

1 **Biogeochemical relationships between ultrafiltered dissolved organic matter and**
2 **picoplankton activity in the Eastern Mediterranean Sea**

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1 **Abstract**

2
3 We targeted the warm, subsurface waters of the Eastern Mediterranean Sea (EMS) to
4 investigate processes that are linked to the chemical composition and cycling of dissolved organic
5 carbon (DOC) in seawater. The apparent respiration of semi-labile DOC accounted for $27 \pm 18\%$
6 of oxygen consumption in EMS mesopelagic and bathypelagic waters; this value is higher than
7 that observed in the bathypelagic open ocean, so the chemical signals that accompany
8 remineralization of DOC may thus be more pronounced in this region. Ultrafiltered dissolved
9 organic matter (UDOM) collected from four deep basins at depths ranging from 2 to 4350 m
10 exhibited bulk chemical ($^1\text{H-NMR}$) and molecular level (amino acid and monosaccharide)
11 abundances, composition, and spatial distribution that were similar to previous reports, except for
12 a sample collected in the deep waters of the N. Aegean Sea that had been isolated for over a
13 decade. The amino acid component of UDOM was tightly correlated with apparent oxygen
14 utilization and prokaryotic activity, indicating its relationship with remineralization processes that
15 occur over a large range of timescales. Principal component analyses of relative mole percentages
16 of monomers revealed that oxygen consumption and prokaryotic activity were correlated with
17 variability in amino acid distributions but not well correlated with monosaccharide distributions.
18 Taken together, this study elucidates key relationships between the chemical composition of
19 DOM and heterotrophic metabolism.

20
21 Key words: DOM, biogeochemical cycles, ultrafiltration, AOU, microbial loop

22
23 **1. Introduction**

24 *1.1. Dissolved organic matter (DOM) cycling*

25 Oceanic dissolved organic carbon (DOC) is one of the largest reservoirs of carbon on
26 Earth, and its potential for exchange with other carbon reservoirs has brought it within the focus
27 of global carbon cycle research (Williams and Druffel, 1988; Toggweiler, 1989; Hedges, 1992;
28 Hansell, 2002). The euphotic zone is the principal site of organic matter production in the open
29 ocean. The magnitude and composition of DOM produced during bloom events vary considerably
30 and are controlled by a number of biological, chemical and physical parameters (Carlson, 2002).
31 In the surface ocean, DOC stocks exceeding the deep refractory pool are composed of ‘labile’ and
32 ‘semi-labile’ DOC (Hansell and Carlson, 1998a). Because labile DOC concentrations represent a
33 very small fraction of bulk DOC (0-6%), the vertical gradient of the bulk DOC observed in
34 stratified systems is mostly comprised of ‘semi-labile’ DOM (Carlson and Ducklow, 1995;

1 Cherrier et al., 1996). The labile component of DOC arising from autotrophic production in the
2 surface ocean is thought to support production of heterotrophic bacterioplankton (i.e., the
3 microbial loop; Azam et al., 1983), and is either repackaged into bacterial organisms and passed
4 to higher trophic levels or remineralized. Semi-labile DOC escapes rapid degradation by marine
5 heterotrophs in surface ocean waters and so is available for export to the ocean's interior by
6 convective mixing (Copin-Montegut and Avril, 1993; Carlson et al., 1994), advection along
7 isopycnals surfaces (Hansell and Carlson, 2001), or sorption onto sinking particles (Keil and
8 Kirchman, 1994; Druffel et al., 1996. This fraction can amount to 1.2 Gt C yr^{-1} , or 17% of global
9 new production (Hansell and Carlson, 1998b) and provides a carbon source that fuels production
10 by deep-sea free-living prokaryotes (Karner and Herndl, 1992; Smith et al., 1992; Nagata et al.,
11 2000; Nagata et al., 2010).

12 13 *1.2 Oceanographic setting*

14
15 The Mediterranean Sea has often been described as a small ocean as it encompasses all
16 oceanic processes at much smaller time and space scales (Béthoux et al., 2002). Its thermohaline
17 cell is forced by deep water formation at the northern coasts of the Mediterranean during winter,
18 and the formation of Levantine Intermediate waters in the northern part of the Eastern
19 Mediterranean. In its eastern part, the Eastern Mediterranean Sea (EMS) is an ultra-oligotrophic
20 environment characterized by extremely low dissolved nutrient concentrations, chlorophyll-a
21 concentrations, and phytoplankton biomass in surface waters (Krom et al., 2003). The EMS is
22 unique among the oligotrophic oceans because the ratio between dissolved nitrate and phosphate
23 is higher than 20 in all sub-thermocline water masses (Krom et al., 1992; Béthoux et al., 2002). Its
24 annual primary productivity is $60\text{-}80 \text{ g C m}^{-2} \text{ y}^{-1}$ (Ignatiades, 1998; Psarra et al., 2000),
25 approximately half that determined in the oligotrophic Sargasso Sea (e.g., $157 \pm 7 \text{ g C m}^{-2} \text{ y}^{-1}$,
26 Brix et al., 2006).

27 The area under investigation in this study extends throughout the Aegean Sea and into the
28 Cretan and Ionian Seas (Fig. 1). Complex sea-bed topography together with Black Sea Waters
29 (BSW) entering through the Dardanelles Straits and highly saline waters of Levantine origin
30 determine the structure of the water column in the Aegean and, partly, the Ionian Seas. The
31 surface layer of the north – northwest Aegean is covered by a light, thin layer of Black Sea Water
32 of salinity as low as 30-35. The depths between 70 and 400 m in the North Aegean are waters
33 with southeast Aegean / Levantine origin and are identified as the Levantine Intermediate Water

1 (LIW; Lascaratos, 1993; Tzipperman and Speer, 1994), the most voluminous water mass of the
2 Mediterranean (estimated at $2\text{-}3.5 \times 10^{13} \text{ m}^3$; Myers and Haines, 2000).

3 Below the LIW, the deep basins of the North Aegean are filled with locally-formed North
4 Aegean Deep Water (NAeDW). These waters form at infrequent intervals, as their high density
5 hinders renewal and ventilation. The current NAeDW was formed during two major dense water
6 formation events in the winters of 1992 and 1993 (Zervakis et al., 2000). Since then, the
7 properties have evolved as a result of turbulent vertical mixing with the overlying LIW (Zervakis
8 et al., 2003). During mild winters, LIW in the northeastern Aegean can be further subducted in an
9 annual process that contributes to the formation Cretan Deep Water (CDW) (Zervakis et al.,
10 2004; Gertman et al., 2006). The latter water mass thus contains higher dissolved oxygen than the
11 deep layer of the North Aegean. Comparative analysis of T/S diagrams (see also Fig. 2b) suggests
12 a significant contribution of North Aegean Sea water to CDW.

13 The layers between 400 and 900 m in the South Aegean (Cretan Sea) are characterized by
14 a salinity minimum, signifying the Transitional Mediterranean Water mass (TMW), a mixture of
15 LIW and Eastern Mediterranean Deep Waters (EMDW), the bottom waters of the Eastern
16 Mediterranean found in the Ionian and Levantine Seas. TMW enters the Cretan Sea through the
17 Cretan Straits, and its signature in the Cretan Sea is stronger when the exchange through the
18 Straits intensifies. In this region above 400 m, a salinity maximum identifies the Cretan
19 Intermediate Water, a locally formed water analogous to LIW, but with higher salinity. Lower
20 salinities often found at the surface have been attributed to the inflow of Atlantic water through
21 the Cretan Straits (Theocharis et al., 1999) and more recently to BSW (Zervakis et al., 2002).

22 The water column in the Ionian Sea is composed of a surface water mixture of Levantine,
23 Cretan Sea, and Atlantic Waters, a LIW layer down to about 700 m, the TMW mass between 800
24 and 1000 m, and the EMDW masses, which comprise deep water of different origins formed
25 during different generations of the Eastern Mediterranean Transient (Roether et al., 2007). The
26 TMW mass, both in and outside the Cretan Sea, is probably the oldest of all types of water found
27 in the region as it consists of a mixture of LIW with the oldest EMDW.

28 29 *1.3 Objective*

30
31 Previous reports have noted the inventory of total organic carbon (TOC) in the
32 Mediterranean Sea (about 3 Pg C; Sempéré et al., 2000) and an average turnover time of “excess
33 TOC” (i.e., semi-labile TOC) of 0.47 years in the N. Aegean and 0.28 years in the S. Aegean Seas
34 (Sempéré et al., 2002). Additional details of the processes that control DOM reactivity in the

1 EMS could be revealed by simultaneous measurements of DOM and microbial composition. In
2 this study, we determined the total bulk chemical composition (inorganic nutrients, DOC),
3 prokaryotic abundance and molecular-level chemical composition of UDOM in order to
4 investigate the biological and chemical signatures that accompany respiration and the turnover of
5 marine UDOM in this unique, oligotrophic marine environment. Emphasis is put on DOM
6 production and degradation processes that occur in the mesopelagic (200 to 1000 m) and
7 bathypelagic waters (> 1000 m) of the EMS, which exhibit higher temperatures (> 13°C), higher
8 oxygen consumption rates ($0.53 \mu\text{mol O}_2 \text{ kg}^{-1} \text{ y}^{-1}$; Roether and Well, 2001) and enhanced
9 microbial activity relative to the bathypelagic Atlantic (Martín-Cuadrado et al., 2007). Our
10 sample set includes depths to 4350 m, but we categorize samples as representative of either
11 surface or subsurface waters (i.e., above or below the euphotic zone, respectively), paralleling the
12 surface and mesopelagic realms of the open ocean.

13 We collected ultrafiltered DOM (UDOM; > 500 Da) from eight distinct water masses in
14 the EMS. This approach is one of only a few methods that isolates DOM from the more abundant
15 salts in seawater, thus permitting enhanced chemical characterization of the high molecular
16 weight (HMW) component of DOM (e.g., Benner et al., 1992; McCarthy et al., 1996; Aluwihare
17 et al., 1997; Aluwihare et al., 2002; Meador et al., 2007). Correlations between apparent oxygen
18 utilization (AOU) and TOC were used to estimate the proportion of carbon demand supported by
19 TOC, and the molecular level composition of UDOM was determined to identify the chemical
20 alterations that accompany the removal of the ‘semi-labile’ pool of DOC (also ‘exportable TOC’,
21 Hansell, 2002; and ‘excess TOC’, Sempéré et al., 2002) that escapes degradation in surface
22 waters. Also, direct comparisons are drawn between the bulk chemical (e.g., $^1\text{H-NMR}$) and
23 molecular level composition (e.g., amino acid and monosaccharide distribution) of UDOM and
24 local prokaryotic abundance and productivity. This analysis serves to further delineate the
25 linkages between microbial metabolism and UDOM degradation processes in the ultra-
26 oligotrophic and net heterotrophic Eastern Mediterranean basin.

29 **2. Methods**

31 *2.1 Sampling*

33 Samples were collected onboard the oceanographic vessel R/V AEGAE0, during the
34 POSEIDON (May 2007), SESAME (April 2008) and KM3Net (April 2008) cruises. A SBE-9

1 Plus Sea-Bird CTD profiler was used for data acquisition; this was equipped with pressure,
2 temperature, conductivity, dissolved oxygen, fluorescence and light transmission sensors. The
3 CTD salinity data were calibrated against water sample salinity measured using an AUTOSAL
4 salinometer. The CTD profiler was mounted on a 24-Niskin-bottle rosette sampler, used to obtain
5 sub-surface water samples. Surface water samples were collected using an air-pressure driven
6 membrane pump and Teflon® tubing. Eight stations (Fig. 1) were selected in sub-basins in the
7 north and south Aegean Sea and the southeastern Ionian Sea to represent various water masses of
8 the E. Mediterranean. UDOM samples were collected at depths ranging from 2 to 4350 m at four
9 stations during the POSEIDON cruise in May 2007 (station names: N. Aegean, S. Aegean, SW
10 Aegean and Ionian; Table 1). At these stations, samples were also collected to determine depth
11 profiles of inorganic nutrients (i.e., DIN, DIP), organic matter (i.e., TOC, UDOM chemical
12 characterization) and biological parameters including prokaryotic abundance and activity, and
13 community structure.

14 15 *2.2 Inorganic Nutrients*

16
17 Nutrient samples were collected in pre-cleaned polyethylene bottles and stored at -20°C
18 until analysis in the laboratory. Dissolved inorganic nitrogen (DIN) was measured with a
19 Bran+Luebbe II autoanalyzer following the method described by Strickland and Parsons (1972).
20 Phosphate (DIP) was measured with a Perkin Elmer 20 Lambda UV/VIS Spectrometer according
21 to the method of Murphy and Riley (1962).

22 23 *2.3 Dissolved Organic Carbon (DOC)*

24
25 For DOC determination, unfiltered samples from >150m were pipetted into combusted (450°C
26 for 4 h) glass ampoules, immediately acidified with 3 to 4 drops of 45 % H₃PO₄ and then flame
27 sealed. Surface water samples collected above 150m were filtered through rinsed 0.2 µm
28 polycarbonate filters. Samples were stored at -20°C until analysis. DOC analysis was performed
29 using the high temperature combustion method on a modified Shimadzu TOC-5000A (Hansell,
30 1993; Spyres et al., 2000). The sample was automatically injected in quadruplicate onto a
31 platinumized aluminum catalyst at a combustion temperature of 680°C. DOC concentrations in
32 samples were determined relative to standards prepared using potassium hydrogen phthalate in
33 Milli-Q water and consensus reference materials for DOC provided by the NSF-CRM program
34 (<http://www.rsmas.miami.edu/groups/biogeochem/CRM.html>). The overall analytical precision

1 was always < 3 %. For simplicity, we use the term DOC when referring specifically to the
2 measurements of both the filtered (surface) and un-filtered samples (see Hansell 2002), and in
3 reference to the more abstract global reservoir of carbon.

