filter bandwidth or high-frequency hearing limits may
17 dominantly affect their temporal resolution abilities.

18 Auditory evoked potential (AEPs) are frequently used to examine odontocete temporal
19 processing (Nachtigall et al. 2007). These evoked potential methods allow rapid non-invasive
20 data collection with thresholds comparable to those obtained by behavioral methods (Yuen et al.
21 2005; Finneran and Houser 2006). Temporal resolution is estimated using AEPs by presenting
22 brief stimuli (either broadband clicks or sinusoidally amplitude modulated tones) at specified
23 rates (Vermeister 1979; Supin and Popov 1995). At lower presentation rates, AEP responses are

1 linked to each input, yielding a rate or envelope following response (RFR or EFR). Rate specific
2 RFR amplitudes determine the modulation rate transfer function (MRTF) which resembles a
3 low-pass filter function; response amplitudes are higher for rates for which individual pulses are
4 distinguished better. Response amplitudes decrease at faster repetition rates because a subject's
5 AEPs no longer follow individual pulses and responses are similar to the detection of a
6 continuous sound. The corner, high-frequency cutoff of the MRTF reflects the limit of an
7 auditory system's ability to follow stimuli presented close in time and can be applied to estimate
8 maximum auditory temporal resolution (Vermeister 1979; Supin and Popov 1995).

9 AEP temporal resolution measures have been obtained for several odontocete species
10 (Supin and Popov 1995; Szymanski et al. 1998; Cook et al. 2006; Mooney et al. 2006; Finneran
11 et al. 2007; Mooney et al. 2009), but not to date for any porpoise species. In this study we
12 measured auditory temporal resolution of the Yangtze finless porpoise using MRTFs and
13 examined finless porpoise hearing capabilities of simulated echolocation pulses varying in
14 duration. Consequent pulses mirrored short duration dolphin clicks and longer duration porpoise
15 signals. The goal was to investigate how finless porpoises detect brief sounds. The results are
16 viewed in light of their echolocation, hearing capabilities and the evolution of auditory temporal
17 processing.

18

19 **Methods**

20 The hearing of two Yangtze finless porpoise (one male and one female) was tested in
21 April 2010 at the Institute of Hydrobiology, Chinese Academy of Sciences, Wuhan, China where
22 they have been maintained for the last 6 and 14 years, respectively. At the time of the
23 experiments, the male (Abao) was estimated to be 15 years old, weighed 47.8 kg, and was 145

1 cm in length. The female (Ying Ying) weighed 41.8 kg, was 142 cm long and was considered a
2 similar age. These animals were among six porpoises housed at the facilities. All animals were
3 maintained in large cement pools which received filtered water from the Yangtze River.

4 Hearing test experiments were conducted on the platform of a smaller pool which was
5 fitted with a soft, foam mat (Fig.1). The animal rested on this mat, out of the water, for the
6 course of a hearing test session (~60 min). Afterwards, the animal was gently lowered back to
7 the shallow pool water and the pool was refilled. The basic audiogram of the female was
8 collected in-water several years prior (Popov et al. 2005). Subsequent probe hearing tests at 8,
9 54, and 120 kHz showed both animals had sensitive hearing abilities (Table 1).

10

11 *Acoustic stimuli*

12 Sound stimuli were broadband pulses digitally created using a custom LabView (National
13 Instruments, Austin, TX, USA) program operating in a laptop computer. The signal was
14 converted from digital to analog with a National Instruments PCMCIA-6062E data acquisition
15 card using an update rate of 512 kHz. The pulse was then sent to a HP 350D attenuator (Palo
16 Alto, CA, USA) which could control sound levels in 1 dB (re 1 μ Pa) increments. From the
17 attenuator, the signal was monitored on a digital oscilloscope (Tektronix TPS 2014; Beaverton,
18 OR, USA) and played to the animal using a ‘jawphone’ transducer. The jawphone consisted of a
19 Reson 4013 transducer (Slangerup, Denmark) implanted in a custom silicone suction-cup
20 (KE1300T, Shin-Etsu, Tokyo, Japan). This cup could be non-invasively attached to the lower
21 jaw of the animal and sounds were presented directly to the porpoise. This location was
22 predetermined as a region of acoustic sensitivity. The jawphone was attached to the animal

1 using conductive gel (Signagel, Parker Laboratories, Fairfield, NJ, USA) which eliminated
2 reflective air gaps between the cup and the animal's skin.

3 The stimulus pulses were centered at 100 kHz. For the MRTFs, pulses were generated
4 using 2 cycles of a 100 kHz sine wave resulting in a 30 μ s stimulus. These were produced in 20
5 ms bouts with the pulses presented at varying rates. Rates were varied from 125 to 4000 Hz
6 (125, 250, 375, 500, 625, 750, 875, 1000, 1125, 1250, 1375, 1500, 1750, 2000, 2500, 3000,
7 3500, and 4000 Hz). One thousand bouts were repeated for each rate. For evoked potential
8 response measurements with varied pulse duration, the 100 kHz centered pulses were presented
9 with the number cycles varying from 1-10. The designed duration of these pulses was from 10-
10 100 μ s (see calibrations below). This was to evaluate relative response differences between short,
11 broadband pulses, similar to dolphin clicks, and longer duration, narrower pulses with more
12 carrier frequency cycles, which reflect porpoise echolocation signals.

13 Jawphone stimuli were calibrated in the water at the test facility before the experiment
14 using the same sounds as in the hearing tests. While calibration measurements were in the free-
15 and far-fields, it is acknowledged that jawphone presented stimuli were not received by the
16 animal in this manner. However, this calibration allows for some comparisons with how sounds
17 may be received in the far-field while recognizing the differences between free-field and contact
18 transducer measurements (Cook et al. 2006; Finneran and Houser 2006). Received
19 measurements were made using a Reson 4013 transducer. The jawphone projector and receiver
20 were placed 1 m apart at 1 m depth. The received signals were viewed on an oscilloscope
21 (Tektronix TPS 2014, Beaverton, OR, USA) and the peak-to-peak voltages (V_{p-p}) were
22 measured. These values were then calculated into sound pressure levels (SPL, dB_{p-p} re 1 μ Pa) as
23 is standard to measure odontocete click intensities due to the inherent brevity of the signals (Au,

1 1993). The stimuli were also recorded using the custom data acquisition program. Sound
2 records were sampled at a rate of 512 kHz and stored as a mean of ten stimuli. From these
3 recorded files and the dB_{p-p} it was possible to calculate and compare the energy flux density of
4 the pulses (dB re $1 \mu Pa^2 \cdot s$), a valuable metric of short signals which vary in duration (Madsen
5 2005). Fast Fourier transforms of the recorded files allowed us to view the spectra of the pulses.
6 From these we confirmed the center frequency of each pulse type (following Au 1993; Madsen
7 and Wahlberg 2007). Pulses were centered at 100 ± 3 kHz, with the exception of the 3 cycle
8 pulse, which was centered at 93 kHz. Pulse durations were also measured from the recorded
9 files and characterized as time between two points at which the wave oscillations rose from and
10 descended into the background noise (Au 1993; Li et al. 2005). Durations for pulses generated
11 with 1 through 10 cycles were 28, 30, 41, 43, 57, 59, 65, 78, 84, and 91 μs respectively (Fig 2).
12 Analyses were conducted using EXCEL, MiniTab and MatLab software.

