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2	Reproducible Community Dynamics of the Gastrointestinal Microbiota
3	Following Antibiotic Perturbation
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29 **Abbreviations**

- 30 V6, sixth hypervariable region of the 16S ribosomal RNA-encoding gene; AMB,
- 31 amoxicillin, metronidazole and bismuth; SSU, small subunit; rRNA, ribosomal
- 32 RNA; GAST, global alignment for sequence taxonomy; RDP, ribosomal database
- 33 project; OTU, operational taxonomic unit; GI, gastrointestinal; SPF, specific
- 34 pathogen free

35 **Abstract**

36 Shifts in microbial communities are implicated in the pathogenesis of a number of 37 gastrointestinal diseases, but we have limited understanding of the mechanisms 38 that lead to altered community structures. One difficulty with studying these 39 mechanisms in human subjects is the inherent baseline variability of the 40 microbiota in different individuals that arise due to varying life histories. To try 41 and overcome this baseline variability we employed a mouse model to control 42 host genotype, diet and other possible influences on the microbiota. This allowed 43 us to determine if the indigenous microbiota in such mice had a stable baseline 44 community structure and whether this community exhibited a consistent 45 response following antibiotic administration. We employed a tag sequencing 46 strategy targeting the V6 hypervariable region of the bacterial small-subunit (16S) 47 ribosomal RNA combined with massively parallel sequencing to determine the 48 community structure of the gut microbiota. Inbred mice in a controlled 49 environment harbored a reproducible baseline community that was significantly 50 impacted by antibiotic administration. The ability of the gut microbial community 51 to recover to baseline following cessation of antibiotic administration varied 52 according to the antibiotic regimen administered. Severe antibiotic pressure 53 resulted in reproducible long-lasting alterations in the gut microbial community 54 including a decrease in overall diversity. The finding of stereotypic responses of 55 the indigenous microbiota to ecologic stress implies that a better understanding 56 of the factors that govern community structure could lead to strategies for the

- 57 intentional manipulation of this ecosystem to preserve or restore a healthy
- 58 microbiota.

59 Introduction

60 A highly diverse, complex community of microorganisms inhabits the 61 gastrointestinal tract of mammals. This community, referred to as the indigenous 62 microbiota, exists in a delicate symbiosis with the host (3, 15). A significant body 63 of research has demonstrated that disturbances in this balance can disrupt 64 intestinal homeostasis. Multiple disease states may arise, at least in part, in 65 response to altered indigenous microbial communities in the gut (10, 47, 53, 56). 66 Conversely, research on probiotics indicates the normal balance between the 67 indigenous microbiota and the host can be protected or restored through 68 administration of beneficial microbes (6, 45, 60).

69 The relationship between the indigenous microbiota and a host involves 70 multiple interactions. The indigenous microbiota play a central role in digestion 71 and nutrition of the host (30, 56). These microbes also affect the regulation and 72 homeostasis of the host immune system (27, 46). As part of the innate defenses 73 of the gastrointestinal tract, the community of indigenous microbes forms and 74 ecologic barrier that prevents the ingress of pathogenic microorganisms. For 75 example, the development of *Clostridium difficile*-associated colitis following 76 antibiotic administration arises from a loss of intrinsic "colonization resistance" 77 against pathogenic organisms (61). Antibiotic disturbance of the normal 78 community structure of the microbiota may allow germination of environmentally 79 acquired spores with subsequent overgrowth of the pathogen and toxin 80 production. Alternatively, C. difficile colitis may develop subsequent to the 81 expansion of low-abundance C. difficile populations that normally produce

insignificant levels of toxin. In either case, the disruption of the indigenous
microbiota by antibiotic administration is a key component of pathogenesis (7).

Murine models have provided important insights about the interactions 84 85 between the microbiota and the host. One consistent feature of microbiota 86 studies in human subjects is that there is significant interindividual variation in the 87 indigenous microbiota (12, 13). This variation likely arises from the accumulated 88 effects of genetic and environmental influences on the gut microbial community 89 (11). Significant baseline variation makes it difficult to conduct studies that follow 90 the dynamics of the gut microbiota in humans, especially if the goal is to discern 91 stereotypic responses to a given manipulation. Therefore, as with other areas of 92 biomedical research, murine models offer unique advantages for microbiota 93 experimentation.

94 Several recent studies describe murine models of disease in which altered 95 indigenous gut microbial communities are generated through the administration 96 of antibiotics. These altered communities can either be permissive or required for 97 the development of the model disease state, although in other cases they appear 98 to be protective (5, 9, 24, 26, 52). Although these studies have provided insight 99 into many of the host responses to the indigenous microbiota, we have 100 remarkably little information as to the exact nature of the effect of antibiotic 101 administration on the microbial communities themselves. For example, these 102 studies assume that genetically identical mice would harbor a consistent baseline 103 microbiota. Furthermore, it is also assumed that the microbiota respond in a 104 reproducible manner to the antibiotic administration resulting in consistent

105 changes in the structure and function of the microbiota responsible for the
106 observed changes in the host response. These crucial assumptions have not
107 been rigorously tested to date.

108 Early studies on the gut microbiota relied upon culture-based techniques 109 that characterizes only a small fraction of the microbial diversity present (19). The 110 introduction of molecular techniques e.g. DNA sequencing of PCR amplicons 111 from ribosomal RNA genes, allowed the detection and enumeration of 112 microorganisms that are refractory to cultivation (41, 62). Each sequence serves 113 as a proxy for the occurrence of a microbial genome in a microbial community. 114 Most of the amplicon sequences from the human gut microbiota correspond to 115 Firmicutes or Bacteroidetes (13) and their total complexity exceeds 15,000 116 different operational taxonomic units (OTUs) (42).

