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著者 (英)	Junji Shikata, Yohei Watanabe
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# Identity-based Encryption with Hierarchical Key-insulation in the Standard Model

Junji Shikata · Yohei Watanabe

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**Abstract** A key exposure problem is unavoidable since it seems human error can never be eliminated completely, and *key-insulated encryption* is one of the cryptographic solutions to the problem. At Asiacrypt'05, Hanaoka et al. introduced *hierarchical key-insulation functionality*, which is attractive functionality that enhances key exposure resistance, and proposed an identity-based hierarchical key-insulated encryption (hierarchical IKE) scheme in the random oracle model.

In this paper, we first propose the hierarchical IKE scheme in the standard model (i.e., without random oracles). Our hierarchical IKE scheme is secure under the symmetric external Diffie-Hellman (SXDH) assumption, which is a static assumption. Particularly, in the non-hierarchical case, our construction is the first IKE scheme that achieves constant-size parameters including public parameters, secret keys, and ciphertexts.

Furthermore, we also propose the first public-key-based key-insulated encryption (PK-KIE) in the hierarchical setting by using our technique.

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J. Shikata

Graduate School of Environment and Information Sciences, Yokohama National University,  
79-7 Tokiwadai, Hodogaya-ku, Yokohama, 240-8501, Japan  
Institute of Advanced Sciences, Yokohama National University, 79-7 Tokiwadai, Hodogaya-ku, Yokohama, 240-8501, Japan

Y. Watanabe

Graduate School of Informatics and Engineering, The University of Electro-Communications, 1-5-1 Chofugaoka, Chofu, Japan  
Information Technology Research Institute (ITRI), National Institute of Advanced Industrial Science and Technology (AIST), 2-4-7 Aomi, Koto-ku, Tokyo, Japan  
Tel.: +81-43-442-5822  
E-mail: watanabe@uec.ac.jp

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## 1 Introduction

### 1.1 Background

*Key-insulated encryption*, which is introduced by Dodis et al. [14], is one of the effective solutions to a key exposure problem. Specifically, they proposed public-key encryption (PKE) with the key-insulated property, which is called *public-key-based key-insulated encryption* (PK-KIE). In PK-KIE, a receiver has two kinds of secret keys, a *decryption key* and a *helper key*. The decryption key is a short-term key for decrypting ciphertexts, and is periodically updated by the helper key. More specifically, the lifetime of the system is divided into discrete time periods, and the receiver can decrypt the ciphertext encrypted at some time period  $t$  by using a decryption key  $dk_t$  updated by the helper key at the same time period  $t$ . The decryption key and the helper key are stored in a powerful but insecure device such as laptops and smartphones and in a physically-secure but computationally-limited device such as USB pen drives, respectively. Traditionally, in key-insulated cryptography, the following two kinds of security notions are considered:

- (a) If a number of decryption keys  $\{dk_{t_1}, dk_{t_2}, \dots, dk_{t_q}\}$  are exposed, no information on plaintexts encrypted at other time periods is leaked.
- (b) Even if a helper key is exposed, the security is not compromised unless at least one decryption key is exposed.

A key-insulated cryptosystem is said to be secure if it satisfies (a); and to be *strongly secure* if it satisfies both (a) and (b). Therefore, key-insulated encryption can significantly reduce the impact of the exposure, and many researchers have taken several approaches to realizing secure (in particular, strongly secure) key-insulated cryptosystems thus far.

Following a seminal work by Dodis et al. [14], many cryptographers have proposed several kinds of key-insulated cryptographic schemes such as symmetric-key-based key-insulated encryption [16], key-insulated signatures [15], and parallel key-insulated encryption [19, 20, 24]. In addition to key-insulated cryptography, researchers have tackled the key exposure problem in various flavors. In forward-secure cryptography [1, 7], users update their own secret keys at the beginning of each time period. Forward security requires that an adversary cannot get any information on plaintexts encrypted at previous time periods even if the secret key for the current time period is exposed. Intrusion-resilient cryptography [12, 13, 22] realizes both key-insulated security and forward security simultaneously at the expense of efficiency and practicality.

In this paper, we focus on the key-insulation paradigm in the identity-based setting. Identity-based encryption (IBE) has been widely studied thus far, and therefore we believe that the identity-based key-insulated security has a huge influence on the research on IBE and its applications. Also, developing key-insulated cryptography in the identity-based area is the first step to consider the key-insulated security in attribute-based encryption [3, 28] and functional encryption [6], which are expected to be used in cloud environments. However, in the IBE context, there are only few researches on key-insulation. Hanaoka et al. [21] introduced a *hierarchical key-updating mechanism*, and proposed an IBE scheme with hierarchical key-insulation, which is called an identity-based hierarchical key-insulated encryption (hierarchical IKE for short) scheme, in the random oracle model. In their hierarchy, helper keys are assigned to each level, and decryption keys are assigned to the lowest level. Not only decryption keys but also helper keys can be updated by a higher-level helper key. Since this “hierarchy” is not the same as that of hierarchical IBE (HIBE) [18], only applying techniques used in the HIBE context is insufficient for constructing secure (in particular, *strongly* secure) IKE schemes (also see the next subsection). The hierarchical property is attractive since it enhances resilience to key exposure and there seem to be various applications due to the progress in information technology (e.g., the popularization of smartphones). Let us consider an example of 3-level hierarchical key-insulation in some company: Suppose that each employee has a business smartphone, a laptop, and a PC installed at his/her office. A decryption key is stored in the smartphone, and it is updated by a 1-st level helper key stored in his/her laptop every day. However, the 1-st level helper key might be leaked since he/she carries around with the laptop, and connects to the Internet via the laptop. Thus, the 1-st level helper key is also updated by a 2-nd level helper key stored in his/her PC every two–three weeks. Since the PC is not completely isolated from the Internet, his/her boss updates the 2-nd level helper key by a 3-rd level helper key stored in an isolated private device every two–three months. Thus, we believe hierarchical IKE has many potential applications.

After the proposal of hierarchical IKE by Hanaoka et al., two (non-hierarchical) IKE schemes with additional properties in the standard model were proposed. One is the *parallel* IKE scheme, which was proposed by Weng et al. [34]. The other is the *threshold* IKE scheme, which was proposed by Weng et al. [35]. These two schemes enhance the resistance to helper key exposure by splitting a helper key into multiple ones. However, once the (divided) helper key is leaked, the security cannot be recovered. Again, we emphasize that the hierarchical key-insulated structure is useful since even if some helper key is exposed, it can be updated. However, there have been no hierarchical IKE schemes without random oracles thus far.

## 1.2 Our Contribution

In this paper, we propose an IBE scheme with  $\ell$ -level hierarchical key-insulation, which is called an  $\ell$ -level hierarchical IKE scheme, such that (1) security is

proved under simple computational assumptions in the standard model; and that (2) each size of all parameters including public parameters, secret keys, and ciphertexts is constant in the non-hierarchical case (i.e.,  $\ell = 1$ ).

Specifically, the proposed  $\ell$ -level hierarchical IKE scheme is strongly secure against chosen plaintext attacks (CPA-secure) under the symmetric external Diffie-Hellman (SXDH) assumption, which is a static and simple assumption. Our (hierarchical) IKE scheme is based on the Jutla-Roy (H)IBE [23] and its variant [27]. Further, the proposed scheme achieves the constant-size parameters when  $\ell = 1$ , whereas public parameters of the (non-hierarchical) existing scheme [35] depend on sizes of identity spaces (also see Section 4.1 for comparison). We can also realize an  $\ell$ -level hierarchical IKE scheme strongly secure against chosen ciphertext attacks (CCA-secure) based on an well-known transformation [5]. Furthermore, we can extend our technique to the public-key setting. Namely, we formalize public-key encryption with hierarchical key insulation (hierarchical PK-KIE for short), and propose a concrete construction of a CCA-secure  $\ell$ -level hierarchical PK-KIE scheme.

In the following, we explain why a naive solution is insufficient and why achieving (1) and (2) is challenging.

### Why a (trivial) hierarchical IKE scheme from HIBE is insufficient.<sup>1</sup>

One may think that a hierarchical IKE scheme can be easily obtained from an arbitrary HIBE scheme. However, the resulting IKE scheme is insecure in our security model, which was first formalized in [21], since our security model captures the *strong* security notion. More specifically, a trivial construction is as follows. Let  $sk_I$  be a secret key for some identity  $I$  in HIBE, and  $hk_I^{(\ell)}$  be an  $\ell$ -th level helper key for  $I$  in  $\ell$ -level hierarchical IKE. We set  $sk_I$  as  $hk_I^{(\ell)}$ , and lower-level helper keys and decryption keys can be obtained from  $sk_I$  by regarding time periods as descendants' identities. However, it is easy to see that if the  $\ell$ -th level helper key (i.e.,  $sk_I$ ) is exposed, then an adversary can obtain all lower-level keys, and thus the resulting scheme does not meet the strong security. In fact, Bellare and Palacio [2] showed that secure (*not strongly secure*) PK-KIE is equivalent to IBE for a similar reason.

**Difficulties in constructing a constant-size IKE scheme from simple computational assumptions.** The main difficulty in constructing an IKE scheme is that an adversary can get various keys for a target identity  $I^*$ , whereas the adversary cannot get a secret key for  $I^*$  in (H)IBE. This point makes a construction methodology non-trivial. In fact, it seems difficult to apply the Waters dual-system IBE [33] (and its variant [26]) as the underlying IBE scheme of IKE schemes as follows. Technically, in their scheme each of secret keys and ciphertexts contains some random element,  $tag_K$  and  $tag_C$ , respectively. In the dual system encryption methodology, the challenge ciphertext and secret keys gradually turn into semi-functional forms. The tags are used in transition from  $G_{k-1}$  to  $G_k$ , where  $G_k$  denotes a security game that the first  $k$  secret keys issued to a secret-key extraction oracle are semi-functional.

<sup>1</sup> This fact was also mentioned in [21].

In the transition, some pairwise independent function is embedded into public parameters in advance to cancel inconvenient values to simulate the games. The tag  $tag_K$  of a secret key  $sk_{I_k}$  for  $k$ -th identity  $I_k$  issued to the oracle and the tag  $tag_C$  of the challenge ciphertext  $C_{I^*}^*$  for the target identity  $I^*$  are generated by inputting  $I_k$  and  $I^*$  into the pairwise independent function, respectively. Although it holds  $tag_K = tag_C$  if  $I_k = I^*$ , the proof works well since it is enough to generate only  $tag_K$  for all identities  $I \neq I^*$  and only  $tag_C$  for  $I^*$ . However, in the IKE setting, not only  $tag_C$  but also  $tag_K$  for  $I^*$  have to be generated since an adversary can get leaked decryption keys and helper keys for  $I^*$ , and hence, the proof does not go well. To overcome this challenging point, we set (the variant of) the Jutla-Roy IBE [23,27], which is another type of IBE schemes with constant-size public parameters (from simple assumptions), as the underlying scheme of our IKE scheme. Thus, we can realize the first constant-size IKE scheme under the SXDH assumption. Further, we can also obtain the hierarchical IKE scheme by extending the technique into the hierarchical setting.

