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# AUTOMATIC SEGMENTATION AND IDENTIFICATION OF SPINOUS PROCESSES ON SAGITTAL X-RAYS BASED ON RANDOM FOREST CLASSIFICATION AND DEDICATED CONTEXTUAL FEATURES

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## ABSTRACT

X-ray based quantitative analysis of spine parameters is required in routine diagnosis or treatment planning. Existing tools commonly require manual intervention. Attempts towards automation of the whole procedure have mainly focused on vertebral bodies, whereas other regions such as the posterior arch also bear considerable amount of useful information. In this study, we combine a specific design of contextual visual features with a multi-class Random Forest classifier to perform pixel-wise segmentation and identification of all cervical spine spinous processes, on sagittal radiographs. Segmentations were evaluated on 62 radiographs, comparing to manual tracing. Correct identification was obtained for all subjects, and segmentation returned mean  $\pm$  SD values of: *Dice* coefficient =  $88 \pm 8\%$ ; Hausdorff distance =  $2.1 \pm 1.4$  mm and; mean surface distance =  $0.6 \pm 0.4$  mm. The derived geometric parameters can be used to reduce the amount of manual intervention needed for spine modeling or to measure clinical indices.

**Index Terms**— Spine X-ray, Spinous process, Multi-class Random Forest, Visual features, Segmentation, Identification

## 1. INTRODUCTION

Each year, millions of people develop spine-related diseases, and are referred to specialists for treatment. In many circumstances, patients are instructed to get an X-ray for further assessment. X-ray imaging, either standard or biplanar, is commonly used for 2D and 3D spine shape modeling and quantitative spine analysis, since radiation dose is minimal and images are acquired in weight-bearing positions that preserve the spine pose and orientation for further analysis. All current available spine modeling tools require user intervention to determine some landmarks on X-rays [1], [2]. Rich literature exists describing the extraction of landmarks automatically or with minimal user involvement, such as the works in [3]–[6] for vertebrae corners or the works in [7], [8] for pedicle segmentation. However, automation remains very limited for structure localization or landmark detection in the spine posterior arch, while we know that this region is also of interest, especially in spine alignment analysis to detect evidences of injury or disorder [9]. Among the literature focusing on this domain, we can cite the work in [10], which developed a semi-automated vertebra identification-segmentation method based on generalized Hough transform (GHT) and K-means clustering, from which three landmarks were extracted to approximate the anterior vertebral curve, the posterior vertebral curve and the spinolaminar curve from high resolution sagittal radiographs of the cervical spine (C3-C7). Occlusion and changes in the test database were reported as the main error sources. For the same purpose, [11] proposed a semi-automated method to extract the spinolaminar curve over the entire cervical spine region, having segmented vertebral bodies, and a point manually positioned at the spinolaminar junction. Although sensitivity to the manual point placement was examined, the method also seems vulnerable to the chosen segmentation approach. Likewise, to assess cervical spine disorders, [12] developed an active appearance

model-based [13] framework to model vertebral bodies and spinous processes by extracting few landmarks. However, the proposed method seems sensitive to model initialization, especially for the spinous processes.

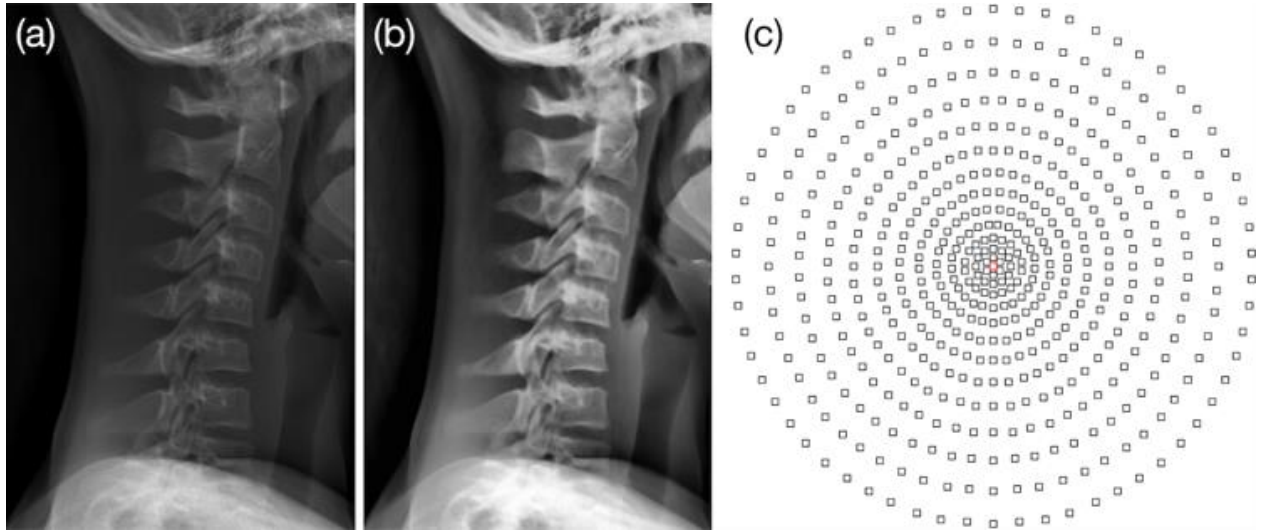
In the current study, we aim at segmenting spinous processes of the cervical spine exploiting dedicated contextual features (CF) together with a multi-class Random Forest (RF) classifier. The outputs of the algorithm are spinous processes pixels with assigned labels and scores, determining to which spinous process (C1-C7) the pixels belong to and with what probability. Classification results are then refined. Manually segmented spinous processes are used as the comparison reference for evaluation.

