

# Larval responses to turbulence and temperature in a tidal inlet: Habitat selection by dispersing gastropods?

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## ABSTRACT

Marine larval dispersal is affected by hydrodynamic transport and larval behavior, but little is known about how behavior affects large-scale patterns of dispersal and recruitment. Intertidal habitats are characterized by strong and variable turbulence relative to shelf and pelagic waters, so larval responses to turbulence may affect both dispersal and habitat selection. This study combined observations and theoretical approaches to model gastropod larval responses to multiple physical variables in a well-mixed tidal inlet. Physical measurements and larvae were collected in July 2004 in Barnstable Harbor, Massachusetts (USA). Physical measurements were incorporated in an advection-diffusion model where larval vertical velocity is a function of turbulence dissipation rate, temperature, and the temperature gradient. Modeled larval distributions were fitted to observed concentration profiles by maximum likelihood to estimate larval behavioral velocity (swimming or sinking) as a function of environmental conditions. These quantitative behavior estimates were used to test hypotheses about behavioral differences among groups and to assess the relative impact of different cues on overall larval behavior. Larvae of five common gastropod species from different coastal habitats reacted most strongly to turbulence but had genus-specific responses to environmental cues. Larvae of a species from tidal inlets (the mud snail *Nassarius obsoletus*) had near-zero velocities under calmer conditions and sank in strong turbulence. In contrast, larvae from exposed beach habitats (*Crepidula* spp. and *Anachis* spp.) sank in weak turbulence and swam up in strong turbulence, with additional responses to temperature and temperature gradient. Larval responses also differed between small and large size classes and between flood and ebb tides. Behavior of mud snail larvae would contribute to retention inside the inlet and near adult habitats, whereas behavior of beach snail larvae would contribute to rapid export from muddy inlets lacking suitable adult habitats.

## 1. Introduction

The behaviors of planktonic larvae affect larval vertical distributions and therefore influence dispersal patterns (Guizien *et al.*, 2006; North *et al.*, 2008), the supply of larvae to benthic habitats (Eckman *et al.*, 1994; Fuchs *et al.*, 2007; Koehl *et al.*, 2007), and the degree to which populations are open to recruits from distant sources (Cowen *et al.*, 2006; Gerlach *et al.*, 2007). Despite the acknowledged role of behavior in larval transport, few details are

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known about the ecological importance of larval responses to the environment. Our understanding of larval transport processes should improve through the development of coupled bio-physical models that account for behavior (Werner *et al.*, 2007; Metaxas and Saunders, 2009). Behavior can be included in these models either by specifying some generic vertical migrations or by giving larvae an empirical response to environmental characteristics. The second approach is mechanistic and may ultimately be more useful, but it also requires that we first quantify the key behaviors affecting where larvae go. We expect that larvae respond to those cues that provide the greatest signal-to-noise ratio in their preferred habitats. For example, some intertidal habitats are characterized by strong turbulence and a large temporal variation in salinity or temperature, and larvae of intertidal species could use these signals for navigation into or away from intertidal zones (e.g., Welch and Forward, 2001).

We are particularly interested in larval responses to turbulence because turbulence clearly differentiates coastal from offshore regions and also elicits behavioral changes in some larvae. The rate of turbulent kinetic energy dissipation  $\varepsilon$  is typically  $\geq 10^{-1} \text{ cm}^2 \text{ s}^{-3}$  in coastal areas and tidal inlets (Gross and Nowell, 1985; George *et al.*, 1994) but rarely exceeds  $10^{-2} \text{ cm}^2 \text{ s}^{-3}$  in shelf regions or open ocean (Dillon and Caldwell, 1980; Oakey and Elliott, 1982). In tidal channels, turbulence varies over several orders of magnitude on short spatial (m vertical; km horizontal) and temporal (h) scales. Changes in turbulence may be sensed by larvae as differences in shear (spatial velocity gradients), acceleration, or rotation of the body. On encountering turbulence, some bivalve or snail veligers stop swimming, retract their vela, and sink (Barile *et al.*, 1994; Young, 1995; Fuchs *et al.*, 2004). Sinking speeds often exceed the maximum swimming speed (e.g., Hidu and Haskin, 1978; Jonsson *et al.*, 1991), and the combination of swimming and sinking gives larvae considerable control over their vertical movements. In the laboratory, larvae of the intertidal mud snail *Nassarius obsoletus* shift from upward swimming to sinking at a dissipation rate of  $\varepsilon \approx 10^{-1} \text{ cm}^2 \text{ s}^{-3}$  (Fuchs *et al.*, 2004). When this behavior was incorporated into a vertical advection-diffusion model for tidal channels, larvae were more concentrated near the bed and had greater settlement success in more energetic currents than in weaker flows (Fuchs *et al.*, 2007). Turbulence is a potentially important navigational cue for dispersal and settlement in nearshore currents.

Other environmental cues are also temporally variable in tidal environments and may direct larvae towards coastal habitats. In shallow inlets, temperature and the temperature gradient vary over short time scales. For our purposes, temperature gradient refers to the rate of change rather than spatial gradient because larvae are too small to detect spatial variability. Temperature affects the swimming rates of some ciliated larvae (Young, 1995; Fingerut *et al.*, 2003) in the laboratory. The speed of larval movement is also physiologically affected by temperature through its effects on viscosity, biochemical rates, and the ciliary beat frequency (Chia *et al.*, 1984; Podolsky and Emlet, 1993; Larsen and Riisgård, 2009). Temperature gradient has rarely been investigated as a behavioral cue but does induce aggregation in quahog larvae (Mann and Wolf, 1983) and modify the direction of swimming in two species of crab larvae (Forward, 1990). Temperature and its gradient can be strongly

dependent on tidal stage and may provide a tidal signal enabling larvae to tune their behaviors and move towards coastal habitats.

It remains uncertain whether responses to turbulence or temperature are an important component of larval behavior in the field, because larvae also respond to other physical cues including light (Thorson, 1964; Miller and Hadfield, 1986), pressure (Mann and Wolf, 1983; Tankersley *et al.*, 1995), salinity (Hidu and Haskin, 1978; Tankersley *et al.*, 1995), current shear (Pawlik and Butman, 1993), and downwelling flow (C. DiBacco unpubl. data). Larvae also change behaviors or metamorphose in response to dissolved chemical cues from conspecifics or prey species (e.g., Pawlik, 1992; Turner *et al.*, 1994; Hadfield and Koehl, 2004). Larval responses to one cue can be modified by changes in a second or third cue (Tankersley *et al.*, 1995; Welch and Forward, 2001), suggesting that the overall behavior is controlled by a cue hierarchy (e.g., Kingsford *et al.*, 2002; Woodson *et al.*, 2007). Laboratory studies using a single stimulus may be poor predictors of the overall behavior of larvae at sea, where all potential cues vary in space and time. The most important behavioral cues, i.e. those with the greatest impact on larval transport, should be definitively identified by studying larval behavior under dynamic field conditions.

Field studies of larval behavior are rare, both because natural environments are uncontrolled and because it is difficult to observe larval behaviors *in situ*. In the boundary layer, larval exploratory behaviors can be inferred from the location of larval settlement relative to microtopography or shear around hard surfaces (Walters, 1992; Mullineaux and Garland, 1993). Away from the substrate, however, behaviors generally are inferred from velocities measured remotely (Gallager *et al.*, 2004; Genin *et al.*, 2005) or from larval distributions relative to features of the water column (e.g., Cronin, 1982; Pineda, 1999; DiBacco *et al.*, 2001). Remotely sampled data rarely allow larvae to be identified to species. Larval ecologists often theorize about behavior based on larval vertical distributions, but observed distributions provide limited insight into the relative roles of behavior and hydrodynamics or the cues that stimulate behavior. In this paper we combine highly resolved biological and physical measurements with theoretical and statistical analyses to estimate gastropod larval behaviors as a function of environmental conditions.

Our new approach was unable to account for all potential cues, so we focused on the environmental signals most likely to be used for habitat selection. The analysis was limited to three cues because some data were sparse, restricting the number of behavior parameters that could be estimated, and because the approach required enormous computation time that increased exponentially with each added parameter. Given these limitations, we focused on turbulence dissipation rate, temperature, and temperature gradient because these three cues exhibit greater spatial or temporal variability in energetic tidal inlets than in coastal currents. If coastal larvae use environmental signals to select habitats, they should respond to signals that are stronger or more variable near coastal habitats than at a distance. Although salinity also is variable in many estuaries, it was relatively constant during our study, so any responses to salinity would have been small and difficult to detect. Other physical cues such as pressure and light are arguably less variable in shallow inlets than in deeper waters, assuming that

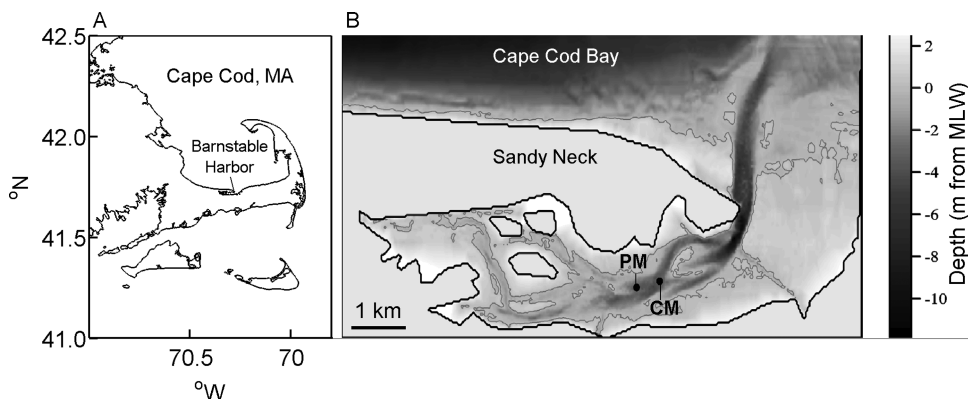


Figure 1. (A) Cape Cod map (Massachusetts, USA) showing location of Barnstable Harbor, and (B) Barnstable Harbor bathymetry map with locations of plankton mooring (PM) and current meters (CM). Black outlines indicate mean high water, gray lines indicate mean low water.

larvae move throughout the mixed layer, and chemical cues are difficult to measure in the field. We focused on turbulence and temperature because they are the physical cues most likely to provide larvae with a strong signal that they are approaching near-shore, shallow habitats.

#### a. Field environment

We did a field study to estimate larval behaviors in a tidally dominated, well-mixed coastal inlet at Barnstable Harbor, Massachusetts (USA; Fig. 1). The inlet has a shallow channel with a fully turbulent, depth-limited boundary layer and maximum current speeds of 50 to 80  $\text{cm s}^{-1}$  (Ayers, 1959; Hunt *et al.*, 2003). The channel runs  $\sim 80^\circ$  WSW to ENE in the inner harbor. Bed shear stresses are high and residence times are short ( $\sim 5$  tidal cycles; Sanders *et al.*, 1962), suggesting that opportunities for settlement are limited to short slack periods or brief lulls in the turbulence (e.g., Crimaldi *et al.*, 2002). This energetic environment is inhospitable for settlement, and we suspect that benthic invertebrates can settle successfully at Barnstable Harbor only if their larvae have a behavioral mechanism to remain concentrated near the bottom while in the harbor.

The idea that larvae must remain near the bottom to recruit inside the harbor is supported circumstantially by the life histories of the most common species. Invertebrate biomass at Barnstable Harbor is dominated by direct-developing gem clams (*Gemma gemma*), two polychaetes with short-lived demersal larvae (*Clymenella torquata* and *Glycera dibranchiata*), and perhaps paradoxically, intertidal mud snails (*Nassarius obsoletus*) with planktonic larvae (Sanders *et al.*, 1962). Juveniles and larvae of the first three species have never been observed to venture into the water column except by resuspension (e.g., Hunt, 2005) and would have limited transport in the harbor. In contrast, mud snail larvae spend 2–4 weeks

in the plankton before they become competent to metamorphose (Scheltema, 1967). This planktonic period is longer than the residence time of water in the harbor, and larvae probably are flushed out into Cape Cod Bay and transported in coastal currents before they reach competency. When competent larvae arrive from the bay, however, sinking in strong turbulence could concentrate larvae near the bed, contributing to retention and settlement in the harbor (e.g., Fuchs *et al.*, 2007).

