

1 **Root standing crop and chemistry after six years of soil**  
2 **warming in a temperate forest**

3

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7 **Keywords** carbon, nitrogen, root biomass, root diameter, root necromass

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18 RUNNING HEAD: ROOT MASS AND CHEMISTRY

19

20 **Summary** Examining the responses of root standing crop (biomass and necromass)  
21 and chemistry to soil warming is crucial for understanding root dynamics and  
22 functioning in the face of global climate change. We assessed the standing crop, total  
23 nitrogen (N) and carbon (C) compounds in tree roots and soil net N mineralization over  
24 the growing season after six years of experimental soil warming in a temperate deciduous  
25 forest in 2008. Roots were sorted into four different categories: live and dead fine roots  
26 ( $\leq 1$  mm in diameter) and live and dead coarse roots (1-4 mm in diameter). Total root  
27 standing crop (live plus dead) in the top 10 cm of soil in the warmed area was 42.5%  
28 (378.4 vs. 658.5 g m<sup>-2</sup>) lower than in the control area, while the live root standing crops  
29 in the warmed area was 62% lower than in the control area. Soil net N mineralization  
30 over the growing season increased by 79.4% in the warmed relative to the control area.  
31 Soil warming did not significantly change the concentrations of C and carbon  
32 compounds (sugar, starch, hemicellulose, cellulose, and lignin) in the four root categories.  
33 However, total N concentration in the live fine roots in the warmed area was 10.5% (13.7  
34 vs. 12.4 mg g<sup>-1</sup>) higher and C:N ratio was 8.6% (38.5 vs. 42.1) lower than in the control  
35 area. The increase in N concentration in the live fine roots could be attributed to the  
36 increase in soil N availability due to soil warming. Net N mineralization was negatively  
37 correlated to both live and dead fine roots in the mineral soil that is home to the majority  
38 of roots, suggesting that soil warming increases N mineralization, decreases fine root  
39 biomass, and thus decreases carbon allocation belowground.

40

41 *Keywords: carbon, nitrogen, root biomass, root diameter, root necromass.*

42

### 43 **Introduction**

44 Tree roots generally constitute between 15 and 30% of the total tree biomass and  
45 consume large portions of annual net primary production in forest ecosystems (Bowden et  
46 al. 1993, Janssens et al. 2002, Persson 2002). Since tree roots play a critical role in  
47 nutrient and water uptake, carbon and nutrient cycling, storage of carbohydrates, and  
48 synthesis of growth regulators (Jackson et al. 1997, López et al. 2001), the climate  
49 change impact on tree roots may in turn influence the structure and function of entire  
50 ecosystems.

51 Previous studies have found that climate warming can change the root function  
52 (Pregitzer et al. 2000), dynamics (Hendrick and Pregitzer 1993, Forbes et al. 1997, Fitter  
53 et al. 1999, King et al. 1999, Gill and Jackson 2000, Tierney et al. 2003, Majdi and  
54 Öhrvik 2004, Wan et al. 2004), morphology, mass and distribution (Björk et al. 2007,  
55 Bronson et al. 2008). For example, higher soil temperature increased the root production  
56 and mortality associated with soil nitrogen (N) availability (King et al. 1999; Pregitzer et  
57 al. 2000; Majdi and Öhrvik 2004). In contrast to root production, root standing crop  
58 showed different responses to elevated temperature depending on species, research site,  
59 heating methods. In a black spruce plantation, live fine root biomass (< 2 mm diameter)  
60 in the soil warming plots was decreased by 24% to 46% (Bronson et al. 2008). Elevated  
61 temperature decreased fine root mass of maple trees by 34%, 53% and 43% for size class

62 < 0.5 mm, 1.0-2.0 mm, and < 2.0 mm (Wan et al. 2004). The root biomass of *Agrostis*  
63 *scabra* and *A. stolonifera* grown at elevated temperature decreased by 8% and 15%,  
64 respectively (Lyons et al. 2007). Inconsistently with above studies, it was reported that  
65 the fine roots (< 4 mm diameter) and total root biomass either increased slightly with  
66 increasing temperature (Allen and Vu 2009), or indicated no significant change with  
67 temperature (Kandeler et al. 1998).

68 While changes in root productivity and biomass have been correlated to changes in  
69 temperature, another factor also influences root productivity and biomass, that is, soil N  
70 availability, which also responds to temperature. Multiple studies have shown that fine  
71 root biomass decreases with increasing soil N (Nadelhoffer 2000, Powers et al. 2005, Lee  
72 et al. 2007). It has been observed that warming increases the rate of N mineralization in  
73 the soil, affecting soil N availability (Peterjohn et al. 1994, Lükewille and Wright 1997,  
74 Melillo et al. 2002, 2011, Strömberg and Linder 2002). In a meta-analysis of soil  
75 warming experiments, Rustad et al. (2001) found that warming increased net N  
76 mineralization rate by 46%. In a decade-long soil warming experiment at the Harvard  
77 Forest, Melillo et al. (2002) reported that constant soil warming at 5 °C above ambient  
78 resulted in a cumulative increase in N availability of 41 g m<sup>-2</sup>. Therefore, we expect that  
79 root biomass decreases with warming-induced increases in soil N availability. Besides  
80 temperature and N availability, soil moisture is also a key factor that has been found to  
81 affect root mass (Meier and Leuschner 2008). For example, Dijkstra and Cheng (2007)  
82 found that root biomass increased with increased soil moisture content in a European

83 forest. The study observing a decrease in the fine root biomass in the drier stands  
84 simultaneously found decreased fine root diameter, which may indicate that fine roots  
85 may compensate for the loss in root biomass by increased uptake area or uptake rate  
86 (Meier and Leuschner 2008).

87 In addition to biomass and productivity of fine roots, soil warming will likely affect  
88 carbon and nitrogen composition of fine roots. Root tissue chemistry plays a key role in  
89 controlling metabolic and decomposition dynamics of roots (McClaugherty et al. 1982,  
90 King et al. 2005), regulating soil microbial activity (Zak et al. 2000), and determining  
91 carbon inputs into soil (Brown et al. 2007). Root N concentration has been emphasized  
92 more than other chemical compounds because it is significantly correlated with root  
93 growth (Valverde-Barrantes et al. 2007), root respiration (Pregitzer et al. 1998, Burton et  
94 al. 2002), and potential decomposition dynamics (Hendricks et al. 2000). Increased soil  
95 temperatures are linked to increased N concentration of roots due to the kinetic increase  
96 of root activities and greater N availability at higher temperature (Zogg et al. 1996,  
97 BassiriRad 2000, Wan et al. 2004). A higher root N concentration is required for enzyme  
98 synthesis and activity, resulting in more nutrient uptake (Nadelhoffer 2000).

