1	p39R861-4, a type 2 A/C ₂ plasmid carrying a segment from the A/C ₁ RA1
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26 Abstract

27	The largest plasmid in the strain 39R861, which is used as a plasmid size
28	standard, was recovered by conjugation and sequenced to determine its exact size.
29	Plasmid p39R861-4 transferred at high frequency. Though reported to be the A/C_1
30	plasmid RA1, p39R861-4 is a 155794 bp Type 2 A/C ₂ plasmid in which a 39 kb
31	segment derived from RA1 that includes a relative of the RA1 resistance island replaces
32	26.5 kb of the Type 2 backbone. p39R861-4 includes a single copy of IS10 and two
33	resistance islands with a CR2-sul2 region in each of them. The 84 kb of backbone
34	between the resistance islands is inverted relative to other known A/C plasmids and this
35	inversion has arisen via recombination between the CR2-sul2 regions that are inversely
36	oriented. The resistance islands prior to inversion were one related to but longer than
37	that found in RA1, and a form of the ARI-B island identical to one found in the A/C_2
38	plasmid R55. They contain genes conferring resistance to tetracycline (<i>tetA</i> (D)),
39	sulphonamides (sul2) and florfenicol and chloramphenicol (floR). The tet(D)
40	determinant is flanked by two IS26 in a transposon-like structure named Tntet(D). Both
41	resistance islands contain remnants of the two ends of the integrative element GIsul2,
42	consistent with the <i>sul2</i> gene being mobilized by GI <i>sul2</i> rather than by CR2.
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51 Introduction

52 Escherichia coli strain 39R861 was constructed prior to 1986 as a plasmid size standard²⁰ and is routinely used to measure the size of closed circular plasmids. The four 53 54 plasmids it carries are reported to be the IncA/C reference plasmid RA1, plasmid X, the 55 IncW plasmid pSa and NTP168, with sizes of 154 kb, 66 kb, 38 kb and 7 kb, 56 respectively, calculated from original size estimates of 98, 42, 23.9 and 4.6 MDa, respectively.²¹ Despite ongoing use as a standard, the size of each plasmid has never 57 58 been precisely determined. RA1 is the only plasmid said to be present in 39R861 that has been sequenced (GenBank accession number FJ705807).¹⁰ The size of pSa can be 59 60 estimated to be 40.1 kb from the IncW R388 sequence (GenBank accession number 61 BR000038) and the sequence of the pSa integron (GenBank accession number 62 NG_036033). 63 Plasmids of the A/C group were among the earliest plasmids associated with 64 antibiotic resistance in Gram-negative bacteria. Plasmid RA1 was recovered in 1971 65 from the fish pathogen Aeromonas liquafaciens¹ and is the IncA reference.⁵ RA1,

66 carrying *sul2* and *tet*(D), and a deletion derivative RAx were sequenced in 2009.¹⁰ The

67 sequenced version of RA1 appears to have an IS26-mediated deletion within its

resistance island relative to RAx (see Figure 5 in reference 12). RAx also appears to

have acquired the *aphA1* kanamycin and neomycin resistance determinant in a 2.9 kb

70 insertion (bases 32533-35414 in GenBank accession number FJ705806) that is bounded

71 by 24 bp perfect inverted repeats (IR) and flanked by 9 bp direct repeats (DR).¹² This

region is not found in RA1. Both of these differences are likely to have arisen after theinitial isolation.

