

## The A to Z of A/C Plasmids

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27 **Abstract**

28 Plasmids belonging to incompatibility groups A and C (now A/C) were among the  
29 earliest to be associated with antibiotic resistance in Gram-negative bacteria. A/C plasmids  
30 are large, conjugative plasmids with a broad host range. The prevalence of A/C plasmids in  
31 collections of clinical isolates has revealed their importance in the dissemination of extended-  
32 spectrum  $\beta$ -lactamases and carbapenemases. They also mobilize SGI1-type resistance islands.  
33 Revived interest in the family has yielded many complete A/C plasmid sequences, revealing  
34 that RA1, designated A/C<sub>1</sub>, is different from the remainder, designated A/C<sub>2</sub>. There are two  
35 distinct A/C<sub>2</sub> lineages. Backbones of 128-130 kb include over 120 genes or ORFs encoding  
36 proteins of at least 100 amino acids, but very few have been characterized. Genes potentially  
37 required for replication, stability and transfer have been identified, but only the replication  
38 system of RA1 and the regulation of transfer have been studied. There is enormous variety in  
39 the antibiotic resistance genes carried by A/C<sub>2</sub> plasmids but they are usually clustered in  
40 larger regions at various locations in the backbone. The ARI-A and ARI-B resistance islands  
41 are always at a specific location but have variable content. ARI-A is only found in type 1 A/C<sub>2</sub>  
42 plasmids, which disseminate *bla*<sub>CMY-2</sub> and *bla*<sub>NDM-1</sub> genes, whereas ARI-B, carrying the *sul2*  
43 gene, is found in both type 1 and type 2. This review summarizes current knowledge of A/C  
44 plasmids, and highlights areas of research to be considered in the future.

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## 53 1. Introduction

54 Plasmids of the incompatibility group A/C (IncA/C) were amongst the earliest plasmids to  
55 be associated with antibiotic resistance in Gram-negative bacteria. They were first identified  
56 over four decades ago in Paris hospitals from *Pseudomonas aeruginosa* and *Klebsiella*  
57 *pneumoniae* (Witchitz and Chabbert, 1971, Chabbert et al., 1972) and have now been found in  
58 many Gram-negative species including *Klebsiella pneumoniae*, *Escherichia coli*, *Salmonella*  
59 *enterica*, *Yersinia pestis*, *Photobacterium damsela*, *Vibrio cholerae* and *Aeromonas hydrophila*  
60 (Tables 1 and 2) indicating a broad host range (Carattoli, 2009). However, until recently they  
61 were not studied in detail. As the incompatibility of most A/C plasmids studied today has not  
62 been tested, we have elected to call them A/C.

63 The introduction of PCR-based replicon typing (PBRT) (Carattoli et al., 2005) enabled the  
64 rapid identification of A/C plasmids in strain collections (Carattoli, 2009, Fricke et al., 2009,  
65 Welch et al., 2007, Evershed et al., 2009). A/C plasmids are now known to be strongly  
66 associated with resistance to clinically relevant carbapenems and third-generation  
67 cephalosporins. In particular, A/C plasmids carrying *bla*<sub>CMY-2</sub> and *bla*<sub>NDM-1</sub> genes are  
68 widespread (reviewed in (Carattoli, 2009, Carattoli et al., 2006, Carattoli, 2013)). This has led  
69 to renewed interest in the A/C group of plasmids. However, when the PBRT amplicons were  
70 sequenced it emerged that they were usually not identical to the *repA* of the A/C reference  
71 plasmid RA1 (Carattoli et al., 2006, Evershed et al., 2009). Hence, there appeared to be two  
72 distinct lineages of A/C plasmids that were designated A/C<sub>1</sub> (RA1) and A/C<sub>2</sub> (Carattoli et al.,  
73 2006). Based on available estimates (Ochman and Wilson, 1987, Okoro et al., 2012), the  
74 nucleotide divergence between A/C<sub>1</sub> (RA1) and A/C<sub>2</sub> plasmids represents hundreds of  
75 thousands of years of evolution and the two groups of A/C plasmids have very separate  
76 evolutionary stories.

77 The first complete sequences of A/C plasmids were published in 2007 (Welch et al., 2007)  
78 and many more have been sequenced since. This has helped to identify the basic biological

79 functions they determine and several lists of annotations for the genes found in them have  
80 been published (Del Castillo et al., 2013, Fernandez-Alarcon et al., 2011, Zhang et al., 2013, Ho  
81 et al., 2013). However, while in a number of cases the proteins they encode share significant  
82 identity with proteins of known function, for example the transfer genes encode homologs of  
83 those of F (Lawley et al., 2003), the role of only a few has been experimentally determined.  
84 Exploration of the remaining genes is needed to unravel the basic biology of A/C<sub>2</sub> plasmids.

85 The availability at the end of 2014 of sequence data for so many A/C plasmids (Tables 1  
86 and 2) has presented an opportunity to examine the evolution and evolutionary history of  
87 these plasmids (Harmer and Hall, 2014), and provided insights into the role that they play in  
88 the carriage and dissemination of genes conferring resistance to antibiotics. As only one A/C<sub>1</sub>  
89 plasmid (RA1) has been sequenced to-date, much of this review will focus on the A/C<sub>2</sub> group.

90 The purpose of this review is to consolidate the current available information on A/C  
91 plasmids and serve as a resource for researchers working on this important plasmid family.

92

## 93 **2. The A/C plasmid group**

94 Plasmids belonging to the IncC group were first recovered in the late 1960's (Datta and  
95 Hedges, 1972, Chabbert et al., 1972). The plasmid RA1 was isolated in 1971 following the  
96 observation of transferrable tetracycline and sulphonamide resistance in isolates of the fish  
97 pathogen *Aeromonas liquafaciens* (Aoki et al., 1971). Initially, marked exclusion upon transfer  
98 of RA1 to *E. coli* K12 carrying a plasmid of group C was observed (Datta and Hedges, 1972).  
99 Subsequently, RA1 was found to be compatible with plasmids of all known incompatibility  
100 groups tested at the time. This included IncC, in addition to IncI, N, P, W, T, N, B, J and X, and  
101 RA1 was provisionally assigned to compatibility group A (Datta and Hedges, 1973). After  
102 (Datta and Hedges, 1972) further experimental work, it was suggested that plasmids in  
103 incompatibility groups A and C were very closely related, and the groups were combined as  
104 the IncA/C complex (Hedges, 1974). However, this conclusion appears to be based on entry-

105 exclusion data rather than failure of the plasmids to be stably maintained together. Hence,  
106 whether RA1 is compatible with IncC plasmids such as R57b and R40a needs to be re-  
107 examined using modern molecular methods.

108 A/C plasmids exhibit a relatively broad host range and are able to be stably maintained  
109 in many Gram-negative bacterial species (see Tables 1 and 2) as well as *Pseudomonas*  
110 (Chabbert et al., 1972). They are reported to be equivalent to the IncP3 plasmids of  
111 *Pseudomonas spp* (see (Llanes et al., 1994)).

112

### 113 2.1. *A/C<sub>1</sub>* and *A/C<sub>2</sub>* plasmids

114 The *repA* amplicon of most A/C plasmids was found to differ from the *repA* sequence of  
115 RA1, sharing only 93.2% nucleotide identity (Carattoli et al., 2006, Evershed et al., 2009).  
116 Consequently, the two groups of A/C plasmids were designated as A/C<sub>1</sub> (RA1) or A/C<sub>2</sub>  
117 (Carattoli et al., 2006). When the complete sequence of RA1 was determined (Fricke et al.,  
118 2009) it was found that the regions in the backbone of RA1 shared with other A/C plasmids  
119 for which sequences were available at the time (Kim et al., 2008b, Welch et al., 2007) were  
120 only between 84-95% identical to one another (Fricke et al., 2009). Alignment of the  
121 backbone of RA1, derived by removing the region containing the resistance genes, with the  
122 complete backbone of A/C<sub>2</sub> plasmids derived from pR148 (Del Castillo et al., 2013) is shown  
123 in Figure 1, and reveals that in addition to the common regions there are regions that are  
124 unique to each backbone type. The RA1 island containing the antibiotic resistance genes is  
125 located in one of the unique regions. The antibiotic resistance islands (ARI), ARI-A and ARI-B,  
126 found in many A/C<sub>2</sub> plasmids, are also in regions found only in A/C<sub>2</sub> plasmids.

127 Among the sequenced A/C plasmids in GenBank at the end of 2014, all but RA1 are  
128 A/C<sub>2</sub> (Tables 1 and 2). The A/C<sub>2</sub> plasmids have been recovered from many different bacterial  
129 species and from a number of sources including humans, cattle, pigs, fish, and poultry. In  
130 addition to the complete A/C<sub>2</sub> plasmid sequences in the GenBank non-redundant DNA

131 database, there appear to be A/C<sub>2</sub> plasmids in over 60 draft genomes (mainly *S. enterica* and  
132 *K. pneumoniae*) in the whole genome shotgun database (WGS, November 15<sup>th</sup>, 2014).

133

### 134 2.2 RA1, an A/C<sub>1</sub> plasmid

135 The complete 143963 bp sequence of RA1 (GenBank accession number FJ705807  
136 (Fricke et al., 2009)) is made up of a backbone of 130 kb and a single 13.9 kb resistance island.  
137 It contains 158 open reading frames (ORFs) of greater than 300 base pairs including genes  
138 required for initiation of replication (*repA*), conjugative transfer (*tra*) and plasmid  
139 partitioning (*stb* and *par*) (Fricke et al., 2009). Most of the Tra proteins encoded by genes in  
140 RA1 share >85% aa identity with the Tra proteins coded for in A/C<sub>2</sub> plasmids (see section  
141 4.1). TraN only shares 63% aa identity. The location of the resistance island is shown in  
142 Figure 1 and the structure is described in section 8.

143

### 144 2.3. A/C<sub>2</sub> plasmids – two distinct types

145 The precise content of the backbone of A/C<sub>2</sub> plasmids was initially obscured due to the  
146 presence of a *sul2*-containing resistance island ARI-B (see section 9.1). As *sul2* was present in  
147 all of the early sequenced A/C<sub>2</sub> plasmids, it was believed that *sul2* was part of the plasmid  
148 backbone (Welch et al., 2007, Fernandez-Alarcon et al., 2011). However, the subsequent  
149 availability of sequences for plasmids that do not contain this island, pRMH760 (GenBank  
150 accession number KF976462 (Harmer and Hall, 2014)) and pR148 (Genbank accession  
151 number JX141473 (Del Castillo et al., 2013)), has allowed the precise definition of the original  
152 backbone (Harmer and Hall, 2014). It also revealed that in most instances the ARI-B  
153 resistance island that carries *sul2* is associated with deletions adjacent to one end. The A/C<sub>2</sub>  
154 backbone is 127.8 kb for type 1 A/C<sub>2</sub> or 129.2 kb for type 2 A/C<sub>2</sub> and contains over 120 open  
155 reading frames encoding over 100 amino acids (aa), including genes required for replication,

156 conjugative transfer, DNA metabolism, partitioning and stability, toxin/anti-toxin, and many  
157 genes of unknown function (Figure 2A). These are described in more detail in sections 3-6.

158 A recent analysis of the backbones of complete A/C<sub>2</sub> plasmid sequences identified two  
159 distinct types, type 1 and type 2, that diverged a long time ago (Harmer and Hall, 2014). Each  
160 type has accumulated single nucleotide polymorphisms (SNP), with the backbones differing  
161 by approximately 1%. Based on current estimates for accumulation of SNPs (Okoro et al.,  
162 2012), the divergence of the two lineages is likely to have occurred at least 5000 years ago  
163 and therefore before they began to accumulate antibiotic resistance genes.