4 5 *2.4 Dissolved Oxygen*

6
7 Samples for the determination of oxygen were first taken from the Niskin bottles with the
8 recommended precautions to prevent any biological activity and gas exchange with the
9 atmosphere, and titrated immediately afterward using a Dosimat Metrohm according to the
10 Winkler method (Carpenter, 1965a, b). This method was consistent with other determinations of
11 oxygen in seawater; the precision of the method was $\sim 2.2 \mu\text{mol O}_2 \text{ L}^{-1}$ (Klein et al., 2003). The
12 apparent oxygen utilization (AOU) was calculated according to the difference of the measured
13 oxygen values to the oxygen saturation given by the Benson and Krause equation (UNESCO
14 1986).

15 16 *2.5 Enumeration of picoplankton*

17
18 Picoplankton abundance was determined in seawater collected from the Niskin bottles and
19 fixed with 0.2 μm filtered formaldehyde (2% final concentration). Thereafter, the samples were
20 frozen in liquid nitrogen for 10 min, then kept at -80°C until analysis. Picoplankton abundance
21 was determined by flow cytometry within two months of collection. Samples were thawed to
22 room temperature and 0.5 mL subsamples were stained with SYBR Green I in the dark for 10
23 min; subsequently, 1 μm fluorescent latex beads (Molecular Probes) (approximately 10^5 mL^{-1})
24 were added to the samples as internal standard. The picoplankton were enumerated on a
25 FACScalibur flow cytometer (Becton Dickinson) by their signature in a plot of green
26 fluorescence versus side scatter, and the abundance was calculated based on the ratio of stained
27 cells to the internal standard. To convert cell abundance to picoplankton carbon biomass, a
28 carbon content of 20 fg C cell⁻¹ was used (Fukuda et al., 1998); this conversion likely
29 overestimates picoplankton biomass but is used as a conservative estimate in terms of considering
30 the possibility of contamination of DOC measurements by C directly associated with
31 picoplankton biomass.

32 33 *2.6 Prokaryotic activity*

1 ^3H -leucine incorporation rates were determined as a proxy for heterotrophic prokaryotic
2 production (Kirchman, 2001). Subsamples (5 – 40 mL) from each depth were amended with 5
3 nmol L^{-1} ^3H -leucine (Amersham, specific activity: 160 Ci mmol^{-1}) and incubated at in situ
4 temperature ($\pm 1^\circ\text{C}$) in the dark. Incubation time varied depending on depth: 1h for upper waters
5 ($<100 \text{ m}$) and 24h for deep waters ($>100 \text{ m}$). After incubation, samples were filtered onto
6 polycarbonate filters (pore size, $0.2 \mu\text{m}$, Millipore) and rinsed twice with both 5% trichloroacetic
7 acid and 80% ethanol. The samples were radio-assayed with a liquid scintillation counter (1211
8 Rackbeta, Wallac) using FilterCount scintillation cocktail (Packard). Duplicate samples and one
9 trichloroacetic acid-killed control were prepared for each depth. The disintegrations per minute
10 (DPM) of the killed control were subtracted from the mean DPM of the corresponding duplicate
11 samples and the resulting count converted into leucine uptake rates.

12 13 *2.6 Ultrafiltration*

14
15 Water samples (150 to 300 L) collected during the POSEIDON May 2007 cruise were
16 prefiltered through $0.2 \mu\text{m}$ cartridge filters (Gelman Criticap, USA). Ultrafiltered dissolved
17 organic matter (UDOM) was obtained by concentrating these prefiltered samples using a custom-
18 made Amicon SP-60 tangential-flow ultrafiltration system equipped with a Kock (Germany)
19 spiral-wound polyamide 500 Dalton cut-off filter cartridge (operating pressure 3.0-5.5 bar, filter
20 area 7.9 m^2 , filtrate flow rate 192 L h^{-1} at 3.0 bar) cleaned with 0.1 mol L^{-1} sodium hydroxide
21 between samples. The samples were concentrated to about 6 L and stored frozen at -20°C for
22 transport to the laboratory. Aliquots of ultrafiltered samples for amino acid analysis were
23 collected in precombusted glass vials, then flame sealed and frozen until analysis. In the
24 laboratory, most salts were removed from the concentrated samples by diafiltration with 10
25 volumes (i.e., 60 L) of MilliQ water, then lyophilized to a dry powder. UDOM samples that were
26 concentrated and frozen after collection during the spring 2007 POSEIDON cruise exhibited a
27 high-salt precipitate when thawed for desalting. This precipitate was removed by filtering through
28 GF/F (Whatman) before diafiltration of the dissolved sample; we are unsure of the carbon content
29 of this precipitate.

30 Large volume seawater samples (150 to 200L) collected during the SESAME (NS1 and
31 NS6) and KM3Net (4.5 and C2) cruises were pumped exclusively from surface waters and
32 prefiltered through $0.5 \mu\text{m}$ (Millipore Opticap XL4, USA) and $0.2 \mu\text{m}$ cartridge filters (Domnick
33 Hunter, UK), then ultrafiltered using a 500 Da (nominal molecular weight cutoff, MWCO) spiral
34 wound cartridge (Separation Engineering Inc. GE2540F1072, operating pressure $<3.0 \text{ bar}$, filter

1 area 1.9 m², filtrate flow rate 30 L h⁻¹). Immediately after ultrafiltration, concentrated samples
2 were diafiltered with ~ 80L of MilliQ water; volumes were reduced to < 4L and stored at -20°C.
3 Frozen samples were lyophilized in the laboratory, or aliquots of concentrated UDOM solution
4 were used for composition analyses.

5 6 2.6.1 Proton (¹H) Nuclear Magnetic Resonance (NMR) Spectroscopy

7
8 Approximately 20 to 200 mg of dried UDOM samples were dissolved in 0.75mL of
9 deuterated water (D₂O) and ¹H-NMR spectra were determined on a 400MHz Bruker NMR with
10 typically > 2000 scans. The HDO peak was referenced to 4.76 ppm and was repressed in some
11 cases. ¹H-NMR spectra were integrated with Mestre-C software according to chemical shift
12 ranges defined as: aliphatic (C-CH-C, 0.5 to 1.8ppm), alpha-substituted (O-C-CH-C, 1.8 to
13 3.2ppm), and heteroatom substituted (O-CH-C or N-CH-C, 3.2 to 4.6ppm). Resonances that
14 appeared more downfield than 4.6ppm (e.g., protons attached to unsaturated or aromatic carbons),
15 were evident in some spectra, but were minimal and obscured by the peak arising from water;
16 these were excluded from the analysis.

17 18 2.6.2 Monosaccharide analysis

19
20 The distributions of individual monosaccharides were determined for UDOM samples
21 after diafiltration. Aliquots of UDOM samples representing 2.6 to 6.7 μmol C were hydrolyzed in
22 0.5mL 2 mol L⁻¹ trifluoroacetic acid (TFA) with 40 μg mL⁻¹ myo-inositol at 120°C for 2h.
23 Samples were then dried under a stream of N₂ gas and traces of acid were removed from the
24 hydrolysate with subsequent resuspension and evaporation of isopropanol. Monosaccharides were
25 reduced and acetylated to produce alditol acetates according to Aluwihare et al. (2002). Alditol
26 acetates were separated by gas chromatography with a 30 m HP-5 fused silica column, with on-
27 column injection at 270°C. After injection of 2 to 5μL of sample, the oven temperature was held
28 at 100°C for 1 min, then increased to 280°C at 3°C min⁻¹, and held at this temperature for the
29 final 8 min. Alternatively, samples were injected with an injection port temperature that followed
30 the oven gradient, holding at 50°C for 2 min, then ramping to 230°C at 5°C min⁻¹, then holding at
31 280°C for the final 8 min. Alditol acetates were identified by retention time and relative
32 abundances were determined by the FID response of standards. Monosaccharides identified in
33 this study included rhamnose (rham), fucose (fuc), arabinose (arab), xylose (xyl), mannose (man),
34 galactose (gal), and glucose (glc). We were unable to quantify total yields of alditol acetates as

1 the peak areas generated by monosaccharide standards were not reproducible; we attribute this
2 complication to the on-column injection and to the HP-5 column. We recommend using a
3 Supelco (SP2330) column with split injection. However, the relative peak areas of individual
4 monosaccharides in standard and sample replicate injections were consistent ($\pm 0.6\%$), so we
5 report monosaccharide mole percentages of UDOM samples.

6 Carbohydrate abundances were determined using the TPTZ method of Myklestad et al.
7 (1997), modified by Panagiotopoulos and Sempéré (2005), after hydrolysis of UDOM in 2 mol L⁻¹
8 TFA at 120°C for 2 h. Aliquots of UDOM solutions used for this analysis ranged from 62 to 408
9 nmol C. Data are reported as dissolved combined neutral sugar concentration (DCNS) and as the
10 percent of UDOC that is neutral sugar carbon (%NS-UDOC)

11 12 2.6.3 Amino acid analysis

13
14 Samples were collected directly from the ultrafiltration system, pipetted into combusted
15 (450°C for 4 h) 10mL glass ampoules, flame sealed and stored frozen at -20°C for transport to
16 the laboratory for analysis. Samples were thawed and processed following the methodology of
17 Fitznar et al. (1999) and Pérez et al. (2003). Hydrolysis was performed by adding HCl and
18 ascorbic acid to 2mL of sample and subsequently flushing with N₂. The pre-combusted glass
19 ampoules were then sealed and incubated at 110°C for 24 h. The hydrolyzed samples were dried
20 and resuspended in a borate buffer (pH 8.5).

21 After hydrolysis, the concentrations of the individual amino acids were determined by
22 high performance liquid chromatography (HPLC) of duplicate injections after pre-column
23 derivatization with *o*-phthaldialdehyde and *N*-isobutyryl-L-cysteine (Fitznar et al., 1999; Pérez et
24 al., 2003). An integrated HPLC system was used consisting of a fluorescence detector (set at Ex:
25 330 nm; Em 445 nm) and autosampler for automatic derivatization. For separation of the
26 individual amino acids, we used a C-12 reversed phased column and solvents and a multistep
27 gradient system as described in Fitznar et al. (1999) as modified by Pérez et al. (2003). Amino
28 acids were identified and quantified in relation to external standards. The *N*-isobutyryl-D-
29 cysteine isomer was not used for derivitization as repeatable and clean chromatograms were
30 generated using this method. Using these conditions, we measured glycine (gly), the D and L-
31 enantiomers of aspartic acid and asparagine (asx, D-asx), glutamic acid and glutamine (glx, D-
32 glx), serine (ser, D-ser), alanine (ala, D-ala), and tyrosine (tyr, D-tyr), as well as the L-
33 enantiomers of threonine (thr), histidine (his), arginine (arg), valine (val), phenylalanine (phe),
34 and leucine (leu). Derivatized Thr and His residues co-eluted and are reported as a single peak.

1 Under these conditions, asparagine and glutamine were converted to aspartic and glutamic acid
2 during acid hydrolysis and have the highest racemization rates. However, the development of this
3 method involved a careful choice of reagents and solvents as well as adjustment of the pH in
4 order to minimize the rate of racemization.

5 Data were corrected for both the blank and for racemization after hydrolysis (according
6 to Kaiser and Benner 2005), and are reported as the total concentration of dissolved combined
7 amino acids in seawater (DCAA), the percent of UDOC that is amino acid carbon (%AA-
8 UDOC), and as mole percentages (mol%) of individual amino acids. The coefficient of variation
9 for DCAA between duplicate samples ranged from 0.7 to 4.6%; the relative standard deviation for
10 the individual amino acids in each run was < 6 %. It is possible that some amino acid peaks
11 contained impurities, having significant implications for peaks with small areas. D-tyr peak areas
12 were the smallest detected, but values were > 2× blank (except for the deep N. Aegean sample,
13 which we have omitted) and we interpret these data with caution.

14 15 *2.7 Principal Components Analysis*

16
17 Principal components of amino acid and monosaccharide mol % data were determined using
18 the Matlab statistics toolbox. Data were de-trended by removing the average and normalizing to
19 the standard deviation for each variable prior to generating PCA data. Results are reported as PC
20 loadings for individual amino acid or monosaccharide mol % variables, PC scores of UDOM
21 samples, and z-scores of principal components identified in the datasets.

22 23 24 **3. Results and Discussion**

25
26 The exchange of carbon between CO₂ and the ocean's large reservoir of DOC has
27 substantial implications for global biogeochemical cycles and global climate. We still know little
28 regarding the routes and fluxes of DOC production and remineralization, particularly in the meso-
29 and bathypelagic ocean. DOC removal processes in the EMS may differ from those in the open
30 ocean as bathypelagic temperatures are > 13°C. Specifically, microbial remineralization
31 processes that affect DOC concentration and/or composition in the meso- and bathypelagic
32 Mediterranean waters may thus be more pronounced, and the chemical signatures of these
33 processes may be more evident than in the major oceanic basins. For example, previous studies
34 have noted the overwhelming presence of genes specific for the catabolism of amino acids and

1 carbohydrates down to 4000 m (Martín-Cuadrado et al., 2007), and correlations between DOC
2 and AOU have been shown to vary between water masses of the EMS (Seritti et al., 2003;
3 Santinelli et al. 2010).

4 5 *3.1 Water Mass Characteristics and Distributions of Bulk Chemical and Biological Parameters* 6

7 Sample locations and hydrographic parameters are reported in Table 1. We identified the
8 water masses sampled for UDOM according to their characteristic temperature and salinity
9 signatures (Fig. 2), along with additional variables such as dissolved oxygen and inorganic
10 nutrient concentrations (Tables 1 and 2). Specifically, we isolated UDOM from surface waters
11 containing MAW or BSW, subsurface intermediate waters of the LIW, CIW, and TMW (200 to
12 750 m), and NAeDW, CDW, and EMDW from the deep E. Mediterranean Sea (1200 to 4350 m).
13 Figure 2b provides an enhanced view of the relations between the intermediate and deep water
14 masses and types of the Aegean Sea. Note that the layer between 200 and 400 m in the North
15 Aegean has similar characteristics to 1000-1400 m deep waters in the Cretan Sea. Also, the TMW
16 and CIW waters seem to affect the shape of the T/S signature in the North Aegean.