As notable as mud snails' abundance in Barnstable Harbor is the absence of other adult gastropods whose larvae are plentiful in the water column. Slipper shells (*Crepidula fornicata*, *Crepidula plana*) and dove shells (*Anachis avara*, *Anachis translirata*) are common in sandy and gravelly substrates on exposed coastlines around Cape Cod; *Crepidula* spp. inhabit low intertidal and shallow subtidal zones, whereas *Anachis* spp. are mainly intertidal. We will refer to *Crepidula* spp. and *Anachis* spp. collectively as beach snails. Larvae of these groups are regularly found in plankton samples within the harbor (Fuchs unpubl. data), yet the adults are absent from Barnstable's muddy intertidal zone. Are these snails absent because the larvae settle in unsuitable muddy habitats and later suffer high mortality, or because they have low supply to the bed and low settlement due to their behavior in the water column? The absence of beach snails and abundance of mud snails may reflect differences in larval settlement success due to larval behaviors in the water column that affect retention times and delivery of larvae to the substrate.

In the present study we tested three hypotheses about behavior that have implications for how larvae are transported in Barnstable Harbor:

- $H_1$ : Larvae from different adult habitats respond differently to turbulence, temperature, and temperature gradient.
- $H_2$ : Small, pre-competent larvae and large, competent larvae respond differently to environmental cues.
- $H_3$ : Larval responses (if any) to environmental cues depend on the tidal stage.

Our previous work on mud snails shows that turbulence-mediated sinking could enhance settlement in Barnstable Harbor. In this study, we expected that larvae from muddy inlets (*N. obsoletus*) would sink in strong turbulence but that larvae from exposed beaches (*Crepidula* spp. and *Anachis* spp.) would have different behaviors to minimize their retention and failed settlement in the intertidal mud. We also expected precompetent and competent larvae to behave differently. If turbulence-induced sinking is a settlement behavior, then there is no a priori reason to expect a turbulence response by precompetent larvae that are physiologically unable to settle. Finally, we expected that gastropod larvae would respond differently to the environment on flood and ebb tides, because larvae could enhance their retention or export by altering their vertical movements with the changing tides.

To test our hypotheses, we estimated behaviors of early- and late-stage larvae of inlet- and beach-dwelling gastropods over multiple tidal cycles. Instead of observing behaviors remotely, we developed a method to estimate larval behaviors quantitatively from the vertical distributions of larvae in a turbulent boundary layer. This analysis produced population-level

estimates of the behaviors that generated the observed larval distributions. These quantitative estimates enabled us to test hypotheses, to determine cue hierarchies, and to infer the effects of behavior on larval transport in a coastal environment.

## 2. Methods

### a. Larval sampling

We sampled larvae from a small boat moored in the Barnstable Harbor channel (Fig. 1) on six days (22, 27, and 29 July and 2, 5, and 9 August) in summer 2004. Each day we sampled hourly from 07:30 to 16:30, collecting plankton samples at the surface and in 1-m increments to within a few cm of the bottom. Samples were collected by pumping 300 L of water through a 200  $\mu\text{m}$ -mesh plankton net (Ebara Dominator pump, Great Plains Industries TM200 flowmeter). A downrigger (Scotty Strongarm) was used to set the pump at precise depths. Plankton samples were stored overnight at 4 °C, fixed in 4% formalin with borax buffer for up to 2 weeks, and then transferred to buffered 80% ethanol.

Later we sieved the samples into small and large size fractions (200–425  $\mu\text{m}$  and >425  $\mu\text{m}$ ), sorted them, and identified gastropod larvae to species using previous descriptions (Scheltema, 1962, 1969; Thiriot-Quievreux and Scheltema, 1982). The two size fractions correspond to the approximate sizes of pre-competent and competent mud snail larvae. Samples from 22 July were poorly preserved and could be identified only to class, and August samples had few larvae, so we present only the data from 27 and 29 July. These sampling periods include two complete ebb tides and three partial flood tides mid-way between neap (24 July) and spring (1 August) tides. We found larvae of mud snails (*Nassarius obsoletus*), slipper shells (*Crepidula plana* and *C. fornicata*), and dove shells (*Anachis avara* and *A. translirata*) in at least half the profiles and used them for behavioral analyses. *Crepidula* spp. and *Anachis* spp. had low abundances and were pooled by genus.

### b. Physical measurements

In order to quantify larval responses to turbulence, we needed physical measurements that would resolve both the vertical mixing and the small-scale turbulence that larvae might respond to. We measured near-bottom velocities with an acoustic Doppler velocimeter (5-MHz, Sontek ADVOcean) and measured velocity profiles with an acoustic Doppler current profiler (1.2-MHz, RD Instruments Workhorse ADCP). The instruments were deployed in the Barnstable Harbor channel in July 2004 and collected data from 22 July to 31 July. The ADV measured velocities at  $\sim 0.78$  m above the bed (mab) in earth coordinates at 8 Hz for 512-s bursts every half hour. About 10 m due north ( $0^\circ$ ) of the ADV, the ADCP was bottom-mounted on a tripod and leveled to a  $\sim 2^\circ$  tilt, within the acceptable limits for Reynolds stress calculations (Lu and Lueck, 1999b). The ADCP operated in mode 12 and averaged 10-Hz sub-pings every second, recording beam velocities every other second. To resolve the shallow water column we used a 25-cm bin size, with the first bin at 1.5 mab. The ADV provided more accurate turbulence estimates, but by using the ADCP to estimate Reynolds

stresses we were also able to resolve the vertical structure of turbulence dissipation rates and eddy diffusivities.

*i. ADV data analysis.* The ADV measured pressure and 3-dimensional velocities near the bed. The pressure records were spiky and could not be used to estimate wave height, but little or no wave signal was apparent in the ADV velocity spectra (described in the next paragraph). Weather was mild during the study period, with daily-average wind speeds of 1.7 to 6.1 m s<sup>-1</sup> and variable daily-average wind direction (20° NNE to 210° SSW; Barnstable Weather Station, <http://www1.ncdc.noaa.gov>). On larval sampling days 27 and 29 July, winds were light and shifted from the E (90°) in the morning to NW (320°; 27 July) to WNW (295°; 29 July) in the afternoon. We de-spiked the pressure records and averaged over each burst to estimate the water depth  $H$  (converted from pressure).

The ADV velocity sensor worked properly, but the sensor head occasionally was obstructed by crabs or floating algae (P. Schultz pers. comm.). We pre-processed the data to eliminate low-quality velocity measurements as in Elgar *et al.* (2001). Whole bursts were excluded if they contained more than 33% bad data or if any of the velocity standard deviations changed by a factor of  $\geq 2$  after processing. By these criteria, 29% of bursts showed evidence of sensor obstruction and were discarded. Velocities were rotated to cartesian coordinates where  $u(t)$  is the along-current velocity (positive towards Cape Cod Bay, 82° E),  $v(t)$  is the cross-current velocity, and  $w(t)$  is the vertical velocity.

We calculated mean velocities, shear velocity, and the dissipation rate for each 512-s burst. For discarded bursts we still calculated mean velocities with the assumption that sensor noise is Gaussian with zero mean. After de-meaning and de-trending the velocity series, we estimated the shear velocity  $u_*$  as  $u_* = \sqrt{|u'w'|}$  (the covariance method), where  $u'$  and  $w'$  are the along-current and vertical velocity fluctuations and the overbar indicates a temporal average. We estimated the dissipation rate  $\varepsilon_w$  from the one-sided wavenumber spectrum  $E_w$  of vertical velocity by fitting:

$$E_w = \alpha \left( \frac{24}{55} \right) \varepsilon_w^{2/3} (k^{-5/3} + (k_s - k)^{-5/3}) \quad (1)$$

in the inertial subrange (Trowbridge *et al.*, 1999). Here  $\alpha \approx 1.5$  is an empirical constant (Grant *et al.*, 1962),  $k$  is the wavenumber (related to frequency  $f$  by  $k = 2\pi f/|U|$  where  $U$  is the mean current velocity; Taylor's frozen turbulence hypothesis), and  $k_s$  is the wavenumber corresponding to the sampling frequency. The  $w$  spectra were unaffected by noise but showed some aliasing at higher flow velocities; the wavenumber term of (Eq. 1) was corrected for aliasing. We also estimated  $\varepsilon_u$  and  $\varepsilon_v$  from the noise-corrected  $u$  and  $v$  spectra (Trowbridge *et al.*, 1999) and rejected a small number ( $\sim 4\%$ ) of bursts where the three spectral dissipation estimates disagreed. Disagreements among the three dissipation estimates occurred only at or near slack tides, when the dissipation rate was sensitive to small differences in the velocity time series. The remaining estimates produced excellent agreement between  $\varepsilon_u$ ,  $\varepsilon_v$ , and  $\varepsilon_w$ ; we used  $\varepsilon_w$  in our analyses.

ii. *ADCP data analysis.* The ADCP measured velocity and turbulence profiles in the water column. For the calculation of mean current velocities and shear, we transformed velocities from beam to cartesian coordinates with corrections for pitch and roll (Lu and Lueck, 1999a; Stacey *et al.*, 1999). Current velocities were rotated to coordinates where  $u(z, t)$  is the along-current velocity,  $v(z, t)$  is the cross-current velocity, and  $w(z, t)$  is the vertical velocity (positive upwards), and 10-minute average velocities  $U(z, t)$ ,  $V(z, t)$ , and  $W(z, t)$  were calculated for each depth bin. We calculated the Reynolds stress  $\overline{u'w'}$  from the ADCP beam velocities over 10-minute intervals using the variance method (e.g., Lu and Lueck, 1999b; Stacey *et al.*, 1999). The 10-minute interval is a compromise between the need to resolve turbulent events and the requirement that turbulence be stationary (statistically time-invariant) during the interval (Stacey *et al.*, 1999; Rippeth *et al.*, 2002). We estimated shear velocity directly from the Reynolds stress in the bottom bin as  $u_* = \sqrt{|\overline{u'w'}|}$ . Both the eddy diffusivity and dissipation rate were estimated as functions of the Reynolds stress and the along-current velocity shear  $\partial U/\partial z$ . The vertical eddy diffusivity  $K_p$  is defined as:

$$K_p = -\overline{u'w'} \left/ \frac{\partial U}{\partial z} \right. . \quad (2)$$

We expected the dissipation rate  $\varepsilon_p$  to be in balance with the production of turbulent kinetic energy (e.g., Trowbridge *et al.*, 1999), estimated as:

$$\varepsilon_p = -\overline{u'w'} \frac{\partial U}{\partial z} . \quad (3)$$

Lastly, we smoothed  $K_p$  and  $\varepsilon_p$  using a triangular filter. We used a filter window of  $[z - 2\Delta z$  to  $z + 2\Delta z, t - 2\Delta t$  to  $t + 2\Delta t]$  and weights of  $w_{z\pm\Delta z, t\pm\Delta t} = 2/3w_{z, t}$  and  $w_{z\pm 2\Delta z, t\pm 2\Delta t} = 1/3w_{z, t}$ , where  $\Delta z = 25$  cm is the ADCP bin size and  $\Delta t = 10$  min is the burst interval.

iii. *Temperature.* We measured hourly conductivity, temperature, and depth (CTD; Ocean Sensors OS200) profiles at the plankton station during each larval profile. These profiles confirmed that the water column was well-mixed most of the time (Fuchs, 2005, Fig. 4–3). Of the 20 profiles collected on 27 and 29, one profile showed some stratification ( $\sim 1^\circ$  difference between surface and bottom) at high tide. The ADCP provided a continuous record of near-bottom temperature at the current meter site. The near-bottom temperature from the ADCP was comparable to the depth-averaged temperature from the CTD (Appendix), so we used the ADCP temperature record in our analyses.