164 The two types also differ in two regions (R1 and R2) where part of a large gene has  
165 been replaced (see Figure 2 in Harmer and Hall, 2014). The replacements give rise to two  
166 versions of the *rhs* gene (*rhs1* and *rhs2* in type 1 and type 2, respectively) and of the open  
167 reading frame between *traA* and *dsbC* that predict proteins of 1832 aa (orf1832) in type 1 and  
168 1847 aa (orf1847) in type 2. In addition, two short regions, i1 and i2 (428 bp and 462 bp,  
169 respectively), are present in type 2 but not in type 1 (Figure 2A). This highlights the  
170 importance of performing detailed examinations of the plasmid backbone, particularly in  
171 epidemiological studies where essentially no single base differences should be observed if a  
172 close relationship is to be inferred.

173

### 174 2.3.1. Type 1 A/C<sub>2</sub> plasmids

175 At the end of 2014, there were roughly twice as many sequenced type 1 A/C<sub>2</sub> plasmids  
176 (Table 1) as there were type 2 (Table 2). However, this is due to a focus on sequencing  
177 plasmids carrying genes coding for extended spectrum  $\beta$ -lactamases, particularly *bla*<sub>CMY</sub> and  
178 more recently *bla*<sub>NDM</sub>, all of which fall within type 1. Thirty of 35 sequenced type 1 A/C<sub>2</sub>  
179 plasmids carry at least one copy of *bla*<sub>CMY-2</sub> or a variant of it (Table 3). The *bla*<sub>CMY-2</sub> gene is  
180 associated with the mobile element ISEcp1 in an island that is always in the same location,  
181 between *traA* and orf1832 (Figure 2A). This indicates that this island inserted once and,

182 though further rearrangements have occurred subsequently (Fernandez-Alarcon et al., 2011,  
183 Partridge, 2011), all *bla*<sub>CMY-2</sub>-carrying A/C<sub>2</sub> plasmids are derived from a common ancestor.  
184 Moreover, fifteen are from *S. enterica* or *E. coli* from turkeys, chickens and cows in the US,  
185 creating a further sampling bias.

186 Type 1 A/C<sub>2</sub> plasmids, with one exception (pCFSAN001921), also carry an antibiotic  
187 resistance island, ARI-A, in a specific location in the backbone (see section 9.2). Eight of the  
188 sequenced type 1 A/C<sub>2</sub> plasmids carry both *bla*<sub>CMY-2</sub> and the carbapenem resistance gene  
189 *bla*<sub>NDM-1</sub> within ARI-A (see section 9.2). Only the *bla*<sub>NDM-1</sub>-carrying plasmids, and three further  
190 type 1 A/C<sub>2</sub> plasmids have been recovered from humans (Table 1), and this bias needs to be  
191 addressed.

192 pRMH760 (Harmer and Hall, 2014) and pR148 (Del Castillo et al., 2013), both of which  
193 lack ARI-B, represent the precursor of A/C<sub>2</sub> plasmids carrying *bla*<sub>CMY</sub> or both *bla*<sub>CMY</sub> and  
194 *bla*<sub>NDM</sub> (Harmer and Hall, 2014). However, they each confer resistance to several antibiotics.  
195 This highlights the importance of tracking resistance to older antibiotics that are not the  
196 current first line, as the vehicles that carry those resistance genes are likely to be the ones that  
197 acquire further resistance genes.

198

### 199 2.3.2. Type 2 A/C<sub>2</sub> plasmids

200 The A/C<sub>2</sub> type 2 plasmids are representative of a broader set of origins. Nine of the 16  
201 type 2 plasmids were recovered from humans or the hospital environment, with the  
202 remainder recovered from fish, turkeys, pigs and cows (Table 2). However, a set of three  
203 plasmids that carry the *bla*<sub>KPC-2</sub> gene encoding the KPC-2 carbapenemase were recovered  
204 from a patient and the environment in a single hospital and are closely related (Conlan et al.,  
205 2014). Though it had previously been claimed that in A/C<sub>2</sub> plasmids the clusters of resistance  
206 genes additional to the ARI-B island are all in the same location (Doublet et al., 2012, Johnson  
207 and Lang, 2012), it is now clear that is not the case (Harmer and Hall, 2014). Unlike type 1



208 A/C<sub>2</sub> plasmids, type 2 A/C<sub>2</sub> plasmids have acquired resistance islands (see section 9) on many  
209 occasions and carry them in multiple different locations (Table 4 and Figure 2B) clustering  
210 within or around the *rhs2* gene (Harmer and Hall, 2014).

211

### 212 2.3.3. Hybrid A/C<sub>2</sub> plasmids

213 The level of nucleotide identity in the A/C<sub>1</sub> and type 1 and type 2 A/C<sub>2</sub> backbone DNA  
214 is very high and homologous recombination between them is possible, though the  
215 opportunities for recombination are likely to be limited due to entry exclusion and  
216 incompatibility.

217 Among the A/C<sub>2</sub> plasmids examined previously (Harmer and Hall, 2014), only pYR1  
218 (GenBank accession number CP000602) contained features usually found only in type 1 or  
219 type 2 A/C<sub>2</sub> plasmids. It contains the i1 and i2 insertions characteristic of type 2, plus the type  
220 2 version of R1, orf1847. However, it also contains *rhs1*, the type 1 version of R2. In addition,  
221 the ARI-B island is found in the same position in A/C<sub>2</sub> plasmids of both type 1 and type 2, and  
222 may have been shared via homologous recombination.

223 Despite this evidence for hybrid formation, these plasmid lineages should still be  
224 separately considered in analyses that aim to deduce evolutionary steps or to create  
225 networks.

226

## 227 3. Replication

228 The A/C backbone contains a number of genes and ORFs that may be involved in  
229 replication or DNA synthesis during transfer (Figure 2A; see section 6.4), however only *repA*  
230 has been characterized.

231

### 232 3.1. Replication of RA1

233           The RA1 replicon was confined to a 2.79 kb XbaI-SmaI fragment that was sufficient for  
234 replication in both *Enterobacteriaceae* and in *Pseudomonas* spp (Llanes et al., 1994). A 289 aa  
235 RepA protein was identified (Llanes et al., 1994). However, the complete sequence of RA1  
236 (GenBank accession number FJ705807) revealed two nucleotides are missing from the  
237 original *repA* sequence (GenBank accession number X73674). Hence, the *repA* gene is 1101 bp  
238 encoding a 367 aa RepA protein (Figure 3A). Thirteen direct repeats (iterons) of 19 bp were  
239 also identified downstream of *repA* (Llanes et al., 1994). However, two of these do not  
240 conform to the consensus (yaTRTGGG---gCTGCACG) and were not included in Figure 3B. The  
241 location of the iterons downstream, rather than upstream, of the *repA* gene appears to be a  
242 feature characteristic of A/C and IncP plasmids (Llanes et al., 1996). A DnaA box on which the  
243 chromosomal initiator protein DnaA may act was found next to the iterons. The origin of  
244 replication was mapped to a 600 bp fragment that was sufficient to initiate replication when  
245 RepA was supplied *in trans* (Llanes et al., 1996). This fragment contains nine of the eleven  
246 iterons and the DnaA box. The 2.79 kb fragment also contains two imperfect inverted repeats  
247 located upstream of *repA* (Llanes et al., 1994, Llanes et al., 1996) but a role for these has not  
248 been established.

249

### 250 3.2. Replication of A/C<sub>2</sub> plasmids

251           Our analysis revealed that the A/C<sub>2</sub> replicon has features similar to A/C<sub>1</sub> (Figure 3A).  
252 A/C<sub>2</sub> plasmids encode a 367 aa RepA that is 98 % identical to the A/C<sub>1</sub> RepA. Fourteen  
253 iterons of 19 bp (yaTRTGGG--cgCTGCACG) are located downstream of *repA*. The region also  
254 includes a DnaA box downstream of the iterons and imperfect inverted repeats upstream of  
255 *repA*. There is high conservation of the iteron sequences between A/C<sub>1</sub> and A/C<sub>2</sub> plasmids  
256 (Figure 3B).

257

## 258 4. Conjugative transfer

259 In contrast to other plasmid families such as IncF, little work has been done to examine  
260 the conjugative machinery of A/C plasmids despite the clear importance of A/C plasmids in  
261 resistance transmission. Indeed, whether A/C plasmids, including those that have been  
262 sequenced, can transfer is often not reported. In an early study, RA1 transferred at a  
263 frequency of approximately  $10^{-2}$  transconjugants per donor on solid media and approximately  
264  $10^{-4}$  in broth (Bradley, 1989). In contrast, the frequency of transfer of other A/C plasmids  
265 tested was  $10^{-4}$  to  $10^{-7}$  on solid media and in broth. More recently, for A/C<sub>2</sub> plasmids for  
266 which information is available, the transfer efficiency has ranged from as high as  $6 \times 10^{-2}$  to as  
267 low as  $10^{-6}$  transconjugants per donor (Harmer and Hall, 2014, Poole et al., 2009, Fricke et al.,  
268 2009, Welch et al., 2007, Carraro et al., 2014a). A large number of A/C plasmids found in  
269 *Salmonella* strains were able to transfer into a new host (Welch et al., 2007). However,  
270 conjugative transfer could not be detected for several A/C<sub>2</sub> plasmids found in *S. enterica*  
271 serovar Newport (Welch et al., 2007), or in *S. enterica* serovars Senftenberg and Ohio isolates  
272 from Australian pigs (Evershed et al., 2009).

273 Further experimental work is required to answer some key questions regarding the  
274 conjugative transfer functions of A/C plasmids, including determining the functions of the  
275 specific *tra* genes and determining the genetic differences behind variable transfer  
276 frequencies of closely related A/C plasmids.

277

#### 278 4.1 Transfer genes

279 Conjugative transfer genes in A/C plasmids have been identified by the homology of  
280 their predicted proteins with characterized Tra proteins, and equivalent genes are present in  
281 RA1 and the A/C<sub>2</sub> group. RA1 has been shown to produce numerous 9 nm thick, flexible pili  
282 resembling those of F (Bradley, 1980). The role of the Tra proteins has not been confirmed  
283 experimentally and further proteins may be involved, e.g. *topB* (section 6.4).

284 The majority of *tra* genes are contained within two separate regions (Figure 2A). The  
285 first, a 28 kb region, contains three groups of genes. *traI*, *traD* are separated from *traL*, *traE*,  
286 *traK*, *traB*, *traV*, *traA* by seven short reading frames of unknown function, and *traC*, *trhF*, *traW*,  
287 *traU*, *traN* are further separated by *orf1832/1847* and *dsbC*. The remaining transfer genes,  
288 *traF*, *traH* and *traG*, are contained within a separate 6.1 kb region (Figure 2A). The likely roles  
289 of the various Tra proteins can be inferred by analogy with those of plasmid F (Lawley et al.,  
290 2003, Arutyunov and Frost, 2013). These genes encode proteins involved in conjugative  
291 processes including a relaxase (*traI*) belonging to the MOB<sub>H</sub> group (Garcillan-Barcia et al.,  
292 2009), a coupling protein (*traD*), and mating pair stabilization (*traNG*) proteins. The genes  
293 involved in type IV secretion system (T4SS) assembly (*traLEKBVACWUFHG*) code for proteins  
294 that belong to the MPF<sub>F</sub> group (Guglielmini et al., 2013).

