

学校编码: 10384

密级 _____

学号: 15420070153678

厦门大学

博士 学位 论文

我国劳动者报酬比重变动研究

**An Analysis on the Change of the Proportion
of Labor Reward in China**

杨俊宏

指导教师姓名: 林擎国 教授

专业名称: 国民经济学

论文提交日期: 2010 年 4 月

论文答辩日期: 2010 年 6 月

2010 年 4 月

厦门大学学位论文原创性声明

本人呈交的学位论文是本人在导师指导下，独立完成的研究成果。本人在论文写作中参考其他个人或集体已经发表的研究成果，均在文中以适当方式明确标明，并符合法律规范和《厦门大学研究生学术活动规范（试行）》。

另外，该学位论文为（ ）课题（组）
的研究成果，获得（ ）课题（组）经费或实验室的
资助，在（ ）实验室完成。（请在以上括号内填写课
题或课题组负责人或实验室名称，未有此项声明内容的，可以不作特
别声明。）

声明人（签名）：

年 月 日

厦门大学学位论文著作权使用声明

本人同意厦门大学根据《中华人民共和国学位条例暂行实施办法》等规定保留和使用此学位论文，并向主管部门或其指定机构送交学位论文（包括纸质版和电子版），允许学位论文进入厦门大学图书馆及其数据库被查阅、借阅。本人同意厦门大学将学位论文加入全国博士、硕士学位论文共建单位数据库进行检索，将学位论文的标题和摘要汇编出版，采用影印、缩印或者其它方式合理复制学位论文。

本学位论文属于：

- () 1. 经厦门大学保密委员会审查核定的保密学位论文，于 年 月 日解密，解密后适用上述授权。
() 2. 不保密，适用上述授权。

(请在以上相应括号内打“√”或填上相应内容。保密学位论文应是已经厦门大学保密委员会审定过的学位论文，未经厦门大学保密委员会审定的学位论文均为公开学位论文。此声明栏不填写的，默认为公开学位论文，均适用上述授权。)

声明人(签名)：

年 月 日

厦门大学博硕士论文摘要库

摘要

自上世纪 90 年代初期邓小平南巡讲话以来，中国经济一直保持着较高的增长速度。十五大明确提出要“把按劳分配和按生产要素分配结合起来”，“允许和鼓励资本、技术等生产要素参与收益分配”；十六大又提出“确立劳动、资本、技术和管理等生产要素按贡献参与分配的原则，完善按劳分配为主体、多种分配方式并存的分配制度”。要素参与分配增强了经济增长的活力，但在经济运行中也出现了一些问题：飞速的经济增长提高了资本报酬率，拉大了资本所有者阶层与劳动者阶层的收入差距；收入分配方面的问题又对经济增长带来了消极影响。因此，劳动者、企业和政府三大主体所得在国民收入中的比重变化开始引起各界的广泛关注。2006 年 12 月初召开的中央经济工作会议公报也第一次明确提出“要适当提高初次分配中劳动报酬比例，以提高低收入者收入水平”。本文在研究我国行业、地区、城乡劳动者收入现状的基础上，分析了我国总体和各地区劳动者报酬比重的变化，探讨了劳动者报酬比重与经济增长之间的互动关系及导致劳动者报酬比重变动的影响因素，并针对变动因素给出了提高我国劳动者报酬比重的政策建议。

国内已有的劳动者报酬比重的研究一部分侧重于分析各主体收入份额的变化，而对导致要素所得份额变化的原因探究的较少；另一部分侧重于从劳动收入来源角度对劳动者报酬比重进行国际比较，而对国内各地区之间劳动者报酬比重差异的研究也较少。本文以这两方面的研究为重点，力求通过研究方法和研究结论发现新问题，提出解决问题的新思路。通过研究，本文得出如下结论：第一，宏观层面“强资本而弱劳动”的分配格局明显，劳动者报酬比重波动大，低于国际水准。第二，地区间劳动者报酬比重差距大，经济水平高的地区劳动者报酬比重反而低。第三，经济水平、技术水平、地区开放度、市场竞争程度和产业结构都是影响劳动者报酬比重的重要因素。