17 AOU provides an estimate of the amount of oxygen consumed since a water parcel was at
18 the surface and hypothetically in gaseous equilibrium with the atmosphere (i.e., fully saturated in
19 oxygen). We observed significant variability in the AOU signature of water masses sampled in
20 this study (Table 1), which may correspond to variability in the extent of removal of semi-labile
21 DOC (Klein et al., 2003; Seritti et al., 2003; Santinelli et al., 2006). POC flux and the processes
22 associated with that flux attenuation could also affect AOU, but the EMS is one of the well-
23 known basins of low productivity of the world ocean; as such, organic particles are scarce in its
24 sub-surface layers (Stavrakakis et al., 2006; Karageorgis et al., 2008). Thus, the oxidation of POC
25 at depth could have had a minor effect on the levels of AOU and DOC, compared to that which
26 occurs in more productive ocean basins. Water mass mixing definitely altered the concentration
27 of both parameters and may have contributed to the observed variation in AOU and DOC
28 concentrations. Sampling for both dissolved oxygen and DOC was performed simultaneously in
29 the core of specific water masses that are characterized by different ages, sources, paths and
30 hydrochemical properties. Thus each data pair may provide a signature of the specific history and
31 path of the associated water mass.

32 Surface waters (0-100m) in all sampling locations were close to oxygen saturation or
33 even over-saturated, with AOU concentrations ranging between -8.9 and 33.3 $\mu\text{mol L}^{-1}$. Elevated
34 AOU values were observed at depths > 200 m, reflecting the history of the different water masses

1 occupying these layers. The highest AOU values ($61.7\text{-}68.2 \mu\text{mol L}^{-1}$) were recorded in the Ionian
2 Sea, at depths 750-1000 m, and represent TMW, an ‘aged’ water mass (see above). The lower
3 AOU ($49.4 \mu\text{mol L}^{-1}$) of the Levantine Intermediate waters, lying above the TMW layer, reveals
4 its recent ventilation with respect to TMW. Below TMW, at the depth range from 2000 to 4350
5 m, the AOU values decrease gradually, implying that the deepest EMDW is probably younger
6 (AOU of $49.0 \mu\text{mol L}^{-1}$) than the EMDW of the overlying layers (AOU of $58.2 - 53.4 \mu\text{mol L}^{-1}$).
7 In the N. Aegean, the NAEaDW, which has remained isolated since the major deep water
8 formation event of winter 1993 (Zervakis et al., 2003), is characterized by high AOU, with values
9 reaching $59.3 \mu\text{mol L}^{-1}$. In the South Aegean basin (Fig. 1), the AOU levels do not exceed 47.7
10 $\mu\text{mol L}^{-1}$. The CIW signature was also detected at about 250 m depth in the South Aegean station
11 from its low AOU ($16.9 \mu\text{mol L}^{-1}$), evidence of recent ventilation during the previous winter.

12 DOC ranged from 77 to $120 \mu\text{mol C L}^{-1}$ in surface waters where UDOM was collected
13 (Table 1; avg. \pm sd = $93 \pm 18 \mu\text{mol C L}^{-1}$), and from 48 to 65 in subsurface waters (avg. \pm sd = 54
14 $\pm 6 \mu\text{mol C L}^{-1}$). A negative correlation was observed between AOU and DOC for all samples
15 with AOU > 0 collected during the POSEIDON cruise ($R^2 = 0.53$, $n = 33$, $p \ll 0.001$; Fig. 3).
16 Based on estimates of the slope of this relationship and a molar respiratory quotient of 106/154
17 (Anderson and Sarmiento, 1994), Aristegui et al. (2002) determined that DOC accounted for <
18 10% of AOU in deep open ocean waters. In this study, we observe a $\Delta\text{DOC}/\Delta\text{AOU}$ value (\pm 95%
19 confidence interval) of -0.19 ± 0.12 for waters where AOU > 0 (Fig. 3). To correct for changes in
20 DOC due to mixing, potential temperature was included in this multiple regression analysis,
21 according to Doval and Hansell (2000). DOC removal in the EMS thus accounts for $27 \pm 18\%$ of
22 AOU and is higher than previous estimates for the global ocean (< 10%; Aristegui et al., 2002)
23 and consistent with that observed for specific water masses of the Mediterranean Sea (Santinelli
24 et al., 2010). This estimate is slightly higher than estimates of DOC respired during North
25 Atlantic Deep Water formation (7-29%, Carlson et al. 2010) and is more similar to estimates at
26 shallower depths in the open ocean (e.g., 15 to 41% at the BATS site; Hansell and Carlson 2001).
27 This estimate includes samples collected from a variety of water masses in the subsurface EMS,
28 thus yielding more variability than that reported for studies of $\Delta\text{DOC}/\Delta\text{AOU}$ along isopycnals
29 (e.g., Doval and Hansell 2000). The mesopelagic and bathypelagic waters of the EMS are
30 relatively warm (> 13°) and DOC rich ($48\text{-}65 \mu\text{mol C L}^{-1}$) compared to open ocean basins, and
31 are thus likely to exhibit more efficient or extensive DOC respiration (Carlson et al. 2010).

32 Nutrient concentrations and picoplankton abundance and activity were also determined at
33 depths where seawater was collected for UDOM isolation (Table 2). Dissolved inorganic
34 nitrogen (DIN) and phosphorus (DIP) collected during the POSEIDON cruise exhibited profiles

1 typical for the ultra-oligotrophic EMS, with surface concentrations ranging from below detection
2 limits to $0.81 \mu\text{mol L}^{-1}$ and $0.05 \mu\text{mol L}^{-1}$, respectively, and increasing to $4.8 \mu\text{mol L}^{-1}$ and 0.16
3 $\mu\text{mol L}^{-1}$, respectively, in subsurface waters (Table 2). The high subsurface DIN:DIP ratios (avg.
4 $\pm \text{sd} = 28 \pm 4$) agree with previous reports of the phosphorus-deficient EMS (Krom et al. 1992,
5 Krasakopoulou et al. 1999).

6 The mean ($\pm \text{sd}$) abundance of picoplankton was $4.3 \pm 1.7 \times 10^5 \text{ cells mL}^{-1}$ in the surface
7 and $8.3 \pm 4.4 \times 10^4 \text{ cells mL}^{-1}$ in subsurface waters. In general, at stations where UDOM was
8 collected *Bacteria* represented the bulk of picoplankton in both surface ($65 \pm 10\%$) and
9 subsurface ($39 \pm 10\%$) waters (data not shown). Picoplankton abundance estimated by flow
10 cytometry was well correlated with DOC (Fig. 4a, $R^2 = 0.67$, $n = 26$, $p \ll 0.001$). Some samples
11 from the subsurface depths (ranging from 100 to 250 m) of the S. Aegean were above the general
12 trend (circles). These outliers may be indicative of region-specific processes, such as the high
13 dust-deposition event that occurred in this area during the sampling period. Picoplankton
14 abundance was also well correlated with DOC at depths below 100m (Fig. 4b, $R^2 = 0.44$, $n = 18$,
15 $p < 0.005$). DOC was also well correlated with heterotrophic picoplankton activity (Fig. 4c, $R^2 =$
16 0.72 , $n = 39$, $p \ll 0.001$). Fig. 4d is a plot of these variables below 100m. There was a
17 significant correlation at depths $>300\text{m}$ ($R^2 = 0.27$, $n = 21$, $p < 0.02$), but samples at depths
18 between 100m and 300m plotted below this trend.

19 Picoplankton carbon biomass comprised $< 0.8\%$ of bulk DOC, confirming that changes
20 in picoplankton abundance alone cannot explain the observed variability in DOC. Sempéré et al.
21 (2002) showed similar relationships between DOC and bacterial production in the Northern
22 Aegean, attributing these trends to the enhancement of bacterial activity driven by input of DOC
23 from the Black Sea. The close association between DOC stocks and picoplankton abundance and
24 activity observed in this study is similar to those gradients found in estuarine and coastal aquatic
25 systems (e.g. Wetzel, 1992; Fernandes et al., 2008), but is rare for marine systems (e.g., Carlson
26 and Ducklow, 1995; Kaartokallio et al., 2007; H. Ducklow, pers. comm.). This tight coupling,
27 together with the relationship between AOU and DOC, imply that heterotrophic remineralization
28 processes exert a significant control on the cycling of DOC in these waters.

3.2 UDOM Isolation and Correlations with AOU and the Picoplankton Community

31
32 UDOM samples ($n = 17$) were concentrated with a spiral-wound filter of a nominal
33 MWCO of 500 Da; DOC retention efficiencies are reported in Table 1. This system retained 65%
34 of a 820 Da fluorescent compound (Alexa Fluor 594 dye) that was concentrated from 7L to 1.8L.

1 Fluorescence was intermittently detected in the permeate of the system, most notably during
2 ultrafiltration of the first 3L of solution (data not shown). The 500 Da cartridge filters processed
3 pre-filtered seawater at approximately 30 L h⁻¹, and retained on average (\pm SD) 49 \pm 17% of DOC
4 in surface and subsurface seawater samples (Table 1). Amino acid analyses were performed on
5 aliquots of UDOM at this stage. Subsequently, UDOM samples were diafiltered to remove salts,
6 which also removed organic carbon and reduced the retention efficiency to an average (\pm SD) of
7 25 \pm 12%. These samples were then lyophilized and used for monosaccharide and ¹H-NMR
8 characterization analyses. These analyses are discussed in further detail below.

9 The correlation between DOC and the picoplankton community was not paralleled by the
10 UDOC pool. Also, there was no correlation between UDOC and total DOC, or with AOU.
11 Together, these observations suggest that *bulk* UDOC is not representative of the component of
12 DOC that is coupled to the picoplankton community. While *bulk* UDOC was apparently unrelated
13 to either AOU or picoplankton proxies for remineralization, *specific components* of UDOC did
14 appear to be tightly coupled to both AOU and the microbial community (see below).

15 The variability in UDOC recovery reported in Table 1 (%DOC retained) is relatively high
16 compared to previous ultrafiltration studies in the marine environment (e.g., Guo et al., 1996;
17 Aluwihare et al., 1997; Benner et al. 1997). This result could be due to our use of a 500 Da
18 membrane for ultrafiltration; to the authors' knowledge this study provides the first reports of the
19 chemical characteristics of this fraction of DOM. As such, we are unable to determine if high
20 variability in UDOC recovery is an artifact of sampling procedures or natural variability. We
21 considered the possibility that UDOC recovery influenced the variability observed for each
22 UDOM chemical composition parameter determined in this study. Indeed, one may expect that
23 variability in the percentage of DOC that is > 500 Da, whether derived naturally or from sampling
24 procedures, could yield substantial variability in the concentration and distribution of amino acids
25 and monosaccharides in UDOM. Despite this concern, only the heteroatom ¹H-NMR resonances
26 showed a slightly significant correlation with variability in UDOC recovery ($r = -0.55$, $p = 0.05$).
27 Other correlations with UDOC recovery, or lack thereof, are presented throughout the
28 manuscript. If insufficient sampling protocols resulted in variability in UDOC recovery and
29 altered the chemical composition between samples, the strong correlations between UDOC-
30 specific chemical composition measurements and independent measurements of other site-
31 specific parameters reported below are a remarkable coincidence.

32 To further examine the UDOM chemical composition of the various water masses, we
33 determined ¹H-NMR spectra as well as amino acid and monosaccharide concentrations and
34 relative mole distributions. The data were sorted according to the AOU value of the

1 corresponding water masses to assess the correlation between UDOM chemical composition and
2 AOU (Tables 3 and 4). Comparisons of UDOM composition in this study are drawn between
3 samples that were 1) isolated during the POSEIDON cruise and analyzed prior to diafiltration for
4 amino acid characterization, or 2) isolated during either the POSEIDON or SESAME cruises and
5 analyzed after diafiltration for carbohydrate and ¹H-NMR characterization. While these data
6 likely represent different fractions of bulk DOM, no comparisons are drawn between these
7 fractions unless otherwise noted.

9 *3.3 UDOM ¹H-NMR Characterization*

11 Selected ¹H-NMR spectra are shown in Fig. 5 and the relative contribution of aliphatic
12 (0.5 to 1.8 ppm), alpha-substituted (1.8 to 3.2 ppm), and heteroatom-substituted resonances (3.2
13 to 4.6 ppm) in all UDOM samples are reported in Table 3 alongside carbohydrate data. ¹H-NMR
14 spectra were dominated by characteristic aliphatic, alpha-substituted (acetate), and heteroatom-
15 substituted resonances, and trends in the relative abundance of these resonances were similar to
16 previous reports (e.g., Aluwihare et al., 2002). In general, UDOM from higher AOU waters (i.e.,
17 subsurface UDOM) exhibited ¹H-NMR spectra with proton abundance evenly distributed as
18 broad peaks among all resonances, while ¹H-NMR spectra of UDOM isolated in low AOU waters
19 were dominated by the heteroatom-substituted (likely carbohydrate) resonances. Aliphatic and
20 alpha-substituted resonances were significantly correlated with AOU ($r = 0.73$ and 0.92 ,
21 respectively, $p < 0.005$; Table 3). Heteroatom-substituted resonances were significantly and
22 inversely correlated with AOU ($r = -0.85$, $p < 0.001$). UDOM collected from the N. Aegean
23 basin (1000m) exhibited a ¹H-NMR spectrum similar to that observed for surface samples
24 dominated by heteroatom-substituted resonances (Fig. 5, Table 3). This anomalous sample was
25 excluded from the UDOM comparisons in this section and from those discussed below, unless
26 otherwise noted.