### c. Behavior analysis

The goal of this analysis is to model larval behavior as a response to turbulence and temperature and to use this model to test for specific behavioral differences between taxa and size classes and with tidal state. The analysis incorporates highly resolved observations of turbulence, temperature, and larval concentration distributions in Barnstable Harbor.



Table 1. Number of profiles containing larvae and number of larvae captured for each taxon and size class. Some profiles had too few larvae to analyze; numbers of profiles and larvae analyzed indicated in parentheses.

Taxon	Size	# Profiles	# Larvae
<i>Nassarius obsoletus</i>	small	16 (13)	1007 (996)
	large	14 (7)	89 (77)
<i>Crepidula</i> spp.	small	16 (8)	326 (305)
	large	10 (2)	48 (17)
<i>Anachis</i> spp.	small	16 (10)	352 (339)
	large	12 (5)	79 (63)

Statistical inference here is based on the 1-dimensional advection-diffusion (AD) equation:

$$\frac{\partial C}{\partial t} = -\frac{\partial}{\partial z} \left( wC - K \frac{\partial C}{\partial z} \right) \quad (4)$$

where  $C(z, t)$  is the concentration of larvae at height  $z$  and time  $t$ ,  $w(z, t)$  is vertical advection velocity due to larval behavior, and  $K(z, t)$  is eddy diffusivity. We must specify how  $w$  depends on environmental conditions. Turbulence affects behavior of mud snail larvae in the laboratory, and in the field larval behavior may be modulated by tide-dependent cues such as temperature. To capture the potential dependence of  $w$  on small-scale turbulence and temperature, we assume that:

$$w = \theta_0 + \theta_1 \log_{10} \varepsilon + \theta_2 (\log_{10} \varepsilon)^2 + \theta_3 T' + \theta_4 dT/dt + \epsilon \quad (5)$$

where  $\theta_i$  are parameters to be estimated,  $\varepsilon$  is dissipation rate,  $T'$  is the depth-averaged temperature anomaly relative to the average recorded temperature (20°C),  $dT/dt$  is the temperature gradient, and  $\epsilon$  is an error term.

Ideally the behavior model (Eq. 5) would include all possible cues, but there are practical limits on the model's complexity. First, it would be unreasonable to estimate too many parameters using sparse data, and large larvae were found in only a subset of the profiles (Table 1). Second, each additional parameter requires an exponential increase in computation time. Given these limitations, we included the three cues that were quantifiable and had the greatest variability during the field study. We add a squared dissipation rate term to account for the possibility of a nonlinear response to turbulence, as suggested by laboratory data (Fuchs *et al.*, 2004). We used linear responses to temperature and its gradient because there is little evidence to the contrary. The behavior model is unbounded, but in reality there is a physical limit to larval swimming and sinking speeds. A bounded function requires at least four parameters, and it would be infeasible to use a bounded form with multiple behavior cues. Instead of limiting our model to a single cue with a complex response, we used a simple function that accounts for multiple cues.

We make three additional assumptions. First, we assume that particles with zero velocity would be evenly distributed in the water column and that departures from an even distribution are due to swimming or sinking. This amounts to the assumption that the water column is well mixed. This assumption is consistent with CTD profiles taken in Barnstable Harbor in conjunction with larval samples. Of the 20 profiles collected on 27 and 29 July, all were vertically uniform except one (Fuchs, 2005). The profile associated with the exception occurred shortly after high tide and showed slight stratification ( $1^{\circ}\text{C}$  temperature difference between surface and bottom). We omitted the corresponding plankton profile from the subsequent analysis to satisfy the well-mixed assumption. Second, we assume that larval diffusivity is equal to fluid diffusivity. This implies that the transport of larvae by eddies is free of particle inertia and crossing-trajectory effects. Conservative calculations based on the theory in Ross and Sharples (2004) and Csanady (1963) indicate that both inertial and crossing-trajectory effects are negligible in our data. Finally, in common with other plankton studies (e.g., Genin *et al.*, 2005), we assume that currents in Barnstable Harbor are laterally homogeneous over the spatial scale of our data. This assumption was confirmed by an  $\sim 8$ -h survey of the entire Barnstable Harbor channel using a boat-mounted, down-looking ADCP. Despite variations in depth through the channel, the current velocities were consistent over 10-min time periods corresponding to about 1.2 km of distance traveled (Fuchs, unpubl. data).

We simulated the expected larval distributions by running the AD model (Eq. 4) with the behavior function (Eq. 5) and the appropriate physical forcing ( $K$ ,  $\varepsilon$ ,  $T$ , and  $dT/dt$ ) for time periods corresponding to the larval observations. Because the field measurements of the turbulence and temperature terms were discrete in time and space, we fitted high-order response surfaces to them (Appendix) and forced the AD model by the fitted values. We used no-flux boundary conditions because we assumed the mixing time scale ( $\approx H^2/K$ , where  $H$  is water depth; Tennekes and Lumley, 1972) was shorter than the settlement time scale. This assumption probably is correct except briefly during slack tides. We solved Eq. 4 numerically using the Matlab 7 partial differential equation solver; for additional details, see Fuchs *et al.* (2007). The Matlab equation solver cannot accommodate variable water-column depth, so we used a constant depth of 4 m, approximating the mean depth where the larval data were collected. The simulated concentration distributions were sampled at the same proportional depths ( $z/H$ ) where larvae were collected, and sample concentrations were normalized by the total in each profile to obtain probability distributions used in the behavior analysis (see next paragraph). A constant-depth model accurately predicts the depth-normalized larval profiles in a water column of variable depth, as we verified using a particle-tracking equivalent of the AD model (e.g., Fuchs, 2005). We repeated the simulations for combinations of behavior parameters that bracketed a reasonable range of larval velocities, given the measured dissipation rates and temperatures.

The next step is to determine what modeled larval behaviors produce simulated larval distributions that best match the field observations. Suppose that, in profile  $j$  taken at time  $t_j$ , larval samples are collected at each of  $m$  depths  $z_{j1}, z_{j2}, \dots, z_{jm_j}$ . To be comparable to

the model results, these depths have been divided by the corresponding water column depth and multiplied by the model depth (4 m). Let  $n_{jk}$  be the number of larvae collected at depth  $z_{jk}$ . Conditional on the total number  $n_j = \sum_{k=1}^{m_j} n_{jk}$  of larvae, we assume that the vector of counts  $(n_{j1} n_{j2} \dots n_{jm_j})$  is a realization of a multinomial random variable with  $n_j$  trials and probabilities  $(p_{j1} p_{j2} \dots p_{jm_j})$  where:

$$p_{jk} = C(z_{jk}, t) / \sum_{k=1}^{m_j} C(z_{jk}, t). \quad (6)$$

In other words, if we collect a total of  $n_j$  larvae in a profile of  $m_j$  discrete samples, then each larva has a multinomial probability  $p_{jk}$  of being captured in sample  $k$ , where  $p_{jk}$  are defined by the underlying concentration distribution. Although it is suppressed in the notation, these probabilities depend on the parameters of the behavioral model (Eq. 5). As described next, the goal is to use the data in likelihood-based inference about these parameters. Likelihood-based inference under the multinomial model is described by McCullagh and Nelder (1989).

Let  $\Theta$  be the vector of parameters of the behavioral model. The log likelihood function for the model outlined above is:

$$\log L(\Theta) = \sum_{j=1}^J \sum_{k=1}^{m_j} n_{jk} \log p_{jk}(\Theta) \quad (7)$$

where we have now extended the notation to make explicit the dependence of the multinomial probabilities on  $\Theta$ . The maximum likelihood (ML) estimate of  $\hat{\Theta}$  is found by numerically maximizing  $\log L(\Theta)$  over  $\Theta$ .

The  $\hat{\Theta}$  can be used to predict the larval velocity  $w$  as a function of environmental conditions with some uncertainty, and we quantified this uncertainty in two ways. First, we estimated a 90% confidence interval for each parameter  $\theta_i$  using the profile likelihood (e.g., McCullagh and Nelder, 1989). Second, we estimated a 90% prediction interval for the larval velocity using a parametric bootstrap. Larval distributions were simulated with larval velocity  $\hat{w} = f(\hat{\Theta}, \varepsilon, T, dT/dt)$ , and these simulations give the probability distribution of larvae in each profile. Using the probability distributions and the number of larvae captured in each profile, we did multinomial sampling to generate 100 bootstrap data sets. Finally we fitted the behavior model (Eq. 5) to the bootstrap data as described above to get new parameter estimates  $\hat{\Theta}'$ , that can be used to estimate the sampling distributions of the error term  $\varepsilon$  and of the prediction interval for  $w$ .

*i. Hypotheses.* A likelihood ratio (LR) was used to test for behavioral differences between larval groups. The LR statistic for testing a null hypothesis  $H_0$  about  $\Theta$  against an alternative hypothesis  $H_1$  is:

$$\Lambda = 2(\log L(\hat{\Theta}_1) - \log L(\hat{\Theta}_0)) \quad (8)$$

where  $\hat{\Theta}_0$  and  $\hat{\Theta}_1$  are the ML estimates of  $\Theta$  under  $H_0$  and  $H_1$ , respectively. The LR statistic  $\Lambda$  has an approximate  $\chi^2$  distribution with degrees of freedom given by the difference in the number of parameters estimated under  $H_1$  and the number estimated under  $H_0$ .

We tested three hypotheses about behaviors of *Nassarius obsoletus*, *Crepidula* spp. (*C. fornicata* and *C. plana* pooled), and *Anachis* spp. (*A. avara* and *A. translirata* pooled). First, we suspect that these gastropod larvae have behavioral adaptations for successful transport into their preferred adult habitats (muddy inlet vs. exposed beach), so we hypothesized that their larvae exhibit different behaviors ( $H_1$ ). We tested this hypothesis for pairwise combinations of *N. obsoletus*, *Crepidula* spp., and *Anachis* spp. in small (200–425  $\mu\text{m}$ ) and large (>425  $\mu\text{m}$ ) size fractions for flood-tide samples, ebb-tide samples, and all samples combined. Second, we suspect that turbulence-induced sinking is a settlement behavior, so we hypothesized that small, pre-competent larvae and large, competent larvae would exhibit different behaviors ( $H_2$ ). We tested this hypothesis for the flood-tide samples, ebb-tide samples, and all samples combined for each larval group. Third, we are interested in whether larval behavior aids transport in tidal environments, so we hypothesized that the larval responses to physical cues are different on flood and ebb tides ( $H_3$ ). We tested this hypothesis for small and large size fractions of each taxon. The null hypothesis ( $H_0$ ) is always that there are no behavioral differences between groups.

ii. *Contributions of different responses to overall behavior.* In order to understand the cue hierarchy that determines larval behavior, we needed some quantitative measure of the relative importance of different cues. We characterized this hierarchy simply by estimating how much each response contributed to the overall larval velocity in the behavior model (Eq. 5). The velocity  $w$  includes fractional contributions from the constant term and from responses to turbulence, temperature, and temperature gradient, given by:

$$\begin{aligned}\alpha_c &= \frac{\hat{\theta}_0}{w} \\ \alpha_\varepsilon &= \frac{\hat{\theta}_1 \log_{10} \varepsilon + \hat{\theta}_2 (\log_{10} \varepsilon)^2}{w} \\ \alpha_T &= \frac{\hat{\theta}_3 T'}{w} \\ \alpha_{dT/dt} &= \frac{\hat{\theta}_4 dT/dt}{w}.\end{aligned}\tag{9}$$

These fractional velocities are time- and space-dependent; we averaged them over all sampling periods and depths to get mean values of  $\langle \overline{\alpha_c} \rangle$ ,  $\langle \overline{\alpha_\varepsilon} \rangle$ ,  $\langle \overline{\alpha_T} \rangle$ , and  $\langle \overline{\alpha_{dT/dt}} \rangle$ . While the average estimates can be positive or negative, their relative magnitudes reflect the strength of larval responses to different environmental cues. We took absolute values of the average estimates and divided them by their sum to get response weights  $B = [\beta_c, \beta_\varepsilon, \beta_T, \beta_{dT/dt}]$ . Each weight represents a time- and space-averaged fraction of the overall behavior that is contributed by a larval response to an individual cue. We quantified uncertainty in the response weights by re-calculating  $B$  using the bootstrap estimates  $\hat{\Theta}'$ .

