# THE SOLUBILITY OF DIAGONAL CUBIC SURFACES

## BY SIR PETER SWINNERTON-DYER

ABSTRACT. – Let F be an algebraic number field not containing the primitive cube roots of unity, and let

$$a_1X_1^3 + a_2X_2^3 = a_3X_3^3 + a_4X_4^3$$

be a diagonal cubic surface defined over F and everywhere locally soluble. Subject to the assumption that the Tate-Šafarevič group of every relevent elliptic curve is finite, the paper shows that under a very weak additional condition the surface contains points defined over F. Some condition (the Brauer–Manin obstruction) is known to be necessary, but the condition imposed in the paper (which is local) is slightly stronger. More remarkable is the condition on F, which seems to be an artefact of the proof and not intrinsic to the problem.

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RÉSUMÉ. – Soit F un corps de nombres qui ne contient pas les racines primitives cubiques de l'unité. Considérons une surface cubique diagonale

$$a_1X_1^3 + a_2X_2^3 = a_3X_3^3 + a_4X_4^3$$

définie sur F et possédant des points rationnels sur tous les complétés de F. En admettant la finitude des groupes de Tate-Šafarevič de certaines courbes elliptiques, et en faisant une hypothèse assez faible sur les coefficients, on montre que la surface possède un point rationnel sur F. La condition sur les coefficients est un peu plus forte que la condition de Brauer-Manin. L'hypothèse sur le corps F lui-même est plus étonnante ; elle est due à la méthode utilisée et ne doit pas être inhérente au problème.

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## 1. Introduction

Within the algebraic closure  $\bar{\mathbf{Q}}$ , let  $\omega$  be a fixed primitive cube root of unity and  $k_0$  an algebraic number field not containing  $\omega$ . We shall be concerned with the solubility over  $k_0$  of diagonal cubic surfaces

(1) 
$$V: a_1 X_1^3 + a_2 X_2^3 = a_3 X_3^3 + a_4 X_4^3$$

where  $a_1a_2a_3a_4 \neq 0$ . Without loss of generality we can assume that the  $a_i$  are integers of  $k_0$ . The condition that  $k_0$  does not contain  $\omega$  may appear perverse and unnatural, but it seems essential for the approach used here. It does cover the important case  $k_0 = \mathbf{Q}$ , but I do not see how to treat this case without treating at the same time a somewhat more general one. My approach is to construct a certain quadratic extension  $k/k_0$ , where k also does not contain  $\omega$ , and to prove the solubility of (1) over k; as is well known, solubility over  $k_0$  follows immediately. The motive for this is that we can impose additional conditions on k without putting corresponding constraints

on  $k_0$ . The construction of  $k/k_0$  is given in Theorem 3 in §5, and  $k_0$  will not appear again (after the end of the Introduction) until then.

Of the previous papers about Eq. (1), the most relevent are [5] and [8]; summaries of the results of [5], with a few more comments, can be found in [4] and [9], and [8] contains conditional proofs of the solubility of (1) in some special cases. It was shown by Cassels and Guy [3] that the Hasse principle does not hold for diagonal cubic surfaces. However, there is overwhelming numerical evidence in [5] that for Eq. (1) defined over **Q** the only obstruction to the Hasse principle is the Brauer–Manin obstruction.

A number of recent papers of which I have been author or co-author have studied rational points on certain types of surface by treating the surface as a pencil of curves of genus 1. These include [1,6] and [12]. The results have depended on two major conjectures: Schinzel's Hypothesis and the finiteness of the Tate-Šafarevič group for all relevent elliptic curves. The second of these is essential in this paper also; the elliptic curves for which we need it are those of the form  $X^3 + Y^3 = AZ^3$  defined over certain quadratic extensions of  $k_0$ , where the identity under the group law is the point O = (1, -1, 0). But we have avoided the use of Schinzel's Hypothesis by means of a device which in this context is due to Heath-Brown [8]. Instead of treating (1) as a pencil of curves of genus 1 by writing for example  $X_3/X_4 = \lambda/\mu$ , we look for solutions of the pair of equations

(2) 
$$a_1 X_1^3 + a_2 X_2^3 = B X_0^3, \qquad a_3 X_3^3 + a_4 X_4^3 = B X_0^3$$

for some suitably chosen B. The advantage of this method is that by using Dirichlet's theorem on primes in arithmetic progression we can arrange the prime factorization of B to suit our convenience; by contrast, any argument which invokes Schinzel's Hypothesis requires one to cope with what I have elsewhere called the Schinzel primes. (It is true that Dirichlet's theorem can be regarded as a special case of Schinzel's Hypothesis, but its use does not involve anything analogous to the Schinzel primes.) The disadvantage of the present methods is that we have to coordinate the descents on two elliptic curves; and to make the method work it appears necessary to impose on the surface V given by (1) additional conditions which do not always hold even when the Eq. (1) is soluble. For this and other reasons, it will be clear that the approach in this paper is not the right one; but as yet the right one is not known. In this paper the situation is made somewhat worse because, in the interests of simplicity, I have chosen not to make full use of the primes of  $k_0$  which divide 3; but even if I had used them I could not have obtained the whole truth. Even if stronger results could be obtained by means of second descents, using the methodology of Cassels [2], such an approach appears incapable of proving the conjecture that for surfaces (1) the Brauer-Manin obstruction is the only obstruction to the Hasse principle. Indeed, in the present context there are two ways in which the Brauer-Manin obstruction on Vcan vanish. Let A be the relevent Azumaya algebra, as described for example in [5]. Either there is a place v such that  $\operatorname{inv}_v \mathcal{A}(P_v)$  is not constant as  $P_v$  runs through  $V(k_v)$ , or each  $\operatorname{inv}_v \mathcal{A}(P_v)$  is constant but the sum of these values over all v vanishes. One might hope to improve the approach in this paper so as to prove solubility of (1) in the first case, subject always to the condition that  $\omega$  is not in  $k_0$ ; but the second case appears to require quite different methods. In consequence, though this paper can be regarded as modelled on the earlier parts of [6], there is in the main theorems no mention of the Brauer-Manin obstruction nor indeed of any non-local obstruction.

In the course of this paper, we repeatedly use the following version of Dirichlet's theorem on primes in an arithmetic progression.

DIRICHLET'S THEOREM. – Let L be an algebraic number field and  $\mathfrak{p}_1, \ldots, \mathfrak{p}_r$  distinct primes of L. For  $i=1,\ldots,r$  let  $n_i$  be a positive integer and  $\alpha_i$  an element of  $\mathfrak{o}^*_{\mathfrak{p}_i}$ ; and let  $\mathfrak{a}$  be a nonzero

ideal of L whose prime factorization does not involve any of the  $\mathfrak{p}_i$ . Then there is an element  $\beta$  of L such that  $\beta \equiv \alpha_i \mod \mathfrak{p}_i^{n_i}$  for each i and  $(\beta) = \mathfrak{ap}$  for some prime ideal  $\mathfrak{p}$ .

To prove this requires only minor modifications of the standard analytic proof of Dirichlet's theorem for an algebraic number field in its customary form. Alternatively, it follows easily from Class Field Theory; see for example [7], §8, Satz 13.

With minor exceptions, in this paper  $K=k(\omega)$  where k is an algebraic number field not containing  $\omega$ , and  $\sigma$  is the nontrivial automorphism of K/k. If  $\mathfrak P$  is a prime in K, then  $\Pi$  will always be a uniformizing variable for  $\mathfrak P$ . The ring of integers of K will be denoted by  $\mathfrak D$  and that of k by  $\mathfrak o$ . Suppose that  $\xi$  is an element of some vector space over  $\mathbf F_3$  associated with  $\bigoplus_{\mathfrak P\in\mathcal S}K_{\mathfrak P}^*$  where  $\mathcal S$  is a finite set of places of K. We shall write  $\mathfrak P_0^\nu\|\xi$  for  $\nu$  in  $\mathbf Z/(3)$  if every representative  $x=\sum x_{\mathfrak P}$  of  $\xi$  satisfies  $\mathfrak P_0^n\|x_{\mathfrak P_0}$  where  $\nu$  is the image of n in  $\mathbf Z/(3)$ . In particular we shall say that  $\xi$  is a unit at  $\mathfrak P_0$  if  $\nu=0$  and a non-unit at  $\mathfrak P_0$  otherwise. There are similar definitions for k.

Let  $S_0$  be a finite set of places of K which contains the archimedean places, the primes dividing 3, and a set of generators for the ideal class group of K; and let  $S_1$  be a finite set of places of K which contains  $S_0$  and all the primes which divide  $a_1a_2a_3a_4$ . We assume that  $S_0$  and  $S_1$  are chosen to be stable under  $\sigma$ . The sets S and  $S_+$  will always be finite sets of places of K, stable under  $\sigma$  and satisfying  $S_+ \supset S \supset S_0$ . The letter  $\Sigma$  with any affix will denote the set of places of K lying under a place of the set S with the corresponding affix. Note that  $\Sigma_0$  already includes all places which ramify in K/k. We retain all this notation throughout the paper.

In formulating a solubility theorem for (1), we may clearly assume that none of the  $a_i/a_j$  is in  $k^{*3}$ ; for otherwise solubility is trivial. Moreover it has long been known (Selmer [11]) that if for example  $a_1a_2/a_3a_4$  is in  $k^{*3}$  then (1) obeys the Hasse principle. Hence it costs us nothing to assume that none of the expressions like  $a_1a_2/a_3a_4$  is in  $k^{*3}$ . These restrictions, which are equivalent to the corresponding ones over  $k_0$ , are worthwhile because they eliminate a number of special cases in the arguments which follow.

Condition 1. – Each of the fields like  $K(\sqrt[3]{a_1/a_2})$  and  $K(\sqrt[3]{a_1a_2/a_3a_4})$  is an extension of degree 3 over  $K = k(\omega)$ .

Subject to all this, the strongest result which I have been able to obtain by means of first descents alone is that stated in Theorem 3; and Theorem 1 summarizes the consequences of Theorem 3 if we make no use of the primes which divide 3. The proof of Theorem 1, together with a more elementary but less succinct version of the criterion in (iii), can be found in §6.

THEOREM 1. — Let  $k_0$  be an algebraic number field not containing the primitive cube roots of unity. Assume that the Tate-Šafarevič group of every elliptic curve (4) over any quadratic extension of  $k_0$  is finite. If Eq. (1) is everywhere locally soluble, then each of the following three criteria is sufficient for its solubility in  $k_0$ .

- (i) There exist primes  $\mathfrak{p}_1, \mathfrak{p}_3$  of  $k_0$  not dividing 3 such that  $a_1$  is a non-unit at  $\mathfrak{p}_1$  and  $a_3$  is a non-unit at  $\mathfrak{p}_3$ , but for j=1 or 3 the three  $a_i$  with  $i\neq j$  are units at  $\mathfrak{p}_j$ .
- (ii) There is a prime  $\mathfrak{p}$  of  $k_0$  not dividing 3 such that  $a_1$  is a non-unit at  $\mathfrak{p}$  but the other  $a_i$  are units there; and  $a_2, a_3, a_4$  are not all in the same coset of  $(k_0)_{\mathfrak{p}}^{*3}$ .
- (iii) There is a prime  $\mathfrak{p}$  of  $k_0$  not dividing 3 such that exactly two of the  $a_i$  are units at  $\mathfrak{p}$ , and (1) is not birationally equivalent to a plane over  $(k_0)_{\mathfrak{p}}$ .

The obstructions in this theorem and the Brauer–Manin obstruction appear to be related as follows. The arguments in §5 of [5], generalized to the present context, show that under each of the criteria above there is a prime  $\mathfrak p$  of bad reduction for V such that V is not birationally equivalent to a plane over  $(k_0)_{\mathfrak p}$ . (Indeed, criterion (i) demands two such primes.) Provided  $\mathfrak p \nmid 3$  this implies that there is no Brauer–Manin obstruction for our surface – for if  $\mathcal A$  is the relevant

Azumaya algebra,  $\operatorname{inv}_{\mathfrak{p}} \mathcal{A}(P_{\mathfrak{p}})$  is not constant as  $P_{\mathfrak{p}}$  runs through the  $\mathfrak{p}$ -adic points of V. One would hope that this holds even if  $\mathfrak{p}|3$ .

There has also been some recent interest in the solubility of diagonal cubic threefolds. The idea of proving a solubility theorem for a variety by considering suitably chosen sections is an old one; for it in this context see [5], §9. Subject always to the finiteness of the relevent Tate—Šafarevič groups, our methods are adequate to prove that the Hasse principle holds in this case.

THEOREM 2. – Assume that  $k_0$  does not contain the primitive cube roots of unity and that the Tate-Šafarevič group of every elliptic curve (4) over any quadratic extension of  $k_0$  is finite. If  $b_1, \ldots, b_5$  are nonzero elements of  $k_0$  such that

(3) 
$$b_1 X_1^3 + b_2 X_2^3 + b_3 X_3^3 + b_4 X_4^3 + b_5 X_5^3 = 0$$

is everywhere locally soluble, then it is soluble in  $k_0$ .

The proof of this theorem can also be found in §6.

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2. First descent on 
$$X^3 + Y^3 = AZ^3$$

Let A be an element of  $k^*$  which without loss of generality we can assume to be in  $\mathfrak{o}$ ; for simplicity we shall also assume that A is not a cube. We write  $\rho$  for the isogeny whose kernel consists of the 3-division points with Z=0. The curve E given by (4) admits complex multiplication, so that  $\operatorname{End}_K(E)=\mathbf{Z}[\omega]$ ; we may suppose that  $\omega$  acts on E by  $(X,Y,Z)\mapsto (X,Y,\omega Z)$ . Thus the action of  $\rho$  is given by

$$(X,Y,Z) \mapsto (\omega X^3 - \omega^2 Y^3, \omega Y^3 - \omega^2 X^3, (\omega - \omega^2) XYZ).$$

If P is (X, Y, Z) then -P is (Y, X, Z); thus also  $\sigma(\rho(P)) = -\rho(\sigma(P))$ .

