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# **Yttria-Stabilized Zirconia Assisted Green Electrochemical Preparation of Silicon from Solid Silica in Calcium Chloride Melt**

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### ABSTRACT

A novel integrated cell with  $O^{2-}|YSZ|Pt|O_2(\text{air})$  reference and counter electrodes was constructed using a short yttria-stabilized zirconia solid electrolyte (YSZ) tube. Combining with cyclic voltammetry and potentiostatic electrolysis methods, green electrochemical preparation of Si from solid  $SiO_2$  in  $CaCl_2$  melt at 1173 K was studied via an experimental apparatus containing the novel integrated cell under complete carbon-free condition; the effect of electrolysis time on the morphology of the Si product was also investigated by scanning electron microscopy with energy dispersive X-ray spectroscopy (SEM-EDS). The results show that the morphology of the product obtained from potentiostatic electrolysis at a low overpotential (-1.6 V) undergoes an evolution from  $SiO_2$  raw powder with different sizes to aggregates of the spherical particles and the small particles with partial reduction, and then to Si nuclei, and finally to Si wires or flakes. The morphology of electrolytic products has little relation with that of  $SiO_2$  raw powder and may be controlled by applying different potentials. Furthermore, the longer the electrolysis time, the more the Si wires grow, and the higher the Si purity on the whole. It is feasible that the experimental apparatus without the sealed stainless steel reactor and any carbonaceous materials is used to prepare Si from solid  $SiO_2$  in  $CaCl_2$  melt, and release  $O_2$  gas at the same time.

**Keywords:** Silica; Silicon; Calcium chloride; Green electrolysis; Zirconia solid electrolyte

## 71 I. INTRODUCTION

72 Silicon is the most basic functional material in the information, the new energy and the new  
73 materials industry. Two decades ago the introduction of FFC-Cambridge process has generated a  
74 continued interest in electrochemical preparation of Si from solid SiO<sub>2</sub> in CaCl<sub>2</sub> melt.<sup>[1-5]</sup> The  
75 researches focus not only on improving the purity of Si product, but also on the preparation of Si  
76 product with controllable morphology, structure, etc.<sup>[5-13]</sup> It is well known that Si is active at high  
77 temperatures, a sealable heat resistant stainless steel reactor had to be used to prevent the  
78 oxidation of Si in previous works,<sup>[2,4,5,9,11,13]</sup> and various carbonaceous materials were widely  
79 employed as electrodes or crucible container,<sup>[2-15]</sup> a large voltage was applied to electrolyze solid  
80 SiO<sub>2</sub>.<sup>[5,9,14,15]</sup> In addition, an ion isolation membrane should be set up in electrochemical cell to  
81 avoid physical interference of the components in different electrode zones; however, it was  
82 difficult to choose a suitable material for the ion membrane at high temperatures. These practices  
83 not only lead to low efficiency of electrolysis due to electronic conduction in molten salt, and the  
84 release of unfriendly gases such as CO<sub>x</sub> or even Cl<sub>2</sub>, but also induce the side reaction on calcium  
85 reduction and the contamination of products by various components including stainless steel and  
86 potential impurities such as carbon and calcium.<sup>[5,16,17]</sup>

87 ZrO<sub>2</sub> doped with oxides such as Y<sub>2</sub>O<sub>3</sub> or MgO is a kind of oxygen-ion-conducting solid  
88 electrolyte with good stability and strong resistance to melt at high temperatures.<sup>[18]</sup> In recent  
89 years, it has been used as solid oxide membrane (SOM) in the electrochemical preparation of  
90 various metals or intermetallic compound (e.g. Mg, Al, Ti, Ca, Ta, Fe, CeNi<sub>5</sub>, Ti-Fe, TiAl<sub>3</sub>, etc.)  
91 from respective metal oxides.<sup>[14,15,19-23]</sup> Furthermore, it is frequently used to construct an  
92 independent O<sup>2-</sup>|ZrO<sub>2</sub>|Pt|O<sub>2</sub>(air) reference electrode (RE) with a flowing air at high temperatures  
93 for oxygen-related electrochemical studies.<sup>[18,24,25]</sup> The O<sup>2-</sup>|ZrO<sub>2</sub>|Pt|O<sub>2</sub>(air) RE is essentially an  
94 O<sup>2-</sup>/O<sub>2</sub> pair, which is generally considered to be responsive, stable, reversible and  
95 reproducible.<sup>[18,25]</sup> In view of the above applications, authors' research group constructed a novel  
96 integrated cell with O<sup>2-</sup>|ZrO<sub>2</sub>|Pt|O<sub>2</sub>(air) RE by using a ZrO<sub>2</sub> solid electrolyte tube, which is simple  
97 and unique in structure and fabrication. The integrated cell has been successfully applied to  
98 electrochemical fundamental research in high-temperature melt.<sup>[26-28]</sup>

99 It is well known that solid SiO<sub>2</sub> is electronically insulating at high temperatures, the  
100 electroreduction of SiO<sub>2</sub> to Si (Eq. [1]) can occur only at three-phase interlines (3 PIs) of  
101 conductive current collector and solid SiO<sub>2</sub> cathode and molten salt (electrolyte):<sup>[17,29]</sup>



103 The generated Si nuclei may act as new current collectors due to their good conductivity. With the  
104 reduction, the Si nuclei continue to grow and evolve, and the 3 PIs extend until the SiO<sub>2</sub> is  
105 completely reduced. In this work, Si was attempted to prepare from solid SiO<sub>2</sub> in CaCl<sub>2</sub> melt at  
106 1173 K in an experimental apparatus containing the novel integrated cell with O<sup>2-</sup>|YSZ|Pt|O<sub>2</sub>(air)  
107 RE constructed by yttria-stabilized zirconia solid electrolyte (YSZ) tube, and O<sub>2</sub> gas was released  
108 at the same time. The effect of electrolysis time on the morphology of the electrolytic Si was also  
109 investigated at a low overpotential. Herein the YSZ tube was used as the cell container, and also as  
110 the ion conducting but electron insulating membrane to electronically and physically isolate

111 working electrode (WE) and counter electrode (CE) or RE, which could improve electrolysis  
112 efficiency and avoid electrolysis of molten chloride salts at even high applied potentials.<sup>[21]</sup> It also  
113 provided a basal body integrating the CE with the RE. Moreover, compared with previous  
114 works,<sup>[2-15]</sup> the experimental apparatus contained neither sealable stainless steel reactor nor  
115 carbonaceous electrode or crucible, which could fundamentally eliminate their contamination to  
116 the electrolytic Si and the formation of CO<sub>x</sub> gases, also avoid carbon entering molten salt to  
117 reduce current efficiency due to electronic conduction.

