1

1	Classification:	BIOLOGICAL	SCIENCES	(Ecology)
				· · · · · · · · / / /

2

3 Niche of harmful alga Aureococcus anophagefferens revealed through ecogenomics

4

5	Christopher J. Gobler ^{1,2,*} , Dianna L. Berry ^{1,2, †} , Sonya T. Dyhrman ^{3, †} , Steven W. Wilhelm ^{4, †} ,
6	Asaf Salamov ⁵ , Alexei V. Lobanov ⁶ , Yan Zhang ⁶ , Jackie L. Collier ² , Louie L. Wurch ³ , Adam B.
7	Kustka ⁷ , Brian D. Dill ⁸ , Manesh Shah ⁹ , Nathan C. VerBerkmoes ⁸ , Alan Kuo ⁵ , Astrid Terry ⁵ ,
8	Jasmyn Pangilinan ⁵ , Erika Lindquist ⁵ , Susan Lucas ⁵ , Ian Paulsen ¹⁰ , Theresa K. Hattenrath ^{1,2} ,
9	Stephanie C. Talmage ^{1,2} , Elyse A. Walker ^{1,2} , Florian Koch ^{1,2} , Amanda M. Burson ^{1,2} , Maria
10	Alejandra Marcoval ^{1,2} , Ying-Zhong Tang ^{1,2} , Gary R. LeCleir ³ , Kathryn J. Coyne ¹¹ , Gry Mine
11	Berg ¹² , Erin M. Bertrand ¹³ , Mak A. Saito ^{13, 14} , Vadim Gladyshev ⁵ , Igor V. Grigoriev ^{4,*}
12	
13	¹ School of Marine and Atmospheric Sciences, Stony Brook University, Southampton, NY
14	11968, USA. ² School of Marine and Atmospheric Sciences, Stony Brook University, Stony

Brook, NY 11794-5000, USA. ³Biology Department, Woods Hole Oceanographic Institution, 15 Woods Hole, MA, 02543, USA. ⁴Department of Microbiology, The University of Tennessee, 16 Knoxville, TN 37996, USA. ⁵US Department of Energy, Joint Genome Institute, 2800 Mitchell 17 Drive, Walnut Creek, California 94598, USA. ⁶Division of Genetics, Brigham and Women's 18 Hospital and Harvard Medical School, Boston MA 02115, USA. ⁷Department of Earth and 19 Environmental Sciences, Rutgers University, Newark, New Jersey 07102, USA, ⁸Chemical 20 21 Sciences and ⁹Biosciences Divisions, Oak Ridge National Laboratory, Oak Ridge, TN, 37830, USA. ¹⁰Department of Chemistry and Biomolecular Sciences, Macquarie University Sydney, 22 2109, NSW, Australia. ¹¹College of Earth, Ocean, and Environment, University of Delaware, 23

24	Lewes, DE, 19958 USA. ¹² Department of Environmental Earth System Science, Stanford				
25	University, 397 Panama Mall, Stanford, California 94305, USA. ¹³ Massachusetts Institute of				
26	Technology and Woods Hole Oceanographic Institution Joint Program in Chemical				
27	Oceanography. ¹⁴ Department of Marine Chemistry and Geochemistry, Woods Hole				
28	Oceanographic Institution, Woods Hole, MA, 02543, USA.				
29					
30	*To whom correspondence should be addressed. E-mail: christopher.gobler@stonybrook.edu or				
31	IVGrigoriev@lbl.gov				
32					
33	[†] These authors contributed equally to this work.				
34					
35	Keywords: Harmful algal blooms, HABs, genome sequence, ecogenomics, metaproteomics,				
36	eutrophication, Aureococcus anophagefferens,				
37					
38					

39 Harmful algal blooms (HABs) cause significant economic and ecological damage 40 worldwide. Despite considerable efforts, a comprehensive understanding of the factors that 41 promote these blooms has been lacking because the biochemical pathways that facilitate 42 their dominance relative to other phytoplankton within specific environments have not 43 been identified. Here, biogeochemical measurements demonstrated that the harmful alga 44 Aureococcus anophagefferens outcompeted co-occurring phytoplankton in estuaries with 45 elevated levels of dissolved organic matter and turbidity and low levels of dissolved We subsequently sequenced the first HAB genome (A. 46 inorganic nitrogen. 47 anophagefferens) and compared its gene complement to those of six competing phytoplankton species identified via metaproteomics. Using an ecogenomic approach, we 48 49 specifically focused on the gene sets that may facilitate dominance within the 50 environmental conditions present during blooms. A. anophagefferens possesses a larger 51 genome (56 mbp) and more genes involved in light harvesting, organic carbon and nitrogen 52 utilization, and encoding selenium- and metal-requiring enzymes than competing 53 phytoplankton. Genes for the synthesis of microbial deterrents likely permit the proliferation of this species with reduced mortality losses during blooms. Collectively, 54 55 these findings suggest that anthropogenic activities resulting in elevated levels of turbidity, 56 organic matter, and metals have opened a niche within coastal ecosystems that ideally suits 57 the unique genetic capacity of A. anophagefferens and thus has facilitated the proliferation 58 of this and potentially other HABs.

59

 $61 \ body$

62 Harmful algal blooms (HABs) are caused by phytoplankton that have a negative impact on ecosystems and coastal fisheries world-wide (1 - 4) and cost the US economy alone hundreds 63 64 of millions of dollars annually (5). The frequency and impacts of HABs have intensified in recent decades and anthropogenic processes including eutrophication have been implicated in 65 this expansion (1 - 3). While there is great interest in mitigating the occurrence of HABs, 66 67 traditional approaches which have characterized biogeochemical conditions present during 68 blooms do not identify the aspects of the environment which are favorable to an individual algal 69 species. Predicting where, when, and under what environmental conditions HABs will occur has 70 further been inhibited by a limited understanding of the cellular attributes that facilitate the 71 proliferation of one phytoplankton species to the exclusion of others.