**Refinement and improvement from the proceedings version [31].** We modify our main construction due to a security flaw of the previous construction in the proceeding version. Specifically, the modified construction provides a correct simulation of a  $KI$  oracle, which is an oracle that captures key exposure, in transition from  $Game_{k-1}$  to  $Game_k$ , where  $Game_k$  denotes a security game in which keys for the first  $k$  identities issued to oracles are semi-functional (for details, see the simulation of the  $KI$  oracle in Lemma 2, which shows the transition).

Moreover, we change the statement of Lemma 3, which is the final transition in the security proof, to make a reduction clearer. More specifically, we make a reduction to a computational problem in the lemma, whereas we made information-theoretic reduction in the proceedings version. Note that this change does not mean that the previous reduction is wrong.

Further, we newly propose hierarchical PK-KIE, which did not appear in the proceedings version, by extending our technique.

### 1.3 Paper Organization

In Section 2, we describe the notation used in this paper, asymmetric pairings, complexity assumptions, and functions which map time to discrete time periods. In Section 3, we give a model and security definition of hierarchical IKE. In Section 4, we propose a direct construction of our hierarchical IKE scheme, and give the efficiency comparison among our scheme and existing schemes. In Section 5, we show the security proof of our scheme. In Section 6, we show a CCA-secure hierarchical IKE scheme. In Section 7, we formalize and propose a hierarchical PK-KIE scheme. In Section 8, we conclude this paper.

	Jan.	Feb.	Mar.	Apr.	May	Jun.	Jul.	Aug.	Sep.	Oct.	Nov.	Dec.												
$\mathcal{T}_3$	$t_3^{(1)}$						$t_3^{(2)}$																	
$\mathcal{T}_2$	$t_2^{(1)}$		$t_2^{(2)}$		$t_2^{(3)}$		$t_2^{(4)}$		$t_2^{(5)}$		$t_2^{(6)}$													
$\mathcal{T}_1$	$t_1^{(1)}$	$t_1^{(2)}$	$t_1^{(3)}$	$t_1^{(4)}$	$t_1^{(5)}$	$t_1^{(6)}$	$t_1^{(7)}$	$t_1^{(8)}$	$t_1^{(9)}$	$t_1^{(10)}$	$t_1^{(11)}$	$t_1^{(12)}$												
$\mathcal{T}_0$	$t_0^{(1)}$	$t_0^{(2)}$	$t_0^{(3)}$	$t_0^{(4)}$	$t_0^{(5)}$	$t_0^{(6)}$	$t_0^{(7)}$	$t_0^{(8)}$	$t_0^{(9)}$	$t_0^{(10)}$	$t_0^{(11)}$	$t_0^{(12)}$	$t_0^{(13)}$	$t_0^{(14)}$	$t_0^{(15)}$	$t_0^{(16)}$	$t_0^{(17)}$	$t_0^{(18)}$	$t_0^{(19)}$	$t_0^{(20)}$	$t_0^{(21)}$	$t_0^{(22)}$	$t_0^{(23)}$	$t_0^{(24)}$
	↑ time																							

Fig. 1 Intuition of time-period map functions.

## 2 Preliminaries

**Notation.** In this paper, “probabilistic polynomial-time” is abbreviated as “PPT”. For a prime  $p$ , let  $\mathbb{Z}_p := \{0, 1, \dots, p-1\}$  and  $\mathbb{Z}_p^\times := \mathbb{Z}_p \setminus \{0\}$ . If we write  $(y_1, y_2, \dots, y_m) \leftarrow \mathcal{A}(x_1, x_2, \dots, x_n)$  for an algorithm  $\mathcal{A}$  having  $n$  inputs and  $m$  outputs, it means to input  $x_1, x_2, \dots, x_n$  into  $\mathcal{A}$  and to get the resulting output  $y_1, y_2, \dots, y_m$ . We write  $(y_1, y_2, \dots, y_m) \leftarrow \mathcal{A}^{\mathcal{O}}(x_1, x_2, \dots, x_n)$  to indicate that an algorithm  $\mathcal{A}$  that is allowed to access an oracle  $\mathcal{O}$  takes  $x_1, x_2, \dots, x_n$  as input and outputs  $(y_1, y_2, \dots, y_m)$ . If  $\mathcal{X}$  is a set, we write  $x \xleftarrow{\$} \mathcal{X}$  to mean the operation of picking an element  $x$  of  $\mathcal{X}$  uniformly at random. We use  $\lambda$  as a security parameter.  $\mathcal{M}$  and  $\mathcal{I}$  denote sets of plaintexts and IDs, respectively, which are determined by a security parameter  $\lambda$ . Throughout this paper, we consider asymmetric pairings (a detailed explanation is given in Appendix A.)

**Symmetric External Diffie-Hellman (SXDH) Assumption.** We give the definition of the decisional Diffie-Hellman (DDH) assumption in  $\mathbb{G}_1$  and  $\mathbb{G}_2$ , which are called the DDH1 and DDH2 assumptions, respectively.

Let  $\mathcal{A}$  be a PPT adversary and we consider  $\mathcal{A}$ 's advantage against the DDH $i$  problem ( $i = 1, 2$ ) as follows.

$$Adv_{\mathcal{G}, \mathcal{A}}^{\text{DDH}i}(\lambda) := \left| \Pr \left[ b' = b \mid \begin{array}{l} D := (p, \mathbb{G}_1, \mathbb{G}_2, \mathbb{G}_T, g_1, g_2, e) \leftarrow \mathcal{G}, \\ c_1, c_2 \xleftarrow{\$} \mathbb{Z}_p, b \xleftarrow{\$} \{0, 1\}, \\ \text{if } b = 0 \text{ then } T := g_i^{c_1 c_2}, \\ \text{else } T \xleftarrow{\$} \mathbb{G}_i, \\ b' \leftarrow \mathcal{A}(\lambda, D, g_1, g_2, g_i^{c_1}, g_i^{c_2}, T) \end{array} \right] - \frac{1}{2} \right|.$$

**Definition 1 (DDH $i$  Assumption)** The DDH $i$  assumption relative to a generator  $\mathcal{G}$  holds if for all PPT adversaries  $\mathcal{A}$ ,  $Adv_{\mathcal{G}, \mathcal{A}}^{\text{DDH}i}(\lambda)$  is negligible in  $\lambda$ .

**Definition 2 (SXDH Assumption)** We say that the SXDH assumption relative to a generator  $\mathcal{G}$  holds if both the DDH1 and DDH2 assumptions relative to  $\mathcal{G}$  hold.

**Time-period Map Functions.** In this paper, we deal with *several kinds of time periods*  $\mathcal{T}_0, \mathcal{T}_1, \dots, \mathcal{T}_{\ell-1}$  since we consider that update intervals of each level key are different. For example, in some practical applications, it might be

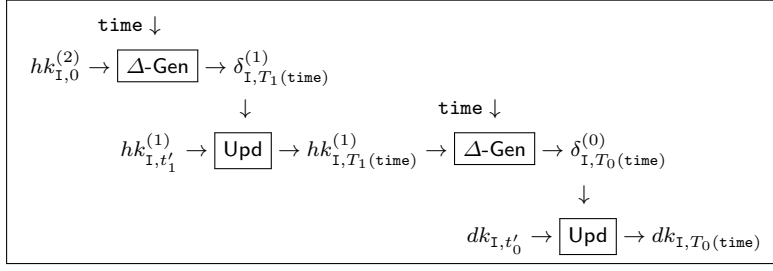
suitable that a decryption key (i.e. 0-th level key) and a 1-st level helper key should be updated every day and every month, respectively. To describe such different update intervals of each level key, we use a certain functions, which is called *time-period map functions*. This functions were also used in [21]. Now, let  $\mathcal{T}$  be a (possibly countably infinite) set of *time*, and  $\mathcal{T}_j$  ( $0 \leq j \leq \ell - 1$ ) be a finite set of *time periods*, where  $0 \notin \mathcal{T}_j$  for every  $j \in \{0, \dots, \ell - 1\}$ . We assume  $|\mathcal{T}_0| \geq |\mathcal{T}_1| \geq \dots \geq |\mathcal{T}_{\ell-1}|$ . This means that a lower-level key is updated more frequently than the higher-level keys. Then, we assume there exists a function  $T_j$  ( $0 \leq j \leq \ell - 1$ ) which map time  $\mathbf{time} \in \mathcal{T}$  to a time period  $t_j \in \mathcal{T}_j$ . For the understanding of readers, by letting  $\mathbf{time} = 14:00/5\text{th}/\text{Jan.}/2017$  and  $\ell := 4$ , we give an example in Figure 1 and below. For example, we have  $T_0(\mathbf{time}) = t_0^{(1)} = 1\text{st}-15\text{th}/\text{Jan.}/2018$ ,  $T_1(\mathbf{time}) = t_1^{(1)} = \text{Jan.}/2018$ ,  $T_2(\mathbf{time}) = t_2^{(1)} = \text{Jan.}-\text{Feb.}/2018$ , and  $T_3(\mathbf{time}) = t_3^{(1)} = \text{Jan.}-\text{Jun.}/2018$ . Namely, in this example, it is assumed that the decryption key, and 1-st, 2-nd, and 3-rd helper keys are updated every half a month, every month, every two months, and every half a year. Further, we can also define a function  $T_\ell$  such that  $T_\ell(\mathbf{time}) = 0$  for all  $\mathbf{time} \in \mathcal{T}$ , and let  $\mathcal{T}_\ell = \{0\}$ .

### 3 Identity-based Hierarchical Key-insulated Encryption

#### 3.1 The Model

In an  $\ell$ -level hierarchical IKE, a key generation center (KGC) generates an initial decryption key  $dk_{I,0}$  and  $\ell$  initial helper keys  $hk_{I,0}^{(1)}, hk_{I,0}^{(2)}, \dots, hk_{I,0}^{(\ell)}$  as a secret key for a user I. Suppose that all time-period map functions  $T_0, T_1, \dots, T_{\ell-1}$  are available to all users. The key-updating procedure when the user wants to get a decryption key at current time  $\mathbf{time} \in \mathcal{T}$  from the initial helper keys is as follows. The  $\ell$ -th level helper key  $hk_{I,0}^{(\ell)}$  is a long-term one and is never updated. First, the user generates *key update*  $\delta_{I,t_{\ell-1}}^{(\ell-1)}$  for the  $(\ell-1)$ -th level helper key from  $hk_{I,0}^{(\ell)}$  and a time period  $t_{\ell-1} := T_{\ell-1}(\mathbf{time}) \in \mathcal{T}_{\ell-1}$ . Then, the  $(\ell-1)$ -th level helper key  $hk_{I,0}^{(\ell-1)}$  can be updated by the key update  $\delta_{I,t_{\ell-1}}^{(\ell-1)}$ , and the user gets the helper key  $hk_{I,t_{\ell-1}}^{(\ell-1)}$  at the time period  $t_{\ell-1}$ . Similarly, the  $i$ -th level helper key  $hk_{I,t_i}^{(i)}$  at the time period  $t_i := T_i(\mathbf{time}) \in \mathcal{T}_i$  can be obtained from  $hk_{I,0}^{(i)}$  and  $\delta_{I,t_i}^{(i)}$ , where  $\delta_{I,t_i}^{(i)}$  is generated from the  $(i+1)$ -th level helper key  $hk_{I,t_{i+1}}^{(i+1)}$ . The user can finally get the decryption key  $dk_{I,t_0}$  at a time period  $t_0 := T_0(\mathbf{time}) \in \mathcal{T}_0$  from the 1-st level helper key  $hk_{I,T_1(\mathbf{time})}^{(1)}$ . Anyone can encrypt a plaintext  $M$  with the identity I and current time  $\mathbf{time}^*$ , and the user can decrypt the ciphertext  $C$  with his decryption key  $dk_{I,t_0}$  if and only if  $t_0 = T_0(\mathbf{time}^*)$ . At  $\mathbf{time}' \in \mathcal{T}$ , the user can update the time period of the decryption key from any time period  $t_0$  to  $t'_0 := T_0(\mathbf{time}') \in \mathcal{T}_0$  by using key update  $\delta_{I,T_0(\mathbf{time}')}^{(0)}$ . The key update  $\delta_{I,T_0(\mathbf{time}')}^{(0)}$  can be obtained from  $hk_{I,t'_1}^{(1)}$  if and only if  $t'_1 = T_1(\mathbf{time}')$ . If not, it is necessary to get  $\delta_{I,T_1(\mathbf{time}')}^{(1)}$  and





**Fig. 2** The updating procedure in the case  $\ell = 2$ .

update  $hk_{I,t'_1}^{(1)}$ . In this manner, the decryption and helper keys are updated. We give the updating procedure of a 2-level hierarchical IKE scheme in Fig. 2.