118 The goal of the present work is to demonstrate the use of the experimental apparatus with the  
119 novel integrated cell as a simple and convenient tool for green electrochemical preparation of  
120 contamination-free Si from solid SiO<sub>2</sub> in the laboratory, while we hope that the findings reported  
121 in the work will benefit similar studies of other high-temperature electrolytes and the future design  
122 of the industrial cell capable of continuous operation, and the selection, monitor, and control of the  
123 process variables for optimal production.

## 124 II. EXPERIMENTAL

### 125 A. Materials Preparation

126 SiO<sub>2</sub> and CaCl<sub>2</sub> used in this work were analytical pure grade reagents provided by Chinese  
127 Pharmaceutical Group Chemical Reagent Co., Ltd. The SiO<sub>2</sub> powder was loaded into a steel mold,  
128 cylinder samples were obtained by using 1.56 MPa pressure. Each cylinder sample was about 5  
129 mm diameter, 10 mm height and 0.12 g mass. They were sintered for 4 h at 1673 K under air,  
130 which made them have certain porosity and strength. The CaCl<sub>2</sub> powder, used as the electrolyte,  
131 was dried under a vacuum of less than 133 Pa for 8 h at 473 K to dehydrate adequately.

### 132 B. Integrated Cell and Electrodes

133 The integrated cell consisted of an YSZ tube with a closed end and three electrodes including  
134 CE, RE and Mo-SiO<sub>2</sub> WE. The YSZ tube (inner diameter 18 mm, outer diameter 20 mm and length  
135 100 mm) contained 8 mol% Y<sub>2</sub>O<sub>3</sub>. After the YSZ tube was ultrasonically cleaned with alcohol, the  
136 porous annular Pt CE (area about 6.28 cm<sup>2</sup>) and RE (area about 1.88 cm<sup>2</sup>) were prepared  
137 according to the method provided in literature.<sup>[26,28]</sup> The Pt leads (diameter 0.5 mm and length 800  
138 mm) for the RE and the CE were each protected in a thin double-bore alumina tube.

139 The Mo-SiO<sub>2</sub> WE was prepared as follows. The drill bit of 0.9 mm was used to punch the center  
140 of the sintered SiO<sub>2</sub> cylinder. One end of Mo wire (purity 99.99%, diameter 1 mm, length 800  
141 mm), polished by a fine sandpaper (2000 mesh), was tightly coated with a thin single-bore  
142 alumina tube and slid for leaving a short length of bare wire through the central hole of the SiO<sub>2</sub>  
143 cylinder. The end of the bare wire was bent to prevent the SiO<sub>2</sub> cylinder from sliding into the  
144 molten salt during the process of lowering or electrolysis; the thin single-bore alumina tube  
145 covering the Mo wire tightened the upper end of the SiO<sub>2</sub> cylinder, which prevented the SiO<sub>2</sub>  
146 cylinder from moving up during the process of lowering.

147 C. Experimental Method

148 Figure 1 shows schematically the experimental apparatus used in this work. Since the YSZ tube  
 149 was short to only 100 mm, in order to operate conveniently and isolate the atmosphere inside and  
 150 outside the YSZ tube, the open end of the YSZ tube used as electrolytic cell was connected with  
 151 an extended alumina tube (inner diameter 21 mm, outer diameter 25 mm and length 600 mm) by  
 152 high-temperature cement. The preliminary experimental result showed that the joint between the  
 153 YSZ tube and the alumina tube was well sealed after sintering at 1173 K and no leakage was  
 154 found when it was immersed in water at room temperature. The thin double-bore alumina tube,  
 155 covering the RE and the CE leads (Pt wires), was bound to the alumina tube with Cu wire  
 156 (diameter 0.5 mm). The electrode leads were respectively fixed with thin Pt wire (diameter 0.3  
 157 mm) to the corresponding RE and CE on the outer surface of the YSZ tube. By means of the  
 158 alumina tube, the integrated cell loading the dried CaCl<sub>2</sub> powder (about 12 g) was put into the  
 159 constant temperature zone of a high-temperature furnace. The heating element of the furnace was  
 160 SiC tube, the furnace tube (inner diameter 45 mm, length 100 cm) was alumina material and an S  
 161 type thermocouple was used to measure temperature of the furnace. The furnace was heated from  
 162 room temperature to 723 K at a rate of 3 K/min, and then continued to 1173 K at a rate of 4 K/min  
 163 and held for 30 min. High purity argon (purity 99.999%, O<sub>2</sub> ≤ 1.5 ppm), dried via a pair of the  
 164 molecular sieves and the silica gel columns, was introduced into the YSZ tube with a flow rate of  
 165 10 mL/min throughout the experiment, while it was not until the experimental temperature was  
 166 reached that the dried synthetic air (79% N<sub>2</sub>, 21% O<sub>2</sub>) with a flow rate of 300 mL/min was  
 167 introduced into the furnace, that is outside the YSZ tube, to construct a O<sup>2</sup>-|YSZ|Pt|O<sub>2</sub>(air) RE. All  
 168 potentials (*E*) in this work referred to the potential relative to the O<sup>2</sup>-|YSZ|Pt|O<sub>2</sub>(air) RE.  
 169 Compared with the electrolytic cell in conventional crucible form, the amount of CaCl<sub>2</sub> powder  
 170 used in the YSZ tube was very small, which was favorable to remove fully the moisture in the  
 171 CaCl<sub>2</sub> during the process of heating, holding and pre-electrolysis.