72 Aureococcus anophagefferens is a pelagophyte that causes harmful brown tide blooms with densities exceeding 10^6 cells mL⁻¹ for extended periods in estuaries in the eastern US and in 73 74 South Africa (6). Brown tides do not produce toxins that poison humans, but have decimated 75 multiple fisheries and seagrass beds due to toxicity to bivalves and extreme light attenuation, respectively (6). Brown tides are a prime example of the global expansion of HABs as these 76 77 blooms had never been documented prior to 1985, but have recurred in the US and South Africa 78 annually since then (6). Like many other HABs, A. anophagefferens blooms in shallow, 79 anthropogenically modified estuaries when levels of light and inorganic nutrients are low and 80 organic carbon and nitrogen concentrations are elevated (1 - 3).

For this study, we utilized a novel ecogenomic approach to assess the extent to which the gene set of *A. anophagefferens* may permit its dominance under the environmental conditions present in estuaries during brown tides. We characterized the biogeochemical conditions present

84 in estuaries before, during, and after A. anophagefferens blooms. Sequencing the first HAB 85 genome (A. anophagefferens), we compared its genome to those of six phytoplankton species 86 identified via metaproteomics to co-occur with this alga during blooms events. Using this 87 ecogenomic approach, we investigated how the gene sets of A. anophagefferens differ from the 88 six comparative phytoplankton species, and how these differences may affect the ability of A. 89 anophagefferens to compete in the physical (e.g. light harvesting), chemical (e.g. nutrients, 90 organic matter, trace metals), and ecological (e.g. defense against predators and allelopathy) 91 environment present during brown tides.

92

93 **Results and Discussion**

94 During an investigation of an US estuary, Quantuck Bay, NY, from 2007 through 2009, brown tides occurred annually from May through July, achieving abundances exceeding 10⁶ cells 95 mL^{-1} or 5 x 10⁶ $\mu m^3 mL^{-1}$ (Fig 1). A. anophagefferens was observed to bloom after spring 96 97 diatom blooms and outcompeted small (< $2 \mu m$) eukaryotic and prokaryotic phytoplankton (e.g. 98 Ostreococcus and Synechococcus) during summer months (Fig 1D), a pattern consistent with 99 prior observations (7, 8). Concurrently, dissolved inorganic nitrogen levels were reduced to < 1µM during blooms while dissolved organic nitrogen levels and light extinction were elevated 100 101 resulting in a system with decreased light availability and concentrations of dissolved organic 102 nitrogen exceeding those of dissolved inorganic nitrogen (Fig. 1C). Metaproteomic analyses of 103 planktonic communities were performed to identify phytoplankton that A. anophagefferens may 104 compete with during blooms by quantifying organism-specific peptides among the microbial 105 community. Performing such analyses on the plankton present in this estuary highlight the 106 dominance of A. anophagefferens and co-existence of the six phytoplankton species for which

107 complete genome sequences have been generated (Fig 1E): two coastal diatom species, 108 Phaeodactylum tricornutum (clone CCMP632) (9) and Thalassiosira pseudonana (clone CCMP 109 1335 (10) isolated from an embayment that now hosts brown tides (6)), and coastal zone isolates 110 of Ostreococcus (O. lucimarinus and O. tauri (11)) and Synechococcus (clones CC9311 (12) and 111 CC9902), small eukaryotic and prokaryotic phytoplankton, respectively, (Table 1, Fig 1). To 112 assess the extent to which the gene set of A. anophagefferens may permit its dominance within 113 the geochemical environment found in this estuary (Fig. 1C), the gene complement of A. 114 anophagefferens was determined by genome sequencing and was compared to those of the six 115 competing phytoplankton species (Table 1, Fig 1E).

116 Although phytoplankton genome size generally scales with cell size (15,16), A. 117 anophagefferens (2 µm) has a larger genome (56 Mbp) and more genes (~11,500) than the six 118 competing phytoplankton species (2.2 – 32 Mbp; 2,301 - 11,242 genes; Table 1 and Tables S1 to 119 S4). Its small cell size and thus larger surface area to volume ratio allows it to kinetically 120 outcompete larger phytoplankton for low levels of light and nutrients (17) while its large gene 121 content and more complex genetic repertoire may provide a competitive advantage over other 122 small phytoplankton with fewer genes. The A. anophagefferens genome contains the largest 123 number of unique genes relative to the six competing phytoplankton examined here (209 v. 12 -124 79 unique genes; Table 1). Many of these enriched or unique genes are associated with light 125 harvesting, organic matter utilization, and metalloenzymes, as well as the synthesis of microbial 126 predation and competition deterrents (Supplementary Tables S5-S17). These enriched and 127 unique gene sets are involved in biochemical pathways related to the environmental conditions 128 prevailing during brown tides (Fig. 1), and thus are likely to facilitate the dominance of this alga 129 during chronic blooms that plague estuarine waters.

