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博 士 学 位 论 文

官方发展援助的减贫效果研究

A Study on Poverty Reduction of Official Development
Assistance

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摘 要

消除贫困是人类奋斗的主要目标之一。二战以来发达国家对发展中国家提供了大量官方发展援助,以帮助受援方经济发展和减少贫困。然而世界范围内贫困现象依然大量存在,特别在广大发展中国家尤为严重。新世纪以来,中国的对外援助规模增长迅速,帮助受援国实现减贫和发展也是中国面临的课题。因此,讨论官方发展援助的减贫效果以及如何提高援助减贫效率显得尤为必要。

首先,本文分析了援助促进减贫的理论机制。本文阐述了贫困的内涵、测度和致因,分析了援助在减贫中的角色以及援助与减贫的理论关系,讨论了援助促进减贫的路径和传导过程。并利用数理模型分析援助对减贫的影响,讨论援助波动冲击的影响,以加深对援助减贫机制的认识。

其次,本文从实践和实证的角度讨论了官方发展援助的减贫效果。一方面讨论了发达国家的援助减贫实践,另一方面讨论了中国对外援助的减贫实践,然后利用计量实证方法检验了援助在受援国的减贫效果。

最后,本文提出了一些关于如何提高援助减贫效果的建议和思考。主要内容涉及如何接续“后 2015”议程、如何探索新的援助筹资模式、如何制定科学的援助减贫战略和如何改进现有援助政策。

本文将中国对外援助减贫问题纳入研究的对象,弥补国内在该领域研究的不足。本文更加全面讨论了官方发展援助减贫的理论机制,分析了援助在反贫困中的角色和援助的减贫路径,并尝试构建了数理模型。本文改进了现有实证研究,考虑了援助的时滞效应,区分了受援国的差异性并收集了最新的数据。本文讨论了现有援助筹资模式的不足,并提出了基于人均收入水平筹集援助资金的思路。

总之,评价官方发展援助的减贫效果应从理论、实践和实证角度加以分析。

从理论上讲,援助有利于减少贫困,但其机理也比较复杂。援助可作为一种外部减贫手段,可通过发展(增长)和社会救助两条路径来促进减贫。从国际援助实践视角看,援助能够减少贫困,但其效率有待提高。从计量实证角度看,援助的减贫效果因时效、援助方式和受援国差异而不同。研究表明,援助减贫确实存在时滞效应,多边援助的减贫效果好于双边援助,对于人均收入较高的受援国援助的减贫效果更好,而对收入较低的脆弱国家援助的减贫效果往往差强人意,贫

困的持久性客观存在。在有限的援助资源下，要提升援助的减贫效果，需要改进现有的援助减贫政策。比如，应增加对贫困人口基数大、收入相对较高国家的援助比例，增加多边援助比重或使援助更聚焦于减贫和发展，减少援助中的不合理条件，扭转援助复杂化倾向等。对于中国而言，在开展援外工作中应继续保持自身特色，坚持南南合作和量力而行的原则，及时总结经验教训，加强对援助过程的监管并推广国内的减贫经验。

关键词：官方发展援助；减贫；中国对外援助

Abstract

Poverty eliminating is one of the main struggling goals of human. Since the Second World War, developed countries have provided a large number of Official Development Assistance (ODA) to developing countries for helping economic growth and poverty reduction of recipient countries. However, poverty phenomenon is still widespread around the world, and it is especially serious in developing countries. Since the 21th century, China's foreign aid has increased fast, it is also an important topic for China on how to help recipient countries achieving poverty reduction and development. Therefore, it is necessary to discuss poverty reduction effect of ODA and how to improve the poverty reduction efficiency of aid.

Firstly, this paper analyzes the theory mechanism of aid's poverty reduction. It defines the connotation and measure of poverty, and analyzes the cause of poverty and the aid's role in poverty reduction. It analyzes the theoretical relationship between aid and poverty reduction, and discusses the path and the transmission process of aid's poverty reduction. Together, this paper analyzes the impact of aid on poverty reduction and the effects of aid fluctuation shocks by mathematical model.

Secondly, this paper discusses poverty reduction effect of ODA from the practice and empirical aspect. It studies the poverty reduction practices of developed countries' aid. It also discusses the poverty reduction practices of China's foreign aid. This paper tests the effect of aid's poverty alleviation in the recipient countries by using econometric empirical method.

Finally, this paper discusses the policy suggestions about how to improve the poverty reduction effect of aid. These contents include: matching the "post 2015" agenda, exploring new aid financing mode, making scientific strategy of aid poverty reduction, and improving existing aid policy.

This paper puts the topic on poverty reduction of China's foreign aid, and makes up the lack of domestic research in this field. Actually, this paper more comprehensively discusses the mechanism theory of ODA to reduce poverty, adds analysis about the role of aid and poverty reduction path of assistance, and builds quantitative models. It improves the existing empirical research, for example, considering the lag effect of aid, distinguishing the differences of the recipient countries, and collecting the latest data. It discusses the deficiency of the existing aid

financing mode, and puts forward the new idea of financing mode based on per capita income level.

On the whole, it should be analyzed from theory, practice and empirical perspective on how to evaluate poverty reduction effect of ODA.

In theory, aid is beneficial for reducing poverty, but its mechanism is more complicated. Aid can be used as an external method to promote poverty reduction through two paths of development (growth) and social assistance. From perspective of the international aid practice, aid can reduce poverty, but we need to improve its efficiency. From econometric empirical perspective, aid has different effect of poverty reduction due to time lag, differences of recipient countries' income and aid modes. This paper finds that: aid really has time lag effect on poverty reduction, multilateral assistance is better than bilateral aid for poverty reduction, the poverty reduction effect of aid in high income group is better than in low income group, poverty exists lasting effect. Under the limited aid resources, the international community need to improve the existing aid policies on poverty reduction for promoting poverty reduction effect of aid. For example, The international society should increase aid proportion of countries which have larger poverty population and higher income level, increase the multilateral assistance or make aid more focusing on poverty reduction and development, reduce the unreasonable conditions in aid, reverse the complicated tendency of aid, etc. For China, we should continue to maintain our own characteristics of foreign aid, insist on south-south cooperation, and provide aid according to our abilities. we should learn experiences or lessons in time, enhance supervision of aid process, and promote the domestic experience of poverty reduction.

Keywords: Official Development Assistance; Poverty Reduction; China's Foreign Aid

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