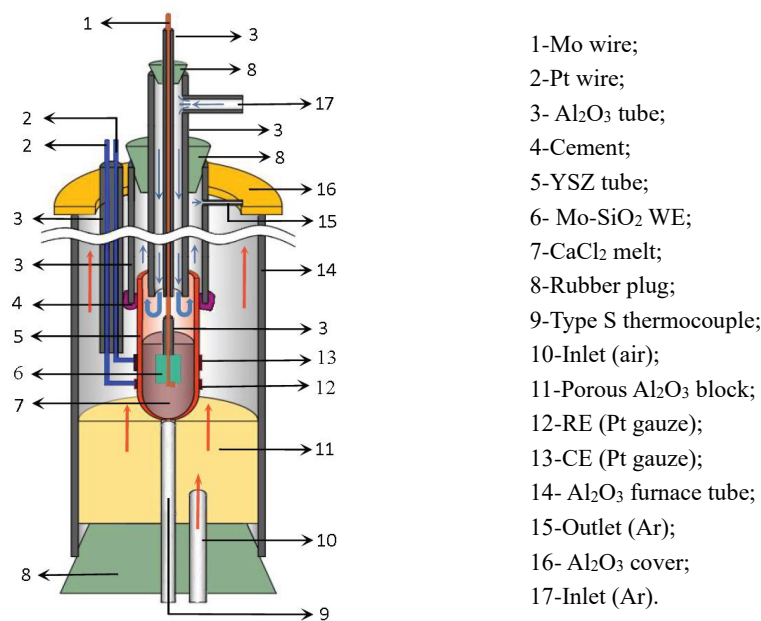


Fig. 1 Experimental apparatus used in this work.

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174 Electrochemical tests were conducted with a three-electrode method using an electrochemical  
175 workstation (model: IviumStat.h, made in Netherlands) connected to a computer. After holding the  
176 temperature, a Ni wire (purity 99.99%, diameter 0.5 mm) was used as cathode to pre-electrolyze  
177 for 90 min at -1.8 V. After the Ni wire was removed, the Mo-SiO<sub>2</sub> WE was slowly lowered from  
178 the furnace top to the above of the melt level, and then the melt level was determined by the open  
179 circuit potential method to ensure that the whole SiO<sub>2</sub> cylinder was immersed in the melt.<sup>[28]</sup>  
180 Electrochemical behavior of the Mo-SiO<sub>2</sub> WE was investigated by cyclic voltammetry (CV)  
181 method. Based on this test, the electroreduction of solid SiO<sub>2</sub> was studied at a lower overpotential  
182 by chronoamperometry (potentiostatic electrolysis) method.

183 The synthetic air in the furnace was cut off after the measurements were finished. The Mo-SiO<sub>2</sub>  
184 WE in the YSZ tube was lifted above the melt level and cooled to room temperature with the  
185 furnace under the protection of argon. The appearance of the YSZ tube was first observed with the  
186 naked eye. And each electrolyzed cylinder was soaked for 30 min in a beaker with a small amount  
187 of distilled water. One could observe whether gas bubbles were formed in the water, and then used  
188 a pH paper to detect the acidity and alkalinity of the water. The electrolytic products were further  
189 washed ultrasonically with distilled water for 3 min, and then dried for 20 h in the vacuum at 343  
190 K. The dried cylinder sections were characterized by scanning electron microscopy (SEM, Nova  
191 NovaSEM400) with energy dispersive X-ray spectroscopy (EDS, INCA IE 350PentaFET  
192 X-3EDS). If necessary, the section of the Mo current collector was also observed under SEM-EDS.  
193 Since the mass of the SiO<sub>2</sub> cylinder was small to only 0.12 g, phase detection was not carried out  
194 by X ray diffraction (XRD) after electrolysis. To compare with the electrolytic products,  
195 morphology observation, composition analyses and phase detection for the SiO<sub>2</sub> raw powder and  
196 the sintered SiO<sub>2</sub> cylinder were conducted with SEM-EDS and XRD, respectively.

### 197 III. RESULTS AND DISCUSSION

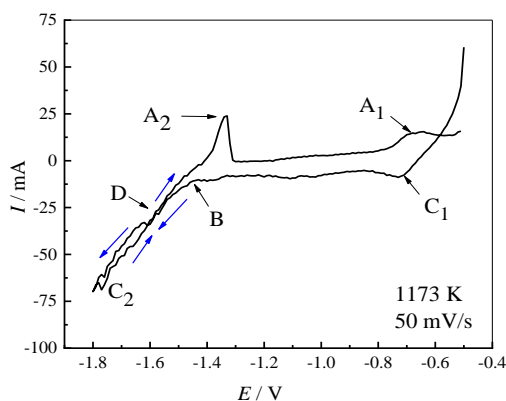
#### 198 A. CV

199 Figure 2 shows a typical cyclic voltammogram of the Mo-SiO<sub>2</sub> WE in CaCl<sub>2</sub> melt. A scan is  
200 performed with a rate of 50 mV/s in a potential range of -0.5 to -1.8 V. It is clear that, a reduction  
201 peak C<sub>1</sub> first appears. It can be noted that the potential (-0.73 V) of the peak C<sub>1</sub> is close to the  
202 theoretical potential (-0.75 V) of MoO<sub>3</sub> reduction to Mo,<sup>[30]</sup> indicating that the peak C<sub>1</sub>  
203 corresponds to the reduction of Mo<sup>6+</sup> to Mo in the melt (Eq. [2]):<sup>[31]</sup>



205 There are two reasons for the formation of Mo<sup>6+</sup> in the melt. One reason is that, when the Mo-SiO<sub>2</sub>  
206 WE is lowered, the Mo wire reacts with the residual oxygen in argon at high temperatures to form  
207 a small amount of MoO<sub>3</sub> (melting point 1068 K, boiling point 1428 K), some of which volatilize  
208 and some adhere to the surface of Mo wire into the melt. Graphite electrode or crucible was often  
209 used in previous works,<sup>[2-15]</sup> which could protect Mo from oxidation by the atmosphere, hence no  
210 reduction peak of the Mo<sup>6+</sup> was observed. The other is that a large oxidation current can be  
211 observed from Figure 2 when the potential scan is initially performed from -0.5 V, indicating that  
212 the Mo wire in the melt may have been electrochemically oxidized to Mo<sup>6+</sup>. Obviously, the peak

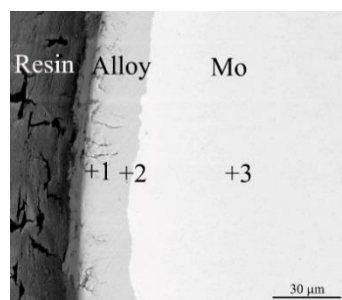
213 A<sub>1</sub> with the potential of about -0.65 V corresponds to the oxidation of Mo to Mo<sup>6+</sup>.