The most naïve form of the  $\rho$ -descent, also called the first descent in the older literature, operates over K; it replaces the elliptic curve

(4) 
$$E: X^3 + Y^3 = AZ^3$$

by the equations  $Z = Z_1 Z_2 Z_3$  and

(5) 
$$\omega X + \omega^2 Y = m_1 Z_1^3$$
,  $\omega^2 X + \omega Y = m_2 Z_2^3$ ,  $X + Y = A Z_3^3 / m_1 m_2$ 

for some  $m_1, m_2$ . If we write

$$X = \xi/m_1 m_2,$$
  $Y = \eta/m_1 m_2,$   $m = m_1/m_2,$   $Z_1 = \zeta_1/m_1,$   $Z_2 = \zeta_2/m_2,$   $Z_3 = -\zeta_3,$ 

the Eqs. (5) become

$$\omega\xi+\omega^2\eta=m^{-1}\zeta_1^3, \qquad \omega^2\xi+\omega\eta=m\zeta_2^3, \qquad \xi+\eta=-A\zeta_3^3,$$

a system which is equivalent to

(6) 
$$m^{-1}\zeta_1^3 + m\zeta_2^3 = A\zeta_3^3.$$

Here we should regard m as an element of  $K^*/K^{*3}$ . Thus in particular over K the Jacobians of the two curves (2) are

(7) 
$$X^3 + Y^3 = Ba_1a_2Z^3$$
,  $X^3 + Y^3 = Ba_3a_4Z^3$ .

The curves (6) are called  $\rho$ -coverings of (4); those which are everywhere locally soluble are by definition the elements of the  $\rho$ -Selmer group, which is canonically isomorphic through m to a subgroup of  $K^*/K^{*3}$ . The  $\rho$ -Selmer group contains A because the curve (6) with m=A is soluble in K.

Throughout this section, S will be a finite set of places of K containing  $S_0$  and all the primes which divide A. The curves (6) defined over K and soluble in  $K_v$  for every v outside S correspond to the m which are units outside S; so they are indexed by the elements of  $X_S = \mathfrak{D}_S^*/\mathfrak{D}_S^{*3}$ , where  $\mathfrak{D}_S^*$  consists of the elements of K which are units outside S. We can regard  $X_S$  as a finite-dimensional  $F_3$ -vector space. Those curves which are also soluble in  $K_v$  for every v in S determine a subspace of  $X_S$ . Hence the conditions for the solubility of (6) in the  $K_v$  with v in S can be described by a finite set of homomorphisms  $X_S \to F_3$ , which can be regarded as generators of an  $F_3$ -vector space V. The left kernel of the induced map  $\psi: X_S \times V \to F_3$  is precisely the  $\rho$ -Selmer group of E. Calculation shows that  $X_S$  and V have the same dimension. It is therefore tempting to hope that there is a natural isomorphism between  $X_S$  and V, and that it makes  $\psi$  either symmetric or antisymmetric. This is not true; but there is indeed an interesting symmetry property, though a less straightforward one, and the main purpose of this section is to display it. A similar symmetry statement, though in a simpler context, has already appeared in [6]; there, as here, it plays a crucial role.

For every finite set S of places of K, of order n and containing  $S_0$ , write

(8) 
$$X_{\mathcal{S}} = \mathfrak{O}_{\mathcal{S}}^*/\mathfrak{O}_{\mathcal{S}}^{*3}, \qquad Y_v = K_v^*/K_v^{*3}, \qquad Y_{\mathcal{S}} = \bigoplus_{v \in \mathcal{S}} Y_v.$$

Since K contains the cube roots of unity, the  $\mathbf{F}_3$ -vector space  $X_{\mathcal{S}}$  has dimension n by Dirichlet's unit theorem.  $Y_S$  has dimension 2n by the product formula, since  $K_v^*/K_v^{*3}$  contains  $9/|3|_v$ elements and S contains every v with  $|3|_v \neq 1$ . Moreover  $Y_v$  is trivial if v is archimedean. Here as in Proposition 1.1.1 of [6] the map  $X_S \to Y_S$  is injective, because S contains a set of generators for the ideal class group of K. There is a non-degenerate alternating bilinear form  $e_v$  on  $Y_v$  given by the Hilbert symbol, and thus a non-degenerate alternating bilinear form  $e_S = \sum_S e_v$  on  $Y_S$ . (We write the Hilbert symbol additively, to accord with the argument in §5. Consequently the symbol depends on the choice of  $\omega$ ; compare the discussion around Lemma 7 of [5].) By the Hilbert product formula and a comparison of dimensions,  $X_S$  is maximal isotropic in  $Y_S$ . For any place v of K, let  $T_v$  be the image of  $\mathfrak{O}_v^*/\mathfrak{O}_v^{*3}$  in  $Y_v$ , where  $\mathfrak{O}_v$  is the ring of integers of  $K_v$ . Unless v divides 3,  $T_v$  is a maximal isotropic subspace of  $Y_v$ . The following lemma has been designed for application to the special situation described in Lemma 2; it is stated in greater generality purely in order to simplify the proof. We introduce the following notation, which we shall use repeatedly. Let U be a vector space over a field F with char  $F \neq 2$ , and let  $\sigma: U \to U$ be an automorphism of order 2; then U is the direct sum of the subspace  $U^+$  of elements fixed by  $\sigma$  and the subspace  $U^-$  of elements whose sign is reversed by  $\sigma$ .

LEMMA 1. – Let  $\mathfrak{Y}_i$ ,  $\sigma \mathfrak{Y}_i$   $(i=1,\ldots,n)$  be pairs of finite dimensional vector spaces over a field F with char  $F \neq 2$ , where  $\sigma$  is an isomorphism  $\mathfrak{Y}_i \to \sigma \mathfrak{Y}_i$  for each i and is such that  $\sigma^2$  is the identity. Suppose that each  $\mathfrak{Y}_i$  is equipped with a non-degenerate alternating bilinear form

(x,y), and let each  $\sigma \mathfrak{Y}_i$  be equipped with the bilinear form defined by

(9) 
$$(\sigma x, \sigma y) = -(x, y).$$

Write  $\mathfrak{Y} = \bigoplus_i (\mathfrak{Y}_i \oplus \sigma \mathfrak{Y}_i)$ , equipped with the sum of these forms. Let  $\mathfrak{X}$  be maximal isotropic in  $\mathfrak{Y}$  and mapped to itself by  $\sigma$ . Then there exist maximal isotropic subspaces  $\mathfrak{Z}_i \subset (\mathfrak{Y}_i \oplus \sigma \mathfrak{Y}_i)$  such that  $\sigma$  maps each  $\mathfrak{Z}_i$  to itself and  $\mathfrak{Y} = \mathfrak{X} \oplus \mathfrak{Z}$  where  $\mathfrak{Z} = \bigoplus_i \mathfrak{Z}_i$ . Moreover, given any  $\mathfrak{W} = \bigoplus_i (\mathfrak{W}_i \oplus \sigma \mathfrak{W}_i)$  with each  $\mathfrak{W}_i$  maximal isotropic in  $\mathfrak{Y}_i$ , the  $\mathfrak{Z}_i$  can be chosen so that

(10) 
$$\dim(\mathfrak{W}\cap\mathfrak{Z})^+ - \dim(\mathfrak{W}\cap\mathfrak{Z})^- = \frac{1}{2}(\dim\mathfrak{X}^- - \dim\mathfrak{X}^+).$$

*Proof.* – We show first that we can reduce to the special case where every  $\mathfrak{Y}_i$  has dimension 2. If some  $\dim \mathfrak{Y}_i > 2$  let  $y_i$  be a nonzero element of  $\mathfrak{W}_i$ ; because the bilinear form is nondegenerate on  $\mathfrak{Y}_i$  we can find  $v_i$  in  $\mathfrak{Y}_i$  such that  $(y_i, v_i) \neq 0$ . Here  $v_i$  cannot be in  $\mathfrak{W}_i$ . Now  $\mathfrak{Y}_i = \{y_i, v_i\} \oplus \{y_i, v_i\}^{\perp}$  and one easily checks that this induces an orthogonal decomposition

$$\mathfrak{W}_i = (\mathfrak{W}_i \cap \{y_i, v_i\}) \oplus (\mathfrak{W}_i \cap \{y_i, v_i\}^{\perp}).$$

Thus we have split off from  $\mathfrak{Y}_i$  a subspace of dimension 2 which contains a subspace of  $\mathfrak{W}_i$  of dimension 1. This only reduces our freedom to choose the  $\mathfrak{Z}_i$ ; so we can assume that every  $\mathfrak{Y}_i$  has dimension 2.

We now proceed by induction on n, the case n=0 being trivial. Since  $\mathfrak{X}$  is isotropic it cannot contain  $\mathfrak{Y}_n$ ; so there is an element  $y_n$  in  $\mathfrak{Y}_n$  but not in  $\mathfrak{X}$ , whence  $y_n + \sigma y_n$  and  $y_n - \sigma y_n$  cannot both lie in  $\mathfrak{X}$ . Let  $y_n, v_n$  be a base for  $\mathfrak{Y}_n$ . Since  $\sigma$  maps  $\mathfrak{X}$  to itself, we have three possibilities:

- (i) The intersection of  $\mathfrak{X}$  and the space spanned by  $y_n + \sigma y_n$  and  $y_n \sigma y_n$  is trivial; in this case we take  $\mathfrak{Z}_n$  to be the latter space.
- (ii) If  $y_n \sigma y_n$  is in  $\mathfrak{X}$  then  $(y_n \sigma y_n, v_n + \sigma v_n) = 2(y_n, v_n) \neq 0$ ; thus the only elements of  $(\mathfrak{Y}_n \oplus \sigma \mathfrak{Y}_n)^+$  orthogonal to  $y_n \sigma y_n$  are the multiples of  $y_n + \sigma y_n$ , whence  $\mathfrak{X} \cap (\mathfrak{Y}_n \oplus \sigma \mathfrak{Y}_n)^+ = \{0\}$ . In this case we take  $\mathfrak{Z}_n$  to be  $(\mathfrak{Y}_n \oplus \sigma \mathfrak{Y}_n)^+$ .
- (iii) If  $y_n + \sigma y_n$  is in  $\mathfrak{X}$  a similar argument holds; in this case we take  $\mathfrak{Z}_n$  to be  $(\mathfrak{Y}_n \oplus \sigma \mathfrak{Y}_n)^-$ . Now write

(11) 
$$\mathfrak{Y}^* = (\mathfrak{Y}_1 \oplus \sigma \mathfrak{Y}_1) \oplus \cdots \oplus (\mathfrak{Y}_{n-1} \oplus \sigma \mathfrak{Y}_{n-1}), \qquad \mathfrak{X}^* = \mathfrak{Y}^* \cap (\mathfrak{X} \oplus \mathfrak{Z}_n).$$

If  $x^*$  is in  $\mathfrak{X}^*$  then  $x^* = x + z_n$  for some  $z_n$  in  $\mathfrak{J}_n$  and x in  $\mathfrak{X}$ ; so the projection of x to  $\mathfrak{Y}_n \oplus \sigma \mathfrak{Y}_n$  is  $-z_n$ . Since  $x^*$  is orthogonal to  $\mathfrak{J}_n$ , so is x; and the isotropy of  $\mathfrak{X}^*$  follows from that of  $\mathfrak{X}$  and  $\mathfrak{J}_n$ . Since  $\dim \mathfrak{X}^* \geqslant 2n-2$  by the second equation (11),  $\mathfrak{X}^*$  is maximal isotropic in  $\mathfrak{Y}^*$ . Applying the induction hypothesis to  $\mathfrak{X}^*$  and  $\mathfrak{Y}_1, \ldots, \mathfrak{Y}_{n-1}$  we can construct  $\mathfrak{J}_i$  maximal isotropic in  $\mathfrak{Y}_i \oplus \sigma \mathfrak{Y}_i$  for  $i=1,\ldots,n-1$  and with  $\mathfrak{Y}^*=\mathfrak{X}^*\oplus (\mathfrak{J}_1\oplus \cdots \oplus \mathfrak{J}_{n-1})$ . But now, using (11) again,

$$(\mathfrak{X} \oplus \mathfrak{Z}_n) \cap (\mathfrak{Z}_1 \oplus \cdots \oplus \mathfrak{Z}_{n-1}) \subset \mathfrak{X}^* \cap (\mathfrak{Z}_1 \oplus \cdots \oplus \mathfrak{Z}_{n-1}) = \{0\}$$

and  $\mathfrak{Y}=\mathfrak{X}\oplus\mathfrak{Z}$  follows by dimension count. To prove the final assertion we have to split cases according to the three possibilities above. If (i) holds then the general element of  $\mathfrak{Z}_n$  has the form  $ay_n+a'\sigma y_n$  with a,a' in F. If  $y_n$  is in  $\mathfrak{W}_n$  then every such element is in  $\mathfrak{W}_n\oplus\sigma\mathfrak{W}_n$ , so that  $(\mathfrak{W}_n\oplus\sigma\mathfrak{W}_n)\cap\mathfrak{Z}_n=\mathfrak{Z}_n$ ; if  $y_n$  is not in  $\mathfrak{W}_n$  then the only element of this form which is in  $\mathfrak{W}_n\oplus\sigma\mathfrak{W}_n$  is 0, so that  $(\mathfrak{W}_n\oplus\sigma\mathfrak{W}_n)\cap\mathfrak{Z}_n=\{0\}$ . If (ii) or (iii) holds then

$$(\mathfrak{W}_n \oplus \sigma \mathfrak{W}_n) \cap \mathfrak{Z}_n = (\mathfrak{W}_n \oplus \sigma \mathfrak{W}_n)^+ \quad \text{or} \quad (\mathfrak{W}_n \oplus \sigma \mathfrak{W}_n)^-$$

respectively. Thus in all cases we have

$$\dim \left( (\mathfrak{W}_n \oplus \sigma \mathfrak{W}_n) \cap \mathfrak{Z}_n \right)^+ - \dim \left( (\mathfrak{W}_n \oplus \sigma \mathfrak{W}_n) \cap \mathfrak{Z}_n \right)^- = \frac{1}{2} (\dim \mathfrak{Z}_n^+ - \dim \mathfrak{Z}_n^-).$$

By induction this gives

$$\dim(\mathfrak{W}\cap\mathfrak{Z})^+-\dim(\mathfrak{W}\cap\mathfrak{Z})^-=\tfrac{1}{2}(\dim\mathfrak{Z}^+-\dim\mathfrak{Z}^-).$$

But we have

$$\dim \mathfrak{X}^+ + \dim \mathfrak{Z}^+ = \dim \mathfrak{Y}^+ = \dim \mathfrak{Y}^- = \dim \mathfrak{X}^- + \dim \mathfrak{Z}^-$$

and (10) follows. We note for future reference that also

(12) 
$$\dim \mathfrak{W}^+ = \dim \oplus \mathfrak{W}_i = \dim \mathfrak{W}^-.$$

The decomposition of the  $\mathfrak{Y}_i$  which allows us to assume that each  $\dim \mathfrak{Y}_i = 2$  constrains the choice of the  $\mathfrak{Z}_i$ , so we have only proved (10) for a highly particular choice of the  $\mathfrak{Z}_i$ ; but I believe that (10) does hold for every choice of  $\mathfrak{Z}_i$  satisfying the penultimate sentence in the lemma.  $\square$ 

Let  $W_v$  be the image of  $E(K_v)$  in  $Y_v$  under the Kummer map

$$\partial: P = (X, Y) \mapsto \frac{\omega X + \omega^2 Y}{\omega^2 X + \omega Y}$$

in the notation of (4). Write  $W_{\mathcal{S}}$  for the subset  $\bigoplus_{\mathcal{S}} W_v$  of  $Y_{\mathcal{S}}$ . A  $\rho$ -covering of E is soluble in  $K_v$  if and only if the corresponding element m of  $K^*/K^{*3}$  is in  $W_v$ . Moreover Tate has shown that  $W_v$  is a maximal isotropic subspace of  $Y_v$  for the alternating form  $e_v$ . (In our case this can be proved along the lines of Lemma 3 of [1]. Tate's result is applicable to any isogeny, but I am not aware of any published proof in this generality; for the special case of multiplication by an element of  $\mathbf{Z}$ , see [10], p. 56.) These last two properties provide the easiest way to calculate the  $W_v$  explicitly. In particular,  $W_v = T_v$  unless v is a prime of bad reduction for E. Because the  $\rho$ -division points of E are defined over E, they give rise to elements of the e-Selmer group; the corresponding elements of e are 1, e and e 1 is a prime of bad reduction for e which does not divide 3, e is generated by e 1. If e is a prime of bad reduction for e which does not divide 3, e is generated by e 1. If e is a prime of bad reduction for e which does not divide 3, e is generated by e 1. If e is a prime of bad reduction for e which does not divide 3, e is generated by e 1. If e is soluble in e 1. If e 2 is a prime of bad reduction for e 3 independent of e 2. If e 3 independent of e 2 is soluble in e 3. Hence the e-Selmer group of e 2 can be identified with e 2. If e 3 induced by e3.