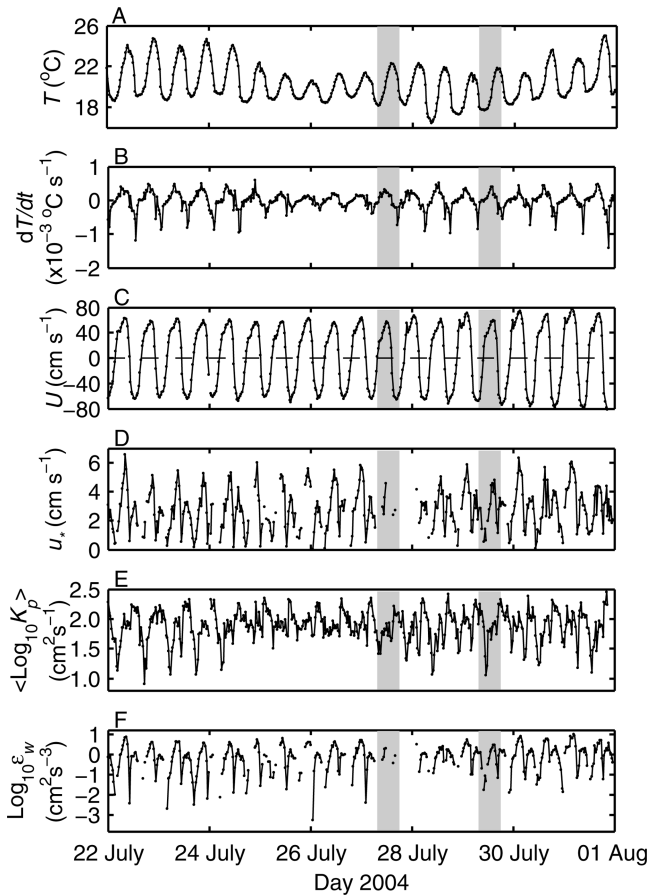


Figure 2. July measurements of (A) temperature  $T$  (ADCP), (B) temperature gradient  $dT/dt$  (ADCP), (C) surface current velocity  $U$  (ADCP, positive on ebb tides), (D) shear velocity  $u_* = \sqrt{|u'w'|}$  (ADV), (E) depth-averaged eddy diffusivity ( $\log_{10} K_p$ ) (ADCP), and (F) dissipation rate  $\varepsilon_w$  (ADV,  $z = 0.78$  m). Missing data points were discarded because of excessive noise. Shaded gray boxes indicate plankton sampling periods.

### 3. Results

#### a. Physical measurements

The water column at Barnstable Harbor was well mixed, and tides were asymmetric and flood-dominated. Salinity and temperature profiles were nearly vertical except for a profile collected near high tide on 29 July that was slightly stratified. Salinity was 29.9 to 31.0 and varied by no more than about 1 over any 10-h sampling period, indicating low freshwater inflow into the harbor. Temperature varied by up to  $6^\circ\text{C}$  between high and low tides (Fig. 2A) due to rapid warming of the shallow inlet during low tides. The temperature gradient was

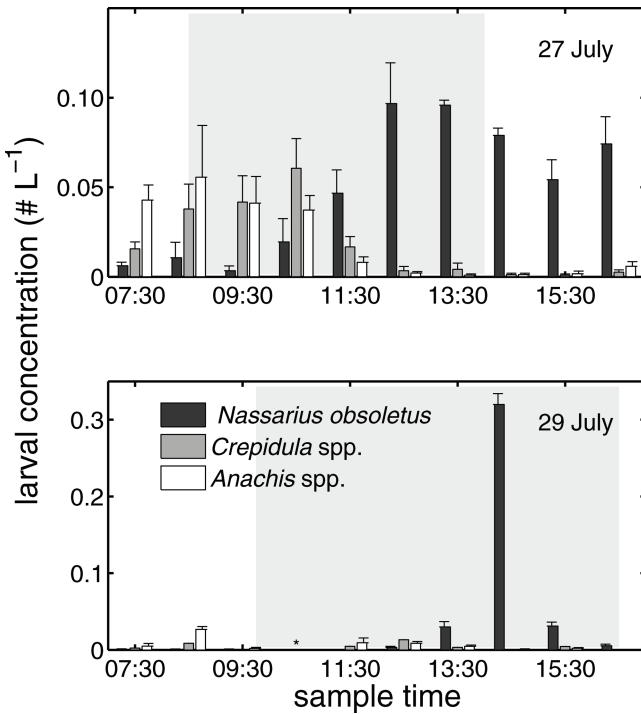


Figure 3. Time series of average larval concentration ( $\# L^{-1}$ ) in each profile on 27 and 29 July. Size classes are pooled.

positive on ebb tides and negative on flood tides (Fig. 2B) and would be a reliable indicator of tidal stage for larvae within the harbor. Current velocities reached a maximum of about  $80 \text{ cm s}^{-1}$  on spring tide (Fig. 2C) with shear velocities up to about  $6.6 \text{ cm s}^{-1}$  (Fig. 2D). The eddy diffusivity  $K_p$  had maxima at mid-depth on flood tides and near the surface and bottom on ebb tides, with depth-averaged values of  $\sim 10^2$  to  $\sim 10^{2.5} \text{ cm}^2 \text{ s}^{-1}$  on peak tides (Fig. 2E). Diffusivities were generally much lower on high tides than on low tides. Near the bed, dissipation rates  $\varepsilon_w$  from ADV velocity spectra ranged from  $10^{-3.2}$  to  $10^{1.0} \text{ cm}^2 \text{ s}^{-3}$  with higher values on ebb tides than on flood tides (Fig. 2F).

#### b. Plankton profiles

Larvae were temporally patchy even over short time scales and were unevenly distributed in vertical profiles. When the size classes were pooled, the depth-averaged larval concentration ( $\# L^{-1}$ ) varied by an order of magnitude or more within each 10-hour period (Fig. 3). Patches of *Crepidula* spp. and *Anachis* spp. generally coincided, whereas *N. obsoletus* were most abundant when the other two taxa were scarce or absent. *N. obsoletus* larvae were more concentrated near the bed on 27 July but not on 29 July, whereas *Anachis* spp. and *Crepidula* spp. were more concentrated at mid-depth (Fig. 4). These uneven larval

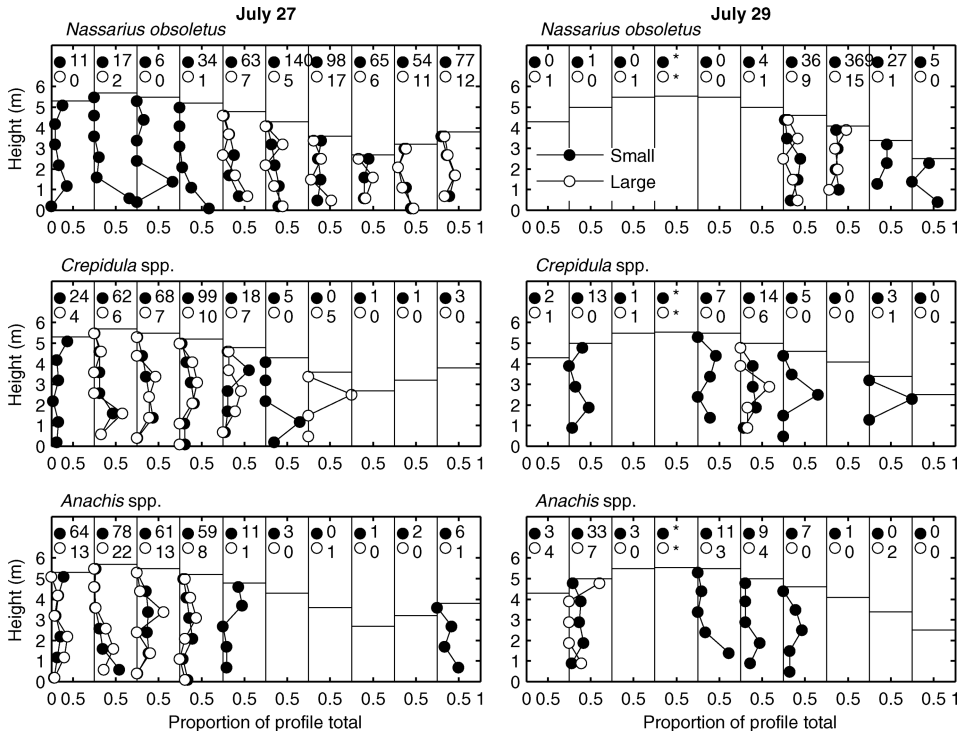


Figure 4. Ten-hour series (from 07:30 to 16:30) of vertical concentration profiles of *Nassarius obsoletus* (upper), *Crepidula* spp. (middle), and *Anachis* spp. (lower) larvae in large (○) and small (●) size fractions for 27 July (left) and 29 July (right). Larval concentrations shown as proportion of profile total, with totals indicated above each profile. Horizontal lines indicate water depth during sample collection. Profiles with fewer larvae than samples are not plotted and asterisks indicate profiles discarded due to slight stratification.

distributions probably are due to larval behavior, because the water column was well mixed; except at slack tides the average mixing time  $H^2/K$  was less than an hour. Under these conditions neutrally buoyant particles would quickly reach and maintain an even vertical distribution. Non-zero larval velocities would be difficult to estimate based on raw data, but the maximum likelihood analysis (see Section c) enabled us to estimate larval responses to their environment and to test hypotheses about these behaviors.

### c. Larval behavior

We estimated the larval velocity as a function of environmental cues by fitting a behavioral-physical model to the observed vertical distributions of larvae in the field. Maximum-likelihood estimates indicate that all larval groups responded to a combination of turbulence and temperature cues with the exception of large *N. obsoletus*, which responded only to turbulence (Table 2). For convenience we present the responses to turbulence first,

Table 2. Maximum-likelihood estimates of behavior parameters for each larval group. Asterisks next to flood and ebb indicate that behaviors were significantly tide-dependent. Brackets contain upper and lower limits of a 90% confidence interval.