13

14 *Evoked potential hearing tests*

15 Hearing tests were conducted using auditory evoked potential methodology. Evoked
16 responses were collected using three 1-cm diameter gold-plated electroencephalogram
17 electrodes (Grass Technologies, Astro-Med, Warwick, RI, USA) implanted in custom built
18 silicone suction cups. The active (or non-inverting) electrode was placed several cm behind the
19 blowhole, along the midline of the animal. A reference (inverting) electrode was attached to the
20 posterior peduncle and a ground electrode was placed on the tail fin of the porpoise. The cups
21 were attached using the aforementioned conductive gel. The incoming electrophysiological
22 signals were amplified 10,000x and filtered with a biological amplifier (Grass Technologies
23 CP511, Warwick, RI, USA). The filter bandpass settings were typically 300-3000 Hz, but were

1 increased to 100-3000 or 300-10,000 Hz depending on the stimulus presentation rate. Responses
2 were then conducted to the data acquisition card and custom program where they were sampled
3 at 16 kHz, and then recorded and stored on the laptop computer. The responses were collected in
4 30 ms records that began coincident with the stimulus presentation. There was a 20 ms break
5 before the stimulus/AEP recording began again; 1000 responses were collected for each trial.
6 These records were averaged and stored as the mean response. This step served to reduce
7 unwanted electrophysiological noise. Unaveraged responses were monitored on the oscilloscope
8 during the experimental sessions.

9 Recorded response waveforms were first viewed relative to time (Fig 3). By taking a fast
10 Fourier transform (FFT) of the response waveforms, response energy was also examined in the
11 frequency domain (Fig. 4). In these records, a 16-ms portion of the evoked potential waveform,
12 from 5-21 ms, was used for the FFT. If a following response was detectable, a peak in the
13 spectrum occurred at the corresponding stimulus rate. To obtain the MRTF for both porpoises,
14 the spectra amplitude value at the presentation rate was plotted relative to the corresponding
15 presentation rate. However, this may weigh following responses at different rates unevenly
16 because at lower presentation rates fewer pulses are presented per 20 ms bout. To compensate
17 for this, “weighted” MRTFs were calculated by (i) the sum of values from the presentation rate
18 peak and its harmonics and (ii) the square root of the sum of the squares of the fundamental and
19 the harmonics (Fig. 5). These methods follow previous work and allow for comparisons to other
20 studies (e.g., Supin and Popov 1995; Szymanski et al. 1998; Mooney et al. 2006).

21 The probe hearing tests were conducted in the same manner but sinusoidally amplitude
22 modulated tones of 8, 54, and 120 kHz were presented. Thresholds were determined by FFT of
23 the following responses, plotting the peaks of those FFTed responses, matching a regression to

1 fit those peak values, and determining the threshold as the SPL that the response is zero. These
2 methods have been well described elsewhere (Nachtigall et al. 2007).

3

4 **Results**

5 *Rate following responses*

6 Results were similar for both animals and have been summarized together (unless
7 otherwise stated). At lower stimulus presentation rates, distinct AEP responses were detectable
8 for each individual pulse (Fig. 3). Individual evoked responses consisted of 3-4 waves occurring
9 2-5 ms after stimulus onset. As stimulus rate increased, the individual evoked potential
10 responses blended to a sinusoidal following response which was clearly distinguishable at mid-
11 frequency rates of 1000-1250 Hz. At 1500-2000 Hz, following responses were detectable but
12 diminished; the onset response to the pulse train was easily identifiable. Beyond 2500 Hz, the
13 following responses were not visible and only the onset response to the stimulus was easily seen.

14 At lower modulation rates FFT peaks occurred at both the fundamental stimulus rate as
15 well as its harmonic intervals (Fig 4). This was evident at the 250 Hz pulse rate, where FFT
16 peaks occurred not only at 250, but 500, 750, 1000, and so on up to ~3000 Hz. Similarly, at
17 1250 Hz pulse rate, FFT peaks were seen at 1250 and 2500 Hz. With a lack of following
18 responses at the higher modulation rates such as 3500 Hz, no clear peak was distinguishable
19 from the background noise.

20 There was some variability between the porpoises' MRTF values at the lower modulation
21 rates (Fig 5). Because they incorporate the harmonic peaks, weighted MRTFs impacted the
22 responses to lower frequency modulation rates more dramatically. This was reflected in the high
23 MRTF values at 125 and 250 Hz for the summed MRTF and elevated lower frequency values for

1 the squared MRTF. Above 250 Hz, the MRTFs were generally the shape of low-pass filters, i.e.,
2 good responses up to a corner frequency, after which responses dropped off steeply. This shape
3 suggests that individual pulses can be followed well up to, or near, the corner frequency. The
4 unweighted following rate maximums were 1125 Hz for the male and 1000 Hz for the female;
5 the maximum mean value, or corner frequency, was 1250 Hz. Secondary, lower-frequency
6 peaks were evident at 625 Hz. Minima were at 375 and 875 Hz. Temporal resolution limit is
7 often calculated as -20 dB of the maximum corner frequency (Mulsow and Reichmuth 2007); -20
8 dB here was just over 2000 Hz for both the male and female porpoise. The weighted MRTFs
9 reflected similar trends.

10 The response spectra of AEP waveforms to single pulses were calculated using a 256
11 point FFT of the mean of ten evoked potential responses (Fig. 6). Similar to the MRTF, peaks
12 were evident at lower (250, 675 Hz) and higher frequencies (1125, 1375, 1625 Hz). Minima
13 were also evident at 375 and 875 Hz. After 1625 Hz, energy in the responses decreased rapidly
14 towards 2000 Hz. However, energy in the waveforms was still evident out to 2500 Hz where a
15 small peak was found.

16

17 *Varying pulse duration*

18 Thresholds to pulse stimuli of 1, 2, 5 and 10 cycles (28, 30, 57 and 91 μ s) were calculated
19 using cross-correlation of evoked potential waveforms. Responses were cross-correlated with a
20 standard response (Fig. 7). The peak values of the cross-correlation function were then plotted
21 relative to the corresponding sound level which produced the AEP responses. A regression was
22 fitted to these values and the SPL at which the regression line crossed zero response amplitude
23 was taken as the estimated threshold. Hearing thresholds were lower for longer pulses with a

1 greater number of cycles (pooled data for the male and female using a two sample t-test; $p < 0.01$;
2 $df = 6$; Fig. 8). Thresholds were not significantly different across pulse durations when stimuli
3 were calculated using energy flux density (Fig. 8b).