If  $\mathfrak{P}$  is a prime of K we write  $\mathcal{P} = \{\mathfrak{P}\}$  or  $\mathcal{P} = \{\mathfrak{P}, \sigma\mathfrak{P}\}$  according as  $\mathfrak{P}$  is or is not fixed by  $\sigma$ ; we write  $T_{\mathcal{P}} = T_{\mathfrak{P}}$  in the former case and  $T_{\mathcal{P}} = T_{\mathfrak{P}} \oplus T_{\sigma\mathfrak{P}}$  in the latter, and similarly for  $W_{\mathcal{P}}, Y_{\mathcal{P}}$  and  $Z_{\mathcal{P}}$ .

If we ignore the primes dividing 3, the primes in k which split in K/k are those whose absolute norm is congruent to  $1 \mod 3$ . These are the primes which lie in a certain group  $G_0$ , which is a subgroup of index 2 in the relevent ray class group. Usually  $G_0$  will contain primes in every ideal class, but if K/k is totally unramified there is a subgroup  $\Gamma_0$  of index 2 in the ideal class group of k such that a prime ideal in k splits in K/k if and only if its class lies in  $\Gamma_0$ . This is the case which primarily concerns us, since any place ramified in K/k must both lie in  $S_0$  and be fixed by  $\sigma$ ; and this will usually be ruled out either by the hypotheses of Lemma 2 or by Condition 4 below. Because of this,  $G_0$  will only appear explicitly in the proof of Lemma 2.

LEMMA 2. – Suppose that no place of  $S_0$  is fixed by  $\sigma$ . Then there are maximal isotropic subspaces  $Z_{\mathcal{P}} \subset Y_{\mathcal{P}}$  such that  $Y_{\mathcal{S}} = X_{\mathcal{S}} \oplus (\bigoplus_{\mathcal{P} \in \mathcal{S}} Z_{\mathcal{P}})$ , and  $\sigma$  maps each  $Z_{\mathcal{P}}$  to itself. We can choose  $Z_{\mathcal{S}_0}$  in a way that only depends on the classes of  $A \mod K_{\mathfrak{P}}^{*3}$  for the  $\mathfrak{P}$  in  $S_0$ ; and once  $Z_{\mathcal{S}_0}$  has been chosen we can take  $Z_{\mathcal{S}} = Z_{\mathcal{S}_0} \oplus (\bigoplus_{\mathcal{P} \subset \mathcal{S} \setminus S_0} T_{\mathcal{P}})$  for each  $\mathcal{S} \supset S_0$ . Let  $N_1, N_2$  be such that  $\mathcal{S}$  contains  $N_1 + N_2$  primes fixed by  $\sigma$ , and that E has good reduction at  $N_1$  of them and bad reduction at the other  $N_2$ ; then

(13) 
$$\dim W_{S}^{+} - \dim W_{S}^{-} = N_{2} - N_{1},$$

(14) 
$$\dim(W_{S} \cap Z_{S})^{+} - \dim(W_{S} \cap Z_{S})^{-} = 1 - N_{1}.$$

Proof. – Consider first the second sentence for the particular case  $\mathcal{S}=\mathcal{S}_0$ . This is a straightforward transcription of Lemma 1. Let  $\mathcal{S}_0^\sharp$  be such that  $\mathcal{S}_0$  is the disjoint union of sets  $\mathcal{S}_0^\sharp$  and  $\sigma \mathcal{S}_0^\sharp$ . We apply Lemma 1 to the case when, in our previous notation, the  $\mathfrak{Y}_i$  are the  $Y_\mathfrak{P}$  with  $\mathfrak{P}$  in  $\mathcal{S}_0^\sharp$ , the  $\mathfrak{W}_i$  are the  $W_\mathfrak{P}$  for these  $\mathfrak{P}$ ,  $\mathfrak{X}$  is  $X_{\mathcal{S}_0}$  and the non-degenerate alternating bilinear forms on the  $\mathfrak{Y}_i$  are given by the local Hilbert symbol. We ignore the archimedean places, for which  $\mathfrak{Y}_v$  is trivial. We need to check (9), but this follows from the definition of the Hilbert symbol; the reason for the sign reversal is that canonically the Hilbert symbol takes its values in  $\mu_3=\{1,\omega,\omega^2\}$ , on which  $\sigma$  acts nontrivially. This proves the second sentence in this case.

For general  $\mathcal S$  we consider the second and third sentences together. We must examine the effect of adjoining to  $\mathcal S$  finitely many further primes  $\mathfrak P$ , where if  $\sigma\mathfrak P\neq\mathfrak P$  we assume that we adjoin  $\mathfrak P$  and  $\sigma\mathfrak P$  together. We do this step by step, so that we have to consider the situation where  $\mathcal S\supset\mathcal S_0$  is a finite set of places mapped to itself by  $\sigma$  and we replace  $\mathcal S$  by  $\mathcal S_+=\mathcal S\cup\mathcal P$  where  $\mathfrak P$  is a prime of K not in  $\mathcal S$ . We need to show that in going from  $\mathcal S$  to  $\mathcal S_+$  we can leave  $Z_{\mathcal S}$  unchanged and choose  $Z_{\mathcal P}=T_{\mathcal P}$ . Because  $\mathcal S\supset\mathcal S_0$ , there is a natural embedding  $X_{\mathcal S}\subset X_{\mathcal S_+}$  which identifies  $X_{\mathcal S}$  with the elements of  $X_{\mathcal S_+}$  which are trivial at primes of  $\mathcal P$ . Thus

$$X_{\mathcal{S}_+} \cap (Z_{\mathcal{S}} \oplus T_{\mathcal{P}}) = X_{\mathcal{S}} \cap Z_{\mathcal{S}} = \{0\},\$$

and the second and third sentences of the lemma follow immediately. We shall henceforth assume that the  $Z_{\mathcal{P}}$  are chosen in this way.

We turn now to (13). Let  $\mathcal{S}^{\flat} \supset \mathcal{S}_0$  be the subset of  $\mathcal{S}$  consisting of those places in  $\mathcal{S}$  not fixed by  $\sigma$ ; then (13) holds when  $\mathcal{S} = \mathcal{S}^{\flat}$ , by (12). Now let  $\mathfrak{P}$  be a prime of K fixed by  $\sigma$ , and  $\mathfrak{p}$  the prime of k below it; thus all the elements of  $\mathfrak{o}^*_{\mathfrak{p}}$  are  $\mathfrak{p}$ -adic cubes. If E has good reduction at  $\mathfrak{P}$  then  $\sigma$  acts on  $T_{\mathcal{P}} = W_{\mathcal{P}} = Z_{\mathcal{P}}$  like -1; thus

$$\dim W_{\mathcal{P}}^+ = \dim(W_{\mathcal{P}} \cap Z_{\mathcal{P}})^+ = 0, \quad \dim W_{\mathcal{P}}^- = \dim(W_{\mathcal{P}} \cap Z_{\mathcal{P}})^- = 1.$$

On the other hand, if E has bad reduction at  $\mathfrak{P}$  then  $W_{\mathcal{P}} \cap Z_{\mathcal{P}} = \{0\}$  and  $\sigma$  acts on  $W_{\mathcal{P}}$  like +1, because  $W_{\mathcal{P}}$  is generated by A which is in k. Hence in both cases adjoining  $\mathfrak{P}$  does not alter the validity of (13); and we can go from  $S^{\flat}$  to S by repeated steps of this kind. A corresponding argument holds for (14), so to complete the proof of the lemma it is enough to prove (14) for the special case  $S = S^{\flat}$ .

In this case I claim that  $X_S^+$  is  $\mathfrak{o}_\Sigma^*/\mathfrak{o}_\Sigma^{*3}$ . For let  $\xi$  in  $\mathfrak{O}_S^*$  be a representative of an element in  $X_S^+$ ; then  $\xi/\sigma\xi=\eta^3$  for some  $\eta$  in  $\mathfrak{O}_S^*$  and so  $(\operatorname{Norm}_{K/k}\eta)^3=\operatorname{Norm}_{K/k}(\xi/\sigma\xi)=1$ . Since  $\omega$  is not in k this implies  $\operatorname{Norm}_{K/k}\eta=1$ , whence  $\eta=\zeta/\sigma\zeta$  for some  $\zeta$  in K by Hilbert's Theorem 90; so  $\alpha=\xi/\zeta^3$  is fixed by  $\sigma$  and therefore lies in k. It is not obvious that  $\zeta$  can be chosen to be in  $\mathfrak{O}_S^*$ ; but if  $\zeta$  is divisible by a prime  $\mathfrak{P}$  not in S it is divisible to the same power by  $\sigma\mathfrak{P}$  also, and if  $\mathfrak{P}=\sigma\mathfrak{P}$  then  $\mathfrak{P}$  is the conorm of a prime  $\mathfrak{p}$  in k because K/k is totally unramified. Thus as

ideals we can write  $(\zeta) = \mathfrak{a}\mathfrak{B}$  where  $\mathfrak{a}$  is an ideal in k none of whose prime factors lies in  $\mathcal{S}$  and  $\mathfrak{B}$  is an ideal in K whose factorization involves no primes outside  $\mathcal{S}$ . The ideal  $\alpha\mathfrak{a}^3 = \xi\mathfrak{B}^{-3}$  lies in k and has all its prime factors in  $\mathcal{S}$ ; since  $\mathcal{S} = \mathcal{S}^{\flat}$  it must have the form  $\mathfrak{B}_0.\sigma\mathfrak{B}_0$  where  $\mathfrak{B}_0$  is an ideal of K all of whose prime factors lie in  $\mathcal{S}$ . This shows that the class of  $\mathfrak{a}^3$  is in  $\Gamma_0$ , whence so is the class of  $\mathfrak{a}$ ; hence we can write  $\mathfrak{a} = \alpha_1\mathfrak{B}_1.\sigma\mathfrak{B}_1$  where  $\alpha_1$  is in k and all the prime factors of  $\mathfrak{B}_1$  lie in  $\mathcal{S}$ . Here  $\zeta/\alpha_1$  is in  $X_{\mathcal{S}}$ ; so  $\xi(\alpha_1/\zeta)^3$  is fixed by  $\sigma$  and represents the same class in  $X_{\mathcal{S}}$  as  $\xi$  does. This proves that  $X_{\mathcal{S}}^+$  is indeed  $\mathfrak{o}_{\Sigma}^*/\mathfrak{o}_{\Sigma}^{*3}$ , which is  $X_{\Sigma}$  for k.

Denote the order of  $S = S^{\flat}$  by n; thus by hypothesis the order of  $\Sigma = \Sigma^{\flat}$  is  $\frac{1}{2}n$ . Because k does not contain the primitive cube roots of unity, Dirichlet's unit theorem now gives

$$\dim X_{\mathcal{S}}^+ = \dim X_{\Sigma} = \frac{1}{2}n - 1 = \frac{1}{2}\dim X_{\mathcal{S}} - 1$$

and therefore  $\dim X_{\mathcal{S}}^- = \frac{1}{2} \dim X_{\mathcal{S}} + 1$ . Now (14) for the special case  $\mathcal{S} = \mathcal{S}^{\flat}$  follows from (10).  $\square$ 

Now let  $t_S: Y_S \to X_S$  be the projection along  $Z_S$  and write

$$X_{\mathcal{S}}' = X_{\mathcal{S}} \cap (W_{\mathcal{S}} + Z_{\mathcal{S}}), \qquad W_{\mathcal{S}}' = W_{\mathcal{S}}/(W_{\mathcal{S}} \cap Z_{\mathcal{S}}) = \bigoplus_{\mathcal{P} \subset \mathcal{S}} W_{\mathcal{P}}'$$

where  $W'_{\mathcal{P}} = W_{\mathcal{P}}/(W_{\mathcal{P}} \cap Z_{\mathcal{P}})$ . Note that if  $\mathfrak{P}$  is not in  $\mathcal{S}_0$  the choice of  $Z_{\mathcal{P}}$  enables us to define the power of  $\mathfrak{P}$  which divides an element of  $W'_{\mathfrak{P}}$ , under the convention in §1. The map  $t_{\mathcal{S}}$  induces an isomorphism

$$\tau_{\mathcal{S}}: W_{\mathcal{S}}' \to X_{\mathcal{S}}'.$$

If w' in  $W'_{\mathcal{S}}$  is represented by  $\bigoplus w_{\mathcal{P}}$  in  $W_{\mathcal{S}}$ , it follows from Lemma 2 that  $\tau_{\mathcal{S}}w'/w_{\mathcal{P}}$ , considered as an element of  $Y_{\mathcal{P}}$ , is a unit at  $\mathcal{P}$  for any  $\mathfrak{P}$  outside  $\mathcal{S}_0$ . (This remark will be used repeatedly in §5.)