An  $\ell$ -level hierarchical IKE scheme  $\Pi_{\text{IKE}}$  consists of six-tuple algorithms (PGen, Gen,  $\Delta$ -Gen, Upd, Enc, Dec) defined as follows. For simplicity, we omit a public parameter in the input of all algorithms except for the PGen algorithm.

- $(pp, mk) \leftarrow \text{PGen}(\lambda, \ell)$ : A probabilistic algorithm for parameter generation. It takes a security parameter  $\lambda$  and the maximum hierarchy depth  $\ell$  as input, and outputs a public parameter  $pp$  and a master key  $mk$ .
- $(dk_{I,0}, hk_{I,0}^{(1)}, \dots, hk_{I,0}^{(\ell)}) \leftarrow \text{Gen}(mk, \mathbf{I})$ : An algorithm for user key generation. It takes  $mk$  and an identity  $\mathbf{I} \in \mathcal{I}$  as input, and outputs an initial secret key  $dk_{I,0}$  associated with  $\mathbf{I}$  and initial helper keys  $hk_{I,0}^{(1)}, \dots, hk_{I,0}^{(\ell)}$ , where  $hk_{I,0}^{(i)}$  ( $1 \leq i \leq \ell$ ) is assumed to be stored in user's  $i$ -th level private device.
- $\delta_{I,T_{i-1}}^{(i-1)}(\text{time})$  or  $\perp \leftarrow \Delta\text{-Gen}(hk_{I,t_i}^{(i)}, \text{time})$ : An algorithm for key update generation. It takes an  $i$ -th helper key  $hk_{I,t_i}^{(i)}$  at a time period  $t_i \in \mathcal{T}_i$  and current time  $\text{time}$  as input, and outputs key update  $\delta_{I,T_{i-1}}^{(i-1)}(\text{time})$  if  $t_i = T_i(\text{time})$ ; otherwise, it outputs  $\perp$ .
- $hk_{I,\tau_i}^{(i)} \leftarrow \text{Upd}(hk_{I,t_i}^{(i)}, \delta_{I,\tau_i}^{(i)})$ : A probabilistic algorithm for decryption key generation. It takes an  $i$ -th helper key  $hk_{I,t_i}^{(i)}$  at a time period  $t_i \in \mathcal{T}_i$  and key update  $\delta_{I,\tau_i}^{(i)}$  at a time period  $\tau \in \mathcal{T}_i$  as input, and outputs a renewal  $i$ -th helper key  $hk_{I,\tau_i}^{(i)}$  at  $\tau$ . Note that for any  $t_0 \in \mathcal{T}_0$ ,  $hk_{I,t_0}^{(0)}$  means  $dk_{I,t_0}$ .
- $\langle C, \text{time} \rangle \leftarrow \text{Enc}(\mathbf{I}, \text{time}, M)$ : A probabilistic algorithm for encryption. It takes an identity  $\mathbf{I}$ , current time  $\text{time}$ , and a plaintext  $M \in \mathcal{M}$  as input, and outputs a pair of a ciphertext and current time  $\langle C, \text{time} \rangle$ .
- $M$  or  $\perp \leftarrow \text{Dec}(dk_{I,t_0}, \langle C, \text{time} \rangle)$ : A deterministic algorithm for decryption. It takes  $dk_{I,t_0}$  and  $\langle C, \text{time} \rangle$  as input, and outputs  $M$  or  $\perp$ , where  $\perp$  indicates decryption failure.

In the above model, we assume that  $\Pi_{\text{IKE}}$  meets the following correctness property: For all  $\lambda$ , all  $\ell := \text{poly}(\lambda)$ , all  $(mk, pp) \leftarrow \text{PGen}(\lambda, \ell)$ , all  $M \in \mathcal{M}$ ,

all  $(dk_{\mathbf{I},0}, hk_{\mathbf{I},0}^{(1)}, \dots, hk_{\mathbf{I},0}^{(\ell)}) \leftarrow \text{Gen}(mk, \mathbf{I})$ , and all  $\mathbf{time} \in \mathcal{T}$ , it holds that  $M \leftarrow \text{Dec}(dk_{\mathbf{I},T_0(\mathbf{time})}, \text{Enc}(\mathbf{I}, \mathbf{time}, M))$ , where  $dk_{\mathbf{I},T_0(\mathbf{time})}$  is generated as follows: For  $i = \ell, \dots, 1$ ,  $hk_{\mathbf{I},T_{i-1}(\mathbf{time})}^{(i-1)} \leftarrow \text{Upd}(hk_{\mathbf{I},T_{i-1}}^{(i-1)}, \Delta\text{-Gen}(hk_{\mathbf{I},T_i(\mathbf{time})}^{(i)}, \mathbf{time}))$ , where some  $t_i \in \mathcal{T}_i$ . Note that  $hk_{\mathbf{I},T_0(\mathbf{time})}^{(0)} := dk_{\mathbf{I},T_0(\mathbf{time})}$ .

### 3.2 Security Definition

We consider a security notion for indistinguishability against key exposure and chosen plaintext attack for IKE (IND-KE-CPA). Let  $\mathcal{A}$  be a PPT adversary, and  $\mathcal{A}$ 's advantage against IND-KE-CPA security is defined by

$$\text{Adv}_{\Pi_{\text{IKE}}, \mathcal{A}}^{\text{KE-CPA}}(\lambda, \ell) := \left| \Pr \left[ b' = b \left| \begin{array}{l} (pp, mk) \leftarrow \text{PGen}(\lambda, \ell), \\ (M_0^*, M_1^*, \mathbf{I}^*, \mathbf{time}^*, state) \leftarrow \mathcal{A}^{KG(\cdot), KI(\cdot, \cdot, \cdot)}(\text{find}, pp), \\ b \xleftarrow{\$} \{0, 1\}, C^* \leftarrow \text{Enc}(\mathbf{I}^*, \mathbf{time}^*, M_b^*), \\ b' \leftarrow \mathcal{A}^{KG(\cdot), KI(\cdot, \cdot, \cdot)}(\text{guess}, C^*, state) \end{array} \right. \right] - \frac{1}{2} \right|.$$

where  $KG(\cdot)$  and  $KI(\cdot, \cdot, \cdot)$  are defined as follows.

$KG(\cdot)$ : For a query  $\mathbf{I} \in \mathcal{I}$ , it stores and returns  $(dk_{\mathbf{I},0}, hk_{\mathbf{I},0}^{(1)}, \dots, hk_{\mathbf{I},0}^{(\ell)})$  by running  $\text{Gen}(mk, \mathbf{I})$ .

$KI(\cdot, \cdot, \cdot)$ : For a query  $(i, \mathbf{I}, \mathbf{time}) \in \{0, 1, \dots, \ell\} \times \mathcal{I} \times \mathcal{T}$ , it returns  $hk_{\mathbf{I},T_i(\mathbf{time})}^{(i)}$  by running  $\delta_{\mathbf{I},T_{j-1}(\mathbf{time})}^{(j-1)} \leftarrow \Delta\text{-Gen}(hk_{\mathbf{I},T_j(\mathbf{time})}^{(j)}, \mathbf{time})$  and  $hk_{\mathbf{I},T_{j-1}(\mathbf{time})}^{(j-1)} \leftarrow \text{Upd}(hk_{\mathbf{I},t}^{(j-1)}, \delta_{\mathbf{I},T_{j-1}(\mathbf{time})}^{(j-1)})$  for  $j = \ell, \dots, i+1$  (if  $(dk_{\mathbf{I},0}, hk_{\mathbf{I},0}^{(1)}, \dots, hk_{\mathbf{I},0}^{(\ell)})$  is not stored, it first generates and stores them by running  $\text{Gen}$ ).

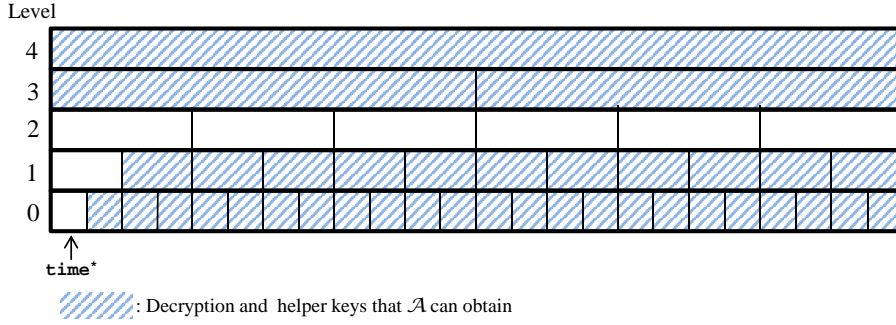
$\mathbf{I}^*$  is never issued to the  $KG$  oracle.  $\mathcal{A}$  can issue any queries  $(i, \mathbf{I}, \mathbf{time})$  to the  $KI$  oracle if there exists at least one *special level*  $j \in \{0, 1, \dots, \ell\}$  such that

1. For any  $\mathbf{time} \in \mathcal{T}$ ,  $(j, \mathbf{I}^*, \mathbf{time})$  is never issued to  $KI$ .
2. For any  $(i, \mathbf{time}) \in \{0, 1, \dots, j-1\} \times \mathcal{T}$  such that  $T_i(\mathbf{time}) = T_i(\mathbf{time}^*)$ ,  $(i, \mathbf{I}^*, \mathbf{time})$  is never issued to  $KI$ .

In Figure 3, we give intuition of keys that  $\mathcal{A}$  can obtain by issuing to the  $KI$  oracle. In this example, let  $\ell = 4$  and a special level  $j = 2$ .

**Definition 3** (IND-KE-CPA [21]) An  $\ell$ -level hierarchical IKE scheme  $\Pi_{\text{IKE}}$  is said to be IND-KE-CPA secure if  $\text{Adv}_{\Pi_{\text{IKE}}, \mathcal{A}}^{\text{KE-CPA}}(\lambda, \ell)$  is negligible in  $\lambda$  for all PPT adversaries  $\mathcal{A}$ .

*Remark 1* As also noted in [21], there is no need to consider *key update exposure* explicitly (i.e. no need to consider an oracle which returns any key update as much as possible) since in the above definition,  $\mathcal{A}$  can get such key update from helper keys obtained from the  $KI$  oracle.