28 *3.4 UDOM Carbohydrate Composition*

30 Monosaccharide abundances and distributions in UDOM are reported in Table 3 and are
31 sorted according to the AOU value of the corresponding water mass. DCNS ranged from 0.4 to
32 $3.8 \mu\text{mol C L}^{-1}$, representing 2 to 24% of UDOC (Table 3); these carbohydrate yields are
33 consistent with previous reports (e.g., Aluwihare et al., 2002; Benner, 2002). Both DCNS and
34 %NS-UDOC were correlated with ¹H-NMR aliphatic, alpha-substituted, and hetero-atom

1 substituted resonances ($r = -0.69$, -0.61 , and 0.67 , respectively; $p < 0.05$), and generally showed
2 increased concentrations in surface waters but were not significantly correlated with AOU or
3 picoplankton activity. Relative mole percentages (mol %) of galactose (avg. \pm sd = $26.4 \pm 3.4\%$),
4 glucose ($20.4 \pm 1.9\%$), and mannose ($17.2 \pm 1.7\%$) were consistently high in all UDOM samples;
5 this latter observation is unique to this sample set as mannose typically exhibits lower relative
6 mol % in UDOM (e.g., 10 to 16 %; McCarthy et al., 1996; Aluwihare et al., 2002). In general,
7 UDOM isolated for this study exhibited a monosaccharide composition consistent with previous
8 UDOM reports even though it likely includes relatively more of the LMW pool of DOM

9 AOU was significantly correlated with mol % of glucose ($r = 0.54$, $p < 0.05$) and was
10 significantly and inversely correlated with mol % of galactose ($r = -0.76$, $p < 0.005$). No other
11 monosaccharide mol % was correlated with AOU. The significant correlations observed for
12 glucose and galactose with AOU imply that these monosaccharides vary inversely during the
13 apparent degradation of semi-labile UDOM, or other processes that contribute to increase the
14 AOU of a water mass. These observations are similar to previous reports of galactose and glucose
15 variability in seawater (e.g., McCarthy et al., 1996; Skoog and Benner, 1997; Amon and Benner,
16 2003; Goldberg et al., 2009).

17 Similar to previous studies, carbohydrates were a relatively small fraction of bulk DOC
18 ($< 4 \mu\text{mol C L}^{-1}$) and thus represented only a small fraction of the change in DOC or AOU
19 between surface and deep waters. Thus, the observed correlations between monosaccharide
20 distributions and AOU may not be a direct representation of the removal semi-labile TOC in
21 subsurface waters. That is, the standing stock of non-carbohydrate UDOC removed as AOU
22 increases far exceeds that of carbohydrate UDOC, and changes in monosaccharide distributions
23 may be complementary to this flux. Rather than representing the effects of selective preservation
24 or consumption of a semi-labile carbohydrate component, monosaccharide distributions may
25 reflect transient fluxes of monosaccharides in UDOM that become more important in the deep
26 ocean.

27 28 *3.5 UDOM Amino acid Composition*

29
30 Amino acid concentrations and mole distributions were determined for 8 UDOM samples
31 before diafiltration; samples were sorted according to AOU values and data are shown in Table 4.
32 In general, the UDOM isolated in this study represents a greater fraction of bulk DOM than
33 previous UDOM reports and the composition of this pool may exhibit signatures more closely
34 related to LMW DOM than previous UDOM composition studies. For example, Kaiser and

1 Benner (2009) report lower yields of amino acids and relatively depleted abundances of
2 hydrophobic amino acids in LMW DOM compared to HMW DOM. In this study, the
3 concentrations of DCAA ranged from 51 to 158 nmol L⁻¹ (188 to 581 nmol C L⁻¹) in surface and
4 subsurface waters. Glycine was by far the most abundant amino acid in all UDOM samples,
5 representing $35.0 \pm 1.9\%$ (avg. \pm sd) of all amino acids, followed by aspartic acid ($12.4 \pm 1.1\%$),
6 glutamic acid (9.0 ± 0.7), and alanine (8.1 ± 0.6). Amino acids in UDOM represented $41 \pm 11\%$
7 of amino acids measured in bulk seawater samples (thus a significant amount is present in the
8 HMW fraction); and bulk seawater samples showed similar mole distributions, except for
9 significantly higher relative abundances of alanine ($14.3 \pm 3.5\%$, $p < 0.001$) and serine ($7.4 \pm$
10 3.9% , $p < 0.005$, data not shown). Several amino acids were below the detection limit in bulk
11 seawater samples and were not considered in mole distribution comparisons. The range in DCAA
12 observed in this study is lower than that reported for UDOM in the open ocean (178 to 278 nmol
13 L⁻¹; McCarthy et al. 1996). However, the relative distributions (mol%) of amino acids in UDOM
14 agree with previous reports, as does the fraction of amino acids in UDOM relative to the total
15 pool of amino acids determined in bulk seawater samples (Aluwihare and Meador 2008, and
16 references therein). The amino acid carbon contribution to UDOC (%AA-UDOC) ranged from
17 0.83 to 1.61% and was lower than previous reports (~3 to 6%; Benner et al., 2002; Aluwihare and
18 Meador, 2008); the error of this value was $< 0.03\%$ for all samples.

19 The average (\pm sd) enantiomeric ratios (D/L) of aspartic acid (0.39 ± 0.11) and alanine
20 (0.56 ± 0.11) were similar to the averages in the open ocean (0.42 and 0.49, respectively; Pérez et
21 al., 2003) and in UDOM (0.37 and 0.50, respectively; McCarthy et al., 1998). The D/L ratios for
22 serine (0.60 ± 0.17) and glutamic acid (0.30 ± 0.05) appear to be enriched in the D-enantiomer
23 compared to previous reports (0.09 and 0.15, respectively; Pérez et al., 2003). McCarthy et al.
24 (1998) report a D/L ratio for tyrosine of 0.10, which was not much higher than the blank reported
25 for that study, and lower than observed in this study (0.17 ± 0.05). The enantiomeric amino acid
26 ratios determined in this study were corrected for hydrolysis-related racemization according to
27 Kaiser and Benner (2005), whereas the previous reports serving as comparisons did not apply this
28 correction. Our uncorrected enantiomeric data were not significantly different from the corrected
29 data, maintaining the same relationship as the comparisons noted above.

30 The UDOM sample collected in the deep N. Aegean basin (1000m) was not included in
31 the computations above or those in the preceding amino acid discussion. The composition of
32 this deep ocean sample was remarkably similar to samples collected in the surface ocean,
33 particularly in regard to its ¹H-NMR spectra (Fig. 5) and low D-amino acid content (Table 4).
34 This sample also exhibited the lowest UDOC (21 μ M ultrafiltered, 5 μ M diafiltered; Table 1).

1 These characteristics may result from the unique ventilation patterns of the N. Aegean basin,
2 where the rapid deep water formation and reduced mixing presumably could have affected the
3 chemical composition of UDOM. Additionally, prior to deep water formation, these waters likely
4 contained alloctonous inputs of DOM from the Black Sea that may differ in chemical
5 composition from that produced in the EMS. These findings provide additional evidence that
6 North Aegean deep waters differ from the rest of the EMS deep water masses due to a long
7 stagnation period from 1994 to at least 2007 (our sampling year), during which there has been
8 low or no ventilation (Zervakis et al., 2003; Zervakis and Krasakopoulou, unpublished data).

9 10 3.5.1. Amino Acids and AOU

11 Assessing the correlation between AOU and the amino acid composition of UDOM
12 allowed us to examine the chemical transformations of DOM that may accompany increases in
13 AOU, which seemingly represents the degradation of semi-labile DOC. Significant correlations
14 between AOU and %AA-UDOC and mole distributions are shown in bold in Table 3. AOU and
15 %AA-UDOC were significantly and inversely correlated ($r = -0.98$, $p < 0.001$). DCAA showed
16 a similar but less significant correlation with AOU ($r = -0.74$, $p = 0.063$). It thus appears that
17 %AA-UDOC integrates a multitude of processes that are coupled to AOU but that are not
18 represented by DCAA alone. While there was a strong correlation between %AA-UDOC and
19 AOU (Fig. 6), amino acid carbon normalized to *bulk* DOC shows no correlation with AOU ($r =$
20 0.16). The amino acid signature that accompanies changes in AOU is thus only evident in the
21 dynamics of UDOC, and presumably, associated with the HMW fraction of bulk DOC. Given
22 that the ultrafiltration sampling procedures, which may have artificially altered UDOC recovery,
23 did not affect AOU measurements, the correlation between AOU and %AA-UDOC provides
24 convincing evidence that the range in UDOC recovery reported in Table 1 records natural
25 variability.

26 The increase in %AA-UDOC in low AOU waters is likely due to local production by
27 marine autotrophs in the surface ocean (e.g., Hubberton et al., 1994), an amino acid source that is
28 absent from high AOU waters in the subsurface ocean. Table 4 also reveals that UDOM mole
29 percentages of D-asp, D-glu, and gly were significantly correlated with AOU ($r = 0.89$, 0.84 , and
30 0.80 , respectively; $p < 0.05$), and ser, thr/his, and tyr were significantly and inversely correlated
31 with AOU ($r = -0.90$, -0.89 , and -0.95 , respectively; $p < 0.01$). Some of these significant
32 differences were observed in previous reports of amino acid distributions in the marine
33 environment, which have generally noted increased concentrations of alanine and glycine, and
34 decreased concentrations of hydrophobic amino acids (e.g., leucine, phenylalanine, valine) in

1 “more degraded” DOM (e.g., Yamashita and Tanoue, 2003). In this study, the sum of the total
2 carbon-normalized D to L-enantiomeric amino acids in UDOM (Σ -D/L) ranged from 0.23 to 0.44.
3 Σ -D/L of UDOM was significantly correlated with AOU ($r = 0.77, p < 0.05$) and was primarily
4 driven by changes in D-asp and D-glu (Table 4). These amino acids also appeared to increase
5 with depth; this observation contrasts that observed by McCarthy et al. (1998) in the North
6 Atlantic and Pacific basins and may be due to the higher recovery of UDOM examined in this
7 study or differences in the subsurface regions of the EMS versus the open ocean.. The dynamics
8 of D-amino acids are further discussed below

9 In this study, amino acids accounted for less than 2% of UDOC and less than 0.2% of
10 bulk DOC ($< 0.6 \mu\text{mol C L}^{-1}$). As above for carbohydrates in seawater, the addition and removal
11 of the $< 0.6 \mu\text{mol C L}^{-1}$ fraction of amino acid carbon cannot account for the large changes
12 observed in AOU. Thus, the correlation between amino acid distributions and AOU may not
13 directly reflect which of the amino acids are preferably removed or preserved as semi-labile
14 UDOM is degraded, but may represent transient fluxes of amino acids in UDOM that vary with
15 increasing AOU. For example, Pérez et al. (2003) speculated that the shift in the bacterial uptake
16 ratios of D/L-amino acids from the surface to the mesopelagic zone of the N. Atlantic may reflect
17 a shift in the production of bioavailable D/L-amino acids.

19 3.5.2. *Amino Acids and picoplankton*

21 Similar to trends observed with AOU, picoplankton community parameters were also
22 significantly correlated with %AA-UDOC (Fig. 7) and were not correlated with DCAA. In order
23 to assess the relationships between amino acid and picoplankton parameters, it is necessary to
24 consider the inherent intracellular protein component that comprises a substantial portion of the
25 microbial biomass. The measured carbon concentrations of amino acids in UDOM and those
26 calculated for the picoplankton community (assuming $2 \times 10^{-14} \text{ g C cell}^{-1}$, an overestimate for the
27 purpose of this comparison; Fukuda et al., 1998) are of the same order of magnitude ($\sim 10^{-7} \text{ mol}$
28 C L^{-1}); however, there was no significant correlation between these parameters. These
29 measurements thus appear to be exclusive, and the reported DCAA concentrations (in UDOM)
30 are in fact measures of amino acids in the dissolved pool and do not include picoplankton
31 biomass. Therefore, the correlations observed between amino acid and microbial parameters in
32 Fig. 7 reflect the distinct variability of UDOM composition or the picoplankton community.

33 Estimates of %AA-UDOC appear to be significantly correlated with both picoplankton
34 abundance and cell specific picoplankton activity (Fig. 7a, b; $R^2 > 0.89, p < 0.002$; note that the y-

1 axis is a log scale). The relationship shown in Fig. 7a suggests that the proportion of amino acids
2 in UDOC, while < 2% of UDOC, is tightly coupled to picoplankton abundance. Because count-
3 estimated picoplankton abundance in deep waters may include dormant cells, the significant
4 relationship between %AA-UDOC and picoplankton activity (Fig. 7b) provides more convincing
5 evidence that amino acids in UDOM are coupled to the picoplankton community. This
6 relationship also applies when considering only the metabolically slow dark ocean regions (i.e.,
7 those samples with %AA-UDOC < 1.3% in Fig. 7). DCAA was not correlated with picoplankton
8 activity or abundance, or with AOU (Fig. 6).

9 Picoplankton activity and AOU are clearly associated with different timescales of
10 turnover of organic matter (hours versus years), yet are related as increases in AOU record the
11 cumulative effects of heterotrophic activity, and this metabolic activity becomes energetically
12 constrained and decreases as a water mass ages (e.g., Hoehler, 2004). Fig. 6 and Fig. 7 show that
13 %AA-UDOC is strongly correlated with both AOU and picoplankton activity, but it is unclear
14 whether: 1) %AA-UDOC mimics the relationship between DOC and AOU (Fig. 3) and also
15 records the accumulative effects of heterotrophic activity over long timescales (i.e., more
16 bioavailable amino acid components are removed and refractory components remain); or 2)
17 changes in %AA-UDOC are manifested more rapidly, in equilibrium with reduced picoplankton
18 activity.

19 The latter explanation is supported by the lack of correlation between DCAA and AOU
20 or picoplankton activity (Figs. 6 and 7). If the former explanation was valid we would expect that
21 DCAA would track AOU, similar to bulk DOC (Fig. 3), as the bioavailable components of
22 UDOM set in the surface ocean are gradually removed. Also, the magnitude of the stocks of
23 picoplankton and amino acids ($\sim 10^{-7}$ mol C L⁻¹) are similar, whereas that required to account for
24 the AOU signal is much larger ($\sim 10^{-5}$ mol C L⁻¹). Further investigation of the co-variability
25 between individual amino acid mole distributions and the picoplankton community are
26 considered below.

27 28 *3.6 Principal component analysis (PCA) of UDOM*

29
30 Several indices assess the degree of degradation of organic matter; these are based on
31 trends in monomer composition (e.g., Dauwe et al., 1999; Amon et al., 2001; Amon and Benner
32 2003) or make use of biomarkers to estimate the contribution of bacteria to DOM (e.g., McCarthy
33 et al., 1998; Kaiser and Benner, 2008). These strategies interpret the composition of DOM in the
34 parlance of amino acid or monosaccharide composition. AOU is a more direct proxy for

1 remineralization of organic matter and is correlated to DOC stocks (Fig. 3); we assessed UDOM
2 molecular level composition as it relates to this signal above (Tables 3 and 4). PCA identifies the
3 axes that capture the greatest amount of variability within the sample set and thus presents an
4 alternative framework to compare variability in UDOM composition. We used PCA to examine
5 the variability in both amino acid and monosaccharide distributions in UDOM, similarly to
6 Dauwe et al. (1999) who characterized diagenetic trends of sedimentary organic matter. However,
7 the PCA analysis in this study considered several biogeochemical proxies, in addition to those
8 related to diagenesis, in order to establish correlations with the axes that explain the majority of
9 variability in molecular level composition.