99 Soluble carbohydrates, holocellulose (hemicellulose and cellulose) and lignin  
100 represent three root carbon pools that are associated with specific root functions.  
101 Uselman et al. (2000) found that warmer temperature significantly increased root  
102 exudation of organic carbon. This indicates that warming might alter the carbon  
103 compounds of roots and soil carbon storage. Furthermore, increased root respiration and

104 root turnover rates due to soil warming might potentially change root carbon compounds  
105 and the relationship between carbon and nitrogen. Burton et al. (2008) reported that root  
106 respiration rates increased with rising soil temperatures, consequently leading to  
107 decreases in carbon available for biomass construction. If it is true that fine root turnover  
108 rates and soil N availability increase with increased temperature, more carbon will be  
109 consumed in growth and respiration and less will be allocated to structural costs  
110 (Nadelhoffer 2000).

111 Our knowledge in understanding the interactions between root standing crop, soil N  
112 mineralization and root chemistry in response to warming is very limited. Here we use an  
113 in situ experimental warming to study the effects of soil warming on biomass and  
114 necromass of hardwood forest roots with different diameters, and changes in carbon  
115 compounds and N concentration in the four different root fractions, including live fine  
116 roots ( $\leq 1$  mm in diameter), live coarse roots (1-4 mm in diameter), dead fine roots and  
117 dead coarse roots. We also examine the relationships between changes in fine roots, N  
118 availability, soil temperature and moisture. We hypothesize that 1) soil warming  
119 decreases root standing crop; 2) the soil N mineralization is negatively correlated with  
120 root mass; and 3) the N concentration in roots is increased mainly due to increased soil N  
121 availability.

122

## 123 **Materials and Methods**

124

125 *Site description*

126

127 The study was conducted in a warmed area and an adjacent control area, each 30 by 30  
128 meters, established in 2002 with heating commencing in May 2003 in the Barre Woods  
129 tract of Harvard Forest, Petersham, Massachusetts, USA (Melillo et al 2011). The highest  
130 mean weekly air temperature is about 20 °C and occurs in July, and the lowest, about  
131 -6 °C, occurs in January. The average annual precipitation is approximately 1080 mm  
132 (Melillo et al. 2002). Each area is divided into 5-by-5 meter subplots. The soil is warmed  
133 by resistance heating cables buried at a 10 cm depth and spaced 20 cm apart. The soil  
134 temperature in the warmed area is maintained at 5 °C above the adjacent control area. For  
135 a detailed description of the soil warming system, see Melillo et al. (2002, 2004). The  
136 study areas are within a mixed hardwood stand dominated by *Quercus rubra* and *Acer*  
137 *rubrum*, with lesser components of *Quercus velutina* and *Fraxinus Americana*. The  
138 majority of trees in the study area have naturally regenerated after a major hurricane in  
139 1938. The average tree diameter at breast height was 19.3 cm and the stand had a basal  
140 area of approximately 31 m<sup>2</sup> ha<sup>-1</sup> in 2008.

141 This larger warming experiment (30 m by 30 m) follows an earlier warming  
142 experiment established in 1991 in the Harvard Forest with multiple small (6 m by 6 m)  
143 warmed plots, disturbance control plots (with buried cables but no warming), and  
144 undisturbed control plots (no buried cable, no warming) (Melillo et al. 2002). Results  
145 from the smaller warming experiment indicated that soil disturbance caused by the

146 installation of heating cables had no significant effects on soil temperatures and only  
147 minor and insignificant impacts on soil moisture, shrub growth, and nitrogen  
148 mineralization. This larger warming experiment has an advantage against the previous  
149 smaller one in that the plot can be large enough to include all roots from trees inside the  
150 warmed area. Due to the high installation and maintenance costs of this large-scale  
151 warming experiment, we do not have replicate areas, and nor disturbance control areas.  
152 However, we made pre-treatment measurements in the present warmed and control areas  
153 in 2002, and found that there were no significant differences in tree characteristics and  
154 key soil processes between the two areas such as tree biomass, woody increment, stand  
155 basal area, species composition, soil respiration, and nitrogen mineralization rates. The  
156 soil temperature at 5 cm depth measured during the sampling period is shown in Figure 1,  
157 indicating a consistent increase in soil temperature in the warmed area. For the 2008  
158 growing season, the average gravimetric soil moisture in the organic layer was 56% in the  
159 heated and 66% in the control horizon. However, in the mineral horizon, the soil moisture  
160 was 36% in the heated and 37% in the control areas.

161

162 **Figure 1** here

163

164 *Separation and classification of roots*

165

166 Soil cores were collected six times at approximately monthly intervals during the



167 growing season from April to November 2008 (April 29, May 27, June 25, July 28,  
168 September 2 and November 4) from eight subplots within the warmed area and nine  
169 subplots within the control area. Soil cores of 10 cm in diameter were taken to a 10 cm  
170 depth to cover the most active tree roots in the organic layers and upper mineral layers.  
171 The average organic layer depth was 1.4 cm, so the average depth of mineral soil layer  
172 sampled in each core was 8.6 cm. Gravimetric moisture content was measured for each  
173 soil core. The average bulk density was  $0.373 \text{ g cm}^{-3}$  in the organic layer and  $0.781 \text{ g cm}^{-3}$   
174 in the mineral layer. After weighing, deionized water was put in each bag, and the bags  
175 were stored between 0 and 4°C until sorting.

176 We used a 1 mm diameter threshold to define fine roots ( $\leq 1 \text{ mm}$ ) and coarse roots (1  
177 to 4 mm). Only a small amount of root material was over 3 mm in diameter and roots  $> 4$   
178 mm in diameter were not found in this study. Root diameter was measured using a digital  
179 caliper. The soil was put into a small tub with some water and roots were separated from  
180 the soil with forceps. By frequently changing water and rinsing, we were able to pick up  
181 almost all of the roots by hand. When small roots were connected to a larger root, they  
182 were cut out and separated into different size classes.

183 Fine roots and coarse roots were separated into live and dead categories based on  
184 visible morphological characteristics, mainly color and strength. Detailed information on  
185 how to judge live roots and dead roots has been described in the literature (Vogt and  
186 Persson 1991, Matamala and Schlesinger 2000, John et al. 2002, Persson and Stadenberg  
187 2009). Roots from different tree species were not distinguished, but herbaceous roots

188 were excluded. Herbaceous roots are white, light and succulent, while tree roots are  
189 slightly brown or yellow and well branched. After sorting, live and dead roots of different  
190 size classes were dried at 60 °C for at least 48 h and then weighed.