4 AEP_{p-p} response amplitudes were also evaluated at constant SPLs (both 95 and 105 dB)
5 but using a varied duration (Fig 9). The resulting AEP_{p-p} response amplitudes varied
6 considerably. The shortest and longest duration pulses (28, 84 and 91 μ s; 1, 9 and 10 cycles) had
7 lower response amplitudes. Pulses of 30-57 μ s (2-5 cycles) produced greater AEP_{p-p} responses
8 and values generally declined from 43-91 μ s (4 to 10 cycles). Other waveform attributes (e.g.,
9 latency, number of waves) did not substantially change. While the AEP_{p-p} changed with pulse
10 duration, response amplitudes at certain super-threshold levels did not necessarily correlate with
11 hearing thresholds, i.e., lower AEP_{p-p} responses did not mean higher thresholds and vice-versa.

12

13 **Discussion**

14 *Temporal resolution*

15 The finless porpoise MRTFs calculated here were a low-pass filter shape, similar to other
16 odontocete species examined (Supin and Popov 1995; Szymanski et al. 1998; Cook et al. 2006;
17 Mooney et al. 2006; Finneran et al. 2007; Mooney et al. 2009) suggesting some evolutionary
18 conservation of auditory temporal resolution among odontocetes. High-frequency MRTF peaks
19 were visible at 1000, 1125 and 1250 Hz. The “corner” frequency was approximately 1250 Hz,
20 indicating the porpoises followed pulse rates well up to that frequency. Beyond 1250 Hz, the
21 following responses, FFT peaks and the corresponding MRTF values decreased. However, rate
22 following responses were detectable up to 2000-2500 Hz suggesting at least some degree of
23 auditory temporal resolution at these very high pulse rates (Fig 5).

1 The MRTF corner frequency is typically used as the modulation rate of amplitude
2 modulated tones in measurement of AEP audiograms (Nachtigall et al. 2007). There was slight
3 variability between the porpoises as the corner frequency was easily distinguished for the female
4 and not as obvious in the male MRTF. A 1250 Hz corner frequency is on par with bottlenose
5 dolphin MRTFs but slightly higher than most other odontocete species (Supin and Popov 1995;
6 Mooney et al. 2009). For example, corner frequencies include 800 Hz for *Orcinus orca*, 1000 Hz
7 for *Grampus griseus*, 1000 Hz for *Delphinapterus leucas* and 1125 Hz for *Lagenorhynchus*
8 *albirostris* (Szymanski et al. 1998; Klishin et al. 2000; Mooney et al. 2006; Mooney et al. 2009).
9 While there might be a slight improvement, finless porpoise temporal resolution is not
10 substantially higher than other odontocetes. Considering the MRTF similarities among
11 odontocetes, it is likely these data are representative for other porpoise species. Yet, the Yangtze
12 finless porpoise is confined to freshwater and sound speeds are slightly slower than salt water
13 (Urick 1983). It is suggested that comparable temporal resolution data should be acquired for
14 species such as the harbor (*Phocoena phocoena*) and Dall's porpoise (*Phocoenoides dalli*) to
15 more completely assess porpoise sound processing speeds.

16 Similar to other odontocetes, the spectrum of an AEP waveform to a single pulse was
17 generally reflective of the shape and frequency response of the MRTF. Due to these similarities
18 in troughs and peaks, it has been suggested that the AEP response characteristics (produced by
19 several anatomical generators with differing response latencies) may influence MRTF shape
20 (Supin and Popov 1995). The different peaks in the click AEP likely result from populations of
21 neurons in different brain centers firing synchronously. The power spectrum of a click AEP
22 represents the speed of AEP signals moving between response generators. In this sense, it may
23 be reflective of temporal resolution but it is likely more representative of the speed of signal

1 transmission in the brain. Thus, the similarities between AEP spectra and MRTF do not support
2 using AEP spectra to gauge temporal resolution abilities. At lower frequencies the spectral
3 minima (e.g., 375 and 875 Hz) do not indicate decreased temporal processing abilities because
4 the animal's waveforms reflect clear responses. Odontocete temporal processing abilities at
5 these rates is also supported by psychophysical data from gap detection and masking studies
6 (Moore et al. 1984; Au et al. 1988). The porpoise AEP spectrum had substantial energy at 1500
7 Hz and a second peak at 2500 Hz, suggesting it does not constrain the MRTF maximum
8 following response. The decreasing MRTF values at higher rates likely reflect the capability of
9 the neuronal structures to follow the rate presented (Supin and Popov 1995). Estimates of
10 temporal resolution based on evoked potentials can differ from perceptual temporal resolution
11 results, although direct comparisons are limited because the methodologies, species and
12 questions addressed are very different (Moore et al. 1984; Au et al. 1988). But by generally
13 comparing these data it can be determined that maximal perceptual temporal processing rates are
14 not less than the MRTF upper frequency (i.e., the limits set by the peripheral auditory evoked
15 potential generators).

16

17 *Pulse duration and AEP responses*

18 Pulsed stimuli of varied duration but constant SPL impacted evoked potential response
19 characteristics in several ways. First, hearing thresholds were 12 dB SPL lower for the longer
20 duration pulses (Fig 8). Most likely, this has to do with the energy in the pulse and perhaps the
21 pulse bandwidth. For a longer duration pulse there is substantially more energy to detect. When
22 considering brief sounds, longer durations substantially improve signal detection (Johnson 1968;
23 Au et al. 1988). The 10 cycle, 91 μ s, pulses had an energy flux density (dB re 1 μ Pa²•s) 8-10 dB

1 higher than the shorter pulses (1 or 2 cycles; 28, 30 μ s). Consequently, hearing thresholds for
2 longer pulses were only slightly more sensitive than short pulse thresholds when stimuli were
3 calculated using energy flux density. Because energy is important for hearing, providing
4 thresholds in energy flux density may yield more biologically accurate thresholds for brief
5 sounds (Fig 8b). For a porpoise echolocating in the wild, longer duration pulses and echoes may
6 improve signal detection and be an important adaptation for animals producing pulses with lower
7 peak-to-peak source levels (Li et al. 2006).