关键词：要素收入分配； 宏观收入分配； 劳动者报酬比重； 区域经济发展；
影响因素

Abstract

Ever since Deng Xiaoping's southern tour in early 1990's, Chinese economy has been growing rapidly. As early as Fifteenth National People's Congress, the Communist Party of China put forward the principle of combining remuneration according to work with remuneration according to factors of production, as well as encouraging factors of production such as capital and technology to participate in profits distribution. At the Sixteenth National People's Congress, the principle of the factors of production participating in the distribution according to their contributions was established and the system in which distribution according to work is dominant and a variety of modes of distribution coexist was further improved. The participation of factors of production in distribution has stimulated the economy. However, some problems emerged in the society. The rapid growth of economy has increased return on capital and thus widened the income gap between capital owners and laborers. This imbalanced distribution of income posed a negative impact on economy growth. Therefore, the changes in the distribution of national income among laborers, enterprises and government became an issue of common concern. In the public report released during the Economic Working Conference of Party Central Committee in December, 2006, the principals of suitably increasing the proportion of labor reward in the primary distribution and increasing the income of low wage-earners were established for the first time. Through research into the current income levels of laborers from different industries, regions and from rural or urban areas, this paper analyzes the overall and regional changes of the proportion of labor reward. The paper goes on to discuss the interaction between proportion of labor reward and economic growth as well as the factors contributing to the change of proportion. In the end, the paper provides some suggestions on raising the proportion of labor reward based on the above-mentioned factors.

While previous domestic studies on proportion of labor reward focused on the various subjects' changing proportion of income, little attention was paid to the reasons of changing proportion of factors' reward. While other studies focused on comparing proportion of labor reward among nations from the perspective of their sources, little was done to compare the difference among regions in China. The paper

focuses on the above-mentioned two aspects and strives to find new problems and advance new thoughts by research method and findings. Through this study, I draw the conclusion as follows: First, the distribution pattern of strong capital and weak labor in our country is obvious. The proportion of labor reward not only goes up and down quickly, but also is lower than international level. Secondly, there is a wide gap among regional proportion of labor reward. The more economic prosperity one region enjoys, the lower proportion of labor reward. Thirdly, economic development level, technical level, regional openness, level of market competition and industrial structure are all main factors contributing to changes of the proportion of labor reward.

Keywords: distribution of factor income; macro-income distribution; proportion of labor reward; regional economic development; influence factor

目 录

引言.....	1
0.1 问题的提出	1
0.2 论文的思路和框架	2
0.3 研究方法和目的	3
0.3.1 研究方法.....	3
0.3.2 研究目的.....	4
0.4 可能的创新与不足	4
0.4.1 可能创新之处.....	4
0.4.2 不足之处.....	5
第一章 要素收入分配理论与实证研究综述.....	6
1.1 收入分配的相关概念	6
1.1.1 功能性收入分配与规模性收入分配.....	6
1.1.2 初次收入分配与再分配.....	6
1.1.3 微观收入分配与宏观收入分配.....	7
1.1.4 按劳分配和按生产要素分配.....	7
1.2 要素分配理论研究	8
1.2.1 古典主义的收入分配理论.....	8
1.2.2 新古典主义收入分配理论.....	9
1.2.3 凯恩斯主义的收入分配理论.....	11
1.2.4 新剑桥学派收入分配理论.....	11
1.2.5 发展经济学中的分配理论.....	12
1.2.6 马克思收入分配理论.....	13
1.2.7 分配理论的述评.....	14
1.3 要素分配实证研究	15
1.3.1 国外近期对要素收入分配的实证研究.....	15
1.3.2 国内对要素收入分配的实证研究.....	16

