

# 关于局部对称空间中具有平行平均曲率向量量子流形的 Pinching 定理

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**摘要:** 设  $M$  为单位球面  $S^{n+p}(1)$  中的一个紧致子流形.  $M = \cup_x M_x$  是  $M$  的单位切丛. 陈卿引入函数  $f(x) = \max_{u,v \in M_x} B(u,u) - B(v,v)^2$ , 其中  $B$  是  $M$  的第二基本形式. 当  $M$  具有平行平均曲率向量时, 陈卿通过研究函数  $f(x)$ , 得到一个 Pinching 定理. 当考虑外围流形为局部对称空间时, 我们应用 Gauss 方程, Ricci 方程和外围空间的局部对称性质等方法得到: 若  $f(x)$  满足一个 Pinching 条件, 则  $M$  或是全脐的或是一个 Veronese 曲面. 当  $p = 2$  时, 所得的结果改进了陈卿研究的相应结果.

**关键词:** 平均曲率; 局部对称空间; 第二基本形式

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## 1 介绍

设  $M^n$  是  $S^{n+p}$  的一个紧致浸入子流形,  $B$  是  $M$  的第二基本形式.  $M = \cup_x M_x$  是  $M$  的单位切丛,  $M_x = \{u \in T_x M, |u|^2 = 1\}$ , 设  $f(x) = \max_{u,v \in M_x} B(u,u) - B(v,v)^2$ .

陈卿在文献[1]中证得如下定理:

**定理 A** 设  $M^n$  是  $S^{n+p}$  的一个具有平行平均曲率向量的紧致子流形

(i)  $P = 1$ . 若  $f(x) < 4$ , 则  $M$  是全脐超曲面或是  $S^l(\frac{1}{\sqrt{2}}) \times S^m(\frac{1}{\sqrt{2}})$ , ( $l + m = n$ ),

(ii)  $P = 2$ . 若  $f(x) < \frac{2n}{2n-1}$ , 则  $M$  或是全脐的或是一个 Veronese 曲面.

对于  $P = 2$  的情况, 本文把上述结果改进为如下:

**定理 1** 设  $M^n$  是  $S^{n+p}$  的一个具有平行平均曲率向量的紧致子流形,  $P = 2$ , 若  $f(x) < \frac{8n}{7n-2}$ , 则  $M$  或是全脐的或是一个 Veronese 曲面.

对于外围空间为局部对称空间  $N^{n+p}$  的情况, 有下面结论:

**定理 2** 设  $M^n$  是局部对称空间  $N^{n+p}$  中一个具有

平行平均曲率向量的紧致子流形,  $N^{n+p}$  的截曲率  $K^N$  满足:  $\frac{1}{2} < K^N < 1$ .

(i)  $P = 1$ , 若  $c_1 < f(x) < c_2$ , 则  $M$  是全脐超曲面或是  $S^l(\frac{1}{\sqrt{2}}) \times S^m(\frac{1}{\sqrt{2}})$ , ( $l + m = n$ );

(ii)  $P = 2$ , 若  $c_3 < f(x) < c_4$ , 则  $M$  或是全脐的或是一个 Veronese 曲面.

其中  $c_1, c_2$  为关于  $x$  的方程

$$-\frac{1}{4}x^2 + \left[ (2 - 1)n - \frac{1}{2}(1 - ) \right] x -$$

$$\frac{1}{2}(1 - )n^2 H^2 = 0$$

的两根,  $c_3, c_4$  为关于  $x$  的方程

$$-\frac{m-2}{8}x^2 + \left[ (2 - 1)n - \frac{13}{3}(1 - )(n-1)\sqrt{P} \right] x -$$

$$(1 - )\frac{n^2}{n-1}\sqrt{P}H^2 = 0$$

的两根.

注  $P = 1$  时, 定理 2 就是定理 1.

## 2 准备

本文约定:  $1 \leq A, B, \dots \leq n + P, 1 \leq i, j, \dots \leq n, n + 1, \dots, n + P$ .

设  $M = \cup_x M_x$  是  $M$  的单位切丛,  $M_x = \{u \in T_x M : |u|^2 = 1\}$ .