8 The shorter pulses also had much broader bandwidth including frequencies for which the
9 porpoise is not as sensitive. The 1 and 2 cycle pulses (28 and 30 μ s) of 105 dB had -10 dB
10 bandwidths of near 80 kHz whereas 9 and 10 cycle pulses (84 and 91 μ s) were 26-30 kHz. For
11 our longer, higher-frequency pulses, more energy was concentrated in regions of best sensitivity
12 (near 100 kHz) and consequently evoked potential results demonstrated lower hearing
13 thresholds. Conversely, these porpoises may not be well adapted to hear broadband pulses with
14 significant lower-frequency energy (as in some dolphin clicks) (Au 1993). Specializing to
15 primarily detect high-frequency pulses suggests that typical mammalian high-frequency hearing
16 loss may be particularly detrimental to finless porpoise hearing and echolocation abilities.
17 Population level hearing measurements would be valuable to evaluate natural hearing loss trends
18 and better understand finless porpoise auditory biology.

19 Finally, amplitudes of evoked potential waveforms differed for the various pulse
20 durations (Fig 9). Lower AEP_{p-p} amplitudes at certain supra-threshold sound levels did not
21 coincide with higher thresholds. While the amplitude variation may be random variation, they
22 might also reflect actual differences based on pulse characteristics. It could be that the slopes of
23 the AEP amplitude-versus-sound levels are different among the pulses with different cycles and

1 duration. In terrestrial mammals, stimulus duration contributes to AEP amplitudes up to a certain
2 saturation point (Skinner and Jones 1968; Ostroff et al. 2003). This may have been the case here
3 for the shortest pulses, as amplitude increased then leveled off. For the longer pulses, amplitudes
4 actually decreased with duration. This may be a reflection of the narrowing of the pulse
5 bandwidth. In dolphins, narrower band stimuli produce decreased AEP amplitude responses,
6 especially at higher frequencies (Popov and Supin 2001).

7 The finless porpoise hearing range does not provide them substantial advancements in
8 auditory temporal processing compared to other odontocetes. Their high frequency hearing is
9 sensitive but comparable to other odontocetes, perhaps resulting in similar temporal resolution
10 abilities. Additionally, odontocete high frequency filters may be broad enough that bandwidth
11 does not constrain temporal resolution and other factors such as neural refractory periods and
12 adaption limit resolution. In either case, following sounds well at rates up to 1250/s with a
13 temporal resolution shorter than 1 ms may be sufficient for echolocating porpoises because their
14 inter-click-intervals are not less than several ms, varying typically between 10-80 ms (Akamatsu
15 et al. 2007).

16 Because more sensitive hearing abilities were found using longer duration, high-
17 frequency pulses, it seems that both signal duration and perhaps the center frequency component
18 are vital to detection of short sounds in the present subjects. The finless porpoise hearing may
19 better detect their longer, narrower pulses. It is uncertain whether this is also the case for
20 delphinids which produce shorter duration, broader band clicks. It has been shown that *T.*
21 *truncatus* detects short, broadband echoes at slightly lower energy levels relative to longer tones
22 (Au et al. 2002). However, this behavioral study used repeating, complex echoes of 500 μ s
23 duration. We suggest detection of single pulses be further explored in delphinids and other

1 porpoises to compare how differing odontocete species detect and process temporally varied
2 sounds.

3

4 **Abbreviations**

5 AEP – auditory evoked potential

6 FFT – fast Fourier transform

7 MRTF – modulation rate transfer function

8 pe rms – peak-equivalent root-mean square

9 p-p – peak-to-peak

10

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23

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1 Table 1. Probe hearing thresholds of two finless porpoise in dB re 1 μ Pa rms).

2

Frequency (kHz)	8	54	120
Male	59.1	47.4	52.54
Female	62.9	37.5	54.9

3

1 **Fig. 1** Experimental setup. During the hearing tests, the respective porpoise was situated out of
2 the water on several foam mats and kept moist using wet towels and continuous watering via a
3 hose. Sound was presented using a suction cup jawphone (1). Responses were recorded using a
4 three electrode configuration with the non-inverting electrode (2) just behind the blowhole, the
5 ground on the animals back (3) and the inverting electrode on the fluke (4).

6
7 **Fig. 2** Various stimuli, each created using a 100 kHz waveform and labeled by the number of
8 cycles used to generate each pulse. The 2 cycle pulse was used for the MRTF and temporal
9 resolution experiments.

10
11 **Fig. 3** Porpoise rate following responses (male subject) to pulses played at rates of 250, 1250,
12 2000 and 3500 Hz. Note the individual waveforms for each pulse at 250 Hz, indicated by a box.
13 At 1250 Hz, the RFR is similar to a sine wave. At 2000 Hz, individual following responses are
14 clear but the dominant response is the stimulus onset. At 3500 Hz, there is no indication of
15 following individual pulses, but the stimulus onset response is notable.

16
17 **Fig. 4** Spectra of the responses viewed by fast Fourier transforms of the RFRs in Fig. 3. Peak
18 amplitudes at the repetition frequency indicate RFR magnitude. There are peaks at the repetition
19 frequency and harmonics of that frequency for 250 and 1250 Hz. A peak is visible at 2000 Hz,
20 indicating following of that repetition rate. There is no clear peak in the 3500 Hz spectrum
21 indicating the animal did not follow individual pulses at that rate.

22

1 **Fig. 5** (a) Male (black) and female (grey) finless porpoise MRTFs calculated using FFT peak
2 values at the presentation rate (thin lines) and the square root of the summed squares of the
3 fundamental and harmonic peaks (bold lines). The “Sum” method data were similar to the peak
4 data. (b) Mean MRTFs (both animals) used to estimate auditory temporal resolution calculated
5 using FFT peak values at the presentation rate (Peak), the summation of the fundamental and
6 harmonic peaks (Sum) and the square root of the summed squares of the fundamental and
7 harmonic peaks (Square). Corner frequencies were 1125-1250 Hz.

8

9 **Fig. 6** The mean spectrum of ten AEP responses (256 point FFT; ordinate axis is linear scale).
10 Two representative AEP waveforms are inset for reference. Note the spectral peaks near 1500
11 Hz and similar, but slightly higher spectrum than the MRTF results (Fig. 5).

12

13 **Fig. 7** Threshold calculations of AEP waveforms using cross correlation and regression analyses.
14 (a) indicates the AEP waveforms cross-correlated with a standard (the top trace), (b) shows the
15 cross-correlation functions, and (c) illustrates the peak values of those functions (black dots)
16 relative to the corresponding sound level which generated the response. A best-fit regression
17 (open squares) is fitted to the peak values and used to estimate the sound level at which
18 theoretically no response occurs.