第二章 我国劳动收入的统计描述	19
2.1 我国整体劳动收入的变动	20
2.1.1 居民劳动收入总额的增长.....	20
2.1.2 居民收入来源构成的变化.....	21
2.2 行业职工平均工资的变化	22
2.3 地区职工工资的增长	23
2.4 城乡劳动收入水平状况	26
2.4.1 城镇居民的收入状况.....	26
2.4.2 农村居民的收入状况.....	30
2.5 经济发展中劳动力低工资的恶性循环机制分析	34
2.5.1 低工资在不同发展阶段的影响.....	34
2.5.2 劳动力近似无限供给.....	35
2.5.3 低工资与产业结构低度化的恶性循环.....	35
2.5.4 低工资与贫富差距的恶性循环.....	35
2.5.5 低工资与有效需求不足的恶性循环.....	36
第三章 我国劳动者报酬比重变动的宏观研究.....	37
3.1 宏观收入分配格局	37
3.1.1 从收入法项目结构和资金流量表看我国宏观收入分配.....	37
3.1.2 劳动者报酬比重的国际比较.....	40
3.2 初次分配中劳动者报酬偏低的危害	42
3.2.1 直接导致低水平竞争和落后生产方式盛行.....	43
3.2.2 不利于扩大内需，特别是不利于扩大消费需求.....	43
3.2.3 加剧劳资矛盾，挫伤劳动者积极性.....	44
3.2.4 会加重再分配的负担，导致社会公平正义缺失.....	44
3.3 三大主体收入变动对劳动者报酬比重的影响	45
3.3.1 企业利润侵蚀工资现象明显.....	45
3.3.2 政府财政收入的快速增长降低了劳动者报酬比重.....	46
3.3.3 居民可支配收入来源增长缓慢.....	48
3.4 我国劳动者报酬比重持续下降对宏观经济均衡的影响	50

3.4.1 居民收入增长滞后，抑制我国的消费水平.....	50
3.4.2 经济动力不足，增加了对投资和贸易的依赖.....	52
3.4.3 推动要素价格上涨，增加通胀压力.....	53
3.5 我国劳动者报酬比重变动的计量分析	53
3.5.1 劳动与资本产出贡献率比较.....	53
3.5.2 对我国劳动者报酬比重下降的原因分析.....	55
3.6 提高我国劳动者报酬比重的措施	57
3.6.1 劳动者的作用.....	57
3.6.2 企业的作用.....	58
3.6.3 政府的作用.....	58
第四章 区域经济发展与劳动者报酬比重的相关性研究.....	62
4.1 区域经济理论与中国区域经济增长	62
4.1.1 区域经济发展理论.....	62
4.1.2 我国改革后的区域经济发展战略.....	66
4.2 地区经济差距的比较研究	68
4.2.1 区域经济差距的描述性分析.....	68
4.2.2 区域经济差距的聚类分析.....	73
4.3 劳动者报酬比重的地区比较研究	78
4.3.1 国内对劳动者报酬比重变动情况研究述评.....	78
4.3.2 劳动者报酬比重地区差异的聚类研究.....	80
4.4 地区经济增长与劳动者报酬比重差异的关系	84
4.4.1 对库兹涅茨倒 U 假说的检验.....	84
4.4.2 经济发展阶段与收入分配关系.....	85
4.4.3 经济结构与收入分配关系.....	86
4.4.4 经济发展战略与收入分配关系.....	87
第五章 区域劳动者报酬比重变动的原因及影响因素分析.....	89
5.1 导致劳动者报酬比重下降的原因分析	89
5.1.1 劳动与资本所得变动的理论分析.....	89

5.1.2 我国地区劳动者报酬比重普遍下降的主要原因.....	91
5.2 我国地区劳动者报酬比重的影响因素分析	96
5.2.1 国外已有对劳动者报酬比重影响因素研究.....	97
5.2.2 地区劳动者报酬比重与经济增长的单位根检验和协整检验.....	99
5.2.3 假说的提出与数据变量选择.....	101
5.2.4 实证结果.....	103
第六章 提高区域劳动者报酬比重的政策建议	109
6.1 经济增长与提高劳动者报酬比重的权衡	109
6.1.1 先增长后分配的发展模式.....	109
6.1.2 重分配而轻增长的发展模式.....	110
6.1.3 边增长边分配的发展模式.....	111
6.2 推动劳动密集的外向型发展战略	112
6.3 推动东部地区技术升级、强化劳动在生产中地位	114
6.4 消除市场上的垄断性因素	116
6.4.1 产品市场的完全化.....	116
6.4.2 要素市场的完全化.....	118
6.5 加快地区劳动力向二三产业转移	120
6.5.1 优化第二产业内部结构.....	120
6.5.2 大力发展第三产业.....	121
6.5.3 优化配置农村剩余劳动力.....	122
参考文献.....	124
致 谢.....	135