130 **Light harvesting -** Phytoplankton rely on light to photosynthetically fix carbon dioxide 131 into organic carbon, but the turbid, low light environment characteristic of estuaries and intense 132 shading during dense algal blooms (Fig. 1B,C) can strongly limit photosynthesis. Α. 133 anophagefferens is better adapted to low light than the comparative phytoplankton species that 134 require at least three-fold higher light levels to achieve maximal growth rates (Fig. 2A). Its 135 genome contains the full suite of genes involved in photosynthesis, including 62 genes encoding 136 light harvesting complex (LHC) proteins (Fig. 2A). This is 1.5- to 3-times more than other 137 eukaryotic phytoplankton sequenced thus far (Fig. 2A and Table S7) and a feature that likely 138 enhances adaptation to low and/or dynamic light conditions found in turbid estuaries. LHC 139 proteins bind antenna chlorophyll and carotenoid pigments that augment the light capturing 140 capacity of the photosynthetic reaction centers (18,19). Twenty-six A. anophagefferens LHC 141 genes belong to a group that has only six representatives in T. pseudonana and one in P. 142 tricornutum (branch 'PHYMKG' in Fig. 3 and Fig. S1), but are similar to the multi-cellular 143 brown macroalgae, *Ectocarpus siliculosus* (20). Similar LHC genes in the microalgae *Emiliania* 144 *huxleyi* have recently been shown to be up-regulated under low light (21). We hypothesize that 145 these LHC genes encode the major light harvesting proteins for A. anophagefferens, and that the 146 enrichment of these proteins impart a competitive advantage in acquiring light under the low 147 irradiance conditions that prevail during blooms (Fig 1C).

Organic matter utilization - In addition to being well adapted to low light, *A. anophagefferens* also outcompetes other phytoplankton in estuaries with elevated organic matter concentrations (6) (Fig 1C), and can survive extended periods with no light (22). Consistent with these observations, the genome of *A. anophagefferens* contains a large number of genes that may permit the degradation of organic compounds to support heterotrophic metabolism. For

153 example, its genome encodes proteins involved in the transport of oligosaccharides and sugars 154 that are not found in competing phytoplankton, including genes for glycerol, glucose, and D-155 xylose uptake (Table S8). The A. anophagefferens genome also encodes more nucleoside sugar 156 transporters and major facilitator family sugar transporters than other comparative phytoplankton 157 species (Table S8). It is also highly enriched in genes associated with the degradation of mono-, 158 di-, oligo- and polysaccharides, as well as sulfonated polysaccharides. A. anophagefferens 159 possesses 47 sufatase genes including those targeting sulfonated polysaccharides such as 160 glucosamine-(N-acetyl)-6-sulfatases, while the diatoms contain a total of 3 to 4 sulfatases, and 161 the comparative picoplankton contain none (Table S9). A. anophagefferens also possesses many 162 more genes involved in carbohydrate degradation than competing phytoplankton (85 v. 4 - 29163 genes in comparative phytoplankton) including 29 such genes present only in A. anophagefferens 164 (Fig. 4 and Tables S10 and S11). Collectively, these genes (Tables S9 to S12) provide this alga 165 with unique metabolic capabilities regarding the degradation of an array of organic carbon 166 compounds, many of which may not be accessible to other phytoplankton. In an ecosystem 167 setting, such a supplement of organic carbon would be critical for population proliferation within 168 the low light environments present in estuaries, particularly during dense algal blooms (Fig. 1C).

A. anophagefferens, like many HABs, blooms when inorganic nitrogen levels are low but organic nitrogen levels are elevated (Fig. 1C) (1 - 3), *A. anophagefferens* is known to efficiently metabolize organic compounds for nitrogenous nutrition (6, 23). Notably, this niche strategy is reflected within the *A. anophagefferens* genome which encodes transporters specific for a diverse set of organic nitrogen compounds including urea, amino acids, purines, nucleotide-sugars, nucleosides, peptides, and oligopeptides (Table S8) (24). Relative to competing phytoplankton, *A. anophagefferens* is enriched in genes encoding enzymes that degrade organic nitrogen

176 compounds such as nitriles, asparagine, and urea (Fig. 2B). A. anophagefferens is also the only 177 species among the phytoplankton genomes examined that possesses a membrane-bound 178 dipeptidase, several histidine ammonia-lyases, cysteine dioxygenase, tripeptidyl peptidase, and 179 several other enzymes (Table S13) that could collectively play a role in metabolizing organic 180 nitrogen compounds that are not bioavailable to other phytoplankton. Furthermore, the A. 181 anophagefferens genome also contains enzymes that degrade amino acids, peptides, proteins, 182 amides, amides, and nucleotides, often possessing more copies of these genes than competing 183 phytoplankton (Supplementary Table 13). This characteristic, along with its unique gene set, 184 may provide A. anophagefferens with a greater capacity to use organic compounds for 185 nitrogenous nutrition compared to its competitors, a hypothesis supported by its dominance in 186 systems with elevated ratios of dissolved organic nitrogen to dissolved inorganic nitrogen and 187 the reduction in dissolved organic nitrogen concentrations typically observed during the 188 initiation of brown tides (6, 25).

189 **Metalloenzymes** - A. anophagefferens blooms in shallow, enclosed estuaries (6) where 190 concentration of metals and elements like selenium are elevated (26 - 28), but never dominates 191 deep estuaries or continental shelf regions (6) that are characterized by lower metal and trace 192 element inventories (26 - 28). A. anophagefferens has a large and absolute requirement for some 193 trace elements, such as selenium (Fig. 2C). In comparison, phytoplankton such as 194 Synechococcus do not require this element while others, such as T. pseudonana and P. 195 tricornutum, have lower selenium requirements for maximal growth (Fig. 2C). The A. 196 anophagefferens genome is consistent with these observations as it is enriched in numerous 197 classes of proteins that require metals and elements like selenium as cofactors (Fig. 2C). It 198 possesses at least 56 genes encoding selenocysteine-containing proteins, twice the number

199 present in O. lucimarinus genome, which previously had the largest known eukaryotic 200 selenoproteome (11, 29), and four-fold more than the diatom genomes (Fig. 2C). The A. 201 anophagefferens selenoproteome includes nearly all known eukaryotic selenoproteins, as well as 202 selenoproteins that were previously described only in bacteria (29) and several novel selenoproteins (Table S14). In addition, several selenoprotein families are represented by 203 204 multiple isozymes (Table S14). Half of the selenoproteins are methionine sulfoxide reductases, 205 thioredoxin reductases, glutathione peroxidases, glutaredoxins, and peroxiredoxins (Table S14). 206 Together, these enzymes help protect cells against oxidative stress in the dynamic and ephemeral 207 conditions present in estuaries through the removal of hydroperoxides and the repair of 208 oxidatively damaged proteins. Moreover, selenocysteine residues are often superior catalytic 209 groups compared to cysteine (30 - 32), and thus allow A. anophagefferens to more efficiently 210 execute multiple metabolic processes and increase its competitiveness relative to other 211 phytoplankton in the anthropogenically modified estuaries where it blooms.