295 The closest homologs to the Tra proteins encoded by A/C plasmids are those produced  
296 by the *Vibrio cholera* SXT integrative conjugative element (ICE) (GenBank Accession Number  
297 AY055428) and the R391 ICE from *Providencia rettgeri* (GenBank Accession Number  
298 AY090559) (Kim et al., 2008b). The proteins produced by *tra* genes of A/C plasmids and  
299 SXT/R391 share 33% to 78% aa identity (Figure 4), and the genes that have been shown to be  
300 required for transfer of SXT (Beaber et al., 2002) all have homologs in A/C plasmids.

301 In the DNA processing system of SXT/R391, an additional gene, *mobI*, is required for  
302 nicking at the origin of transfer (Ceccarelli et al., 2008). The MobI protein is proposed to be an  
303 auxiliary component of the relaxosome that is required for *oriT* processing to initiate  
304 conjugative transfer (Ceccarelli et al., 2008). Recently, a gene also designated *mobI*, that codes  
305 for a distant homolog of the SXT MobI, has been shown to be essential for the conjugative  
306 transfer of A/C<sub>2</sub> plasmids (Carraro et al., 2014b). The A/C<sub>2</sub> *mobI* is located upstream of *repA*  
307 (Figure 2A). Though a possible origin of transfer (*oriT*) was found adjacent to *mobI* in A/C<sub>2</sub>  
308 plasmids (Carraro et al., 2014b), deletion of this region resulted in only a 10-fold reduction in  
309 the transfer frequency from 10<sup>-3</sup> to 10<sup>-4</sup> transconjugants per recipient. As transfer was not

310 completely abolished, it is unlikely to be the sole *oriT* and an alternative *oriT* locus remains to  
311 be identified.

312

#### 313 4.2. Entry exclusion

314 Despite the observation of entry-exclusion in early studies (Datta and Hedges, 1973), a  
315 homolog of TraS from F (Lawley et al., 2003, Arutyunov and Frost, 2013) or Eex from SXT  
316 (Marrero and Waldor, 2007) have not been found. This phenomenon deserves further  
317 investigation in order to identify the genes involved.

318

#### 319 4.3. Regulation of transfer

320 It is still unclear which traits separate those plasmids that can transfer at high or low  
321 frequencies from those that cannot transfer at all. Though it has been proposed that the loss  
322 of conjugative function in many of the A/C<sub>2</sub> plasmids carrying the ISEcp1-*bla*<sub>CMY-2</sub> island could  
323 be due to the location of this island within the *tra* region (Figure 2A) (Poole et al., 2009), this  
324 is unlikely as this island lies within an intergenic region and some plasmids from this group  
325 have retained the ability to transfer (Call et al., 2010, Sekizuka et al., 2011). However,  
326 rearrangements in this region have led to loss of *tra* genes in some ISEcp1-*bla*<sub>CMY-2</sub> plasmids  
327 (Fernandez-Alarcon et al., 2011), and this may correlate with the transfer deficiency.

328 Recent elegant work has identified and characterized the master regulator of *tra* gene  
329 expression and plasmid transfer (Carraro et al., 2014a). The transcriptional activator complex  
330 AcaCD is essential for conjugative transfer, which could not be detected for  $\Delta$ *acaC*,  $\Delta$ *acaD*, and  
331  $\Delta$ *acaCD* mutants. Transcription of *tra* genes was positively regulated by AcaCD, and AcaCD  
332 binding sites were identified upstream of *traI*, *traL*, *traV*, *traN* and *traF*. In addition, AcaCD  
333 positively regulates the expression of fourteen other regions in the A/C<sub>2</sub> backbone and  
334 putative AcaCD binding sites have been identified in each of these positions (Carraro et al.,  
335 2014a). These genes will provide a rich source for future experimentation.

336 Production of AcaCD is regulated by a repressor encoded by *acr1* upstream of *acaCD*,  
337 and deletion of the *acr1* gene caused the frequency of transfer to increase 100-fold from  $\sim 10^{-3}$   
338 transconjugants per recipient for the wild-type plasmid to  $10^{-1}$  in the  $\Delta$ *acr1* mutant (Carraro  
339 et al., 2014a). The genes, *acr1* and *acaCD*, for the master regulator system are located between  
340 *traG* and *stbA* together with a further gene, *acr2*, that encodes a repressor (Figure 2A). The  
341 *acr2* gene was previously reported to encode an H-NS-like protein (Fernandez-Alarcon et al.,  
342 2011). Though deletion of *acr2* led to a 5-6 fold increase in conjugation frequency, its precise  
343 role remains to be established (Carraro et al., 2014a).

344 Carraro et al. (2014a) detected insertions, deletions or substitutions within *acaC*  
345 and/or *acaD* in a number of sequenced plasmids, and these should be transfer defective.  
346 Information on transfer proficiency was available for only two of them and both were transfer  
347 defective (Carraro et al., 2014a). However, *acaC* and *acaD* are both intact (Harmer and Hall,  
348 unpublished) in the recently reported pSRC119-A/C, which does not transfer (Harmer et al.,  
349 2015), indicating that further factors remain to be identified. The high transfer frequency of  
350 pRMH760 ( $6 \times 10^{-2}$  transconjugants per recipient (Harmer and Hall, 2014)), which is  
351 equivalent to that of the pVCR94delta *acr1* deletion derivative described above, also suggests  
352 a more complex story as the *acr1-acaD-acaC* region is identical in the two plasmids.

353

## 354 **5. Plasmid stability**

355 There has been no experimental work to determine the features required for stable  
356 maintenance of A/C plasmids. However, three regions potentially involved have been  
357 identified (green genes in Figure 2A).

358

### 359 *5.1 parA-parB*

360 A Pfam search of the protein encoded by *parA* revealed the presence of a conserved  
361 CbiA domain (Pfam PF01656). This domain is present in plasmid partitioning proteins of the

362 MinD/ParA family, first characterized in the IncP plasmids RK2 (Motallebi-Veshareh et al.,  
363 1990). The adjacent *parB* gene encodes a protein containing both ParB (PF02195) and KorB  
364 (PF08535) domains. KorB is a major regulatory element in the replication and maintenance of  
365 RK2 (Kornacki et al., 1987). Interestingly, the A/C ParA shares 96.1% aa identity with the  
366 chromosomal ParA found in a number of bacterial species including *Xenorhabdus*  
367 *nematophila*, however the importance of this observation is not yet clear.

368

### 369 5.2 *stbA*

370 The *stbA* gene located upstream of *repA* encodes a protein, StbA (NCBI Reference  
371 Sequence WP\_000077457), sharing 30.5% aa identity with the ParM partitioning protein  
372 (NCBI Conserved Domain accession number cd10227) from the IncFII plasmid NR1 and from  
373 the *Staphylococcus aureus* plasmid pSK41. ParM is essential for the accurate segregation of the  
374 low-copy-number plasmid NR1 (R100) via polymerization into double helical protofilaments  
375 similar to filamentous actin (van den Ent et al., 2002). Whilst ParM in pSK41 is homologous to  
376 ParM of NR1, the polymerization kinetics and the regions required for plasmid segregation via  
377 filament formation are different (Popp et al., 2010).

378

### 379 5.3 A toxin-antitoxin system?

380 Toxin-antitoxin systems are known to be involved in the postsegregational killing of  
381 plasmid-free daughter cells (Van Melderen, 2010), which contributes to plasmid maintenance.  
382 Transcriptome mapping of an A/C<sub>2</sub> plasmid, pAR060302, identified two genes (orfs 19 and 20  
383 in Figure 2A) transcribed at levels 47- and 20-fold higher than *repA* (Lang et al., 2012). The  
384 products of orf 19 and 20 were similar to the *S. enterica* serovar Paratyphi B toxin-antitoxin  
385 system (Lang et al., 2012). Though the A/C system is incompletely characterized, one of the  
386 genes (NCBI reference sequence WP\_000124640) encodes a putative XRE-like transcriptional  
387 regulator predicted to function as the antitoxin component, while the adjacent gene (NCBI

388 reference sequence WP\_000270043) encodes the putative toxin component of the system.  
389 Deletion of the antitoxin gene was lethal to the cell (Lang et al., 2012, Carraro et al., 2014a).

390

## 391 **6. Other plasmid-encoded functions**

392 A number of other genes have been annotated in sequenced A/C<sub>2</sub> plasmids (Del  
393 Castillo et al., 2013, Fernandez-Alarcon et al., 2011, Zhang et al., 2013, Ho et al., 2013). Here,  
394 these annotations have been extended and enhanced for all predicted proteins of greater than  
395 100 aa using BLAST and Pfam searches. Over fifteen more encode proteins of 90-99aa, but  
396 only those with identified functions are shown in Figure 2A.

397

### 398 *6.1. rhs*

399 An *rhs* gene is found in A/C<sub>2</sub> plasmids but is not present in RA1 (Figure 1). Genes in  
400 this family are a core component of Enterobacterial genomes (Jackson et al., 2009) and were  
401 first identified as sites that promote recombination in *Escherichia coli* (Lin et al., 1984). They  
402 are an ancient family of genes, comprising six distinct lineages. Whilst the primary structures  
403 of Rhs proteins are well conserved, the C-terminal regions (also known as the tip) are highly  
404 variable, leading to diversification of the family through C-terminal displacement (Jackson et  
405 al., 2009). The replacement of part of the C-terminus of Rhs is one of the key features that  
406 distinguish between type 1 and type 2 A/C<sub>2</sub> plasmids (see Figure 2 in Harmer and Hall, 2014).  
407 Whilst genes sharing homology with *rhs* have been associated with bacteriocin production  
408 (Sisto et al., 2010), mediation of intercellular competition (Koskiniemi et al., 2013) and  
409 inhibition of protein synthesis (Aggarwal and Lee, 2011), the biological function of *rhs* in A/C<sub>2</sub>  
410 plasmids remains unknown.

411 In A/C<sub>2</sub> plasmids there appears to be a strong tendency for resistance genes to be  
412 incorporated into the *rhs* gene. Six out of the eight resistance island locations found in type 2  
413 A/C<sub>2</sub> plasmids are within *rhs* (Figure 2B). In the case of p1643\_10 two independent insertions



414 have both occurred in *rhs* (Table 4). Additionally, in eight type 1 A/C<sub>2</sub> plasmids a fragment of  
415 *rhs* has been deleted, either via a deletion internal to the *rhs* gene (pRMH760) or as part of a  
416 larger deletion originating from within ARI-A and extending 3478 bp (pNDM-KN,  
417 pNDM10469, pNDM10505, pNDM102337, pNDM-US and pKP1-NDM-1) or 6953 bp  
418 (pMR0211) into the backbone (see (Harmer and Hall, 2014)). This is intriguing, but the  
419 reasons for this trend remain to be determined.