10 PC1 and PC2 accounted for 48% and 31% of the variability in amino acid mol %, and
11 36% and 25% of monosaccharide mol % variability, respectively. Factor loadings for PC1 and
12 PC2 of individual amino acids and monosaccharides are plotted in Fig. 8; amino acids or
13 monosaccharides that cluster together vary similarly among UDOM samples. Also, PC1 and PC2
14 scores were generated for individual UDOM samples for both the amino acid and
15 monosaccharide PCA (data not shown); these scores sort UDOM samples along the first and
16 second principal component axes of compositional variability. PC scores were compared to
17 additional biogeochemical data determined for each UDOM sample or sampling station.
18 Significant correlations are reported in Table 5 and are sorted according to parameters that
19 generally increase or decrease with depth. Thus, the information provided by PCA allowed us to
20 distinguish the covariability between individual amino acids and monosaccharides within UDOM
21 and the covariability between UDOM molecular composition and multiple site-specific
22 parameters (in addition to the AOU comparisons above). The UDOM sample collected in the
23 deep N. Aegean basin (1000m) was not included in PCA for the reasons described above.

24 25 *3.6.1. Amino Acid PCA*

26
27 The PC1 and PC2 loadings derived for each amino acid were used to sort amino acids
28 into clusters, such that amino acids with similarly high loadings for either the PC1 or PC2 axis
29 were grouped together (Fig 8a). Amino acid clusters are identified as:

30 Cluster I – arginine, L-aspartic acid, leucine, and valine

31 Cluster II - L-alanine, L-serine, L-tyrosine, and the threonine and histidine peak

32 Cluster III - D-serine and D-tyrosine

33 Cluster IV - D-alanine, D-aspartic acid, D-glutamic acid, and glycine

1 Phenylalanine and L-glutamic acid were not sorted into groups because the PC1 and PC2
2 loadings for these amino acids were relatively low.

3 This amino acid PCA analysis is similar to that reported by Yamashita and Tanoue
4 (2003) for amino acids in coastal and open ocean DOM. Clusters II and IV exhibit high but
5 opposite loadings for PC1. Clusters I and III have high and opposite loadings for PC2. These data
6 indicate that variability of amino acids clusters II and IV are inversely proportional and best
7 described by PC1, and any parameters correlated with PC1 are also likely correlated with the
8 distribution of these amino acids. Similarly, parameters that are correlated with PC2 are likely
9 correlated with amino acids in clusters I and III. The trends observed in this study are difficult to
10 compare to those observed in Yamashita and Tanoue (2003) because we did not measure the
11 same suite of amino acids (e.g., D-enantiomers are not reported in their study); however, these
12 authors report similar relationships between glycine and the hydrophobic amino acids valine and
13 leucine for PC1, as well as the similarly high loadings for arginine and L-aspartic acid for PC2.

14 The first principal component of variability in amino acid distribution (i.e., PC1) was
15 significantly correlated with %AA-UDOC ($r = 0.96$, $p < 0.001$), but was not significantly
16 correlated with DCAA or with %DOC retained by ultrafiltration. From this observation we can
17 deduce that variability in amino acid mol % distribution was 1) more significantly coupled to the
18 protein component of UDOM and not bulk amino acid concentrations in seawater; and 2) not an
19 artifact of sampling procedures that may have altered retention of UDOC. A similar correlation
20 with PC1 and %AA-UDOC was observed by Amon et al. (2001) and Yamashita and Tanoue
21 (2003), which led these authors to suggest that degradation was the principal component driving
22 the variability within their datasets. PC1 was also correlated with picoplankton abundance and
23 activity ($r = 0.93$ and 0.89 , respectively; $p < 0.005$), and inversely correlated with AOU ($r = -$
24 0.90 , $p < 0.01$) and Σ -D/L ($r = -0.83$, $p < 0.02$; Table 5). PC1 thus captures the variability that
25 was addressed in sections 3.5.1 and 3.5.2 above, which used a more obtuse analysis of amino acid
26 distributions, and indicates that D- amino acids in cluster IV are among the most variable amino
27 acids in UDOM.

28 PC1 of amino acid mol % distribution was also significantly correlated with other
29 UDOM composition measurements, including aliphatic, alpha-substituted, and heteroatom-
30 substituted regions of UDOM ^1H -NMR spectra ($r = -0.91$, -0.97 , and 0.98 , respectively; $p <$
31 0.005) and several other parameters that are associated with water mass age or depth-related
32 trends in the water column (Table 5). This observation indicates that changes in UDOM ^1H -
33 NMR spectra are accompanied by changes in the mol % signature of amino acids in UDOM; in
34 particular, those amino acids with high absolute loadings for PC1 (i.e., clusters II and IV, Fig.

1 8A). The amino acids D-asx, D-glx, gly, and D-ala (cluster V, with low PC1 loadings) are
2 relatively more abundant in UDOM with ¹H-NMR spectra exhibiting higher aliphatic and alpha-
3 substituted resonances; ala, leu, val, phe, and asx (cluster II, with high PC1 loadings) are more
4 abundant in UDOM with ¹H-NMR spectra exhibiting higher heteroatom substituted resonances.
5 Again, amino acids are a small fraction of UDOC and these amino acids have similar abundances
6 of aliphatic, alpha-substituted, and heteroatom substituted protons (if anything the cluster II
7 amino acids would have relatively more aliphatic protons); therefore the amino acid distributions
8 are not directly driving the observed variability in ¹H-NMR spectra. Variability in amino acid mol
9 % and ¹H-NMR resonances do appear to be impacted by the same, unidentified, principal
10 component however. As noted above, the diafiltered sample used to determine ¹H-NMR spectra
11 contained a smaller portion of the total DOC pool than that used for amino acid measurements,
12 and it is possible that the DOM lost during diafiltration (in preparation for ¹H-NMR analysis) was
13 enriched in cluster IV amino acids as well as aliphatic and alpha-substituted resonances, or
14 relatively depleted in cluster II amino acids and heteroatom-substituted resonances. Kaiser and
15 Benner (2009) reported that open ocean LMW DOM exhibited lower abundances of hydrophobic
16 amino acids and total neutral sugars than the HMW counterpart, and this may explain the
17 correlation reported in Table 5.

18 PC1 has been interpreted as an index of degradation (Dauwe et al., 1999; Amon et al.,
19 2001; Yamashita and Tanoue, 2003; Kaiser and Benner, 2009). But as the PCA derived in this
20 study was correlated with both the long-term degradation signal represented by AOU, and more
21 rapid signals related to picoplankton activity, the PCA results do not favor either hypothesis that
22 attempts to explain variability in %AA-UDOC or amino acid distribution noted above (e.g.,
23 selective degradation versus alternative metabolism). While D- amino acids in cluster IV are
24 considered more refractory and the observed positive correlation with AOU agrees with this
25 prediction, D-amino acids are also known to be produced and metabolized by marine
26 picoplankton in the deep ocean (Pérez et al., 2003; Teira et al., 2006; Kaiser and Benner, 2008;
27 Varela et al., 2008), and the variability captured by PC1 may derive from the relative enhanced
28 contribution of these organisms to production in the deep ocean.

29 Kaiser and Benner (2008) suggested the use of relative abundances of D- amino acids (D-
30 AA) to TOC as a biomarker for bacteria-derived DOM. We estimated the contribution of bacteria
31 to TOC in the EMS using yields determined in this study and end-member estimates of D- AA
32 yields in DOM produced by bacteria in culture (0.29, 0.20, and 0.42 nmol D-AA μmol TOC⁻¹ for
33 D-Asp, D-Glu, and D-Ala, respectively; Kaiser and Benner, 2008) using the equation:

34

1 %Bacteria-derived DOM = $100 \times ([D-AA]/[TOC])_{\text{sample}} / ([D-AA]/[TOC])_{\text{culture}}$

2

3 Average values ranged from 8 to 29% of TOC for all UDOM samples (including the deep
4 N. Aegean, Fig. 9); these values are similar to those reported throughout the water column and at
5 the BATS and HOT sites (Kaiser and Benner, 2008), but there were large standard deviations in
6 estimates generated by the three D-AA biomarkers (coefficient of variation ranged from 16 to
7 34%). Bacteria-derived DOM was not correlated with PC1 (and thus not with AOU,
8 picoplankton abundance, or activity). This was unexpected, considering that the variability of the
9 D-AA biomarkers used for this calculation appear to drive PC1, and may be a result of comparing
10 amino acid signatures (described by the PCA) with absolute amino acid concentrations (used for
11 this calculation). The lack of correlation of bacteria-derived DOM with PC1 suggests that there
12 was no significant accumulation of the amino acid component of this flux of DOM. Bacteria-
13 derived DOM in subsurface samples ($n = 6$) was correlated with PC3 however ($r = 0.87, p <$
14 0.05), which explained 9% of amino acid distribution variability and was also correlated with
15 UDOC ($r = 0.82, p < 0.05$).

16 Estimates of bacteria-derived DOM rely on D-AA yields in DOM produced by bacteria
17 cultures; coefficients of variation range from 12 to 43% (Kaiser and Benner 2008) and must thus
18 be interpreted with caution. As discussed above, the amino acid component of UDOC was tightly
19 coupled to picoplankton activity whereas that of bulk DOC was not (Fig. 4); therefore, D-AA
20 may serve as a more accurate biomarker index of bacteria-derived DOM contribution if
21 calculated normalized to UDOC rather than TOC. Further assessment of this parameter will
22 require a larger collection of subsurface UDOM samples and a more thorough understanding of
23 the production of D-AA by marine picoplankton in culture.

24 PC2 also described a substantial portion of amino acid mole % variability (31%) but was
25 not correlated with any parameters measured in this study; as such, we are unable to interpret the
26 variability associated with this axis or the fluxes that affect the amino acids in cluster I and III.
27 The primary controls of the distribution of these amino acids appear to differ from clusters II and
28 IV and may result in different residence times of these amino acids in seawater. Martín-Cuadrado
29 et al. (2007) found that genes for the metabolism of glycine, serine, and threonine (from clusters
30 II and IV) were among the most frequent observed in picoplankton collected from the deep Ionian
31 Sea (second only to ABC transporters). Genes for metabolism of cluster I amino acids were also
32 present but ~30% less frequent. Genes for carbohydrate biosynthesis and metabolism genes were
33 almost an order of magnitude less frequent than those for amino acids.

34

1 3.6.2. Monosaccharide PCA

2
3 PC1 and PC2 accounted for 36% and 25%, respectively, of the variability in
4 monosaccharide distributions. The biplot of PC1 and PC2 loadings of individual
5 monosaccharides were more scattered than those for amino acids (Fig. 8b) and no clusters were
6 identifiable. Galactose and the methyl-sugars (i.e., rhamnose and fucose, also deoxy-sugars)
7 exhibited the greatest positive and negative loadings for PC1, respectively; xylose and mannose
8 had the greatest positive and negative loadings for PC2, respectively. While these
9 monosaccharides exhibited the highest absolute factor loading values for PC1 and PC2, several
10 monosaccharides demonstrated relatively large factor loadings in both dimensions (Fig. 8b) and
11 cannot be ignored when considering the variability captured by PCA.

12 No site-specific parameters measured in this study were immediately identified as
13 correlated with PC1 scores of UDOM monosaccharide mol % (i.e., $p > 0.05$ for all comparisons),
14 indicating that the primary mechanism that determines monosaccharide distributions is extremely
15 dynamic and seemingly unrelated to other site-specific parameters determined in this study. The
16 carbohydrate component of DOM is considered to be reactive given the large changes in
17 carbohydrate abundance between surface and deep DOM (e.g., Repeta and Aluwihare, 2006).
18 Even though glucose and galactose mol % were significantly correlated with AOU when
19 considered individually (Table 3), and these monosaccharides exhibit opposite loadings for PC1
20 (Fig. 8b), we do not observe a significant correlation between PC1 and AOU. The highly positive
21 PC1 factor loadings for methyl-sugars (Fig. 8b) reveal that the distributions of these
22 monosaccharides (which were not correlated to AOU; Table 3) are an important source of
23 variability in these UDOM samples. Biogeochemical fluxes related to the turnover of methyl-
24 sugars may thus alter monosaccharide distributions and suppress the remineralization trends that
25 are related to processes driving variability in galactose, glucose, and AOU. The D-AA biomarker
26 index for bacteria-derived DOM (see previous section; Kaiser and Benner, 2008) was
27 significantly correlated with PC1 of monosaccharide distribution ($r = 0.87$, $p < 0.05$). Thus,
28 while the D-AA based estimation of DOM contributed by bacteria did not appear to be associated
29 with amino acid PCA, this contribution may be represented in the monosaccharide component of
30 DOM and may represent the alternative flux associated with mol % of methyl-sugars.

31 Similarly to PC1 of amino acid distributions, several parameters were correlated with
32 PC2 scores (Table 5). %NS-UDOC and DOC were significantly correlated with PC2 ($r = 0.60$,
33 and 0.77 , respectively; $p < 0.05$). $^1\text{H-NMR}$ resonances were also well correlated with PC2 ($r = -$
34 0.85 , -0.83 , and 0.85 for ^1H -aliphatic, alpha-subst., and hetero-subst. resonances, respectively, $p <$

1 0.005; Table 5). DCAA also showed a strong correlation with PC2 ($r = 0.96$, $p < 0.005$). Here
2 we observe another correlation between the two different fractions of UDOM (diafiltered versus
3 non-diafiltered) and this correlation may result from the losses during diafiltration. % DOC
4 retention showed no significant correlations with any PC derived for monosaccharide distribution
5 (Table 5), again suggesting that potential variability introduced as a result of sampling procedures
6 had no effect on variability of monosaccharide distributions. Additional correlations with PC2
7 include salinity, σ_{θ} , and AOU; these relationships are driven by surface or low-salinity end-
8 members and may not identify a continuous trend. In summary, correlations reported for PC2 of
9 monosaccharides represent both depth-related and composition-related parameters, and these
10 appear to vary with relative abundances of galactose, xylose, and fucose, and inversely with
11 glucose, mannose, arabinose, and rhamnose.