The bilinear function  $e_{\mathcal{S}}$  induces a bilinear function

$$e'_{S}: X'_{S} \times W'_{S} \to \mathbf{F}_{3}$$

because  $W_S$  and  $Z_S$  are both isotropic. We have seen that the  $\rho$ -Selmer group of E is  $X_S \cap W_S$  and is therefore contained in  $X_S'$ . Since it is both the left and the right kernel of  $X_S \times W_S \to \mathbf{F}_3$ , it is isomorphic to both the left and the right kernel of  $e_S'$ .

LEMMA 3. – Suppose that S contains  $S_0$  and all the primes of bad reduction for E, and no place of  $S_0$  is fixed by  $\sigma$ . Then the functions

$$\Phi_{\mathcal{S}}: X_{\mathcal{S}}' \times X_{\mathcal{S}}' \to \mathbf{F}_3$$
 and  $\Psi_{\mathcal{S}}: W_{\mathcal{S}}' \times W_{\mathcal{S}}' \to \mathbf{F}_3$ 

defined respectively by

$$x_1' \times x_2' \mapsto e_{\mathcal{S}}' \big( x_1', \tau_{\mathcal{S}}^{-1}(x_2') \big) \quad \textit{and} \quad w_1' \times w_2' \mapsto e_{\mathcal{S}}' (\tau_{\mathcal{S}} w_1', w_2')$$

are bilinear symmetric, with kernels isomorphic to the  $\rho$ -Selmer group of E.

*Proof.* – We need only prove that the functions are symmetric, and it is enough to do so for  $\Phi_{\mathcal{S}}$ . Given elements  $x_1', x_2'$  in  $X_{\mathcal{S}}'$  choose  $w_1, w_2$  in  $W_{\mathcal{S}}$  so that  $t_{\mathcal{S}}w_1 = x_1', t_{\mathcal{S}}w_2 = x_2'$ . Since  $(1-t_{\mathcal{S}})w_1$  and  $(1-t_{\mathcal{S}})w_2$  are in  $Z_{\mathcal{S}}$ 

$$0 = e_{\mathcal{S}}(w_1, w_2) = e_{\mathcal{S}}(t_{\mathcal{S}}w_1 + (1 - t_{\mathcal{S}})w_1, t_{\mathcal{S}}w_2 + (1 - t_{\mathcal{S}})w_2)$$

$$= e_{\mathcal{S}}(t_{\mathcal{S}}w_1, (1 - t_{\mathcal{S}})w_2) + e_{\mathcal{S}}((1 - t_{\mathcal{S}})w_1, t_{\mathcal{S}}w_2)$$

$$= e_{\mathcal{S}}(t_{\mathcal{S}}w_1, w_2) + e_{\mathcal{S}}(w_1, t_{\mathcal{S}}w_2) = e'_{\mathcal{S}}(x'_1, w'_2) - e'_{\mathcal{S}}(x'_2, w'_1)$$

where  $w'_1, w'_2$  are the images of  $w_1, w_2$  in  $W'_{S}$ .  $\square$ 

Strictly speaking, our notation for  $\Phi$  and  $\Psi$  should also make explicit which elliptic curve is being considered. Until §5 this will always be obvious; the conventions which we use in §5 are explained there.

It is clear that  $\sigma$  maps X' and W' to themselves, and commutes with t and  $\tau$ ; in particular  $\tau$  induces isomorphisms  ${W'}^+ \to {X'}^+$  and  ${W'}^- \to {X'}^-$ . Since the Hilbert symbol satisfies (9),

$$\Psi(\sigma w_1', \sigma w_2') = -\Psi(w_1', w_2')$$

for any  $w_1', w_2'$  in W'. Hence in particular  $\Psi$  vanishes on  $W'^+ \times W'^+$  and  $W'^- \times W'^-$ . If V is the kernel of  $\Psi$  then  $\sigma$  also maps V to itself, so we can write  $V = V^+ \oplus V^-$ ; and  $V^+, V^-$  are the left and right kernels respectively of the restriction of  $\Psi$  to  $W'^+ \times W'^-$ . (It is here that we use the symmetry of  $\Psi$ .) In order to prove the solubility of (1) by the methods of this paper, we shall need to choose B so that for each of the two curves (7) the matrix of  $\Psi$  has corank 2: more explicitly, for the first curve (7) we shall need V to be generated by the images of  $Ba_1a_2$  and  $a_1/a_2$ , and similarly for the second curve (7). Thus we shall need to ensure that  $\dim V^+=2$  and  $\dim V^-=0$  for each curve. If we require that no place of  $\mathcal{S}_0$  is fixed by  $\sigma$ , so that Lemma 2 holds, then a prerequisite for this is

(16) 
$$\dim W_{S}^{\prime +} - \dim W_{S}^{\prime -} = 2;$$

and in the notation of Lemma 2 this requires  $N_2 = 3$ . Since the processes in §5 do not alter  $N_2$ , we have to achieve this in §3. This accounts for the rather artificial manoeuvre in the corollary to Lemma 2.

## 3. Reduction to pairs of curves

We remind the reader that  $S_1$  denotes a finite set of places of K which contains  $S_0$  and all the primes dividing  $a_1a_2a_3a_4$ , and  $\Sigma_1$  consists of the places in k below a place in  $S_1$ . For the solubility of (1) in k it is certainly necessary that (1) should be everywhere locally soluble, a condition which it will be convenient to write in the following form.

Condition 2. – For every place v of k there exists  $C_v$  in  $k_v^*/k_v^{*3}$  such that each of the two equations

(17) 
$$a_1 X_1^3 + a_2 X_2^3 = C_v X_0^3, \qquad a_3 X_3^3 + a_4 X_4^3 = C_v X_0^3$$

is soluble in  $k_v$ .

Here it is only the v which divide 3 and those where some  $a_i$  is not a v-adic unit (up to a cube) which are of interest, and all of them lie in  $\Sigma_1$ ; for any other v it is enough to choose  $C_v$  to be a unit. We have required  $C_v$  to be non-zero, for if (1) has solutions in  $k_v$  they must be Zariski dense; so there are solutions of (1) with  $a_1X_1^3 + a_2X_2^3 \neq 0$ . Here and hereafter, we identify an element of  $k_v^*/k_v^{*3}$  with any representative of it in  $k_v^*$ . Similar remarks apply to Condition 3 below.

The following condition, which is apparently stronger than Condition 2, is clearly also necessary for solubility.

Condition 3. – There exists C in  $k^*/k^{*3}$  such that each of the two equations

(18) 
$$a_1 X_1^3 + a_2 X_2^3 = C X_0^3, \quad a_3 X_3^3 + a_4 X_4^3 = C X_0^3$$

is soluble in each  $k_n$ .

In both these conditions we need only consider non-archimedean v, because the conditions are trivial for archimedean ones. The next step should be to show that Condition 2 implies Condition 3; but the situation is complicated by the need to keep track of the number of primes outside  $\Sigma_1$  which divide C and do not split in K/k. (See the final remark in §2.) We actually prove a rather stronger result. In general there is more than one set of  $C_v \mod k_v^{*3}$  for  $v \in \Sigma_1$  for which Eqs. (17) are soluble. But any C which satisfies Condition 3 must be such that  $C/C_v = 1$  is in  $k_v^{*3}$  for all  $v \in \Sigma_1$  for one such set; so it is desirable to show that we can find such a C for any given set of  $C_v$ . The following lemma is a model for a more general result, which appears to have significant applications; so we state the proof in a form which does not require Condition 4, though the latter is needed for the corollary.

LEMMA 2. – Suppose that the  $C_v$  satisfy Condition 2; then there exists C in  $\mathfrak{o}$  satisfying Condition 3 and such that  $C/C_v$  is in  $k_v^{*3}$  for all v in  $\Sigma_1$ .

Proof. – For each  $\mathfrak p$  in  $\Sigma_1$ , let  $n_{\mathfrak p}$  be such that  $\mathfrak p^{n_{\mathfrak p}}\|C_{\mathfrak p}$ , and write  $\mathfrak a=\prod \mathfrak p^{n_{\mathfrak p}}$ ; then  $C\mathfrak a^{-1}$  will need to be prime to every  $\mathfrak p$  in  $\Sigma_1$ . If  $\mathfrak q$  is a prime of k not in  $\Sigma_1$  and such that  $\mathfrak q^{m_{\mathfrak q}}\|C$  with  $m_{\mathfrak q}$  prime to 3, the solubility of the two Eqs. (18) in  $k_{\mathfrak q}$  is equivalent to requiring  $a_1/a_2$  and  $a_3/a_4$  to be in  $k_{\mathfrak q}^{*3}$ . Such  $\mathfrak q$  fall into two families. If  $\mathfrak q$  splits in K/k as the product of  $\mathfrak Q$  and  $\sigma \mathfrak Q$ , then solubility of (18) in  $k_{\mathfrak q}$  is equivalent to solubility in  $K_{\mathfrak Q}$ ; and this in turn is equivalent to  $\mathfrak Q$  splitting completely in  $K(\sqrt[3]{a_1/a_2},\sqrt[3]{a_3/a_4})/K$ . As a condition on  $\mathfrak q$  this is intractable, because it is a statement that  $\mathfrak q$  splits completely in a certain nonabelian extension; and this is outside the scope of standard class field theory. So it is fortunate that we shall not need to use primes of this kind. If however  $\mathfrak q$  remains prime in K, then the absolute norm of  $\mathfrak q$  as a prime in k is congruent to 2 mod 3; thus every element of  $\mathfrak o_{\mathfrak q}^*$  is in  $k_{\mathfrak q}^{*3}$  and in particular this is true of  $a_1/a_2$  and  $a_3/a_4$ .

The q outside  $\Sigma_1$  which remain prime in K are just those which do not lie in the  $G_0$  introduced just before Lemma 2. Let  $\mathfrak{b}_0$  be an ideal in k which is prime to every  $\mathfrak{p}$  in  $\Sigma_1$  and is such that  $\mathfrak{ab}_0 = (\gamma_0)$  is principal; then  $(C) = \mathfrak{ab}$  where  $\mathfrak{b} = \beta \mathfrak{b}_0$  with  $\beta$  in  $k^*$ . We can take  $C = \beta \gamma_0$ , so that the condition that  $C/C_{\mathfrak{p}}$  is in  $k_{\mathfrak{p}}^{*3}$  translates into a requirement that  $\beta$  lies in  $\mathfrak{o}_{\mathfrak{p}}^*$  and in an assigned class  $\mathrm{mod}\ k_{\mathfrak{p}}^{*3}$  for each  $\mathfrak{p}$  in  $\Sigma_1$ . We can certainly find an element  $\beta_1$  in  $k^*$  which satisfies the congruence conditions on  $\beta$ . Suppose first that  $\beta_1\mathfrak{b}_0$  is not in  $G_0$ ; then we can choose  $\beta_1'$  close to  $\beta_1$  in the topology induced by  $\Sigma_1$  and such that  $\beta_1'\mathfrak{b}_0$  is a prime ideal  $\mathfrak{q}_0$ . In this case we take  $C = \beta_1'\gamma_0$ . If instead  $\beta_1\mathfrak{b}_0$  is in  $G_0$ , choose any prime ideal  $\mathfrak{q}_1$  not in  $\Sigma_1 \cup G_0$ ; thus  $\beta_1\mathfrak{b}_0\mathfrak{q}_1^{-1}$  will not be in  $G_0$ . We can now choose  $\beta_1''$  close to  $\beta_1$  and such that  $\beta_1''\mathfrak{b}_0\mathfrak{q}_1^{-1}$  is a prime ideal  $\mathfrak{q}_2$ , and we take  $C = \beta_1''\gamma_0$ . In either case the C thus constructed will satisfy the conditions of the lemma except perhaps the integrality; and we can satisfy that by multiplying C by a suitable element of  $k^{*3}$ .  $\square$ 

In a number of places, of which this is the first, we shall need the following assumption on k; the corresponding assumption for  $S_0$  has already appeared in Lemma 2. We shall show in §5 that this assumption is not a constraint on  $k_0$ .

Condition 4. – No place in  $S_1$  is fixed by  $\sigma$ .

To fix ideas, we have included in this assumption the requirement that all the archimedean places of k are complex; this simplifies matters but is not essential. Condition 4 implies the analogous condition in Lemma 2.

COROLLARY. – Suppose also that Condition 4 holds; then we can choose C in Lemma 4 so that it is integral and  $(C) = \mathfrak{aq}_2^2 \mathfrak{q}_3 \mathfrak{q}_4$  where all the prime factors of  $\mathfrak{a}$  lie in  $\Sigma_1$  and the  $\mathfrak{q}_i$  are primes of k outside  $\Sigma_1$  which do not split in K/k. Moreover (16) holds for this value of C.

*Proof.* – In the notation introduced just before Lemma 2, each prime in  $\Sigma_1$  is in  $\Gamma_0$ . We use the same notation as in the proof of the lemma, and note that  $\mathfrak a$  will be in  $\Gamma_0$  by Condition 4. Much as in the proof of the lemma, we can find distinct prime ideals  $\mathfrak q_2,\mathfrak q_3,\mathfrak q_4$  not in  $\Gamma_0$  and an element C in  $k^*$  such that  $(C)=\mathfrak a\mathfrak q_2^2\mathfrak q_3\mathfrak q_4$  and  $C/C_{\mathfrak p}$  is in  $k_{\mathfrak p}^{*3}$  for each  $\mathfrak p$  in  $\Sigma_1$ . If we then multiply C by a suitably chosen element of  $k^{*3}$ , we satisfy all the conditions of the corollary. The final sentence of the corollary follows from (13), (14) and  $N_2=3$ .  $\square$ 

The set  $\mathcal{S}$  of bad primes for the curves (18) is obtained by adjoining to  $\mathcal{S}_1$  the additional primes at which C is not a unit. In §5 we shall iteratively modify C and therefore  $\mathcal{S}$ . Each step will consist of multiplying C by some  $c = \gamma.\sigma\gamma$  where  $(\gamma)$  is a first degree prime in K but not in the  $\mathcal{S}$  so far obtained, or the product of two such primes. Such a step replaces  $\mathcal{S}$  by  $\mathcal{S}_+$  where  $\mathcal{S}_+$  is obtained from  $\mathcal{S}$  by adjoining the primes of K at which C is not a unit; the latter set will be the union of one or two sets  $\mathcal{P}$ . It follows from (13) and (14) that if (16) holds for  $\mathcal{S}$  and C it holds for  $\mathcal{S}_+$  and cC.