420

## 421 6.2. Methyltransferases

422 The A/C<sub>2</sub> backbone contains three genes, *dcm1*, *dcm2*, and *dcm3* (NCBI Reference  
423 Sequences WP\_015059976, WP\_00936897 and WP\_000201432, respectively) predicted to  
424 encode Gammaproteobacteria DNA cytosine-5-methyltransferases from two different families  
425 (Pfams PF01555 for *dcm1* and PF00145 for *dcm2* and *dcm3*). Cytosine methylation is an  
426 integral step in bacterial restriction modification processes, protecting the host sequence  
427 from cleavage by sequence-specific restriction enzymes designed to degrade non-methylated  
428 (foreign) DNA (Wilson and Murray, 1991). This allows bacteria to protect themselves from  
429 infection by bacteriophage (Wilson and Murray, 1991). Hence, the carriage of *dcm* genes on  
430 A/C<sub>2</sub> plasmids may provide protection against degradation by host nucleases. Though no  
431 experimental work has been performed on the *dcm* genes in A/C<sub>2</sub> plasmids, a number have  
432 lost one of the genes, *dcm1*, as the result of a deletion associated with ARI-B, apparently  
433 without deleterious effect. The presence of three genes encoding DNA cytosine-5-  
434 methyltransferases may represent a type of protective redundancy.

435

## 436 6.3 *ssb-bet-exo*

437 The Exo (Pfam 09588; YqaJ), Bet (Pfam 03837; RecT) and Gam (Pfam 06064) proteins  
438 encoded by bacteriophage lambda function together to facilitate RecA-independent  
439 recombination between short regions of identity and this has been harnessed as the lambda

440 Red system (Hillyar, 2012). Homologs of the lambda Bet and Exo recombination proteins  
441 encoded by A/C plasmids and SXT/R391 have been identified (Garriss et al., 2013). However,  
442 a homolog of the lambda Gam protein was not found. The A/C *bet* gene encodes a protein  
443 sharing only 25% aa identity with the lambda Bet (RecT) single-strand annealing protein  
444 (NCBI conserved domain accession number TIGR01913) and 61% identity with the SXT/R391  
445 Bet. The A/C *exo* gene encodes a protein (NCBI reference sequence WP\_000706875) sharing  
446 24% aa identity with the lambda Exo and 64% identity with the SXT/R391 Exo.

447 In lambda, *gam-bet-exo* are adjacent to one another. However, a gene encoding a  
448 putative single-stranded DNA-binding protein, *ssb*, is found upstream of *bet-exo* in A/C<sub>2</sub>  
449 plasmids and SXT/R391 ICE (Garriss et al., 2013, Chen et al., 2011). The *ssb* gene of A/C<sub>2</sub>  
450 plasmids encodes a 176 aa protein, Ssb (NCBI reference sequence WP\_000987165), sharing  
451 40% aa identity with Ssb from SXT and 46% aa identity with the *E. coli* Ssb (NCBI conserved  
452 domain accession number cd04496, Pfam PF00436). Ssb in *E. coli* binds to and protects  
453 single-stranded DNA intermediates during DNA replication, repair and recombination  
454 (Shereda et al., 2008, Raghunathan et al., 2000, Meyer and Laine, 1990). Interestingly, whilst  
455 *bet* and *exo* are also present in RA1 (A/C<sub>1</sub>), *ssb* is not.

456

#### 457 6.4. Other DNA metabolism genes

##### 458 6.4.1 *nuc*

459 All A/C<sub>2</sub> plasmids contain a gene previously annotated as *ybaA* encoding a 201 aa 5'-  
460 nucleotidase (NCBI reference sequence WP\_000071870) with a HD metal dependent  
461 phosphohydrolase domain (Pfam 13023). This gene is designated *nuc* in Figure 2A. 5'-  
462 nucleotidases with dephosphorylation activity play roles in nucleic acid repair, signal  
463 transduction and nucleic acid metabolism (Hunsucker et al., 2005, Aravind and Koonin, 1998).

464

##### 465 6.4.2 Sulfate modification of DNA?

466 A 2454 bp orf (NCBI reference sequence WP\_001187969; orf 102 in Figure 2)  
467 upstream of *nuc* encodes a protein sharing 30% aa identity with a conserved  
468 phosphoadenosine phosphosulfate reductase family protein (NCBI Conserved Domain  
469 cl00292). In *Streptomyces lividians*, a related protein has been experimentally shown to  
470 modify DNA by addition of a sulfur-containing compound, possibly sulfate. This modification  
471 sensitizes the DNA to degradation during electrophoresis (Zhou et al., 2005). The role for this  
472 protein in A/C plasmids is not known.

473

#### 474 6.4.3 *topB*

475 The *topB* gene encodes a DNA topoisomerase III protein (NCBI reference sequence  
476 WP\_000366823) sharing 38% aa identity with the well-characterised TraE (NCBI conserved  
477 domain accession number TIGR010156) from plasmid RP4 (Li et al., 1997). DNA  
478 topoisomerases play a functional role in the regulation of the number of topological links  
479 between DNA strands by catalyzing transient single- or double-stranded breaks, crossing the  
480 strands through one another, before resealing the breaks (Roca, 1995). The regulation of  
481 topological links is also important in removing supercoils during transcription and DNA  
482 replication, and for strand breakage during recombination (Wang, 2002). In RP4, the TraE  
483 topoisomerase is involved in the resolution of DNA replication intermediates formed during  
484 conjugative transfer (Li et al., 1997), indicating that *topB* may also be an A/C transfer gene.

485

#### 486 6.4.4 *kfrA*

487 The *kfrA* gene encodes the 346 aa protein KfrA (NCBI reference sequence  
488 WP\_000101568). This protein contains a domain sharing 32% amino with the KfrA\_N plasmid  
489 replication region DNA-binding domain (Pfam 11740). KfrA has been well-characterized in  
490 the IncP plasmid RK2 and is a DNA binding protein with a long, alpha-helical tail (Jagura-  
491 Burdzy and Thomas, 1992). In IncP plasmids, *kfrA* is part of a tricistronic operon required for

492 the stable maintenance of the plasmid. Homologs of the two other genes in the operon, *kfrB*  
493 and *kfrC*, have not been identified in A/C plasmids. Inactivation of *kfrA* resulted in the long-  
494 term accumulation of plasmid-free cells, whereas the wild-type RK2 plasmid was able to  
495 persist without selection (Adamczyk et al., 2006). The role for *kfrA* in A/C plasmids needs  
496 investigation.

497

#### 498 6.4.5 *uvrD*

499 The *uvrD* gene encodes a UvrD/REP helicase (NCBI reference sequence  
500 WP\_000811656), containing both N-terminal and C-terminal domains (Pfam 00580 and  
501 13361, respectively). The REP helicases catalyse ATP dependent unwinding of double  
502 stranded DNA to single stranded DNA (Korolev et al., 1997).

503

#### 504 6.4.6 *ter*

505 The *ter* gene encodes a protein, Ter (NCBI reference sequence WP\_001097010), with a  
506 conserved DNA replication terminus binding domain (Pfam 05472). This gene has also  
507 previously been annotated as *tus* in some A/C<sub>2</sub> plasmids. Proteins in the Ter family have been  
508 shown to specifically bind to DNA replication terminus sites on plasmids and the  
509 chromosome, blocking progress of the DNA replication fork (Hidaka et al., 1989).

510

#### 511 6.4.7 *int*

512 The *int* gene encodes a putative XerD tyrosine recombinase (NCBI reference sequence  
513 WP\_000543934) with a conserved integrase domain (Pfam 00589). It shares 34% aa identity  
514 with the well-characterized XerD tyrosine recombinase of *E. coli* which together with XerC  
515 frees intertwined DNA molecules after termination of replication (Hallet et al., 1999).

516

#### 517 6.4.8 *pri*

518           The *pri* gene encodes a putative primase-helicase (NCBI reference sequence  
519 WP\_000900352) with both a primase-helicase zinc binding domain (Pfam 08273) and a  
520 topoisomerase-primase catalytic domain (Pfam 13362). Helicases and primases play a critical  
521 role in DNA polymerization. The primase synthesizes short RNA molecules in a template-  
522 dependent manner and provides the DNA polymerase with a 3'-hydroxyl group to continue  
523 chain elongation (Mendelman, 1995).

524

#### 525 *6.5. Protein export and folding*

526           The SppA protein (NCBI reference sequence WP\_001348528), encoded by *sppA*, shares  
527 30% aa identity with the *E. coli* S49 signal peptide peptidase A (NCBI conserved domain  
528 accession number cd07023). The crystal structure of *E. coli* SppA revealed that in addition to  
529 its role in signal peptide hydrolysis, it may also have a role in the quality assurance of  
530 periplasmic- and membrane-bound proteins (Kim et al., 2008a).

531           The *dsbA* and *dsbC* genes code for the DsbA and DsbC proteins (NCBI reference  
532 sequences WP\_000139696 and WP\_001259346, respectively) that are members of the  
533 disulphide bond formation system (Collet and Bardwell, 2002). DsbA shares 34% aa identity  
534 with the thioredoxin domain-containing protein DsbA from *E. coli* (Pfam PF01323 and NCBI  
535 conserved domain accession number cd02972). DsbA forms intra-chain disulphide bonds as  
536 peptides emerge into the cell's periplasm (Kadokura and Beckwith, 2009), thereby stabilizing  
537 the secreted proteins (Collet and Bardwell, 2002). The location of *dsbA* adjacent to *sppA* may  
538 indicate that they act in concert.

539           DsbC of A/C plasmids shares 28% aa identity with *E. coli* DsbC and other members of  
540 this subfamily of proteins (NCBI conserved domain accession number cd03020). DsbC has  
541 been shown to act in the bacterial periplasm to correct non-native disulfide bonds formed by  
542 DsbA and to prevent the aggregation of incorrectly folded proteins (Collet and Bardwell,  
543 2002). Both DsbA and DsbC could be involved in ensuring the correct folding of components

544 of the type IV secretion system. However, the location of *dsbC* upstream of *traC* is common to  
545 F-type transfer systems and DsbC may be particularly important for folding of proteins  
546 encoded in this operon.

547

#### 548 6.6 Other genes

549 An open reading frame of 90 aa located between ORF 17 and 18 (Figure 2A) was  
550 previously identified as a HU-like DNA binding protein (Fernandez-Alarcon et al., 2011).

551 The *yacC* gene encodes a putative exonuclease (NCBI reference sequence  
552 WP\_000997323) with an RNase T exonuclease domain (Pfam 00929). In *E. coli*, members of  
553 the RNase T family are responsible for the end-turnover of tRNA and for processing the 3' end  
554 of tRNA precursors (Deutscher and Marlor, 1985).

555

### 556 7. Mobilization of *Salmonella* genomic island 1

557 Members of the *Salmonella* genomic island 1 (SGI1) family are integrative mobilizable  
558 elements that contain various combinations of antibiotic resistance genes in a complex class 1  
559 integron (Hall, 2010, Mulvey et al., 2006). SGI1 in both *Salmonella enterica* and *Proteus*  
560 *mirabilis* is almost always found integrated into the bacterial chromosome within the last 18  
561 bp of the *trmE* (originally known as *thdF*) gene. It was discovered that, in the presence of the  
562 conjugative helper A/C<sub>2</sub> plasmid R55, SGI1 could be excised from the chromosome and  
563 transferred from *S. enterica* donor strains to *S. enterica* and *E. coli* recipient strains that lack  
564 SGI1 (Doublet et al., 2005). The transfer frequency was 10<sup>-5</sup> – 10<sup>-6</sup> transconjugants per donor.