Table of Contents

Preface	1
0.1 Statement of Problem.....	1
0.2 Study Route and Framework	2
0.3 Study Method and Objection	3
0.3.1 Study Method.....	3
0.3.2 Study Objection.....	4
0.4 Possible Innovation and Shortage.....	4
0.4.1 Possible Innovation.....	4
0.4.2 Shortage	5
Chapter 1 An Overview of Theory and Empirical Study of Factor Income Distribution.....	6
1.1 Relevant Concepts of Income Distributon	6
1.1.1 Functional Distribution of Income and Scale Distribution of Income....	6
1.1.2 Primary Income Distribution and Redistribution.....	6
1.1.3 Micro-income Distribution and Macro-income Distribution.....	7
1.1.4 Distribution according to Work and Distribution Based on Production Factors	7
1.2 Theory Study of Factor Distribution	8
1.2.1 Theory of Classicism Income Distribution	8
1.2.2 Theory of Neoclassicism Income Distribution	9
1.2.3 Theory of Keynesianism Income Distribution	11
1.2.4 Theory of Neo-Cambridge School Income Distribution.....	11
1.2.5 Theory of Distribution in Development Economics	12
1.2.6 Theory of Marxism Income Distribution	13
1.2.7 A Discussion of Distribution Theory	14
1.3 Empirical Study of Factor Distribution.....	15
1.3.1 Recent Empirical Study of Factor Income Distribution Abroad.....	15
1.3.2 Empirical Study of Factor Income Distribution in China	16
Chapter 2 Statistical Survey about Labor Income in China.....	19
2.1 The Change of Gross Labor Income in China	20
2.1.1 The Growth of Gross Resident Labor Income	20
2.1.2 The Change of Composition of Income Source.....	21

2.2 The Change of Average Wage in Different Industries.....	22
2.3 The Increase of Wage in Different Regions.....	23
2.4 The Income Level of Urban and Rural Labor.....	26
2.4.1 The Income Level of Urban Resident	26
2.4.2 The Income Level of Rural Resident	30
2.5 An Analysis of the Vicious Circle Mechanism of Low Labor Wage in Economy Development	34
2.5.1 The Impact of Low Wage at Various Stages of Development	34
2.5.2 A Bottomless Supply of Labor.....	35
2.5.3 The Vicious Circle of Low Wage and Low-level Industrial Structure..	35
2.5.4 The Vicious Circle of Low Wage and Gap between Rich and Poor	35
2.5.5 The Vicious Circle of Low Wage and Insufficient Effective Demand..	36
Chapter 3 A Macro-study on The Change of the Proportion of Labor Reward in China	37
 3.1 The Pattern of Macro-income Distribution	37
3.1.1 The Study of Macro-income Distribution from the Perspective of Income Approach of Project Structure and Flow of Fund Statement	37
3.1.2 International Comparison of the Proportion of Labor Reward	40
 3.2 The Hazard of Low Proportion of Labor Reward in Primary Distribution.....	42
3.2.1 Causing Low-level Competition and Backward Mode of Production..	43
3.2.2 Harming Domestic Demand, Especially Consumer Demand.....	43
3.2.3 Worsening Labor-capital Contradiction and Demotivating Labor.....	44
3.2.4 Adding Burden to Redistribution and Causing Social Inequity and Injustice	44
 3.3 The Impact of the Three Subject's Income Change on the Proportion of Labor Reward	45
3.3.1 Business Profit intruding on wage	45
3.3.2 Diminishing Proportion of Labor Reward as a Result of Rapid Growth of Government Fiscal Revenue	46
3.3.3 Slow Growth of the Source of Residential Disposable Income	48
 3.4 The Impact of Falling Proportion of Labor Reward on Macroeconomic Equilibrium	50
3.4.1 Weak Consumption as a Result of Stagnation in Resident Income Growth.....	50
3.4.2 Over-dependence on Investment and Trade to Drive the Economy	52
3.4.3 Rising Factor Price and thus Mounting Inflationary Pressure	53