12 13 **4. Conclusions**

14
15 UDOM sampled from a variety of depths in the EMS targeted spatially distinct surface
16 waters as well as subsurface water masses that have been subducted below the euphotic zone for
17 different periods of time, thus exhibiting a range in AOU values. A negative correlation between
18 DOC and AOU observed in this study indicates that remineralization of semi-labile DOC can
19 account for $27 \pm 18\%$ of oxygen consumption in the subsurface EMS (Fig. 3). This is higher than
20 previous reports in the bathypelagic open ocean waters (i.e., $< 10\%$; Hansell, 2002; Aristgui et al.
21 2002) and consistent with new reports for the Mediterranean Sea (Santinelli et al., 2010). DOC
22 exported from surface waters thus serves as a more important substrate for carbon respiration in
23 the warm ($> 13^{\circ}\text{C}$), deep waters of the EMS. DOC values were also closely associated with
24 picoplankton abundance and activity (Fig. 4), similar to relationships observed in estuarine
25 systems (e.g., Wetzel 1992, Fernandes et al. 2008) but rare for the open ocean (e.g., Carlson and
26 Ducklow, 1995; Kaartokallio et al., 2007).

27 While UDOM amino acids are a small component of DOC in the EMS (avg. \pm SD = 0.33
28 $\pm 0.14 \mu\text{mol C L}^{-1}$), we observed strong correlations between the relative amino acid component
29 of UDOM (%AA-UDOC) and AOU and picoplankton activity in water masses of the EMS ($p <$
30 0.002), but no correlation with DCAA (Figs. 6 and 7). These are strikingly significant
31 correlations between independent measures of the amino acid composition of UDOM and the
32 picoplankton community. The strong correlations observed in Fig. 6 and 7 indicate direct
33 connections between the amino acid component of UDOC and picoplankton carbon pools in
34 seawater, and may thus represent controls on the equilibrium between microbial assemblages and

1 DOM within the microbial loop. In addition, amino acid and monosaccharide distributions, as
2 well as ¹H-NMR spectra were significantly correlated with AOU (Tables 3 and 4). These trends
3 did not extend to the UDOM sample collected in the deep N. Aegean basin, which exhibited low
4 DOC and amino acid abundances, but a ¹H-NMR spectrum and amino acid and monosaccharide
5 mole distribution patterns similar to that found in the surface ocean (Fig. 5, Tables 3 and 4).

6 PCA revealed that the principal component of variability of amino acid distributions (i.e.,
7 PC1, explaining 48% of variability) was well correlated with %AA-UDOC and picoplankton
8 activity but inversely correlated with AOU. PC1 was also correlated with several other site-
9 specific parameters (Table 5). The PC1 axis captured the mole % variability of two inversely-
10 correlated clusters of amino acids: alanine, serine, tyrosine, and threonine/histidine (cluster II)
11 and D-alanine, D-aspartic acid, D-glutamic acid, and glycine (cluster IV; Fig. 8a), with cluster II
12 amino acids being relatively more enriched in UDOM with high %AA-UDOC and sampled from
13 regions with higher picoplankton activity and lower AOU. We were unable to determine if these
14 signatures were driven by 1) remineralization processes associated with semi-labile DOM that
15 was exported from the surface ocean, which occur over relatively long timescales, or 2) more
16 rapid DOM production and remineralization processes driven by local picoplankton communities
17 that also change with depth (e.g., De Corte et al. 2009). D- amino acid concentrations were used
18 as quantitative biomarkers to estimate the % of TOC contributed by bacteria (according to Kaiser
19 and Benner, 2008). This analysis suggested that bacteria produced 8-29% of DOM in samples
20 collected from the surface to 4350 m in the E. Mediterranean, but this parameter was not
21 correlated with PC1 of amino acid distributions. The flux of DOM produced by bacteria thus
22 does not appear to have accumulated or contributed significantly to the observed variability in
23 amino acid signatures. This observation, together with the significant correlation observed
24 between AOU and PC1, argue for the construction of amino acid distributions by remineralization
25 processes that occur over long timescales. However, the strong correlation between %AA-UDOC
26 and picoplankton activity, which is representative of carbon turnover on a much faster timescale,
27 suggests that more rapid and transient remineralization or alternative production signatures may
28 also be associated with increasing AOU. Together, these observations imply that the principal
29 component of variability in amino acid distributions is not well-defined and will require more
30 extensive study to be categorically assigned as an index of “degradation” or as an alternative
31 biogeochemical flux. This conclusion is consistent with similar analyses in the open ocean
32 (Meador, 2008; Kaiser and Benner, 2009).

33 Monosaccharide distributions appeared to be more dynamic, as PC1 (explaining 36% of
34 variability) was uncorrelated with most site-specific parameters and it was PC2 (explaining 25%

1 of variability) that showed correlations with %NS-UDOC, DOC, and other site-specific
2 parameters (Table 5). Mole % of galactose and glucose were significantly correlated with AOU,
3 similar to previous reports (McCarthy et al., 1996; Skoog and Benner, 1997; Amon and Benner,
4 2003; Goldberg et al., 2009), but the AOU signal was not strongly associated with PC1 or PC2.
5 Fluxes associated with AOU thus do not appear to significantly influence monosaccharide
6 distributions. PC1 was correlated with estimates of bacteria-derived DOM however, indicating
7 that the flux of carbohydrates produced by bacteria may accumulate more significantly than that
8 of proteins.

9 These collaborative analyses have begun to elucidate key relationships that connect DOM
10 chemical characterization to heterotrophic metabolism and marine biogeochemical cycles. The
11 chemical characterization of DOM in the EMS provided by this study represents the first such
12 data for this region, and the enhanced respiration of DOC in the relatively warm "twilight" zone
13 of the EMS was advantageous for establishing explicit correlations in DOM cycling, thus
14 advocating for additional investigations of DOM and microbial composition dynamics in this
15 unique region. Advancements in interpreting UDOM composition signals will rely, in part, on
16 detailed studies targeting metabolism of the key amino acids and monosaccharides identified by
17 this and other studies, and also on information provided by the intact macromolecules from which
18 these signals were derived.

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3

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1 **Figure Captions**

2
3 Figure 1. UDOM sampling stations (circles) collected during POSEIDON, SESAME, and
4 KM3NET cruises in May 2007 and April 2008.

5
6 Figure 2. Temperature-salinity diagrams for stations sampled in the N. Aegean (filled circles), S.
7 Aegean (diamonds), S.W. Aegean (grey circles), and Ionian seas (filled triangles). Enlarged
8 symbols represent stations and depths where water was sampled for ultrafiltration.

9
10 Figure 3. AOU versus DOC for all stations of the POSEIDON cruise (open diamonds), and for
11 stations where UDOM was collected (filled diamonds). The dashed line represents the regression
12 for all stations where $\text{AOU} > 0 \mu\text{mol L}^{-1}$.

13
14 Figure 4. DOC concentration versus (A & B) picoplankton abundance and (C & D) heterotrophic
15 picoplankton activity observed during the POSEIDON cruise in the N. Aegean (NA, diamonds),
16 Southwest Aegean (SA-W, squares), Ionian (triangles), and S. Aegean Seas (SA, circles). Filled
17 symbols represent stations where UDOM samples were collected, and the dashed lines represent
18 linear regressions that are statistically significant ($p < 0.05$) and include the entire datasets, except
19 in (D), where the regression represents values below 300 m depth.

20
21 Figure 5. Characteristic UDOM $^1\text{H-NMR}$ spectra at various depths of the North Aegean and
22 Ionian Seas. Sample names are provided for each spectrum; the HDO peak at 4.7 ppm was
23 removed from all spectra.

24
25 Figure 6. AOU versus %AA-UDOC (filled symbols) and DCAA concentration (open symbols).
26 The dashed line represents linear regression of the %AA-UDOC data.

27
28 Figure 7. Relationship between %AA-UDOC vs. picoplankton abundance (A) and picoplankton
29 activity (B). Note picoplankton abundance and activity are plotted on a logarithmic scale. Dotted
30 lines represent exponential regressions that were statistically significant ($p < 0.002$).

31
32 Figure 8. Biplots of PC1 (x-axis) and PC2 loadings (y-axis) of (A) amino acid and (B)
33 monosaccharide distributions in UDOM. Amino acids that plot in clusters identified in (A) are
34 defined in the text.

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Figure 9. Average %bacteria-derived DOM as calculated using the formula:
$$\frac{([D-AA]/[TOC])_{\text{sample}}}{([D-AA]/[TOC])_{\text{culture}}}$$
 after Kaiser and Benner (2008). Error bars represent one standard deviation.

Table 1. Bulk hydrographic and chemical measurements of seawater collected from ultrafiltration during the Poseidon, Sesame, and KM3Net research cruises. *nd* = no data; σ_θ = sigma-theta density; AOU = apparent oxygen utilization; DOC = dissolved organic carbon.

Cruise	Sample	Date	Lat. (°N)	Lon. (°E)	Depth (m)	Salinity	Temp. (°C)	σ_θ (kg m ⁻³)	AOU (μmol L ⁻¹)	DOC (μmol L ⁻¹)	Vol. (L) ultrafiltered	% DOC retained, ultrafiltered	% DOC retained, diafiltered*
POSEIDON	N. Aegean	8-May-07	40.109	24.531	2	36.245	17.669	26.309	-8.624	120	185	24	10±1
					200	38.976	14.073	29.255	21.109	62	240	29	28
					1000	39.041	13.353	29.485	59.296	53	150	39	9±1
	S.W. Aegean	11-May-07	36.200	23.308	2	38.759	17.729	28.224	-5.393	84	180	47	33
					500	38.939	14.420	29.161	26.256	54	220	54	40±6
					1200	38.980	14.351	29.232	46.675	52	305	44	39±3
	Ionian	26-May-07	36.265	21.502	2	38.535	21.146	27.149	-4.628	77	300	43	18±2
					250	38.801	15.255	28.858	19.323	65	170	61	37
					750	38.819	14.031	29.161	61.703	50	170	64	17
					4350	38.743	14.190	29.201	48.953	48	170	76	51±3
	S. Aegean	28-May-07	35.787	24.912	2	<i>nd</i>	<i>nd</i>	<i>nd</i>	-8.43	78	210	<i>nd</i>	25
					750	38.939	14.389	29.176	35.161	54	210	<i>nd</i>	20
1400					39.040	14.255	29.304	37.823	48	210	<i>nd</i>	22	
SESAME	N. Aegean NS1	6-Apr-08	40.127	25.305	2	33.802	12.725	25.52	-4.993	120	400	<i>nd</i>	15±2
	N. Aegean NS6	9-Apr-08	40.474	24.598	2	35.117	13.413	26.4	-1.284	95	100	<i>nd</i>	27±2
KM3Net	Ionian K-C2	17-Apr-08	36.676	21.660	2	38.557	16.049	<i>nd</i>	<i>nd</i>	82	200	<i>nd</i>	19
	Ionian K-4.5	19-Apr-08	36.548	21.459	2	38.575	16.286	<i>nd</i>	<i>nd</i>	91	150	<i>nd</i>	13

* ± error is based on the DOC concentration of ultrafiltered and diafiltered DOC retentates and is shown only for samples with error ≥ 1%.

Table 2. Inorganic and organic nutrients, and picoplankton abundance and activity at the different sampling sites and depths.

Station	Depth (m)	DIN ($\mu\text{mol L}^{-1}$)	DIP ($\mu\text{mol L}^{-1}$)	DON ($\mu\text{mol L}^{-1}$)	Picoplankton ($\times 10^5$ cells mL^{-1})	Picoplankton Activity ($\text{pmol leu L}^{-1} \text{h}^{-1}$)
N. Aegean	2	0.7	0.05	9.7	5.5	110.32
	200	1.9	0.08	6.6	1.6	4.91
	1000	4.6	0.15	4.9	0.6	2.09
S.W. Aegean	2	0.2	0.03	9.7	3.1	48.90
	500	1.2	0.06	5.3	1.2	4.05
	1200	3.9	0.16	5.1	0.6	1.52
Ionian	2	0.1	0.03	5.9	<i>nd</i>	27.13
	250	0.8	0.04	10.1	1.2	1.93
	750	3.1	0.15	6.0	0.4	0.10
	4350	4.0	0.15	7.1	0.3	1.16
S. Aegean	2	0.4	0.03	5.3	<i>nd</i>	69.33
	750	3.0	0.11	5.2	1.0	8.35
	1400	3.3	0.14	4.5	0.6	2.68

Table 3. Monosaccharide mol % distributions, and % contribution of ¹H-NMR regions to spectra of UDOM, sorted by AOU values of waters where seawater was collected. Bold values indicate a significant correlation (*r*) between AOU and monosaccharide mole % or ¹H-NMR component data as defined by the Pearson correlation coefficient. *nd* = no data.