212 The A. anophagefferens genome is also enriched in genes encoding for molybdenum-, 213 copper-, and nickel-containing enzymes (Fig. 2C). For example, the A. anophagefferens genome 214 includes twice the number of genes encoding molybdenum-containing oxidases found in 215 competing species (6 v. 1 - 3 genes; Fig. 2C and Tables S15 and S16), and has the largest 216 number of molybdenum-specific transporters (Table S8). Similarly, A. anophagefferens 217 possesses four-times more genes that encode copper-containing proteins than its competitors (27 218 v. 1 - 6 genes; Fig. 2C), including five multi-copper oxidases and 20 tyrosinase-like proteins 219 (Tables S15 and S16). Several of the A. anophagefferens tyrosinase and multi-copper oxidase 220 family proteins are heavily glycosylated (>4 glycosylation sites; Table S16) and thus are likely 221 secretory proteins, while the few present in the other comparative algal species are not. These

222 copper containing enzymes degrade lignin, catalyze the oxidation of phenolics, and can have 223 anti-microbial properties (33, 34) and thus may provide nutrition or confer protection to A. 224 anophagefferens cells. A. anophagefferens is also the only phytoplankton species with a 225 homolog of the CutC copper homeostasis protein, which permits efficient cellular trafficking of 226 this metal (Table S8). With three nickel-requiring ureases, A. anophagefferens has more nickel-227 containing enzymes than other comparative phytoplankton (Fig. 2B, C). Consistent with its 228 ecogenomic profile, these ureases allow A. anophagefferens to meet its daily N demand from 229 urea while other phytoplankton do not (35). Perhaps to support the synthesis and use of urease, 230 A. anophagefferens is the only comparative phytoplankton species with a high-affinity nickel 231 transporter (HoxN) (36). A. anophagefferens is not universally enriched in metalloenzymes, as 232 other phytoplankton contain equal numbers of cobalt-containing enzymes (Fig. 2C). However, 233 the formation of blooms exclusively in shallow estuaries ensures A. anophagefferens has access 234 to a rich supply of the selenium, copper, and nickel required to synthesize these ecologically 235 important and catalytically superior enzymes (30, 31, 37).

236 **Microbial defense** - While genes associated with the adaptation to low light, the use of 237 organic matter, and metals permit A. anophagefferens to dominate a specific geochemical niche 238 found within estuaries, genes involved in the production of compounds that inhibit predators and 239 competitors may further promote blooms (2). Although specific toxins have yet to be identified 240 in A. anophagefferens, it is grazed at a low rate during blooms (2, 6) and its genome contains 241 two- to seven-times more genes involved in the synthesis of secondary metabolites than the 242 comparative phytoplankton genomes (Fig. S2). A. anophagefferens also possesses a series of 243 genes involved in the synthesis of putative anti-microbial compounds that are largely absent 244 from the competing phytoplankton species (Table S17). For example, A. anophagefferens has

245 five berberine bridge enzymes involved in the synthesis of toxic isoquinoline alkaloids (38, 39) 246 (Table S17). A. anophagefferens uniquely possesses a membrane attack complex gene and 247 multiple phenazine biosynthetase genes (Table S17) that encode enzymes that may provide 248 defense against microbes and/or protistan grazers (40, 41). There are two- to four-fold more 249 ATP-binding cassette (ABC) transporters in A. anophagefferens compared to competing species 250 (112 v. 30 – 54 ABC transporters; Table S8) and it is specifically enriched in ABC multidrug 251 efflux pumps ((P-glycoprotein (ABCB1), MRP1 (ABCC1) and ABCG2 (BCRP)) that protect 252 cells from toxic xenobiotics and endogenous metabolites (42, 43). Finally, the A. 253 anophagefferens genome encodes 16-fold more Sel-1 genes (130 v. 0-8 genes; Table S6), four-254 fold more ion channels (82 v. 1- 19 ion channels; Table S8), four-fold more protein kinases, and 255 two-fold more WD40 domain genes than other phytoplankton (Table S6). These genes may 256 collectively mediate elaborate cell signaling and sensing by dense bloom populations (44 - 46), 257 processes which would be important for detecting competitors, predators, other A. 258 anophagefferens cells, and the environment. Together, genes involved in the synthesis of 259 microbial deterrents, export of toxic compounds, and cell signaling may contribute toward the 260 proliferation of this species with reduced population losses and thus assist in promoting these 261 HABs (2).