#### 4. Tom Fisher's lemma

A key step in this paper, as in previous papers in the series, is to show that if some Selmer group has all but one of its generators represented by soluble curves, then the remaining generator also has this property. The proofs of this in earlier papers depended on assuming the finiteness of the Tate-Safarevič group III; but under that hypothesis the result followed immediately from the existence and properties of the Cassels bilinear form on III. The present case, however, is more complicated because the curve (4) admits complex multiplication. The result which one would like to have would assert that (subject to the finiteness of the Tate-Safarevič group) if the curve E given by (4) is defined over an algebraic number field K which contains  $\omega$ , and if its  $\rho$ -Selmer group has order 9, then every element of that group has a representative which is soluble in K. I do not know whether this is true or false; what is clear is that it does not follow straightforwardly from the properties of the Cassels bilinear form over K. One could instead use the Cassels form over an algebraic number field k which does not contain  $\omega$ ; but this would involve reworking the results of §2 over such a field and then proceeding as in [1], and that is not at all attractive. Instead I use an unpublished lemma of Tom Fisher. The original idea is due to him and the current presentation to Alexei Skorobogatov; and I am indebted to both of them for permission to reproduce the material in this section.

For the following lemma we temporarily drop our standard conventions on k and K.

LEMMA 5. – Let E be an elliptic curve defined over an algebraic number field k, and let K be a Galois extension of k of degree n. If (m,n) = 1 then

$$\coprod (E/k)[m] = \coprod (E/K)[m]^{\operatorname{Gal}(K/k)}.$$

If  $\coprod (E/k)$  is finite then the order of  $\coprod (E/K)[m]^{\operatorname{Gal}(K/k)}$  is a square.

*Proof.* – Consider the restriction-inflation sequence for E and the commutative diagram obtained from the multiplication by m. Multiplication by m is an isomorphism on  $H^i(K/k, E(K))$  for any  $i \geqslant 1$ . An easy diagram chase now gives

$$H^{1}(k, E)[m] = H^{1}(K, E)[m]^{Gal(K/k)}.$$

Since K/k is Galois the degrees of the local extensions  $K_w/k_v$  divide n, where w is a place of K lying over some place v of k; so these degrees are prime to m. The previous argument is valid for any field extension of degree prime to m, so we can use it for  $K_w/k_v$ . Thus if the restriction of an element of  $H^1(k,E)[m]$  in  $H^1(K,E)[m]$  belongs to  $\mathrm{III}(E/K)$ , this element must actually be in  $\mathrm{III}(E/k)$ ; so the natural restriction map

$$\coprod (E/k)[m] \to \coprod (E/K)[m]^{\operatorname{Gal}(K/k)}$$

is an isomorphism. The last sentence of the lemma follows from the non-degeneracy of the Cassels alternating bilinear form on  $\mathrm{III}(E/k)$ , which implies that for any m>0 the order of the m-torsion subgroup of the Tate-Šafarevič group is a square.  $\square$ 

We now revert to the standard conventions of this paper, that k does not contain  $\omega$ , that  $K=k(\omega)$  and that  $\sigma$  is the nontrivial element of  $\mathrm{Gal}(K/k)$ . Let  $E_1$  be an elliptic curve defined over k which has complex multiplication over K by  $\mathbf{Z}[\omega]$ . Any elliptic curve over k has an automorphism of order 2 given by  $P\mapsto -P$ . Twisting the curve by a cocycle from  $H^1(k,\mathbf{Z}/2)=k^*/k^{*2}$  gives a quadratic twist of the elliptic curve: if the curve is given by  $y^2=f(x)$  then the twist corresponding to d in  $k^*$  is given by  $dy^2=f(x)$ . Now let  $E_2$ , also defined over k, be the quadratic twist of  $E_1$  by -3. Over K the curves  $E_1$  and  $E_2$  are naturally isomorphic. Let  $\psi:E_1\to E_2$  be this isomorphism; then  $\sigma\psi=-\psi$ . Let  $\phi_1:E_1\to E_2$  be the composition  $\psi\rho$  and  $\phi_2:E_2\to E_1$  the composition  $-\rho\psi^{-1}$ , so that  $\phi_2\phi_1=3$ . Thus  $\sigma\phi_1=\phi_1$  and  $\sigma\phi_2=\phi_2$ , so that  $\phi_1$  and  $\phi_2$  are defined over k. In the case that interests us,  $E_1$  is given by  $x^3+y^3=Az^3$  and  $E_2$  by  $X(X^2-Y^2)=4AZ^3$ , and  $\phi_1$  is  $(x,y,z)\mapsto (x^3+y^3,x^3-y^3,xyz)$ . Since  $E_1$  contains nontrivial 3-division points defined over K, if its  $\rho$ -Selmer group has order 9 then the order of  $\mathrm{III}(E_1/K)[\rho]$  must be either 1 or 3. The following lemma shows that the second case is impossible. We retain the notation above, including the condition that  $E_1$  is defined over k.

LEMMA 6. – If  $\coprod(E_1/k)$  is finite so is  $\coprod(E_2/k)$ , and neither  $\coprod(E_1/K)[\rho]$  nor  $\coprod(E_2/K)[\rho]$  can have order 3.

*Proof.* – Since  $E_1$  and  $E_2$  are isomorphic over K and isogenous over k we have

$$\coprod (E_1/K)[\rho] = \coprod (E_2/K)[\rho];$$

and if one of  $\mathrm{III}(E_1/k)$  and  $\mathrm{III}(E_2/k)$  is finite so is the other. Hence we can interchange  $E_1$  and  $E_2$  if we wish. Suppose that  $\mathrm{III}(E_1/K)[\rho]$  has order 3. Then either  $\sigma$  acts trivially on it or  $\sigma$  interchanges its two non-trivial elements. As a  $\mathrm{Gal}(K/k)$ -module,  $\mathrm{III}(E_1/K)[\rho]$  can be identified with  $\mathbf{Z}/3$  in the former case and with  $\mu_3$  in the latter one. The  $\mathrm{Gal}(K/k)$ -module structure of  $\mathrm{III}(E_2/K)[\rho]$  is obtained by twisting by the non-trivial quadratic character  $\mathrm{Gal}(K/k) \to \pm 1$ . The twist of  $\mathbf{Z}/3$  is  $\mu_3$  and vice versa. After possibly interchanging  $E_1$  and  $E_2$  we can therefore assume that

$$\coprod (E_1/K)[\rho] = \mathbf{Z}/3$$
 and  $\coprod (E_2/K)[\rho] = \mu_3$ .

There is an exact sequence of Gal(K/k)-modules

(19) 
$$0 \to \coprod (E_1/K)[\rho] \to \coprod (E_1/K)[3] \to \coprod (E_2/K)[\rho]$$

where the second arrow is the natural injection and the last arrow is  $\phi_1$ . Now (19) implies that  $\mathrm{III}(E_1/K)[3]^{\mathrm{Gal}(K/k)}=\mathbf{Z}/3$ . So this contradicts Lemma 5 with m=3 and  $E=E_1$ , according to which the order of this group must be a square.  $\square$ 

We shall apply this result to the two curves (7), which are the Jacobians of the two curves (2).

## 5. The paired first descents

Throughout this section we shall assume Condition 4. In view of the results in the previous section, to prove that (1) is soluble it is sufficient to find C in  $k^*$  such that each of the two Eqs. (18) is everywhere locally soluble and the  $\rho$ -Selmer groups over K of their Jacobians both have order 9. In the notation of §2 and working over K, these Jacobians have  $A = a_1 a_2 C$  and  $A = a_3 a_4 C$  respectively, and the curves (18) have  $m = a_1/a_2$  and  $m = a_3/a_4$  respectively.

We initially choose C in  $k^*$  as in the corollary to Lemma 2, using the hypothesis that (1) is everywhere locally soluble; thus both Eqs. (18) are everywhere locally soluble. We write  $m_0 = a_1/a_2$  when we are considering the first Eq. (18) and  $m_0 = a_3/a_4$  when we are considering the second one; thus (6) is required to be everywhere locally soluble for  $m=m_0$ , where A is as above. Since  $m_0$  is by hypothesis not in  $k^{*3}$ , the element of the  $\rho$ -Selmer group which it generates is nontrivial. Our strategy is to multiply C repeatedly by suitably chosen elements of  $k^*$  so as eventually to reduce the  $\rho$ -Selmer group over K of the Jacobian of the first Eq. (18) to the subgroup of  $X'_{S}$  generated by  $Ca_{1}a_{2}$  and  $a_{1}/a_{2}$  where C is the new C, together with the corresponding property for the second Eq. (18). (The construction in Lemma 2 and its corollary ensures that C is a non-unit at three primes outside  $\Sigma_1$ ; so for example  $Ca_1a_2$  and  $a_1/a_2$  are independent mod  $k^{*3}$ .) We proceed step by step. Each step will involve multiplying C by a suitably chosen c in  $k^*$  which is a unit at every prime in the current  $\Sigma$ ; we shall arrange that any prime p which divides c will split in K/k, and that such primes only divide c to the first power. Thus (16) will continue to hold in view of the argument at the end of §3. In order to preserve the  $C_v$  of §3 unchanged, each c must be in  $k_{\mathfrak{p}}^{*3}$  for every  $\mathfrak{p}$  in  $\Sigma_1$ ; this is equivalent to requiring c to be in  $K_{\mathfrak{P}}^{*3}$  for every  $\mathfrak{P}$  in  $S_1$ . In particular, it follows from Lemma 2 that this operation will not change  $Z_{S_0}$ , so  $Z_S$  is only changed by adding the direct summands corresponding to the primes dividing c. For  $m_0$  to remain in the  $\rho$ -Selmer group under this operation, we also need  $m_0$  to be in  $k_n^{*3}$  for every p which divides c. Having done this, we replace S by the set  $S_+$  obtained by adjoining to S the primes in K which divide c. Of course  $\Phi_S$  and  $\Psi_S$  will refer to whichever of the curves

$$X^3 + Y^3 = a_1 a_2 C Z^3$$
,  $X^3 + Y^3 = a_3 a_4 C Z^3$ 

we are considering, and  $\Phi_{\mathcal{S}_+}$  and  $\Psi_{\mathcal{S}_+}$  will refer to the corresponding one of

$$X^3 + Y^3 = a_1 a_2 C c Z^3, \qquad X^3 + Y^3 = a_3 a_4 C c Z^3.$$

We retain this convention for the entire section.

It is basic to the process which follows that the action of  $\sigma$  on the structure described in §2 should be the natural one; this follows from Lemma 2. The process is carried out in two stages, each of which is broken down into a number of steps.

- (i) Stage 1 ensures that for each of the two Jacobians with A as above the only elements of the kernel of  $\Phi$  which are units outside  $S_1$  are  $1, m_0$  and  $m_0^2$ . To achieve this, we require Condition 5 below.
- (ii) Stage 2 ensures that for each of the two Jacobians the  $\rho$ -Selmer group over K is generated by A and  $m_0$ .

Once these stages have been completed, it will follow from Lemma 6 that both Eqs. (18) are soluble in K and therefore in k; and the solubility of (1) will follow. Condition 5 is not unduly restrictive; it will appear in Theorem 3 and in disguised form in Theorem 1. Moreover, for the solubility of (1) it is known that it is not enough to have local solubility everywhere, so some further condition must appear in the argument. (Compare [5,6] and [12].) On the other hand, Condition 4 is an unacceptable restriction; at the end of this section we shall get rid of it.

To implement Stage 1 we need the following lemma.

LEMMA 7. – Let  $\xi$  be an element of  $\mathfrak{O}_{S_1}^*$ , and let  $\lambda_1, \lambda_2, \lambda_3$  be in **Z** and not all divisible by 3. Suppose that none of the expressions

(20) 
$$\eta = (a_1/a_2)^{\lambda_1} (a_3/a_4)^{\lambda_2} \xi^{\lambda_3}$$

lies in  $K^{*3}$ . Then there are an infinity of first degree primes  $\mathfrak P$  in K such that  $a_1/a_2$  and  $a_3/a_4$  are in  $K^{*3}_{\mathfrak P}$  but  $\xi$  is not.

*Proof.* – The field extension  $K(\sqrt[3]{a_1/a_2}, \sqrt[3]{a_3/a_4}, \sqrt[3]{\xi})/K$  is abelian of degree 27; so the lemma follows from Dirichlet's theorem on primes in arithmetic progression or from the Tchebotarev density theorem.  $\Box$ 

We note here the obvious fact that if  $\mathfrak{P}$  is a prime of K and  $\mathfrak{p}$  is the prime below it in k, then  $k \cap K_{\mathfrak{P}}^{*3} = k_{\mathfrak{p}}^{*3}$ ; this will be used repeatedly below. Now suppose that  $\xi$  is in the kernel of  $\Phi_{\mathcal{S}}$  and satisfies the conditions of Lemma 7, and choose  $\mathfrak{P}$  not in  $\mathcal{S}$  as in that lemma. Let  $\mathfrak{P}_1$  be another first degree prime ideal of K, in the ideal class of  $\mathfrak{P}^{-1}$  and such that  $\mathfrak{PP}_1 = (\Theta)$  where  $\Theta$  is in  $K_v^{*3}$  for every v in  $\mathcal{S}$ ; such a  $\mathfrak{P}_1$  exists by Dirichlet's theorem. If we multiply C by  $c = \Theta.\sigma\Theta$  then we do not alter the class of  $C_v \mod k_v^{*3}$  for any v below a place in  $\mathcal{S}$ . Also  $\xi$  is not in the kernel of  $\Phi_{\mathcal{S}_+}$  because  $\xi$  is not in  $K_{\mathfrak{P}}^{*3}$  and therefore  $\xi x^3 + \xi^{-1} y^3 = Ccz^3$  is insoluble in  $K_{\mathfrak{P}}$ ; but  $m_0$  is in the kernel of  $\Phi_{\mathcal{S}_+}$  because  $m_0$  is in  $K_{\mathfrak{P}}^{*3}$  and also by cubic reciprocity in  $K_{\mathfrak{P}_1}^{*3}$ . (Since this kind of argument occurs several times, of which this is the first, the referee has suggested that I should supply the details. We have  $\sum (m_0,\Theta)_v = 0$  where the sum is over all places v of K; and  $(m_0,\Theta)_v = 0$  for any v outside  $\mathcal{S} \cup \{\mathfrak{P},\mathfrak{P}_1\}$  because then  $m_0$  and  $\Theta$  are both v-adic units. Also  $(m_0,\Theta)_v = 0$  for v in  $\mathcal{S}$  because then  $\Theta$  is in  $K_v^{*3}$ ; and  $(m_0,\Theta)_{\mathfrak{P}} = 0$  because  $m_0$  is in  $K_{\mathfrak{P}_1}^{*3}$ . There may be elements in the kernel of  $\Phi_{\mathcal{S}_+}$  which were not in the kernel of  $\Phi_{\mathcal{S}_+}$  but these will not be in  $\mathfrak{D}_{\mathcal{S}_1}^*$ .