定义函数  $f(x)$  如下

$$f(x) = \max_{u,v \in M_x} B(u,u) - B(v,v)^2,$$

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设  $x_0 \in M$ , 使得  $f(x_0) = 0$ , 因而存在  $u_0, v_0 \in M_{x_0}$  满足:  $f(x_0) = B(u_0, u_0) - B(v_0, v_0) = 0$ .

选取  $x_0$  的局部标架场  $\{e_A\}$ , 使得:

$$e_{n+1} = \frac{B(u_0, u_0) - B(v_0, v_0)}{B(u_0, u_0) - B(v_0, v_0)} = 1,$$

则有<sup>[1]</sup>

$$f(x_0) = (h_{11}^{n+1} - h_{nn}^{n+1})^2 \quad (1)$$

$$h_{11} = h_{nn}, \quad (n+1) \quad (2)$$

$$(h_{ij})^2 = \frac{1}{4} f(x), \quad (\forall i, j) \quad (3)$$

$$h_{11}^{n+1} = h_{22}^{n+1} = \dots = h_{nn}^{n+1}, h_{ij}^{n+1} = 0, \quad (i, j) \quad (4)$$

在  $M$  上定义一个张量场  $H = (H_{ijkl})$  如下

$$H_{ijkl} = h_{ij} h_{kl},$$

则在上述标架下有

$$f(x_0) = H_{1111} + H_{nnnn} - 2H_{11nn},$$

记

$$(1, n) = (H)_{1111} + (H)_{nnnn} - 2(H)_{11nn},$$

则有<sup>[1]</sup>

$$\frac{1}{2} (1, n) = (h_{11}^{n+1} - h_{nn}^{n+1})(h_{11}^{n+1} - h_{nn}^{n+1}) \quad (5)$$

又

$$h_{ijkl} - h_{ijlk} = h_{im} R_{mjkl} + h_{mj} R_{mikl} - h_{ij} R_{kl} \quad (6)$$

$$R_{ijkl} = (h_{ik} h_{jl} - h_{il} h_{jk}) + (h_{ik} h_{jl} - h_{il} h_{jk}) \quad (7)$$

$$R_{ij} = (h_{ik} h_{jk} - h_{jk} h_{ik}) \quad (8)$$

$$h_{ijk} = h_{ikj} \quad (9)$$

由式(5) ~ (9) 得

$$\frac{1}{2} (1, n) = A + B \quad (10)$$

其中

$$A = nf(x) - 2(h_{11}^{n+1} - h_{nn}^{n+1}) \cdot [(h_{11}^{n+1} - h_{nn}^{n+1})(h_{11}^{n+1} - h_{nn}^{n+1})^2 + (h_{nn}^{n+1} - h_{mm}^{n+1})(h_{nn}^{n+1} - h_{mm}^{n+1})^2],$$

$$B = f(x) \left\{ (h_{nn}^{n+1})^2 + h_{11} h_{nn} + (h_{11}^{n+1} + h_{nn}^{n+1}) h_{nn}^{n+1} \right\}.$$

引理 1  $A = f(x) \left\{ n - \frac{n}{2} f(x) \right\}$ .

证明 由式(3)、(4) 得

$$A = nf(x) - 2(h_{11}^{n+1} - h_{nn}^{n+1}) \cdot \left\{ (h_{11}^{n+1} - h_{nn}^{n+1}) \frac{f(x)}{4} + (h_{nn}^{n+1} - h_{mm}^{n+1}) \frac{f(x)}{4} \right\} = f(x) \left\{ n - \frac{n}{2} f(x) \right\}.$$

引理 2 
$$h_{11} h_{nn} + \frac{1}{4} (h_{11}^{n+1} - h_{nn}^{n+1}) \cdot (h_{nn}^{n+1} - h_{mm}^{n+1}) = \frac{n-2}{8} f(x).$$