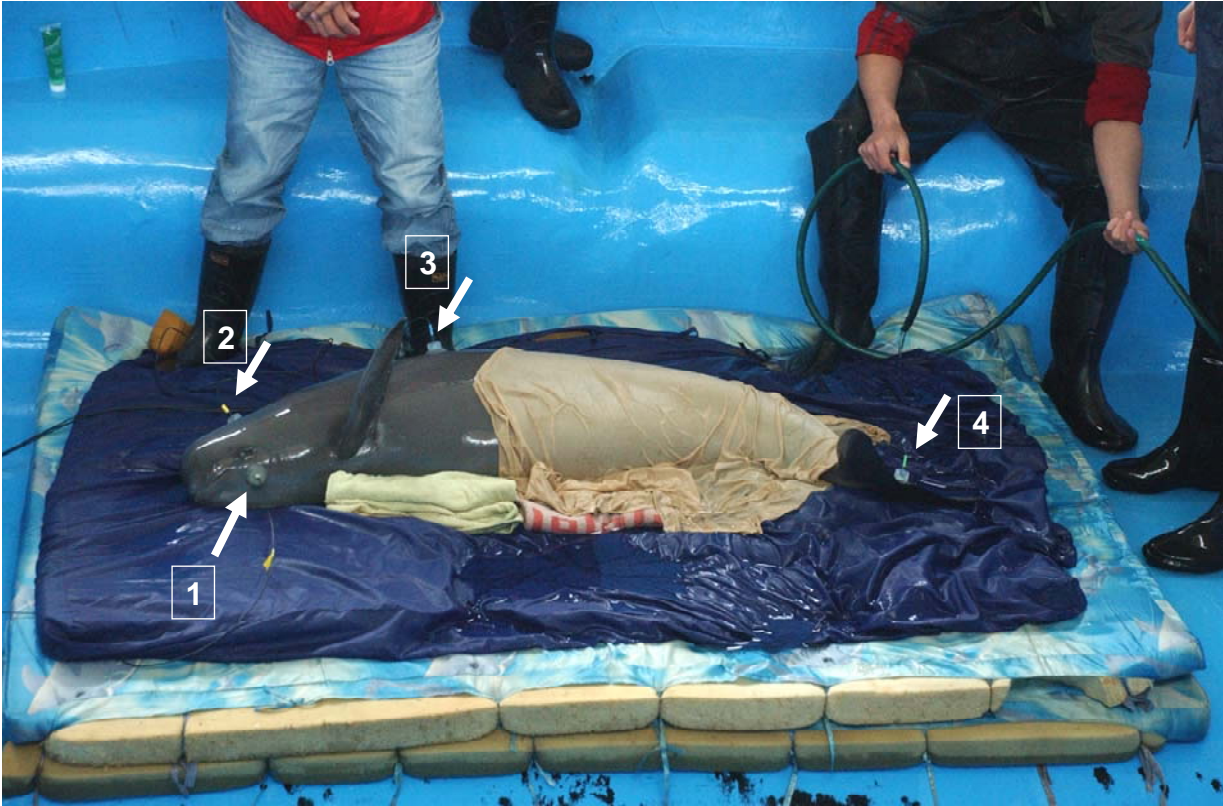
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20 **Fig. 8** Thresholds of responses generated with pulses of various cycles presented SPL (a) and
21 energy flux density (b). Five and ten cycle (57 and 91 μ s) pulses generated significantly lower
22 thresholds for both porpoises (male and female data are pooled and examined using a two sample
23 t-test; $p < 0.01$; $df = 6$).

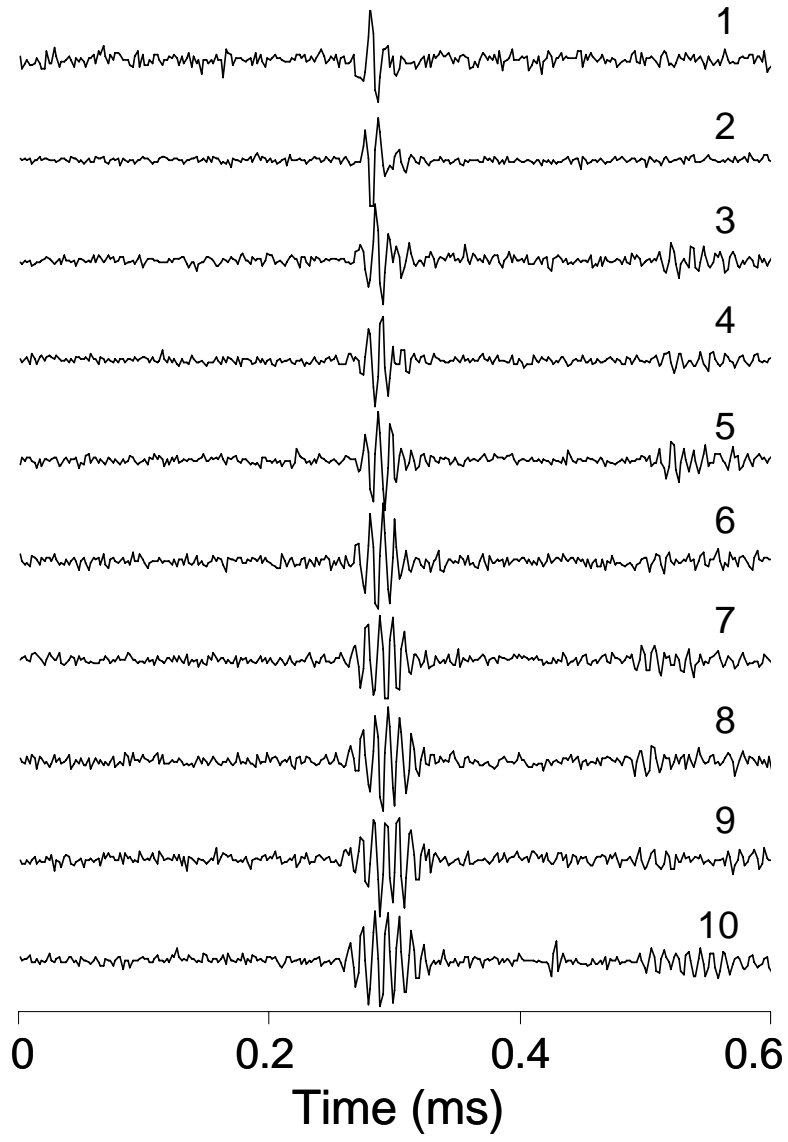
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2 **Fig. 9** (a) Peak-to-peak amplitude of AEP responses (waves 2, 3, 4) for corresponding pulse
3 cycles. Sound levels were kept constant at 95 dB re: 1 $\mu\text{Pa}_{\text{p-p}}$ (mean of both animals). Stimuli
4 energy flux density is plotted in blue dots (right axis). (b) Waveforms of representative
5 responses to various pulses (one animal). Note waveform shape is constant, although p-p
6 amplitudes changes.