117 For most complex microbial communities including the gut microbiota, a 118 small number of phylotypes dominate population structures and mask the 119 appearance of many distinct but low-abundance taxa in most molecular surveys 120 (55). A meaningful comparison of microbial population structures for different 121 complex communities requires analysis of many thousands of PCR amplicon 122 sequences in order to estimate the relative abundance of different phylotypes 123 and to detect the presence of rare taxa. Recent advances in DNA sequencing 124 technology have permitted the development of methods for deep culture-125 independent surveys of microbial diversity at relatively low cost. In this 126 communication we conducted controlled experiments to characterize the 127 changes in the community structure of the murine gastrointestinal microbiota

128 during antibiotic administration and to monitor the response of this community 129 after withdrawal of drug. Using a high-throughput 16S tag sequencing approach 130 targeting the V6 hypervariable region (21, 55), we gained an unprecedented view 131 of the diversity present in the gut microbiota and were able to detail the dynamics 132 of the gut microbial community during periods of ecologic stress brought on by 133 antibiotic administration. We find that antibiotic administration results in 134 reproducible, significant, and in some cases, long lasting, changes in the 135 community structure of the gut microbiota. These changes most likely disturb the 136 balanced interactions between the indigenous microbiota and the host and 137 account for observed changes in gut homeostasis that have been shown to result 138 from antibiotic administration in both clinical and experimental settings.

139 Materials and Methods

Mouse models and housing conditions. C57BL/6 IL-10^{-/-} mice were from a 140 141 breeding colony maintained in specific pathogen free conditions at Michigan 142 State University (MSU), derived from mice originally purchased from Jackson 143 Laboratories (Bar Harbor, ME). C57BL/6J wild-type mice were purchased directly 144 from Jackson Laboratories and housed with autoclaved food, bedding, and 145 water. For the antibiotic therapy experiments selected four- to six-week old mice 146 were either treated with antibiotics supplemented in their food (amoxicillin [3.0 147 mg], metronidazole [0.69 mg] and bismuth [0.185 mg] formulated per 5 gram 148 tablet/day/average [20 g] mouse [BioServ, Frenchtown, NJ]) or in their drinking 149 water (cefoperazone [0.5 mg/mL] [Sigma-Aldrich]). Experiments with amoxicillin, 150 metronidazole and bismuth were carried out at the University Research

151 Containment Facility at MSU and the experiments with cefoperazone were
152 carried out in the Unit for Laboratory Animal Medicine at the University of
153 Michigan. All experimental protocols were approved the animal use and care
154 committees at the respective institutions.

155 Sample collection and DNA extraction. At the conclusion of the experiments, 156 mice were euthanized by CO_2 asphyxiation. The cecum of each mouse was 157 removed and washed in phosphate-buffered saline to remove luminal contents. 158 The cecal tip was then excised, bisected, and snap-frozen in liquid nitrogen prior 159 to storage at -80° C. Genomic DNA was then extracted from cecal tip samples (25-100 mg) with the QIAGEN DNeasy[®] Blood & Tissue Kit using a modified 160 161 protocol. These modifications included: (1) adding a bead beating step using 162 UltraClean[™] Fecal DNA Bead Tubes (MO BIO Laboratories, Inc.) that were 163 shaken using a MiniBeadbeater-8[™] (BioSpec Products, Inc.) at the 164 "homogenize" setting for one minute; (2) increasing the amount of Buffer ATL 165 used in the initial steps of the protocol (from 180 μ L to 360 μ L); (3) increasing the 166 volume of proteinase K used (from 20 μ L to 40 μ L); and (4) decreasing the 167 amount of Buffer AE used to elute the DNA at the end of the protocol (decreased 168 from 200 μ L to 100 μ L). 169 Sequencing and data analysis. The data presented here are based on 39 PCR 170 amplicon libraries sequenced in five 454 runs using the GS-FLX platform (454

171 Life Sciences, Roche Diagnostics Corp). V6 tag sequence amplicon libraries

172 were constructed as previously described (54). Primer sets corresponding to

173 967F and 1046R used in the library preparation and the permuted primer

174 approach for sequencing multiple libraries within a single GS-FLX 454 run 175 without use of a physical partition are described in Huber et al., 2007 (21). 176 Primers were trimmed off and all sequences without an exact match to the 177 forward primer, shorter than 50 nt, or containing ambiguous base calls were 178 removed as low quality reads. Sequences were organized in a relational 179 database, and operational taxonomic units were created by aligning with 180 MUSCLE and clustering with DOTUR as described in Huber et al., 2007 (14, 21, 181 50). Taxonomic assignments were made through direct comparison of tags to a 182 reference database of close to 200,000 distinct V6 sequences and using a 183 consensus of the nearest tags in a global alignment of tags and reference 184 sequences (22). 185 **Quantitative PCR.** Quantitative PCR reactions were used to separately assay 186 the quantity of rRNA operons in the DNA samples relative to a singe-copy host