A/C plasmids are now designated A/C_1 or A/C_2 based on the sequence of their backbones which share only 85-94% identity at the DNA level.¹⁰ RA1 is the only

76	sequenced native A/C_1 plasmid, whilst A/C_2 is represented by over 65 complete plasmid
77	sequences in GenBank (4 th April 2015). The A/C ₂ plasmids can be further divided into
78	two distinct types, Type 1 and Type 2 that have diverged via the accumulation of SNPs,
79	via two regions of replacement internal to large open reading frames, and via the
80	accumulation of insertions or deletions within the backbone. ¹¹ Many A/C ₂ plasmids
81	include one or more islands made up of multiple genes conferring resistance to
82	antibiotics. Some A/C ₂ plasmids of both types carry a resistance island, ARI-B, which
83	always contains the sulphonamide resistance determinant sul2 and part or all of the
84	small mobile element CR2, and can contain additional IS26-associated resistance
85	genes. ^{11-13,15,22}
86	Here, we have recovered the A/C plasmid from 39R861 by conjugation,
87	determined its sequence and compared it to the available RA1 and A/C_2 sequences.
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101 mated with *E. coli* UB1637 (Sm^R) to separate the two plasmids. The resulting

- 102 transconjugants were screened using PBRT and their resistance phenotypes determined
- 103 by patching onto plates containing Cm, Fl, Tc and Su. A Cm^RFl^RSu^RTc^R transconjugant
- 104 containing only the A/C replicon was mated with UB5201 (Rif^R) to determine its
- transfer frequency. Conjugation frequencies were calculated as the number of
- transconjugants per donor from three independent determinations.
- 107

108 Polymerase chain reaction

109 Polymerase chain reactions (PCR) were performed on approximately 20 ng of

110 diluted plasmid DNA. PCR conditions were as described previously.²⁴ Published

111 primers² were used to detect antibiotic resistance genes. Amplicons were resolved by

electrophoresis on 1% (w/v) agarose gels with molecular weight standards, stained with

thidium bromide, and visualized using a GelDoc1000 image analysis station (BioRad).

114

115 Plasmid recovery and sequencing

116 Total plasmid DNA was extracted and sequenced on an Ion Torrent platform (Life Technologies) as previously described.¹¹ The sequencing reads (62-fold coverage) 117 118 were assembled *de novo* into contigs using Geneious version 6.1.6 (Biomatters). PCR 119 and sequencing was used to join contigs that had separated on repeated sequences, 120 namely IS4321, IS26, sul2 and CR2. Primers are listed in Table S1. Overlapping contigs 121 were assembled with Sequencher version 5.1 (Gene Codes). The final assembly was 122 confirmed by comparing the fragments obtained from an in silico BamHI or EcoRI 123 digest to the fragments obtained when p39R861-4 was digested using BamHI or EcoRI 124 (New England Biolabs). Residues missing from the majority of reads but present in 125 some were assumed to be due to the use of the Ion Torrent platform, and corrected.

126 Sequence analysis

- 127 The backbone of p39R861-4 was derived by removing the antibiotic resistance 128 regions and the insertion sequence (IS10) from the plasmid sequence and compared to 129 the R55 (GenBank accession number JQ010984) and RA1 (GenBank accession number 130 FJ705807) backbones, which were derived in the same way. Sequences were 131 circularized and reopened at the same location, 1,139 bp upstream of *repA*. Pairwise 132 comparisons using the BLAST paired alignment facility (http://blast.ncbi.nlm.nih.gov) were visualized using Artemis Comparison Tool.⁴ 133 134 Potential coding regions in p39R861-4 were identified and annotated based on
- the available annotations for the A/C plasmids R55 (GenBank accession number
- 136 JQ010984), RA1 (GenBank accession number FJ705807), pRMH760 (GenBank
- 137 accession number KF976462), and others.^{9,12} Gene Construction Kit version 2.5
- 138 (Textco) was used to create figures to scale.

139 Nucleotide sequence accession number

140 The 155,794 bp nucleotide sequence of p39R861-4 was submitted to GenBank141 under accession no. KP276584.

142

- 143 **Results**
- 144 *p39R861-4 is not RA1*

145The A/C plasmid p39R861-4 was separated from the other plasmids carried in14639R861 by conjugation (see Methods). Transconjugants that carried only an A/C

147 plasmid had acquired resistance to sulfamethoxazole and tetracycline and contained *sul2*

and *tet*(D) as expected for RA1. However, these transconjugants were also resistant to

149 chloramphenicol and florfenicol, and the *floR* gene conferring resistance to these

antibiotics is also carried on p39R861-4, though it is not present in RA1. Hence, the A/C

151 plasmid in 39R861 is not RA1 as previously reported.²⁰ The average frequency of

transfer of p39R861-4 from an *E. coli* donor to an *E. coli* recipient was 4.21 x 10⁻² (2.81

153 $x 10^{-2} - 6.88 \times 10^{-2}$; three independent determinations) transconjugants per donor.