**Fig. 3** Pictorial representation of secret keys for  $I^*$  that  $\mathcal{A}$  can obtain by issuing to  $KI$ .

*Remark 2* As explained in Section 1, in key-insulated cryptography including the public key setting [2, 14, 19] and the identity-based setting [21, 34, 35], two kinds of security notions have been traditionally considered: standard security and *strong* security. In most of previous works [2, 14, 19–21, 24, 34, 35], authors have considered how their scheme could achieve the strong security. We note that IND-KE-CPA security actually includes the strong security, and the fact is easily checked by setting  $\ell = 1$ .

By modifying the above IND-KE-CPA game so that  $\mathcal{A}$  can access to the decryption oracle  $Dec(\cdot, \cdot)$ , which receives  $(I, \langle C, \mathbf{time} \rangle)$  and returns  $M$  or  $\perp$ , we can also define indistinguishability against key exposure and chosen ciphertext attack for IKE (IND-KE-CCA).  $\mathcal{A}$  is not allowed to issue  $(I^*, \langle C^*, \mathbf{time} \rangle)$  such that  $T_0(\mathbf{time}) = T_0(\mathbf{time}^*)$  to  $Dec$ . Let  $Adv_{II_{IKE}, \mathcal{A}}^{KE-CCA}(\lambda, \ell)$  be  $\mathcal{A}$ 's advantage against IND-KE-CCA security.

**Definition 4** (IND-KE-CCA [21]) An  $\ell$ -level hierarchical IKE scheme  $II_{IKE}$  is said to be IND-KE-CCA secure if  $Adv_{II_{IKE}, \mathcal{A}}^{KE-CCA}(\lambda, \ell)$  is negligible in  $\lambda$  for all PPT adversaries  $\mathcal{A}$ .

#### 4 Our Construction

Our basic idea is a combination of (the variant of) the Jutla-Roy HIBE [23, 27] and threshold secret sharing schemes [4, 29]. We prepare two secrets  $B^{(x)}$  and  $B^{(y)}$ . Each secret  $B^{(j)}$  ( $j \in \{x, y\}$ ) is divided into  $\ell$  shares  $\beta_0^{(j)}, \dots, \beta_{\ell-1}^{(j)}$ , and both the secrets and shares are used in exponent of a generator  $g_2 \in \mathbb{G}_2$ .  $B^{(x)}$  and  $B^{(y)}$  are embedded into the exponent of a (first-level) secret key for  $I$  of the Jutla-Roy HIBE, and the resulting key is used as an  $\ell$ -th level initial helper key  $hk_{I,0}^{(\ell)}$ . Roughly speaking,  $B^{(x)}$  and  $B^{(y)}$  work as “noises”. Other initial helper keys  $hk_{I,0}^{(i)}$  ( $1 \leq i \leq \ell - 1$ ) and an initial decryption key  $dk_{I,0}$  contain  $(g_2^{-\beta_i^{(x)}}, g_2^{-\beta_i^{(y)}})$  and  $(g_2^{-\beta_0^{(x)}}, g_2^{-\beta_0^{(y)}})$ , respectively. As keys are generated for lower levels, shares are eliminated from the noises  $B^{(x)}$  and  $B^{(y)}$ ,

respectively, and finally the noises are entirely removed when generating (or updating) a decryption key. Intuitively, since there exists at least one special level  $j \in \{0, 1, \dots, \ell\}$  in which any secret keys are never exposed, an adversary cannot get all shares  $(\beta_i^{(x)}, \beta_i^{(y)})$ . Hence, he cannot generate valid decryption keys that can decrypt the challenge ciphertext for  $\mathbf{I}^*$  at  $\mathbf{time}^*$ .

An  $\ell$ -level hierarchical IKE scheme  $\Pi_{\text{IKE}} = (\text{PGen}, \text{Gen}, \Delta\text{-Gen}, \text{Upd}, \text{Enc}, \text{Dec})$  is constructed as follows.

- **PGen**( $\lambda, \ell$ ): It runs  $(\mathbb{G}_1, \mathbb{G}_2, \mathbb{G}_T, p, g_1, g_2, e) \leftarrow \mathcal{G}$ , and chooses  $x_0, y_0, \{(x_{1,j}, y_{1,j})\}_{j=0}^{\ell}, x_2, y_2, x_3, y_3 \xleftarrow{\$} \mathbb{Z}_p$  and  $\alpha \xleftarrow{\$} \mathbb{Z}_p^\times$ , and sets

$$z = e(g_1, g_2)^{-x_0\alpha + y_0}, \quad u_{1,j} := g_1^{-x_{1,j}\alpha + y_{1,j}} \quad (0 \leq j \leq \ell),$$

$$w_1 := g_1^{-x_2\alpha + y_2}, \quad h_1 := g_1^{-x_3\alpha + y_3}.$$

It outputs

$$pp := (g_1, g_1^\alpha, \{u_{1,j}\}_{j=0}^{\ell}, w_1, h_1, g_2, \{(g_2^{x_{1,j}}, g_2^{y_{1,j}})\}_{j=0}^{\ell}, g_2^{x_2}, g_2^{x_3}, g_2^{y_2}, g_2^{y_3}, z),$$

$$mk := (x_0, y_0).$$

- **Gen**( $mk, \mathbf{I}$ ): It chooses  $\beta_0^{(x)}, \dots, \beta_{\ell-1}^{(x)}, \beta_0^{(y)}, \dots, \beta_{\ell-1}^{(y)}, r \xleftarrow{\$} \mathbb{Z}_p$ , and let  $B^{(j)} := \sum_{i=0}^{\ell-1} \beta_i^{(j)}$  for  $j \in \{x, y\}$ . It computes

$$R_j^{(y)} := g_2^{-\beta_j^{(y)}} \quad (0 \leq j \leq \ell - 1), \quad R_j^{(x)} := g_2^{\beta_j^{(x)}} \quad (0 \leq j \leq \ell - 1),$$

$$D_y := (g_2^{y_2})^r, \quad D'_y := g_2^{y_0 + B^{(y)}} \left( (g_2^{y_{1,\ell}})^{\mathbf{I}} g_2^{y_3} \right)^r,$$

$$D_x := (g_2^{x_2})^{-r}, \quad D'_x := g_2^{-x_0 - B^{(x)}} \left( (g_2^{x_{1,\ell}})^{\mathbf{I}} g_2^{x_3} \right)^{-r},$$

$$D := g_2^r,$$

$$K_j^{(y)} := (g_2^{y_{1,j}})^r \quad (0 \leq j \leq \ell - 1), \quad K_j^{(x)} := (g_2^{x_{1,j}})^{-r} \quad (0 \leq j \leq \ell - 1).$$

It outputs

$$dk_{\mathbf{I},0} := (R_0^{(y)}, R_0^{(x)}),$$

$$hk_{\mathbf{I},0}^{(i)} := (R_i^{(y)}, R_i^{(x)}) \quad (1 \leq i \leq \ell - 1),$$

$$hk_{\mathbf{I},0}^{(\ell)} := (D_y, D'_y, D_x, D'_x, D, \{(K_j^{(y)}, K_j^{(x)})\}_{j=0}^{\ell-1}).$$

- $\Delta\text{-Gen}(hk_{\mathbf{I},t_i}^{(i)}, \mathbf{time})$ : If  $t_i \neq T_i(\mathbf{time})$ , it outputs  $\perp$ .<sup>2</sup> Otherwise, parse  $hk_{\mathbf{I},t_i}^{(i)}$  as  $(R_i^{(y)}, R_i^{(x)}, D_y, D'_y, D_x, D'_x, D, \{(K_j^{(y)}, K_j^{(x)})\}_{j=0}^{i-1})$ .<sup>3</sup> It chooses  $\hat{r} \leftarrow \mathbb{Z}_p$ ,

<sup>2</sup> This means that initial helper keys  $hk_{\mathbf{I},0}^{(\ell-1)}, \dots, hk_{\mathbf{I},0}^{(2)}, hk_{\mathbf{I},0}^{(1)}$  must be updated by  $hk_{\mathbf{I},0}^{(\ell)}$  first and foremost since  $0 \notin T_i$  for every  $i \in \{0, 1, \dots, \ell - 1\}$ .

<sup>3</sup> In the case  $i = \ell$ ,  $R_i^{(y)}$  and  $R_i^{(x)}$  mean empty strings, namely we have  $hk_{\mathbf{I},0}^{(\ell)} := (D_y, D'_y, D_x, D'_x, D, \{(K_j^{(y)}, K_j^{(x)})\}_{j=0}^{\ell-1})$ .

and let  $t_j := T_j(\mathbf{time})$  ( $i-1 \leq j \leq \ell-1$ ). It computes

$$\begin{aligned} \hat{d}_y &:= D_y(g_2^{y_2})^{\hat{r}}, \quad \hat{d}'_y := D'_y(K_{i-1}^{(y)})^{t_{i-1}} \left( (g_2^{y_{1,\ell}})^{\mathbf{I}} \prod_{j=i-1}^{\ell-1} ((g_2^{y_{1,j}})^{t_j}) g_2^{y_3} \right)^{\hat{r}}, \\ \hat{d}_x &:= D_x(g_2^{x_2})^{-\hat{r}}, \quad \hat{d}'_x := D'_x(K_{i-1}^{(x)})^{t_{i-1}} \left( (g_2^{x_{1,\ell}})^{\mathbf{I}} \prod_{j=i-1}^{\ell-1} ((g_2^{x_{1,j}})^{t_j}) g_2^{x_3} \right)^{-\hat{r}}, \\ \hat{d} &:= Dg_2^{\hat{r}}, \\ \hat{k}_j^{(y)} &:= K_j^{(y)}(g_2^{y_{1,j}})^{\hat{r}} \quad (0 \leq j \leq i-2), \quad \hat{k}_j^{(x)} := K_j^{(x)}(g_2^{x_{1,j}})^{-\hat{r}} \quad (0 \leq j \leq i-2). \end{aligned}$$

It outputs  $\delta_{\mathbf{I}, t_{i-1}}^{(i-1)} := (\hat{d}_y, \hat{d}'_y, \hat{d}_x, \hat{d}'_x, \hat{d}, \{\hat{k}_j^{(y)}, \hat{k}_j^{(x)}\}_{j=0}^{i-2})$ .<sup>4</sup>

- $\text{Upd}(hk_{\mathbf{I}, t_i}^{(i)}, \delta_{\mathbf{I}, \tau_i}^{(i)})$ : Parse  $hk_{\mathbf{I}, t_i}^{(i)}$  and  $\delta_{\mathbf{I}, \tau_i}^{(i)}$  as  $(R_i^{(y)}, R_i^{(x)}, D_y, D'_y, D_x, D'_x, D, \{(K_j^{(y)}, K_j^{(x)})\}_{j=0}^{i-1})$  and  $(\hat{d}_y, \hat{d}'_y, \hat{d}_x, \hat{d}'_x, \hat{d}, \{\hat{k}_j^{(y)}, \hat{k}_j^{(x)}\}_{j=0}^{i-1})$ , respectively. It outputs  $hk_{\mathbf{I}, \tau_i}^{(i)} := (\hat{R}_i^{(y)}, \hat{R}_i^{(x)}, \hat{D}_y, \hat{D}'_y, \hat{D}_x, \hat{D}'_x, \hat{D}, \{(\hat{K}_j^{(y)}, \hat{K}_j^{(x)})\}_{j=0}^{i-1}) = (R_i^{(y)}, R_i^{(x)}, \hat{d}_y, \hat{d}'_y, R_i^{(y)}, \hat{d}_x, \hat{d}'_x, R_i^{(x)}, \hat{d}, \{\hat{k}_j^{(y)}, \hat{k}_j^{(x)}\}_{j=0}^{i-1})$ .
- $\text{Enc}(\mathbf{I}, \mathbf{time}, M)$ : It chooses  $s, \mathbf{tag} \xleftarrow{\$} \mathbb{Z}_p$ . For  $M \in \mathbb{G}_T$ , it computes

$$C_M := Mz^s, \quad C_y := g_1^s, \quad C_x := (g_1^\alpha)^s, \quad C_{\mathbf{I}, \mathbf{time}} := \left( \prod_{j=0}^{\ell-1} (u_{1,j}^{t_j}) u_{1,\ell}^{\mathbf{I}} w_1^{\mathbf{tag}} h_1 \right)^s,$$

where  $t_j := T_j(\mathbf{time})$  ( $0 \leq j \leq \ell-1$ ). It outputs  $C := (C_M, C_y, C_x, C_{\mathbf{I}, \mathbf{time}}, \mathbf{tag})$ .