187 gene (mouse TNF- α). A portion of the 16S rRNA encoding gene from

188 Helicobacter hepaticus 3B1 was cloned and used as a positive control (between

189 positions 331 and 797 based on *Escherichia coli* numbering of the 16S rRNA

190 gene). A 264-bp portion of the gene encoding TNF- α from *Mus musculus* was

191 also cloned and used as a positive control for the host gene target (between

192 positions 6455 and 6718 of the mouse TNF- α encoding gene; GenBank

193 accession number Y00467). Plasmids were purified from each clone and a 10-

194 fold dilution series was used to determine detection limits of the assay as well as

195 provide standard curves for absolute quantification in the qPCR reactions (range

196 of 10^{1} - 10^{7} copies per reaction). Assay volumes were comprised of the

- 197 LightCycler[®] 480 Probes Master reaction mix (Roche) at 1x concentration, and
- 198 appropriate primer-probe sets to increase specificity of the detected signals from
- the sample DNA (100 ng). For detection of the bacterial signal 100 nanomoles of
- 200 each of the forward and reverse primers and the fluorogenic probe were included
- 201 in the reactions. Sequences for the forward primer (5'-
- 202 TCCTACGGGAGGCAGCAGT-3'), the reverse primer (5'-
- 203 GGACTACCAGGGTATCTAATCCTGTT-3'), and the probe (5'-[6-FAM]-
- 204 CGTATTACCGCGGCTGCTGGCAC-[TAMRA]-3') were based on Nadkarni et al.,
- 205 2002 (39). Final assay volumes of 20 μL were dispensed in triplicate in 96-well
- 206 plates. Signals were detected with a LightCycler 480 instrument (Roche). The
- reaction conditions for amplification of DNA were 95 ℃ for 10 minutes and 40
- 208 cycles of 95 °C for 15 seconds and 60 °C for 1 minute. Detection of the host
- signal used 200 nanomoles of the forward (TNFa_mu_se; 5'-
- 210 GGCTTTCCGAATTCACTGGAG-3') and reverse primers (TNFa_mu_as; 5'-
- 211 CCCCGGCCTTCCAAATAAA-3'), and 100 nanomoles of the probe
- 212 (TNFa_mu_probe; 5'-[Cy5]-ATGTCCATTCCTGAGTTCTGCAAAGGGA-[lowa
- Black RQ[™]]-3') adapted from Nitsch et al., 2001 (40). Amplification of the host
- signal began with an incubation at 95 °C for 10 minutes, followed by 45 cycles of
- 215 95 °C for 20 seconds and 64 °C for 30 seconds. Comparison in relative bacterial
- load was performed via the $\Delta\Delta C_T$ method normalizing the 16S signal to the host
- 217 signal (51).

218 **Results**

219 Antibiotic administration alters the structure of the gut microbiota

220 To characterize the impact of antibiotic administration on the composition 221 of the gut microbiota, a combination of amoxicillin, metronidazole and bismuth (AMB) was administered to C57BL/6 IL-10^{-/-} mice via their chow for 10 days 222 (Figure 1). The C57BL/6 IL- $10^{-/-}$ strain was chosen as it is utilized as model of 223 224 inflammatory bowel disease that is responsive to antibiotic therapy (33). The 225 microbial community in one group of mice was assessed immediately following 226 the 10-day treatment, while a second group of mice was switched back to drug 227 free chow for two weeks before microbial community analysis. The microbiota 228 from mice in both groups were compared to a group of control mice that had 229 been fed conventional chow for the duration of the study.

230 We used a massively-parallel pyrosequencing strategy to retrieve 231 sequences of the V6 hypervariable region of the small-subunit (SSU) rRNA gene 232 (55) to determine the composition of the microbial community associated with the 233 cecal mucosal of these was mice. These SSU sequence tags are generated by 234 PCR amplification and function as proxies for the presence of individual 235 phylotypes present in a given community. The use of pyrosequencing permitted 236 characterization of a greater number of phylotypes than previously practical via 237 PCR amplification, cloning and capillary sequencing of SSU genes. GAST 238 (Global Alignment for Sequence Taxonomy) provided taxonomic assignments for 239 each of the tag sequences (22).

240 We collected a total of 1,006,137 sequence tags were generated from 241 representative samples (9 control, 2 treated and 10 treated followed by 242 recovery). The vast majority of the sequence tags recovered from the cecal 243 community of control animals affiliated with the phyla Bacteroidetes and 244 Firmicutes, with only about 1% belonging to Proteobacteria (Figure 2, Table S1). 245 In the antibiotic-treated animals however, the majority of tags (73,010 of 102,822, 246 [71%]) were Proteobacteria. Two specific tags, assigned to the family 247 Enterobacteriaceae accounted for 67,717 (93%) of these Proteobacteria tags 248 (Table S2). In the control animals, these two tags represented only 106 out of a 249 total of 5214 (2%) tags belonging to Proteobacteria. 250 In the animals whose gut microbial community was allowed to recover via 251 a two-week antibiotic-free period, Firmicutes and Bacteroidetes returned to 252 dominance (70% and 22% of the total number of tags, respectively). 253 Proteobacteria decreased to 5.77% of the total, greater than the 1.2% in the 254 animals that never received antibiotics, but much less than the 73% that they 255 comprised at the end of the AMB treatment (Figure 2). The relative increase in 256 Proteobacteria resulted from increases in tags that mapped to several taxonomic 257 groups within the phylum (Table S1). The two Enterobacteriaceae tags that were 258 dominant in the AMB-treated mice were encountered only 201 times out of a total 259 of 26,964 (0.75%) Proteobacteria tags (Table S2). 260 A global comparison of all of the gut microbial communities in each of the

animals was performed by calculating the Bray-Curtis measure of community

similarity (34). This index is based upon presence/absence and relative

263 abundance of each phylotype encountered the mucosa-associated communities. 264 We calculated the average Bray-Curtis similarity for each pair-wise comparison 265 of the control, treated and recovered animals (Table 1). ANOVA of these Bray-266 Curtis values confirmed that the mucosa-associated microbiota from antibiotic 267 treated animals differed significantly from both the control animals and the 268 recovered animals (p < 0.05). The average Bray-Curtis similarity comparing 269 communities from antibiotic-treated animals to communities from the other two 270 experimental groups was significantly lower.