191

### 192 *Chemical measurements*

193

194 Since the amount of root material in each sample class was too small for chemical  
195 analysis, we pooled roots from all subplots after sorting and weighing. This gave us  
196 monthly chemical composition data of four categories (live fine roots, live coarse roots,  
197 dead fine roots, and dead coarse roots) from each area.

198       Root samples were analyzed for total soluble sugars, starch, hemicellulose, cellulose,  
199 lignin, total C and total N. Total C and N were determined by combustion in a CHN  
200 analyzer (PerkinElmer 2400 Series, Waltham, Massachusetts 02451, USA). The  
201 concentrations of the carbon compounds were measured in a sequential extraction  
202 process with anthrone-sulfuric acid method (Gao 2006). Samples submerged in deionized  
203 water were extracted in a boiling water bath twice. The supernatant was used to analyze  
204 the concentration of total soluble sugars, and then the residues were extracted with hot  
205 deionized water and hydrolyzed with perchloric acid to determine starch concentration.  
206 After sugars and starch were removed, the residues were hydrolyzed with hydrochloric  
207 acid for three hours in a boiling water bath to analyze hemicellulose concentration. The  
208 residues in the extraction tubes were washed twice with ethanol and acetone to remove

209 lipids and pigments. Then the residues were dried and digested with concentrated sulfuric  
210 acid in boiling water bath for five hours to determine cellulose concentration. All final  
211 reducing sugar was measured colorimetrically using the anthrone-ethyl acetate method  
212 with a spectrophotometer at 630 nm (Shimadzu UV-1201, Shimadzu Scientific  
213 Instruments, Columbia, MD, USA). Lignin, an acid-insoluble structural component, was  
214 assessed by the weight difference.

215

#### 216 *N mineralization*

217

218 We measured net nitrogen mineralization rates using the standard *in situ* buried bag  
219 method (Eno 1960) approximately every five weeks from April through November 2008.  
220 We took two 10 cm deep soil cores from each of the ten subplots per treatment during  
221 each sampling period. We designated one core as the “initial” sample, which was split  
222 into organic and mineral horizons and each horizon was transported to the laboratory for  
223 analysis. The second core, designated as the “final” sample, was placed intact inside a  
224 gas-permeable polyethylene bag and positioned back in the ground. The ‘final’ bags were  
225 incubated during April-November and then transported to the lab for analysis.

226 In the laboratory, soils were separated into organic and mineral layers and sieved  
227 through a 5.6 mm screen to remove rocks and roots. Approximately 10 grams of soil was  
228 placed in 100 ml of 2M KCl, incubated for 36 hours, and filtered. The extracts were  
229 analyzed for nitrite/nitrate ( $\text{NO}_2^-/\text{NO}_3^-$ -N) and ammonium ( $\text{NH}_4^+$ -N) using a Lachat

230 QuikChem FIA+ 8000 Series Flow Injection Analyzer with Omnion 3.0 software (Lachat  
231 Instruments Inc., Loveland, CO, USA). Using these techniques, the detection limit for  
232  $\text{NO}_2^-/\text{NO}_3^-$ -N was  $0.02 \text{ mg L}^{-1}$  and the detection limit for  $\text{NH}_4^+$ -N was  $0.005 \text{ mg L}^{-1}$ .

233

#### 234 *Statistical Analyses*

235

236 The statistical analysis was conducted with SPSS 13.0 (SPSS Inc. Chicago, IL, USA).  
237 Effects of soil temperature, soil depth and sample dates on root mass were tested using  
238 three-way ANOVA. The effect of soil temperature on the ratio of biomass to necromass  
239 from different soil fractions was analyzed using a one-way ANOVA. We used  
240 paired-sample T-tests to analyze the difference in total N, total C and all carbon  
241 compounds between warming and control areas. We conducted Spearman's correlation  
242 between root mass, net mineralization and soil moisture data across each sample location  
243 after these data were averaged over the growing season.

244

## 245 **Results**

246

### 247 *Changes in root mass*

248

249 Warming decreased live plus dead root mass in the upper 10 cm of soil compared with  
250 the control (Tables 1, 2). Significant decreases in both live and dead root mass between

251 warmed and control areas were confined to the mineral soil ( $P < 0.001$ ) (Table 1). No  
252 significant seasonal change in total root mass and four root categories was found from  
253 April to November (Table 2, Figure 2).

254

255 **Table 1** here

256 **Table 2** here

257 **Figure 2** here

258

259 *Live root mass* – Relative to the control, soil warming resulted in a significant  
260 decrease in live root biomass, with a 61.9% decline in fine root biomass and a 61.1%  
261 decrease in coarse root biomass (Table 1).

262 *Dead root mass* – The necromass in the warmed area was significantly lower than in  
263 the control area (242.3 g m<sup>-2</sup> vs. 304.6 g m<sup>-2</sup>) (Table 1). The fine root necromass in the  
264 warmed area was 22.7% lower than in the control area. Coarse root necromass was not  
265 significantly affected by soil warming (Table 2).

266 *Ratio of live to dead fine roots* - When we aggregated all live roots into one pool  
267 and dead roots into another (regardless of root diameter) and examined the ratios of live  
268 to dead roots, soil warming led to significantly lower ratios in the organic layer, mineral  
269 layer, and organic plus mineral layer relative to the control (Table 3). No significant  
270 differences were found in the ratios of root biomass to necromass of fine roots in the  
271 organic layer, but there was a trend toward a decreased ratio in the warmed area relative

272 to the control (Table 3). Soil warming significantly decreased the ratios of fine root  
273 biomass to necromass by 61.5% in the mineral soil and 50.0% in the organic plus mineral  
274 soil relative to the control area (Table 3). The ratios of coarse root biomass to necromass  
275 were not significantly affected by higher soil temperature.

276

277 **Table 3** here

278

279 *Changes in C and N*

280

281 Soil warming significantly increased the total N concentration in live fine roots by 10.5%  
282 (13.7 vs. 12.4 mg g<sup>-1</sup>) compared with the control area ( $P < 0.05$ , Table 4). With no change  
283 in C concentration, this led to a significant decline in C:N ratio (-8.6%) (38.5 vs. 42.1) ( $P$   
284  $< 0.05$ , Table 4) since there was no significant difference in total C concentration in live  
285 fine roots between the warmed and control areas. There were no significant effects of soil  
286 warming on total N concentration and C:N ratio in the other root classes.