- $\text{Dec}(dk_{\mathbf{I}, t_0}, (C, \mathbf{time}))$ : If  $t_0 \neq T_0(\mathbf{time})$ , then it outputs  $\perp$ . Otherwise, parse  $dk_{\mathbf{I}, t_0}$  and  $C$  as  $(R_0^{(y)}, R_0^{(x)}, D_y, D'_y, D_x, D'_x, D)$  and  $(C_M, C_y, C_x, C_{\mathbf{I}, \mathbf{time}}, \mathbf{tag})$ , respectively. It computes

$$M = \frac{C_M e(C_{\mathbf{I}, \mathbf{time}}, D)}{e(C_y, D_y^{\mathbf{tag}} D'_y) e(C_x, D_x^{\mathbf{tag}} D'_x)}.$$

We show the correctness of our  $\Pi_{\text{IKE}}$ . Suppose that  $r$  denotes internal randomness of  $hk_{\mathbf{I}, 0}^{(\ell)}$ , which are generated when running  $\text{Gen}(mk, \mathbf{I})$ , and  $r^{(j)}$  denotes internal randomness of  $\delta_{\mathbf{I}, \mathbf{I}, t_{j-1}}^{(j-1)}$  ( $1 \leq j \leq \ell$ ), which is generated when running  $\Delta\text{-Gen}(hk_{\mathbf{I}, t_j}^{(j)}, \mathbf{time})$ . Then we can write  $dk_{\mathbf{I}, \tau_0} := (R_0^{(y)}, R_0^{(x)}, D_y, D'_y, D_x, D'_x, D)$  as

$$\begin{aligned} D_y &:= g_2^{y_2 \tilde{r}}, \quad D'_y := g_2^{y_0 + \tilde{r}(\mathbf{I}y_{1,\ell} + \sum_{j=0}^{\ell-1} (t_j y_{1,j}) + y_3)}, \\ D_x &:= g_2^{x_2 \tilde{r}}, \quad D'_x := g_2^{-x_0 - \tilde{r}(\mathbf{I}x_{1,\ell} + \sum_{j=0}^{\ell-1} (t_j x_{1,j}) + x_3)}, \quad D := g_2^{\tilde{r}}, \end{aligned}$$

where  $\tilde{r} := r + \sum_{i=1}^{\ell} r^{(i)}$ .

<sup>4</sup> In the case  $i=1$ ,  $\{\hat{k}_j^{(y)}, \hat{k}_j^{(x)}\}_{j=0}^{\ell-1}$  means an empty string, namely we have  $\delta_{\mathbf{I}, t_0}^{(0)} := (\hat{d}_y, \hat{d}'_y, \hat{d}_x, \hat{d}'_x, \hat{d})$ .

**Table 1** Parameters evaluation of our  $\ell$ -level hierarchical IKE scheme.

$\mathbb{G}_1$ ,  $\mathbb{G}_2$ , and  $\mathbb{G}_T$  are cyclic groups of order  $p$ , and  $|\mathbb{G}_1|$ ,  $|\mathbb{G}_2|$ , and  $|\mathbb{G}_T|$  denote the bit-length of a group element in  $\mathbb{G}_1$ ,  $\mathbb{G}_2$ , and  $\mathbb{G}_T$ , respectively.  $|\mathbb{Z}_p|$  also denotes the bit-length of an element in  $\mathbb{Z}_p$ .  $\#pp$ ,  $\#dk$ ,  $\#hk_\ell$ ,  $\#hk_i$ , and  $\#C$  denote sizes of public parameters, decryption keys,  $\ell$ -th level helper keys,  $i$ -th level helper keys ( $1 \leq i \leq \ell - 1$ ), and ciphertexts, respectively. In computational cost analysis,  $[\cdot, \cdot, \cdot, \cdot]$  means the number of [pairing, multi-exponentiation, regular exponentiation, fixed-based exponentiation]. For comparison we mention that relative costs for the various operations are as follows: [pairing  $\approx 5$ , multi-exp  $\approx 1.5$ , regular-exp = 1, fixed-based-exp  $\ll 0.2$ ].

$\#pp$	$\#dk$	$\#hk_\ell$	$\#hk_i$
$(\ell + 5) \mathbb{G}_1  + (2\ell + 7) \mathbb{G}_2  +  \mathbb{G}_T $	$7 \mathbb{G}_2 $	$5 \mathbb{G}_2 $	$(2i + 7) \mathbb{G}_2 $
$\#C$	Enc. Cost	Dec. Cost	Assumption
$3 \mathbb{G}_1  +  \mathbb{G}_T  +  \mathbb{Z}_p $	$[0, 0, \ell + 4, 1]$	$[3, 0, 2, 0]$	SXDH

Suppose that  $dk_{I,t_0} = (R_0^{(y)}, R_0^{(x)}, D_y, D'_y, D_x, D'_x, D)$  and  $C = (C_M, C_y, C_x, C_{I,\text{time}}, \text{tag})$  are correctly generated. Then, we have

$$\begin{aligned}
& \frac{C_M e(C_{I,\text{time}}, D)}{e(C_y, D_y^{\text{tag}} D'_y) e(C_x, D_x^{\text{tag}} D'_x)} \\
&= Me(g_1, g_2)^{(-x_0\alpha + y_0)s} \\
& \frac{e(g_1^{s(\sum_{j=0}^{\ell-1} t_j(-x_{1,j}\alpha + y_{1,j}) + I(-x_{1,\ell}\alpha + y_{1,\ell}) + \text{tag}(-x_2\alpha + y_2) - x_3\alpha + y_3)}, g_2^{\tilde{r}})}{e(g_1^s, g_2^{y_2\tilde{r}\text{tag} + y_0 + \tilde{r}(Iy_{1,\ell} + \sum_{j=0}^{\ell-1} (t_j y_{1,j}) + y_3))} e(g_1^{\alpha s}, g_2^{-x_2\tilde{r}\text{tag} - x_0 - \tilde{r}(Ix_{1,\ell} + \sum_{j=0}^{\ell-1} (t_j x_{1,j}) + x_3)})} \\
&= Me(g_1, g_2)^{(-x_0\alpha + y_0)s} \frac{1}{e(g_1^s, g_2^{y_0}) e(g_1^{\alpha s}, g_2^{-x_0})} = M.
\end{aligned}$$

We obtain the following theorem. The proof is postponed to Section 5.

**Theorem 1** *If the SXDH assumption holds, then the resulting  $\ell$ -level hierarchical IKE scheme  $\Pi_{\text{IKE}}$  is IND-KE-CPA secure.*

#### 4.1 Parameters Evaluation and Comparison

First, we show the parameter sizes and computational costs of our hierarchical IKE scheme in Table 1.

Also, an efficiency comparison between our IKE scheme and the existing IKE schemes [21, 35] is given in Table 2. In fact, the WLC+08 scheme [35] has the threshold property and does not have a hierarchical structure, and therefore, we set the threshold value is one in the WLC+08 scheme and the hierarchy depth is one in the HHSIO5 scheme [21] and our scheme for the fair comparison. The HHSIO5 scheme meets the IND-KE-CCA security, however the scheme is secure only in the random oracle model (ROM). Both the WLC+08

**Table 2** Efficiency comparison between our construction and existing schemes.

The notation used here is almost the same as that in Table 1.  $\#hk$  denotes the helper-key size, and  $|\mathbb{G}_p|$  denotes the bit-length of a group element in a source group  $\mathbb{G}_p$  in the symmetric setting.  $|M|$  denotes the bit-length of plaintexts.  $r$  is a randomness that depends on the security parameter, and  $|r|$  denotes its bit-length.  $n$  denotes the bit-length of identities in the scheme.

Scheme	$\#pp$	$\#dk$	$\#hk$	$\#C$
HHSI05 [21] ( $\ell = 1$ )	$2 \mathbb{G}_p $	$3 \mathbb{G}_p $	$ \mathbb{G}_p $	$3 \mathbb{G}_p  +  M  +  r $
WLC+08 [35]	$(2n + 5) \mathbb{G}_p $	$4 \mathbb{G}_p $	$2 \mathbb{G}_p $	$3 \mathbb{G}_p  +  \mathbb{G}_T $
Ours ( $\ell = 1$ )	$6 \mathbb{G}_1  + 9 \mathbb{G}_2  +  \mathbb{G}_T $	$7 \mathbb{G}_2 $	$5 \mathbb{G}_2 $	$3 \mathbb{G}_1  +  \mathbb{G}_T  +  \mathbb{Z}_p $

Scheme	Enc. Cost	Dec. Cost	Assumption
HHSI05 [21] ( $\ell = 1$ )	[1, 0, 2, 1]	[4, 0, 2, 1]	CBDH (in ROM)
WLC+08 [35]	[0, 1, 3, 1]	[3, 0, 0, 0]	DBDH
Ours ( $\ell = 1$ )	[0, 0, 5, 1]	[3, 0, 2, 0]	SXDH

scheme and ours meet the IND-KE-CPA security in the standard model (i.e. without random oracles). Although assumptions behind these schemes (i.e. the computational bilinear Diffie-Hellman (CBDH), decisional bilinear Diffie-Hellman (DBDH),<sup>5</sup> and SXDH assumptions) are different, they all are static and simple. We emphasize that the threshold structure does not strengthen the underlying DBDH assumption of the WLC+08 scheme since the structure was realized via only threshold secret sharing techniques [4, 29]. Note that we do not take into account the parallel IKE scheme [34] since the model of the scheme is slightly different from those of the above schemes. However, the public-parameter size of the parallel IKE scheme also depends on the size of its identity space, and we mention that this is due to the underlying Waters IBE [32], not due to the parallel property.

As can be seen, we first achieve the IKE scheme with constant-size parameters in the standard model. Again, we also get the first IKE scheme in the hierarchical setting without random oracles.

## 5 Proof of Security

We describe how semi-functional ciphertexts and secret keys are generated as follows.