3.5 A Quantitative Analysis of the Change of the Proportion of Labor Reward	53
3.5.1 A Comparison of Labor and Capital Contribution Rate to Output	53
3.5.2 An Analysis of Causes for Decreasing Proportion of Labor Reward ...	55
3.6 The Measure to Increase the Proportion of Labor Reward	57
3.6.1 The Role of Labor	57
3.6.2 The Role of Enterprise	58
3.6.3 The Role of Government.....	58
Chapter 4 A Correlational Study on Regional Economy Development and the Proportion of Labor Reward	62
 4.1 The Theory of Reginal Economy and the Reginal Economy Growth in China	62
4.1.1 The Theory of Reginal Economy Development	62
4.1.2 The Strategy for Reginal Economy Development after China's Reform and Opening up.....	66
 4.2 A Comparative Study of Regional Economic Gap.....	68
4.2.1 A Descriptive Analysis of Regional Economic Gap	68
4.2.2 A Cluster Analysis of Regional Economic Gap	73
 4.3 A Comparative Study of the Proportion of Labor Reward in Different Regions	78
4.3.1 Accounts of Research on the Change of the Proportion of Labor Reward in China	78
4.3.2 A Cluster Study of the Regional Difference in the Proportion of Labor Reward.....	80
 4.4 The Relationship between Regional Economic Growth and the Proportion of Labor Reward	84
4.4.1 A Test for the Hypothesis of Kuznets U Curve.....	84
4.4.2 The Relationship between Stages of Economic Development and Income Distribution.....	84
4.4.3 The Relationship between Economy Struction and Income Distribution	86
4.4.4 The Relationship between Economic Development Strategy and Income Distribution.....	87
Chapter 5 An Analysis of Causes and Factors on the Change of Proportion of Regional Labor Reward.....	89
 5.1 Analysis of Factors Contributing to Falling Proportion of Labor Reward	89
5.1.1 Theoretical Analysis of the Changing Proportion of Labor and Capital Reward.....	89

5.1.2 The Main Reason for Decreasing Proportion of Regional Labor Reward	91
5.2 Analysis of Factors Affecting Proportional of Regional Labor Reward .	96
5.2.1 Foreign Research on Factors Affecting Proportion of Labor Reward ..	97
5.2.2 Unit Root Test and Cointegration Test on Proportion of Regional Labor Reward and Economic Growth.....	99
5.2.3 The Introduction of Hypothesis and the Choice of Variable.....	101
5.2.4 Empirical Outcome	103
Chapter 6 Some Policy Proposal on Increasing Proportion of Regional Labor Reward	109
6.1 Maintenance of Balance between Economic Growth and the Increase of Proportion of Labor Reward	109
6.1.1 Developmental Pattern of Economic Growing First and then Distribution.....	109
6.1.2 Developmental Pattern of Placing More Emphasis on Distribution than Economic Growth.....	110
6.1.3 Developmental Pattern of Economic Growing and Distributing at the Same Time	111
6.2 Promoting an Outward-Looking Strategy for Labor Intensive Industries	112
6.3 Promoting Technical Update of Industries in Eastern Region and Underlining Labor's Role in Production	114
6.4 Eliminating Monopoly in the Marketplace	116
6.4.1 Enabling Perfect Competition of Product Market	116
6.4.2 Enabling Perfect Competition of Factor Market.....	118
6.5 Speeding up the Shift of Regional Labor Force to the Secondary and Tertiary Industry.....	120
6.5.1 Restructuring Secondary Industry.....	120
6.5.2 Striving to Develop Tertiary Industry	121
6.5.3 Optimizing the Allocation of Surplus Rural Labor.....	122
Reference.....	124
Acknowledgement	135

厦门大学博硕士论文摘要库

Degree papers are in the "[Xiamen University Electronic Theses and Dissertations Database](#)". Full texts are available in the following ways:

1. If your library is a CALIS member libraries, please log on <http://etd.calis.edu.cn/> and submit requests online, or consult the interlibrary loan department in your library.
2. For users of non-CALIS member libraries, please mail to etd@xmu.edu.cn for delivery details.

厦门大学博硕士论文摘要库