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11 A new method was developed for marine harmful algal bloom (HAB) mitigation 12 using local beach sand or silica sand modified with chitosan and polyaluminum 13 chloride (PAC). Untreated sand was ineffective in flocculating algal cells, but 80% 14 removal efficiency was achieved for *Amphidinium carterae* Hulburt and a *Chlorella* 15 sp. in 3 min (t<sub>80</sub> = 3 min) using 120 mg L<sup>-1</sup> sand modified with 10 mg L<sup>-1</sup> PAC and 10 16 mg L<sup>-1</sup> chitosan. After several hours 92% – 96% removal was achieved. The t<sub>80</sub> for 17 removing *A. carterae* using the modifiers only (PAC and chitosan combined) was 60 18 min and for *Chlorella* sp. 120 min, times which are much slower than with the 19 corresponding modified sand. Sands were critical for speeding up the kinetic 20 processes of flocculation and sedimentation of algal flocs. PAC was helpful in 21 forming small flocs and chitosan is essential to bridge the small flocs into large dense 22 flocs. Chitosan was also important in inhibiting the escape of cells from the flocs. 23 Chitosan and PAC used together as modifiers make it possible to use local beach 24 sands for HAB mitigation in seawater. Economical and environmental concerns could 25 be reduced through the use of sands and biodegradable chitosan, but the potential 26 impacts of PAC need further study.

27 *Keywords*: Harmful algal bloom; Seawater; Modified sands; Chitosan; Polyaluminum 28 chloride (PAC); Synergistic effect.

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## 30 **1. Introduction**

31 Harmful algal blooms (HABs) pose a serious threat to public health, aquatic



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75 Polyaluminum chloride (PAC), a commonly used inorganic coagulant, is highly



88 No efforts have been made thus far to use local beach sands to irreversibly 89 flocculate and sediment marine HAB cells. Here, a modification of the approach to 90 suppress freshwater HABs using local beach sands and polymers was developed for 91 algal bloom mitigation in seawater. The synergistic effects of chitosan and PAC 92 (hereafter termed "modifiers") with two types of sands were investigated for the 93 removal of *Amphidinium carterae* and *Chlorella* sp. The results demonstrate that it is 94 possible to use modified local or commercially available sands to irreversibly remove 95 a high percentage of the two types of HAB cells from seawater.

## 96 **2. Materials and Methods**

#### 97 2.1. Algal species and culture

98 Two algal species were used - *Amphidinium carterae* Hulburt, a motile 99 dinoflagellate, and a marine *Chlorella* sp. which is very small, and non-motile. *A.*  100 *carterae* is considerd a HAB species because of its production of haemolysins, and it 101 has also been linked to fish mortalities(Hulburt, 1957; Yasumoto et al., 1987). 102 Although *Chlorella* is not listed as a harmful species on some lists, it is known for its 103 ability to produce dense blooms that can have adverse consequences, such as the 104 decimation of the oyster industry on Long Island following eutrophication stimulated 105 by duck farm effluents (Ryther, 1954). *A. carterae* was obtained from Oceanography 106 College, Ocean University of China and *Chlorella* sp. was supplied by Seaweed 107 Inheritance Breeding Center of Shandong Oriental Ocean Sci.-Tech. Co. Ltd.. 108 The cells were grown in f/2 medium (Guillard and Hargraves, 1993) made with



#### 117 2.2. Sands and modifiers



130 2.3. Algal flocculation

131 Flocculation experiments were conducted using a jar test apparatus (ZR3-6, 132 Zhongrun Water Industry Technology Development Co. Ltd., China) using cultures in 133 mid- to late-exponential growth phase. The initial cell concentrations of *A. carterae* 134 and *Chlorella* sp. were  $3.25 - 3.42 \times 10^5$  cells mL<sup>-1</sup> and  $6.65 - 6.82 \times 10^6$  cells mL<sup>-1</sup>, 135 respectively. Two hundred milliliters of experimental culture were transferred into a 136 250 mL beaker, stirred at 200 rpm for 2 min, followed by 30 rpm for another 5 min. 137 Chitosan alone, PAC alone, chitosan plus PAC together, and chitosan plus PAC plus 138 sands were added to the algal culture in different flocculation experiments. The 139 control culture was run without adding any sands or modifiers.