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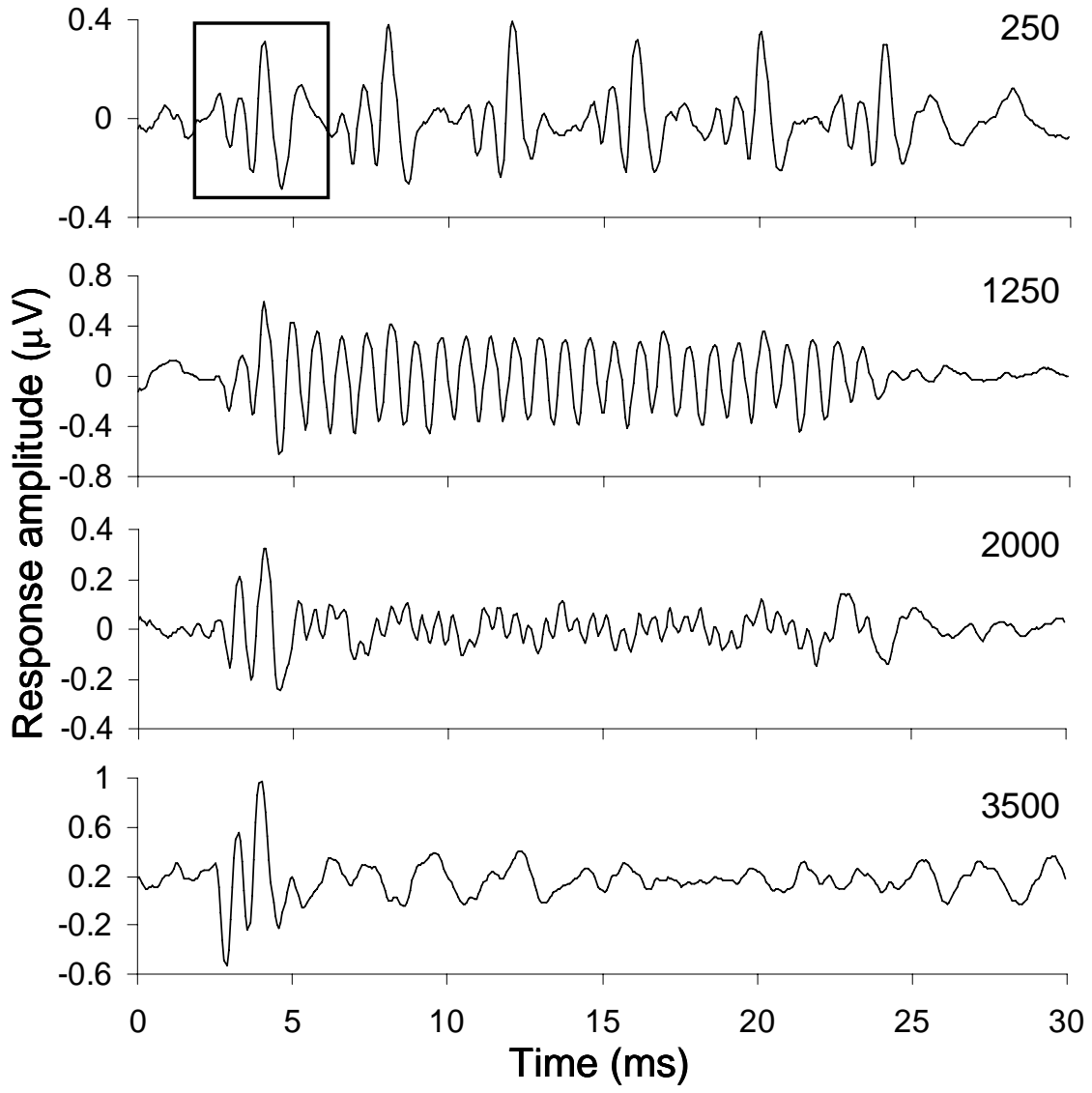
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Figure 3