Semi-functional Ciphertext: Parse a normal ciphertext  $C$  as  $(C_M, C_y, C_x, C_{\mathbf{I,time}}, \mathbf{tag})$ . A semi-functional ciphertext  $\tilde{C} := (\tilde{C}_M, \tilde{C}_y, \tilde{C}_x, \tilde{C}_{\mathbf{I,time}}, \mathbf{tag})$  is computed as follows:

$$\tilde{C}_M := C_M e(g_1, g_2)^{-x_0 \mu} = Me(g_1, g_2)^{-x_0(\alpha s + \mu) + y_0 s},$$

<sup>5</sup> The formal definitions of the CBDH and DBDH assumptions are given in Appendix A.

$$\begin{aligned}
\tilde{C}_y &:= C_y, \\
\tilde{C}_x &:= C_x g_1^\mu = g_1^{\alpha s + \mu}, \\
\tilde{C}_{\mathbf{I}, \text{time}} &:= C_{\mathbf{I}, \text{time}} \left( (g_1^{x_{1, \ell}})^{\mathbf{I}} \prod_{j=0}^{\ell-1} ((g_1^{x_{1, j}})^{t_j}) (g_1^{x_2})^{\text{tag}} g_1^{x_3} \right)^{-\mu} \\
&= C_{g_1}^{-\mu(\mathbf{I}x_{1, \ell} + \sum_{j=0}^{\ell-1} (t_j x_{1, j}) + x_2 \text{tag} + x_3)} \\
&= g_1^{-(\alpha s + \mu)(\mathbf{I}x_{1, \ell} + \sum_{j=0}^{\ell-1} (t_j x_{1, j}) + x_2 \text{tag} + x_3)} g_1^{s(\mathbf{I}y_{1, \ell} + \sum_{j=0}^{\ell-1} (t_j y_{1, j}) + y_2 \text{tag} + y_3)},
\end{aligned}$$

and  $\widetilde{\text{tag}} := \text{tag}$ , where  $\mu \stackrel{\$}{\leftarrow} \mathbb{Z}_p^*$ .

**Semi-functional Decryption and Helper Key:** Parse a normal helper key  $hk_{\mathbf{I}, t_i}^{(i)}$  as  $(R_i^{(y)}, R_i^{(x)}, D_y, D'_y, D_x, D'_x, D, \{(K_j^{(y)}, K_j^{(x)})\}_{j=0}^{i-1})$ . A semi-functional helper key  $\widetilde{hk}_{\mathbf{I}, t_i}^{(i)} := (\tilde{R}_i^{(y)}, \tilde{R}_i^{(x)}, \tilde{D}_y, \tilde{D}'_y, \tilde{D}_x, \tilde{D}'_x, \tilde{D}, \{(\tilde{K}_j^{(y)}, \tilde{K}_j^{(x)})\}_{j=0}^{i-1})$  is computed as follows:  $\tilde{R}_i^{(y)} := R_i^{(y)}$ ,  $\tilde{R}_i^{(x)} := R_i^{(x)}$ ,

$$\begin{aligned}
\tilde{D}_y &:= D_y g_2^\gamma = g_2^{y_2 r + \gamma}, \\
\tilde{D}'_y &:= D'_y g_2^{\gamma \phi} = g_2^{y_0 + \sum_{j=0}^{i-1} \beta_j^{(y)} + r(\mathbf{I}y_{1, \ell} + \sum_{j=i}^{\ell-1} (t_j y_{1, j}) + y_3) + \gamma \phi}, \\
\tilde{D}_x &:= D_x g_2^{-\frac{\gamma}{\alpha}} = g_2^{-r x_2 - \frac{\gamma}{\alpha}}, \\
\tilde{D}'_x &:= D'_x g_2^{-\frac{\gamma \phi}{\alpha}} = g_2^{-x_0 - \sum_{j=0}^{i-1} \beta_j^{(x)} - r(\mathbf{I}x_{1, \ell} + \sum_{j=i}^{\ell-1} (t_j x_{1, j}) + x_3) - \frac{\gamma \phi}{\alpha}}, \\
\tilde{D} &:= D, \\
\tilde{K}_j^{(y)} &:= K_j^{(y)} g_2^{\gamma \phi_j} = g_2^{r y_{1, j} + \gamma \phi_j} \quad (0 \leq j \leq i-1), \\
\tilde{K}_j^{(x)} &:= K_j^{(x)} g_2^{-\frac{\gamma \phi_j}{\alpha}} = g_2^{-r x_{1, j} - \frac{\gamma \phi_j}{\alpha}} \quad (0 \leq j \leq i-1),
\end{aligned}$$

where  $\phi, \{\phi_j\}_{j=0}^{i-1} \stackrel{\$}{\leftarrow} \mathbb{Z}_p$  and  $\gamma \stackrel{\$}{\leftarrow} \mathbb{Z}_p^*$ . Note that  $hk_{\mathbf{I}, t_0}^{(0)}$  means  $dk_{\mathbf{I}, t_0}$  for any  $t_0 \in \mathcal{T}_0$ . In particular,  $\widetilde{hk}_{\mathbf{I}, t_0}^{(0)}$  ( $= \widetilde{dk}_{\mathbf{I}, t_0}$ ) is called a semi-functional decryption key. We also note that in order to generate the semi-functional decryption or helper key,  $g_2^{\frac{1}{\alpha}}$  is needed in addition to the public parameter.

A semi-functional ciphertext can be decrypted with a normal key. This fact can be easily checked by

$$\frac{e(g_1, g_2)^{-x_0 \mu} e(g_1^{-\mu(\mathbf{I}x_{1, \ell} + \sum_{j=0}^{\ell-1} (t_j x_{1, j}) + x_2 \text{tag} + x_3)}, D)}{e(g_1^\mu, D_x^{\text{tag}} D'_x)} = 1.$$

Also, a normal ciphertext can be decrypted with a semi-functional decryption key since it holds

$$e(C_y, g_2^{\gamma \text{tag}} g_2^{\gamma \phi}) e(C_x, g_2^{-\frac{\gamma}{\alpha} \text{tag}} g_2^{-\frac{\gamma \phi}{\alpha}}) = 1.$$

A helper or decryption key obtained by running the  $\Delta$ -Gen and Upd algorithms with a semi-functional helper key is also semi-functional.



*Proof (of Theorem 1)* Based on [23,27], we prove the theorem through a sequence of games. We first define the following games:

- Game<sub>Real</sub>**: This is the same as the IND-KE-CPA game described in Section 3.  
**Game<sub>0</sub>**: This is the same as **Game<sub>Real</sub>** except that the challenge ciphertext is semi-functional.  
**Game<sub>k</sub>** ( $1 \leq k \leq q$ ): This is the same as **Game<sub>0</sub>** except for the following modification: Let  $q$  be the maximum number of identities issued to the  $KG$  or  $KI$  oracles, and  $\mathbf{I}_i$  ( $1 \leq i \leq q$ ) be an  $i$ -th identity issued to the oracles. If queries regarding the first  $k$  identities  $\mathbf{I}_1, \dots, \mathbf{I}_k$  are issued, then semi-functional decryption and/or helper keys are returned. The rest of keys (i.e., keys regarding  $\mathbf{I}_{k+1}, \dots, \mathbf{I}_q$ ) are normal.  
**Game<sub>Final</sub>**: This is the same as **Game<sub>q</sub>** except that the challenge ciphertext is a semi-functional one of a random element of  $\mathbb{G}_T$ .

Let  $S_{\text{Real}}, S_k$  ( $0 \leq k \leq q$ ), and  $S_{\text{Final}}$  be the probabilities that the event  $b' = b$  occurs in **Game<sub>Real</sub>**, **Game<sub>k</sub>**, and **Game<sub>Final</sub>**, respectively. Then, we have

$$\text{Adv}_{\Pi_{\text{KE}}, \mathcal{A}}^{\text{KE-CPA}}(\lambda, \ell) \leq |S_{\text{Real}} - S_0| + \sum_{i=1}^q |S_{i-1} - S_i| + |S_q - S_{\text{Final}}| + |S_{\text{Final}} - \frac{1}{2}|.$$

The rest of the proof follows from the following lemmas.

**Lemma 1** *If the DDH1 assumption holds, then it holds that  $|S_{\text{Real}} - S_0| \leq 2\text{Adv}_{\mathcal{G}, \mathcal{B}}^{\text{DDH1}}(\lambda)$ .*

*Proof* At the beginning, a PPT adversary  $\mathcal{B}$  receives an instance  $(g_1, g_1^{c_1}, g_1^{c_2}, g_2, T)$  of the DDH1 problem. Then,  $\mathcal{B}$  randomly chooses  $x_0, y_0, \{(x_{1,j}, y_{1,j})\}_{j=0}^{\ell}, x_2, y_2, x_3, y_3 \xleftarrow{\$} \mathbb{Z}_p$ , and creates

$$\begin{aligned} z &:= e(g_1^{c_1}, g_2)^{-x_0} e(g_1, g_2)^{y_0}, \quad u_{1,j} := (g_1^{c_1})^{-x_{1,j}} g_1^{y_{1,j}} \quad (0 \leq j \leq \ell), \\ w_1 &:= (g_1^{c_1})^{-x_2} g_1^{y_2}, \quad h_1 := (g_1^{c_1})^{-x_3} g_1^{y_3}. \end{aligned}$$

$\mathcal{B}$  sends  $pp := (g_1, g_1^\alpha, \{u_{1,j}\}_{j=0}^{\ell}, w_1, h_1, g_2, \{(g_2^{x_{1,j}}, g_2^{y_{1,j}})\}_{j=0}^{\ell}, g_2^{x_2}, g_2^{x_3}, g_2^{y_2}, g_2^{y_3}, z)$  to  $\mathcal{A}$ . Note that  $\mathcal{B}$  knows a master key  $mk := (x_0, y_0)$  and we implicitly set  $\alpha := c_1$ .

$\mathcal{B}$  can simulate the  $KG$  and  $KI$  oracles since  $\mathcal{B}$  knows the master key.

In the challenge phase,  $\mathcal{B}$  receives  $(M_0^*, M_1^*, \mathbf{I}^*, \mathbf{time}^*)$  from  $\mathcal{A}$ .  $\mathcal{B}$  chooses  $d \xleftarrow{\$} \{0, 1\}$ .  $\mathcal{B}$  chooses  $\text{tag}^* \xleftarrow{\$} \mathbb{Z}_p$ , and let  $t_j^* := T_j(\mathbf{time}^*)$  ( $0 \leq j \leq \ell - 1$ ).  $\mathcal{B}$  computes

$$\begin{aligned} C_M^* &:= M_d^* e(T, g_2)^{-x_0} e(g_1^{c_2}, g_2)^{y_0}, \quad C_y^* := g_1^{c_2}, \quad C_x^* := T, \\ C_{\mathbf{I}, \mathbf{time}}^* &:= T^{-\mathbf{I}^* x_{1, \ell} - \sum_{j=0}^{\ell-1} (t_j^* x_{1,j}) - x_2 \text{tag}^* - x_3} (g_1^{c_2})^{\mathbf{I}^* y_{1, \ell} + \sum_{j=0}^{\ell-1} (t_j^* y_{1,j}) + y_2 \text{tag}^* + y_3}. \end{aligned}$$

$\mathcal{B}$  sends  $C^* := (C_M^*, C_y^*, C_x^*, C_{\mathbf{I}, \mathbf{time}}^*, \text{tag}^*)$  to  $\mathcal{A}$ .