Taxon	Size	Tide	$\hat{\theta}_0$ (cm s <sup>-1</sup> )	$\hat{\theta}_1$ (s <sup>2</sup> cm <sup>-1</sup> )	$\hat{\theta}_2$ (s <sup>5</sup> cm <sup>-3</sup> )	$\hat{\theta}_3$ (°C)	$\hat{\theta}_4$ (°Cs <sup>-1</sup> )
<i>Nassarius</i> <i>obsoletus</i>	small	flood*	0.0 [-0.5, 0.3]	0.0 [-0.5, 0.2]	0.02 [-0.1, 0.06]	0.0 [-0.1, 0]	1,000 [0, 2000]
		ebb*	-0.1 [-0.3, 0]	0.0 [0, 0]	-0.06 [-0.06, 0.02]	0.1 [0.1, 0.1]	0 [-500, 500]
	large	all	-0.2 [-0.3, 0.2]	0.0 [0, 0]	0.02 [0, 0.04]	0.1 [0.1, 0.1]	0 [0, 500]
		flood	-0.1 [-1, 1]	-0.1 [-1, 0.4]	-0.08 [-0.2, 0.2]	0.0 [-0.8, 0.4]	0 [-4, 000, 4, 000]
		ebb	-1.0 [-1, 0.6]	-0.5 [-0.6, 0.6]	-0.10 [-0.22, 0.22]	0.0 [-0.3, 0.4]	2,000 [-2, 000, 4, 000]
<i>Crepidula</i> spp.	small	all*	-0.4 [-0.6, 0.3]	-0.35 [-0.5, 0.2]	-0.08 [-0.2, 0.09]	0.0 [-0.3, 0.2]	0 [-1, 000, 1, 000]
		flood	-0.6 [-1, 1]	0.0 [-1, 1]	0.06 [-0.2, 0.24]	-0.2 [-0.8, 0.8]	1,000 [-4, 000, 4, 000]
	large	ebb	0.5 [0.2, 0.5]	0.0 [0, 0.1]	-0.12 [-0.12, -0.06]	0.0 [-0.1, 0.05]	-1,000 [-1, 500, -500]
		all*	0.5 [0.3, 0.5]	0.1 [0, 0.1]	-0.08 [-0.12, -0.06]	-0.05 [-0.1, 0.05]	-1,500 [-1, 500, 1, 000]
		ebb	1.0 [-1.0, 1.5]	1.0 [-0.2, 1.0]	-0.20 [-0.5, 0.22]	-0.8 [-0.8, 0.4]	2,000 [-4, 000, 4, 000]
<i>Anachis</i> spp.	small	flood*	0.4 [-1, 1]	0.4 [-0.5, 1]	0.06 [-0.1, 0.2]	-0.2 [-0.8, 0.2]	2,000 [-2, 000, 4, 000]
		ebb*	-0.1 [-0.6, 0.6]	0.0 [-0.1, 0.05]	-0.06 [-0.12, -0.02]	0.0 [-0.2, 0.2]	1,000 [-1, 000, 2, 000]
	large	all	0.3 [0.1, 0.6]	0.1 [0, 0.1]	0.0 [-0.08, 0.02]	0.1 [0, 0.2]	-1,000 [-1, 500, -500]
		flood*	0.0 [-1, 1.5]	0.0 [-0.5, 1.0]	-0.20 [-0.3, 0.2]	-0.4 [-0.8, 0.8]	-2,000 [-4, 000, 4, 000]
		ebb*	0.6 [-1, 1]	-0.15 [-0.2, 0.15]	-0.30 [-0.3, 0.1]	0.0 [-0.4, 0.05]	0 [-500, 4, 000]
all	0.5 [-0.2, 1.0]	0.1 [-0.2, 0.4]	-0.12 [-0.28, -0.03]	-0.05 [-0.4, 0.2]	-1,000 [-2, 000, 500]		



Table 3. Statistics for likelihood ratio hypothesis tests of behavioral differences between groups. Large *Crepidula* spp. larvae were too sparse on flood tides to analyze. Asterisks indicate significant differences ( $\alpha = 0.05$ ).

A.  $H_1$ : Pair-wise comparisons between genera

Group	ebb		flood		all	
	$\chi^2$	$P$	$\chi^2$	$P$	$\chi^2$	$P$
<i>N. obsoletus</i> vs. <i>Crepidula</i> spp.						
Small	39.67	<0.001*	11.47	0.04*	30.20	<0.001*
Large	0.37	0.99	—	—	0.10	1.00
<i>N. obsoletus</i> vs. <i>Anachis</i> spp.						
Small	36.47	<0.001*	13.76	0.02*	53.19	<0.001*
Large	15.99	0.01*	3.39	0.64	12.52	0.03*
<i>Crepidula</i> spp. vs. <i>Anachis</i> spp.						
Small	88.20	<0.001*	31.62	<0.001*	121.79	<0.001*
Large	0.16	1.00	—	—	0.62	0.34

B.  $H_2$ : Small vs. Large size fractions

Group	ebb		flood		all	
	$\chi^2$	$P$	$\chi^2$	$P$	$\chi^2$	$P$
<i>N. obsoletus</i>	50.65	<0.001*	19.00	<0.01*	68.50	<0.001*
<i>Crepidula</i> spp.	14.12	0.01*	—	—	—	—
<i>Anachis</i> spp.	28.94	<0.001*	32.15	<0.001*	73.70	<0.001*

C.  $H_3$ : Ebb vs. Flood tide samples

Group	small		large		all	
	$\chi^2$	$P$	$\chi^2$	$P$	$\chi^2$	$P$
<i>N. obsoletus</i>	29.89	<0.001*	2.68	0.75	33.72	<0.001*
<i>Crepidula</i> spp.	10.33	0.07	—	—	—	—
<i>Anachis</i> spp.	64.61	<0.001*	12.81	0.03*	64.81	<0.001*

followed by the responses to temperature and temperature gradient. We discuss behavior estimates for both ebb and flood tides except where there was no significant tide-dependence (small *Crepidula* spp. and large *N. obsoletus*; Table 3).

*Responses to turbulence.* Most larval groups responded to turbulence by sinking in calm water and remaining nearly neutrally buoyant or swimming up in strong turbulence (Fig. 5). The exceptions were *N. obsoletus* larvae; on flood tides, small larvae swam up in calmer water and had little or no response to the highest dissipation rates. Large *N. obsoletus* larvae had near-zero velocities in moderate turbulence ( $\epsilon \approx 10^{-2.8}$  to  $10^{-1.6}$   $\text{cm}^2 \text{s}^{-3}$ ), but had a progressively greater sinking response in stronger turbulence ( $\epsilon > 10^{-1.6}$   $\text{cm}^2 \text{s}^{-3}$ ). For *Crepidula* spp., both small and large larvae sank in calmer water and swam up in stronger turbulence ( $\epsilon > 10^{-2.0}$   $\text{cm}^2 \text{s}^{-3}$  for small larvae,  $\epsilon > 10^{-0.9}$   $\text{cm}^2 \text{s}^{-3}$  for large larvae). Likewise for *Anachis* spp. larvae, the response to turbulence contributed upward velocities

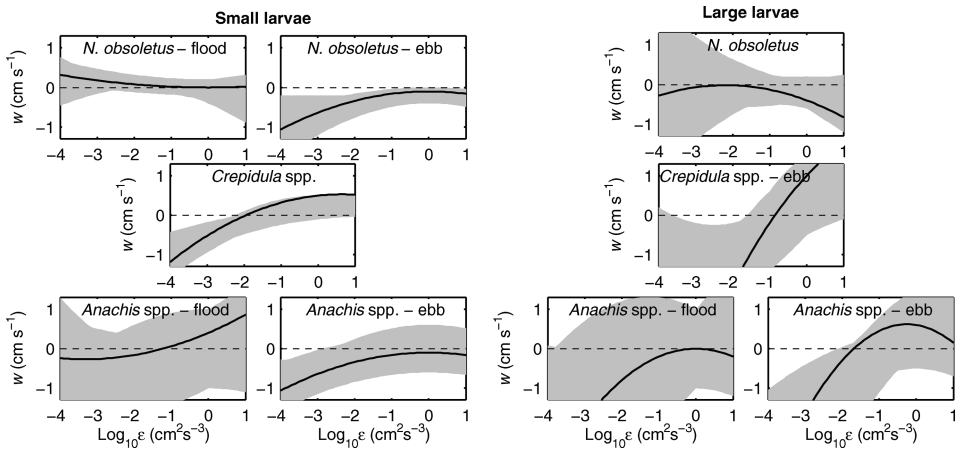


Figure 5. Turbulence-dependent component of larval velocity, shown as  $w$  vs. dissipation rate  $\epsilon$  for small and large size classes. Results are presented for both ebb and flood tides if behaviors were significantly tide-dependent. Solid lines are MLE's, dotted lines indicate neutral buoyancy, and shading indicates 90% prediction interval for turbulence response ( $\theta_1$ ,  $\theta_2$ , and  $\theta_3$ ).

in strong turbulence for small larvae on ebb tides ( $\epsilon > 10^{-1.2} \text{ cm}^2 \text{ s}^{-3}$ ) and large larvae on flood tides ( $\epsilon > 10^{-1.7} \text{ cm}^2 \text{ s}^{-3}$ ). On reverse tides the response to turbulence always contributed downward velocities. Some parameter estimates contained large uncertainties. Based on the prediction intervals, for example, responses to turbulence are fairly ambiguous for some groups, particularly *Anachis* spp. larvae on flood tides.

*Responses to temperature and temperature gradient.* All groups except large *N. obsoletus* had some response to temperature or temperature gradient (Table 2, Fig. 6). For small *N. obsoletus* larvae, these combined responses would contribute downward movement during flood and early ebb tides and upward movement just before low tide. Temperature responses of small *Crepidula* spp. larvae would contribute some downward movement during ebb and low tides and upward movement during flood and high tides. Small *Anachis* spp. larvae would have an added downward movement during early flood tide and upward movement during the rest of the tidal cycle. Large *Crepidula* spp. larvae were only observed on ebb tides; temperature responses would contribute upward movement on early ebb and downward movement during late ebb. Responses of large *Anachis* spp. larvae would contribute to sinking just after low tide and upward movement during most of flood tide. Based on the prediction intervals for  $w$ , the magnitude of the response had some uncertainty, particularly for *Anachis* spp. and large *Crepidula* spp. larvae, but the direction of movement was often unequivocal.

*Overall behavior.* The maximum-likelihood estimates  $\hat{\Theta}$  are the parameters most likely to have produced the observed larval distributions, given the behavior model. Because the

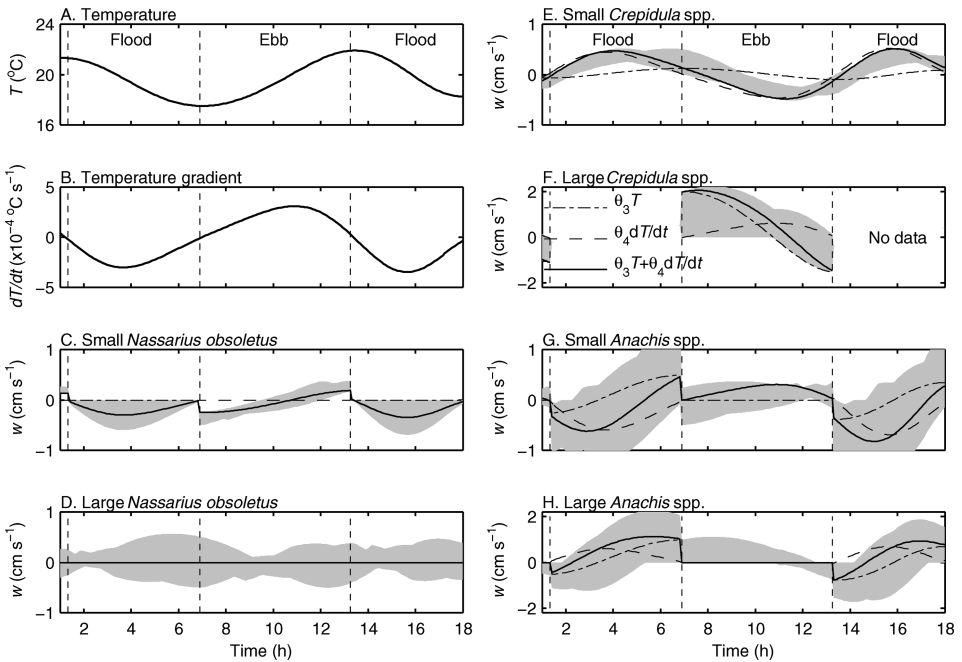


Figure 6. Temperature, temperature gradient, and components of larval velocity dependent on these cues, shown as  $w$  vs. time for small and large size classes. Responses to temperature (dash-dot line) and temperature gradient (dashed line) shown separately and combined (solid line). Shading indicates 90% prediction interval for combined temperature response ( $\theta_3$  and  $\theta_4$ ).

parameters are fit over all profiles at once, however, the model fit was poor for some profiles (Figs. 7 and 8). The fits generally were worst for profiles that had too few larvae to include in the analysis and best for profiles that included many samples with many larvae.

The overall estimates of  $w$  (Eq. 5) showed different patterns of behavior between size classes and among taxa (Fig. 9). *N. obsoletus* of both size classes were sinking most of the time, but small larvae swam up during slack tides. *Crepidula* spp. and *Anachis* spp. behaved differently. Small larvae swam up on flood tides and near the bottom during ebb tides, but sank at high tides and in the upper water column during most of the ebb. Large larvae swam up near the bottom during peak flood and ebb tides but sank near the surface throughout most of the tidal cycle. Behaviors of small larvae were more tidally asymmetric than those of large larvae.