154

155 Sequence of p39R861-4

156 The complete p39R861-4 sequence determined here (GenBank accession no. 157 KP276584) was 155,794 bp, and p39R861-4 contains two resistance regions (RI-1 and 158 RI-2 in Figure 1A) and a single copy of IS10. Removal of these insertions generated a 159 backbone of 129,998 bp (Fig. 1A) that includes a replication gene (repA), genes for 160 conjugative transfer (*tra*), partitioning (*par*), and a variety of other genes that have been described elsewhere¹² or open reading frames with no known function. However, only 161 162 26,559 bp of the p39R861-4 backbone was derived from RA1. Bases 44888 to 67672 163 and 139973 to 143746 of pR39861-4 (GenBank accession number KP276584) share 164 99.9% nucleotide identity (two SNPs) with bases 90443 to 113228 and 126346 to 165 130120 of RA1 (GenBank accession number FJ705807). In RA1, these two segments 166 flank the region that includes the resistance genes sul_2 and tet(D) (RI-RA1 in Figure 167 1B). The remainder of p39R861-4 includes the *repA* gene found in A/C_2 plasmids, and 168 shares 99.9% nucleotide identity (eight SNPs) with bases 1 to 30502, 39489 to 100362, 169 and 158764 to 170810 of the type 2 A/C₂ plasmid R55 (GenBank accession number 170 JQ010984). Of the eight SNPs identified between p39R861-4 and R55, four are unique 171 to R55 (i.e. not found in any other Type 2 A/C₂ plasmid) and four are unique to 172 p39R861-4.

173	The RA1-derived portion of p39R861-4 is continuous in RA1 (Fig. 1B),
174	interrupted only by RI-RA1, and the R55-derived portion is continuous in R55. An
175	inversion in p39R861-4 has split and separated these continuous regions (Fig. 1A).
176	
177	Resistance islands in p39R861-4
170	The first maintainer island in $r^{2}000(1.4)$ (DI 1 in Fig. 1) is 14.270 here and
1/8	The first resistance Island in p39R861-4 (RI-1 in Fig. 1) is 14,379 bp long and
179	carries genes conferring resistance to chloramphenicol and florfenicol (<i>floR</i>), and
180	sulphamethoxazole (sul2) (Fig. 2A). The second island (RI-2) is 10,088 bp and contains
181	tetracycline (<i>tetA</i> (D)) and sulphonamide (<i>sul2</i>) resistance genes (Fig. 2A). The inversion
182	described above appears to have occurred via homologous recombination between
183	inversely oriented copies of CR2-sul2, one in ARI-B of the R55-derived segment and
184	one in the RI in the RA1-derived segment (Fig. 2). The resistance islands in the
185	configuration presumed to have existed prior to the inversion are shown in Fig. 2B. The
186	island labeled ARI-B is identical to ARI-B in the Type 2 A/C ₂ plasmid R55 (GenBank
187	Accession no. JQ010984) ⁷ which contains the florfenicol resistance determinant <i>floR</i> .
188	The second island is closely related to RI-RA1* (Fig. 2C), differing only by the
189	inversion of the segment located between IS26-1 and IS26-3 that placed the two sul2
190	genes in inverse orientation. We believe RI-RA1* is the likely progenitor of the islands
191	seen in the sequenced RA1 and RAx plasmids. The RI-RA1* island (Fig. 2C) contains
192	IS4321 and IS5075 flanking a copy of IS26 and a partial copy of <i>tnpA</i> 5051. An IS26-
193	mediated deletion has removed 11 bp from the one end of IS4321. RI-RA1* contains a
194	4,372 bp fragment of the <i>sul2</i> end of GI <i>sul2</i> and two copies of IS26 flanking <i>tetA</i> (D) in a
195	5,166 bp transposon-like structure we have named Tntet(D). A 550 bp fragment between
196	resG and IS26-2 does not share identity with any other sequence in GenBank.