证明

$$\begin{aligned} & h_{11} h_{nn} - \frac{1}{4} (h_{11} - h_{nn})^2 = \\ & - \frac{1}{4} (h_{11} - h_{nn})^2 + \frac{1}{4} (h_{11}^{n+1} - h_{nn}^{n+1})^2 \\ & - \frac{n-2}{4} f(x) + \frac{1}{4} (h_{11}^{n+1} - h_{nn}^{n+1})^2 \quad (11) \\ & h_{nn} h_{mm} - \frac{1}{4} (h_{nn} - h_{mm})^2 = \\ & - \frac{1}{4} (h_{nn} - h_{mm})^2 + \frac{1}{4} (h_{nn}^{n+1} - h_{mm}^{n+1})^2 \quad (12) \end{aligned}$$

$$\begin{aligned} & (h_{11}^{n+1} - h_{nn}^{n+1})^2 + (h_{11}^{n+1} - h_{nn}^{n+1})(h_{nn}^{n+1} - h_{mm}^{n+1}) = \\ & (h_{11}^{n+1} - h_{nn}^{n+1}) \{ (h_{11}^{n+1} - h_{nn}^{n+1}) + (h_{nn}^{n+1} - h_{mm}^{n+1}) \} = \\ & (h_{11}^{n+1} - h_{nn}^{n+1})(h_{11}^{n+1} - h_{mm}^{n+1}) \quad (13) \end{aligned}$$

$$\begin{aligned} & (h_{nn}^{n+1} - h_{mm}^{n+1})^2 + (h_{nn}^{n+1} - h_{mm}^{n+1})(h_{11}^{n+1} - h_{nn}^{n+1}) = \\ & (h_{nn}^{n+1} - h_{mm}^{n+1}) \{ (h_{nn}^{n+1} - h_{mm}^{n+1}) + (h_{11}^{n+1} - h_{nn}^{n+1}) \} = \\ & (h_{nn}^{n+1} - h_{mm}^{n+1})(h_{11}^{n+1} - h_{mm}^{n+1}) \quad (14) \end{aligned}$$

由式(2)、(11) ~ (14) 得:

$$\begin{aligned} & h_{11} h_{nn} + \frac{1}{4} (h_{11}^{n+1} - h_{nn}^{n+1})(h_{nn}^{n+1} - h_{mm}^{n+1}) = \\ & \frac{1}{2} h_{11} h_{nn} + \frac{1}{2} h_{nn} h_{mm} + \\ & \frac{1}{4} (h_{11}^{n+1} - h_{nn}^{n+1})(h_{nn}^{n+1} - h_{mm}^{n+1}) \\ & - \frac{n-2}{4} f(x) + \frac{1}{8} (h_{11}^{n+1} - h_{nn}^{n+1}) \cdot \\ & (h_{11}^{n+1} - h_{nn}^{n+1}) + (h_{nn}^{n+1} - h_{mm}^{n+1})(h_{11}^{n+1} - h_{nn}^{n+1}) \} = \\ & - \frac{n-2}{4} f(x) + \frac{n-2}{8} f(x) = - \frac{n-2}{8} f(x). \end{aligned}$$

引理 3  $B = \frac{3n-2}{8} f^2(x)$ .

证明  $B = f(x) \left\{ (h_{11}^{n+1} - h_{nn}^{n+1})(h_{nn}^{n+1} - h_{mm}^{n+1}) + nh_{11}^{n+1} h_{nn}^{n+1} + h_{11} h_{nn} \right\} + f(x) \left\{ (h_{11}^{n+1} - h_{nn}^{n+1})(h_{nn}^{n+1} - h_{mm}^{n+1}) - \frac{n}{4} (h_{11}^{n+1} - h_{nn}^{n+1})^2 + h_{11} h_{nn} \right\} = f(x) \left\{ (h_{11}^{n+1} - h_{nn}^{n+1})(h_{nn}^{n+1} - h_{mm}^{n+1}) - \frac{n}{4} f(x) + h_{11} h_{nn} \right\} \quad (15)$

由引理 2, 式(15) 得:

$$B = f(x) \left\{ \frac{3}{4} \left( h_{11}^{n+1} - h_{ii}^{n+1} \right) \left( h_{ii}^{n+1} - h_{mm}^{n+1} \right) - \frac{n}{4} f(x) - \frac{n-2}{8} f(x) \right\} = -\frac{3n-2}{8} f^2(x).$$

引理 4  $f = 0$ , 当且仅当  $M$  是全脐点流形.