287 There were no significant differences in the concentrations of total C or any of the  
288 carbon compounds analyzed in four root categories in the warmed area compared with  
289 the corresponding chemistry observed in the control area ( $P > 0.05$ ). Although soil  
290 warming resulted in lower concentrations of total soluble sugars in four different root  
291 categories and higher starch concentrations in live roots compared with control, the  
292 differences were not statistically significant. The total nonstructural carbohydrate content

293 (TNC, the sum of soluble sugars and starch) of live roots, especially live coarse roots,  
294 was significantly higher than that of dead roots, regardless of treatment (Table 4). Since  
295 the absolute concentration of hemicellulose in roots was very low (less than 10 mg g<sup>-1</sup>)  
296 and hemicellulose and cellulose have similar structure, we combined hemicellulose and  
297 cellulose. The holocellulose (hemicellulose plus cellulose) concentrations in live roots in  
298 the warmed area were very close to those in the control area. However, soil warming  
299 resulted in a decreased trend in holocellulose concentration in dead roots relative to the  
300 control. Soil warming caused a slight increase in the lignin concentrations in live fine,  
301 live coarse and dead coarse roots compared with the control area although the difference  
302 was not statistically significant.

303

304 **Table 4** here

305

306 *Soil N mineralization*

307

308 Soil net N mineralization showed pronounced seasonal patterns during the sampling  
309 period in both warmed and control areas, with highest values in July and lowest values in  
310 November (Table 2, Figure 3). Net N mineralization was also affected by warming  
311 treatment and soil layer (Table 2, Figure 3). Net N mineralization in the upper mineral  
312 soil was over four times higher than that in the organic layer for both warmed and control  
313 areas from April to November. Soil warming increased soil net N mineralization by

314 60.9% (13.3 vs. 8.3 kg N ha<sup>-1</sup>) in the organic layer, by 83.7% (65.2 vs. 35.5 kg N ha<sup>-1</sup>) in  
315 the mineral layer and 79.4% (78.5 vs. 43.7 kg N ha<sup>-1</sup>) in the organic plus upper mineral  
316 layer compared with control area.

317

318 **Figure 3** here

319

320 *Relationship among soil N mineralization, root mass, soil temperature and moisture*

321

322 Soil net nitrogen mineralization was significantly correlated (negatively) to both fine root  
323 biomass ( $P = 0.0018$ , correlation coefficient = -0.70) and fine root necromass ( $P = 0.0093$ ,  
324 correlation coefficient = -0.61) in the mineral soil that is home to the majority of root  
325 mass. In the mineral soil, moisture was significantly correlated to fine root necromass ( $P$   
326 = 0.0467, correlation coefficient = 0.49), but not to other root categories. The soil net N  
327 mineralization in the warmed and control areas was linearly correlated with soil  
328 temperature, and the slope of the net mineralization against temperature was higher in the  
329 warmed area than that in the control area (Figure 4).

330

331 **Figure 4** here

332

333 **Discussion**

334



335 *Effects of warming on root mass and net N mineralization*

336

337 Fine roots ( $\leq 1$  mm) made up a majority of the root biomass in the heated and control  
338 areas to a depth of 10 cm. We did not find any roots greater than 4 mm in diameter due to  
339 shallow depth of our sampling. Our results indicate that soil warming does not alter  
340 seasonal patterns of root biomass and necromass.

341 The root mass in this study is within the range of global estimations of root mass in  
342 other forest ecosystems. Jackson et al. (1997) estimated that the average total and live  
343 fine root ( $\leq 2$  mm in diameter) mass in the upper 30 cm of soil of global temperate  
344 deciduous forest were  $780 \text{ g m}^{-2}$  and  $440 \text{ g m}^{-2}$ . The two values are a little higher than the  
345 values in the control area ( $658.5$  and  $354.0 \text{ g m}^{-2}$ ) because the sampling depth was only  
346 10 cm in our study. However, the percentage of live roots to total root mass is similar,  
347 56% for global average and 54% in the control area. In the warmed area, the absolute  
348 values of total and live fine roots and the percentage of live roots are significantly lower  
349 than the average global values or our control. Soil warming resulted in a more than 60%  
350 decrease in fine root or coarse root biomass, while fine root and coarse root necromass  
351 decreased by less than 23%, consistent with previous research (Bronson et al. 2008).

352 Results from our study support the first hypothesis that soil warming leads to a  
353 decrease in root standing crop, but the decline is probably not the direct effect of elevated  
354 soil temperature. Correlation analysis shows that decreased fine root mass is mainly  
355 attributed to the increase in soil N mineralization rates and thus soil N availability, and

356 therefore support our second hypothesis. The carbon allocation theory indicates that  
357 plants maximize growth rates by partitioning carbon to optimize the capture of limiting  
358 resources (Tilman 1988, Cannell and Dewar 1994, McConnaughay and Coleman 1999).  
359 When soil N availability increases by warming, the demand for carbon allocation to roots  
360 decreases, leading to a decline in fine roots, the functional tissue for N uptake. Here we  
361 used net N mineralization rates to indicate soil N availability, but we acknowledge that  
362 plant roots and associated mycorrhizal fungi may directly use organic N such as amino  
363 acid (Schimel and Bennett 2004). Given the difficulty in directly measuring total N  
364 availability, N mineralization remains a useful index to indicate N availability (Schimel  
365 and Bennett 2004). In addition, the seasonal pattern of soil N mineralization in the  
366 warmed and control areas is positively correlated with soil temperature, which is  
367 consistent with many previous publications (Hill and Shackleton 1989, Tietema and  
368 Verstraten 1992, Owen et al. 2003, Zak et al. 1999, Rustad et al. 2001, Strömberg and  
369 Linder 2002, Pajuste and Frey 2003) and this research site at Harvard Forest (Melillo et  
370 al. 2002, 2011).

371       Compared with N availability changed by soil warming, soil moisture does not  
372 strongly affect live fine root mass. Although gravimetric soil moisture was lower in the  
373 organic horizon in the warmed area compared to the control (56% in the warmed area  
374 versus 66% in the control), there was little difference between the soil moistures  
375 measured in the upper mineral horizon in the warmed and control areas (36% and 37%  
376 respectively). Since significant decreases in live and dead root mass between warmed and

377 control areas were confined to the mineral soil, we have concluded that the  
378 warming-induced change in soil moisture had little effect on root mass in this temperate  
379 forest with average annual precipitation of 1080 mm. However, it has been found in other  
380 studies that root mass decreases with soil water availability (Cavelier et al. 1999;  
381 Metcalfe et al. 2008; Vanguelova et al. 2005).