565 A subsequent study confirmed that SGI1 could be mobilized by other A/C plasmids  
566 including RA1 at frequencies ranging between 1.9 x 10<sup>-2</sup> to 3.1 x 10<sup>-7</sup> transconjugants per  
567 donor (Douard et al., 2010). SGI1 could not be mobilized by conjugative FI, FII, HI2, I1, L/M, N  
568 or P plasmids, indicating that the presence of conjugative transfer functions alone is not  
569 sufficient for mobilization of SGI1 and suggesting a specific relationship between the A/C

570 plasmid family and the ability to mobilize SGI1 (Douard et al., 2010). RA1 was not transferred  
571 into the transconjugants tested, confirming that SGI1 was mobilized *in trans* by the A/C  
572 plasmid rather than being incorporated into the plasmid and then transferred. In a later  
573 study, pVCR94 and SGI1 were rarely transferred together, suggesting that each prevents the  
574 transfer of the other (Carraro et al., 2014a). SGI1 (Boyd et al., 2001), and the closely related  
575 SGI2 (Hamidian et al., 2015), both include genes encoding TraN, TraH and TraG that are most  
576 related to the corresponding proteins of A/C plasmids and SXT/R391, and their role in this  
577 phenomenon needs to be examined experimentally.

578         Recent work has shown that the A/C transcriptional activator complex AcaCD (see  
579 section 4.3) regulates the high frequency excision and mobilization of SGI1, and putative  
580 AcaCD-binding motifs were found upstream of the SGI1 genes *xis*, *rep*, *traN* and *traH/traG*  
581 (Carraro et al., 2014a). This may explain the specific relationship between SGI1 and A/C  
582 plasmids.

583         The mobilization of SGI1 by A/C plasmids provides another mechanism by which  
584 bacteria are able to spread a multiply drug resistant phenotype.

585

## 586 **8. The resistance island in RA1**

587         The structure of the RA1 resistance island, RI-RA1, is shown in Figure 5. The *tet(D)*  
588 tetracycline resistance determinant is flanked by directly-orientated copies of IS26 in the 5.2  
589 kb transposon-like structure Tntet(D). The *sul2* sulphonamide resistance gene and the small  
590 mobile element CR2 are within a 4.4 kb segment that appears to be derived from the recently  
591 described genomic island GI*sul2* (Nigro and Hall, 2011). RAx (52637 bp), a deletion derivative  
592 of RA1 that has lost 91326 bp, has also been sequenced (GenBank accession number  
593 FJ705806). Relative to the RAx resistance island, RI-RA1 appears to have suffered a 2366 bp  
594 IS26-mediated deletion that has removed the *resG* gene and 736 bp of the small mobile  
595 element CR2 (Figure 5). In addition, RAx appears to have acquired a 2.9 kb insertion, not

596 found in the RA1 sequence. This insertion contains the kanamycin and neomycin resistance  
597 determinant *aphA1* bounded by 24 bp perfect inverted repeats (IR) and flanked by 9 bp direct  
598 repeats (DR).

599

## 600 **9. Resistance islands in A/C<sub>2</sub> plasmids**

601 A detailed analysis of the structure of insertions in the A/C<sub>2</sub> backbone that include  
602 antibiotic resistance genes is beyond the scope of this review. However, the location of the  
603 insertions that bring the resistance genes into these plasmids can define sub-lineages and  
604 serve as powerful epidemiological markers. After a resistance region has been acquired  
605 further evolution occurs *in situ*, namely within a scaffold that remains in a single location.  
606 Evolution of resistance gene clusters *in situ* has also been described for IncHI1 plasmids (Cain  
607 and Hall, 2012) and IncW plasmids (Revilla et al., 2008), and is likely to be far more common  
608 than currently acknowledged.

609 ARI-B is the only resistance island that can be found in both type 1 and type 2 plasmids  
610 (Tables 3 and 4) and the *sul2* gene included within it was originally believed to be part of the  
611 backbone. However, ARI-B is found in only 35 of the 51 sequenced A/C<sub>2</sub> plasmids listed in  
612 Tables 1 and 2. As the ARI-B island is always in the same location, it appears to have been  
613 acquired only once and has subsequently evolved *in situ* in several ways, often gaining  
614 additional antibiotic resistance genes. This occurred early as R55, the oldest A/C plasmid  
615 sequenced to date, includes ARI-B. Its various forms (Table 5) are described in section 9.1.

616 With the exception of one plasmid (GenBank Accession Number CP006050), all  
617 sequenced type 1 A/C<sub>2</sub> plasmids include a resistance island, ARI-A, in the same position  
618 (Figure 2B), indicating that this island was also acquired once (Harmer and Hall, 2014). When  
619 this may have occurred is unknown, as no sequences are available for older plasmids of this  
620 type. Most of the variation in resistance gene carriage is due to the gain and/or loss of  
621 resistance genes carried within ARI-A, and this represents another case of evolution *in situ*. As



622 described below (section 9.2), the island containing the *bla*<sub>CMY-2</sub> gene is always in the same  
623 location (Figure 2A), again indicating a common ancestor. This island includes a DNA segment  
624 associated with ISEcp1. In some plasmids *bla*<sub>CMY-2</sub> has been duplicated and is associated with  
625 both complete and partial copies of ISEcp1, and these structures are described in detail  
626 elsewhere (Partridge, 2011, Fernandez-Alarcon et al., 2011).

627 In contrast to type 1, type 2 A/C<sub>2</sub> plasmids include resistance islands in different  
628 locations within a 13 kb backbone region in or surrounding the *rhs2* gene (Figure 2B). In the  
629 16 type 2 A/C<sub>2</sub> plasmids sequenced at the end of 2014, there are eight different resistance  
630 island locations (Figure 2B), only six of which were identified a year earlier (Harmer and Hall,  
631 2014). The resistance gene content of each island is shown in Table 4 and evolution *in situ* is  
632 again evident for locations 3 and 4. The structure of a few has been reported in detail (Doublet  
633 et al., 2012, Zhang et al., 2013, Drieux et al., 2013). In one case, namely the related plasmids  
634 pKEC-dc3, pKEC-a3c and pKEC-39c, an additional insertion carrying the *bla*<sub>FOX-1</sub> (FOX-1 is an  
635 ESBL) and *dfrA14* resistance genes has inserted within orf 29 in Figure 2A.

636

### 637 9.1. ARI-B

638 The antibiotic resistance island ARI-B includes the sulphonamide resistance gene *sul2*  
639 and all or part of the small mobile element CR2. ARI-B plays an important role in multiple  
640 antibiotic resistance because, in addition to *sul2*, various configurations of ARI-B that carry  
641 additional resistance genes have been observed (Table 5).

642 The sequence of the backbone uninterrupted by ARI-B (Figure 6) was recently defined  
643 (Harmer and Hall, 2014), and further analysis (unpublished observations) has revealed that  
644 when ARI-B is present the complete backbone is present only in pYR1, pEA1509, R55 and  
645 pCFSAN001921. In three of these plasmids, R55 (isolated in France in 1969), pEA1509  
646 (isolated in France in 2001) and pCFSAN001921 (isolated in the USA in 2011), ARI-B is  
647 bounded by the two outer ends of a recently described 15 kb genomic island GI*sul2* (Nigro

648 and Hall, 2011), but the central region has been lost (Figure 6). It appears therefore that the  
649 island was originally formed via the integration of *GI**sul2*. ARI-B in R55 has gained a central  
650 *floR*-containing segment, presumably via homologous recombination between the two partial  
651 CR2s. ARI-B in pCFSAN001921 has gained a 15 kb segment containing the *tetA*(A) tetracycline  
652 resistance determinant, but has retained only the first 61 bp of the *int* end of *GI**sul2* and the  
653 last 2065 bp of the *sul2* end. ARI-B in pIMP-PH114 is a deletion derivative of the island seen in  
654 R55. A single IS26 has replaced 1967 bp of the *int* end of *GI**sul2* and 11646 bp of the A/C<sub>2</sub>  
655 backbone. pYR1 contains a different ARI-B configuration (Figure 6) with a fragment of *GI**sul2*  
656 that extends from the *sul2* end to the *ter* end of CR2 defined previously (Yau et al., 2010).  
657 However, it has lost 413 bp usually found between the *ter* end of CR2 and *sul2*.

658 In the remaining ARI-B forms, further events have occurred leading to the structures  
659 seen in modern day A/C<sub>2</sub> plasmids. In all cases, the right-hand boundary between *GI**sul2* and  
660 the A/C<sub>2</sub> backbone is preserved, but the left-hand end of the island (*int* end) has been lost.  
661 This is associated with backbone deletions of various sizes (Table 5), mostly mediated by  
662 IS26.

663 The most commonly seen configuration of ARI-B (Figure 7A) is associated with a  
664 10984 bp IS26-mediated deletion of the backbone. This deletion is found in 25 of the 35  
665 sequenced plasmids containing ARI-B, mostly in type 1 but also in a few type 2 A/C<sub>2</sub> plasmids  
666 (Table 5). However, 19 of the type 1 plasmids were isolated in the USA from *E. coli* or *S.*  
667 *enterica* derived from animals, mainly food-producing animals, and may represent a single  
668 plasmid spreading. This ARI-B configuration contains the *floR* (florfenicol and  
669 chloramphenicol), *strAB* (streptomycin), *sul2* (sulphonamides) and *tet*(A) (tetracycline)  
670 resistance determinants. The *strA* and *strB* genes are carried on a 1778 bp segment derived  
671 from Tn5393, whilst *tet*(A) is carried on a 2401 bp segment derived from RP1 (Figure 7). In  
672 16 of the plasmids, the configuration of the island is identical (Figure 7A), as is the island in  
673 pCFSAN007405 which has a longer adjacent deletion of 11039 bp. A further three differ only

674 by inversion of the short segment between the two IS26 (Figure 7B). Four more have lost this  
675 entire segment together with one copy of IS26 (Figure 7C) or both (Figure 7E), or part of the  
676 internal segment (Figure 7D).

677 Two further members of the group with the 10984 bp deletion have gained additional  
678 resistance genes (Table 5) associated with mobile genetic elements. ARI-B in pPGO10208 is  
679 identical to the configuration in Figure 7A, but has gained a translocatable unit (see (Harmer  
680 et al., 2014) for definition) consisting of an IS26 and the macrolide resistance genes *mel* and  
681 *mph* (Figure 7F). ARI-B in IncA/C-LS6 is identical to the configuration in Figure 7C but has  
682 gained the amikacin, kanamycin and neomycin resistance gene *aphA6* together with ISPa14, a  
683 partial copy of *strB* and a complete copy of *strA* (Figure 7G).

684 Members of an additional group of ARI-B forms contain a 4.4 kb segment sharing  
685 98.9% nucleotide identity with the IncN plasmid R46 associated with a 4477 bp backbone  
686 deletion (Table 5). The ARI-B in this group have a variable set of resistance genes that can  
687 include *aphA1*, *catA1*, *strAB*, *erm(42)*, *sul2* and *tet(D)*. These forms have been described  
688 previously (Kim et al., 2008b) and compared recently (see Figure 1C in Harmer et al. (2015)).  
689 The ARI-B in pP91278, which includes *tet(D)* in addition to *sul2*, is associated with a 10407 bp  
690 backbone deletion, and has been described previously (Kim et al., 2008b).

691

## 692 9.2. ARI-A

693 The structure of ARI-A in the A/C<sub>2</sub> plasmid pRMH760 was the first to be described in  
694 detail (Partridge and Hall, 2003a, Partridge and Hall, 2004). The island has a complex mosaic  
695 structure composed of a class 1 integron and multiple transposons included within a larger  
696 class II transposon structure that is flanked by a 5 bp duplication of the target (Partridge and  
697 Hall, 2003a, Partridge and Hall, 2004). The two outermost 38 bp inverted repeats associated  
698 with the Tn1696 *tnp* module and the pDU *mer* module are interrupted by either IS4321 or

699 IS5075 (Partridge and Hall, 2003b) (Figure 8). The interruption of the IR effectively “locks”  
700 this island in place.