Station	Depth (m)	AOU (μmol L ⁻¹)	DCNS (μmol C L ⁻¹)	%NS-UDOC	Monosaccharides mole %							¹ H-NMR group		
					rham	fuc	arab	xyl	mann	glu	gal	aliphatic	alpha subs	hetero subs
DB2-Athos	2	-8.624	<i>nd</i>	<i>nd</i>	<i>nd</i>	<i>nd</i>	<i>nd</i>	<i>nd</i>	<i>nd</i>	<i>nd</i>	<i>nd</i>	32	28	41
W. Cretan	2	-5.393	<i>nd</i>	<i>nd</i>	9.4	11.6	5.8	8.7	15.5	20.2	28.8	<i>nd</i>	<i>nd</i>	<i>nd</i>
Ionian	2	-4.628	<i>nd</i>	<i>nd</i>	8.7	11.1	6.7	9.4	15.9	19.2	29.0	<i>nd</i>	<i>nd</i>	<i>nd</i>
DB8-Cretan	2	-8.843	<i>nd</i>	<i>nd</i>	12.3	11.9	6.4	5.7	17.8	17.7	28.2	<i>nd</i>	<i>nd</i>	<i>nd</i>
Sesame NS1	2	-4.99	9.1	1.6	9.3	12.4	5.6	8.3	14.9	21.3	28.1	25	26	49
Sesame NS6	2	-1.28	14.3	3.7	9.1	11.7	5.6	7.2	17.7	15.9	32.7	27	29	44
KM3NET C2	2	<i>nd</i>	24.3	3.8	10.2	12.2	6.4	6.9	16.6	19.7	28.0	27	30	44
Ionian	250	19.323	10.4	2.5	9.4	11.1	6.4	5.3	17.2	21.7	28.8	33	32	35
DB2-Athos	200	21.109	2.6	0.5	10.2	10.8	5.9	5.9	20.1	20.4	26.7	33	33	34
W. Cretan	500	26.256	2.9	0.6	15.0	14.6	6.1	6.0	15.3	20.3	22.8	34	34	33
DB8-Cretan	750	35.161	4.6	0.5	12.7	12.6	6.7	6.7	16.1	20.1	25.0	33	34	33
DB8-Cretan	1350	37.823	4.3	0.4	12.3	9.2	6.9	5.6	19.2	19.8	27.0	34	35	31
W. Cretan	1200	46.675	2.3	0.5	10.8	11.3	5.7	5.1	20.7	21.7	24.6	35	35	31
Ionian	4350	48.953	7.7	1.9	12.9	14.2	5.9	9.9	16.4	22.1	18.7	33	33	34
DB2-Athos	1000	59.296	<i>nd</i>	<i>nd</i>	9.5	11.5	5.9	6.3	17.4	24.2	25.2	28	30	42
Ionian	750	61.703	<i>nd</i>	<i>nd</i>	11.1	10.8	9.0	8.2	16.9	20.7	23.2	34	35	31
<i>r</i> (AOU)	-	-	-0.63	-0.56	0.49	0.01	0.50	-0.12	0.32	0.54	-0.76	0.73	0.92	-0.85
<i>p</i>	-	-	0.069	0.092	0.075	0.973	0.069	0.683	0.265	0.046	0.002	0.003	< 0.001	< 0.001

Table 4. Amino acid abundance and mol % distributions in UDOM, sorted by AOU values of waters where seawater was collected. Bold values indicate a significant correlation (r) between AOU and amino acid mole % data as defined by the Pearson correlation coefficient.

Station	Depth (m)	AOU ($\mu\text{mol O}_2 \text{ L}^{-1}$)	DCAA (nmol C L^{-1})	%AA- UDOC	asx	D-asx	glx	D-glx	ser	D-ser	thr/his	gly	arg	ala	D-ala	tyr	D-tyr	val	phe	leu
N. Aegean	2	-8.624	461	1.6	12.7	2.6	9.0	2.0	5.5	1.5	8.2	33.0	2.5	8.8	3.1	2.6	0.4	3.6	0.8	3.8
SW Aegean	10	-5.393	581	1.4	14.0	3.9	8.6	2.7	3.9	2.1	5.6	32.2	3.6	8.0	4.4	2.7	0.4	3.2	1.3	3.4
N. Aegean	200	21.109	216	1.2	12.7	4.6	8.2	2.6	3.6	2.1	5.3	36.4	2.9	8.0	4.4	2.2	0.5	3.1	0.3	3.2
SW Aegean	500	26.256	334	1.1	10.5	5.1	8.8	2.7	4.1	2.9	5.7	36.4	1.8	7.7	4.9	2.4	0.6	2.4	1.3	2.5
SW Aegean	1200	46.675	202	0.9	12.1	6.2	10.4	3.0	2.2	1.7	3.4	36.6	3.5	7.2	5.1	2.1	0.3	2.8	0.7	2.6
Ionian	4350	48.953	356	1.0	13.2	5.1	9.7	2.9	2.3	1.3	3.7	34.7	3.9	8.1	4.4	1.8	0.2	3.6	1.4	3.7
N. Aegean	1000	59.296	188	0.9	14.5	3.2	9.6	1.6	3.0	1.0	5.4	33.6	3.5	9.0	2.3	2.1	0.2	4.4	1.7	5.0
Ionian	750	61.703	270	0.8	12.3	5.8	9.1	3.4	2.2	1.6	3.3	37.1	3.9	8.0	4.4	1.8	0.3	2.8	1.0	2.9
	r (AOU)		-0.73	-0.98	-0.31	0.89	0.55	0.84	-0.90	-0.24	-0.89	0.80	0.47	-0.55	0.57	-0.95	-0.48	-0.33	0.12	-0.49
	p		0.063	<0.001	-	0.007	-	0.02	0.003	-	0.017	0.031	-	-	-	0.001	-	-	-	-

Table 5. Correlations of site-specific physical, chemical, and biological parameters with PC1 and PC2 scores derived from PCA of amino acid or monosaccharide mol %. Parameters are grouped according to those that exhibit trends that generally decrease with depth (I), increase with depth (II), or unrelated to depth (III). Z-scores for individual PC are shown in parentheses. Correlations to amino acid concentrations are also shown. All reported correlations are significant to at least $p < 0.05$.

	DCAA	Variability in AA mole distribution		Variability in monos mole distribution	
		PC1 (48%)	PC2 (31%)	PC1 (36%)	PC2 (25%)
I Temperature	0.88	0.79	-	-	-
TOC	-	0.91	-	-	0.75
UDOC	-	-	-	-	-
DCAA	<i>na</i>	-	-	-	0.96
DCNS	-	-	-	-	0.66
%AA-UDOC	-	0.96	-	-	-
%NS-UDOC	-	-	-	-	-
¹ H hetero-subs	-	0.98	-	-	0.85
Cells mL-1	-	0.93	-	-	-
Pico. activity	-	0.89	-	-	-
Eubacteria	0.74	-	-	-	-
II Salinity	-	-0.80	-	-	-0.57**
σ_t	-	-0.87	-	-	-0.79**
AOU	-	-0.90	-	-	-0.67**
Nitrate + Nitrite	-	-0.83	-	-	-
Phosphate	-	-0.84	-	-	-
DIN:DIP	-0.79	-0.79	-	-	-
Σ -D/L	-	-0.83	-	-	-
¹ H aliphatic	-	-0.91	-	-	-0.85
¹ H alpha-subs	-	-0.97	-	-	-0.83
III %DOC retained*	-0.07	-0.62	0.25	0.44	-0.23

* Correlation was determined to examine if UDOC recovery influenced variability in amino acid or monosaccharide distribution. Non-diafiltered UDOC recoveries are used for comparisons related to amino acid analysis and diafiltered UDOC recoveries are used for comparisons of monosaccharide analysis. For all r reported, $p > 0.1$

** These correlations were significant ($p < 0.05$) but the relationships were driven by surface samples with low salinity and AOU.

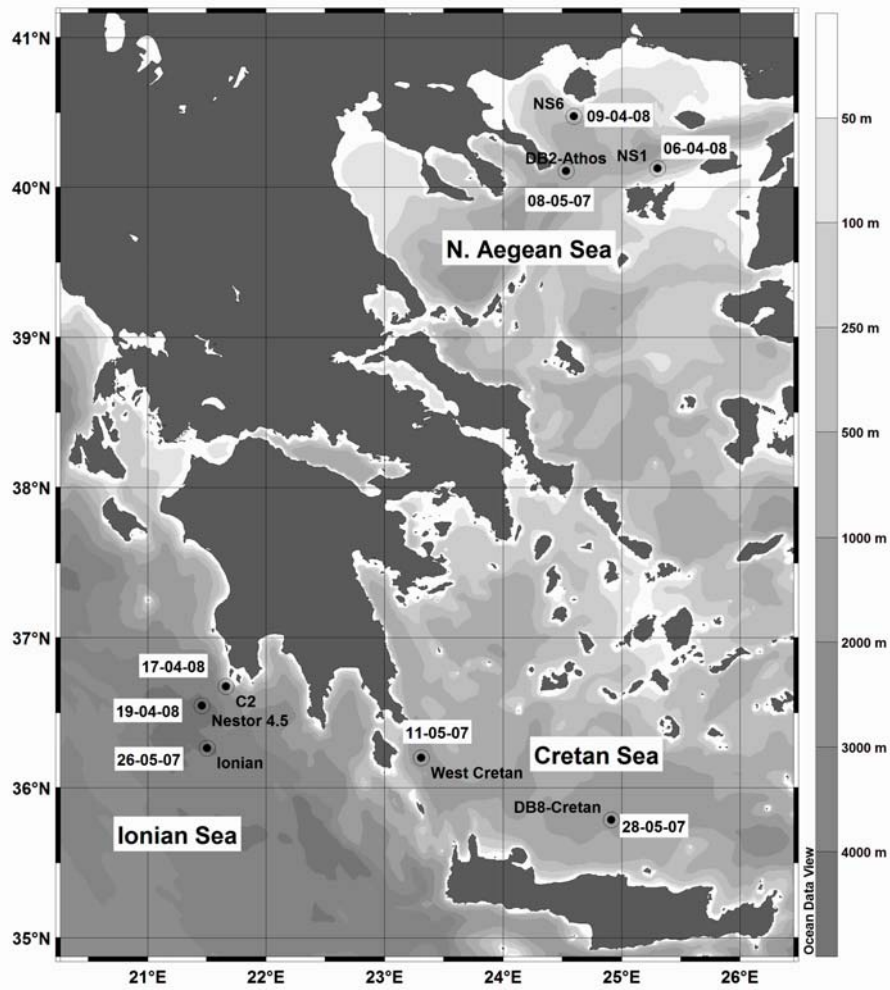


Figure 1.

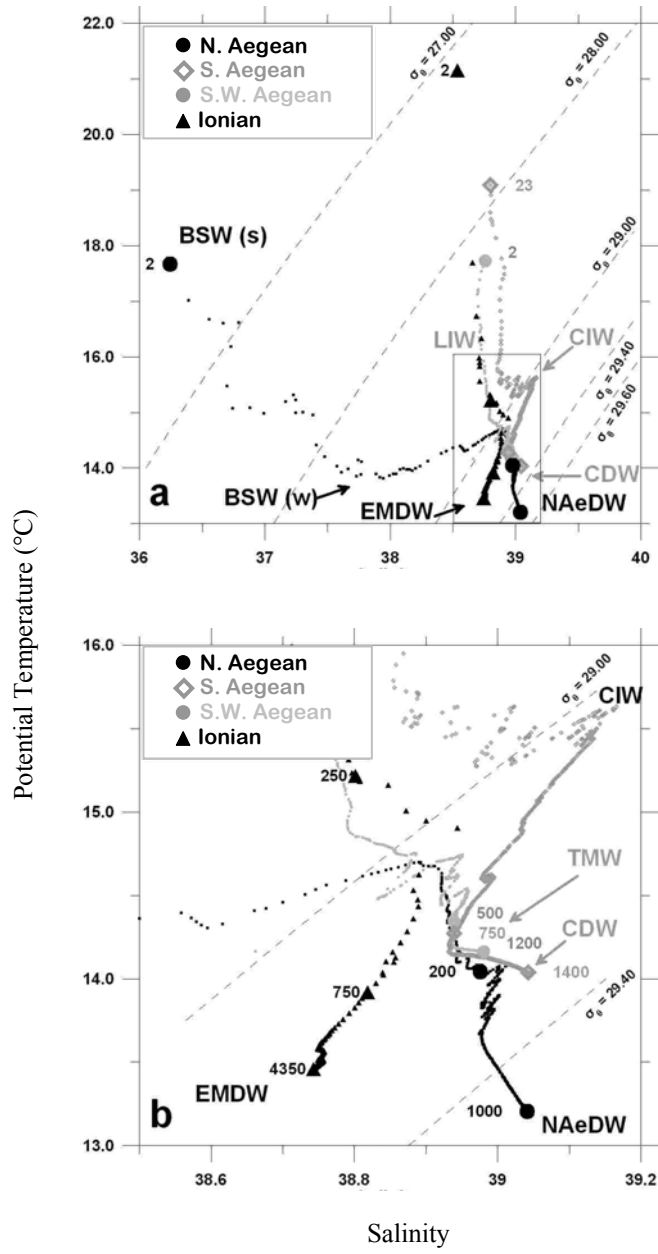


Figure 2.

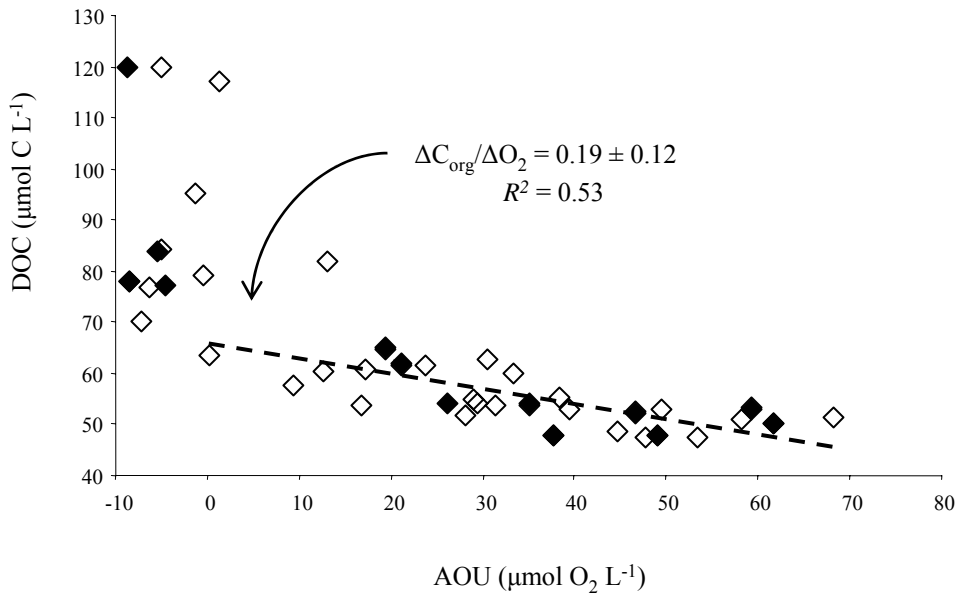


Figure 3.