If  $b = 0$ , then the above ciphertext is normal by setting  $s := c_2$ . If  $b = 1$ , then the above ciphertext is semi-functional since it holds

$$\begin{aligned} C_M^* &= M_d^* e(g_1, g_2)^{-x_0(c_1 c_2 + \mu) + y_0 c_2} = M_d^* e(g_1, g_2)^{-x_0(\alpha s + \mu) + y_0 s}, \\ C_x^* &= g_1^{c_1 c_2 + \mu} = g_1^{\alpha s + \mu}, \\ C_{\mathbf{I}, \text{time}}^* &= g_1^{-\frac{(c_1 c_2 + \mu)(\mathbf{I}^* x_{1, \ell} + \sum_{j=0}^{\ell-1} (t_j^* x_{1, j}) + x_2 \text{tag}^* + x_3)}{g_1} - \frac{c_2(\mathbf{I}^* y_{1, \ell} + \sum_{j=0}^{\ell-1} (t_j^* y_{1, j}) + y_2 \text{tag}^* + y_3)}{g_1}} \\ &= g_1^{-\frac{(\alpha s + \mu)(\mathbf{I}^* x_{1, \ell} + \sum_{j=0}^{\ell-1} (t_j^* x_{1, j}) + x_2 \text{tag}^* + x_3)}{g_1} - \frac{s(\mathbf{I}^* y_{1, \ell} + \sum_{j=0}^{\ell-1} (t_j^* y_{1, j}) + y_2 \text{tag}^* + y_3)}{g_1}}. \end{aligned}$$

After receiving  $d'$  from  $\mathcal{A}$ ,  $\mathcal{B}$  sends  $b' = 1$  to the challenger of the DDH1 problem if  $d' = d$ .

Otherwise,  $\mathcal{B}$  sends  $b' = 0$  to the challenger.

**Lemma 2** *For every  $k \in \{1, 2, \dots, q\}$ , if the DDH2 assumption holds, then it holds that  $|S_{k-1} - S_k| \leq 2 \text{Adv}_{\mathcal{G}, \mathcal{B}}^{\text{DDH2}}(\lambda)$ .*

*Proof* At the beginning, a PPT adversary  $\mathcal{B}$  receives an instance  $(g_1, g_2, g_2^{c_1}, g_2^{c_2}, T)$  of the DDH2 problem. Then,  $\mathcal{B}$  randomly chooses  $x'_0, y_0, \{(x'_{1, j}, y'_{1, j}, y''_{1, j})\}_{j=0}^{\ell}$ ,  $x'_2, x'_3, y'_3, y''_3 \xleftarrow{\$} \mathbb{Z}_p$  and  $\alpha \xleftarrow{\$} \mathbb{Z}_p^\times$ , and (implicitly) sets

$$\begin{aligned} x_0 &:= \frac{x'_0 + y_0}{\alpha}, \quad x_{1, j} := \frac{x'_{1, j} + y_{1, j}}{\alpha}, \quad \text{where } y_{1, j} := y'_{1, j} + c_2 y''_{1, j} \quad (0 \leq j \leq \ell), \\ x_2 &:= \frac{x'_2 + c_2}{\alpha}, \quad y_2 := c_2, \quad x_3 := \frac{x'_3 + y_3}{\alpha}, \quad \text{where } y_3 := y'_3 + c_2 y''_3. \end{aligned}$$

$\mathcal{B}$  creates

$$\begin{aligned} z &:= e(g_1, g_2)^{-x'_0}, \quad u_{1, j} := g_1^{-x'_{1, j}} \quad (0 \leq j \leq \ell), \quad w_1 := g_1^{-x'_2}, \quad h_1 := g_1^{-x'_3}, \\ g_2^{x_{1, j}} &:= g_2^{\frac{x'_{1, j} + y'_{1, j}}{\alpha}} (g_2^{c_2})^{\frac{y''_{1, j}}{\alpha}} \quad (0 \leq j \leq \ell), \quad g_2^{y_{1, j}} := g_2^{y'_{1, j}} (g_2^{c_2})^{y''_{1, j}} \quad (0 \leq j \leq \ell), \\ g_2^{x_2} &:= g_2^{\frac{x'_2}{\alpha}} (g_2^{c_2})^{\frac{1}{\alpha}}, \quad g_2^{y_2} := g_2^{c_2}, \quad g_2^{x_3} := g_2^{\frac{x'_3 + y'_3}{\alpha}} (g_2^{c_2})^{\frac{y''_3}{\alpha}}, \quad g_2^{y_3} := g_2^{y'_3} (g_2^{c_2})^{y''_3}. \end{aligned}$$

$\mathcal{B}$  sends  $pp := (g_1, g_1^\alpha, \{u_{1, j}\}_{j=0}^{\ell}, w_1, h_1, g_2, \{(g_2^{x_{1, j}}, g_2^{y_{1, j}})\}_{j=0}^{\ell}, g_2^{x_2}, g_2^{y_2}, g_2^{x_3}, g_2^{y_3}, z)$  to  $\mathcal{A}$ . Note that  $\mathcal{B}$  knows a master key  $mk := (x_0, y_0)$ .

We show how  $\mathcal{B}$  simulates the  $KG$  and  $KI$  oracles. Let  $\mathbf{I}_i$  ( $1 \leq i \leq q$ ) be an  $i$ -th identity issued to the oracles. Without loss of generality, we consider  $\mathcal{A}$  issues all identities  $\mathbf{I}_i \neq \mathbf{I}^*$  to the  $KG$  oracle, and issues only queries regarding  $\mathbf{I}^*$  to the  $KI$  oracle.

*KG oracle.*  $\mathcal{B}$  creates  $k - 1$  semi-functional decryption and helper keys, and embeds  $T$  into the  $k$ -th keys. The rest of keys are normal.

Case  $i < k$ : After receiving  $\mathbf{I}_i$ ,  $\mathcal{B}$  creates and returns semi-functional keys.

Since  $\mathcal{B}$  knows the master key and  $\alpha$ ,  $\mathcal{B}$  can create both normal and semi-functional keys.

Case  $i = k$ : After receiving  $\mathbf{I}_k$ ,  $\mathcal{B}$  creates semi functional keys by embedding  $T$  as follows:  $\mathcal{B}$  chooses  $\beta_0^{(y)}, \dots, \beta_{\ell-1}^{(y)}, \beta_0^{(x)}, \dots, \beta_{\ell-1}^{(x)} \xleftarrow{\$} \mathbb{Z}_p$ , and sets  $B^{(y)} := \sum_{j=0}^{\ell-1} \beta_j^{(y)}$  and  $B^{(x)} := \sum_{j=0}^{\ell-1} \beta_j^{(x)}$ .  $\mathcal{B}$  computes

$$\begin{aligned} R_j^{(y)} &:= g_2^{-\beta_j^{(y)}} \quad (0 \leq j \leq \ell - 1), \\ R_j^{(x)} &:= g_2^{-\beta_j^{(x)}} \quad (0 \leq j \leq \ell - 1), \\ D_y &:= T, \\ D'_y &:= g_2^{y_0 + B^{(y)}} (g_2^{c_1})^{\mathbf{I}_k y'_{1,\ell} + y'_3} T^{\mathbf{I}_k y''_{1,\ell} + y''_3}, \\ D_x &:= \left( (g_2^{c_1})^{x'_2} T \right)^{-\frac{1}{\alpha}}, \\ D'_x &:= g_2^{-\frac{x'_0}{\alpha} - B^{(x)}} (g_2^{c_1})^{-\frac{\mathbf{I}_k (x'_{1,\ell} + y'_{1,\ell}) + x'_3 + y'_3}{\alpha}} g_2^{-\frac{y_0}{\alpha}} T^{-\frac{\mathbf{I}_k y''_{1,\ell} + y''_3}{\alpha}}, \\ D &:= g_2^{c_1}, \\ K_j^{(y)} &:= (g_2^{c_1})^{y'_{1,j}} (T)^{y''_{1,j}} \quad (0 \leq j \leq \ell - 1), \\ K_j^{(x)} &:= (g_2^{c_1})^{-\frac{x'_{1,j} + y'_{1,j}}{\alpha}} T^{-\frac{y''_{1,j}}{\alpha}} \quad (0 \leq j \leq \ell - 1). \end{aligned}$$

$\mathcal{B}$  sets  $dk_{\mathbf{I},0} := (R_0^{(y)}, R_0^{(x)})$ ,  $hk_{\mathbf{I},0}^{(i)} := (R_i^{(y)}, R_i^{(x)})$  ( $1 \leq i \leq \ell - 1$ ),  $hk_{\mathbf{I},0}^{(\ell)} := (D_y, D'_y, D_x, D'_x, D, \{(K_j^{(y)}, K_j^{(x)})\}_{j=0}^{\ell-1})$ . If  $b = 0$ , then it is easy to see that the above keys are normal by setting  $r := c_1$ . If  $b = 1$ , then the above ciphertext is semi-functional since it holds

$$\begin{aligned} D_y &:= T = g_2^{c_1 c_2 + \gamma} = g_2^{y_2 r + \gamma}, \\ D'_y &:= g_2^{y_0 + B^{(y)}} (g_2^{c_1})^{\mathbf{I}_k y'_{1,\ell} + y'_3} T^{\mathbf{I}_k y''_{1,\ell} + y''_3} \\ &= g_2^{y_0 + B^{(y)} + c_1 (\mathbf{I}_k (y'_{1,\ell} + c_2 y''_{1,\ell}) + y'_3 + c_2 y''_3)} g_2^{\gamma (\mathbf{I}_k y''_{1,\ell} + y''_3)} \\ &= g_2^{y_0 + B^{(y)} + r (\mathbf{I}_k y_{1,\ell} + y_3)} g_2^{\gamma \phi}, \\ D_x &:= \left( (g_2^{c_1})^{x'_2} T \right)^{-\frac{1}{\alpha}} = g_2^{-\frac{c_1 (x'_2 + c_2)}{\alpha}} g_2^{-\frac{\gamma}{\alpha}} = g_2^{-r x_2} g_2^{-\frac{\gamma}{\alpha}}, \\ D'_x &:= g_2^{-\frac{x'_0}{\alpha} - B^{(x)}} (g_2^{c_1})^{-\frac{\mathbf{I}_k (x'_{1,\ell} + y'_{1,\ell}) + x'_3 + y'_3}{\alpha}} g_2^{-\frac{y_0}{\alpha}} T^{-\frac{\mathbf{I}_k y''_{1,\ell} + y''_3}{\alpha}} \\ &= g_2^{-B^{(x)} - \frac{(x'_0 + y_0) + c_1 (\mathbf{I}_k (x'_{1,\ell} + y'_{1,\ell} + c_2 y''_{1,\ell}) + (x'_3 + y'_3 + c_2 y''_3))}{\alpha}} g_2^{-\frac{\gamma (\mathbf{I}_k y''_{1,\ell} + y''_3)}{\alpha}} \\ &= g_2^{-x_0 - B^{(x)} - r (\mathbf{I}_k x_{1,\ell} + x_3)} g_2^{-\frac{\gamma \phi}{\alpha}}, \\ K_j^{(y)} &:= (g_2^{c_1})^{y'_{1,j}} (T)^{y''_{1,j}} = g_2^{c_1 (y'_{1,j} + c_2 y''_{1,j})} g_2^{\gamma y''_{1,j}} = g_2^{r y_{1,j}} g_2^{\gamma \phi_j} \quad (0 \leq j \leq \ell - 1), \\ K_j^{(x)} &:= (g_2^{c_1})^{-\frac{x'_{1,j} + y'_{1,j}}{\alpha}} T^{-\frac{y''_{1,j}}{\alpha}} \\ &= g_2^{-\frac{c_1 (x'_{1,j} + y'_{1,j}) + c_2 y''_{1,j}}{\alpha}} g_2^{-\frac{\gamma y''_{1,j}}{\alpha}} = g_2^{-r x_{1,j}} g_2^{-\frac{\gamma \phi_j}{\alpha}} \quad (0 \leq j \leq \ell - 1), \end{aligned}$$

where  $T := g_2^{c_1 c_2 + \gamma}$ ,  $r := c_1$ ,  $\phi := \mathbb{I}_k y''_{1,\ell} + y''_3$ , and  $\phi_j := y''_{1,j}$  ( $0 \leq j \leq \ell - 1$ ). Since  $y''_{1,j}$  and  $y''_3$  are chosen uniformly at random,  $\phi$  and  $\phi_j$  are also uniformly distributed.