271

Variability in the murine gut microbiota

272 Despite the significant differences in the gut microbiota between control 273 animals and animals that received the triple antibiotic cocktail, there was still 274 inter-animal variation noted within each experimental group. The animals in this 275 experiment were selected from a breeding colony maintained at Michigan State 276 University over a time period of approximately 5 months. The animals therefore 277 came from several different litters born to separate mothers.

278 To determine the degree of similarity amongst animals that shared as 279 many variables as possible, we sequenced and compared 48,594 V6 sequence 280 tags from the mucosa-associated microbiota located in the cecae of three age-281 matched, wild-type C57BL/6 mice purchased from a commercial vendor. Figure 3 282 depicts the results of taxonomic assignments and Bray-Curtis measures of 283 community similarity based upon presence/absence and relative phylotype 284 abundance from the mucosa-associated community of each animal. As observed 285 previously, Firmicutes and Bacteroidetes dominated the microbial communities in

286 the cecae of each of the three animals. All three communities displayed similar 287 phylotype distributions at all taxonomic levels with Bray-Curtis similarities > 0.9 288 for all pairwise community comparisons. We recovered approximately 16,000 289 tags from each community (Figure 3). Using an operational taxonomic unit (OTU) 290 assignment of 97% sequence similarity, this yielded ~1000 OTUs in each 291 community. The non-parametric Chao1 estimator (8), suggests that for this 292 sampling effort, there are ~1200 unique 97% OTUs in each mucosa-associated 293 gut community.

294 Antibiotic administration can result in a prolonged decrease in the

295 diversity of the gut microbiota

296 In spite of the dramatic shifts in the composition of the gut microbiota 297 following administration of the amoxicillin, metronidazole and bismuth cocktail, 298 the community structure returned largely to the baseline state two weeks after 299 discontinuation of the drugs. In an additional pilot experiment, the broad-300 spectrum cephalosporin antibiotic cefoperazone appeared to have a similar dramatic effect on the microbiota of C57BL/6 IL-10^{-/-} mice, but in this case there 301 302 were significant long-term effects on the community structure, including lower 303 overall diversity, after antibiotic recovery (data not shown).

To extend this initial observation, we undertook an additional antibiotic administration trial employing cefoperazone in twenty female wild type C57BL/6 mice (Figure 1B). Five mice were maintained in a single cage on standard mouse chow and sterile water (control group). The remaining 15 mice were switched to water supplemented with cefoperazone (0.5 mg/mL) and after 10 days, these

309 antibiotic-treated mice were divided into three subsequent treatments. Three 310 mice were immediately euthanized to observe the effects of cefoperazone on the 311 aut microbiota. Six mice, housed three animals per cage, were returned to sterile 312 water for six weeks (isolated recovery) while another group of six mice (again 313 divided into two cages) were housed with a control mouse added to each cage 314 during the antibiotic-free period (donor recovery). The addition of the control 315 mouse allowed reinoculation of the gut microbiota via natural coprophagic 316 activity.

317 We recovered a total of 308,505 tag sequences were recovered from the 318 seventeen samples representing the animals in the three experimental groups 319 (Table S3). On average ~18,000 high quality sequence tags (23) were recovered 320 per sample. Amplification of sequence tags was not possible for the three 321 cefoperazone-treated mice that were euthanized at the end of antibiotic 322 treatment (without a drug-free recovery period). Real-time PCR targeting the 16S 323 SSU gene was used to determine to what effect the antibiotics had on overall 324 bacterial load (judged by the relative ratio of the 16S SSU signal to a genomic 325 murine target gene), and whether this could explain the inability to amplify 326 sequence tags from these animals. The bacterial load in animals treated with 327 antibiotics decreased by three orders of magnitude (average fold change 4300) 328 compared to control animals. Both groups of animals that were allowed to 329 recover from antibiotic administration for 6 weeks (isolated recovery and donor 330 recovery) had levels of bacteria that were comparable to the control animals

331 (average fold change 0.91 for donor recovery animals and 1.20 for isolated332 recovery animals).

Pairwise Bray-Curtis similarities are displayed in heatmap format (Figure 4) to allow visualization of all of the pair-wise comparisons. The bacterial community in cefoperazone-treated animals six weeks after discontinuation of the drug was distinct from that in control animals. However, the microbial community in the animals that recovered from antibiotic-administration in the presence of an untreated donor animal returned to a state that was very similar to that seen in the control animals.

When we examined the composition of the phylotypes at the level of phylum, the primary distinction was a reduction of Bacteroidetes diversity in the animals that recovered without additional input of microbes. While phylotypes assigned to Bacteroidetes comprised about 15% of the total community in the control animals and in the animals that recovered in the presence of a donor animals, they were only 0.33% of the total community in the animals that recovered in the absence of a donor.