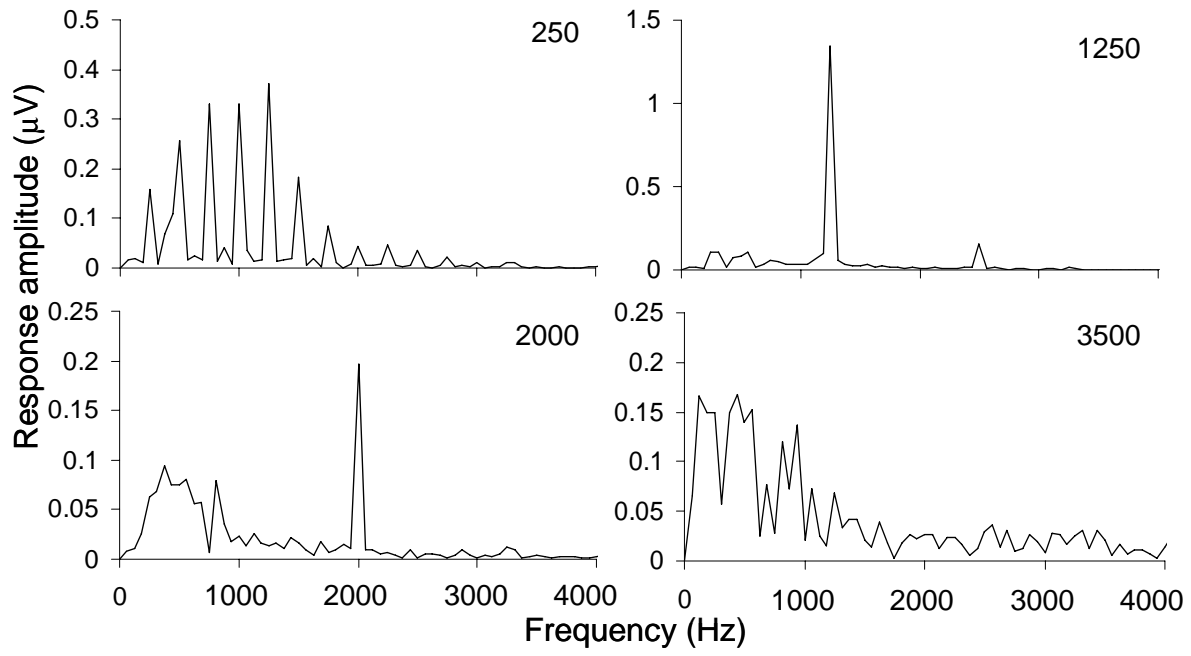


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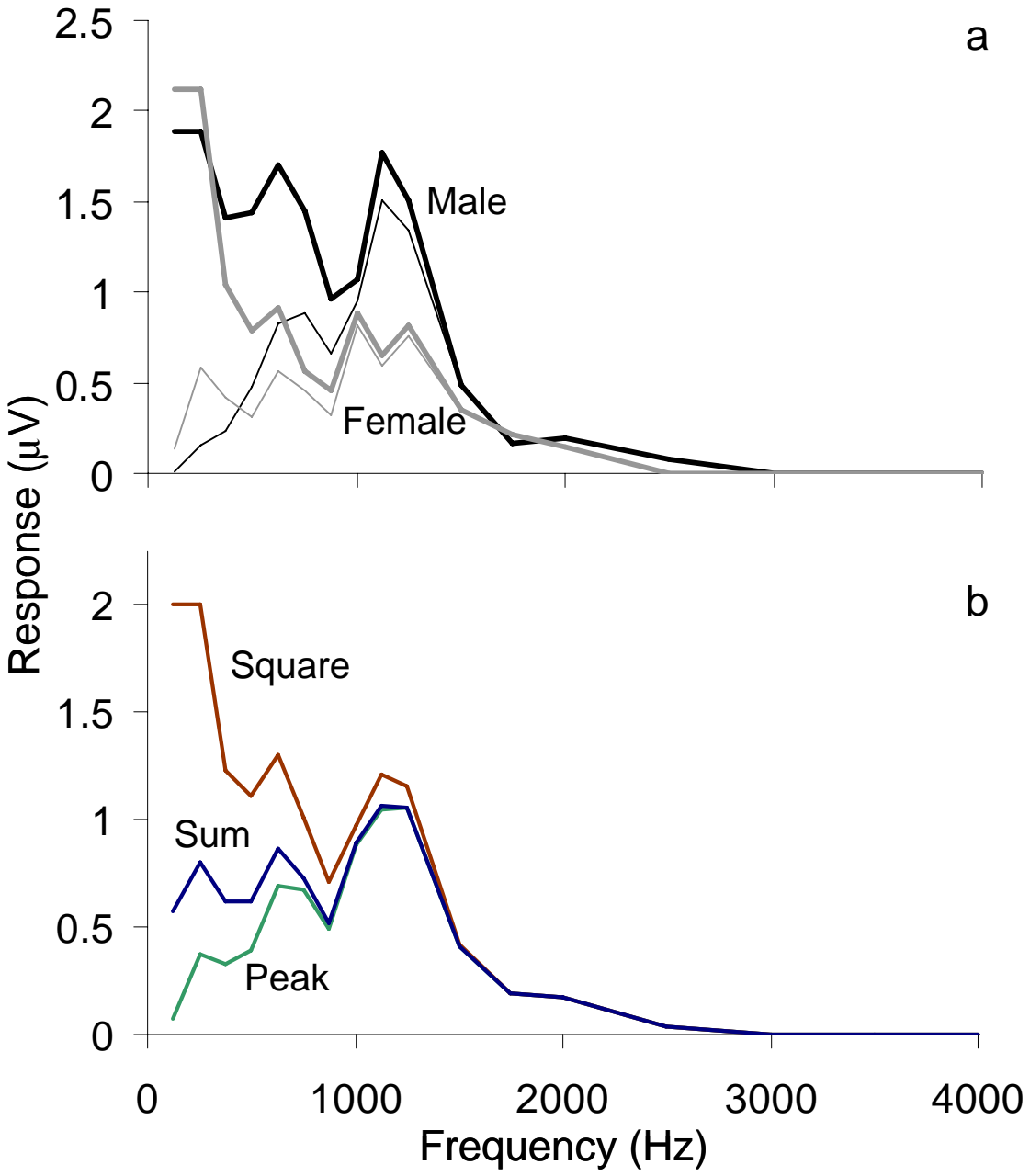
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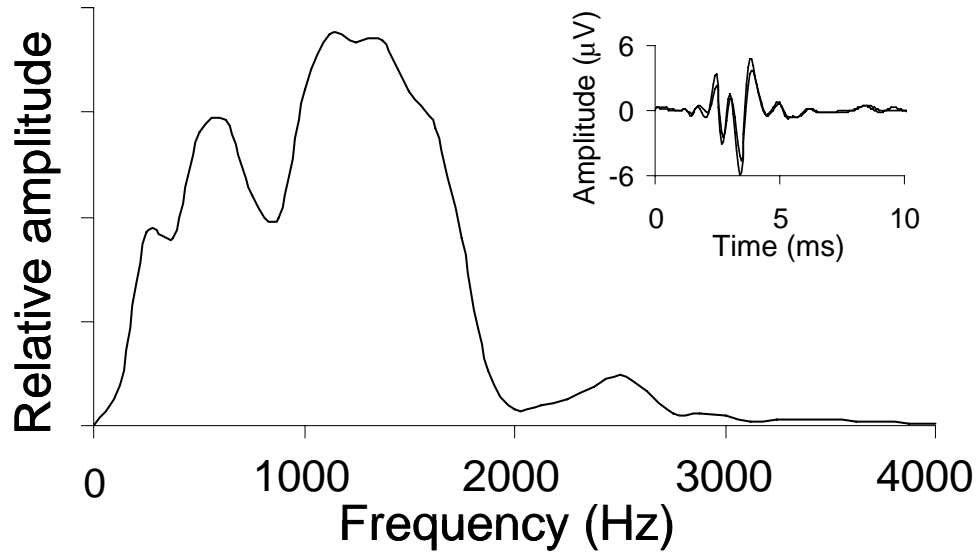
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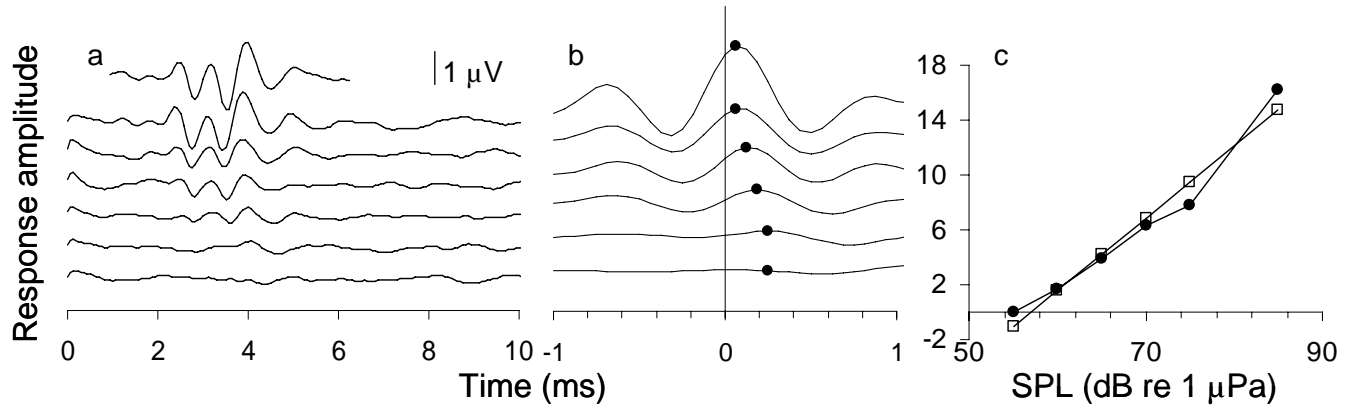
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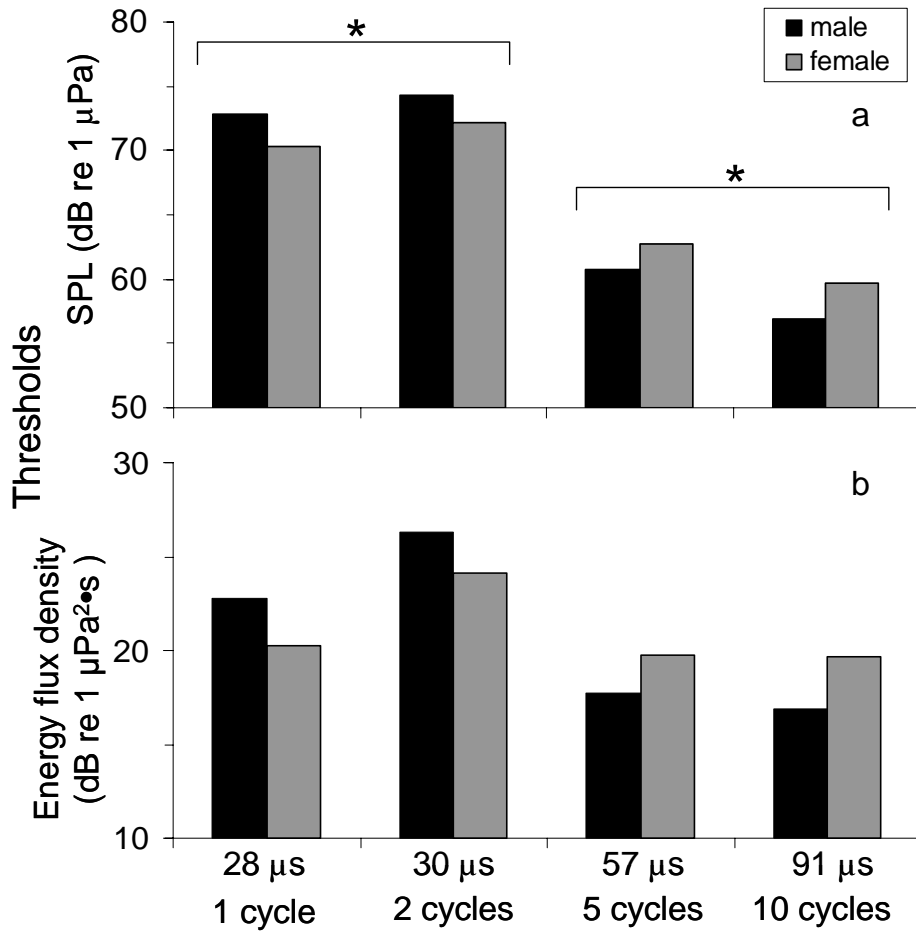
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Figure 7.