262 **Conclusions -** The global expansion of human populations along coastlines has led to a 263 progressive enrichment in turbidity (47), organic matter including organic nitrogen (1, 47, 48), 264 and metals (26, 28) in estuaries. Matching the expansion of HAB events around the world in 265 recent decades, *A. anophagefferens* blooms were an unknown phenomenon prior to 1985, but 266 have since become chronic, annual events in US and South African estuaries (6) with the 267 potential for further expansion. The unique gene complement of *A. anophagefferens* encodes a

268 disproportionately greater number of proteins involved in light harvesting and organic matter 269 utilization, as well as metal and selenium-requiring enzymes relative to competing 270 phytoplankton. Collectively, these genes reveal a niche characterized by conditions (low light, 271 high organic matter, and elevated metal levels) that have become increasingly prevalent in 272 anthropogenically-modified estuaries, suggesting that human activities have enabled the 273 In estuaries which host A. anophagefferens blooms, proliferation of these HABs. 274 anthropogenically nutrient loading promotes algal growth and, as a result, elevated levels of 275 organic matter and turbidity (6) whereas high concentrations of metals have been attributed to 276 maritime paints and some fertilizers (27, 49). Collectively, these findings establish a context 277 within which to prevent and control HABs specifically by ameliorating anthropogenically altered 278 aspects of marine environments that harmful phytoplankton are genomically pre-disposed to 279 exploit. Like A. anophagefferens, many HAB-forming dinoflagellates are known to exploit 280 organic forms of carbon and nitrogen for growth (1 - 4), grow well under low light (45), and 281 have elevated requirements of copper, molybdenum, and selenium (46, 47). Continued 282 ecogenomic analyses of HABs will reveal the extent to which these events can be attributed to 283 human activities that have transformed coastal ecosystems to suit the genetic capacity of these 284 algae.

285

286 Materials and Methods

The environmental conditions and plankton community composition within a brown tideprone estuary (Quantuck Bay, NY, USA) were monitored biweekly from spring through fall of 2009. Nutrient levels were assessed via wet chemical and combustion techniques, whereas the composition of the plankton community was assessed via immuno-fluorescent assays, flow cytometry, and standard microscopy. Metaproteomes were generated using two-dimensional, nano-liquid chromatography – tandem mass spectrometry (LC-MS/MS) and spectra were analyzed using SEQUEST and DTASelect algorithms. The genome of *A. anophagefferens* was sequenced using whole-genome shotgun approach using Sanger platform, assembled with JAZZ assembler, and annotated using JGI Annotation tools. Complete information regarding all methods used for all analyses reported here is available in Supplementary Information.

297

298 Acknowledgements: Genome sequencing, annotation, and analysis were conducted by the U.S. 299 Department of Energy Joint Genome Institute is supported by the Office of Science of the U.S. 300 Department of Energy under Contract No. DE-AC02-05CH11231. Efforts were also supported 301 by awards from New York Sea Grant to Stony Brook University, National Oceanic and 302 Atmospheric Administration Center for Sponsored Coastal Ocean Research award 303 #NA09NOS4780206 to Woods Hole Oceanographic Institution, NIH grant GM061603 to 304 Harvard University, and NSF award IOS-0841918 to The University of Tennessee. Assembly 305 and annotations of Aureococcus anophagefferens are available from JGI Genome Portal at 306 http://www.jgi.doe.gov/Aureococcus and were deposited at DDBJ/EMBL/GenBank under the 307 project accessions (ACJI0000000), respectively.

309 References

- Heisler J, Glibert PM, Burkholder JM, Anderson DM, Cochlan W, Dennison WC, Dortch Q, Gobler CJ, Heil
 CA, Humphries E, Lewitus A, Magnien R, Marshall HG, Sellner K, Stockwell DA, Stoecker DK, Suddleson M
 (2008) Eutrophication and harmful algal blooms: A scientific consensus. *Harmful Algae* 8:3-13.
- Sunda WG, Graneli E, Gobler CJ (2006) Positive feedback and the development and persistence of ecosystem disruptive algal blooms. *J Phycol* 42: 963-974.
- Anderson DM, Burkholder JM, Cochlan WP, Glibert PM, Gobler CJ, Heil CA, Kudela R, Parsons ML, Renseli
 JEJ, Townsend DW, Trainerk VL, Vargo GA. (2008) Harmful algal blooms and eutrophication: Examples of
 linkages from selected coastal regions of the United States *Harmful Algae* 8: 39-53.
- 318
 4. Smayda TJ (1997) Harmful algal blooms: Their ecophysiology and general relevance to phytoplankton blooms in the sea. *Limnol Oceanogr* 42: 1137-1153.
- 5. Hoagland P, Scatasta S (2006) in Ecology of Harmful Algae, eds Graneli E, Turner J (Springer-Verlag) pp 391 402.
- Gobler CJ, Lonsdale DJ, Boyer GL (2005) A synthesis and review of causes and impact of harmful brown tide
 blooms caused by the alga, *Aureococcus anophagefferens. Estuaries* 28: 726-749.
- O'Kelly CJ Sieracki ME Their EC, Hobson IC (2003) A transient bloom of *Ostreococcus* (Chlorophyta,
 Prasinophyceae) in West Neck Bay, Long Island, New York. *J Phycol* 39: 850-854.
- Sieracki, ME, Gobler CJ, Cucci, T, Thier E, Hobson I (2004) Pico- and nanoplankton dynamics during bloom initiation of Aureococcus in a Long Island, NY bay. *Harmful Algae* 3:459-470.
- Bowler C, et al. (2008) The *Phaeodactylum* genome reveals the evolutionary history of diatom *genomes*. *Nature* 456: 239–244.
- Armbrust EV, et al. (2004) The Genome of the Diatom *Thalassiosira pseudonana*: Ecology, Evolution, and
 Metabolism. *Science* 306: 79 86.
- 11. Palenik B, et al. (2007) The tiny eukaryote *Ostreococcus* provides genomic insights into the paradox of
 plankton speciation. *Proc Natl Acad Sci USA* 104: 7705-7710.
- Palenik B, et al. (2006) Genome sequence of Synechococcus CC9311: Insights into adaptation to a coastal environment. *Proc Natl Acad Sci USA* 103: 13555–13559.
- Boeckmann B et al. (2003) The SWISS-PROT protein knowledgebase and its supplement TrEMBL in 2003.
 Nucleic Acids Res 31:365-370.
- 14. Finn RD et al., (2010) The Pfam protein families database: *Nucleic Acids Res* 38:D211-222.
- 15. Connolly JA, Oliver MJ, Beaulieu JM, Knight CA, Tomanek L, Moline MA (2008) Correlated evolution of genome size and cell volume in diatoms (Bacillariophyceae). J Phycol 44: 124-131.
- Hessen DO, Jeyasingh PD, Neiman M, Weider LJ (2010) Genome streamlining and the elemental costs of
 growth. *Trends Ecol Evol* 25:75-80.
- Raven JA, Kubler JE (2002) New light on the scaling of metabolic rate with the size of algae. J Phycol 38: 1116.
- 345
 18. Green BR, Durnford DG (1996) The chlorophyll-carotenoid proteins of oxygenic photosynthesis. *Annu Rev Plant Physiol Plant Mol Biol* 47: 685–714.
- 347
 19. Durnford DG, Deane JA, Tan S, McFadden GI, Gantt E, Green, B.R. (199) A phylogenetic assessment of the
 auterna proteins, with implications for plastid evolution. *J Molecular Evol* 48: 5968.
- 20. Cock JM, et al. (2010) The *Ectocarpus* genome and the independent evolution of multicellularity in brown
 algae. *Nature* 465: 617-621
- Lefebvre SC, Harris G, Webster R, Leonardos N, Geider RJ, Raines RA, Read BA, Garrido JL (2010)
 Characterization and expression analysis of the LHCf gene family in *Emiliania huxleyi* (Haptophyta) reveals
 differential responses to light and CO₂. J Phycol 46:123-134.
- 22. Popels LC, MacIntyre HL, Warner ME, Yaohong Z, Hutchins DA (2007) Physiological responses during dark
 survival and recovery in *Aureococcus anophagefferens* (Pelagophyceae). *J Phycol* 43: 32-42.
- 357 23. Mulholland MR, Gobler CJ, Lee C (2002) Peptide hydrolysis, amino acid oxidation, and nitrogen uptake in communities seasonally dominated by *Aureococcus anophagefferens*. *Limnol Oceanogr* 47: 1094-1108.
- Wurch LL, Haley ST, Orchard ED Gobler CJ, Dyhrman ST. (2010) Nutrient-regulated transcriptional responses
 in the brown tide 1 forming alga *Aureococcus anophagefferens*. Environ Microbiol, in press.

