

学校编码:10384 学号:15220081153728

分类号	密级	

UDC

唇の大う

硕士学位论文

中国独生子女政策的经济分析

ECONOMIC ANALYSIS OF CHINA'S ONE CHILD POLICY

MEBRATU SEYOUM MESFIN

-7	指导教师:	Prof. Xiaohua Gong
	专业名称:	中国经济
	论文提交日期:	2010年4月
	论文答辩日期:	2010年5月
	学位授予日期:	2010年 月
	答辩委员会	主席:
	ेक छि	X

评 阅 人: 2010年4月 本人呈交的学位论文是本人在导师指导下,独立完成的研究成果。本人在论文写作 中参考其他个人或集体已经发表的研究成果,均在文中以适当方式明确标明,并符 合法律规范和《厦门大学研究生学术活动规范(试行)》。

另外,该学位论文为(______)课题(组)的研究成果,获得 (_____)课题(组)经费或实验室的资助,在(______)实验室完成。 (请在以上括号内填写课题或课题组负责人或实验室名称,未有此项声明内容的, 可以不作特别声明。)

声明人(签名)

月

H

厦门大学学位论文著作权使用声明

本人同意厦门大学根据《中华人民共和国学位条例暂行实施办法》等规定保 留和使用此学位论文,并向主管部门或其指定机构送交学位论文(包括纸质 版和电子版),允许学位论文进入厦门大学图书馆及其数据库被查阅、借 阅。本人同意厦门大学将学位论文加入全国博士、硕士学位论文共建单位数 据库进行检索,将学位论文的标题和摘要汇编出版,采用影印、缩印或者其 它方式合理复制学位论文。

本学位论文属于:

()1.经厦门大学保密委员会审查核定的保密学位论文,于 年月 日解密,解密后适用上述授权。

() 2. 不保密,适用上述授权。

(请在以上相应括号内打"√"或填上相应内容。保密学位论文应是已经厦门大学保密委员会审定过的学位论文,未经厦门大学保密委员会审定的学位 论文均为公开学位论文。此声明栏不填写的,默认为公开学位论文,均适用 上述授权。)

声明人(签名):

年 月 H

摘要

对于中国,以及整个世界来说,计划生育政策是政府通过干预手段进行人口增长控 制的最重要的社会政策之一。本研究的目的是评估中国自1979年起实施的雄心勃勃 的控制人口增长的计划生育政策给中国带来的经济影响及人口问题对其经济的挑 战。首先,本文对计划生育政策做了简短的历史回顾,并探讨了中国人口动态和人 口年龄结构的过去,现状及将来的变化。本论文还介绍了印度和瑞典的人口动态, 并提供了关于这些国家在人口政策方面的努力及在人口控制与管理方面与中国的比 较分析。对这两个国家的案例分析有助于更深入了解不同国家制定和实施的控制 人口增长所采取的政策,及它们今后对人口变化的影响,也能更好地了解不同政策 选择的有效性。对过去六十年中国经济发展和人口增长控制政策的数据进行分析表 明,那些在计划生育政策时代出生的中国市民普遍享有较高的经济收益。证据显 示,中国计划生育政策的意义不仅仅在于控制人口,在过去三十年,它还是一种拉 动经济增长的手段。时至今日,一方面,计划生育政策已经达到了既定目标--控制 人口增长,带动经济增长:另一方面,计划生育政策对中国社会经济和人口产生了 复杂而深远的影响,人们因此对中国独生子女政策在未来可能产生的负面作用表示 担忧。鉴于中国在政治,经济以及人口方面对世界的重要性,我们对该国未来人口 的走向十分关注。

关键词:中国,经济增长,计划生育,独生子女政策,人口动态

ABSTRACT

For China, and the world as a whole, the birth control policy is one of the most important social policies in population growth control through government intervention. The purpose of this study is to assess the real economic impact and the demographic challenges to china's economic prospects of the ambitious one child population growth control policy that has been implemented in china since 1979. Firstly, a brief historical review of the one child policy is addressed, and then the demographic dynamics and population age structure of china's past, present and projected changes in the future are explored in this study. This thesis also presents case studies of demographic dynamics in India and Sweden to give a comparative overview analysis of population policy efforts and population dynamics in those countries. The selection of countries for case studies can add more insight into the policies that countries formulate and implement to control population growth and subsequent impacts on population dynamics, and to gain more insight into the effectiveness of those policy options. Statistical analysis of data on china's economic development and population growth control policies covering the last sixty years suggests that, those Chinese citizens during the one child policy era have, on average, enjoyed higher economic growth. Evidence tells us that the one child policy has been not only as an end in itself, but also as a means to economic progress in china for the last three decades. The one-child policy has accomplished the aims it set out to achieve—controlling population growth and spur economic growth; on the other hand, the one child policy has induced profound and complex socio-economic and demographic consequences that have called China's future policy into question. Given China's enormous political, economic, and demographic importance to the world, the country's demographic future will be of interest to all of us.