- 152 by the measured mean diameter  $(d_{50})$ .
- 153 2.4. Viability and growth of algae after flocculation

154 The effect of PAC or chitosan with PAC on the viability and the growth of *A.*  155 *carterae* after flocculation was investigated using two strategies. In the first 156 experiment, fresh f/2 medium was added to the supernatant without disturbing the 157 algal flocs (Sengco et al., 2001; Sun and Choi, 2004). This flask was maintained in an 158 illuminated incubator, and viability and growth of the cells were monitored by 159 measuring the cell concentrations in the supernatant after 24 and 48 hours. In the 160 second experiment, flocs were maintained in the incubator without fresh f/2 medium 161 or light.

## 162 **3. Results**

163 3.1. Algal flocculation using modified sands

164 Compared with control experiments, 100 mg  $L^{-1}$  silica sand or local sand was 165 ineffective in removing *A. carterae* and *Chlorella* sp. (Fig.1). However, sands 166 modified using chitosan and PAC combined were highly efficient in flocculating and 167 sinking algal cells. The removal efficiency with 120 mg  $L^{-1}$  modified sands containing 168 10 mg  $L^{-1}$  chitosan and 10 mg  $L^{-1}$  PAC reached 80% for the two algal species within 3 169 min (t<sub>80</sub>=3 min), whereas the removal efficiencies of only 10 mg  $L^{-1}$  chitosan plus 10 170 mg L<sup>-1</sup> PAC on *A. carterae* (Fig.1A) and *Chlorella* sp. (Fig.1B) were 54% and 43%, 171 respectively. The t<sub>80</sub> of the modifiers alone for *A. carterae* removal was 60 min and 172 that for *Chlorella* sp. was 120 min. Using only sands, the removal efficiencies of *A.*  173 *carterae* and *Chlorella* sp. after 240 min were 26% and 7% (Figs. 1A, 1B). This 174 increased to 96% and 92% when the chitosan and PAC modifiers were added with the 175 sand. The results in Fig.1 also demonstrate that there was no large difference between 176 silica sand and local beach sand on HAB cell removal if the modifiers chitosan and 177 PAC were present.



179 When chitosan was used alone, cell removal efficiencies increased with increasing 180 dosage of chitosan  $(0 - 20 \text{ mg L}^{-1}$  for *A. carterae* and  $0 - 50 \text{ mg L}^{-1}$  for *Chlorella* sp.; 181 Fig.2). However, the removal efficiency of *A. carterae* (Fig.2A) was maximally 71% 182 at 20 mg  $L^{-1}$  chitosan and that of *Chlorella* sp. (Fig.2B) was only 51% at 50 mg  $L^{-1}$ ,



203 the highest peak at 631 µm. The size distribution of PAC plus chitosan was between

202 The floc size distribution of PAC alone ranged between 316 µm and 1259 µm, with

204 417 µm and 2188 µm, with the highest peak at 955 µm.



217 The results shown in Fig.4B demonstrate that the cell concentration in the 218 supernatant of the three treatments in the incubator with no light or added nutrients 219 decreased gradually throughout the study interval. However, the algal cell 220 concentrations of PAC plus chitosan used together were less than that of PAC alone 221 and the cell concentration was inversely related to the chitosan dosage. After 28 days, 222 the concentration of algal cells in supernatant was only 300 cells  $mL^{-1}$ , indicative of 223 almost no recovery of *A. carterae* cells under conditions similar to those found near 224 bottom sediments.

### 225 **4. Discussion**

226 In this study, a method was developed that uses sands or local soils that could be

227 collected from the immediate vicinity of a HAB, and used in conjunction with small 228 amount of chitosan and PAC to flocculate and effectively remove cells from the water 229 column. Our results demonstrate that PAC was needed to maintain the netting and 230 bridging function of chitosan in seawater and to form small flocs, while chitosan was 231 essential in bridging the small flocs into large and dense flocs that hindered the escape 232 of cells from the flocs. As the safe and cheap carrier of these modifiers, sand was 233 critical for speeding up sedimentation. This approach, which was a modification of 234 the one used successfully for HAB removal in freshwater systems (Pan et al., 2006b; 235 Pan et al., 2011), greatly minimizes environmental concerns for mitigation of HABs 236 in seawater using clays since the use of native beach sands has few environmental 237 concerns. As discussed below, however, there are still some issues that need to be 238 addressed if this method is used for field applications on natural blooms.