By repeating this process a finite number of times, we can remove from the kernel of  $\Phi$  all elements of  $\mathfrak{O}_{\mathcal{S}_1}^*$  except perhaps those which fail to satisfy the conditions of the lemma. We know by Condition 1 that if  $\lambda_3=0$  then  $\eta$  is not in  $K^{*3}$ ; so these exceptional elements are all ones for which we can take  $\lambda_3=1$  – in other words, they are  $a_1/a_2$ ,  $a_3/a_4$ ,  $a_1a_3/a_2a_4$  and  $a_1a_4/a_2a_3$  and their inverses. It appears that no analogous argument will work for such elements, and if they are to be outside the kernel it can only be because of the insolubility of (6) in  $K_v$  for some v in  $\mathcal{S}_1$ . This must therefore be imposed as a constraint on the  $C_v$  in Condition 2. For the first curve E we know that  $m_0=a_1/a_2$  is in the kernel of  $\Phi$  and does not need to be removed; so it is enough to ensure that we do not have a problem with  $\xi=a_3/a_4$ , for if this is not in the kernel nor will  $a_1a_3/a_2a_4$  or  $a_1a_4/a_2a_3$  be.

Condition 5.— The equation  $a_3^2 X_1^3 + a_4^2 X_2^3 = a_1 a_2 a_3 a_4 C_v X_0^3$  is insoluble in  $k_v$  for some v in  $\Sigma_1$ . The same property holds, though not necessarily with the same v, for the equation  $a_1^2 X_3^3 + a_2^2 X_4^3 = a_1 a_2 a_3 a_4 C_v X_0^3$ .

In what follows, we shall always assume that the  $C_v$  have been chosen to satisfy Condition 5 as well as Condition 2. This completes Stage 1.

The object of each step in Stage 2 is to reduce the corank of the bilinear form  $\Psi$  for one of the two Jacobians E while not increasing it for the other, until both coranks are reduced to 2. As has already been pointed out, it is enough to work with the restriction of  $\Psi$  to  $W'^+ \times W'^-$ ; and since each step of Stage 2 will adjoin a pair of conjugate primes to  $\mathcal{S}$ , it will increase the dimensions of  $W'^+$  and  $W'^-$  by 1. As at the end of §2, we denote by  $V_{\mathcal{S}}^+$  and  $V_{\mathcal{S}}^-$  the left and right kernels of the restriction of  $\Psi_{\mathcal{S}}$  to  $W'_{\mathcal{S}}^+ \times W'_{\mathcal{S}}^-$ . To prove that Stage 2 can be completed it is enough to

show that so long as  $\dim V^- > 0$  for one of the two Jacobians we can choose  $\gamma$  so as to decrease  $\dim V^+$  and  $\dim V^-$  for that one without increasing them for the other.

Denote temporarily by  $n_1$  and  $n_2$  the ranks of the restrictions of  $\Psi_{\mathcal{S}}$  to  ${W'}^+ \times {W'}^-$  for the two Jacobians; then the largest pairs of subspaces on which these restrictions are nonsingular have dimensions  $n_1$  and  $n_2$  respectively. To fix ideas, we shall suppose that it is the Jacobian of the first Eq. (18) which has dim  $V^- > 0$  and for which we are trying to diminish dim  $V^+$  and dim  $V^-$ . Our notation is that a step takes us from  $\mathcal{S}$  to  $\mathcal{S}_+$ ; we therefore need to choose our step in such a way that we can exhibit pairs of subspaces of  ${W'}^+$  and  ${W'}^-$ , of dimension  $n_1 + 2$  for the first equation and  $n_2 + 1$  for the second one, on which the restrictions of the  $\Psi_{\mathcal{S}_+}$  are nonsingular. The subspaces we use are given by (26) and (30) respectively; it is not hard to see that any serious candidates must be of these kinds.

Each step in Stage 2 will consist of multiplying C by  $c = \gamma.\sigma\gamma$  where  $(\gamma)$  is a first degree principal prime ideal in K. We shall require  $\gamma$  to be in  $K_{\mathfrak{P}}^{*3}$  for each  $\mathfrak{P}$  in  $\mathcal{S}_1$ , which incidentally ensures that  $m_0$  is in  $K_{\gamma}^{*3}$  by cubic reciprocity. Further conditions on  $\gamma$  will be imposed later, but they are not relevent to the evaluations which follow and which extend up to (25). Up to that point, our task is to develop formulae for the values of  $\Psi_{\mathcal{S}_+}$ .

If  $\mathfrak{P}$  is in  $\mathcal{S}_1$  then replacing C by cC does not alter  $W_{\mathfrak{P}}$ , since it only changes  $E/K_{\mathfrak{P}}$  by a linear transformation on the variable Z in (4); and it does not alter the space  $Z_{\mathfrak{P}}$ , by Lemma 2. Hence the replacement does not alter  $W'_{\mathcal{P}}$ . If  $\mathfrak{P}$  is in  $\mathcal{S} \setminus \mathcal{S}_1$  the effect of the replacement is that  $W_{\mathfrak{P}}$  is generated by the class of Ac instead of that of A but  $Z_{\mathcal{P}}$  remains the same. For each such  $\mathfrak{P}$ , there is an isomorphism from the old to the new  $W_{\mathfrak{P}}$ , given by mapping the class of  $A^n$  to that of  $(Ac)^n$  for each n; and this induces an isomorphism from the old to the new  $W'_{\mathfrak{P}}$ . Using these, we can define a natural injection  $\phi:W'_{\mathcal{S}}\to W'_{\mathcal{S}_+}$  by requiring the image to have trivial components at all the primes dividing c. Since c is in  $Z_{\mathfrak{P}}$  for every  $\mathfrak{P}$  in  $\mathcal{S}$ , the actions of  $\tau_{\mathcal{S}}$  and  $\tau_{\mathcal{S}_+}\circ\phi$  on  $W'_{\mathcal{S}}$  are identical.

If  $w_1'$  and  $w_2'$  are in  $W_S'$  we need to compare the values of  $\Psi_{S_+}(\phi w_1', \phi w_2')$  and  $\Psi_S(w_1', w_2')$ . The components coming from a prime  $\mathfrak P$  in  $S_1$  are identical. If  $\mathfrak P|c$  the component of  $\phi w_2'$  at  $\mathfrak P$  is trivial and hence so is the contribution to  $\Psi_{S_+}(\phi w_1', \phi w_2')$  from  $\mathfrak P$ . If  $\mathfrak P$  is in  $S \setminus S_1$  then we define  $m_{\mathfrak P}$ ,  $n_{\mathfrak P}$  as elements of  $\mathbf Z/(3)$  by  $\mathfrak P^{m_{\mathfrak P}}\|w_1'$  and  $\mathfrak P^{n_{\mathfrak P}}\|w_2'$ . The difference between the contributions at  $\mathfrak P$  to  $\Psi_{S_+}(\phi w_1', \phi w_2')$  and  $\Psi_S(w_1', w_2')$  is

$$(\tau_{\mathcal{S}_+}\phi w_1',\phi w_2')_{\mathfrak{P}}-(\tau_{\mathcal{S}}w_1',w_2')_{\mathfrak{P}}=\left(\tau_{\mathcal{S}}w_1',c^{n_{\mathfrak{P}}}w_2'\right)_{\mathfrak{P}}-(\tau_{\mathcal{S}}w_1',w_2')_{\mathfrak{P}}=\left(\tau_{\mathcal{S}}w_1',c^{n_{\mathfrak{P}}}\right)_{\mathfrak{P}}$$

and since  $\mathfrak{P}^{m_{\mathfrak{P}}} \| \tau_{\mathcal{S}} w'_1$  this is  $m_{\mathfrak{P}} n_{\mathfrak{P}}(\Pi, c)_{\mathfrak{P}}$  where  $\Pi$  is as usual a uniformizing variable for  $\mathfrak{P}$ . Here we have used the remark immediately after (15). Thus

(21) 
$$\Psi_{\mathcal{S}_{+}}(\phi w_{1}', \phi w_{2}') = \Psi_{\mathcal{S}}(w_{1}', w_{2}') + \sum m_{\mathfrak{P}} n_{\mathfrak{P}}(\Pi, c)_{\mathfrak{P}}$$

where the sum is taken over all  $\mathfrak{P}$  in  $\mathcal{S} \setminus \mathcal{S}_1$ . In particular if c is in  $K_{\mathfrak{P}}^{*3}$  for every  $\mathfrak{P}$  in  $\mathcal{S}$ , then  $\Psi_{\mathcal{S}_+}(\phi w_1', \phi w_2') = \Psi_{\mathcal{S}}(w_1', w_2')$ .

It only remains to evaluate

$$\Psi_{\mathcal{S}_{+}}(w_{\gamma}'+\sigma w_{\gamma}',\phi {w'}^{-}),\quad \Psi_{\mathcal{S}_{+}}(w_{\gamma}'+\sigma w_{\gamma}',w_{\gamma}'-\sigma w_{\gamma}')\quad \text{and}\quad \Psi_{\mathcal{S}_{+}}(\phi {w'}^{+},w_{\gamma}'-\sigma w_{\gamma}')$$

for any  ${w'}^+$  in  ${W'_{\mathcal{S}}}^+$  and  ${w'}^-$  in  ${W'_{\mathcal{S}}}^-$ ; here  $w'_{\gamma}$  denotes the element of  $W'_{\mathcal{S}_+}$  which is represented by Ac in  $W'_{\gamma}$  and is trivial elsewhere. I claim that  $\tau_{\mathcal{S}_+}(w'_{\gamma}+\sigma w'_{\gamma})=c$ . For  $w'_{\gamma}+\sigma w'_{\gamma}$  is represented by Ac in  $W'_{\gamma}$  and  $W'_{\sigma\gamma}$ , and by 1 in  $W'_{\mathfrak{P}}$  for each  $\mathfrak{P}$  in  $\mathcal{S}$ . It is therefore enough to note that A is a unit at  $(\gamma)$  and  $(\sigma\gamma)$  and therefore induces elements of  $Z_{\gamma}$  and  $Z_{\sigma\gamma}$ , that c is a

unit at  $\mathfrak{P}$  for each  $\mathfrak{P}$  in  $\mathcal{S} \setminus \mathcal{S}_0$  and therefore induces elements of  $Z_{\mathfrak{P}}$  for such  $\mathfrak{P}$ , and that c is in  $\mathfrak{D}_{\mathfrak{P}}^{*3}$  for each  $\mathfrak{P}$  in  $\mathcal{S}_0$  and therefore induces elements of  $Z_{\mathfrak{P}}$  for such  $\mathfrak{P}$ . If for each  $\mathfrak{P}$  in  $\mathcal{S}$  we define  $n_{\mathfrak{P}}$  by  $\mathfrak{P}^{n_{\mathfrak{P}}} \| w'^-$  then

(22) 
$$\Psi_{\mathcal{S}_{+}}(w_{\gamma}' + \sigma w_{\gamma}', \phi w'^{-}) = \sum_{\mathfrak{P} \in (\mathcal{S} \setminus \mathcal{S}_{1})} n_{\mathfrak{P}}(c, \Pi)_{\mathfrak{P}}.$$

Here we have dropped the terms for which  $\mathfrak{P}$  is in  $\mathcal{S}_1$  since each of them vanishes. By a similar argument

(23) 
$$\Psi_{\mathcal{S}_{+}}(w_{\gamma}' + \sigma w_{\gamma}', w_{\gamma}' - \sigma w_{\gamma}') = (c, Ac)_{\gamma} - (c, Ac)_{\sigma\gamma} = 2(\gamma, A)_{\gamma}.$$

By the Hilbert product formula this is equal to  $\sum_{\mathfrak{P}\in\mathcal{S}}(\gamma,A)_{\mathfrak{P}}$ , and here we again can drop the terms with  $\mathfrak{P}$  in  $\mathcal{S}_1$  because each of them vanishes. Writing  $\sigma\mathfrak{P}$  for  $\mathfrak{P}$  and applying  $\sigma$ , this is also equal to  $-\sum_{\mathfrak{P}\in\mathcal{S}}(\sigma\gamma,A)_{\mathfrak{P}}$ . Hence

(24) 
$$\Psi_{\mathcal{S}_{+}}(w'_{\gamma} + \sigma w'_{\gamma}, w'_{\gamma} - \sigma w'_{\gamma}) = -\sum_{\mathfrak{P} \in (\mathcal{S} \setminus \mathcal{S}_{1})} v_{\mathfrak{P}}(A)(\gamma/\sigma\gamma, \Pi)_{\mathfrak{P}}.$$

Note that  $v_{\mathfrak{P}}(A)=1$  for all but one of the primes in  $\mathcal{S}\setminus\mathcal{S}_1$ ; the exception is the prime  $\mathfrak{Q}_2$  lying above the  $\mathfrak{q}_2$  introduced in the corollary to Lemma 2, for which  $v_{\mathfrak{Q}_2}(A)=2$ .

Again  $\Psi_{S_+}(\phi w'^+, w'_{\gamma} - \sigma w'_{\gamma}) = (\tau_S w'^+, Ac)_{\gamma} - (\tau_S w'^+, Ac)_{\sigma\gamma}$ ; here we can drop the factor  $A\sigma\gamma$  in the first Hilbert symbol and the factor  $A\gamma$  in the second, giving  $2(\tau_S w'^+, \gamma)_{\gamma}$ . This last expression is equal to  $\sum_{\mathfrak{P} \in \mathcal{S}} (\tau_S w'^+, \gamma)_{\mathfrak{P}}$  by the reciprocity law. We can again drop the terms with  $\mathfrak{P}$  in  $\mathcal{S}_1$ ; if we define  $m_{\mathfrak{P}}$  for  $\mathfrak{P}$  in  $\mathcal{S} \setminus \mathcal{S}_1$  by  $\mathfrak{P}^{m_{\mathfrak{P}}} \| w'^+$  and use the remark which follows (15) then we obtain

$$\Psi_{\mathcal{S}_{+}}(\phi w'^{+}, w'_{\gamma} - \sigma w'_{\gamma}) = \sum_{\mathfrak{P} \in (\mathcal{S} \setminus \mathcal{S}_{1})} m_{\mathfrak{P}}(\Pi, \gamma)_{\mathfrak{P}}.$$

Here again we can write  $\sigma \mathfrak{P}$  for  $\mathfrak{P}$  and apply  $\sigma$ ; remembering that  $m_{\mathfrak{P}} = m_{\sigma \mathfrak{P}}$  because  $w'^+$  is fixed by  $\sigma$ , we finally obtain

(25) 
$$\Psi_{\mathcal{S}_{+}}(\phi w'^{+}, w'_{\gamma} - \sigma w'_{\gamma}) = -\sum_{\mathfrak{P} \in (\mathcal{S} \setminus \mathcal{S}_{1})} m_{\mathfrak{P}}(\Pi, \gamma / \sigma \gamma)_{\mathfrak{P}}.$$

Note that in each of the three formulae (22), (24) and (25) the terms on the right coming from  $\mathfrak{P}$  and  $\sigma\mathfrak{P}$  are equal. In the evaluations of matrix elements later in this section, this will give rise to a factor 2 for terms for which  $\mathfrak{P}$  and  $\sigma\mathfrak{P}$  are distinct.