Key words: China, Economic Growth, One Child Policy, and Demographic Dynamic

I wish to dedicate this master thesis to my mom Felegehiwot Berhe, my dad Seyoum Mesfin, my two brothers Binyam Seyoum and Agazi Seyoum and my sister Zekre Seyoum.

Mebratu Seyoum

TABLE OF CONTENTS

Title Page	
Signature Page	
Copyright Page	
Abstract in Chinese	
Abstract in English	
Dedication Table of Contents List of Figures	
Table of Contents	
List of Figures	
List of Tables	
CHAPTER 1 INTRODUCTION	
1.1 FOREWORD	
1.2 NEED FOR STUDY	
1.3 BACKGROUND OF THE STUDY	
1.4 LIMITATIONS AND SCOPE OF THE STUDY	
1.5 LITERATURE REVIEW	
1.6 RESEARCH METHODOLOGY	
CHAPTER 2 THE ONE CHILD POLICY	
2.1 BACKGROUND OF THE ONE CHILD POLICY	
2.2 IMPLEMENTATION OF BIRTH AND POPULATION	
CHINA	
CHAPTER 3 CHINA'S DEMOGRAPHIC DYNAMICS: EMP	
3.1 POPULATION GROWTH AND FERTILITY	
3.2 ABNORMAL SEX RATIO	
3.3 AGING POPULATION	
3.4 FUTURE POPULATION GROWTH	
CHAPTER 4 CASE STUDIES OF DEMOGRAPHIC DYNAM	
POPULATION POLICY EFFORTS-INDIA AND SWEDEN	
4.1 SELECTION OF CASE STUDY COUNTRIES	
4.2 CASE STUDY: INDIA 4.2.1 DEMOGRAPHIC TRENDS AND FUTURE P	
GROWTH	
4.2.2 POPULATION POLICY EFFORTS	
4.3 CASE STUDY: SWEDEN	
4.3.1 DEMOGRAPHIC TRENDS AND FUTURE P	
GROWTH 4.3.2 POPULATION POLICY EFFORTS	
CHAPTER 5 EMPRICAL ECONOMIC ANALYSIS OF THE	
POLICY	
5.1 THE ROLE OF THE ONE CHILD POLICY ON CH	INA'S ECONON

CHAPTER 6 CO BIBLIOGRAPHY	CONOMIC GROW NCLUSIONS AND	POLICY SUGGES	TIONS	
ACKNOWLEDG	MENT			99
		X		
		-127		
Ż				
X				

LIST OF FIGURES

Figure 2.1: Number of children per couple allowed by China's	
multi policy fertility regime	24
Figure 3.1: China's Total Population Level and Annual Growth	30
Figure 3.2: Fertility Decline in China	32
Figure 3.3: Sex Ratio at Birth-by-Birth Order in China, 1982, 1989, and 2000	34
Figure 3.4: china's Population by Age and Sex, 1982 and 2000	37
Figure 3.5: Total Population and Average Number of Children per Woman in China, 1950-2050	38
Figure 3.6: China Population Pyramid for 2020 and 2050	40
Figure 4.1: China and India: Population trends, 1950 – 2050	43
Figure 4.2: Child sex ratio in China and India, 1950-2000	46
Figure 4.3: China and India fertility declines	47
Figure 4.4: China and Sweden: Total Population size and Growth Rate, 1950-2050	53
Figure 4.5: Sweden Population Age/Sex pyramid	56
Figure 4.6: Sweden Total Fertility Rate 1900-2000	57
Figure 5.1: Population and Economic Growth in China: 1949-2005	63
Figure 5.2: The Time Period of the First Demographic Dividend in China	66
Figure 5.3: Economically active population, employment, and labor force participation	68
Figure 5.4: Economic Support Ratio, China, 1982-2050	70

Figure 5.5: A Birthrate Trajectory (China)	72
Figure 5.6: China's W/D Ratio: actual vs. hypothetical (without population-control policies)	73
Figure 5.7: China's real GDP per capita: actual vs. hypothetical (without population-control policies)	74

LIST OF TABLES

Table 2.1: Various Policy Regulations and
Coverage24Table 3.1: Regional Variations in the
Sex Ratio at Birth, 200033Table 3.2: Population Increase or Decline in
China by Age Groups, 1950-205035Table 4.1: General Characteristics of Swedish
and Chinese Population Policies58

CHAPTER 1 INTRODUCTION

1.1 FOREWORD

The one child policy launched in 1979 as an interim policy, with the intention that this should be a policy measure for a period of only 20 to 30 years¹ is probably the largest social experiment in human history.