701         Though for the majority of type 1 A/C<sub>2</sub> plasmids the island in this position has been  
702 described as “flanked” by IS4321/IS5075 or InsD (e.g. (Wasyl et al., 2014, Sekizuka et al.,  
703 2011)), closer examination reveals that in the thirty-four sequenced type 1 A/C<sub>2</sub> plasmids  
704 that include ARI-A, it is always in the same location as ARI-A in pRMH760, namely 1711 bp  
705 upstream of the *rhs* gene (Figure 2B). Hence, the extremities of the island are highly  
706 conserved and the true boundaries are shown in Figure 8. Twenty-seven sequenced plasmids  
707 have both ends of ARI-A intact, whilst seven have deletions originating from within the island  
708 which have removed part of ARI-A together with either 3478 bp or 6953 bp from the  
709 backbone to the right of ARI-A (Table 3). However, the internal structure of the island and the  
710 antibiotic and mercuric ion resistance genes present varies considerably (Table 3). Evolution  
711 of ARI-A in type 1 A/C<sub>2</sub> plasmids is characterized by the acquisition or loss of additional  
712 mobile genetic elements and deletion events associated with elements such as IS26 (Harmer  
713 and Hall, 2014, Fricke et al., 2009, Kim et al., 2008b). In some cases, no antibiotic resistance  
714 genes remain (pAM04528, pCVM22513, pCVM21538, pCVM21550, pCVM22425,  
715 pCFSAN000934\_02, pCFSAN000941\_02 and pCFSAN007426).

716

## 717 **10. Other insertions in A/C<sub>2</sub> plasmids**

718         Insertion sequences have been found in a number of A/C plasmids, for example IS1294  
719 in pAR060302 and pSCEC2. A large transposon distantly related to Tn7 was recently  
720 identified in some *bla*<sub>NDM-1</sub> carrying plasmids and named Tn6170 (Harmer and Hall, 2014). Its  
721 location is shown in Figure 2B. Tn6170 includes an *hsdR* operon that had been identified  
722 previously (Carattoli et al., 2012).

723         pYR1 also carries a putative 6.8 kb transposon inserted in an ORF with no known  
724 function (orf 91 in Figure 2A). This transposon contains a gene annotated as “*dhfr1*” (Welch et

725 al., 2007). However, it is not *dfrA1* and its product does not share identity with any known  
726 dihydrofolate reductase. Furthermore, there is no experimental evidence to suggest it confers  
727 resistance to trimethoprim.

728

## 729 **11. Unraveling the evolutionary history of A/C plasmids**

730 A number of earlier studies conducted comparative analyses of sequenced A/C  
731 plasmids by performing a direct comparison of the whole plasmid sequence, including the  
732 resistance regions (Fricke et al., 2009, Del Castillo et al., 2013, Zhang et al., 2013, Welch et al.,  
733 2007, Fernandez-Alarcon et al., 2011). However, the variability in the continually evolving  
734 resistance regions, the fact that resistance islands can be in different locations, and the  
735 presence of deletions adjacent to some of them leads to complications. Consequently, this  
736 approach fails to accurately identify the highly conserved backbone. More recently, meta-  
737 analyses have been performed (Meinersmann et al., 2013, Zhou et al., 2012) and these also  
738 failed to detect subtle backbone variations, which represent thousands of years of evolution.  
739 Analyzing the backbone and the resistance islands separately provides a clearer picture of the  
740 evolution of A/C plasmids in general, and resistance islands in particular (Harmer and Hall,  
741 2014). The precise positions of resistance islands within the plasmid backbone also provides  
742 important additional information in determining relationships but this factor has rarely been  
743 considered to date, even in epidemiological studies where it is critical (see e.g. (Hazen et al.,  
744 2014)).

745

## 746 **11. Conclusions**

747 The availability of extensive sequence data for many A/C<sub>2</sub> plasmids has provided a  
748 fascinating insight into their evolution and evolutionary history. However, much remains to  
749 be determined for this important plasmid group. Experimental studies on A/C plasmids are  
750 few and generally the biological functions they encode have been inferred based on homology

751 to systems in other well-studied plasmids. Further work addressing the role of the various  
752 genes identified is now needed to build a sound foundation for understanding the basic  
753 biological processes encoded by these plasmids. Importantly, whether A/C<sub>1</sub> and A/C<sub>2</sub>  
754 plasmids are compatible also needs to be re-examined using known members of each group  
755 using known members of each group and modern molecular methods. Further examination of  
756 the host range and determination of the genes required for entry-exclusion is also warranted.

757 From an evolutionary perspective, the sequences of older A/C plasmids would be very  
758 informative. In particular, genomes for more type 1 A/C<sub>2</sub> plasmids that were isolated prior to  
759 the emergence of CMY-2 are needed. Type 1 plasmids from a wider range of sources and  
760 bacterial species are also needed to correct the sampling bias that currently exists in this  
761 group.

762

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765

## 766 **13. References**

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Table 1. Sequenced type 1 A/C<sub>2</sub> plasmids

Plasmid	Size (bp)	Organism	Source	County	Year <sup>a</sup>	GenBank Accession no.	Reference
pRMH760	170613	<i>K. pneumoniae</i>	Human	Australia	1997	KF976462	(Harmer and Hall, 2014)
pR148	165906	<i>A. hydrophila</i>	Fish	Thailand	2007	JX141473	(Del Castillo et al., 2013)
pIMP-PH114	151885	<i>K. pneumoniae</i>	Human	France	2010	KF250428	(Ho et al., 2013)
pCVM21538	80098	<i>S. enterica</i>	Chicken	USA	-	CP009563	(Cao et al., 2012)
p199061_160 <sup>b</sup>	161081	<i>E. coli</i>	Turkey	USA	1995	HQ023863	(Fernandez-Alarcon et al., 2011)
pUMNK88_161	165073	<i>E. coli</i>	Pig	USA	2007	HQ023862	(Fernandez-Alarcon et al., 2011)
pAR060302	166530	<i>E. coli</i>	Cow	USA	2002	FJ621588	(Fernandez-Alarcon et al., 2011)
peH4H	148105	<i>E. coli</i>	Cow	USA	2002	FJ621586	(Call et al., 2010)
pSN254	176473	<i>S. enterica</i>	-	USA	2000	CP000604	(Welch et al., 2007)
pSD_174	173673	<i>S. enterica</i>	Cow	USA	-	JF267651	(Han et al., 2012a)
pAM04528	158213	<i>S. enterica</i>	Human	USA	1998	FJ621587	(Call et al., 2010)
pSH111_166	165791	<i>S. enterica</i>	Cow	USA	2001	JN983043	(Han et al., 2012b)
pSH696_135 <sup>c</sup>	135423	<i>S. enterica</i>	Turkey	USA	2000	JN983048	(Han et al., 2012b)
pSH163_135 <sup>c</sup>	135168	<i>S. enterica</i>	Turkey	USA	2002	JN983045	(Han et al., 2012b)
pCVM22425	158195	<i>S. enterica</i>	Cow	USA	2003	CP009560	(Cao et al., 2012)
pCVM21550	120340	<i>S. enterica</i>	Pig	USA	-	CP009564	(Cao et al., 2012)
pCVM22513	120346	<i>S. enterica</i>	Cow	USA	2003	CP009562	(Cao et al., 2012)
pCFSAN000405	190894	<i>S. enterica</i>	Turkey	USA	2004	CP009409	-
pCFSAN007425	166432	<i>S. enterica</i>	Turkey	USA	2002	CP009411	-
pCFSAN007428	164898	<i>S. enterica</i>	Chicken	USA	2006	CP009414	-
pCFSAN007427	175497	<i>S. enterica</i>	Turkey	USA	2009	CP009413	-
pCFSAN007426	103575	<i>S. enterica</i>	Turkey	USA	2008	CP009412	-
pCFSAN000934_02	158521	<i>S. enterica</i>	Dog	USA	2003	CP009567	-
pCFSAN000941_02	118585	<i>S. enterica</i>	Horse	USA	2000	CP009570	-
pCFSAN007405	190894	<i>S. enterica</i>	Turkey	USA	2004	CP009409	-
IncA/C-LS6	171925	<i>K. pneumoniae</i>	Human	Italy	2011	JX442976	(Villa et al., 2013)
pMR0211 <sup>d</sup>	178277	<i>P. stuartii</i>	Human	Afghanistan	2011	JN687470	(McGann et al., 2012)
pNDM-1_Dok01 <sup>d</sup>	195560	<i>E. coli</i>	Human	Japan	2009	AP012208	(Sekizuka et al., 2011)
pNDM-KN <sup>d</sup>	162746	<i>K. pneumoniae</i>	Human	Kenya	2009	JN157804	(Carattoli et al., 2012)
pNDM10469 <sup>d</sup>	137813	<i>K. pneumoniae</i>	Human	Canada	2010	JN861072	(Mulvey et al., 2011)
pNDM10505 <sup>d</sup>	166744	<i>E. coli</i>	Human	Canada	2010	JF503991	(Mulvey et al., 2011)
pNDM102337 <sup>d</sup>	165974	<i>E. coli</i>	Human	Canada	2008	JF714412	(Mulvey et al., 2011)
pNDM-US <sup>d</sup>	140825	<i>K. pneumoniae</i>	Human	USA	2010	CP006661	(Hudson et al., 2014)
pKP1-NDM-1 <sup>d</sup>	137538	<i>K. pneumoniae</i>	Human	Australia	2010	KF992018	-
pCFSAN001921 <sup>e</sup>	221009	<i>S. enterica</i>	Chicken	USA	2011	CP006050	(Hoffmann et al., 2013)

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<sup>a</sup> Year of isolation. – denotes year not provided.

<sup>b</sup> Named pAPEC1990\_61 in GenBank.

<sup>c</sup> Contain complex rearrangements adjacent to ARI-A. Possible assembly issues.

<sup>d</sup> Contains *bla*<sub>NDM-1</sub> in ARI-A.

<sup>e</sup> Unnamed in GenBank accession number CP006050.

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Table 2. Sequenced type 2 A/C<sub>2</sub> plasmids

Plasmid	Size (bp)	Organism	Source	County	Year <sup>a</sup>	GenBank Accession no.	Reference
pIP1202	182913	<i>Y. pestis</i>	Human	Madagascar	1995	NC_009141	(Welch et al., 2007)
pYR1 <sup>b</sup>	158038	<i>Y. ruckeri</i>	Fish	USA	-	CP000602	(Welch et al., 2007)
R55	170810	<i>K. pneumoniae</i>	Human	France	1969	JQ010984	(Doublet et al., 2012)
pEA1509	162202	<i>E. aerogenes</i>	Human	France	2001	FO203354	(Diene et al., 2013)
pKOX-86d	193725	<i>K. oxytoca</i>	Human	USA	2012	CP008790	-
pTC2	180184	<i>P. stuartii</i>	Human	Greece	2012	JQ824049	(Drieux et al., 2013)
p1643_10	167779	<i>S. enterica</i>	Turkey	Poland	2010	KF056330	(Wasył et al., 2014)
pSCEC2	135615	<i>E. coli</i>	Pig	China	2010	KF152885	(Zhang et al., 2013)
pSRC119-A/C	174068	<i>S. enterica</i>	Pig	Australia	2000	KM670336	(Harmer et al., 2015)
pKEC-39c	319976	<i>E. cloacae</i>	Environment	USA	2012	CP008824	(Conlan et al., 2014)
pKEC-dc3	268334	<i>K. pneumoniae</i>	Human	USA	2012	CP007732	(Conlan et al., 2014)
pKEC-a3c	272297	<i>C. freundii</i>	Environment	USA	2012	CP007558	(Conlan et al., 2014)
pVCR94deltaX <sup>c</sup>	120572	<i>V. cholerae</i>	Human	Rwanda	1994	KF551948	(Carraro et al., 2014b)
pPG010208	135803	<i>E. coli</i>	Cow	Chile	2004	NC_019065	(Fernandez-Alarcon et al., 2011)
pP99-018	150157	<i>P. damsela</i>	Fish	Japan	1999	NC_008612	(Kim et al., 2008b)
pP91278	131520	<i>P. damsela</i>	Fish	USA	1991	NC_008613	(Kim et al., 2008b)

<sup>a</sup> Year of isolation. – denotes year not provided.