214

215 Fig. 2 Typical cyclic voltammogram of the Mo-SiO<sub>2</sub> WE in CaCl<sub>2</sub> melt (RE: O<sup>2-</sup>|YSZ|Pt|O<sub>2</sub>(air))

216 As the scan continues forward, the current changes little prior to the position B where the onset  
 217 potential of a new peak C<sub>2</sub> is about -1.45 V. The peak C<sub>2</sub> is incomplete and most likely to  
 218 correspond to the SiO<sub>2</sub> reduction. However, the reduction potential of SiO<sub>2</sub> at 1173 K is -1.77 V  
 219 from thermodynamic theory calculation.<sup>[30]</sup> It is inferred that the onset potential of the peak C<sub>2</sub>  
 220 corresponds to SiO<sub>2</sub> reduction on the Mo to form an alloy with the Si activity of less than unity,  
 221 which results in an advance of the SiO<sub>2</sub> reduction potential to -1.45 V. Figure 3 shows a SEM  
 222 image of cross section of the Mo wire after potentiostatic electrolysis. The EDS analyses of  
 223 relevant position points in Figure 3 are listed in Table I. As expected, a Si-Mo alloy layer formed  
 224 at the edge of the Mo wire is found. As the position moves from the edge to the center of the Mo  
 225 wire, Si content in the alloy decreases and Mo content increases, indicating that Si gradually  
 226 alloyed with Mo from the surface to the center of the Mo wire. Inexplicably, the formation of alloy  
 227 between Mo and Si was rarely noted in previous works.



228

229 Fig. 3 SEM image of Mo wire section after potentiostatic electrolysis for 5 ks at 1173 K and -1.8 V (RE:  
 230 O<sup>2-</sup>|YSZ|Pt|O<sub>2</sub>(air)).

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**Table I. EDS analyses of relevant position points in Figure 3.**

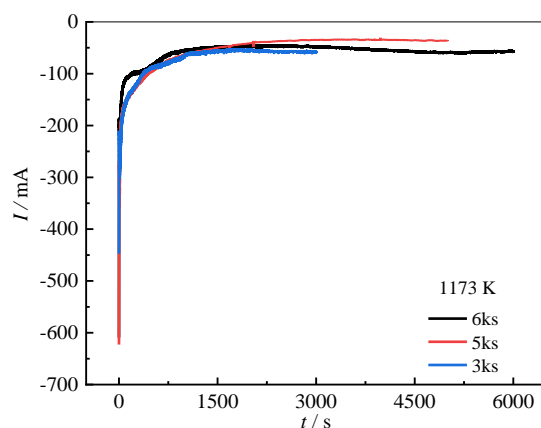
Points	Atomic fraction / %	
	Mo	Si
1	14.35	85.65
2	22.57	77.43
3	100	-

232

233 A crossover of the forward and the reverse curve at the position D (around -1.60 V) is observed,  
234 indicating the increases of the total length of 3PIs and also the area of the Si/CaCl<sub>2</sub> interface where  
235 the new phase Si nuclei are generated.<sup>[2,29]</sup> Furthermore, the reoxidation part exhibits a sharp  
236 decrease in current after a peak A<sub>2</sub> which is typical of a stripping effect due to the depletion of the  
237 product reduced during the forward (negative) scan. Obviously, the A<sub>2</sub> with peak potential of -1.34  
238 V can be attributed to the reoxidation of Si.

### 239 B. Potentiostatic Electrolysis

240 Under the experimental conditions, it was found that the SiO<sub>2</sub> could be reduced at -1.45 V  
241 according to Figure 2, and the crossover of the forward and the reverse curve occurred at -1.6 V.  
242 Therefore, the cross-potential of -1.6 V was used to electrolysis for 3 ks, 5ks and 6 ks, respectively.  
243 The preparation of Si and the change of its morphology from electrolysis of solid SiO<sub>2</sub> were  
244 studied by using the integrated cell in this experiment. Figure 4 shows the current curves with time  
245 for SiO<sub>2</sub> electrolysis at -1.6 V and 1173 K. It can be seen that the current curves are similar.



246

247 Fig. 4 Current curves with time for solid SiO<sub>2</sub> electrolysis in CaCl<sub>2</sub> melt at -1.6 V (RE: O<sub>2</sub><sup>-</sup>|YSZ|Pt|O<sub>2</sub>(air)).

248 The YSZ tube cooled to room temperature was found to exhibit obvious moisture state on the  
249 outer surface position below the melt level in the YSZ tube after the electrolysis for different times,  
250 but no crack or melt leakage was observed with the naked eye. Moisture is a clear sign of water  
251 absorption of CaCl<sub>2</sub> penetrating into the YSZ membrane. It is tentatively speculated that the  
252 permeability of CaCl<sub>2</sub> enhanced in the inner surface of the YSZ tube in this work. This will be  
253 further explained later.