382 The ratio of live to dead root mass is considered as a useful index of root turnover  
383 (Persson and Stadenberg 2009). The biomass:necromass ratio of fine roots has been  
384 reported to be 0.4-3.0 in a beech and Norway spruce stand (Stober et al. 2000), 1.4-2.2 in  
385 a coniferous forest (Persson and Stadenberg 2009), and 0.3-2.9 collected from various  
386 natural stands (Persson 2000). In the present study, we found that the biomass:necromass  
387 of fine roots ranged from 1.0-1.3 in the control area and 0.5-0.7 in the warmed area,  
388 within the range of previous studies. If the low biomass:necromass ratio indicates  
389 reduced fine root longevities (Leuschner et al. 2004, Persson and Stadenberg 2009), the  
390 significant decrease in the biomass:necromass ratio in the warmed area implies that fine  
391 roots at elevated temperature have a higher turnover rate relative to the control. As  
392 expected, we also found that the biomass:necromass ratios of fine roots were lower than  
393 those of coarse roots for both warming and control areas, since coarse roots have a longer  
394 life span or a lower turnover rate (Gill and Jackson 2000, Gill et al. 2002, King et al.  
395 2002).

396

397 *Effects of warming on root chemistry*

398

399 The most pronounced changes in the chemical composition of roots in the warmed area  
400 are the increased N concentration and the decreased C:N ratio in the live fine roots. It has  
401 been observed in some forests that fine root N concentration increases with increased soil  
402 N availability (Majdi and Rosengren-Brinck 1994, Zogg et al. 1996, Högberg et al. 1998,  
403 Burton et al. 2000). In addition to inorganic N, trees also have capability to directly take  
404 up organic N such as amino acid (Schimel and Bennett 2004, Finzi and Berthrong 2005).  
405 If warming stimulates root activity, we reason organic N uptake via live fine roots will be  
406 increased. Thus, our third hypothesis is supported only for the live fine roots.

407 In contrast to nitrogen, total C of roots were not significantly changed with soil  
408 warming. The ratio of C:N is an important predictor of root longevity or decomposition  
409 rates (Müller et al. 1988, Entry et al. 1998, Hishi and Takeda 2005, Withington et al.  
410 2006). In the current study, only the C:N ratios of live fine roots were decreased by soil  
411 warming (-8.6%) compared to the control area due to the significant increases in total N  
412 and no change in total C. The decrease in C:N ratios with warming, indicating an  
413 increase in root mortality (Withington et al. 2006), is consistent with our earlier  
414 discussion that soil warming decreases root longevity based on the biomass:necromass  
415 ratio. The C:N ratios found in our study are between 38 and 92, a range consistent with  
416 previously published values. For example, Jackson et al. (1997) reported that the global  
417 average is 42 for live roots less than 2 mm in diameter. The C:N ratio is 22 to 32 in  
418 tropical tree species (Valverde-Barrantes et al. 2007). Gordon and Jackson (2000) found

419 the C:N was 43 in live roots < 2 mm in diameter and 79 in live roots 2-5 mm in diameter  
420 by analyzing 56 published studies. Relatively higher C and lower N concentrations in  
421 coarse roots resulted in higher C:N ratios (63 in dead coarse roots and 92 in live coarse  
422 roots) in our study. In the control area, we found no difference in the C:N ratio of live  
423 and dead fine roots, which is consistent with the results of Valverde-Barrantes et al.  
424 (2007).

425       There are no significant changes in nonstructural and structural carbon  
426 concentrations of roots due to soil warming. Thus, the lack of change in chemical  
427 composition of roots suggests that the intrinsic decomposition rate of dead roots may not  
428 be affected by warming. However, kinetic theory indicates that higher soil temperature  
429 increases the decomposition rate as an external driver (Fierer et al. 2005, Davidson and  
430 Janssens 2006). A confirmed conclusion on temperature sensitivity of root decomposition  
431 in response to warming cannot be reached by our study since soil moisture, carbon  
432 availability, microbial community and other conditions may also influence temperature  
433 sensitivity of decomposition (Tang et al. 2005, Tang and Baldocchi 2005, Davidson and  
434 Janssens 2006).

435       Long-term soil warming experiments show that soil respiration increases over the  
436 first few years of soil warming, and then the stimulatory effect decreases due to the  
437 decrease in the labile soil carbon pool or microbial acclimation to warming (Luo et al.  
438 2001, Melillo et al. 2002). Our results of an approximately 40% decline in root standing  
439 crop and previous reports of decreased root metabolic capacity (Burton et al. 2008) due

440 to soil warming suggest that the contributions of root respiration to total soil respiration  
441 decreases in response to warming. To understand the mechanisms underlying the  
442 belowground dynamics in response to warming, root respiration, root production and  
443 turnover, microbial decomposition using various pools of carbon, and microbial  
444 community composition should be further studied. Future soil warming experiments  
445 could involve manipulation of soil moisture as well because these two environmental  
446 factors are so closely related.

447

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453

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**Figure 1** Averaged daily soil temperature in the warmed and control areas from April to November, 2008. The data from April to July were recorded every six hours. After July, the data were collected hourly. The arrows indicated the period of root sampling.

**Figure 2** Monthly root mass of (a) live fine roots, (b) live coarse roots, (c) dead fine roots and (d) dead coarse roots in the organic and upper mineral layer in the warmed and control areas from April to November, 2008. (Mean values  $\pm$  SE) (n = 8)

WO = organic layer in the warmed area; WM = mineral layer in the warmed area; CO = organic layer in the control area; CM = mineral layer in the control area

**Figure 3** The seasonal change of net N mineralization in the organic layer, upper mineral layer and the organic plus upper mineral layer for both warmed and control areas from April to November, 2008 (Mean values  $\pm$  SE) (n = 10).