*i. Hypothesis tests.* Likelihood ratio tests showed that behaviors usually differed between taxa, with the exception of all comparisons involving large *Crepidula* spp. larvae (Table 3A). Large *Crepidula* spp. were the least abundant group; their behavior estimates are based on only 17 larvae spread over two profiles. The scarcity of these larvae may have contributed

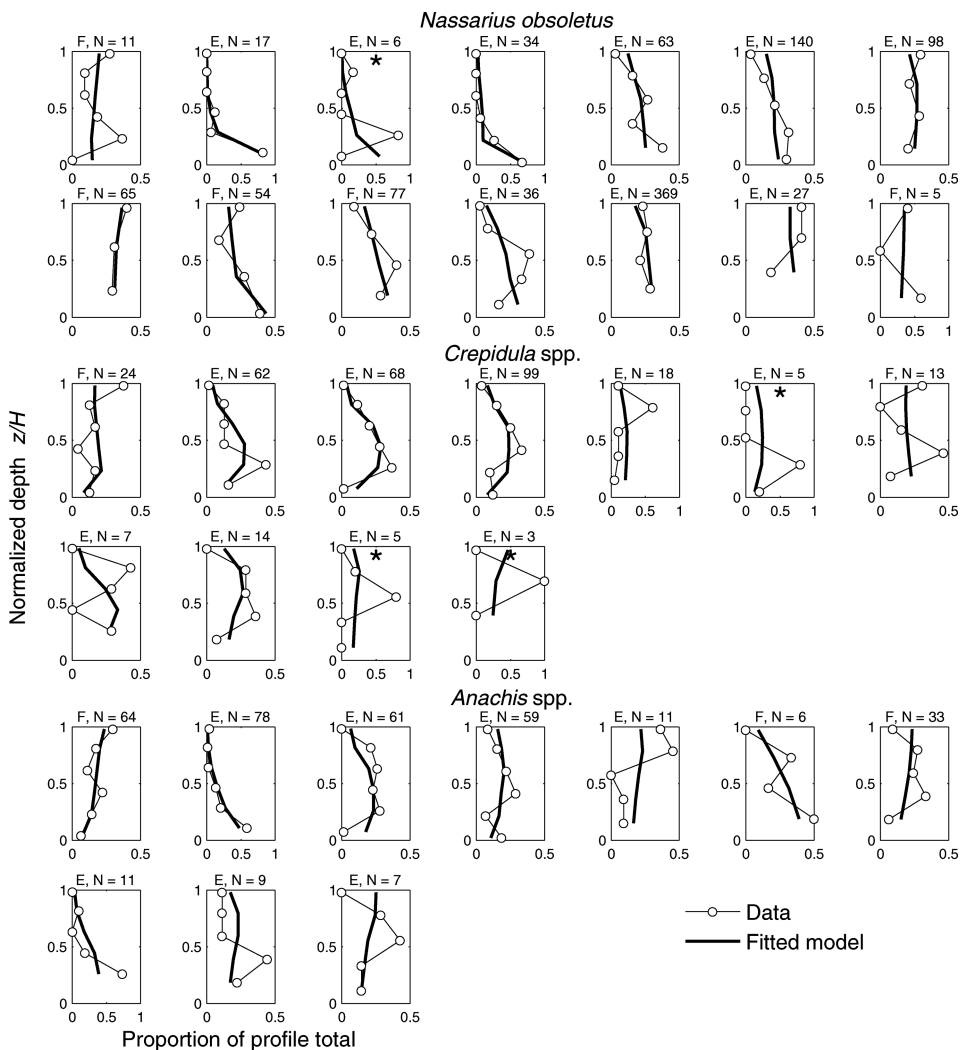


Figure 7. Measured and modeled vertical concentration distributions of small larvae. Larval concentrations in each sample shown as proportion of profile total. Circles indicate measured concentrations, solid lines indicate ML estimates. Asterisks indicate profiles excluded from behavior analysis because they had too few larvae. Tidal stage (abbreviated “E” for ebb and “F” for flood) and total number of larvae indicated above each panel.

to the lack of significance in between-taxon comparisons. Although behaviors of large *Crepidula* spp. were statistically similar to those of the other two taxa, the variation in larval velocity shows a greater affinity between *Crepidula* spp. and *Anachis* spp. than between *Crepidula* spp. and *N. obsoletus* (Fig. 9). For all three taxa, behaviors of small and large

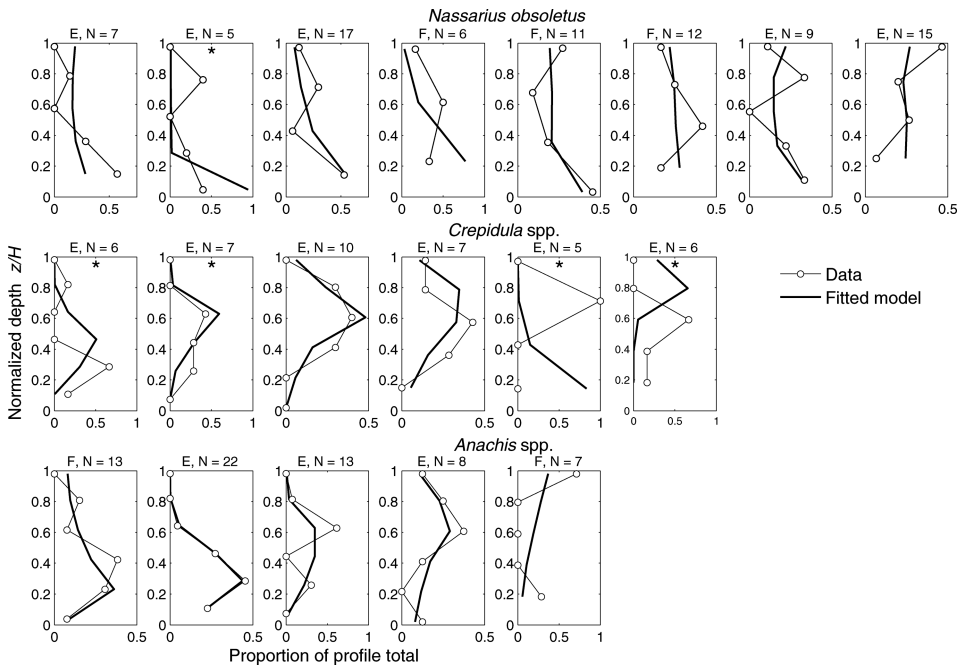


Figure 8. Measured and modeled vertical concentration distributions of large larvae. Larval concentrations in each sample shown as proportion of profile total. Circles indicate measured concentrations, solid lines indicate ML estimates. Asterisks indicate profiles excluded from behavior analysis because they had too few larvae. Tidal stage (abbreviated “E” for ebb and “F” for flood) and total number of larvae indicated above each panel.

larvae were different (Table 3B). Flood and ebb tide behaviors were statistically similar for small *Crepidula* spp. and large *N. obsoletus* but different for other groups (Table 3C).

ii. *Contributions of different responses to overall behavior.* The estimated response weights show that of the three physical cues in our behavior model, turbulence was the most important (Fig. 10). Small *N. obsoletus* larvae were the only exception; they were most responsive to the temperature gradient, followed by turbulence and then temperature. Large *N. obsoletus* responded only to turbulence, but a large component of their behavior was due to the constant term in Eq. 5. This result suggests a potential bias contributed by larval responses to some unaccounted-for cue (see *Discussion*). The beach snails, *Crepidula* spp. and *Anachis* spp., shared similar cue hierarchies. For small larvae, the response to temperature gradient contributed nearly as much to larval velocity as did the response to turbulence, but the temperature response was negligible. In contrast, large larvae were more responsive to temperature and less responsive to temperature gradient. For all taxa, the response to turbulence made a greater relative contribution to behavior for large larvae than for small larvae.

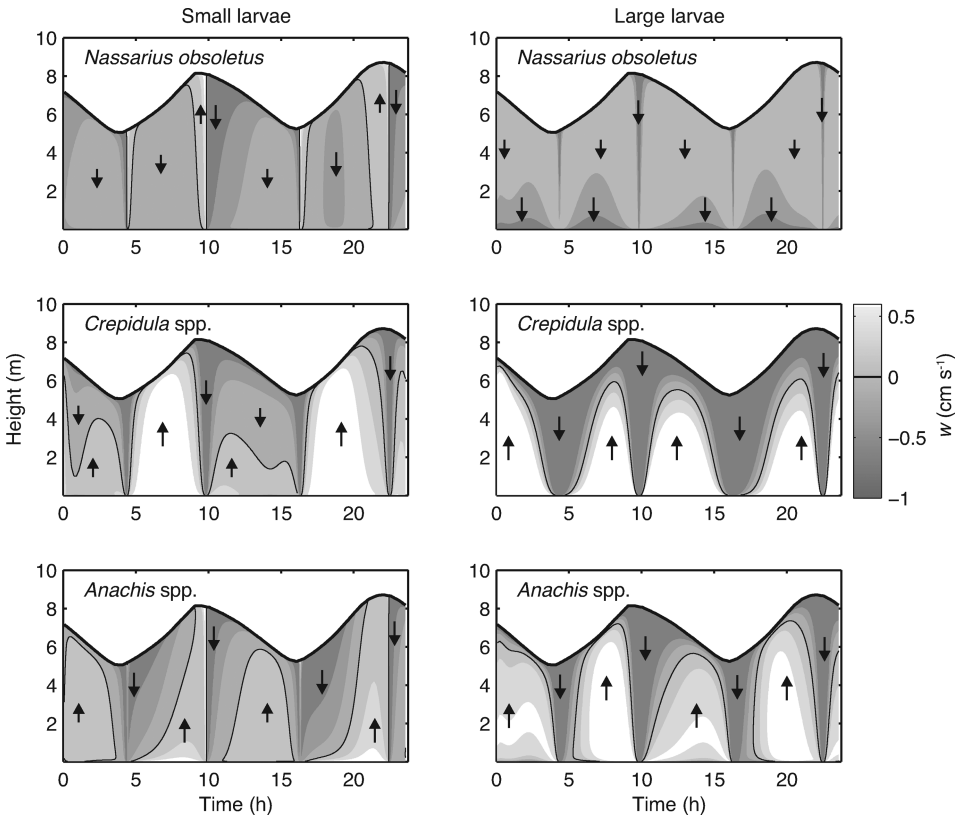


Figure 9. Predicted spatiotemporal variation in larval velocity  $w$  for small and large larvae over a tidal cycle at Barnstable Harbor. Results are MLE's for Eq. 5. Arrows indicate direction of movement; color bar indicates velocity; solid contour indicates  $w = 0 \text{ cm s}^{-1}$ .

#### 4. Discussion

Our analysis suggests that complex environmental signals induce strikingly different, taxon-specific responses in larvae from muddy inlets (*N. obsoletus*) and exposed beach (*Crepidula* spp. and *Anachis* spp.) habitats. Behavior estimates also differed between size classes for all taxa and between flood and ebb tides for some groups (Table 3). These differences raise the question of what ecological forces drive behavioral adaptations. Larvae have simple needs: to eat, to avoid being eaten, and to settle in a survivable habitat. We suspect that settlement into tidal inlets would have unequal consequences for mud snails and beach snails. The location of settlement has implications for adult fitness and may be a powerful mechanism of selection for behaviors that enhance larval transport into specific habitat types. As described in the next section, our results imply that responses to turbulence and temperature may aid larvae in discriminating among different coastal environments.