At finer levels of taxonomic distinction, there were additional differences noted between the animals that did not have a donor animal present during the recovery phase compared to either the control animals or those that recovered with a donor (Figure 5 Table S3). At the genus level, the composition of the microbial community from controls and recovery with donor animals was quite different from the composition of the community from animals that recovered

353 without a donor. These data also suggest that the gut community in animals that 354 recovered without a donor was composed of a decreased number of phylotypes.

355 Rarefaction curves demonstrated there were fewer phylotypes present in 356 the microbial community from animals that recovered without a donor.

357 Rarefaction analysis involves resampling of community survey data to generate

358 idealized collector's curves, providing an indication of overall phylotype richness

359 (17, 34). In addition, rarefaction can provide an estimate of the depth to which a

360 complex community has been sampled. Rarefaction curves from the control

animals and the animals that recovered in the presence of a donor overlapped,

362 confirming that the overall diversity (phylotype richness) was similar between the

two (Figure 6). Conversely, rarefaction analysis of the communities from the

animals that recovered without a donor indicated that the diversity of these

365 communities was lower than for the other two experimental groups.

366 **Discussion**

The myriad interactions between the indigenous gastrointestinal microbiota and their mammalian host have been a focus of considerable recent scientific investigation. Studies on human subjects have the advantage of directly examining the natural community responsible for specific diseases. However, due to technical and ethical constraints of examining the human microbiota, a great deal of effort has been applied to studying model systems, in particular murine models.

374 Two main lines of research have provided insights about host/microbiota
375 interactions in murine models. Studies in germ free and gnotobiotic mice have

376 demonstrated that gut bacteria can transmit signals that influence host responses 377 (20, 44). However, these are highly simplified systems where community 378 complexity is orders of magnitude lower than naturally occurring murine 379 microbiota. An alternative approach has been to study ecologic stressors shape 380 complex communities in murine model systems. In many cases antibiotics are 381 employed to alter the indigenous microbiota thus disturbing the normal, baseline 382 host/microbe interactions. Such an approach has demonstrated a role for the 383 microbiota in genetic models of murine inflammatory bowel disease (26, 33) and 384 in the modulation of glucose tolerance in mouse models of insulin resistance 385 (37). Antibiotic treatment studies have shown that antibiotic resistant bacterial 386 pathogens can exploit innate immune deficits triggered by antibiotic 387 administration (5). Antibiotic regimens have been used to demonstrate a role for 388 the indigenous microbiota in shaping physiologic responses of the gut mucosa 389 including mediating protective responses to direct epithelial injury (43) and 390 directing the differentiation of IL-17-producing T-helper cells in the mucosa of the 391 small intestine (24). Antibiotic treated mice demonstrate altered susceptibility to 392 experimental infection with pathogenic bacteria. Streptomycin treatment of mice 393 increases susceptibility to oral infection with Salmonella enterica serovar 394 Typhimurium (52). A recently described murine model of *Clostridium difficile*-395 associated colitis employed pretreatment with a mixture of five antibiotics 396 followed by a single dose of clindamycin a day prior to oral challenge with C. 397 difficile (9).

398 The focus of these studies has generally been on the host response to the 399 alteration in the indigenous microbiota. In most cases the nature of the antibiotic-400 induced changes on the microbiota were not investigated. Some studies 401 measured changes in total aerobic and anaerobic colts available bacteria 402 following antibiotic administration and in a few cases limited culture-independent 403 investigation of the microbiota was performed. An implicit assumption for these 404 studies is that genetically identical mice harbor a consistent baseline microbiota. 405 A further assumption is that the use of antibiotics would result in reproducible 406 changes in the microbiota that were responsible for the altered host responses 407 observed. This critical assumption has never been formally addressed in detail 408 until the current study.

409 It has been proposed that an adult mammal harbors a stable, "climax 410 community" in each anatomic area of the GI tract (48). Although there can be 411 individual variation in the composition of shallow phylogenetic lineages within the 412 gut microbiota, there are relatively few deep lineages, with Firmicutes and 413 Bacteroidetes generally dominant in most surveys (11, 29). These observations 414 most likely reflect the influence of a variety of ecologic and evolutionary 415 constraints on the gut microbial community (28, 29). Our results, demonstrating 416 marked similarity between the gut microbiota from individual animals, albeit 417 among individuals with identical genetic background maintained in a tightly 418 controlled environment, provide strong evidence that the gut microbial community 419 represents a stable ecosystem. This high degree of similarity also provides

evidence for the existence of community "assembly rules" that govern theestablishment and stability of these microbial consortia.

422 Perhaps the more critical assumption in experiments that involve antibiotic 423 manipulation of the indigenous gut microbiota is that drug treatment results in 424 reproducible alterations of the microbial community structure. The relative 425 stability of the indigenous microbiota has been debated. From an ecological 426 standpoint, the term stability (also commonly referred to as robustness) 427 encompasses a number of components (2, 31). One aspect is temporal stability, 428 which is the constancy of community structure over time. In addition, the term 429 resistance refers to the ability of a community to maintain a given structure in the 430 setting of a perturbation, while resilience is the ability of a community to return to 431 its baseline structure following a perturbation in community structure. In this 432 regard, if a community exhibits temporal stability, this implies the presence of 433 resistance and resilience in the community structure as one assumes that most 434 communities will experience ecologic stress at some point in time.