254 For each cylindrical sample soaked in the water after electrolysis for different times, no obvious  
255 bubble was observed, and the water showed neutral by detecting with PH paper, indicating that  
256 there was no Ca metal or Ca-Si alloy in the electrolytic products, which is consistent with the CV  
257 result. After further ultrasonic washing and drying, a brown product layer can be observed in the  
258 sections of the three cylinders with different electrolysis times, which is obviously different from  
259 white SiO<sub>2</sub> raw material. The present of brown color indicates that the SiO<sub>2</sub> has been electrolyzed  
260 to Si in this experiment.<sup>[29,32]</sup> It is believed that solid SiO<sub>2</sub> is reduced to Si at the cathode (Eq. [1]),  
261 the oxide ions produced from the solid structure migrate in the melt and solid electrolyte and are  
262 oxidized to O<sub>2</sub> gas at the anode outside the YSZ tube (Eq. [3]).

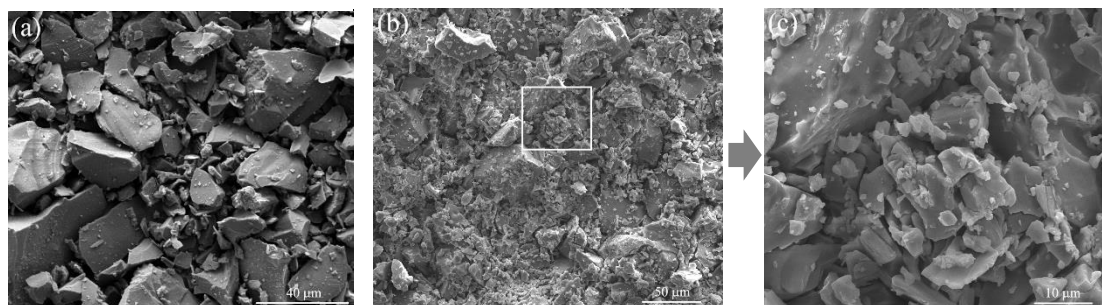


263  $2\text{O}^{2-} \rightarrow \text{O}_2 + 4\text{e}$  [3]

264 However, an obvious white layer could also be found in the outer region of the cylinder section far  
265 away from the Mo current collector for electrolysis time of 3 ks and 5 ks, respectively. An integral  
266 calculus for the current curve with electrolysis of 6 ks was performed. According to Faraday law,  
267 the reduction rate with electrolysis of 6 ks was only 54.4% in theory even if the current efficiency  
268 was assumed as 100%, indicating that the electroreduction of solid  $\text{SiO}_2$  cylinders was also  
269 incomplete within the given time of 6ks due to small electrolysis current. It could be expected that  
270 whole  $\text{SiO}_2$  cylinder was reduced to Si by an extension of the electrolysis time.

271 Here, the morphology and the composition of the brown products obtained were mainly  
272 analyzed with SEM-EDS detection, whereas the alloy formed on the Mo current collector and  
273 white unreduced layer were not considered.

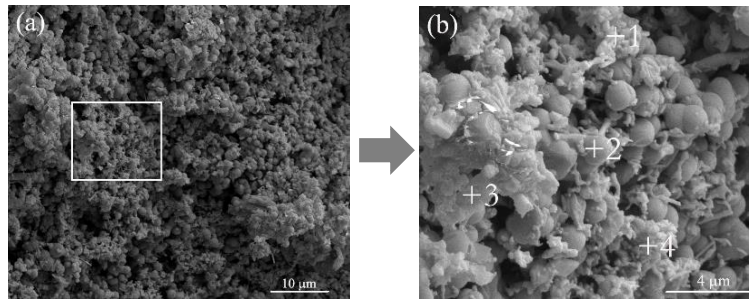
274 The morphology of the  $\text{SiO}_2$  raw powder and the sintered cylinder was first observed under  
275 SEM, as shown in Figure 5. The  $\text{SiO}_2$  raw powder is loose (Figure 5(a)), the difference in the  
276 particle size is large and generally between 5–50  $\mu\text{m}$ . The particles in cross-section of sintered  
277 cylinder become tight. The number of small particles increases, and they are easy to adhere on the  
278 surface of large particles (Figures 5(b) and 5(c)), indicating that some large particles of  $\text{SiO}_2$  raw  
279 powder are crushed and become small after pressing and sintering. It can also be found that the  
280 particles experienced a slight softening-melting phenomenon after sintering and even adhere to  
281 each other slightly (Figure 5(c)), but they can still maintain their shapes with different sizes.  
282 Moreover, the  $\text{SiO}_2$  raw powder and the sintered cylinder are found to be pure  $\text{SiO}_2$  crystal phase  
283 by XRD and EDS detection (not shown here), and no change in the composition.



284  
285 Fig. 5 SEM images of  $\text{SiO}_2$  raw powder (a) and sintered cylinder section (b) and local magnification (c).

286 Figure 6 shows SEM images of the brown products from electrolysis for 3 ks. It can be found  
287 that the morphology is completely different compared with the sintered  $\text{SiO}_2$  particles (Figures 5(b)  
288 or 5(c)). The brown products obtained are loose spongy particles (Figure 6(a)), most of them are  
289 large spherical particles with about 1  $\mu\text{m}$  diameter at higher magnification (Figure 6(b)). Some  
290 large spherical particles aggregate with each other and some small particles within about 300 nm  
291 diameter are not uniformly gathered around the aggregates. Moreover, some beads with diameters  
292 of 100–300 nm or rod-like substances with less than 1.5  $\mu\text{m}$  in length grow on the surface of some  
293 large spherical particles (Figure 6(b)). It can be inferred that large change of the morphology of  
294 the particles before and after electrolysis is attributed to the electroreduction of  $\text{SiO}_2$  particles and  
295 the physicochemical interaction between the  $\text{SiO}_2$  particles and the melt. And the morphology of  
296 electrolytic products has little relation with that of the sintered  $\text{SiO}_2$  particles. The EDS analyses

297 of the relevant position points in Figure 6(b) are listed in Table II. The EDS detection (Table II)  
 298 shows that Si content of each position in the electrolytic products in general is higher than that in  
 299 the sintered SiO<sub>2</sub> particles before electrolysis, while O content in the spherical particles is higher  
 300 than that in the small particles after electrolysis. Occasionally, a small amount of CaCl<sub>2</sub> or CaO is  
 301 found. The CaCl<sub>2</sub> is the residue after washing, while the CaO is generated due to hydrolysis of  
 302 CaCl<sub>2</sub> or during the electroreduction of SiO<sub>2</sub> and it can further react with solid SiO<sub>2</sub> to form an  
 303 intermediate of CaO·SiO<sub>2</sub>.<sup>[5,28,33,34]</sup>



304  
 305 Fig. 6 SEM images of cylinder section after electrolysis for 3 ks (a) and local magnification (b).