WO = organic layer in the warmed area; WM = mineral layer in the warmed area; CO = organic layer in the control area; CM = mineral layer in the control area

**Figure 4** The linear relationship of net N mineralization to soil temperature in the warmed and control areas. (n=8)

**Figure 1**

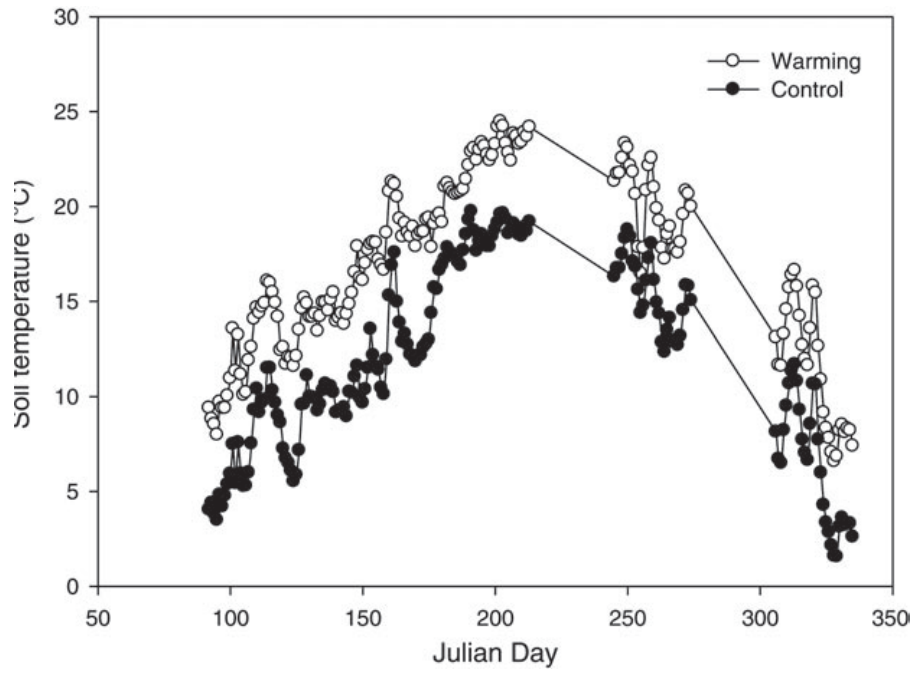
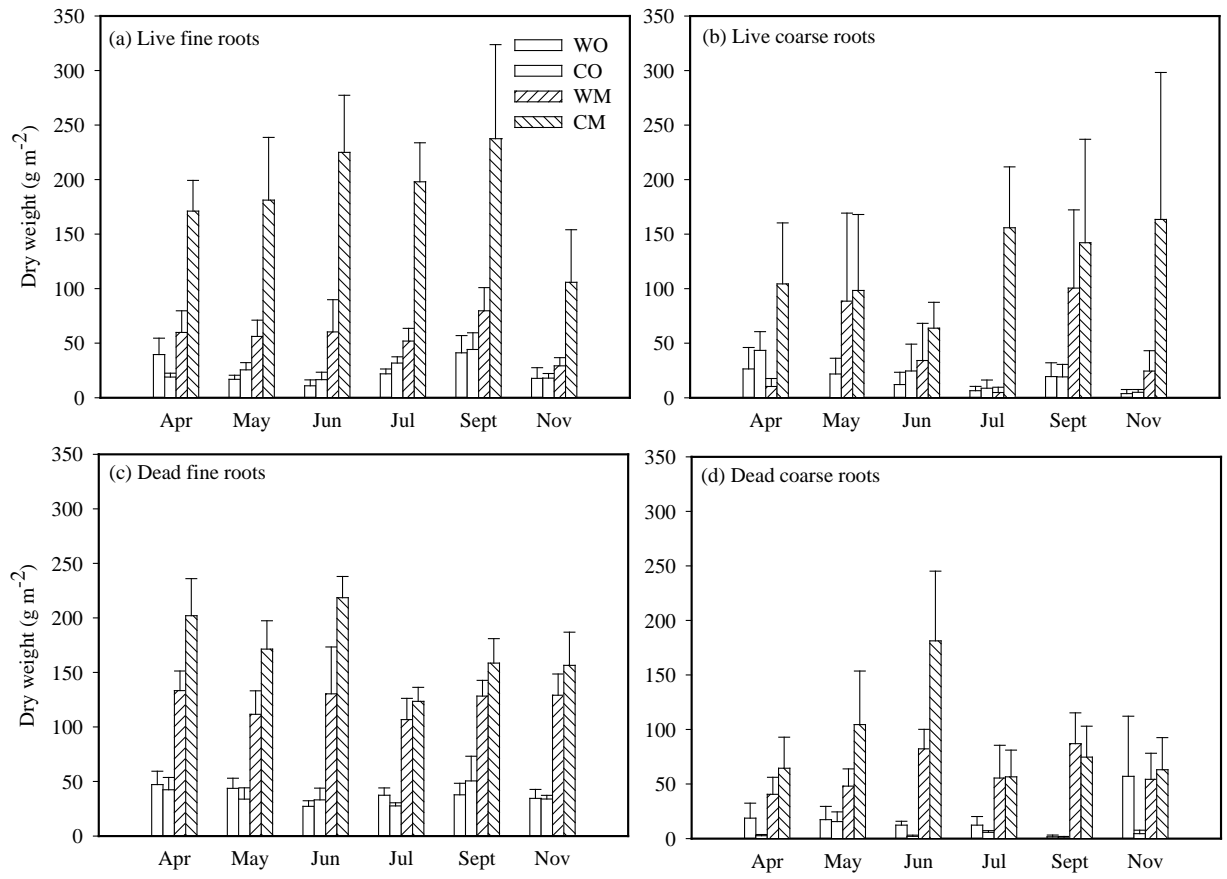
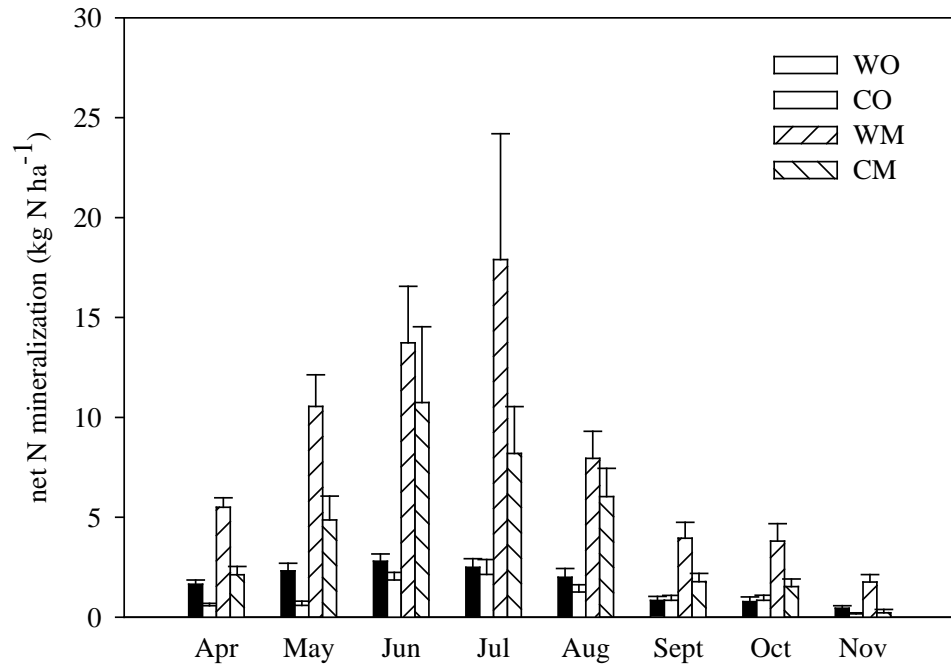