435 A number of studies have indicated that an individual's gut microbiota can 436 have a relatively stable community composition over a period of months to years 437 (36, 49, 58, 63). These observations have led to the conclusion that the 438 community of microbes in the gut is relatively resistant to perturbation by various 439 ecologic stressors. Subsequent environmental influences including diet, host 440 genetics, medication use, and exposure to infectious agents can all have an 441 influence on the resultant microbial community (11). It has been reported that 442 short-term administration of antibiotics (a 7 day course of clindamycin) could

result in long-term changes in the structure of the fecal microbiota of humans (12,
25, 32). In all of these human studies, there was considerable individual baseline
variation in the microbiota, which made it difficult to make inter-individual
comparisons in the microbiota responses.

447 Although human studies such as these are important, one advantage of 448 conducting murine experiments as described herein is that the ability to conduct 449 true controlled, replicate experiments. Our replicate experiments allowed us to 450 detect consistent shifts in the gut microbial community in the response to 451 antibiotic administration. The reproducibility of these changes indicates that even 452 if the influences on microbial community structure are complex and numerous, 453 the community will exhibit stereotypic responses, if ecologic stressors are 454 consistently applied. We observed reproducible shifts in the community structure 455 of the gut microbiota following antibiotics including significant alterations in both 456 the richness and distribution of 16S V6 phylotypes. The power of a deep survey 457 of diversity allowed us to demonstrate that certain low abundance phylotypes 458 present at baseline could become dominant in response to the shift in 459 environmental conditions brought about by antibiotic administration. In control 460 animals, 16S V6 tag sequences corresponding to members of the family 461 Enterobacteriaceae comprised only a small fraction of the populations (1%). 462 During administration of amoxicillin, metronidazole and bismuth (AMB), this 463 group of organisms became the most dominant phylotype, indicating that this 464 antibiotic regimen created an environment that somehow favored this taxonomic 465 group of organisms. Simple resistance to the antibiotics cannot entirely explain

this observation as other phylotypes were unchanged in relative abundance
following AMB administration, but did not undergo the remarkable relative
expansion during drug treatment exhibited by the Enterobacteriaceae.

469 In this case, the gut microbial community exhibited resilience as the 470 community structure shifted back towards the baseline state following cessation 471 of the AMB treatment. However, the ability of this community to recover following 472 antibiotic disturbance was not absolute. The administration of cefoperazone also 473 caused dramatic shifts in community structure, but in this case, diversity did not 474 recover even six weeks after the discontinuation of the drug. Rarefaction analysis 475 revealed a persistent, significant decrease in overall species richness in the gut 476 community following cefoperazone administration. However, the addition of an 477 untreated mouse to cages of cefoperazone-treated animals during the recovery 478 phase allowed complete restoration of diversity, presumably through natural 479 coprophagic activity. This observation indicates that cefoperazone administration 480 did not change host physiology, as exposure to a baseline microbiota resulted in 481 normalization of the community structure. Additionally, we infer that the baseline 482 community structure is "preferred" since all four animals in the cage possessed a 483 community that we not distinguish from that in untreated control animals. Since 484 the donor animal were exposed to the altered community present in the 485 cefoperazone-treated animals, it is possible that the resultant communities would 486 possess the antibiotic-altered community structure or an intermediate structure. 487 The reasons for the observed differences in community resilience are not 488 entirely clear. The ecologic disturbance mediated by cefoperazone appears to

489 have overcome the community resilience, potentially due to different spectra of 490 anti-microbial activity. Regardless of the underlying reasons for the differences 491 in observed community resilience, from an experimental standpoint it is important 492 to understand that manipulation of the indigenous gut microbiota by various 493 antibiotic regimens may result in altered community structures that persist even 494 after the antibiotic is discontinued. Whether or not the gut community returns to 495 the baseline state after perturbation can influence the conclusions that can be 496 drawn from a particular experiment.

497 The implications of long-lasting changes in community diversity following 498 antibiotic administration are several-fold. Even though the microbial composition 499 of the animals that recovered from cefoperazone treatment remained altered 500 compared to baseline, overall bacterial biomass returned to the level observed in 501 control mice. It has been postulated that functional redundancy in complex 502 microbial communities can allow an altered community to perform equivalent 503 ecosystem functions as the original community (2). Studies are ongoing to 504 determine if the specific alterations in the gut microbiota result in any significant 505 changes in gut ecosystem functioning.

506 One function of the gut microbiota that has captured our attention is that of 507 "colonization resistance", the ability of the indigenous microbiota to prevent 508 ingress of pathogens into the gut community (16, 18, 59). Antibiotic associated 509 colitis resulting from *Clostridium difficile* infection may result from a loss of the 510 intrinsic colonization resistance of the gut microbiota (4, 38). Theoretically, the 511 administration of antibiotics could disturb the indigenous microbiota allowing *C*.

512 difficile spores encountered in the environment to germinate and successfully 513 colonize the gut (4, 61). Although C. difficile infection responds to the 514 administration of specific anti-microbial therapy, including metronidazole or 515 vancomycin, recurrence following the end of *C. difficile* therapy has become an 516 increasing problem (35). In a previous study, we have provided evidence that 517 recurrent C. difficile infection is associated with a decrease in fecal microbial 518 diversity (7). This observation is in line with the fact that the administration of 519 stool from healthy individuals to patients with recurrent C. difficile can break the 520 cycle of recurrence (1, 57). The data presented here indicate that antibiotic 521 therapy of sufficient magnitude can result in an altered microbial community. It 522 remains to be determined if this can be directly correlated with a loss of 523 colonization resistance, but our findings provide evidence that antibiotic 524 administration can result in long term decreases in gut microbial diversity, which 525 in turn is associated with recurrent *C. difficile* disease. 526 As we learn more about the intricate relationship between the gut 527 microbiota and their host we may find that unintended disturbance of this 528 microbial community will have significant deleterious health effects. A more 529 complete understanding of the ecologic forces that determine the formation and

- 530 maintenance of microbial community structures could lead to novel ways to
- 531 prevent or treat diseases that result from disruptions of the gut microbiota.