197 Origin of ARI-B

198 The extent of ARI-B in the p39R861-4 precursor and in R55 was 199 determined by comparison with pRMH760 (GenBank accession number KF976462) 200 which has a complete backbone. The plasmid backbone surrounding ARI-B in R55 and 201 in the p39R861-4 precursor was complete, and the island had inserted precisely into the 202 A/C backbone using a conservative mechanism (Fig. 3). ARI-B contains both the int and sul2 ends of the integrative element $GIsul2^{17}$ at its extremities (Fig. 3A). Alignment of 203 204 the *sul2* and *int* ends of the island with the intact backbone of pRMH760 (Fig. 3B) 205 revealed that only three bases from the backbone (GGGA, bases 30067-30070 in 206 GenBank accession number KF976462) were found at both the left and right boundaries 207 of the island. However, as GIsul2 is an integrating element, one GGGA is derived from 208 the A/C backbone and the second from GIsul2, and the recombination crossover has 209 occurred within this span. A subsequent event has presumably led to loss of the internal 210 portion of GIsul2. 211 In addition, ARI-B in p39R861-4 also includes an insertion containing the 212 florfenicol resistance determinant *floR* and a truncated copy of the small mobile element 213 CR2. This segment appears to have been incorporated via homologous recombination 214 with a 3,482 bp circle containing $\Delta 2$ CR2. The two partial copies of CR2, Δ CR2 (802 bp) and $\Delta 2$ CR2 (640 bp), have only retained bases from the previously defined^{19,24} ori end 215 216 of CR2. 217 Discussion 218

Here, sequencing of p39R861-4 has shown that the A/C plasmid in the sizing standard 39R861 is not RA1 as previously believed. Instead, it is a hybrid that has formed initially via the integration of a segment of RA1 into a Type 2 A/C₂ plasmid identical or closely related to R55. This was followed by large inversions in and between the two resistance regions derived from R55 and RA1. Thus, p39R861-4 is the first sequenced example of hybrid formation between an A/C_1 and an A/C_2 plasmid.

The precise origin of the hybrid p39R861-4 plasmid is unknown. However, early 225 226 incompatibility and entry-exclusion experiments reported the recovery of recombinant plasmids formed between RA1 and R57b.⁵ R57b was isolated in Paris around the same 227 time as R55.²³ and is likely to be closely related or even identical to R55. A subsequent 228 229 study reported the isolation of a spontaneous RA1 recombinant, named RA1-1, that was resistant to tetracycline, sulphonamides and chloramphenicol.⁶ This resistance 230 231 phenotype can be accounted for by the *floR*, *sul2* and *tet*(D) resistance genes identified 232 here in the resistance islands of p39R861-4. It seems possible that p39R861-4 originated 233 from these early experiments.

234 We propose that ARI-B in A/C_2 plasmids formed first by incorporation of a 235 complete GIsul2 into the A/C_2 backbone, followed by loss of the central region and then 236 acquisition of other variable segments (Fig. 3A) or loss of the int end and adjacent DNA. Some A/C_2 plasmids contain a segment from the *sul2* end of GI*sul2* with a full copy of 237 CR2 and the adjacent resG gene, 13,17 whilst others retain a smaller segment with only a 238 239 partial copy of CR2. In the case of ARI-B in R55 and the p39R861-4 precursor, *floR* in 240 ARI-B appears to have been acquired via homologous recombination with a circle 241 containing CR2 Δ , rather than as a transposon as previously reported.⁸ 242 The close association between CR2 and sul2 led to the belief that CR2 may be responsible for the mobilization of *sul2*.^{18,19} However, it is now known that *sul2*-CR2 243 244 can be found close to one end of a larger 15 kb structure called GIsul2, an integrating 245 element that targets the 3'-end of the guaA gene found in bacterial chromosomes.¹⁷ 246 GIsul2 has been found in a number of bacterial species, including an Enterobacter