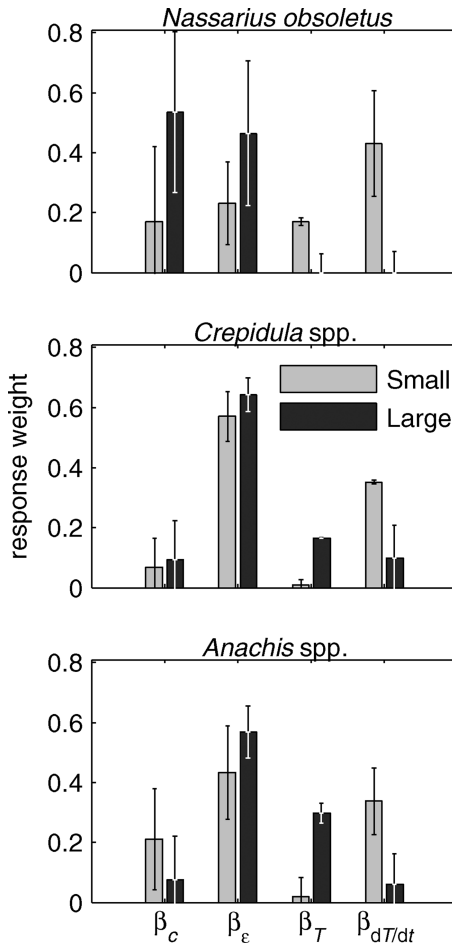


Figure 10. Response weights representing time- and space-averaged fraction of overall larval velocity (Eq. 5.) contributed by constant behavior  $\beta_c$  and by responses to dissipation  $\beta_\epsilon$ , temperature  $\beta_T$ , and temperature gradient  $\beta_{dT/dt}$ . Error bars = one SD of response weights calculated from bootstrap estimates  $\hat{\Theta}'$ .

#### a. Effects of larval behavior on export and retention

Intertidal mud snail larvae in Barnstable Harbor had behaviors that would contribute to their retention in the harbor near prime adult habitats. Small *N. obsoletus* larvae sank most of the time but avoided settling on the bottom during slack water, probably because mud snail larvae in this size range (200 to 425  $\mu\text{m}$ ) are incapable of metamorphosis (Scheltema, 1967). Large mud snail larvae appeared to seek the bottom both during slack tides and in strong turbulence, and they would escape the faster-moving surface currents. By sinking during peak tides, both small and large larvae would concentrate near the bed where currents

are slower, effectively minimizing transport and lengthening retention time in the harbor. Turbulence-induced sinking by later-stage larvae would also enhance settlement. Larvae concentrated near the bed would have frequent contact with the sediment and should have more settlement opportunities than larvae with no strong sinking behaviors (Fuchs *et al.*, 2007). Behaviors of mud-snail larvae are well-suited for overcoming the hydrodynamic barriers to larval retention and settlement in this energetic coastal inlet.

Unlike the mud snails, *Crepidula* spp. and *Anachis* spp. larvae avoided the bottom by swimming up during flood and ebb tides; by moving into faster surface currents, these larvae would be exported more quickly to Cape Cod Bay. Rapid export would make beach snail larvae less likely than mud snail larvae to settle within the harbor, despite the similar time-averaged abundances of all groups in the plankton. Adult snails of *Crepidula* spp. and *Anachis* spp. inhabit shallow, sandy to gravelly substrates along open coastlines. These larvae may reduce their mortality and improve their overall settlement success by spending less time in this muddy channel with no favorable habitats. Yet if beach snails have behaviors leading to transport away from turbulent tidal zones, it is unclear how these snails would return to suitable habitats along the coast.

It is difficult to predict how the estimated behaviors will affect larval dispersal in general, because flows outside the harbor are more complex than those in the Barnstable channel. Features such as internal tidal waves and surface gravity waves are prevalent in coastal currents, and we can only speculate on how these will affect larval transport. Internal waves are a regular feature of Massachusetts bay in late summer when the water column is stratified (Haury *et al.*, 1979; Scotti and Pineda, 2004; Butman *et al.*, 2006). The fronts of the passing waves are characterized by strong downwelling flow (Scotti and Pineda, 2004; Butman *et al.*, 2006) and elevated turbulence with  $\varepsilon \approx 10^{-3}$  to  $10^{-1}$   $\text{cm}^2 \text{s}^{-3}$  (Sandstrom and Oakey, 1995; Scotti and Pineda, 2004). Models suggest that larvae can accumulate in fronts and be transported shoreward only if they swim upward (Helfrich and Pineda, 2003; Scotti and Pineda, 2007). Based on our behavior estimates, *Crepidula* spp. and *Anachis* spp. larvae would swim up in response to low subthermocline temperatures ( $<20^\circ\text{C}$ ) and stronger turbulence associated with passing internal waves. These responses could give beach snail larvae the positive vertical velocities needed to become concentrated in internal wave fronts and propagate towards beaches along the Massachusetts coast.

Internal wave fronts should transport beach snail larvae more effectively than mud snail larvae, because large *N. obsoletus* larvae are unlikely to swim up in the turbulence associated with passing fronts. The most energetic internal wave fronts could even induce mud snail larvae to sink into subthermocline currents moving offshore. Although this behavior has no obvious benefit, one study proposes that sinking larvae can still be carried shoreward by asymmetric tidal mixing (Pringle and Franks, 2001). Our results suggest that the behavior of mud snail larvae could discourage shoreward transport in fronts but encourage retention near good habitats in energetic coastal inlets. In contrast, behaviors of beach snail larvae could discourage settlement in inhospitable muddy inlets but encourage the delivery of larvae by internal wave fronts to straight coastlines and beaches.



Dispersal may also be affected by surface gravity waves. Although waves were minimal in Barnstable Harbor during our study, they are widespread in coastal flows where larvae disperse. Surface waves are oscillatory flows that can increase larval vertical motion (Koehl and Reidenbach, 2007) and increase transport distances through Stokes drift (Monismith and Fong, 2004). Breaking waves probably affect larval behavior more directly, because dissipation rates can be orders of magnitude higher under breaking waves than under non-breaking ones (Agrawal *et al.*, 1992). By our estimates, an order-of-magnitude increase in  $\epsilon$  can induce changes in larval velocity of several  $\text{mm s}^{-1}$  (Fig. 5). Beach snail (*Anachis* spp. and *Crepidula* spp.) larvae generally switch from sinking in calm water to swimming in dissipation rates of  $10^{-2}$  to  $10^{-1} \text{ cm}^2 \text{ s}^{-3}$ . This range of  $\epsilon$  has rarely been observed in shelf waters except under breaking waves (Drennan *et al.*, 1996; Gerbi *et al.*, 2009). By swimming upward under breaking waves, beach snail larvae potentially could be transported farther during strong wind events.

These speculations about behavioral effects on dispersal are necessarily qualitative. A more quantitative treatment will require a 3-dimensional model that incorporates both physics and larval behavior. We developed the present behavior model as a first step towards accounting for larval responses to complex environments.

#### b. Cue hierarchies

Of the three cues included in our model, turbulence was the dominant behavioral cue for nearly all groups and contributed substantially to between-taxon differences in overall behavior. The cue hierarchy is a relative one that would be adjusted proportionally if our analysis included other variables that influenced behavior. Yet responses to turbulence appear to be key behaviors for coastal gastropods, even under conditions where other potential cues are widely variable. These behaviors will affect the export or retention of larvae in coastal zones and could be important for population dynamics.

Although turbulence was the dominant cue, temperature also influenced large *Crepidula* spp. and *Anachis* spp. larvae, which consistently swam up in cooler water ( $<20^\circ\text{C}$ ) and sank in warmer water. Swimming up in cold water could aid larval transport in shoreward-moving fronts. Sinking in warmer water could also help larvae concentrate nearer the bottom in shallow coastal regions, particularly during slack tides when dissipation rates are low. Unlike large larvae, small larvae reacted strongly to temperature gradient, but the responses were diverse and difficult to explain. This cue changes sign with the reversing tides and could be used by larvae to tune their behavior to the direction of flow in the channel. The actual sensitivity to  $dT/dt$  was surprising, however, because the maximum observed temperature gradient was comparable to the minimum temperature changes that cause estuarine crab larvae to change their behavior (1.2 to  $4.0 \cdot 10^{-3} \text{ }^\circ\text{C s}^{-1}$ ; Forward, 1990). Although temperature would be a useful navigational cue, the estimated responses to temperature gradient were perplexing and may be a byproduct of an imperfect model.

Table 4. Coefficient of determination for linear regression of physical variables. No regressions were significant at  $\alpha = 0.05$ .

Independent variable	Dependent variable	$R^2$
$\log_{10} \varepsilon$ ( $\text{cm}^2 \text{s}^{-3}$ )	$T$ ( $^{\circ}\text{C}$ )	0.12
$\log_{10} \varepsilon$ ( $\text{cm}^2 \text{s}^{-3}$ )	$P$ (bar)	0.12
$\log_{10} \varepsilon$ ( $\text{cm}^2 \text{s}^{-3}$ )	$\log_{10} I_z$ ( $\text{W m}^{-2}$ )	0.07
$T$ ( $^{\circ}\text{C}$ )	$S$	0.45
$T$ ( $^{\circ}\text{C}$ )	$P$ (bar)	0.08
$T$ ( $^{\circ}\text{C}$ )	$\log_{10} I_z$ ( $\text{W m}^{-2}$ )	0.07
$dT/dt$ ( $\times 10^{-3} \text{ }^{\circ}\text{C s}^{-1}$ )	$dS/dt$ ( $\times 10^{-4} \text{ s}^{-1}$ )	0.54
$dT/dt$ ( $\times 10^{-3} \text{ }^{\circ}\text{C s}^{-1}$ )	$\partial P/\partial t$ ( $\times 10^{-5} \text{ bar s}^{-1}$ )	0.55
$dT/dt$ ( $\times 10^{-3} \text{ }^{\circ}\text{C s}^{-1}$ )	$\log_{10} \varepsilon$ ( $\text{cm}^2 \text{s}^{-3}$ )	0.02

The constant behavior component ( $\theta_0$  in Eq. 5) indicates whether larvae would be swimming or sinking in baseline conditions of moderately strong turbulence ( $\varepsilon = 1 \text{ cm}^2 \text{ s}^{-3}$ ) and a constant  $20^{\circ}\text{C}$  temperature. The constant term generally made up a small fraction (7 to 21%) of the overall behavior, although for large mud snail larvae this component contributed over half of the estimated velocities (54%; Fig. 10). This term potentially contains any biases due to larval behaviors that were omitted from the model, as discussed in the next section. Despite the environmental complexity of the field site, we estimate that responses to turbulence, temperature, and temperature gradient account for a substantial fraction (46% to 93%) of larval velocity. Although this study was unable to quantify responses to other potential cues, the response weights suggest that turbulence and temperature exert a large influence on larval behavior within the harbor.

### c. Other potential behavior cues

Larvae may have responded to additional environmental cues such as salinity, pressure, light, and odors from the benthos. Additional behaviors would be problematic if they introduced artifacts in the behavior estimates  $\hat{\Theta}$ . Two types of artifacts are possible. First, if larvae respond to other environmental variables that are highly correlated with the cues in our model, then the responses to turbulence or temperature could be confounded with responses to other cues. Second, if larvae respond to other cues that are uncorrelated with the ones in our model, then the estimates  $\hat{\Theta}$  could be biased. Biases are less problematic than confounding effects, because they can be roughly quantified by  $\beta_c$ , the constant-component response weight. Here we address potential artifacts due to unaccounted-for physical and chemical cues.

*i. Physical cues.* We estimated the correlations among turbulence, temperature, temperature gradient, salinity, salinity gradient, pressure, pressure gradient, and irradiance using linear regression (Table 4). Pressure was converted from depth, and irradiance was estimated using the Beer-Lambert law  $I_z = I_o \exp(-k_D z)$ , where  $I_z$  is the irradiance at depth  $z$ ,

$I_o$  is the surface irradiance, and  $k_D$  is the diffuse attenuation coefficient. For  $I_o$  we used monthly-averaged solar radiation data for July 2004 from the Martha's Vineyard Coastal Observatory ([www.whoi.edu/MVCO](http://www.whoi.edu/MVCO)), and for attenuation we assumed a constant  $k_D = 2.0 \text{ m}^{-1}$  appropriate for coastal inlets (e.g., Gallegos *et al.*, 1990). The correlations among most of the accounted-for and unaccounted-for behavioral cues were low (Table 4), but there were high correlations between temperature and salinity, the gradients of temperature and salinity, and the gradients of temperature and pressure.