532 **References**

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734 Figure legends

735

Figure 1. Schemata for antibiotic administration. A. Fifteen C57BL/6 IL-10^{-/-} 736 737 mice received the combination of amoxicillin, metronidazole and bismuth in their 738 chow for 10 days while 10 animals remained on control chow. Mice were either 739 euthanized immediately after antibiotic administration or after a 2-week period of 740 recovery on non-medicated chow. Control animals remained on non-medicated 741 chow for the entire experiment. B. Five animals remained on sterile water while 742 15 mice were treated with 0.5 mg/ml of cefoperazone in sterile drinking water for 743 10 days. The antibiotic-treated animals were subsequently divided into three 744 groups. One group of 3 animals was immediately sacrificed. One group of 6 745 animals (divided into two cages) was returned to sterile water without antibiotics 746 for a 6-week recovery period. A final group of 6 animals (also divided into two 747 cages) was returned to water without antibiotics, and a non-treated control 748 mouse was added to the cage for the 6-week recovery period. The cecae of all 749 animals were harvested for microbial community analysis.

750

Figure 2. Comparison of the microbial community composition in the cecae

of antibiotic treated mice. Over one million V6 sequence tags were retrieved
and classified from cecal DNA purified from untreated mice (Control), animals
that received amoxicillin, metronidazole and bismuth (AMB) in chow for 10 days
(Antibiotic Treated) or AMB-treated mice that were allowed to recover on plain
chow for two weeks (Recovery). The sequence tags were classified to the level

of bacterial division (phylum). The pie charts show the distribution of the pooled

tags for each experimental group with the mean \pm S.D. distribution of tags

recovered from each individual animal is indicated.

760

761 Figure 3. Genus level diversity of the gut communities in the cecae of

762 control animals. Approximately 16,000 V6 SSU hypervariable region tags were

763 retrieved from the cecal mucosa-associated microbiota from each of three wild-

type C57BL/6 mice. Pie charts show the distribution for the most prevalent

taxonomically assigned tags while the percentages for the 12 most common

assignments is indicated below. Bray-Curtis similarities were calculated for each

767 pairwise comparison. The nonparametric Chao1 diversity estimator was

768 calculated for each community based on 97% sequence similarity.

769

770 Figure 4. Comparison of microbial communities in cefoperazone-treated

771 animals. Over 300,000 V6 sequence tags were retrieved from the cecae of 772 cefoperazone treated mice that recovered from drug treatment in the presence or 773 absence of an untreated "donor" animal. The tags were assigned a taxonomy at 774 the level of genus and the pair-wise Bray-Curtis distance calculated for all 775 possible comparisons. The Bray-Curtis values are presented in a heatmap 776 fashion as a color-coded distance matrix with the most similar (Bray-Curtis 777 similarity of 1.0) represented by blue and the most dissimilar (Bray-Curtis 778 similarity of 0.0) represented in red. The housing of the animals is indicated and

the animals that served as "donor" in each cage are marked with a star.

781	Figure 5 Genus level diversity of the gut communities from cefoperazone-
782	treated animals. The taxonomic assignments of V6 tags from untreated animals
783	(control), animals that recovered without an untreated animal (isolated recovery)
784	and animals that recovered in the presence of an untreated animal (donor
785	recovery) are shown. The pie charts show the most abundant genus level
786	assigned tags for the pooled animals in each experimental group. The average (\pm
787	S.D.) distribution of tags recovered from each individual animal is indicated
788	below. ND = not detected.
789	
790	Figure 6 Rarefaction analysis of microbial communities from cefoperazone
791	treated animals. The number of assigned phylotypes as a function of the
792	number of tags retrieved. The V6 tags from untreated animals (control), animals
793	that recovered without an untreated animal (isolated recovery) and animals that
794	recovered in the presence of an untreated animal (donor recovery) were used to
795	construct rarefaction curves with an OTU definition of >97% sequence similarity.

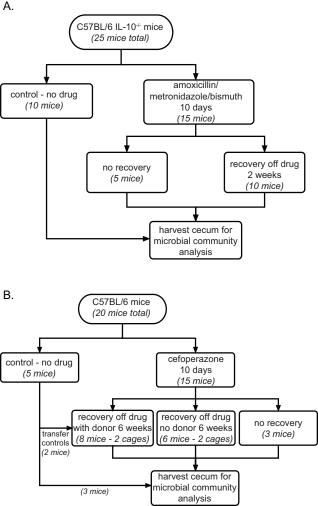
Table 1 Bray-Curtis similarities comparing communities from AMB-treated mice

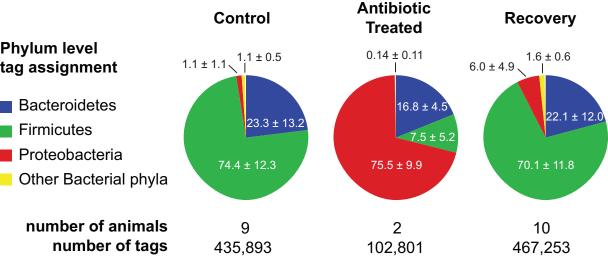
	Control	Recover	Treated
Control (n=9)	0.765 ± 0.014^{a1}		
Recover (n=11)	0.756 ± 0.008^{a}	0.749 ± 0.011 ^a	
Treated (n=2)	0.212 ± 0.019^{b}	0.205 ± 0.018^{b}	0.845 ± 0.083^{a}

800 ¹ average \pm S.D.