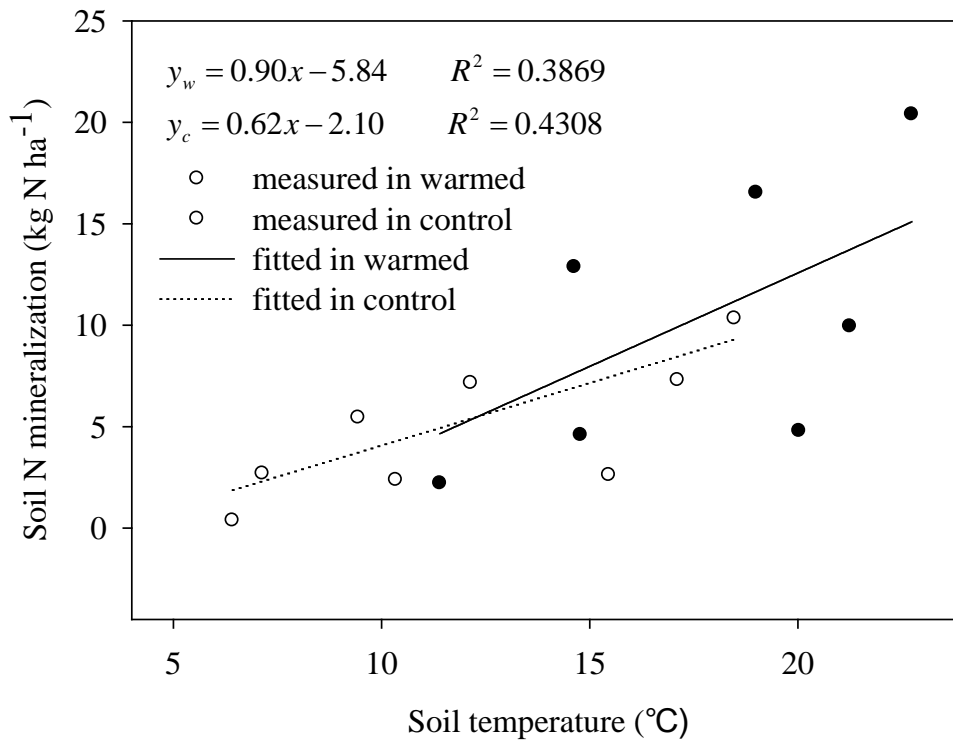
Figure 2



**Figure 3**



**Figure 4**



**Table 1** The averaged mass of live fine roots (LF), live coarse roots (LC), dead fine roots (DF) and dead coarse roots (DC) in the organic layer, upper mineral layer and the organic plus upper mineral layer in the warmed and control plots across all sample dates (Mean values  $\pm$  SE) ( $\text{g m}^{-2}$ ) (n=8).

| Soil layer  | Treatment | LF               | LC               | DF               | DC              |
|-------------|-----------|------------------|------------------|------------------|-----------------|
| Organic (O) | Warming   | 22.7 $\pm$ 3.8   | 10.2 $\pm$ 3.7   | 36.7 $\pm$ 3.2   | 19.0 $\pm$ 8.8  |
|             | Control   | 25.7 $\pm$ 3.2   | 20.3 $\pm$ 5.5   | 37.0 $\pm$ 4.6   | 5.3 $\pm$ 1.6   |
| Mineral (M) | Warming   | 56.2 $\pm$ 7.6   | 43.8 $\pm$ 18.9  | 123.2 $\pm$ 9.6  | 61.3 $\pm$ 9.1  |
|             | Control   | 188.0 $\pm$ 22.1 | 121.0 $\pm$ 30.6 | 172.0 $\pm$ 10.6 | 91.0 $\pm$ 16.7 |
| O+M         | Warming   | 80.9 $\pm$ 10.5  | 55.2 $\pm$ 16.7  | 161.2 $\pm$ 5.0  | 81.1 $\pm$ 8.3  |
|             | Control   | 212.2 $\pm$ 21.8 | 141.8 $\pm$ 12.9 | 208.6 $\pm$ 15.1 | 96.0 $\pm$ 19.5 |

Table 2. Statistical results (*F*- and *P*-values are given) of the effects of soil warming (T), sample dates (M), soil layers (SL) and their interactions on total root mass, live fine roots (LF), live coarse roots (LC), dead fine roots (DF), dead coarse roots (DC) mass and net N mineralization.

|                 | Total mass |                  | LF       |                  | LC       |              | DF       |                  | DC       |                  | N mineralization |                  |
|-----------------|------------|------------------|----------|------------------|----------|--------------|----------|------------------|----------|------------------|------------------|------------------|
|                 | <i>F</i>   | <i>P</i>         | <i>F</i> | <i>P</i>         | <i>F</i> | <i>P</i>     | <i>F</i> | <i>P</i>         | <i>F</i> | <i>P</i>         | <i>F</i>         | <i>P</i>         |
| Treatment (T)   | 13.391     | <b>&lt;0.001</b> | 20.196   | <b>&lt;0.001</b> | 3.657    | 0.058        | 7.119    | <b>0.008</b>     | 0.394    | 0.531            | 6.934            | <b>0.012</b>     |
| Soil layer (SL) | 77.085     | <b>&lt;0.001</b> | 43.185   | <b>&lt;0.001</b> | 8.672    | <b>0.004</b> | 153.304  | <b>&lt;0.001</b> | 28.699   | <b>&lt;0.001</b> | 35.948           | <b>&lt;0.001</b> |
| Month (M)       | 0.479      | 0.792            | 1.105    | 0.360            | 0.192    | 0.965        | 1.154    | 0.335            | 0.906    | 0.479            | 13.277           | <b>&lt;0.001</b> |
| T×SL            | 14.449     | <b>&lt;0.001</b> | 19.489   | <b>&lt;0.001</b> | 2.286    | 0.133        | 7.762    | <b>0.006</b>     | 3.464    | 0.065            | 3.495            | 0.070            |
| T×M             | 0.133      | 0.984            | 0.297    | 0.914            | 0.255    | 0.937        | 0.503    | 0.774            | 0.708    | 0.618            | 1.052            | 0.395            |
| SL×M            | 0.476      | 0.794            | 0.595    | 0.704            | 0.330    | 0.894        | 0.996    | 0.422            | 1.438    | 0.214            | 7.028            | <b>&lt;0.001</b> |
| T×SL×M          | 0.109      | 0.990            | 0.183    | 0.969            | 0.361    | 0.875        | 0.433    | 0.825            | 0.563    | 0.728            | 0.866            | 0.534            |

Significant differences ( $P < 0.05$ ) were highlighted in bold.