239 4.1. Synergistic effects of chitosan plus PAC

240 The flocculation of algal cells in natural waters occurs as a result of attractive 241 anion-cation interactions, as well as hydrophobic or polymer interactions (Divakaran 242 and Pillai, 2001; Strand et al., 2002). Sands alone are much less efficient in 243 flocculating algal cells compared to clays such as kaolinite, montmorillonite, and 244 sepiolite (Pan et al., 2006a; Pan et al., 2006b; Pierce et al., 2004; Sengco et al., 2001; 245 Yu et al., 1994). Chitosan and PAC as modifiers increase the surface charge of sands 246 and enhance the netting and bridging interactions with algal cells. Sands also provide 247 the mass or ballast to carry flocs to bottom sediments.

248 Chitosan, a cellulose-like polyelectrolyte biopolymer, is derived from the alkaline

249 deacetylation of crustacean chitin, which possesses several intrinsic characteristics of 250 coagulants and flocculants, i.e., high cationic charge density, long polymer chains, 251 bridging of aggregates and precipitation (Renault et al., 2009; Rinaudo, 2006). 252 Chitosan, by itself, does not flocculate effectively in seawater (Fig. 2). This is because 253 its molecular structure includes abundant amino groups (-NH2) and hydroxyl groups 254 (-OH) on the chain. The active amine group (-NH2) of chitosan is easily protonated as  $255$  -NH<sub>3</sub><sup>+</sup> in dilute acidic solutions, and there is a strong electrostatic repulsion force 256 within and between molecules (Rinaudo, 2006). The high content of positively 257 charged amine groups in the chitosan structure facilitates electrostatic interactions 258 between polymer chains and negatively charged contaminants (Huang et al., 2000; 259 Renault et al., 2009). However, in high ionic strength solutions such as seawater, 260 counter-ions accumulate near the  $-NH_3$ <sup>+</sup> group, which would screen the protonated 261 amine groups and decrease the electrostatic repulsion among them (Qun and Ajun, 262 2006; Schatz et al., 2003). This prevents the unfolding of the molecular chain, thereby 263 weakening its netting and bridging properties (Zou et al., 2005).

264 In contrast to chitosan, the high ionic strength of seawater is beneficial to PAC 265 flocculation due to the reduction of the thickness of the electrical double layer which 266 enhances the collision probability of granules. PAC supplies cationic hydrolysis 267 products that are strongly adsorbed on negative particles and can give effective 268 destabilization, leading to the formation of micro-flocs (Renault et al., 2009). Particles 269 with thinner electrical double layers are easier to coagulate because of reduced 270 repulsion. With the high salinity of seawater, flocculation of particles is increased 271 because the thickness of the electrical double layer is decreased due to the 272 compression of the electrolytes (Han and Kim, 2001; Pan et al., 2006b). This explains 273 why PAC is effective in flocculating HAB cells in seawater and why the algal cell 274 removal efficiencies of chitosan are increased remarkably with the addition of PAC. 275 PAC cannot be used by itself in seawater, however, since, discussed by Beaulieu et al. 276 ( 2005), PAC flocs are light and fluffy and do not settle even in light flow regimes. If 277 these small flocs can be combined and form a stronger, larger, and heavier flocs, then 278 the limitations of PAC flocs can be overcome.

279 The amino groups (-NH2) and hydroxyl groups (-OH) in chitosan's molecular 280 structure contain single-pair electrons that can offer the electron pair to empty 281 trajectories of metal ions; they then chelate into a complex compound (Bassi et al., 282 2000). It was reported that there was a positive correlation between chitosan and PAC 283 and the effect of chitosan adsorbing  $Al^{3+}$  in solution was very obvious (Zeng et al., 284 2008). The cationic hydrolysis products of PAC that are adsorbed on the molecule 285 chain of chitosan might increase electrostatic repulsion between them and protonated 286 groups  $(-NH_3^+)$ , which would in turn be beneficial to the unfolding of chitosan's 287 molecular chain and weaken the negative effect of high ionic strength on chitosan's 288 netting and bridging properties in seawater. Therefore, PAC and chitosan are 289 complementary in flocculating HAB cells in seawater. Larger and denser algal flocs 290 are formed by the compression of electrical double layer, charge neutralization, 291 adsorption, and netting interactions to bind and bridge cells tightly.

#### 292 4.2. Cell escape from flocs

293 As shown in Figure 4, with light and nutrients provided to cells flocculated using PAC 294 and chitosan alone, cell concentrations in the supernatant doubled in 24 hours, and 295 then doubled again 24 hours later. *Amphidinium* can grow rapidly, with growth rates 296 as high as 2.7 divisions per day (Ismael et al., 1999), so the cell increase in the 297 supernatant of the chitosan plus PAC treatment could be explained entirely by growth 298 with little or no contribution from cells escaping from the flocs. The much larger 299 increase in cell abundance in the PAC only treatment suggests that a significant 300 number of cells escaped into the supernatant.