## 2. MATERIAL AND METHOD

In this study 62 sagittal X-rays, acquired with an EOS™ low dose system (EOS imaging, Paris, France) are used. They contained 434 spinous processes manually delineated. Images were collected from different research and imaging centers (pixel size =  $0.1794 \times 0.1794$  mm<sup>2</sup>). Subjects cover a variety of age (range: [8, 59] years, mean  $\pm$  SD =  $19 \pm 11$ ), sex, and pathology. The experimental protocol has been approved by centers' ethical committees and written patient consents have been acquired. X-ray images (Fig. 1(a)) are pre-processed with Wiener and median filtering, followed by contrast limited adaptive histogram equalization (CLAHE) as done in [4] to enhance image quality while preserving boundaries (Fig. 1(b)).

### 2.1. Contextual Features Extraction

We previously introduced specialized patch-based Haar-like and contextual features for vertebrae corner detection in [4]. These features can capture intensity and gradient information not only locally but also from remote locations. In this study, we present a specific design of patch-based contextual features that acts in a pixel-wise manner enabling simultaneous detection, segmentation, and identification of the objects of interest.



**Fig. 1:** (a) Original image. (b) Enhanced image. (c) Patches arrangements around every candidate pixel.

As illustrated in Fig. 1(c), a pool of features is obtained from  $[3 \times 3]$  patches that are localized around a candidate pixel in different layers with radii  $r_n$  and intra-layer distance  $d_{r_n}$ , which are set as follows:

$$\begin{aligned}
r_1 &= 4, \\
r_n &= r_1 + \sum_{i=3}^{n+1} (i+1), \quad n = 2, \dots, 13 \\
d_{r_n} &= \alpha + \beta \times \sum_{i=0}^{n-1} i. \quad n = 1, \dots, 13
\end{aligned}$$

with  $\alpha = 4.2$ ,  $\beta = 0.1$ , and distances in pixels. The features aggregate mean and variance of intensities inside each patch, as well as the mean intensity difference between each patch and the central one, leading to 1,522 feature values for each pixel. Mean, variance and contrast term values are normalized to a unit  $L_2$ -norm to increase stability of the features against intensity variations across the image.