For the first curve E, for which we are trying to diminish  $\dim V^+$  and  $\dim V^-$ , let  $v'^+$  be an element of  $V_{\mathcal{S}}^+$  such that  $\tau_{\mathcal{S}} v'^+$  is independent of  $m_0$  and A as an element of  $X_{\mathcal{S}}'$ , and let  $v'^-$  be a nonzero element of  $V_{\mathcal{S}}^-$ . After dividing  $v'^+$  by a power of  $\tau_{\mathcal{S}}^{-1}A$  if necessary, we can assume that there is a prime  $\mathfrak{P}_1$  in  $\mathcal{S}\setminus\mathcal{S}_1$  at which  $v'^+$  is a unit. Because of Stage 1, each of  $v'^+$  and  $v'^-$  has valuation prime to 3 at some prime in  $\mathcal{S}\setminus\mathcal{S}_1$ . Let  $\mathfrak{P}_+$  and  $\mathfrak{P}_-$  be such primes, where if possible we choose  $\mathfrak{P}_+$  and  $\mathfrak{P}_-$  to be equal; if this is not possible we rechoose  $\mathfrak{P}_1$  to be  $\mathfrak{P}_-$ . Let  $w_1^+,\ldots,w_r^+$  be a set of representatives in  $W_{\mathcal{S}}^+$  of a base for  $W_{\mathcal{S}}'^+/V_{\mathcal{S}}^+$ ; by multiplying each  $w_i^+$  first by a power of  $\tau_{\mathcal{S}}^{-1}A$  and then by a power of a representative of  $v'^+$ , we can ensure that the  $w_i^+$  are units at  $\mathfrak{P}_1$  and  $\mathfrak{P}_+$ . Let  $w_1^-,\ldots,w_r^-$  be a set of representatives in  $W_{\mathcal{S}}^-$  of a base for  $W_{\mathcal{S}}'^-/V_{\mathcal{S}}^-$ ; by multiplying each  $w_i^-$  by a power of a representative of  $v'^-$ , we can similarly ensure that the  $w_i^-$  are units at  $\mathfrak{P}_-$ . Let  $U^+$  be the subspace of  $W_{\mathcal{S}}'^+$  spanned by the images of the

 $w_i^+$  and similarly for  $U^-$ ; and consider the restriction of  $\Psi_{S_+}$  to the subspace of  $W'_{S_+}^+ \times W'_{S_+}^-$  given by

(26) 
$$(\phi U^+ \oplus \{\phi v'^+\} \oplus \{w'_{\gamma} + \sigma w'_{\gamma}\}) \times (\phi U^- \oplus \{\phi v'^-\} \oplus \{w'_{\gamma} - \sigma w'_{\gamma}\}),$$

where  $\{w'\}$  for any w' denotes the subspace of  $W'_{\mathcal{S}_+}$  generated by w' and  $\phi$  is the natural injection  $W'_{\mathcal{S}} \to W'_{\mathcal{S}_+}$ . For the calculations involving the first curve, we shall require  $\gamma$  to be such that  $(\gamma,\Pi)_{\mathfrak{P}}=0$  for all  $\mathfrak{P}$  in  $\mathcal{S}$  except possibly for  $\mathfrak{P}_1,\,\sigma\mathfrak{P}_1,\,\mathfrak{P}_+$  and  $\sigma\mathfrak{P}_+$  and that  $(\Pi_-,\gamma/\sigma\gamma)_{\mathfrak{P}_-}=0$  where  $\Pi_-$  is a uniformizing variable for  $\mathfrak{P}_-$ ; we shall need to impose a further condition on  $\gamma$  when we consider the second curve. (Similarly  $\Pi_+$  and  $\Pi_1$  will be uniformizing variables for  $\mathfrak{P}_+$  and  $\mathfrak{P}_1$  respectively. We do not claim that  $\mathfrak{P}_1 \neq \sigma\mathfrak{P}_1$  nor that  $\mathfrak{P}_+ \neq \sigma\mathfrak{P}_+$ , though there will be some abuse of language if either of these fails.) With the obvious bases, the matrix of the restriction of  $\Psi_{\mathcal{S}_+}$  to (26) has the form

(27) 
$$\begin{pmatrix} \Psi_{\mathcal{S}}(U^{+}, U^{-}) & 0 & 0 \\ \Psi(\phi v'^{+}, U^{-}) & \Psi(\phi v'^{+}, \phi v'^{-}) & \Psi(\phi v'^{+}, w'_{\gamma} - \sigma w'_{\gamma}) \\ \Psi(w'_{\gamma} + \sigma w'_{\gamma}, U^{-}) & \Psi(w'_{\gamma} + \sigma w'_{\gamma}, \phi v'^{-}) & \Psi(w'_{\gamma} + \sigma w'_{\gamma}, w'_{\gamma} - \sigma w'_{\gamma}) \end{pmatrix}$$

where to save space we have written  $\Psi$  for  $\Psi_{\mathcal{S}_+}$  in the second and third rows. Here we need only justify the first row. In each term we take  $w_1'$  to be the image in  $U^+$  of some  $w_i^+$ . For the first term we take  $w_2'$  to be the image in  $U^-$  of some  $w_j^-$  and use (21); thus we need to show that the sum on the right there vanishes. Suppose first that  $\mathfrak{P}$  in  $\mathcal{S}\setminus\mathcal{S}_1$  is not  $\mathfrak{P}_1,\sigma\mathfrak{P}_1,\mathfrak{P}_+$  or  $\sigma\mathfrak{P}_+$ ; then by construction  $\gamma$  is in  $K_{\mathfrak{P}}^{*3}$  and  $K_{\sigma\mathfrak{P}}^{*3}$ , so  $\sigma\gamma$  is also in  $K_{\mathfrak{P}}^{*3}$  and so is  $c=\gamma.\sigma\gamma$ , whence  $(\Pi,c)_{\mathfrak{P}}=0$ . But  $w_1'$  is fixed by  $\sigma$  and is a unit at  $\mathfrak{P}_1$  and  $\mathfrak{P}_+$ , and hence also at  $\sigma\mathfrak{P}_1$  and  $\sigma\mathfrak{P}_+$ ; so  $m_{\mathfrak{P}}=0$  if  $\mathfrak{P}$  is one of  $\mathfrak{P}_1,\sigma\mathfrak{P}_1,\mathfrak{P}_+$  or  $\sigma\mathfrak{P}_+$ . Hence each term in the sum on the right of (21) vanishes. For the second term we take  $w_2'=v'^-$ ; now the sum on the right of (21) vanishes for the same reason as before, and  $\Psi_{\mathcal{S}}(w_1',w_2')=\Psi_{\mathcal{S}}(w_1',v'^-)$  vanishes because  $v'^-$  is in  $V_{\mathcal{S}}^-$ . For the third term we use (25), and the same arguments show that again each term in the sum on the right vanishes. We know that  $\Psi_{\mathcal{S}}(U^+,U^-)$  is nonsingular, so to prove that the matrix (27) is nonsingular it is enough to prove the nonsingularity of the  $2\times 2$  matrix in the bottom right hand corner.

We now split cases. If  $\mathfrak{P}_+ = \mathfrak{P}_-$  the  $2 \times 2$  matrix reduces to

(28) 
$$\begin{pmatrix} \nu(\Pi_{+},c)_{\mathfrak{P}_{+}} & 0 \\ \Psi_{\mathcal{S}_{+}}(w_{\gamma}' + \sigma w_{\gamma}', \phi v'^{-}) & \varepsilon_{1} v_{\mathfrak{P}_{1}}(A)(\gamma/\sigma\gamma, \Pi_{1})_{\mathfrak{P}_{1}} \end{pmatrix}$$

for some  $\nu$  prime to 3; here  $\varepsilon_1=1$  if  $\mathfrak{P}_1\neq\sigma\mathfrak{P}_1$  and  $\varepsilon_1=-1$  if  $\mathfrak{P}_1=\sigma\mathfrak{P}_1$ . The evaluation of the element in the top left-hand corner follows from (21) in much the same way as did that of the middle element in the top row of (27); we use the fact that  $m_{\mathfrak{P}}=0$  for  $\mathfrak{P}_1$  and  $\sigma\mathfrak{P}_1$ , but now the valuations of  $v'^+$  and  $v'^-$  at  $\mathfrak{P}_+$  are both prime to 3 and  $\nu$  is equal to their product if  $\mathfrak{P}_+$  is fixed by  $\sigma$  and to twice their product otherwise. Similarly the zero in the top right-hand corner comes from (25) in much the same way as the zero in the top right-hand corner of (27); as in the previous sentence  $m_{\mathfrak{P}}=0$  for  $\mathfrak{P}_1$  and  $\sigma\mathfrak{P}_1$ , but also  $(\Pi_+,\gamma/\sigma\gamma)_{\mathfrak{P}_+}=0$  by the construction of  $\gamma$  and the fact that  $\mathfrak{P}_+=\mathfrak{P}_-$ . For the element in the bottom right-hand corner we use (24); the terms coming from  $\mathfrak{P}_+$  and  $\sigma\mathfrak{P}_+$  vanish for the same reason as in the last sentence, and the value of  $\varepsilon_1$  comes from the fact that if  $\mathfrak{P}_1=\sigma\mathfrak{P}_1$  we have one corresponding term on the right of (24) but otherwise we have two. The matrix (28) is nonsingular if  $(\Pi_+,c)_{\mathfrak{P}_+}$  and  $(\gamma/\sigma\gamma,\Pi_1)_{\mathfrak{P}_1}$  are both nonzero.

If  $v'^-$  is a unit at  $\mathfrak{P}_+$  then  $\mathfrak{P}_- = \mathfrak{P}_1$  and the  $2 \times 2$  matrix reduces to

(29) 
$$\begin{pmatrix} 0 & \nu_1(\Pi_+, \gamma/\sigma\gamma)_{\mathfrak{P}_+} \\ \nu_2(c, \Pi_1)_{\mathfrak{P}_1} & \varepsilon_+ v_{\mathfrak{P}_+}(A)(\gamma/\sigma\gamma, \Pi_+)_{\mathfrak{P}_+} \end{pmatrix}$$

for some  $\nu_1, \nu_2$  prime to 3; here  $\varepsilon_+ = 1$  if  $\mathfrak{P}_+ \neq \sigma \mathfrak{P}_+$  and  $\varepsilon_+ = -1$  if  $\mathfrak{P}_+ = \sigma \mathfrak{P}_+$ . Here the zero in the top left-hand corner comes from (21) by a calculation like that for the corresponding element in (28); the only difference is that now  $v'^-$  is a unit at  $\mathfrak{P}_+$  and  $\sigma \mathfrak{P}_+$ , so the corresponding terms in (21) vanish. The element in the top right-hand corner comes from (25), because in contrast with (28) the terms on the right of (25) coming from  $\mathfrak{P}_+$  and  $\sigma \mathfrak{P}_+$  need not vanish, though they are equal; here  $\nu_1$  is the valuation of  $v'^+$  at  $\mathfrak{P}_+$  or twice it according as  $\sigma \mathfrak{P}_+ \neq \mathfrak{P}_+$  or  $\sigma \mathfrak{P}_+ = \mathfrak{P}_+$ . For the element in the bottom left-hand corner we use (22), where as usual in the sum on the right  $(c,\Pi)_{\mathfrak{P}}$  vanishes unless  $\mathfrak{P}$  is  $\mathfrak{P}_+, \sigma \mathfrak{P}_+, \mathfrak{P}_1$  or  $\sigma \mathfrak{P}_1$ , and  $n_{\mathfrak{P}} = 0$  for  $\mathfrak{P}_+$  and  $\sigma \mathfrak{P}_+$ ; here  $\nu_2$  is the valuation of  $v'^-$  at  $\mathfrak{P}_1$  or twice it according as  $\sigma \mathfrak{P}_1 = \mathfrak{P}_1$  or  $\sigma \mathfrak{P}_1 \neq \mathfrak{P}_1$ . For the element in the bottom right-hand corner (whose value is not important here but will be needed in (31)) we use (24) much as for (28); but this time it is the terms coming from  $\mathfrak{P}_1$  which vanish because now  $\mathfrak{P}_1 = \mathfrak{P}_-$ . The matrix (29) will be nonsingular if  $(\Pi_+, \gamma/\sigma \gamma)_{\mathfrak{P}_+}$  and  $(c,\Pi_1)_{\mathfrak{P}_1}$  are both nonzero. Note that in each case we have also ensured that the value of  $\Psi_{\mathcal{S}_+}(w'_\gamma + \sigma w'_\gamma, w'_\gamma - \sigma w'_\gamma)$  is nonzero.