- 247 *cloacae* strain recovered in the 1890's.¹⁷ In the course of this analysis, we have found a
- 248 complete copy of GIsul2 in additional genomes of Providencia stuartii strain ATCC
- 249 33672 (GenBank accession number number CP008920) and Achromobacter
- 250 *xylosoxidans* strain ATCC 27061 (GenBank accession CP006958). We also found a
- 251 complete copy of GIsul2 in the IncX plasmid R485 (GenBank accession number
- HE577112)³ and in an unnamed B/O plasmid (GenBank accession number HG428756),
- though it was not identified at the time. Hence, it is now evident that *sul2* is being
- 254 mobilized by GI*sul2*, rather than by the small mobile element CR2.
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334 Figure legends

335 FIG. 1 Comparison of the p39R861-4 backbone with RA1 and R55. (A) p39R861-4 336 backbone structure with regions derived from RA1 and R55 indicated above. Regions 337 shared with RA1 are denoted by shading. Vertical arrows indicate the location of the RI-338 1 and RI-2 resistance islands and a copy of IS10. The backbone is drawn to scale from 339 GenBank accession number KP276584, with lengths in kb shown above the line. (B) 340 RA1 and R55 backbones drawn to scale from GenBank accession numbers FJ705807 341 and JQ010984, respectively. Vertical arrows indicate the location of the RI-RA1 342 resistance island in RA1, and the ARI-B and RI-R55 resistance islands in R55. The 343 region of the R55 backbone replaced in p39R861-4 is shown below. Regions containing 344 genes involved in plasmid replication (*rep*), methylation (*dcm*), partitioning (*par*), 345 transfer (tra) and the ybaA, uvrD, rhs2 genes are indicated by arrows below the line. 346 347 FIG. 2. Evolution of p39R861-4. (A) Final p39R861-4 structure, (B) structure prior to 348 inversion between two oppositely-oriented copies of *sul2*-CR2, and (C) original

349 structure of RI-RA1* prior to inversion between two oppositely-oriented copies of IS26.

350 Dashed lines denote backbone derived from R55, and dotted lines denote backbone

derived from RA1. Genes and ORFs are indicated by horizontal arrows showing the

352 direction of transcription. Resistance islands, denoted by RI-1, RI-2, ARI-B and RI-

353 RA1*, are drawn to scale. ISs and the small mobile element CR2 are shown as open

boxes with a vertical bar indicating the ori end of CR2. IS numbers or names are

indicated inside the box. The three copies of IS26 are numbered. Segments derived from

356 GIsul2 and Tntet(D) are marked.

357

358 **FIG. 3.** Mobilization of *sul2* into the A/C₂ backbone. (A) Insertion of ARI-B into the

- 359 A/C₂ backbone. The backbone is drawn to scale from pRMH760 (GenBank accession
- number KF976462), ARI-B from R55 (JQ010984) and GIsul2 from GenBank accession
- number CP001918. The small mobile element CR2 is shown as an open box with a
- 362 vertical bar indicating the ori end of CR2. Genes and ORFs are shown as horizontal
- arrows indicating the direction of transcription. Regions in ARI-B derived from GIsul2
- are indicated by shading. (B) Precise bases associated with the mobilization of *sul2*.
- Bases at the *int* (bases 30477 to 30546 from GenBank accession number KP276584) and
- 366 *sul2* (bases 129913 to 129844 from GenBank accession number KP276584) ends of
- 367 ARI-B are aligned with the intact backbone of pRMH760 (bases 30039 to 30108 from
- 368 GenBank accession number KF976462). Bases found at both boundaries of the island
- are shown in bold. Shared bases are denoted by vertical lines.