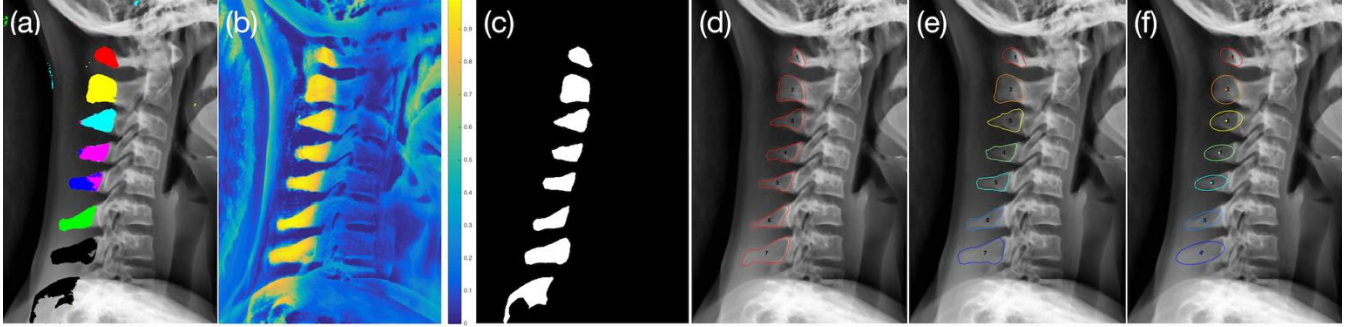
## 2.2. Multi-class RF Classification

Table 1	RF parameters and values
$N_C = 8$	number of classes
$N_{Tree} = 500$	number of decision trees
$N_{feature} = 1,522$	number of features
$N_{sf} = \sqrt{N_{feature}}$	number of selected features at each split node
$N_{obs}$	number of observations in the training data
$N_s = N_{obs} - 1$	maximum number of split nodes
$N_l = 1$	minimum number of leaf node observations
$N_b = 10$	minimum number of branch node observations
Split criterion	standard Gini node impurity index

For detection, segmentation and identification tasks, we train and test, in a leave-one-out fashion, a multi-class RF classification with parameters reported in Table 1. In this multi-class RF, we assign one class for each spinous process of cervical vertebrae (C1-C7) and one class for non-spinous process areas. For training,  $N = 100$  to 200 (depending on the size of the spinous process) positive samples are randomly gathered from manually delineated spinous processes of 61 subjects, and  $N = 500$  negative samples collected from random non-spinous process regions. At testing stage, all image pixels from the test subject are input to the classifier to be examined and assigned a class label. The classification outcome provides detected spinous process pixels, the label of the spinous process they belong to, along with probability values computed as described in [14] (Fig. 2(a-b)).

## 2.3. Refinement

We first build a binary mask of the detections using the binary output of the RF classifier. Then connected components (CCs) smaller than 100 pixels are removed. In order to further refine the obtained CCs, a bounding hull approach [15] is used to build a non-convex enclosure on the set of detected boundary points. As a result, gaps within CCs are filled and boundaries are smoothed (Fig. 2(c)).



**Fig. 2:** Multi-class RF classification results. (a) Obtained class labels for different spinous processes ( $SP$ ): red= $SP_1$ , yellow= $SP_2$ , cyan= $SP_3$ , magenta= $SP_4$ , blue= $SP_5$ , green= $SP_6$ , and black= $SP_7$ . (b) Probability map for each image pixel. (c) Results after the refinement stage. (d) Spinous processes manual ground truth, and (e) the algorithm-based segmentation-identification. (f) Orientation (via fitted ellipses major axis) and centers overlaid on a sagittal X-ray.

## 2.4. Identification

Although we have labels for each spinous process, our initial experiments showed that these class labels have variable levels of reliability. We observed that the C2 spinous processes' labels are highly reliable, given their particular shape and neighborhood. Therefore, in practice we consider C2 as the reference reliable label, while the other spinous processes are relabeled. To this end, first a large probability threshold ( $T_p = 0.85$ ) is applied on the C2 class labels to filter points with small membership probabilities. The geometrical average over the selected points coordinates ( $P_{ref}$ ) provides the approximate location of C2 in the image, which serves as an anchor to locate other spinous processes. From the refined  $CC$ s in Section 2.3 we measure each geometrical  $CC$  center and use a dedicated iterative labeling process based on position of  $CC$  centers with respect to  $P_{ref}$  to assign labels to remaining  $CC$ s (Fig. 2(e)).

## 2.5. Evaluation Metrics

The following geometrical characteristics are evaluated for the detection of spinous processes ( $SP$ s).

**(a) Spinous process geometrical center ( $SP_{Center}$ )** is the spinous process's center of mass, and is obtained via averaging of its pixel coordinates.  $SP_{Center}error$  is the root-mean-square error (RMSE) of the distances between the ground truth and the algorithm-based geometrical centers positions.

**(b) Spinous process principal axis of inertia ( $SP_{Ori}$ )** is defined via fitting an ellipse [16] to each segmented spinous process (Fig. 2(f)). The major axis of the ellipse is the axis of inertia. The angle between the axis of inertia and the horizontal image axis determines the spinous processes orientation and is used to measure RMSE orientation differences ( $SP_{Ori}error$ ) between automatically and manually segmented spinous processes.

To evaluate the segmentations, we applied the following three metrics to compare the algorithm-based segmentation (Fig. 2(e)) and the ground truth (Fig. 2(d)).