### 3 定理 1 的证明

由式(10), 引理 1 及引理 3 得

$$\frac{1}{2} \left( 1, \eta \right) f(x) \left\{ n - \frac{7n-2}{8} f(x) \right\}.$$

若  $f = 0 \Rightarrow M$  是全脐点流形.

若  $f = \frac{8n}{7n-2}$ , 由式(15) 取等号得:

$$\forall n+1, h_{11} = h_{mm} = 0, n = 2 \quad (16)$$

由  $nh_{11}^{n+1} h_{mm}^{n+1} = -\frac{1}{4} \left( h_{11}^{n+1} - h_{mm}^{n+1} \right)^2$  和式(16) 得

$$h_{11}^{n+1} + h_{mm}^{n+1} = 0.$$

所以,  $M$  是极小的, 因此  $M$  是一个 Veronese 曲面<sup>[2]</sup>.

### 4 定理 2 的证明

$$\begin{aligned} h_{11\ddot{u}} &= h_{1\ddot{u}i} - K_{11\ddot{u}}^N = h_{11i} - K_{11\ddot{u}}^N = \\ h_{11\ddot{u}} &+ h_{im} R_{m11i} + h_{m1} R_{m1i} - h_{i1} R_{1i} - K_{11\ddot{u}}^N = \\ h_{im} R_{m11i} &+ h_{m1} R_{m1i} - h_{i1} R_{1i} - K_{i1\ddot{u}}^N - K_{11\ddot{u}}^N \end{aligned} \quad (17)$$

由式(5), (17) 以及 Gauss 方程, Ricci 方程以及  $0 < K_{ijij}^N = 1$  得

$$\frac{1}{2} \left( 1, \eta \right) nf(x) + A + B + C \quad (18)$$

其中

$$\begin{aligned} A &= -2 \left( h_{11}^{n+1} - h_{mm}^{n+1} \right) \left[ \left( h_{11}^{n+1} - h_{ii}^{n+1} \right) \left( h_{ii} \right)^2 + \left( h_{ii}^{n+1} - h_{mm}^{n+1} \right) \left( h_{ii} \right)^2 \right], \\ B &= f(x) \left\{ - \left( h_{ii}^{n+1} \right)^2 + h_{11} h_{ii} + \left( h_{11}^{n+1} + h_{ii}^{n+1} \right) h_{ii}^{n+1} \right\}, \\ C &= - \left( h_{11}^{n+1} - h_{mm}^{n+1} \right) \left\{ \left[ K_{n+1, i\ddot{u}i}^N + K_{n+1, 11\ddot{u}}^N - K_{n+1, inin}^N - K_{n+1, mii}^N \right] + \left[ h_{i1} K_{n+1, 1i}^N - h_{in} K_{n+1, ni}^N \right] \right\}. \end{aligned}$$

由  $N$  的局部对称性, 得:

$$\begin{aligned} C &= - \left( h_{11}^{n+1} - h_{mm}^{n+1} \right) \left\{ 3 K_{n+1, 1i}^N h_{1i} + 3 K_{n+1, ni}^N h_{ni} + K_{n+1, i}^N \left( h_{11} - h_{mm} \right) + K_{n+1, i\ddot{u}}^N h_{i\ddot{u}} + K_{n+1, 1i}^N h_{1i} + K_{n+1, in}^N h_{in} + K_{n+1, ni}^N h_{ni} - \left( h_{11}^{n+1} - h_{ii}^{n+1} \right) K_{i\ddot{u}i}^N - \left( h_{ii}^{n+1} - h_{mm}^{n+1} \right) K_{inin}^N + \right. \end{aligned}$$

$$K_{n+1, 11}^N h_{ii} - K_{n+1, mm}^N h_{ii} \left. \right\} \quad (19)$$

(i) 先讨论  $p = 2$  的情况.