<sup>b</sup> Has *rhs1*, i.e. hybrid type 2/1 plasmid.

<sup>c</sup> A deletion derivative of a larger naturally occurring plasmid.

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1134 Table 3. Resistance islands in type 1 A/C<sub>2</sub> plasmids.

Plasmid	Antibiotic resistance genes in ARI-A	ARI-B <sup>a</sup>	ISEcp1- <i>bla</i> <sub>CMY-2</sub> <sup>b</sup>
pRMH760	<i>aadB</i> , <i>aphA1</i> , <i>bla</i> <sub>TEM-1</sub> , <i>catA1</i> , <i>dfrA10</i> , <i>sul1</i>	-	-
pCFSAN007428	<i>aadB</i> , <i>aphA1</i> , <i>bla</i> <sub>TEM-1</sub> , <i>cmlA1</i>	+	+
pSH696_135	<i>aadB</i> , <i>bla</i> <sub>TEM-1</sub> , <i>cmlA1</i> , <i>sul1</i>	+	+ <sup>c</sup>
pSH163_135	<i>aadB</i> , <i>bla</i> <sub>TEM-1</sub> , <i>cmlA1</i> , <i>sul1</i>	+	+ <sup>c</sup>
pSD_174	<i>aadA1</i> , <i>sul1</i>	+	+
IncA/C-LS6	<i>aadA1</i> , <i>arr2</i> , <i>cmlA7</i> , <i>dfrA14</i> , <i>oxa10</i> , <i>qnrA6</i> , <i>sul1</i>	+	+
pR148	<i>aadA1</i> , <i>catA2</i> , <i>oxa10</i> , <i>sul1</i> , <i>tet(A)</i>	-	-
pCFSAN007405	<i>aadA1</i> , <i>aadB</i> , <i>aphA1</i> , <i>bla</i> <sub>TEM-1</sub> , <i>cmlA1</i> , <i>sul1</i>	+	+ <sup>c</sup>
pUMNK88_161	<i>aadA1</i> , <i>aadA2</i> , <i>cmlA1</i> , <i>sul1</i>	+	+
pCFSAN007425	<i>aadA2</i> , <i>dfrA12</i> , <i>sul1</i>	+	+
pSN254	<i>aac(3)-VIa</i> , <i>aadA2/1</i> , <i>sul1</i>	+	+ <sup>c</sup>
p199061_160	<i>aac(3)-VIa</i> , <i>aadA2/1</i> , <i>sul1</i>	+	+ <sup>d</sup>
pAR060302	<i>aac(3)-VIa</i> , <i>aadA2/1</i> , <i>sul1</i>	+	+
pCFSAN007427	<i>aac(3)-VIa</i> , <i>aadA2/1</i> , <i>sul1</i>	+	+
pEH4H	<i>aac(3)-VIa</i> , <i>aadA2/1</i> , <i>aphA1</i> , <i>sul1</i>	+	+ <sup>c</sup>
pCFSAN000405	<i>aac(3)-VIa</i> , <i>aadA2/1</i> , <i>aadB</i> , <i>aphA1</i> , <i>bla</i> <sub>TEM-1</sub> , <i>cmlA1</i> , <i>sul1</i> , <i>tet(A)</i>	+	+
pNDM-1_Dok01 <sup>e, f</sup>	<i>aadA2</i> , <i>armA</i> , <i>bla</i> <sub>NDM-1</sub> , <i>bla</i> <sub>TEM-1</sub> , <i>dfrA12</i> , <i>mel</i> , <i>mph2</i> , <i>sul1</i>	-	+
pNDM-KN <sup>e, f, g</sup>	<i>aadA1</i> , <i>arr3</i> , <i>bla</i> <sub>NDM-1</sub> , <i>ereA3</i> , <i>cmlA7</i> , <i>rmtC</i> , <i>sul1</i>	-	+
pNDM-US <sup>e, g</sup>	<i>aacA4</i> , <i>aphA6</i> , <i>bla</i> <sub>NDM-1</sub> , <i>rmtC</i> , <i>sul1</i>	-	+
pKP1-NDM-1 <sup>e, g</sup>	<i>aacA4</i> , <i>aphA6</i> , <i>bla</i> <sub>NDM-1</sub> , <i>rmtC</i> , <i>sul1</i>	-	+
pNDM10469 <sup>e, g</sup>	<i>aacA4</i> , <i>bla</i> <sub>NDM-1</sub> , <i>oxa1</i> , <i>rmtC</i> , <i>sul1</i>	-	+
pNDM10505 <sup>e, f, g</sup>	<i>aacA4</i> , <i>aacC3</i> , <i>aphA6</i> , <i>bla</i> <sub>NDM-1</sub> , <i>oxa1</i> , <i>rmtC</i> , <i>sul1</i>	-	+
pNDM102337 <sup>e, f, g</sup>	<i>aacA4</i> , <i>aacC3</i> , <i>aphA6</i> , <i>bla</i> <sub>NDM-1</sub> , <i>oxa1</i> , <i>rmtC</i> , <i>sul1</i>	-	+
pMR0211 <sup>e, h</sup>	<i>aacA4</i> , <i>aadA1</i> , <i>aadB</i> , <i>aphA6</i> , <i>armA</i> , <i>bla</i> <sub>NDM-1</sub> , <i>cmlA1</i> , <i>mel</i> , <i>mph2</i> , <i>oxa10</i> , <i>qnrA1</i> , <i>sul1</i>	+	+
pIMP-PH114	<i>aacC4</i> , <i>aphA6</i> , <i>bla</i> <sub>DHA-1</sub> , <i>bla</i> <sub>IMP-26</sub> , <i>catB3</i> , <i>sul1</i>	+	-
pSH111_166	<i>dfrA1</i>	+	+
pAM04528	None <sup>i</sup>	+	+ <sup>c</sup>
pCVM22513	None <sup>i</sup>	+	+
pCVM21538	None <sup>i</sup>	+	-
pCVM21550	None <sup>i</sup>	+	+ <sup>c</sup>
pCVM22425	None <sup>i</sup>	+	+ <sup>c</sup>
pCFSAN000934_02	None <sup>i</sup>	+	+ <sup>c</sup>
pCFSAN000941_02	None <sup>i</sup>	+	+ <sup>c</sup>
pCFSAN007426	None <sup>i</sup>	+	+
pCFSAN001921	Not present	+	-

1135 <sup>a</sup> Gene content is available in Table 5.1136 <sup>b</sup> *bla*<sub>CMY-2</sub> or variants of it.1137 <sup>c</sup> Posses a second copy of ISEcp1-*bla*<sub>CMY-2</sub>1138 <sup>d</sup> This strain has a 3759 bp deletion to the left of the *bla*<sub>CMY-2</sub> island.1139 <sup>e</sup> Contains *bla*<sub>NDM-1</sub> in ARI-A.1140 <sup>f</sup> Contain Tn6170 556 bp downstream of *rhs1*.1141 <sup>g</sup> Have lost 3478 bp from the backbone adjacent to ARI-A.1142 <sup>h</sup> Has lost 6953 bp from the backbone adjacent to ARI-A.1143 <sup>i</sup> Contains remnants of ARI-A, though no resistance genes are present.

1144 Table 4. Resistance islands in type 2 plasmids.

Plasmid	RI position <sup>a</sup>	Antibiotic resistance genes in RI	ARI-B <sup>b</sup>
pIP1202	1	<i>aadA2</i> , <i>bla</i> <sub>SHV-1</sub> , <i>sul1</i> , <i>tet(D)</i>	+
pYR1	2	<i>strA</i> , <i>strB</i> , <i>tet(B)</i>	+
R55	3	<i>aadB</i> , <i>bla</i> <sub>OXA-21</sub> , <i>catA1</i> , <i>sul1</i>	+
pEA1509	3	<i>aacA4</i> , <i>aadA1</i> , <i>bla</i> <sub>TEM-24</sub> , <i>dfrA1</i> , <i>sul1</i>	+
pKOX-86d	4	<i>aadA2</i> , <i>aadB</i> , <i>bla</i> <sub>CARB-2</sub> , <i>catB3</i> , <i>cmlA1</i> , <i>dfrA18</i> , <i>mph(E)</i> , <i>msr(E)</i> , <i>qnrA1</i> , <i>sul1</i> <sup>c</sup>	-
pTC2	4	<i>aadA1</i> , <i>aadA2</i> , <i>aphA1</i> , <i>bla</i> <sub>SVH-129</sub> , <i>bla</i> <sub>VIM-1</sub> , <i>dfrA1</i> , <i>dfrA12</i> , <i>mph(A)</i> , <i>sul1</i>	-
p1643_10	4, 5	<i>aacA4</i> , <i>aadB</i> , <i>aphA1</i> , <i>bla</i> <sub>OXA-21</sub> , <i>strA</i> , <i>strB</i> , <i>sul1</i> ; <i>bla</i> <sub>CTX-M-25</sub> <sup>d</sup>	-
pSCEC2	6	<i>cfr</i>	+
pSRC119-A/C	7	<i>aacC4</i> , <i>aadA2</i> , <i>hph</i> , <i>sul1</i>	+
pKEC-39c <sup>e</sup>	8	<i>aacA4</i> , <i>aadB</i> , <i>bla</i> <sub>KPC-2</sub> , <i>bla</i> <sub>SHV-129</sub> , <i>dfrA14</i> , <i>mph(E)</i> , <i>msr(E)</i> , <i>qnrA1</i> , <i>sul1</i> <sup>f</sup>	-
pKEC-dc3 <sup>e</sup>	8	<i>aacA4</i> , <i>aadB</i> , <i>bla</i> <sub>KPC-2</sub> , <i>bla</i> <sub>SHV-129</sub> , <i>dfrA14</i> , <i>mph(E)</i> , <i>msr(E)</i> , <i>sul1</i> <sup>g</sup>	-
pKEC-a3c <sup>e</sup>	8	<i>aacA4</i> , <i>aadB</i> , <i>bla</i> <sub>KPC-2</sub> , <i>bla</i> <sub>SHV-129</sub> , <i>dfrA14</i> , <i>mph(E)</i> , <i>msr(E)</i> , <i>qnrA1</i> , <i>sul1</i> <sup>f</sup>	-
pVCR94deltaX	-	-	+
pPGO10208	-	-	+
pP99-018	-	-	+
pP91278	-	-	+

1145

1146 <sup>a</sup> Resistance island other than ARI-B, as shown in Figure 2B.