**(c) Dice metric** is used to determine the overlap.

**(d) Hausdorff distance ( $HD$ )** is calculated between the ground truth and the algorithm outcome.

**(e) Mean surface distance ( $MSD$ )**, which is less sensitive to outliers.

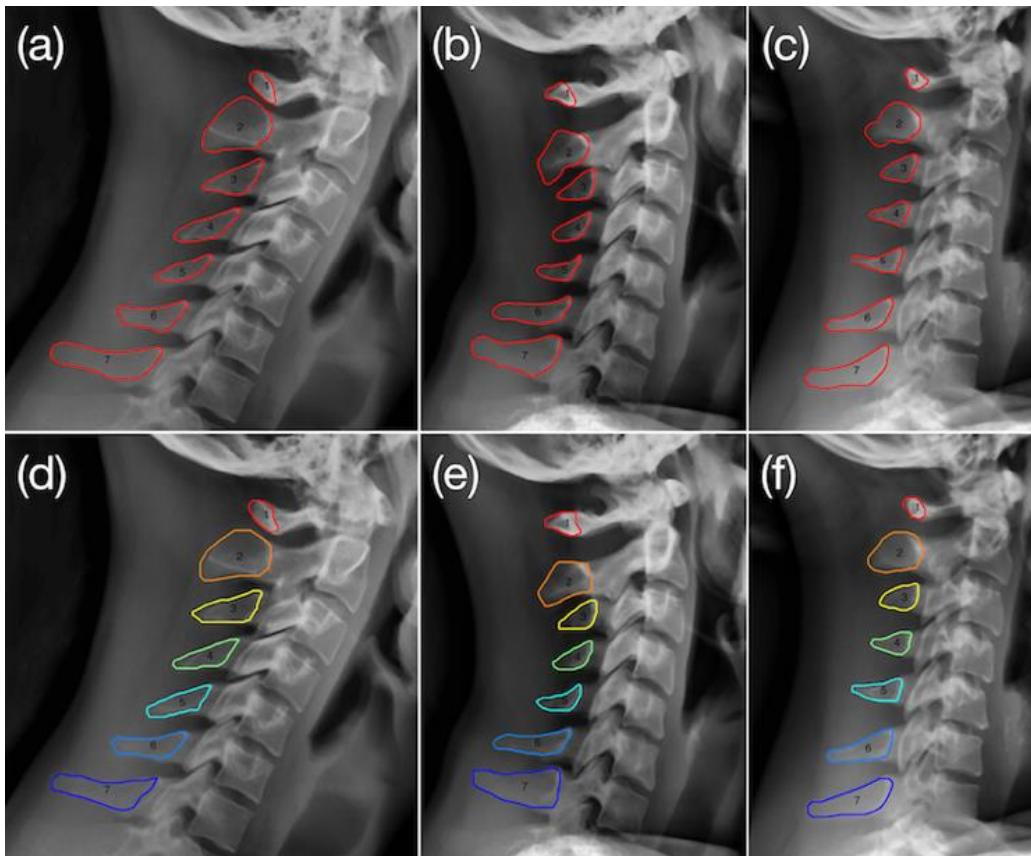
## 3. RESULTS

All spinous processes on all cases were correctly detected and labeled. Segmentation errors are reported in Table 2 via mean, median and standard deviation ( $SD$ ) values for individual and all spinous processes. Overall, we obtained an average *Dice* coefficient of  $88 \pm 8\%$ , average *HD* of  $2.1 \pm 1.4$  mm, and average *MSD* of  $0.6 \pm 0.4$  mm. For different spinous processes, the segmentation performance is quite similar but the *Dice* coefficient is a bit lower in

**Table 2** Spinous processes segmentation evaluation using different metrics. *HD*=Hausdorff distance, *MSD*=mean surface distance

Region	<i>Dice</i> (%)			<i>HD</i> (mm)			<i>MSD</i> (mm)			<i>SP<sub>Center</sub>error</i> (mm)			<i>SP<sub>Ori</sub>error</i> (°)		
	mean	SD	median	mean	SD	median	mean	SD	median	mean	SD	median	mean	SD	median
C1	82	15	86	2.0	1.0	1.8	0.6	0.4	0.5	0.8	0.5	0.6	–	–	–
C2	91	4	93	2.1	1.6	1.8	0.6	0.4	0.5	0.7	0.5	0.5	–	–	–
C3	90	4	91	1.7	0.9	1.4	0.5	0.2	0.4	0.6	0.4	0.5	4.7	4.4	3.3
C4	89	4	90	1.7	0.8	1.4	0.4	0.2	0.4	0.7	0.5	0.6	3.7	3.0	2.9
C5	86	6	88	2.1	1.3	1.7	0.6	0.3	0.4	0.9	0.7	0.6	3.5	3.4	2.4
C6	88	5	90	2.1	1.5	1.7	0.5	0.3	0.4	0.9	0.8	0.7	2.9	2.4	2.5
C7	87	8	90	3.1	2.0	2.5	0.8	0.6	0.6	1.3	0.9	1.1	3.0	2.8	2.4
Ave.	88	8	90	2.1	1.4	1.7	0.6	0.4	0.5	0.8	0.7	0.6	3.6	3.3	2.8