We now turn to the other curve E. Although the functions  $\Psi_{S_+}$  for the two curves are different, the values of the left hand sides of (23) for the two curves are the same, because their difference is

$$2(\gamma, a_1 a_2/a_3 a_4)_{\gamma} = \sum_{\mathfrak{B} \in S_1} (\gamma, a_1 a_2/a_3 a_4)_{\mathfrak{B}} = 0.$$

In particular  $\Psi_{\mathcal{S}_+}(w'_\gamma+\sigma w'_\gamma,w'_\gamma-\sigma w'_\gamma)$  is nonzero for the second curve, by the last remark in the previous paragraph. We define the  $w_i^+,w_i^-$  and  $U^+,U^-$  for the second curve in the same way as we did for the first. We still denote by  $\mathfrak{P}_1,\mathfrak{P}_+,\mathfrak{P}_-,\mathfrak{P}_0$  the same primes as for the first curve; what matters now is that  $\mathfrak{P}_0,\sigma\mathfrak{P}_0,\mathfrak{P}_-$  and  $\sigma\mathfrak{P}_-$  are the only primes in  $\mathcal{S}\setminus\mathcal{S}_1$  at which c is not required to be locally a cube. By dividing the  $w_i^+$  by appropriate powers of  $\tau_{\mathcal{S}}^{-1}A$  we can further ensure that each of the  $w_i^+$  is a unit at  $\mathfrak{P}_0$ , where  $\mathfrak{P}_0$  is as before that one of  $\mathfrak{P}_+$  and  $\mathfrak{P}_1$  which is not equal to  $\mathfrak{P}_-$ . Thus when we use (21) to evaluate the  $\Psi_{\mathcal{S}_+}(\phi w_i^+,\phi w_j^-)$  the only terms in the sum on the right which can be nonzero are those from  $\mathfrak{P}_-$  and  $\sigma\mathfrak{P}_-$ . We can then require that at most one of the  $w_i^+$  is a non-unit at  $\mathfrak{P}_-$ . Whether  $\mathfrak{P}_-$  is equal to  $\mathfrak{P}_+$  or to  $\mathfrak{P}_1$ , it will then follow from (25) and  $(\Pi_-,\gamma/\sigma\gamma)_{\mathfrak{P}_-}=0$  that  $\Psi_{\mathcal{S}_+}(U^+,w'_\gamma-\sigma w'_\gamma)=0$ . Hence the matrix of the restriction of  $\Psi_{\mathcal{S}_+}$  to

(30) 
$$\left( U^+ \oplus \left\{ w_{\gamma}' + \sigma w_{\gamma}' \right\} \right) \times \left( U^- \oplus \left\{ w_{\gamma}' - \sigma w_{\gamma}' \right\} \right)$$

has the form

(31) 
$$\begin{pmatrix} \Psi_{\mathcal{S}_{+}}(U^{+}, U^{-}) & 0 \\ \Psi_{\mathcal{S}_{+}}(w_{\gamma}' + \sigma w_{\gamma}', U^{-}) & \Psi_{\mathcal{S}_{+}}(w_{\gamma}' + \sigma w_{\gamma}', w_{\gamma}' - \sigma w_{\gamma}') \end{pmatrix}$$

and this will be nonsingular if  $\Psi_{\mathcal{S}_+}(U^+,U^-)$  is nonsingular. But  $\Psi_{\mathcal{S}_+}(U^+,U^-)$  only differs from  $\Psi_{\mathcal{S}}(U^+,U^-)$  in that the elements of one row have been changed by multiples of  $(c,\Pi_-)_{\mathfrak{P}_-}$ , the multiples being independent of  $\gamma$ . Hence

$$\det \left(\Psi_{\mathcal{S}_+}(U^+,U^-)\right) = \det \left(\Psi_{\mathcal{S}}(U^+,U^-)\right) + b(c,\Pi_-)_{\mathfrak{P}_-}$$

for some b in  $\mathbf{F}_3$  independent of  $\gamma$ ; and there is a nonzero value of  $(c, \Pi_-)_{\mathfrak{P}_-}$  which makes this nonzero. This is the additional condition on  $\gamma$  which we noted above that we would need to impose.

This step will therefore achieve what we want if the conditions on  $\gamma$  imposed just after (26) hold and the matrices (27) and (31) are nonsingular. For this it is enough to ensure that

- $\gamma$  lies in  $K_{\mathfrak{B}}^{*3}$  for each  $\mathfrak{P}$  in  $\mathcal{S}_1$ ,
- $\bullet \ \ (\gamma,\Pi)_{\mathfrak{P}}=0 \ \text{for all} \ \mathfrak{P} \ \text{in} \ \mathcal{S} \ \text{outside} \ \mathcal{P}_0\cup\mathcal{P}_-=\mathcal{P}_1\cup\mathcal{P}_+,$
- $(\Pi_0, \gamma/\sigma\gamma)_{\mathfrak{P}_0}$  is nonzero,
- $(\Pi_-, c)_{\mathfrak{P}_-}$  takes an assigned nonzero value, and
- $(\Pi_-, \gamma/\sigma\gamma)_{\mathfrak{P}_-} = 0.$

Now

$$(\Pi, \gamma/\sigma\gamma)_{\mathfrak{P}} = (\Pi, \gamma)_{\mathfrak{P}} + (\sigma\Pi, \gamma)_{\sigma\mathfrak{P}}, \qquad (\Pi, c)_{\mathfrak{P}} = (\Pi, \gamma)_{\mathfrak{P}} - (\sigma\Pi, \gamma)_{\sigma\mathfrak{P}}.$$

Bearing in mind that we cannot have  $\sigma \mathfrak{P}_{-} = \mathfrak{P}_{-}$  because  $v'^{-}$  is a nonunit at  $\mathfrak{P}_{-}$ , these reduce to conditions on the  $(\Pi, \gamma)_{\mathfrak{P}}$  for  $\mathfrak{P}$  in  $\mathcal{S}$ , and by Dirichlet's theorem they can all be satisfied.

What we have so far proved is that if

- (i) the Tate-Šafarevič group of any elliptic curve (4) over k is finite,
- (ii) Conditions 1 and 4 hold,
- (iii) Condition 2 holds and we can choose the  $C_v$  to satisfy Condition 5,

then (1) is soluble in k. As was explained in the Introduction, Condition 1 presents no problems because if it fails (1) is certainly soluble; we must now get rid of Condition 4.

THEOREM 3. – Assume that the Tate-Šafarevič group of any elliptic curve (4) over any quadratic extension of  $k_0$  is finite. If we can choose the  $C_v$  in Condition 2 so that for some places  $v_1, v_3$  (which may be the same) the first equation

(32) 
$$a_3^2 X_1^3 + a_4^2 X_2^3 = a_1 a_2 a_3 a_4 C_v X_0^3, \qquad a_1^2 X_3^3 + a_2^2 X_4^3 = a_1 a_2 a_3 a_4 C_v X_0^3$$

is insoluble in  $(k_0)_{v_1}$  and the second equation is insoluble in  $(k_0)_{v_3}$ , then (1) is soluble in  $k_0$ .

Proof. – We construct a quadratic extension  $k=k_0(\sqrt{\alpha})$  with  $\alpha$  in  $k_0$ , such that if  $k_0$  satisfies the conditions of Theorem 3 then either k satisfies the conditions listed above for the solubility of (1) or we know independently that (1) is soluble over k. In the former case it will again follow that (1) is soluble over k, and it is well known that this implies solubility over  $k_0$ . As was remarked above, we can assume that Condition 1 holds over k. Let  $\Sigma_2$  be a finite set of places of  $k_0$  which includes the archimedean places, the primes which divide 3 and the other primes of bad reduction for (1). Choose  $\alpha$  in  $k_0$  so that  $-3\alpha$  is in  $(k_0)_v^{*2}$  for every place v in  $\Sigma_2$ , including the archimedean ones, but  $-3\alpha$  is not in  $k_0^{*2}$ . This implies that -3 is a square at every place  $v_1$  of  $k = k_0(\sqrt{\alpha})$  above a place in  $\Sigma_2$ , and therefore that every such  $v_1$  splits in K/k where  $K = k(\omega)$ . We retain the  $C_v$  which we chose for Condition 2 over  $k_0$ ; so the insolubility of each of Eqs. (32) for k follows from the corresponding statement for  $k_0$ . We can find a set of generators of the ideal class group of K which are first degree primes; taking  $S_1$  to be the union of these and the places of K which lie above places in  $\Sigma_2$ , we satisfy Condition 4. We have therefore satisfied (i) to (iii) above. Thus (1) is soluble in k and therefore also in  $k_0$ .

### 6. Proof of Theorems 1 and 2

The condition appearing in Theorem 3, which has already been stated as Condition 5, is not very demanding. For a finite place p which does not divide 3, it is easy to give a detailed analysis

of this condition; if  $\mathfrak{p}|3$  the number of cases to be considered would become tedious, so we restrict the following discussion to places which do not divide 3. We can multiply Eq. (1) by a power of a uniformizing variable at  $\mathfrak{p}$  before taking cubes out of the  $a_i$ . Using also symmetry, we have only the following cases to consider:

- (i)  $\mathfrak{p}|a_1$  and  $a_2, a_3, a_4$  are in  $\mathfrak{o}_{\mathfrak{p}}^*$ . We can certainly take  $C_{\mathfrak{p}} = a_2$ , in which case the second Eq. (32) is insoluble and the first one is insoluble unless  $a_3/a_4$  is in  $k_{\mathfrak{p}}^{*3}$ . If  $a_3/a_4$  is in  $k_{\mathfrak{p}}^{*3}$  we could instead take  $C_{\mathfrak{p}} = a_1$  but we gain nothing by doing so.
- (ii)  $\mathfrak{p} \| a_1, \mathfrak{p} \| a_2$  and  $a_3, a_4$  are in  $\mathfrak{o}_{\mathfrak{p}}^*$ . For local solubility of the system (17) at least one of  $a_1/a_2$  and  $a_3/a_4$  must be in  $k_{\mathfrak{p}}^{*3}$ . If both of them are in  $k_{\mathfrak{p}}^{*3}$  then we can give  $C_{\mathfrak{p}}$  any value in  $\mathfrak{po}_{\mathfrak{p}}^*$  or  $\mathfrak{o}_{\mathfrak{p}}^*$ ; but both Eqs. (32) are then necessarily soluble. If for example  $a_1/a_2$  is in  $k_{\mathfrak{p}}^{*3}$  but  $a_3/a_4$  is not, we must take  $C_{\mathfrak{p}}$  in  $\mathfrak{o}_{\mathfrak{p}}^*$ ; then the second Eq. (32) is soluble but the first is not. Similarly if  $a_3/a_4$  is in  $k_{\mathfrak{p}}^{*3}$  but  $a_1/a_2$  is not, then we must take  $C_{\mathfrak{p}}$  in  $\mathfrak{po}_{\mathfrak{p}}^*$  and the first Eq. (32) is soluble but the second is not.
- (iii)  $\mathfrak{p} \| a_1, \mathfrak{p} \| a_3$  and  $a_2, a_4$  are in  $\mathfrak{o}_{\mathfrak{p}}^*$ . For local solubility of the system (17), at least one of  $a_1/a_3$  and  $a_2/a_4$  must be in  $k_{\mathfrak{p}}^{*3}$ . If both of them are in  $k_{\mathfrak{p}}^{*3}$  then both Eqs. (32) will be soluble whenever both Eqs. (17) are. But if say  $a_1/a_3$  is in  $k_{\mathfrak{p}}^{*3}$  but  $a_2/a_4$  is not, then we must take  $C_{\mathfrak{p}} = a_1$  and neither Eq. (32) is soluble.
- (iv)  $\mathfrak{p}^2 \| a_1, \mathfrak{p} \| a_2$  and  $a_3, a_4$  are in  $\mathfrak{o}_{\mathfrak{p}}^*$ . For local solubility of the system (17),  $a_3/a_4$  must be in  $k_{\mathfrak{p}}^{*3}$  and we must choose  $C_{\mathfrak{p}}$  to be  $a_1$  or  $a_2$ . Now the first Eq. (32) is soluble, and the second one is soluble if and only if  $a_1 a_2 a_3$  is in  $k_{\mathfrak{p}}^{*3}$ .
- (v)  $\mathfrak{p}^2 \| a_1, \mathfrak{p} \| a_3$  and  $a_2, a_4$  are in  $\mathfrak{o}_{\mathfrak{p}}^*$ . For local solubility of the system (17),  $a_2/a_4$  must be in  $k_{\mathfrak{p}}^{*3}$  and we must choose  $C_{\mathfrak{p}} = a_2$ . Now both Eqs. (32) are soluble if  $a_1 a_2 a_3$  is in  $k_{\mathfrak{p}}^{*3}$ , and neither of them is soluble otherwise.

The reader will notice that if  $\mathfrak{p}$  is in  $\Sigma_1$  and does not divide 3 then both Eqs. (32) are soluble in  $k_{\mathfrak{p}}$  if and only if (1) is birationally equivalent to a plane over  $k_{\mathfrak{p}}$ ; compare Proposition 2 of [5]. This is unlikely to be a coincidence, but I have not been able to make serious use of it.

Theorem 1(i) is a special case of Theorem 3. For if  $v = \mathfrak{p}_1$  we can choose  $C_v = a_2$  to make the second Eq. (32) insoluble, and a similar argument with  $v = \mathfrak{p}_3$  works for the first Eq. (32). Theorem 1(ii) follows from (i) above after permuting the subscripts if necessary; and Theorem 1(iii) follows similarly from (iii) and (v) above after taking account of the penultimate sentence in the previous paragraph.

We now turn to the proof of Theorem 2. Choose k as before. We can clearly assume that none of the  $b_i/b_j$  are in  $k^{*3}$ . I claim that after renumbering we can assume that the fields  $K(\sqrt[3]{b_1/b_5})$  and  $K(\sqrt[3]{b_2/b_3})$  are distinct. For if not,  $K(\sqrt[3]{b_4/b_5})$  would be the same as each of the three fields  $K(\sqrt[3]{b_i/b_j})$  with i,j=1,2,3 and  $i\neq j$ . After interchange of  $b_4$  and  $b_5$  if necessary, this would imply that

$$b_4/b_5 = b_1/b_2 = b_2/b_3$$
 as elements of  $k^{*3}$ ;

and now  $K(\sqrt[3]{b_1/b_5}) = K(\sqrt[3]{b_2/b_3})$  would imply that either  $b_2/b_5$  or  $b_3/b_5$  is in  $k^{*3}$ .

Hence we can find a prime  $\mathfrak{P}_0$  of K at which 3 and all the  $b_i$  are units and which splits in  $K(\sqrt[3]{b_1/b_5})$  but not in  $K(\sqrt[3]{b_2/b_3})$ ; thus  $b_1/b_5$  is in  $K_{\mathfrak{P}_0}^{*3}$  but  $b_2/b_3$  is not. Now the corresponding statements are true for  $k_{\mathfrak{p}_0}^{*3}$  where  $\mathfrak{p}_0$  is the prime in k below  $\mathfrak{P}_0$ . For each prime  $\mathfrak{p}$  in k which is a prime of bad reduction of (3), choose  $c_{\mathfrak{p}}$  in  $k_{\mathfrak{p}}$  so that (3) has a solution in  $k_{\mathfrak{p}}$  with  $K_5 = c_{\mathfrak{p}} X_1$ . Let c in k be such that c is close to each  $c_{\mathfrak{p}}$  and  $\mathfrak{p}_0 \| (b_1 + c^3 b_5)$ . If we write  $K_5 = c X_1$  in (3) we obtain an equation

(33) 
$$(b_1 + c^3 b_5) X_1^3 + b_2 X_2^3 + b_3 X_3^3 + b_4 X_4^3 = 0$$

which is soluble in k by Theorem 1(ii). For if  $\mathfrak{p}$  is a prime of k at which (3) has good reduction, the same is true of  $b_2X_2^3 + b_3X_3^3 + b_4X_4^3 = 0$ ; hence this equation is soluble in  $k_{\mathfrak{p}}$  and so is (33). Since (33) is soluble in k, so is (3); and hence it is also soluble in  $k_0$ .

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Sir Peter SWINNERTON-DYER
Trinity College,
Cambridge CB2 1TQ, UK
E-mail: hpfs100@newton.cam.ac.uk