- 361 25. LaRoche J, Nuzzi R, Waters R, Wyman K, Falkowski PG, Wallace DWR (1997) Brown tide blooms in Long
- 362 Island's coastal waters linked to variability in groundwater flow. *Global Change Biol* 3: 397-410.
- Sañudo-Wilhelmy SA, Flegal AR (1993) Comparable levels of trace-metal contamination in two semi-enclosed
 embayments: San Diego Bay and South San Fransiscio Bay. *Environ Sci Technol* 27: 1934-1936.
- 365 27. Breuer E, Sañudo-Wilhelmy SA, Aller RC (1999). Distributions of trace metals and dissolved organic carbon in
 366 an estuary with restricted river flow and a brown tide. *Estuaries* 22: 603-615.
- 28. Cutter GA, Cutter LS (2004) Selenium biogeochemistry in the San Francisco Bay estuary: changes in water
 column behavior. *Estuarine Coastal Shelf Sci* 61:463–476.
- 29. Lobanov AV Fomenko DE, ZhangY, Sengupta A, Hatfield DL, Gladyshev VN (2007) Evolutionary dynamics
 of eukaryotic selenoproteomes: large selenoproteomes may associate with aquatic and small with terrestrial life.
 371 *Genome Biol.* 8: R198.
- 372 30. Stadtman TC (1996) Selenocysteine. Annu Rev Biochem 65, 83-100.
- 373 31. Hatfield DL, Gladyshev VN (2002) How Selenium Has Altered Our Understanding of the Genetic Code. *Mol* 374 *Cell Biol* 22, 3565-3576.
- 375
 32. Kim HY, Gladyshev VN (2005) Different Catalytic Mechanisms in Mammalian Selenocysteine- and Cysteine 376 Containing Methionine-R-Sulfoxide Reductases. *PLoS Biol.* 3: e375.
- 377 33. Score AJ, Palfreyman JW, White NA (1997) Extracellular phenoloxidase and peroxidase enzyme production
 378 during interspecific fungal interactions. *Int. Biodeterrioration Biodegradation* 39: 225-233.
- 379 34. Mayer AM (2006) Polyphenol oxidases in plants and fungi: Going places? A review. *Phytochem* 67: 2318-2331.
- 381
 35. Fan C, Glibert PM, Alexander J, Lomas MW (2003) Characterization of urease activity in three marine
 phytoplankton species, Aureococcus anophagefferens, Prorocentrum minimum, and Thalassiosira weissflogii.
 383
 383
- 384 36. Wolfram L, Friedrich B, Eitinger T (1995) The Alcaligenes eutrophus protein HoxN mediates nickel transport
 385 in Escherichia coli. *J Bacteriol* 177: 1840-1843.
- 386 37. Messerschmidt A, Huber R, Wieghart K, Poulos T (2005) Handbook of Metalloproteins, Vol. 1–3. (Wiley).
- 387 38. Facchini PJ (2001) Alkaloid biosynthesis in plants: Biochemistry, Cell Biology, Molecular Regulation, and
 388 Metabolic Engineering Applications. *Annu Rev Plant Physiol Plant Mol Biol* 52: 29–66.
- 389 39. Schmeller T, Latz-Bruing B, Wink M (1997) Biochemical activities of berberine, palamatinem and sanguinarine
 390 mediating chemical defence against microorganisms and herbivores. *Phytochemistry* 44: 257-266.
- 40. Rosado CJ, et al. (2007) A common fold mediates vertebrate defense and bacterial attack. *Science* 317:1548-1551.
- 41. Pierson LS, Gaffney T, Lam S, Gong F (1995) Molecular analysis of genes encoding phenazine biosynthesis in
 the biological control bacterium *Pseudomonas aureofaciens* 30–84. *FEMS Microbiol Lett* 134: 299 307.
- 395
 42. Sharom FJ (2008)ABC multidrug transporters: structure, function and role in chemoresistance.
 Pharmacogenomics 9: 105-27.
- 397 43. van Veen HW, Konings WN (1998) The ABC family of multidrug transporters in microorganisms. Biochimica *et Biophysica Acta Bioenergetics* 1365:31-36.
- 44. Mittl PRE, Schneider-Brachert W (2007) Sel1-like repeat proteins in signal transduction. *Cellular Signaling* 19: 20-31.
- 401 45. Quarmy LM (1999) Signal transduction in the sexual life of *Chlamydomonas*. *Plant Mol Biol* 26:1271-87.
- 402 46. Neer EJ, Schmidt CJ, Nambudripad R, Smith TF (1994)The ancient regulatory-protein family of WD-repeat proteins. *Nature* 371:297-300.
- 404
 47. Lotze HK Lenihan HS Bourque BJ, Bradbury RH, Cooke RG, Kay MC, Kidwell SM, Kirby MX, Peterson CH, Jackson, J.B.C. (2006) Depletion, degradation, and recovery potential of estuaries and coastal seas. *Science* 312, 1806-1809.
- 407
 48. Paerl HW, Pinckney JL, Fear JM, Peierls BL (1998) Ecosystem responses to internal and watershed organic 408 matter loading: consequences for hypoxia in the eutrophying Neuse river estuary, North Carolina, USA. *Mar* 409 *Ecol Prog Ser* 166, 17-25.
- 410
 49. McBride MB Spiers G (2001) Trace element content of selected fertilizers and dairy manures as determined by ICP-MS. *Comm Soil Sci Plant Anal* 32:139–156.
- 412 50. MacIntyre HL, Lomas MW, Cornwell J, Suggett DJ, Gobler CJ, Koch EW, Kana TM (2004) Mediation of benthic-pelagic coupling by microphytobenthos: an energy- and material-based model for initiation of blooms
- 414 of Aureococcus anophagefferens. Harmful Algae 3: 403-437.