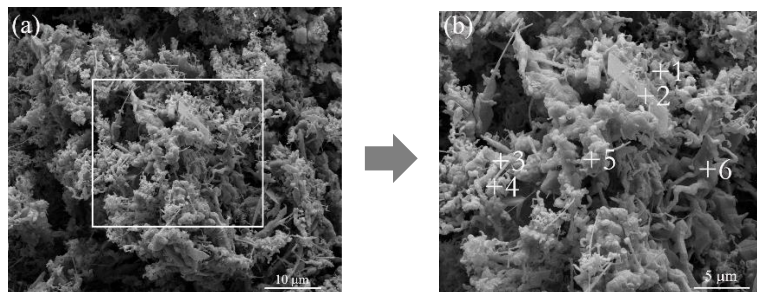
306 **Table II. EDS analyses of related position points in Figure 6(b).**

Points	Atomic fraction / %		
	Si	Ca	O
1	69.80	-	30.20
2	49.17	-	50.83
3	86.42	-	13.58
4	66.80	5.87	27.32

307  
 308 The color change and the EDS analyses of the cylinder before and after electrolysis show that  
 309 the large and the small particles evolved from the original SiO<sub>2</sub> powder have been partially  
 310 reduced. As mentioned earlier, the partially reduced spherical particles and small particles,  
 311 together with beads and rod-like substances, compose the aggregates; their distributions are also  
 312 uneven in the aggregates. It can be considered that the beads and the rod-like substances with  
 313 higher Si content grew from Si nuclei. The Si nuclei could be generated at -1.6 V, which seems to  
 314 be consistent with the CV result. Combining with the 3 PIs theory on the electroreduction of solid  
 315 SiO<sub>2</sub> in molten salt,<sup>[17,29]</sup> it is inferred that a conductive Si network has been initially formed in the  
 316 aggregates (especially including small particles and rod-like substances), which can act as new  
 317 network current collector to promote further reduction of solid SiO<sub>2</sub>.

318 Figure 7 shows SEM images of brown products from electrolysis for 5 ks. Compared with  
 319 Figure 6, the morphology of the products also changes greatly. Although the products are also  
 320 composed of small particles and rod-like substances or wires, the diameter and the length of  
 321 rod-like substances increase, and the number increases significantly. In contrast, the number of  
 322 spherical particles with higher O content decreases significantly. Rod-like substances grow  
 323 longitudinally on the surface of spherical particles, some of which continue to grow into wires;

324 some tend to merge into flakes. The rods or the wires are of varying diameter. The gaps in the  
 325 products also increase obviously, indicating that the removal amount of O in the SiO<sub>2</sub> cylinder  
 326 increases. The EDS analyses of the relevant position points in Figure 7(b) are listed in Table III.  
 327 The EDS detection (see Tables II and III) shows that the main constituent in small particles or  
 328 rod/wire-like substances is Si, and Si contents in some positions are even as high as 100 at%. By  
 329 contrast, some near-spherical particles contain more unreduced SiO<sub>2</sub>. It is known that many  
 330 experiments<sup>[29,32,35,36]</sup> on the electroreduction of solid SiO<sub>2</sub> in molten salt were performed in a  
 331 sealed stainless steel reactor with argon flow, and even with the use of carbonaceous electrode or  
 332 crucible, the surface of the reduced Si was still found to cover with a thin layer (thickness around  
 333 10 nm) of amorphous SiO<sub>2</sub>, which was generally attributed to slight oxidation of Si in Ar  
 334 atmosphere at high temperatures or passivation during washing at room temperature, indicating  
 335 that it is very difficult to obtain high purity Si with very low oxygen content only by electrolysis in  
 336 Ar atmosphere.



337  
 338 Fig. 7 SEM images of cylinder section after electrolysis for 5 ks (a) and local magnification (b).

339 **Table III. EDS analyses of related position points in Figure 7(b).**

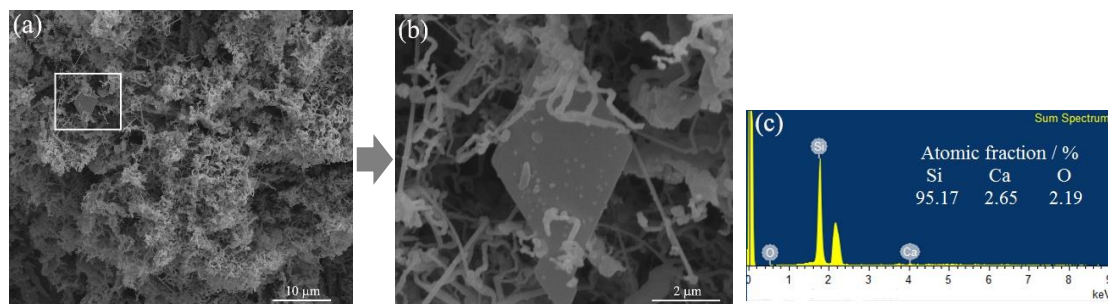
Points	Atomic fraction / %			
	Si	Ca	O	Cl
1	64.23	3.65	29.26	2.86
2	81.97	-	18.03	-
3	100.00	-	-	-
4	64.84	6.00	29.17	-
5	79.74	-	20.26	-
6	100.00	-	-	-

340

341 In view of 3 PIs theory, combining with the morphology of the products from electrolysis for 3  
 342 ks, it can be speculated that the solid SiO<sub>2</sub> raw powder was spheroidized into new particles under  
 343 the electrolysis and the physicochemical interaction between the SiO<sub>2</sub> particles and the melt, and  
 344 then fine Si nuclei grew on the surface of spherical particles and formed Si network current  
 345 collectors along with small particles with low oxygen content, and continued to grow  
 346 longitudinally into rod-like substances, finally into Si wires or flakes. Meanwhile the network  
 347 current collectors further extended until the original SiO<sub>2</sub> disappeared due to complete reduction.