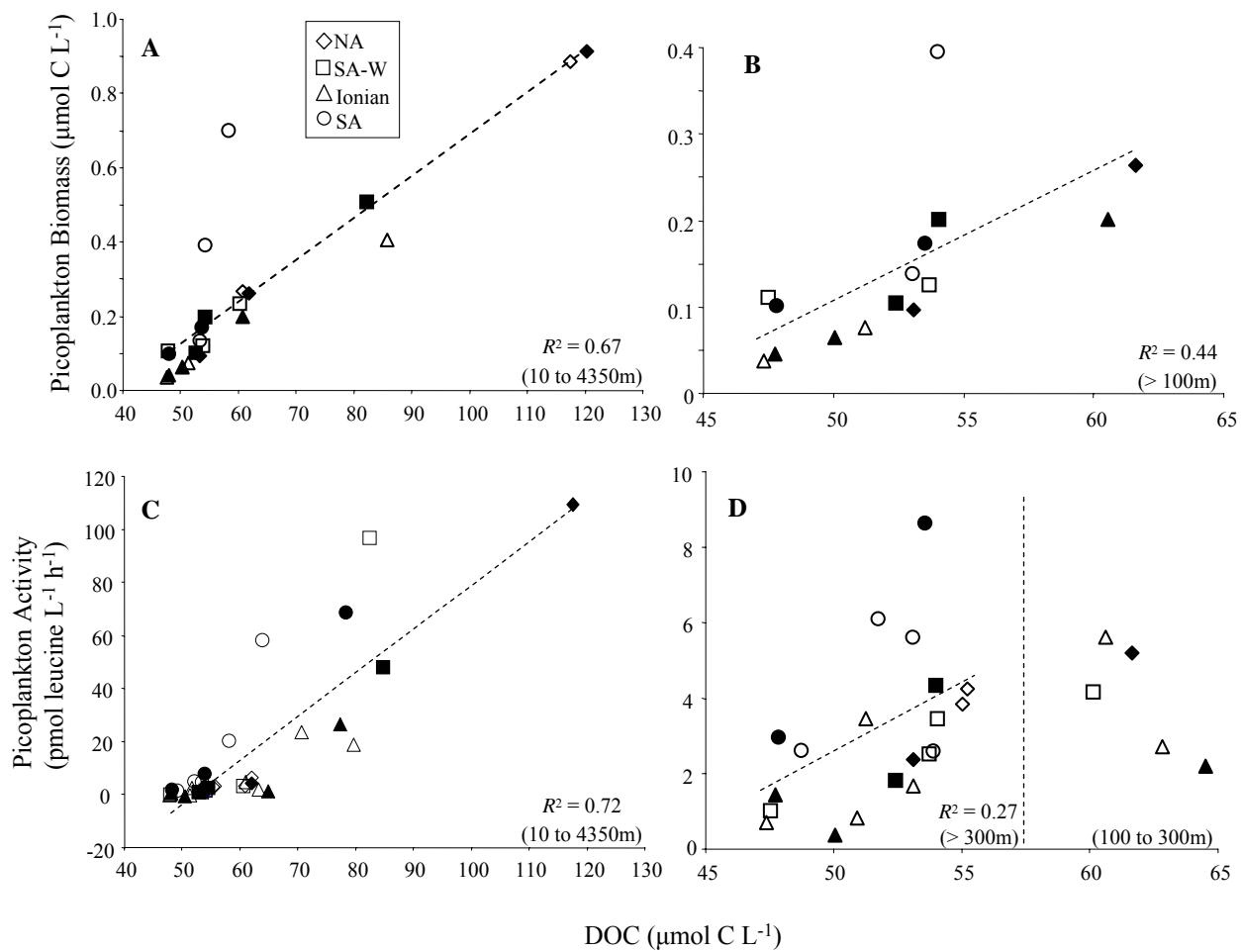


Figure 4.

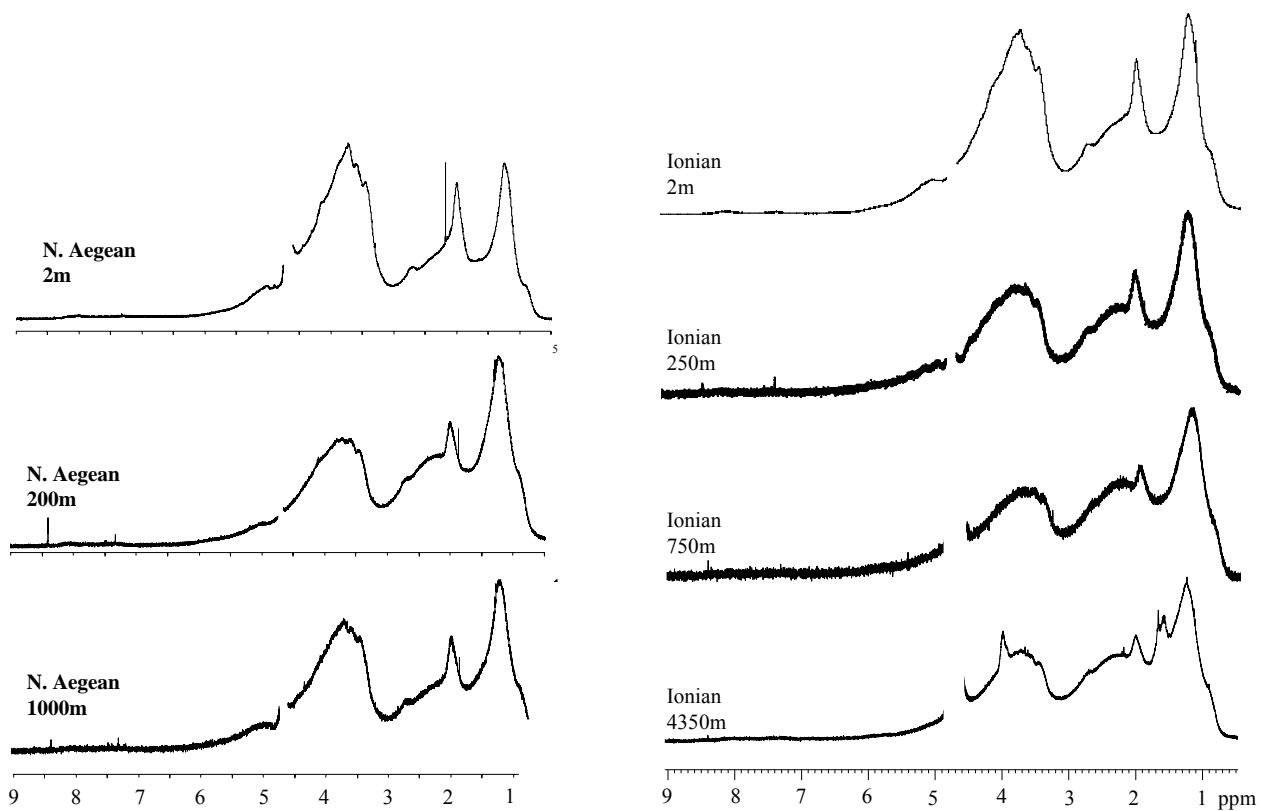


Figure 5.

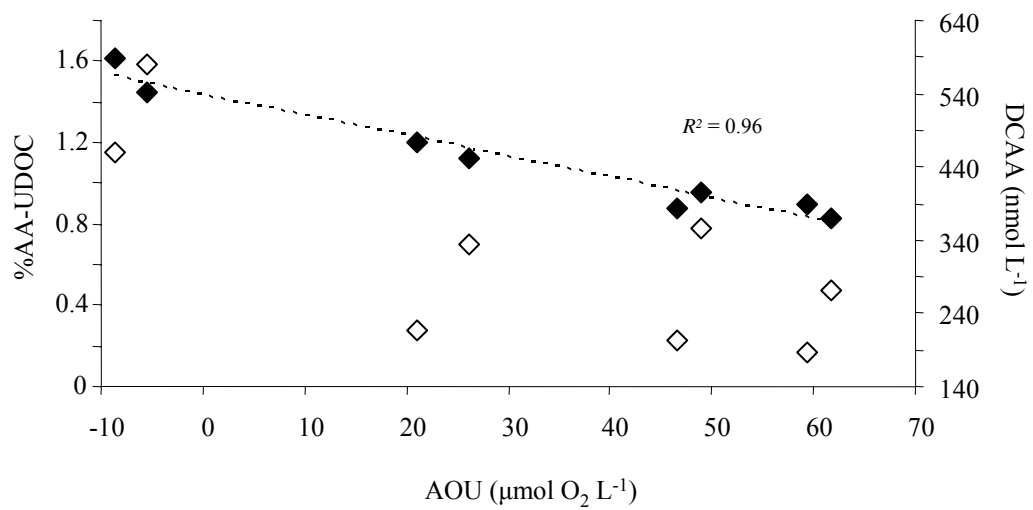


Figure 6.

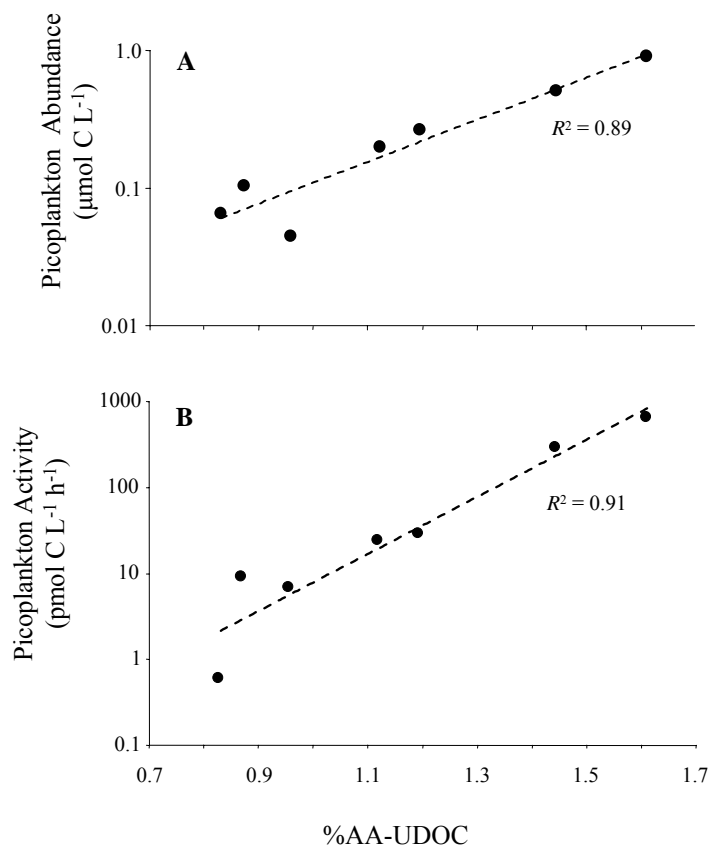


Figure 7.

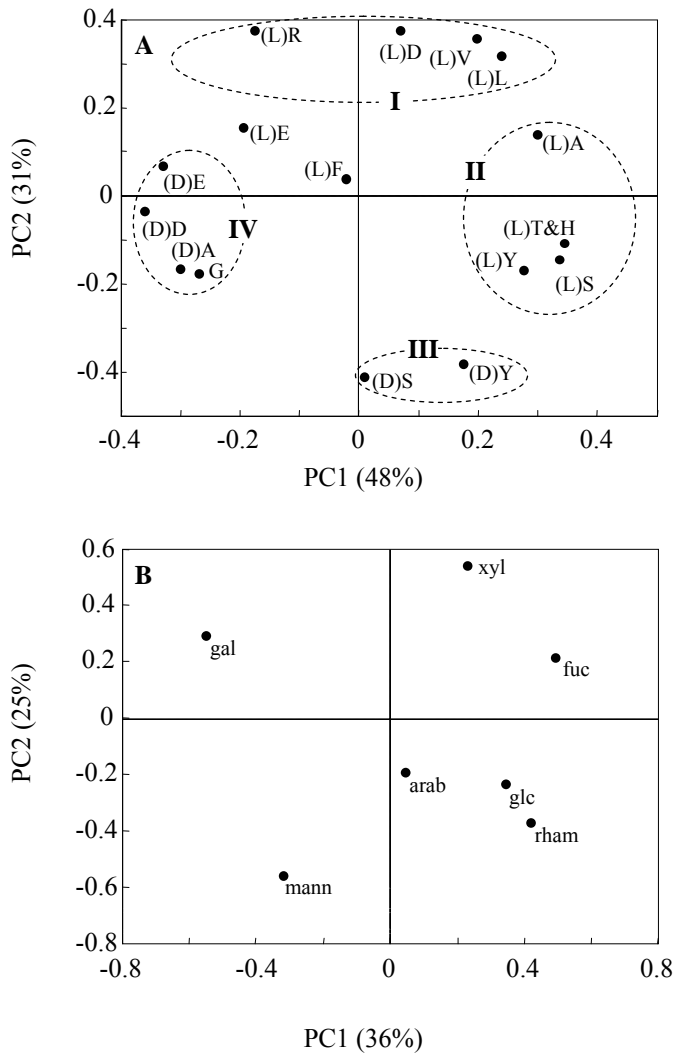


Figure 8

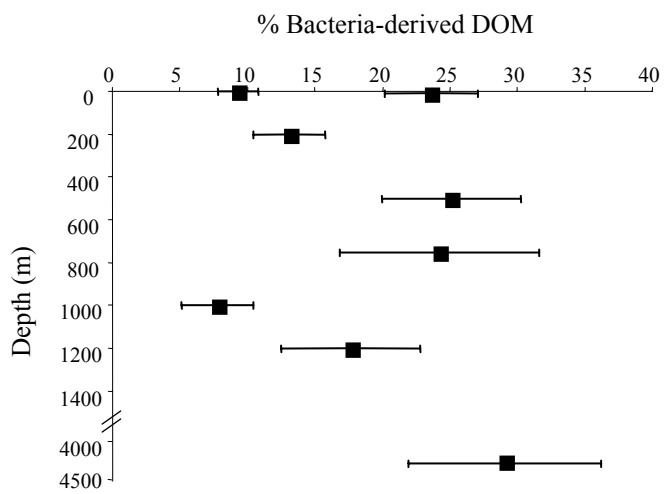


Figure 9.