$$\text{引理 5 } C = \frac{13}{3} \left( 1 - \right) \left( n - 1 \right) \sqrt{p} f(x) - \left( 1 - \right) nf(x) - \left( 1 - \right) \frac{\sqrt{p}}{n-1} n^2 H^2$$

$$\text{证明 } 3 \left| K_{n+1, i\ddot{u}}^N \left( h_{11}^{n+1} - h_{mm}^{n+1} \right) \right|$$

$$\left( 1 - \right) \left\{ \left( h_{1i} \right)^2 + \frac{1}{4} \left( h_{11}^{n+1} - h_{mm}^{n+1} \right)^2 \right\}$$

$$\left( 1 - \right) \left\{ \frac{1}{4} \left( n - 1 \right) f(x) + \frac{n-1}{4} pf(x) \right\} =$$

$$\left( 1 - \right) \left( n - 1 \right) f(x) \left\{ \frac{1}{4} + \frac{p}{4} \right\} =$$

$$\left( 1 - \right) \left( n - 1 \right) \sqrt{p} f(x) \quad \left( \text{取 } p = 2 \sqrt{p} \right) \quad (20)$$

同理

$$3 \left| K_{n+1, ni}^N h_{ii} \left( h_{11}^{n+1} - h_{mm}^{n+1} \right) \right|$$

$$\left( 1 - \right) \left( n - 1 \right) \sqrt{p} f(x) \quad (21)$$

$$\left| K_{n+1, i\ddot{u}}^N h_{i\ddot{u}} \left( h_{11}^{n+1} - h_{mm}^{n+1} \right) \right|$$

$$\frac{1}{3} \left( 1 - \right) \left( n - 1 \right) \sqrt{p} f(x) \quad (22)$$

$$\left| K_{n+1, 1i}^N h_{1i} \left( h_{11}^{n+1} - h_{mm}^{n+1} \right) \right|$$

$$\frac{1}{3} \left( 1 - \right) \left( n - 1 \right) \sqrt{p} f(x) \quad (23)$$

$$\left| K_{n+1, in}^N h_{in} \left( h_{11}^{n+1} - h_{mm}^{n+1} \right) \right|$$

$$\frac{1}{3} \left( 1 - \right) \left( n - 1 \right) \sqrt{p} f(x) \quad (24)$$

$$\left| K_{n+1, ni}^N h_{in} \left( h_{11}^{n+1} - h_{mm}^{n+1} \right) \right|$$

$$\frac{1}{3} \left( 1 - \right) \left( n - 1 \right) \sqrt{p} f(x) \quad (25)$$

$$- K_{n+1, i}^N \left( h_{11} - h_{mm} \right) \left( h_{11}^{n+1} - h_{mm}^{n+1} \right) =$$

$$- K_{n+1, i, n+1, i}^N \left( h_{11}^{n+1} - h_{mm}^{n+1} \right)^2 - nf(x) \quad (26)$$

$$\left( h_{11}^{n+1} - h_{mm}^{n+1} \right) \left\{ \left( h_{11}^{n+1} - h_{ii}^{n+1} \right) K_{i\ddot{u}i}^N + \left( h_{ii}^{n+1} - h_{mm}^{n+1} \right) K_{inin}^N \right\} +$$

$$\left( h_{11}^{n+1} - h_{mm}^{n+1} \right) \left\{ \left( h_{11}^{n+1} - h_{ii}^{n+1} \right) + \left( h_{ii}^{n+1} - h_{mm}^{n+1} \right) \right\} = nf(x) \quad (27)$$

$$\left| \left( K_{n+1, 11}^N - K_{n+1, mm}^N \right) h_{ii} \left( h_{11}^{n+1} - h_{mm}^{n+1} \right) \right|$$

$$\left( 1 - \right) \left\{ \frac{1}{4} \left( h_{11}^{n+1} - h_{mm}^{n+1} \right)^2 + \left( h_{ii} \right)^2 \right\} =$$

$$\left( 1 - \right) \left\{ \frac{p}{4} f(x) + n^2 H^2 \right\} =$$

$$\left( 1 - \right) \left( n - 1 \right) \sqrt{p} f(x) + \left( 1 - \right) \frac{\sqrt{p}}{n-1} n^2 H^2 \quad (28)$$

由式(20) ~ (28) 得引理 5.