- 415
 51. Quigg A, Finkel ZV, Irwin AJ, Reinfelder JR, Rosenthal Y, HoT-Y, Schofield O, Morel FMM, Falkowski PG (2003) The evolutionary inheritance of elemental stoichiometry in marine phytoplankton. *Nature* 425: 291-294.
 52. Doblin MA, Blackburn SI, Hallegraeff GM (1999) Comparative study of selenium requirements of three
- 52. Doblin MA, Blackburn SI, Hallegraeff GM (1999) Comparative study of selenium requirements of three
 phytoplankton species: *Gymnodinium catenatum*, *Alexandrium minutum* (Dinophyta) and *Chaetoceros cf. tenuissimus* (Bacillariophyta). *J Plankton Res* 21: 1153-1169.

425 Figure Legends

426

427 Figure 1. Field observations from Quantuck Bay, NY, USA. a, Macro- and microscopic images 428 (inset) of an estuary (Quantuck Bay, NY, USA) under normal conditions from 9 June 2009, prior to a brown tide (note the diatom in the inset micrograph image). b, similar macro- and 429 430 microscopic images (inset) taken 6 July 2009 during a harmful brown tide bloom caused by A. 431 anophagefferens (note the dominance of A. anophagefferens in the inset micrograph). c, The 432 dynamics of dissolved inorganic nitrogen (DIN), dissolved organic nitrogen (DON) and the 433 extinction coefficient of light within seawater during the spring and summer of 2009 in Quantuck 434 Bay. d, The dynamics of phytoplankton during the spring and summer of 2009, a year when A. 435 anophagefferens bloomed almost to the exclusion of other phytoplankton, including 436 picoeukaryotes that are often dominated by *Ostreococcus* sp. in estuaries which host brown tides 437 (6, 7, 8), and *Thalassiosira* and *Phaeodactylum*, genera which are found in this system (6). The 438 shaded region in panel C and D indicates the period when A. anophagefferens blooms, 439 highlighting that A. anophagefferens blooms when levels of DIN and light levels are low, and 440 DON levels are high and that A. anophagefferens blooms can persist for more than a month 441 during summer when this species dominates phytoplankton biomass inventories. e. The 442 dynamics of A. anophagefferens cell densities during 2007, 2008, and 2009 with the dates of 443 samples collected for metaproteome analyses (6/26/07 and 7/9/07) indicated within the dashed 444 circled. The inset metaproteome pie chart specifically depicts the mean relative abundance of 445 unique spectral counts of peptides matching proteins from A. anophagefferens, P. tricornutum (9) 446 T. pseudonana (10), O. tauri (11), O. lucimarinus (11), Synechococcus (CC9311) (12), 447 Synechococcus (CC9902), and heterotrophic bacteria.