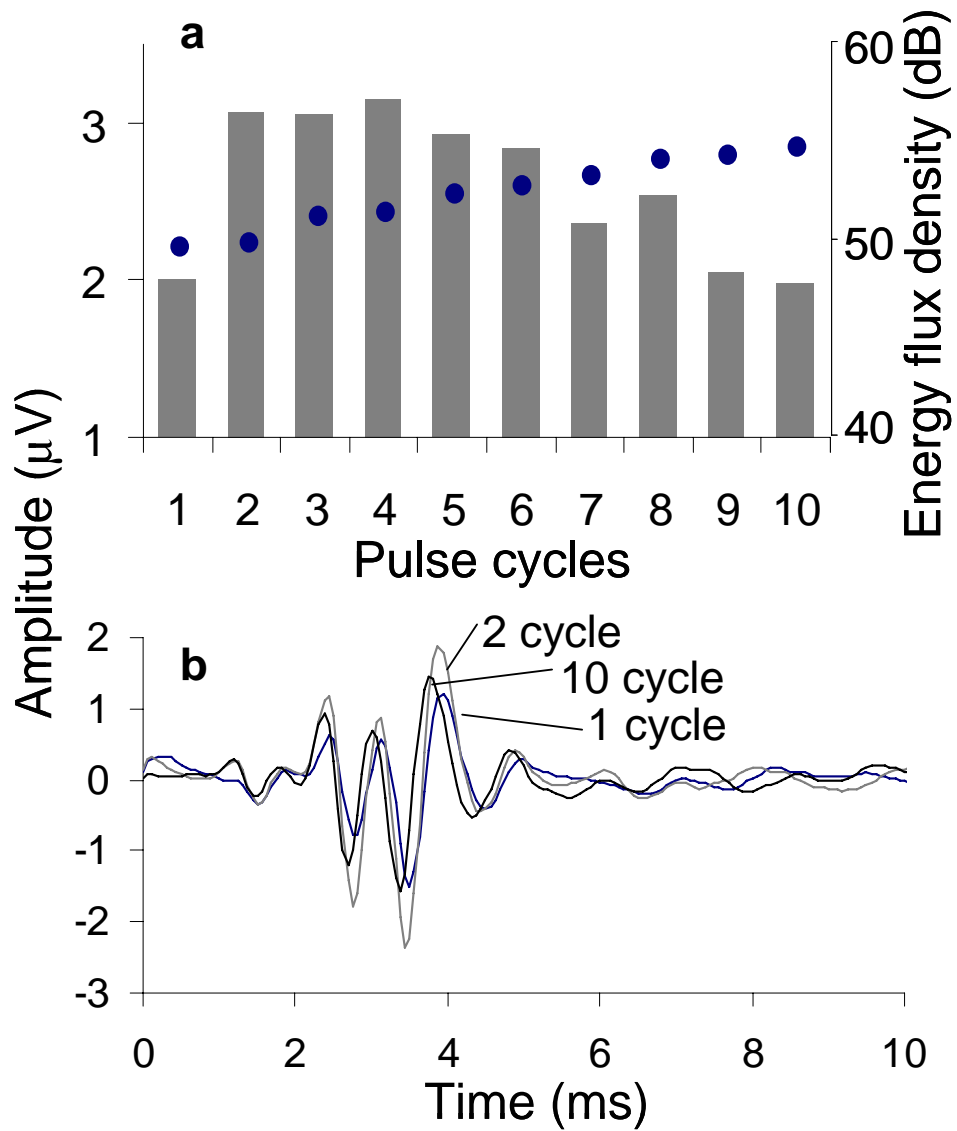


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1 Figure 9.
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