At the start of China's adoption to an open door and reform policy, raising the standard living of the Chinese population as a means of maintaining legitimacy among the people was The Chinese Government's main objective. Accordingly the government formulated two basic national policies:

- Developing the economy.
- Controlling population growth.

In developing the economy China has achieved records unmatched in the modern world. For the past three decades China's GDP per capita adjusted for purchasing power parity, rose more than four-fold. As a result China has become one of the most dynamic and fastest growing economies in the world. Most people have credited this economic miracle to China's adoption of an open-door policy in the late 1970s and the subsequent transition from a planned command economy to a socialist market economy with Chinese characteristics.

However, economic policy was only one of the two pillars in China's quest for modernization; the other is the population-control policy.

The population control policy is based on two basic concerns: First human and material production should be controlled and planned. China had a planned economy, so any unplanned rapid population growth adds burden for the government hence it should be controlled. Second is the pressure of population on natural resources: With twenty-two percent of the world's population living within the borders of China on only 7% of the world's arable land, 7% of fresh water, 3% of the forests and only 2% of oil resources, the Chinese government sought to reduce depletion of resources by decreasing population growth.

The question can be asked: Have the strong population-control policy efforts by the government supported China's economic growth over the past three decades?

Since the inception of the one child policy three decades ago, China has witnessed demographic changes of historic proportions. Thirty years after it was launched, China's one child policy is credited with cutting population growth to an all time low while contributing to three decades of spectacular economic development.

By implementing the so called one child policy China has transformed the demographic patterns from extreme high fertility, high mortality and rapid population growth to low

fertility, low mortality and low population growth rates within a very short transition period compared to the non government controlled population growth changes in the western world.

China is now a post- transitional society; due to China's unusually rapid fertility declines with life expectancy reaching new heights its population will face a more rapid and severe process of ageing. If current family planning policies persist, fertility rates are projected to remain near or possibly even sink below present levels, which could facilitate the central government's current demographic objective of keeping China's population under 1.6 billion by the year 2050.²

Comparing this with India, with its significant higher fertility levels India is forecasted to move ahead of China in total population size by 2035.³ Due to the unrestraint population growth in India, China will lose its position as the most populous country in the world.

There has been increasing concern about the economic implications of the one child policy and the subsequent demographic challenges to China's sustainable economic development and growth in recent years. Although China's one child policy has successfully slowed its population growth and facilitated stable economic growth the one child policy also induced significant long-term consequences. The costs associated with the policy are apparent and are rising. According to China's National Committee of Population and Planned Birth, China's demographic dynamics during the next 30 years will have the following trends:

- A peak of workers entering the labor market by 2015 followed by a decline in the working-age population.
- A growing proportion of elderly with inadequate pension or family support, in what demographers call it the "4-2-1 problem," (a reference to the fact that in many families one child will be expected to support two aged parents and four grandparents).
- A disproportionately high number of male births attributable to sex selective abortion.

These demographic changes are set to undermine China's long-term stability by inducing labor shortages, slowing economic growth, and increasing pressure for internal migration and immigration.

The significance of these issues has brought questions among the majority of scholars and even some policy makers within the Communist Party of China whether the onechild policy, as an interim policy, should proceed to its usual end or whether the time has come to make changes to China's current family planning measures.

The current situation indicates that China is not yet at the stage of development where population changes matter a great deal for economic growth. But when that time comes, perhaps in a decade or so, demographic changes will have a profound influence not only on economic growth but also on China's global competitiveness.

1.2 NEED FOR STUDY

Over the past three decades, china has achieved two wonders, that is, historic demographic transition and rapid economic development.

First, China's unrivalled economic growth over the past thirty years has been recognized as the economic miracle of the 20th century. China has been keeping its average economic growth rate at 9.6% per annum and increased its economic aggregates by 11 times.⁴

Second, the complete historic demographic transition and the subsequent socioeconomic changes of china's population have been profoundly affected by the one child policy. The total fertility rate declined from 5.8 in 1970 to 1.6 in 2000 according to the fifth population census.⁵. By the end of 2003, China's population stood at 1.29 billion, accounting for 22% of the world's total population. The family planning policy has led to a reduction of 300 million births during the last thirty years, with the birth rate continuing to decline. As China is the most populous country in the world, the rapid decline in fertility rate has a profound effect on china in particular and the world population growth in general.

The family planning policy and the subsequent demographic transition brought a remarkable "demographic dividend" in china's age structure composition. According to research estimates 15% of china's economic growth since 1978 is accounted for favorable demographic dividend. ⁶

Degree papers are in the "Xiamen University Electronic Theses and Dissertations Database". Full texts are available in the following ways:

1. If your library is a CALIS member libraries, please log on http://etd.calis.edu.cn/ and submit requests online, or consult the interlibrary loan department in your library.

2. For users of non-CALIS member libraries, please mail to etd@xmu.edu.cn for delivery details.