348 Figure 8 shows SEM images of brown products from electrolysis for 6 ks. The morphology of  
 349 the products (Figures 8(a) and 8(b)) is basically the same as that in Figure 7. It is mainly

350 composed of small particles, wires and flakes. The wires is considered to grow from the rod-like  
351 substances mentioned earlier, the difference in diameter between the two is small, but the  
352 longitudinal length of the former increases. It is believed that most of rod-like substances have  
353 grown into the wires, and there are some sites where the rod-like substances merge each other to  
354 form larger flakes under specific conditions (Figure 8(b)). The number of the spherical particles  
355 has been few, indicating further increase in reduction. The EDS sum spectrum (Figure 8(c)) shows  
356 that Si content in the brown products exhibited in Figure 8(b) is over 95 at% locally, and content  
357 of O or Ca is very low and could be further reduced if adequate washing. The Ca content is  
358 slightly higher than the O content, which may be due to error in the EDS detection or Cl not  
359 included. Combining with the above-mentioned detection on the electrolytic products soaked in  
360 the water, it can be concluded that no Ca metal or Ca-Si alloy was formed during the electrolysis.  
361 Furthermore, no Zr or Y from YSZ tube was found in the products, either. Therefore, under a  
362 complete carbon-free condition, the Si specimens with local high purity can be obtained in the  
363 YSZ tube (where the gas is under argon atmosphere) even if the gas outside the YSZ tube is under  
364 the air in this work, indicating that the YSZ tube can not only act as oxygen ion isolation  
365 membrane, but also has good atmosphere isolation function at high temperatures, which also  
366 proves that the joint part between the YSZ tube and the extended alumina tube has a good seal. It  
367 can be believed that the sealable stainless steel reactor and carbonaceous anode or crucible used in  
368 previous works<sup>[2-15]</sup> are not essential during the electroreduction of solid SiO<sub>2</sub> to Si. The  
369 experimental apparatus used in this work not only meets the requirement of preventing the  
370 oxidation of the active Si, but also eliminates fundamentally the potential contamination of the Si  
371 product caused by stainless steel reactor, carbon and even Ca metal or Ca-Si alloy, which provides  
372 a possibility to establish a green technique for preparation of high purity Si and the release of O<sub>2</sub>  
373 gas by electrolysis of solid SiO<sub>2</sub> in CaCl<sub>2</sub> melt. Moreover, the longer the electrolysis time, the  
374 looser the reduction products, the more and longer the Si wires, the higher the Si content. Of  
375 course, still there are several other potential contamination sources such as the alumina tube and  
376 the Mo wire electrode in this work. In order to prepare Si from solid SiO<sub>2</sub> without  
377 contamination-free in the CaCl<sub>2</sub> melt and obtain high purity Si in the succeeding work, referring to  
378 the related findings<sup>[4,16]</sup>, it can be considered to replace the Al<sub>2</sub>O<sub>3</sub> tube touching with SiO<sub>2</sub> cylinder  
379 with Si or SiO<sub>2</sub> tube, and to replace the Mo wire electrode with Si rod. It is also necessary for the  
380 electrolytic products to be cleaned fully.



381  
382 Fig. 8 SEM images (a) of cylinder section after electrolysis for 6 ks and local magnification (b) and its EDS  
383 sum spectrum(c). (The peak of unlabeled element in the sum spectrum is attributed to that of gold).

384 Noted the morphology of the Si product in this work is obviously different from that in the  
385 works of Zhao<sup>[14]</sup> and Lai et al<sup>[15]</sup> in CaCl<sub>2</sub> melt. They used SOM process with a two-electrode  
386 method and applied voltages (3.2–4 V) to electrolyze solid SiO<sub>2</sub> at above 1273 K. The Si particles,  
387 rather than the Si wires and flakes in this work, were obtained, which might be attributed to larger  
388 voltages and higher temperatures in their works. It is believed that the morphology of Si product  
389 may be controlled by applying different potentials. Furthermore, Ca metal or Ca-Si alloy could  
390 also be obtained at large voltages, which would adversely affect the electrochemical reduction of  
391 solid SiO<sub>2</sub>.<sup>[29]</sup>