1147 <sup>b</sup> Gene content listed in Table 5.

1148 <sup>c</sup> Contains two copies of *sul1*.

1149 <sup>d</sup> *bla*<sub>CTX-M-25</sub> is located within RI 5.

1150 <sup>e</sup> Contain a second 41 kb insertion elsewhere in the backbone, bounded by 6 bp direct repeats, containing  $\beta$ -lactamase  
 1151 (*bla*<sub>FOX-5</sub>) and trimethoprim (*dfrA14*) resistance determinants, plus putative bleomycin and aminoglycoside  
 1152 phosphotransferase resistance proteins.

1153 <sup>f</sup> Contains four copies of *sul1*.

1154 <sup>g</sup> Contains three copies of *sul1*.

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1158

Table 5. Antibiotic resistance genes in ARI-B

Plasmid	A/C <sub>2</sub> type	Antibiotic resistance genes in ARI-B	Variant <sup>a</sup>	Backbone Δ (bp)
R55	2	<i>floR, sul2</i>	-	-
pYR1	2	<i>sul2</i>	-	-
pEA1509	2	<i>sul2</i>	-	-
pCFSAN001921	1	<i>merRTPCA, sul2, tet(A)</i>	-	-
pIP1202	2	<i>aphA1, catA1, strAB, sul2</i>	-	4477
pP99-018	2	<i>aphA1, catA1, sul2, tet(D)</i>	-	4477
pSRC119-A/C	2	<i>aphA1, erm(42), sul2, tet(D)</i>	-	4477
pP91278	2	<i>sul2, tet(D)</i>	-	10407
pSN254	1	<i>floR, strA, strB, sul2, tet(A)</i>	A	10984
P199061_160	1	<i>floR, strA, strB, sul2, tet(A)</i>	A	10984
pUMNK88_161	1	<i>floR, strA, strB, sul2, tet(A)</i>	A	10984
pAR060302	1	<i>floR, strA, strB, sul2, tet(A)</i>	A	10984
pSD_174	1	<i>floR, strA, strB, sul2, tet(A)</i>	A	10984
pMR0211	1	<i>floR, strA, strB, sul2, tet(A)</i>	A	10984
pCFSAN000405	1	<i>floR, strA, strB, sul2, tet(A)</i>	A	10984
pCFSAN007425	1	<i>floR, strA, strB, sul2, tet(A)</i>	A	10984
pCFSAN007428	1	<i>floR, strA, strB, sul2, tet(A)</i>	A	10984
pCFSAN007427	1	<i>floR, strA, strB, sul2, tet(A)</i>	D	10984
pCFSAN007426	1	<i>floR, strA, strB, sul2, tet(A)</i>	B	10984
pCFSAN000934_02	1	<i>floR, strA, strB, sul2, tet(A)</i>	A	10984
pCFSAN000941_02	1	<i>floR, strA, strB, sul2, tet(A)</i>	A	10984
pAM04528	1	<i>floR, strA, strB, sul2, tet(A)</i>	A	10984
pEH4H	1	<i>floR, strA, strB, sul2, tet(A)</i>	A	10984
pSH111_166	1	<i>floR, strA, strB, sul2, tet(A)</i>	B	10984
pSH696_135	1	<i>floR, strA, strB, sul2, tet(A)</i>	C	10984
pSH163_135	1	<i>floR, strA, strB, sul2, tet(A)</i>	C	10984
pCVM21538	1	<i>floR, strA, strB, sul2, tet(A)</i>	A	10984
pCVM22425	1	<i>floR, strA, strB, sul2, tet(A)</i>	B	10984
pCVM21550	1	<i>floR, strA, strB, sul2, tet(A)</i>	A	10984
pCVM22513	1	<i>floR, strA, strB, sul2, tet(A)</i>	A	10984
pSCEC2	2	<i>floR, strA, strB, sul2, tet(A)</i>	G	10984
IncA/C-LS6	1	<i>aphA6, floR, strA, strB, sul2, tet(A)</i>	F	10984
pPGO10208	2	<i>floR, mph(E), msr(E), strA, strB, sul2, tet(A)</i>	E	10984
pCFSAN007405	1	<i>floR, strA, strB, sul2, tet(A)</i>	-	11039
pIMP-PH114	1	<i>floR, sul2</i>	-	11646

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<sup>a</sup>As shown in Figure 7.

1160 **Figure legends**

1161 **Fig. 1.** Comparisons between A/C<sub>1</sub> and A/C<sub>2</sub> plasmid backbones. The backbones are drawn to  
1162 scale from GenBank accession numbers JX141473 (pR148) and FJ705807 (RA1) after  
1163 removing the antibiotic resistance islands and opening the sequence 1139 bp upstream of  
1164 *repA*. Regions containing genes involved in plasmid replication (*rep*), partitioning (*parAB*),  
1165 conjugative transfer (*tra*) and the *rhs* gene are indicated by horizontal arrows. Vertical arrows  
1166 show the location of resistance islands. Regions with shared nucleotide identity are indicated  
1167 by shading according to the key below.

1168

1169 **Fig. 2.** Genetic organization of A/C<sub>2</sub> plasmids. **A.** A/C<sub>2</sub> plasmid backbone. The A/C<sub>2</sub> backbone  
1170 sequence of pR148 (GenBank accession number JX141473) defined in Harmer and Hall  
1171 (2014) was linearized 1139 bp upstream of *repA* and is drawn to scale. Horizontal arrows  
1172 indicate the location, size and orientation of ORFs, and every tenth reading frame is numbered  
1173 below the arrows. The positions of the i1 and i2 insertions found in type 2 A/C<sub>2</sub> plasmids are  
1174 indicated by vertical arrows, and the two regions of replacement between type 1 and type 2  
1175 A/C<sub>2</sub> plasmids, orf1832/orf1847 and *rhs1/rhs2*, are indicated by dual names above and  
1176 below. ORFs coding for hypothetical proteins greater than 100 aa and *acr1* are shown. Genes  
1177 coding for proteins with a known function are named above and colored according to the key.  
1178 Locations of resistance islands are indicated by vertical arrows (ARI-A and ARI-B) or below  
1179 (ISEcp1-*bla*<sub>CMY-2</sub>). **B.** Antibiotic resistance island locations in sequenced type 2 A/C<sub>2</sub> plasmids.  
1180 Horizontal arrows indicate the direction and extent of the *rhs2*, *yacC*, *ter* and *kfrA* genes.  
1181 Horizontal arrows with no name indicate open reading frames with no known function. 0  
1182 marks the *rhs* start codon. Numbered vertical arrows indicate the location of the RIs found in  
1183 various type 2 A/C<sub>2</sub> plasmids (see Table 5 for detail). ARI-A marks the location of the  
1184 resistance island found in type 1 A/C<sub>2</sub> plasmids.

1185

1186 **Fig. 3.** A/C<sub>1</sub> and A/C<sub>2</sub> replicons. **A.** Replication region in A/C plasmids. Replication regions are  
1187 drawn to scale from GenBank accession numbers FJ705807 (A/C<sub>1</sub>) and KF976462 (A/C<sub>2</sub>). The  
1188 large open box indicated the extent of the *repA* gene and a horizontal arrow indicates the  
1189 direction. Small open boxes mark the locations of conserved inverted repeats (IR1 and IR2)  
1190 and 19 bp iterons. A vertical line indicates the conserved DnaA box. The extent of the  
1191 fragment containing the origin is indicated above. Bent arrows mark the location of PBRT  
1192 primers for A/C plasmids. **B.** Iteron sequences in A/C<sub>1</sub> and A/C<sub>2</sub> plasmids. The iterons and  
1193 consensus sequence for A/C<sub>1</sub> are as described by Llanes et. al. (1994), except that iterons 1  
1194 and 10 for A/C<sub>1</sub> were omitted. In the alignment, white lettering with a black background  
1195 denotes a base that differs from the majority base at that position. The consensus is defined as  
1196 follows: capital letters represent conserved bases and small letters represent the presence of  
1197 that nucleotide in at least 10 of the iterons. R denotes a purine base (either A or G) and Y  
1198 denotes a pyrimidine base (either C or T).

1200 **Fig. 4.** Comparison of transfer regions in A/C<sub>2</sub> plasmids and SXT. **A.** Region 1, *traI* to *traN*  
1201 (Bases 43782-71893 in GenBank accession number KF976462 and 45176 to 67147 in  
1202 GenBank accession number AY055428). **B.** Region 2, *traF* to *mobI* (Bases 159773 to 170613  
1203 and 1 to 862 in GenBank accession number KF976762, and 88747 to 99483 and 1 to 4241 in  
1204 GenBank accession number AY055428). Transfer regions are drawn to scale. Horizontal  
1205 arrows mark the location, orientation and extent of genes which are named above. Shading  
1206 indicates shared genes, with the percent aa identity shown.

1208 **Fig. 5.** Antibiotic resistance islands in RA1 and RAx. The islands are drawn to scale from  
1209 GenBank accession numbers FJ705807 (bases 113251 to 126345) and FJ705806 (bases  
1210 31882 to 50224). Insertion sequences and the small mobile element CR2 are shown as open  
1211 boxes with IS numbers or names indicated inside. A vertical bar marked "o" indicates the ori

1212 and “t” the ter end of CR2, respectively. Genes and ORFs are shown below the line as named  
1213 arrows indicating the direction of transcription. Segments derived from *GIsul2* and the extent  
1214 of *Tntet(D)* are indicated below. Open flags denote direct repeats. A extent of a deletion in  
1215 RA1 is indicated and an insertion in RAX are shown above.

1216  
1217 **Fig. 6.** Structure of ARI-B in pEA1509, R55 and pYR1. Surrounding A/C<sub>2</sub> backbone is drawn to  
1218 scale from GenBank accession number KF976462, and resistance islands are drawn to scale  
1219 from GenBank accession numbers FO203354 and CP000602.

1220  
1221 **Fig. 7.** Structures of ARI-B associated with the 10984 bp backbone deletion. **A.** The most  
1222 common ARI-B configuration in sequenced A/C<sub>2</sub> plasmids. Drawn to scale from GenBank  
1223 accession number FJ621586. **B.** Configuration present in pCFSAN007426, pSH111\_166 and  
1224 pCVM21550. **C.** Configuration present in pSH696\_136 and pSH163\_135. **D.** Configuration  
1225 present in pCFSAN007427. Δ denotes a deletion of 282 bp adjacent to the right-hand IS26. **E.**  
1226 Configuration present in pPG010208. **F.** Configuration present in IncA/C-LS6. **G.** Configuration  
1227 in pSCEC2. Insertion sequences and the small mobile element CR2 are shown as open boxes.  
1228 “o” and “t” indicate the ori or ter end of CR2, respectively. IS numbers or names are indicated.  
1229 Genes and ORFs are shown above or below the line as named arrows indicating the direction  
1230 of transcription. Segments derived from *GIsul2*, RP1 or Tn5393 are indicated below. Thick  
1231 vertical lines indicate terminal inverted repeats.

1232  
1233 **Fig. 8.** Boundaries of ARI-A. A/C backbone sequence is denoted by lower case letters. The 5 bp  
1234 duplication of the target sequence generate upon insertion of the transposon is underlined.  
1235 The 38-bp inverted repeats or IR<sub>tnp</sub> and IR<sub>mer</sub> are boxed. The base in the IR adjacent to which  
1236 the IS (either IS4321 or IS5075) may insert is marked by vertical arrows.

1237