C1, while *HD* and *MSD* are higher in C7. Spinous process centroids positioning mean error is below 1 mm in C1-C6. Orientation differences return the best precision for C3-C7 with respective mean, median, and *SD* of 3.6°, 2.8°, and 3.3°. Orientation values in C1 and C2 are not reported in Table 2, as these structures are often round-shaped with no dominant orientation. Fig. 3 illustrates the algorithm results together with the ground truth on some subjects with varied spinous processes in terms of shape, size, orientation, and image contrast.



**Fig. 3:** Spinous processes segmentation examples from 3 subjects with different sizes and orientations. (a)-(c) Manual ground truth. (d)-(f) Corresponding automated segmentation results.

## 4. DISCUSSION AND CONCLUSION

In this study, we presented an automated framework for cervical vertebrae spinous process detection, segmentation, and identification. To do so, we used a leave-one-out cross validation approach to train and test a multi-class RF classifier that utilizes dedicated contextual features as predictors. Considering the huge variety in shape, size, orientation, and even contrast of different spinous processes, validation results demonstrate very promising outcomes (Fig. 3).

Despite the critical importance of assessing the spine posterior arch parameters, to clinically analyze the spine state and its probable abnormalities [9], or to morphologically model the spine for injury risk anticipation [17], only few works have dealt with this problem, all requiring one or more landmarks on spinous processes. In [10], [11] for instance a landmark on or close to the spinolaminar curve was chosen to approximate it via point interpolation. While there are many works addressing vertebral body detection, segmentation, and identification [18]–[20], to the best of our knowledge we introduce for the first time a fully automated method for spinous process detection, segmentation, and identification on sagittal X-rays of the cervical spine. *Dice*, *HD* and *MSD* evaluation metrics confirmed the efficiency of the proposed algorithm in accurately segmenting the spinous processes. *Dice* coefficient in C1 spinous process is a bit lower than in the others, which is understandable, as the C1 spinous process is much smaller, and minor surface differences can cause a noticeable decrease in *Dice* coefficients. Regarding the orientation evaluation, C1 and C2 are not very robust, as their shape is circular, which makes the ellipse fitting uncertain, unlike the more elongated C3-C7 spinous processes with the promising average  $SP_{Orierror}$  of  $3.6^\circ \pm 3.3^\circ$ .

Overall, a high localization precision with a minor difference between various spinous processes is achieved. C7 shows slightly higher error, as in many subjects C7 is partially hidden by other anatomical structures leading to more misdetections and false positives. From a classification point of view, labeling is more robust in C1, C2, C3, C6, and C7. In these regions, we believe that the obtained labels can robustly be utilized as references for vertebrae identification. Although RF classification is highly robust in detecting C4 and C5 spinous processes (as shown in the evaluation), labels are sometimes not correctly assigned. The reason seems to be the similarity between these adjacent spinous processes in terms of shape, intensity and neighborhood. To tackle this limitation, other robustly identified spinous processes contribute to the labeling procedure in our post processing step.

Whilst the variability of structures in the cervical spine and especially the spinous processes, our results show that a pixel-wise object identification is achievable using our contextual visual features incorporated in a trained multi-class RF classifier, and the algorithm is robust to shape deformities and rotations. Our proposed contextual feature's formation and formulation proved to be highly discriminative while not computationally demanding. We are able to exploit full cervical spine fields of view at native image resolution. Comparing to deep-learning such as [21] will be the focus of our future work, while tackling current challenges with this approach, such as combining segmentation and labeling tasks, needs for a large annotated cohort and preserving image resolution for fine structures.

The introduced feature normalization step, while simple, is crucial for the stability of the results. Overall, the presented framework has a great potential for being integrated in clinical routine and being applied in a spine 3D model reconstruction procedure, resulting in less manual intervention requirements.

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