由式(18), 引理 1, 3, 5 得



$$\frac{1}{2} \left( 1 - \eta \right) - \frac{7n-2}{8} f^2(x) + \left( 1 - 2 \right) n - \frac{13}{3} \left( 1 - \right) \left( n - 1 \right) \sqrt{p} f(x) - \left( 1 - \right) \frac{n^2 \sqrt{p}}{n-1} H^2 \quad (29)$$

由题设和式(29)知:式(26) ~ (28) 取等号.

式(26) 取等号得

$$K_{n+1,i,n+1,i}^N = 1, \forall i \quad (30)$$

式(27) 取等号得

$$K_{i,i}^N = K_{min}^N = , \forall i \quad 1, n \quad (31)$$

式(28) 取等号得

$$K_{n+1,1,n+1,1}^N = \text{或} K_{n+1,n,n+1,n}^N = \quad (32)$$

由式(30), (32) 知 = 1.

所以由定理 1 立即得定理 2.

(ii)  $p = 1$  的情况.

引理 6  $C = \left( 1 - \right) n f(x) - \frac{1}{2} \left( 1 - \right) f(x) -$

$$\frac{1}{2} \left( 1 - \right) n^2 H^2.$$

证明 由式(19) 知

$$C = - K_{n+1,i,n+1,i}^N \left( h_{11}^{n+1} - h_{mm}^{n+1} \right)^2 + \left( h_{11}^{n+1} - h_{mm}^{n+1} \right) \left\{ \left( h_{11}^{n+1} - h_{ii}^{n+1} \right) K_{i,i}^N + \right.$$

$$\left. \left( h_{ii}^{n+1} - h_{mm}^{n+1} \right) K_{inin}^N \right\} + \left( h_{11}^{n+1} - h_{mm}^{n+1} \right) \cdot \left\{ \left( K_{n+1,1,n+1,1}^N - K_{n+1,n,n+1,n}^N \right) h_{ii}^{n+1} \right\} - n f(x) + n f(x) + \left( h_{11}^{n+1} - h_{mm}^{n+1} \right) \cdot \left( K_{n+1,1,n+1,1}^N - K_{n+1,n,n+1,n}^N \right) h_{ii}^{n+1} \quad (33)$$

$$\left| \left( h_{11}^{n+1} - h_{mm}^{n+1} \right) \left( K_{n+1,1,n+1,1}^N - K_{n+1,n,n+1,n}^N \right) h_{ii}^{n+1} \right| \frac{1}{2} \left( 1 - \right) \left\{ \left( h_{11}^{n+1} - h_{mm}^{n+1} \right)^2 + \left( h_{ii}^{n+1} \right)^2 \right\} =$$

$$\frac{1}{2} \left( 1 - \right) \left\{ f(x) + n^2 H^2 \right\} \quad (34)$$

由式(33), (34) 得引理 6.

由引理 6, 式(18) 得

$$\frac{1}{2} \left( 1, \eta \right) - \frac{n}{4} f^2(x) + \left( 2 - 1 \right) n - \frac{1}{2} \left( 1 - \right) f(x) - \frac{1}{2} \left( 1 - \right) n^2 H^2 \quad (35)$$

由题设, 式(35) 得(33)、(34) 取等号, 所以 = 1.

由定理 A, 得定理 2 成立.

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## A Pinching Theorem for Submanifolds of Locally Symmetric Space with Parallel Mean Curvature

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**Abstract :** Let  $M^n$  be a compact submanifold of unit sphere  $S^{n+p}$   $M = \times_x M_x$   $M_x$  is the unit tangent bundle on  $M$ . Chen Qing constructed a function  $f(x) = \max_{u,v \in M_x} \left( B(u,u) - B(v,v) \right)^2$ , where  $B$  is the second fundamental form of  $M$ . When  $M$  has parallel mean curvature vector, Chen Qing obtained a Pinching theorem through studying function  $f(x)$ . When considering outer space which is locally symmetry, we apply Gauss equation, Ricci equation and the property of locally symmetry of the outer space, then we obtain the following theorem: if  $M$  satisfies a Pinching condition,  $M$  is a totally umbilical submanifold or a Veronese surface. When  $p \geq 2$ , What we obtain improves the corresponding theorem of Chen qin s.

**Key words :** mean curvature; locally symmetric space; second fundamental form