448

449 Figure 2. Comparisons of gene compliment between A. anophagefferens and other co-occurring 450 phytoplankton species. Aa, Pt, Tp, Ot, Ol, S1, and S2 are Aureococcus anophagefferens, 451 Phaeodactylum tricornutum, Thalassiosira pseudonana, Ostreococcus tauri, Ostreococcus 452 lucimarinus, Synechococcus clone CC9311 and Synechococcus clone CC9902, respectively. a, 453 The number of light harvesting complex (LHC) genes present in each phytoplankton genome 454 (red bars; left axis) and I_{max}, the irradiance level required to achieve maximal growth rates in 455 each phytoplankton (black squares; right axis). Among these species, A. anophagefferens 456 possesses the greatest number of LHC genes, achieves a maximal growth rate at the lowest level 457 of light, and blooms when light levels are low. b, The number of genes associated with the 458 degradation of nitriles, asparagine, and urea in each phytoplankton genome. A. anophagefferens 459 grows efficiently on organic nitrogen because it possesses more nitrilase, asparaginase, and urease genes than other phytoplankton. c, Inter-species comparison of the genes encoding 460 proteins that contain the metals Se, Cu, Mo, Ni, and Co (left axis) and Se_{max}, the selenium level 461 462 (added as selenite shown as log concentrations) required to achieve maximal growth rates in A. 463 anophagefferens, P. tricornutum, T. pseudonana, and Synechococcus (white circles; right axis). 464 The range of dissolved selenium concentrations found in estuaries is depicted as a yellow bar on the right y-axis. A. anophagefferens has the largest number of proteins containing Se, Cu, Mo, 465 and Ni and blooms exclusively in shallow estuaries where inventories of these metals are high. 466 See supplementary materials and methods for details of irradiance- and Se-dependent growth 467 468 data and Se concentrations in estuaries.

469

471 Figure 3. Phylogenetic tree constructed from amino acid sequences of predicted LHC proteins 472 from two diatoms (*Phaeodactylum tricornutum* and *Thalassiosira pseudonana*, black branches), 473 two Ostreococcus species (O. tauri and O. lucimarinus, green branches), and Aureococcus 474 anophagefferens (red branches). The tree constructed in MEGA4 (see Fig. S1) is displayed here 475 after manipulation of the original branch lengths in Hypertree (http://kinase.com/tools/HyperTree.html) to aid visualization of major features of the tree. None 476 477 of the Aureococcus LHCs were closely related to green plastid lineage LHCs, although four 478 belonged to a group found in both the green and red plastid lineages (group I). None of the 479 Aureococcus LHCs clustered with the 'major' fucoxanthin-chlorophyll binding proteins (FCP) of 480 diatoms and other heterokonts (major FCP group). However, many Aureococcus LHCs did group 481 with similar sequences from P. tricornutum and T. pseudonana (as well as LHCs from other red-482 lineage algae not included in this tree; groups A to K). There were also five groups of A. 483 anophagefferens LHCs that were not closely related to any other LHCs (Aur1 to Aur5). Group G 484 includes 16 LHCs from A. anophagefferens and two from T. pseudonana, and shares a unique 485 PHYMKG motif near the end of helix two with 10 additional A. anophagefferens LHCs plus 5 486 more from the diatoms.

487

Figure 4. Genes encoding for enzymes involved in degrading organic carbon compounds in *A. anophagefferens*. The graph displays the portion and names of the genes encoding for functions which are unique to *A. anophagefferens* (red; 53%), enriched in *A. anophagefferens* relative to the six comparative phytoplankton (34%; green), and present at equal or lower numbers in *A. anophagefferens* relative to the six comparative phytoplankton (13%; blue). The number of genes present in multiple copies in *A. anophagefferens* is shown in parentheses. Further detail regarding these genes is presented in tables S10 and S11.

495







Enriched:

Alpha-mannosidase (8) Alpha-galactosidase (6) Beta-galactosidase (3) Beta-glucanase (6) Beta-glucosidase (6) Beta-glucuronidase (3) Beta-hexosaminidase (3) Beta-N-acetylhexosaminidase (3) Polygalacturonase (4) Glucosamine-phosphate deaminase (2)

Shared:

Alpha-glucosidase (4) Beta-xylosidase di-N-acetylchitobiase (4) Endo-1,3-beta-glucanase Glucan 1,4-beta-glucosidase Prunasin hydrolase **Unique:** Alpha-1,6-mannanase Alpha-arabinofuranosidase (5) Alpha-glucuronidase (2) Alpha-L-iduronidase Beta-1,4 cellobiohydrolase Beta-1,4-endoglucanase (3) Beta-fructofuranosidase (4) Beta-glucuronyl hydrolase Beta-mannosidase Cellulase (2) D-galactarate dehydratase Endo-1,4-beta-xylanase Mannitol dehydrogenase Mannonate dehydratase N-acylglucosamine 2-epimerase Pectate lyase

Polysaccharide deacetylase (2)

Table 1. Major features of the genomes of *A. anophagefferens*, and six competing algal species *P. tricornutum* (9), *T. pseudonana* (10), *O. tauri* (11), *O. lucimarinus* (11), *Synechococcus* (CC9311) (12), *Synechococcus* (CC9902). Genes with known functions were identified using Swiss-Prot, a curated protein sequence database, with an e-value cut-off of $< 10^{-5}$ (13). Pfam domains are sequences identified from a database of protein families represented by multiple sequence alignments and hidden Markov models (13). The compressed nature of *P. tricornutum* cells (11 x 2.5 µm) makes its biovolume smaller than *T. pseudonana*.

	A. anophagefferens	P. tricornutum	T. pseudonana	O. tauri	O. lucimarinus	Synechococcus (CC9311)	Synechococcus (CC9902)
Cell diameter (µm)	2.0	11.0	5.0	1.2	1.3	1.0	1.0
Cell volume (µm3)	6	61	88	1.8	2.0	1.2	1.2
Genome size (Mbp)	57	27	32	13	13	2.6	2.2
Predicted gene number	11,501	10,402	11,242	7,892	7,651	2,892	2,301
Genes with known functions	8,560	6,239	6,797	5,090	5,322	1,607	1,469
Genes with Pfam domains Genes with unique Pfam	6,908	5,398	5,791	4,763	4,214	1,636	1,488
domains	209	79	75	23	51	55	12