801 ^{a,b} values not connected by the same letter are significantly different. Groups

802 were compared by ANOVA with significance set at p < 0.05 by Tukey Kramer.





Similarity 0.9126 Similarity 0.9077 Mouse #1 Mouse #2 Mouse #3 Image: Comparison of the second s
Mouse #1 Mouse #2 Mouse #3 Image: Mouse #3 Image: Mouse #3 Image: Mouse #3 Image: Mouse #3 Image: Mouse #3 Image: Mouse #3 Image: Mouse #3 Image: Mouse #3 Image: Mouse #3 Image: Mouse #3 Image: Mouse #3 Image: Mouse #3 Image: Mouse #3 Image: Mouse #3 Image: Mouse #3 Image: Mouse #3 Image: Mouse #3 Image: Mouse #3 Image: Mouse #3 Image: Mouse #3 Image: Mouse #3 Image: Mouse #3 Image: Mouse #3 Image: Mouse #3 Image: Mouse #3 Image: Mouse #3 Image: Mouse #3 Image: Mouse #3 Image: Mouse #3 Image: Mouse #3 Image: Mouse #3 Image: Mouse #3 Image: Mouse #3 Image: Mouse #3 Image: Mouse #3 Image: Mouse #3 Image: Mouse #3 Image: Mouse #3 Image: Mouse #3 Image: Mouse #3 Image: Mouse #3 Image: Mouse #3 Image: Mouse #3 Image: Mouse #3 Image: Mouse #3 Image: Mouse #3 Image: Mouse #3 Image: Mouse #3 Image: Mouse #3 Image
Total tags 16490 16172 15932
Chao estimate 1183 1095 1399
Chao 95% c.i. 1128-1257 1049-1158 1314-1510
Genus level tag assignment Percentage of total community
Firmicutes Lachnospiraceae NA 43.8 47.9 43.3
Bacteroidetes Porphyromonadaceae NA 11.4 15.2 14.9
Proteobacteria Pseudomonadaceae Pseudomonas 10.8 6.3 8.9
Firmicutes NA 9.5 8.7 6.6
Firmicutes Ruminococcaceae NA 7.6 5.5 7.0
Firmicutes Ruminococcaceae Paillibacter4.54.84.9
Bacteria NA 2.8 3.3 3.7
Firmicutes ErysipelotichaceaeTuricibacter 1.9 0.9 0.5
Firmicutes Clostridiaceae NA 0.8 0.8 0.4
Firmicutes NA Bryantella 0.8 0.8 0.8

0.8

0.6

0.3

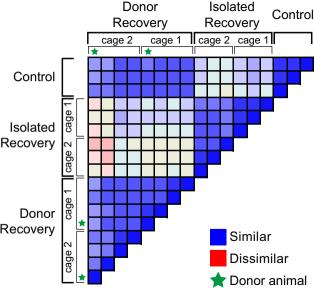
0.5

1.1

0.5

Firmictues Rumunococcaceae Ruminococcus

Firmicutes Eubacteriaceae Anaerovorax



	control	isolated recovery	donor recovery		
number of animals	3	6	8		
average intragroup similarity	0.91 ± 0.02	0.84 ± 0.06	0.85 ± 0.06		
Genus level tag assignment	A	Average percentage (±S.D.)			
Bacteroidetes Lachnospiraceae NA	47 ± 2.4	73 ± 3.8	46 ± 7.8		
Bacteroidetes Porphyromonadaceae NA	15 ± 2.3	0.1 ± .24	18 ± 4.1		
Proteobacteria Pseudomonadaceae Pseudom	onas 8.9±2.8	5.5 ± 3.1	6.1 ± 3.3		
Firmicutes Ruminococcaceae NA	8.1 ± 0.8	1.4 ± 1.3	11 ± 2.5		
Firmicutes NA NA	7.9 ± 1.8	6.2 ± 5.9	4.9 ± 0.9		
Firmicutes Ruminococcaceae Papillibacter	5.0 ± 0.3	4.1 ± 3.8	6.0 ± 1.0		
Bacteria NA NA	3.5 ± .52	0 (ND)	1.2 ± 0.62		
Firmicutes Erysipleotrichaceae Turicibacter	1.2 ± .72	0.5 ± 0.6	1.3 ± 0.3		
Firmicutes Ruminococcaceae Ruminococcus	0.91 ± 0.27	0 (ND)	0.8 ± 0.34		
Firmicutes NA Bryantella	0.83 ± 0.045	0.8 ± 1.2	0.6 ± 0.17		
Firmicutes Eubacteriaceae Anaerovorax	0.54 ± 0.51	0 (ND)	0.39 ± 0.09		
Firmicutes Burkholderiaceae Ralstonia	0.4 ± 0.14	0.23 ± 0.2	0.33 ± 0.24		
Firmicutes Clostridiaceae Clostridium	0.3 ± 0.18	8.6 ± 5.4	0.45 ± 0.28		
Firmicutes Erysiplotrichaceae Allobaculum	0.07 ± 0.02	0.023 ± 0.056	3.1 ± 2.0		
Firmicutes Clostridia NA	0.53 ± 0.30	0 (ND)	0.35 ± 0.10		