392 The stability of YSZ tube is also an important issue. Due to the different cell configuration, the  
393 moisture phenomenon appeared in this work cannot be observed in the works of Zhao<sup>[14]</sup> and Lai  
394 et al<sup>[15]</sup>. It is worth mentioning that the electrochemical behavior of Fe<sub>2</sub>O<sub>3</sub> dissolved in  
395 CaCl<sub>2</sub>-NaCl melt was studied by using the same cell as this work (except for the size of the YSZ  
396 tube).<sup>[28]</sup> Unlike this work, however, no moisture phenomenon was observed on the outer surface  
397 of the YSZ tube, and the O<sup>2</sup>-|ZrO<sub>2</sub>|Pt|O<sub>2</sub> (air) RE worked normally. A considerable number of  
398 researches on using the SOM process with a two-electrode method to prepare metals such as Mg,  
399 Si and Al from respective dissolved oxides show that the YSZ tube in molten halides presents a  
400 certain unstability.<sup>[37-41]</sup> The source of unstability lies in the leaching of stabilizer Y<sub>2</sub>O<sub>3</sub> in solid  
401 solution, which induces the transformation of ZrO<sub>2</sub> from cubic to monoclinic phase, resulting in  
402 YSZ tube cracking or even breaking. Moreover, the electronic conductivity of the system  
403 exacerbates this unstability. From the point of view of the stability of YSZ tube, the content of  
404 dissolved SiO<sub>2</sub> or Al<sub>2</sub>O<sub>3</sub> in the melt should not be too high<sup>[37,38]</sup>. It is also worth noting that the  
405 role of the dissolved CaO on YSZ stability seems contradictory in literature<sup>[38,40,41]</sup>, which may be  
406 attributed to the difference of the melt. However, the addition of Y<sub>2</sub>O<sub>3</sub> or YF<sub>3</sub> to the melt was  
407 found to alleviate or completely prevent the YSZ tube degradation resulting from Y<sub>2</sub>O<sub>3</sub> chemical  
408 leaching.<sup>[37-41]</sup> Combining these findings<sup>[37-41]</sup>, the stability of YSZ tube in the melt can be  
409 preliminarily estimated in this work. It is well known that CaCl<sub>2</sub> exposed to air is easily  
410 hygroscopic at room temperature. The literature has shown that the CaCl<sub>2</sub> could penetrate into and  
411 remain in grain boundaries and the pores left in the ZrO<sub>2</sub> grains after Y<sub>2</sub>O<sub>3</sub> leaching.<sup>[37,40]</sup> After the  
412 experiment, it is because of the hygroscopicity of the residual solidified CaCl<sub>2</sub> in the YSZ  
413 membrane that results in the moisture observed on the outer surface of the YSZ tube. Since the  
414 applied potential is small in the negative, the time is short at high temperature and the solubility of  
415 SiO<sub>2</sub> or CaO·SiO<sub>2</sub> in the melt is small,<sup>[34]</sup> it can be understood that no crack or salt leakage in the  
416 YSZ tube was found. Referring to literature findings<sup>[37-41]</sup>, some measures of modifying the melt,  
417 such as adding Y<sub>2</sub>O<sub>3</sub> (YF<sub>3</sub> or YCl<sub>3</sub>) or adjusting the optical basicity of oxides (SiO<sub>2</sub> and CaO), can  
418 be taken, and the direct touch of solid SiO<sub>2</sub> with YSZ tube is avoided during experiment, the  
419 degradation of YSZ tube in the CaCl<sub>2</sub>-CaO melt will be expected to be alleviated or prevented  
420 completely in future preparation of high purity Si using the integrated cell.

#### 421 IV. CONCLUSIONS

422 The novel integrated cell with O<sup>2</sup>-|YSZ|Pt|O<sub>2</sub>(air) RE and CE was constructed using short YSZ

423 tube connected with long extended alumina tube by high-temperature cement. In the experimental  
424 apparatus containing the integrated cell without stainless steel reactor and any carbonaceous  
425 materials, Si specimens with local high purity of over 95 at% was successfully obtained by  
426 electrolysis of solid SiO<sub>2</sub> in CaCl<sub>2</sub> melt at -1.6 V and 1173 K, and O<sub>2</sub> gas was simultaneously  
427 released. The CV test indicates that the reduction peak potential of Mo<sup>6+</sup> → Mo is -0.73 V and the  
428 onset of reduction peak potential of SiO<sub>2</sub> → Si is -1.45 V in this work. The potentiostatic  
429 electrolysis at low overpotential shows that the morphology of the products underwent the  
430 evolution from SiO<sub>2</sub> raw powder with different sizes to particle aggregates with partial reduction,  
431 then Si nuclei formed on the surface of spherical particles, further growing longitudinally into  
432 rod-like substances, finally into Si wires or flakes. The morphology of electrolytic products has  
433 little relation with that of SiO<sub>2</sub> raw powder and may be controlled by applying different potentials.  
434 Furthermore, the longer the electrolysis time, the higher the purity of Si on the whole. The present  
435 work demonstrates the feasibility of green electrochemical preparation of Si from solid SiO<sub>2</sub> by  
436 using the experimental apparatus containing the novel integrated cell with O<sup>2</sup>-|YSZ|Pt|O<sub>2</sub>(air) RE  
437 under complete carbon-free condition.

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507 **Table captions:**

508 Table I. EDS analyses of relevant position points in Figure 3.

509 Table II. EDS analyses of related position points in Figure 6(b).

510 Table III. EDS analyses of related position points in Figure 7(b).

511

512 **Figure captions:**

513 Fig. 1 Experimental apparatus used in this work.

514 Fig. 2 Typical cyclic voltammogram of the Mo-SiO<sub>2</sub> WE in CaCl<sub>2</sub> melt (RE: O<sup>2-</sup>|YSZ|Pt|O<sub>2</sub>(air))

515 Fig. 3 SEM image of Mo wire section after potentiostatic electrolysis for 5 ks at -1.8 V (RE: O<sup>2-</sup>|YSZ|Pt|O<sub>2</sub>(air)).

516 Fig. 4 Current curves with time for solid SiO<sub>2</sub> electrolysis in CaCl<sub>2</sub> melt at -1.6 V (RE: O<sup>2-</sup>|YSZ|Pt|O<sub>2</sub>(air)).

517 Fig. 5 SEM images of SiO<sub>2</sub> raw powder (a) and sintered cylinder section (b) and local magnification (c).

518 Fig. 6 SEM images of cylinder section after electrolysis for 3 ks (a) and local magnification (b).

519 Fig. 7 SEM images of cylinder section after electrolysis for 5 ks (a) and local magnification (b).

520 Fig. 8 SEM images (a) of cylinder section after electrolysis for 6 ks and local magnification (b) and its EDS sum  
521 spectrum(c). (The peak of unlabeled element in the sum spectrum is attributed to that of gold).

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**Table I. EDS analyses of relevant position points in Figure 3.**

Points	Atomic fraction / %	
	Mo	Si
1	14.35	85.65
2	22.57	77.43
3	100	-

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**Table II. EDS analyses of related position points in Figure 6(b).**

Points	Atomic fraction / %		
	Si	Ca	O
1	69.80	-	30.20
2	49.17	-	50.83
3	86.42	-	13.58
4	66.80	5.87	27.32

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**Table III. EDS analyses of related position points in Figure 7(b).**

Points	Atomic fraction / %			
	Si	Ca	O	Cl
1	64.23	3.65	29.26	2.86
2	81.97	-	18.03	-
3	100.00	-	-	-
4	64.84	6.00	29.17	-
5	79.74	-	20.26	-
6	100.00	-	-	-

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