**Table 3** The ratios of fine root biomass to fine root necromass (FB:FN), coarse root biomass to coarse root necromass (CB:CN) and total biomass to total necromass (TB:TN) in the organic layer, upper mineral layer and the organic plus upper mineral layer for both warming and control plots across all sampling dates (mean value  $\pm$  SE) (n=8).

| Soil layer | Treatment       | FB:FN         | CB:CN         | TB:TN         |
|------------|-----------------|---------------|---------------|---------------|
| Organic    | Warming         | 0.7 $\pm$ 0.1 | 2.4 $\pm$ 2.8 | 0.7 $\pm$ 0.8 |
|            | Control         | 1.0 $\pm$ 0.2 | 4.2 $\pm$ 5.0 | 1.5 $\pm$ 1.9 |
|            | <i>P</i> -value | 0.063         | 0.294         | <b>0.015</b>  |
| Mineral    | Warming         | 0.5 $\pm$ 0.1 | 0.5 $\pm$ 0.6 | 0.6 $\pm$ 0.9 |
|            | Control         | 1.3 $\pm$ 0.2 | 2.5 $\pm$ 1.5 | 1.5 $\pm$ 1.5 |
|            | <i>P</i> -value | <b>0.001</b>  | 0.248         | <b>0.002</b>  |
| O+M        | Warming         | 0.6 $\pm$ 0.2 | 1.4 $\pm$ 1.3 | 0.7 $\pm$ 0.3 |
|            | Control         | 1.2 $\pm$ 0.4 | 3.4 $\pm$ 3.2 | 1.5 $\pm$ 0.3 |
|            | <i>P</i> -value | <b>0.008</b>  | 0.191         | <b>0.032</b>  |

Significant differences ( $P < 0.05$ ) are highlighted in bold.

**Table 4** The concentrations of total N, total C and carbon compounds in the live fine, live coarse, dead fine and dead coarse roots from the warmed and control plots. Data were averaged across all sample dates with one standard error. Different letters (a and b) of total N and C:N indicated significant difference at 0.05 level between the warmed and control plots. (Mean values  $\pm$  SE)

| Treatment | Root categories   | Total C<br>(mg g <sup>-1</sup> ) | Total N<br>(mg g <sup>-1</sup> ) | C:N              | Sugars<br>(mg g <sup>-1</sup> ) | Starch<br>(mg g <sup>-1</sup> ) | Hemicellulose<br>(mg g <sup>-1</sup> ) | Cellulose<br>(mg g <sup>-1</sup> ) | Lignin<br>(mg g <sup>-1</sup> ) |
|-----------|-------------------|----------------------------------|----------------------------------|------------------|---------------------------------|---------------------------------|--|------------------------------------|---------------------------------|
| Warming   | Live fine roots   | 449.3 $\pm$ 6.1                  | 13.7 $\pm$ 0.3a                  | 38.5 $\pm$ 1.2a  | 49.9 $\pm$ 4.6                  | 52.4 $\pm$ 4.5                  | 9.0 $\pm$ 1.5                          | 87.4 $\pm$ 3.7                     | 222.9 $\pm$ 16.3                |
|           | Live coarse roots | 474.5 $\pm$ 4.2                  | 6.1 $\pm$ 0.3                    | 91.7 $\pm$ 5.0   | 64.8 $\pm$ 9.6                  | 61.3 $\pm$ 7.1                  | 6.8 $\pm$ 1.8                          | 168.5 $\pm$ 4.1                    | 173.4 $\pm$ 16.8                |
|           | Dead fine roots   | 431.4 $\pm$ 6.6                  | 11.2 $\pm$ 0.3                   | 45.2 $\pm$ 0.7   | 36.1 $\pm$ 4.1                  | 40.4 $\pm$ 4.5                  | 4.6 $\pm$ 0.4                          | 54.4 $\pm$ 2.2                     | 277.8 $\pm$ 31.4                |
|           | Dead coarse roots | 455.2 $\pm$ 8.6                  | 8.6 $\pm$ 0.5                    | 63.0 $\pm$ 3.6   | 36.1 $\pm$ 4.5                  | 42.7 $\pm$ 4.7                  | 6.2 $\pm$ 0.9                          | 95.5 $\pm$ 12.4                    | 227.7 $\pm$ 24.2                |
| Control   | Live fine roots   | 444.4 $\pm$ 4.8                  | 12.4 $\pm$ 0.2b                  | 42.1 $\pm$ 1.13b | 52.5 $\pm$ 4.4                  | 51.7 $\pm$ 4.6                  | 7.8 $\pm$ 1.2                          | 86.8 $\pm$ 5.1                     | 203.8 $\pm$ 9.4                 |
|           | Live coarse roots | 469.8 $\pm$ 5.4                  | 6.8 $\pm$ 0.5                    | 83.6 $\pm$ 7.4   | 67.6 $\pm$ 5.5                  | 60.6 $\pm$ 4.2                  | 9.3 $\pm$ 1.6                          | 164.3 $\pm$ 6.6                    | 140.2 $\pm$ 17.9                |
|           | Dead fine roots   | 425.1 $\pm$ 7.2                  | 11.4 $\pm$ 0.2                   | 43.6 $\pm$ 0.5   | 37.3 $\pm$ 3.1                  | 44.7 $\pm$ 4.4                  | 4.7 $\pm$ 0.4                          | 62.6 $\pm$ 4.0                     | 282.0 $\pm$ 20.5                |
|           | Dead coarse roots | 451.4 $\pm$ 5.5                  | 8.0 $\pm$ 0.9                    | 69.4 $\pm$ 7.0   | 38.6 $\pm$ 4.5                  | 46.7 $\pm$ 5.2                  | 5.8 $\pm$ 0.8                          | 103.5 $\pm$ 14.9                   | 218.4 $\pm$ 29.7                |