Despite the correlations between salinity and temperature, salinity and its gradient probably had little influence on larval behavior. The harbor was generally euhaline with salinity of 30 to 31 and a maximum salinity gradient of  $7.1 \times 10^{-5} \text{ s}^{-1}$ . The measured salinity gradients were well below the reported thresholds for altering the behavior of crab larvae ( $2.8 \times 10^{-4}$  to  $1.3 \times 10^{-3} \text{ s}^{-1}$ ; Forward, 1989; Tankersley *et al.*, 1995). Absolute changes in salinity can also affect larval behavior, but their effects on larval velocity are small and short-lived. Some bivalve larvae swim faster at higher salinity, for example, yet the swimming speeds change by only a few percent per salinity unit (Mann *et al.*, 1991). Rapid salinity changes of 1 to 15 induce behavioral changes in estuarine mud crab larvae, but the larvae recover their previous behavior within minutes (Latz and Forward, 1977). Because salinity varied so little during our study ( $\sim 1$  unit change over 6 h), larval responses to salinity probably were minimal relative to other behaviors.

The temperature and pressure gradients,  $dT/dt$  and  $\partial P/\partial t$ , were highly correlated at any given depth but would be uncorrelated in the larval experience due to vertical mixing. Because the water column was well-mixed, temperature varied only in time, and larvae would experience a change in sign of  $dT/dt$  only at slack tides. Pressure varies both in time and with a larva's vertical position, and larvae would experience high-frequency changes in the sign of the apparent  $\partial P/\partial t$  as they were mixed up and down by turbulence. Although there was little correlation between  $dT/dt$  and  $\partial P/\partial t$  in a Lagrangian frame, large apparent pressure changes could still elicit a larval response and introduce a bias in our behavior estimates. Based on eddy turnover times, the maximum apparent  $\partial P/\partial t$  would be close to threshold levels for altering behavior of crab larvae ( $2.8 \times 10^{-5}$  to  $4.0 \times 10^{-4} \text{ bar s}^{-1}$ ; Forward *et al.*, 1989; Tankersley *et al.*, 1995). Larvae that respond to pressure generally swim up when pressure increases and sink when pressure decreases. The pressure experienced by a larva depends on its trajectory through the water column, but if larvae spend equal time being mixed up and down, then any net bias due to barokinesis should be close to zero.

Another potential source of bias in the behavior estimates is larval phototaxis, because all of our samples were collected in daylight. *Crepidula fornicata* larvae are unresponsive to light in the laboratory (Pires and Woollacott, 1997), but no phototaxis data are available for other species in our study. Typically larvae are positively phototactic in early stages and become negatively phototactic or unresponsive to light as they grow older (e.g., Miller and Hadfield, 1986; Barile *et al.*, 1994), although some intertidal species remain photopositive throughout the larval life span (Thorson, 1964). In this study *Crepidula* spp. and *Anachis*

spp. larvae showed no behaviors that could be attributed to phototaxis. *N. obsoletus* larvae were almost always sinking. This pattern may reflect some negative phototaxis, but it would be uncharacteristic for early-stage mud snail larvae to show a negative response to light, particularly when adult *N. obsoletus* are intertidal and positively phototactic (Dimock and Parno, 1981). We expect that both light and pressure had the greatest effect, if any, on behavior of small larvae, because early-stage larvae generally have greater sensitivity to these cues (e.g., Thorson, 1964; Mann and Wolf, 1983).

*ii. Chemical cues.* We have no data on the nature or distribution of chemicals in the harbor, but we assume that there is a continuous flux of chemicals produced by benthic organisms. The spatio-temporal distribution of these chemicals depends on tidal stage, flow velocity, and bottom roughness. The vertically integrated concentration would increase on ebb tides as the harbor empties and decrease during flood tides as the harbor is diluted with bay water. Vertical mixing would diffuse chemicals from the benthos upward, but during slack tides these cues could remain more concentrated near the bottom (Crimaldi and Koseff, 2006). Chemical plumes are heterogeneous with discrete filaments whose structure and intensity depend on flow velocity and bottom roughness (Rahman and Webster, 2005; Crimaldi and Koseff, 2006). Both the tidal phase and the underlying substrate (e.g. bare sand or snail patches) would affect the timescale and variability of chemicals encountered by larvae. Chemical concentrations depend on production, dilution, and diffusion and should be highest at the bottom and during slack (especially low) tides. In contrast, dissipation rate is highest at the bottom during peak tides, and temperature has little depth-dependence and is highest at low tide but lowest at high tide. Given these differences in spatio-temporal distributions, chemical concentrations should be relatively uncorrelated with turbulence dissipation or temperature.

Although we can rule out chemical-related confounding effects on behavior, larval responses to chemicals still may bias the behavior estimates. We expect that any chemical cues in the harbor were more attractive for mud snails than for beach snails. Seawater conditioned in Barnstable Harbor sediment induces metamorphosis of mud snail larvae (Scheltema, 1961), and sediment-associated phytoplankton may also influence larval behavior. Some larvae, including *Crepidula* spp., will swim downward and settle in the presence of adult-conditioned seawater or synthetic analogs (Eyster and Pechenik, 1988; Turner *et al.*, 1994; Browne and Zimmer, 2001). Adult *Crepidula* spp. and *Anachis* spp. are rare or absent within the harbor, but *N. obsoletus* are abundant, and *N. obsoletus* larvae may have reacted to conspecific chemicals by sinking more frequently. If competent *N. obsoletus* larvae respond to chemicals as a precursor to settlement, this could explain why the constant velocity component  $\hat{\theta}_0$  indicated faster sinking for large larvae than for small larvae (Table 2).

#### *d. Data limitations*

Larval patchiness is unpredictable and was a problem in this study because the sample resolution was already limited by the labor-intensive method of plankton sampling.

Although we found abundant gastropod larvae in our samples and could identify them to species, large larvae of the most common taxa were fairly rare. The sparseness of the data contributed to large uncertainties in some behavior estimates. The best precaution against low larval concentrations would be to sample more plankton profiles, but the time required to collect, sort, and identify samples is nontrivial.

Another alternative is remote sampling, but this is an imperfect solution for behavior studies that require species-level identification. Future studies could combine a video plankton recorder (Davis *et al.*, 1996) with other instruments, for example, to observe larval behavior and measure physical variables simultaneously over long time periods. Unfortunately many larval identifications depend on morphological characteristics that are visible only under a microscope. Holoplankton are sometimes identified manually (Gallager *et al.*, 2004) or automatically (Hu and Davis, 2006) from video images collected at sea, and these approaches could work for distinctive larvae. Other solutions may come from new approaches such as video holography (Sheng *et al.*, 2006) and the use of shell birefringence patterns for identification (Tiwari and Gallager, 2003). For now, however, traditional plankton sampling is the most straightforward way to observe species-level larval distributions at sea. As we show here, larval distributions can be used to estimate behaviors even when larval swimming speeds cannot be measured directly.

#### *e. Habitat selection*

Several laboratory studies have shown that habitat selection occurs during larval settlement and reduces post-settlement mortality (e.g., Harrington *et al.*, 2004; Larsson and Jonsson, 2006), but there has been limited evidence for habitat selection during the dispersal phase. Larval swimming behaviors do affect survivorship and community structure of sessile species, because the vertical position of larvae in the water column contributes to vertical zonation at settlement (Grosberg, 1982; Raimondi and Morse, 2000). The question is whether differences in larval swimming behavior among species or populations (e.g., Manuel *et al.*, 1996) represent adaptations for dispersal into distinct habitats. In this study, snail larvae from intertidal estuarine and coastal habitats had markedly different responses to multiple environmental cues, suggesting that habitat selection occurs throughout development in the form of behavioral responses to coastal physical regimes. In Barnstable Harbor, larval behaviors evidently influence the availability of certain gastropod larvae for settlement and ultimately may reinforce the structure of the existing benthic community.

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## APPENDIX

### *Surface response functions fitted to physical data*

Physical measurements for the study period are summarized in Figure 2. These measurements could not be used directly in the advection-diffusion model because they were discontinuous in space and time. Instead, we fitted high-order polynomial response surfaces (e.g., Box and Draper, 1987) to our measurements of eddy diffusivity, turbulence dissipation rate, temperature, and temperature gradient. The purpose of these functions was not to improve our understanding of turbulence and temperature variation, but rather to provide accurate, continuous-time representations of the environment for use in the advection-diffusion model and associated behavior model (Eqs. 4 and 5).

Before fitting the response surfaces to turbulence data, we first estimated dissipation and diffusivity in the near-bottom region where measurements were sparse. The instruments provided dissipation estimates at  $z = 0.78$  m and  $z = 1.5$  to  $H$  m, and diffusivity estimates from  $z = 1.5$  to  $H$  m. We estimated the dissipation rate from  $z = 1.5$  m to the bed as

$$\varepsilon_p(z, t)|_{z < 1.5} = \frac{u_*^3}{\kappa z}, \quad (\text{A1})$$

with the assumption that turbulence production and dissipation are balanced near the bottom. We extrapolated the eddy diffusivity from  $z = 1.5$  m to the bottom as

$$K_p(z, t)|_{z < 1.5} = \overline{u'w'} \left/ \frac{\partial U}{\partial z} \right. = u_*^2 (1 - z/H) \left/ \frac{\partial U}{\partial z} \right., \quad (\text{A2})$$

with the assumptions that the Reynolds stress  $\overline{u'w'}$  scales with shear velocity as indicated (e.g., Nezu and Nakagawa, 1993) and the current velocity  $U$  decreases linearly vs.  $\ln z$  to 0 at the bed (the log law with a no-slip condition). Our best estimates of  $u_*$  were from the ADV, but the ADV recorded bursts only every half hour and some noisy bursts were excluded. For

Table A1. Polynomial orders of  $z$  and  $t$  used to fit  $K$  and  $\varepsilon$  to measured diffusivity  $K_p$  and dissipation rate  $\varepsilon_p$  for plankton sampling periods, and  $R^2$  for model vs. data regressions of diffusivity, dissipation, shear velocity, temperature, and temperature gradient. Shear velocity  $u_*$  was fitted with a time-dependent polynomial of 15th order. Temperature  $T$  and temperature gradient  $dT/dt$  were fitted with time-dependent polynomials of 12th order.

sampling date	$K$			$\varepsilon$			$u_*$	$T$	$dT/dt$
	$z^o$	$t^o$	$R^2$	$z^o$	$t^o$	$R^2$	$R^2$	$R^2$	$R^2$
22 July	20	9	0.58	20	7	0.85	0.79	0.99	0.75
27 July	20	7	0.61	19	8	0.89	0.84	0.99	0.76
29 July	18	10	0.60	18	6	0.85	0.71	0.98	0.71

$u_*$  in the estimates (Eqs. A1–A2), we fitted a smooth polynomial (15th order in time) to the ADV measurements of  $u_*$ , supplemented with  $u_*$  from the ADCP. We combined these near-bottom model estimates of dissipation  $\varepsilon_p$  and diffusivity  $K_p$  with the upper water-column measurements from the ADCP. We then fitted polynomial response surfaces to the depth-normalized dissipation rate  $\varepsilon_p$  and diffusivity  $K_p$  on a  $\log_{10}$  scale to produce the continuous functions  $\varepsilon$  (Fuchs, 2005, Fig. 4–7) and  $K$  (Fuchs, 2005, Fig. 4–6). For each sampling day we used the polynomial orders of  $z$  and  $t$  that gave the highest  $R^2$  in a regression of model vs. data (Table A1).

Because the water column was well mixed, we treated temperature as variable only in time. We fitted smooth polynomials (12th order in time) to the temperature and temperature gradient from the ADCP to get continuous functions  $T$  and  $dT/dt$ . The ADCP temperature data were recorded at high frequency and closely matched the vertically averaged temperature from CTD profiles.

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