301 Chitosan flocs were fibrous and formed large entangled masses resembling 302 cobwebs by bridging mechanisms (Fig.5A). The protonated amine group of chitosan 303 attract negatively charged algal cells to produce large and complex flocs that help to 304 prevent the escape of motile cells. In contrast, the flocs of PAC alone were small and 305 there were large numbers of cells around the flocs (Fig. 5B). This implies that PAC 306 does not bridge the algal cells firmly nor bind them as strongly as chitosan does. 307 Overall, the number of cells escaping from the PAC plus chitosan flocs was small, and 308 the method appeared promising for bloom mitigation. The addition of sand would 309 make cell escape even more difficult.

310 4.3 Environmental impacts

311 One of the challenging and controversial aspects of HAB research relates to 312 methods to directly control or suppress blooms (Anderson 1997). Of the many 313 methods that have been proposed, removal of HAB cells through clay flocculation is 314 seen by some as promising in terms of efficiency, cost, and environmental impacts 315 ( e.g., Sengco and Anderson, 2004; Lee et al. 2008). There are, however, those who 316 feel that the environmental impacts of this approach are unacceptable, or poorly 317 understood. In addition to the possible adverse ecological impact caused by the 318 addition of large amount of exotic materials (Shumway et al, 2003), other concerns 319 expressed relates to the constituents in the clay, which might include nutrients such as 320 phosphorus, or toxic or harmful metals and radioactive materials bound to the clay. As 321 an alternative to clays, sands are relatively inert or refractory and thus may minimize 322 these impacts. Most importantly, as a native part of the ecosystem, beach sand is 323 ecologically safe to the marine system which may avoid the fundamental concern 324 associated with clays. Large-scale dredging and beach nourishment projects abound in 325 nearshore waters worldwide, suggesting that environmental opposition to HAB 326 mitigation efforts using local sands might be minimal. In cases where beach sands 327 need to be conserved, commercially available sands may also be safe, cheap and 328 easily available to be used.

329 The modification technique using chitosan and PAC can not only turn local 330 beach sands or local soils into highly effective flocculants in the mitigation of HABs 331 in seawater, but is also useful in reducing the loading of sands/soils required for 332 effective cell removal, which is crucial for large scale field applications. Chitosan, a 333 commercially available product of edible food additives, is known to be a 334 biodegradable and non-toxic natural polymer. Compared with other chemical reagents, 335 chitosan is environmental friendly, but it might be a source of oxygen demand as it

336 decays. The amount of chitosan used is, however, much less than the amount of algal 337 biomass being sedimented, so this is not a serious concern. Nevertheless, it may be 338 worthwhile to develop techniques that could carry and release oxygen with the flocs 339 to combat this potential problem (Pan et al., 2009). In some coastal areas, it is also 340 possible to sink the algal blooms into the bottom and cover them using a second layer 341 of sands or local soils so that the cells can be permanently buried and sealed in the 342 sediment and turned into fertilizers for the growth of seaweeds, as Pan et al (2011) 343 demonstrated in shallow lakes. By decomposing the algal cells and the modifiers and 344 converting them into the biomass of seaweeds, the harmful blooms may be turned into 345 useful resources for the improvement of the ecosystem. However, this possibility 346 needs further study in marine systems affected by HABs. Although PAC (a compound 347 used in drinking water treatment) was needed to maintain the netting and bridging 348 function of chitosan in seawater, the adverse ecological effects of this compound in 349 seawater remain a concern. More research is needed in this area before larger-scale 350 applications can be undertaken. Similarly, efforts are needed to identify new, 351 environmentally benign modifiers that could replace PAC in this bloom control 352 strategy.

353

### 354 **5. Conclusion**

355 Dispersal of sands or local soils modified with chitosan and PAC achieved high 356 removal efficiency of marine HAB cells in a short time and prevented the escape of 357 significant numbers of motile organisms from the algal flocs. This method greatly 358 reduces potential environmental impacts by using relatively inert or refractory sand or 359 local and by using a biodegradable polymer such as chitosan, but there may be 360 environmental concerns about the use of PAC. With some additional studies, this 361 approach shows great promise to become an effective and environmentally acceptable 362 strategy for HAB mitigation.

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# 471 Figure Captions



485 Fig. 1.



488 Fig. 2.





493 Fig. 4.