Case  $i > k$ : After receiving  $\mathbb{I}_i$ ,  $\mathcal{B}$  creates and returns normal keys by using the master key.

*KI oracle.* We can simulate the *KI* oracle as in the *KG* oracle except for the case  $\mathbb{I}_k = \mathbb{I}^*$ . Therefore, in the following we suppose that  $\mathcal{A}$  issues  $k - 1$  identities  $\mathbb{I}_y, \dots, \mathbb{I}_{k-1}$  to the *KG* oracle, and then issues a query  $(i, \mathbb{I}^*, \mathbf{time})$  (i.e.,  $\mathbb{I}_k = \mathbb{I}^*$ ) to the *KI* oracle. Note that there exists a special level  $j \in \{0, \dots, \ell\}$ , and  $\mathcal{A}$  cannot issue  $(i, \mathbb{I}^*, \mathbf{time})$  such that  $T_i(\mathbf{time}) = T_i(\mathbf{time}^*)$  and  $i < j$ . We also note that  $\mathcal{B}$  does not need to know which level would be the special one in advance.

For a query  $(i, \mathbb{I}^*, \mathbf{time})$ ,  $\mathcal{B}$  creates and stores decryption and helper keys  $(dk_{\mathbb{I}^*,0}, hk_{\mathbb{I}^*,0}^{(1)}, \dots, hk_{\mathbb{I}^*,0}^{(\ell)})$  as in the case  $i = k$  of the *KG* oracle. Then,  $\mathcal{B}$  repeatedly runs  $\delta_{\mathbb{I}, t_{k-1}}^{(k-1)} \leftarrow \Delta\text{-Gen}(hk_{\mathbb{I}^*, t_k}^{(k)}, \mathbf{time}^*)$  and  $hk_{\mathbb{I}^*, t_{k-1}}^{(k-1)} \text{Upd}(hk_{\mathbb{I}^*, 0}^{(k-1)}, \delta_{\mathbb{I}, t_{k-1}}^{(k-1)})$  for  $k = \ell, \dots, i + 1$ , where  $t_\ell := 0$  and  $t_k := T_k(\mathbf{time})$  ( $i \leq k \leq \ell - 1$ ).  $\mathcal{B}$  returns  $hk_{\mathbb{I}^*, t_i}^{(i)}$  to  $\mathcal{A}$ . Note that from the second query for  $\mathbb{I}^*$ ,  $\mathcal{B}$  answers queries by using the stored keys.

It is obvious that the returned key is an well-formed normal key if  $b = 0$ . We show that the returned key is semi-functional if  $b = 1$ . Since  $(dk_{\mathbb{I}^*,0}, hk_{\mathbb{I}^*,0}^{(1)}, \dots, hk_{\mathbb{I}^*,0}^{(\ell)})$  is generated as in the case  $i = k$  of the *KG* oracle, the forms of  $hk_{\mathbb{I}^*, t_i}^{(i)} = (R_i^{(y)}, R_i^{(x)}, D_y, D'_y, D_x, D'_x, D, \{K_k^{(y)}, K_k^{(x)}\}_{k=0}^{i-1})$  are as follows.

$$\begin{aligned}
R_i^{(y)} &= g_2^{-\beta_i^{(y)}}, & R_i^{(x)} &= g_2^{\beta_i^{(x)}}, \\
D_y &= g_2^{(c_1 + \hat{r})c_2 + \gamma} = g_2^{y_2 r + \gamma}, \\
D'_y &= g_2^{y_0 + \sum_{k=0}^{i-1} \beta_k^{(y)} + (c_1 + \hat{r})(\mathbb{I}^*(y'_{1,\ell} + c_2 y''_{1,\ell}) + \sum_{k=i-1}^{\ell-1} t_k (y'_{1,k} + c_2 y''_{1,k}) + y'_3 + c_2 y''_3)} \\
&\quad \cdot g_2^{\gamma(\mathbb{I}^* y''_{1,\ell} + \sum_{k=i-1}^{\ell-1} t_k y''_{1,k} + y''_3)} \\
&= g_2^{y_0 + \sum_{k=0}^{i-1} \beta_k^{(y)} + r(\mathbb{I}^* y_{1,\ell} + \sum_{k=i-1}^{\ell-1} t_k y_{1,k} + y_3)} g_2^{\gamma\phi}, \\
D_x &= g_2^{-\frac{(c_1 + \hat{r})(x'_2 + c_2)}{\alpha}} g_2^{-\frac{\gamma}{\alpha}} = g_2^{-r x_2} g_2^{-\frac{\gamma}{\alpha}}, \\
D'_x &= g_2^{-\frac{x'_0 + y_0}{\alpha} - \sum_{k=0}^{i-1} \beta_k^{(x)} - \frac{(c_1 + \hat{r})(\mathbb{I}^*(x'_{1,\ell} + y'_{1,\ell} + c_2 y''_{1,\ell}) + \sum_{k=i-1}^{\ell-1} t_k (x'_{1,k} + y'_{1,k} + c_2 y''_{1,k}) + (x'_3 + y'_3 + c_2 y''_3))}{\alpha}} \\
&\quad \cdot g_2^{-\frac{\gamma(\mathbb{I}^* y''_{1,\ell} + \sum_{k=i-1}^{\ell-1} t_k y''_{1,k} + y''_3)}{\alpha}} \\
&= g_2^{-x_0 - \sum_{k=0}^{i-1} \beta_k^{(x)} - r(\mathbb{I}^* x_{1,\ell} + \sum_{k=i-1}^{\ell-1} t_k x_{1,k} + x_3)} g_2^{-\frac{\gamma\phi}{\alpha}}, \\
K_k^{(y)} &= g_2^{(c_1 + \hat{r})(y'_{1,k} + c_2 y''_{1,k})} g_2^{\gamma y''_{1,k}} = g_2^{r y_{1,k}} g_2^{\gamma\phi_k} \quad (0 \leq k \leq i - 1), \\
K_k^{(x)} &= g_2^{-\frac{(c_1 + \hat{r})(x'_{1,k} + y'_{1,k} + c_2 y''_{1,k})}{\alpha}} g_2^{-\frac{\gamma y''_{1,k}}{\alpha}} = g_2^{-r x_{1,k}} g_2^{-\frac{\gamma\phi_k}{\alpha}} \quad (0 \leq k \leq i - 1),
\end{aligned}$$

where  $\gamma$  comes from  $T = g_2^{c_1 c_2 + \gamma}$ ,  $\hat{r}$  is randomness due to the re-randomization procedure in the  $\Delta$ -Gen algorithm,  $r := c_1 + \hat{r}$ ,  $\phi := \mathbf{I}^* y''_{1,\ell} + \sum_{k=i-1}^{\ell-1} t_k y''_{1,k} + y''_3$ , and  $\phi_j := y''_{1,k}$  ( $i-1 \leq k \leq \ell-1$ ).

The above simulation works well for a query  $(i, \mathbf{I}^*, \mathbf{time})$  such that  $T_i(\mathbf{time}) \neq T_i(\mathbf{time}^*)$  since  $\phi$  and  $\phi_j$  are uniformly distributed from the  $\mathcal{A}$ 's viewpoint. However, we have to pay attention to a query  $(i, \mathbf{I}^*, \mathbf{time})$  such that  $i > j$  and  $T_i(\mathbf{time}) = T_i(\mathbf{time}^*)$ , and we show that the above simulation works well even for such a query.

Suppose that  $\mathcal{A}$  receives  $hk_{\mathbf{I}^*, T_i(\mathbf{time})}$  for a query  $(i, \mathbf{I}^*, \mathbf{time})$  such that  $i > j$  and  $T_i(\mathbf{time}) = T_i(\mathbf{time}^*)$ . Then,  $\mathcal{A}$  can derive

$$\overline{dk}_{\mathbf{I}^*, t_0^*} = (R_0^{(y)}, R_0^{(x)}, D_y, D'_y \cdot g_2^{\beta_j^{(y)}}, D_x, D'_x \cdot g_2^{-\beta_j^{(x)}}, D),$$

from  $hk_{\mathbf{I}^*, T_i(\mathbf{time})}$ , where  $t_0^* = T_0(\mathbf{time}^*) = T_0(\mathbf{time})$  and  $dk_{\mathbf{I}^*, t_0^*} = (R_0^{(y)}, R_0^{(x)}, D_y, D'_y, D_x, D'_x, D)$  is a correct decryption key for  $\mathbf{I}^*$  and  $t_0^*$ . Namely,  $\mathcal{A}$  can obtain a decryption key for  $\mathbf{I}^*$  and  $\mathbf{time}^*$  with noises  $\beta_j^{(y)}$  and  $\beta_j^{(x)}$ .

Then, if  $b = 1$ ,  $\overline{dk}_{\mathbf{I}^*, t_0^*}$  has semi-functional randomness  $\phi = \mathbf{I}^* y''_{1,\ell} + \sum_{k=0}^{\ell-1} (t_k^* y''_{1,k}) + y''_3$ . This is equivalent to  $\widetilde{\mathbf{tag}}^*$ , which is randomness for a challenge ciphertext and will be defined in the challenge phase. Therefore,  $\phi$  is *not* uniformly distributed from the viewpoint of  $\mathcal{A}$ , and the proof seem to fail. However, the simulation actually works well since we can observe the above simulation from another perspective: We regard  $(\beta_j^{(y)}, \beta_j^{(x)})$  as  $(\beta_j^{(y)} + \chi, \beta_j^{(x)} + \frac{\chi}{\alpha})$ , where  $\beta_j^{(y)}$ ,  $\beta_j^{(x)}$ , and  $\chi$  are uniformly chosen elements from  $\mathbb{Z}_p$ . The above  $\phi$  then turns to  $\mathbf{I}^* y''_{1,\ell} + \sum_{k=0}^{\ell-1} (t_k^* y''_{1,k}) + y''_3 + \chi$  (and the noises turns to  $\beta_j^{(y)}$  and  $\beta_j^{(x)}$ ). Therefore,  $\phi$  is uniformly distributed from the viewpoint of  $\mathcal{A}$  since  $\mathcal{A}$  never knows the values of  $j$ -th level noises. In other words,  $\mathcal{A}$  cannot distinguish the following two in the information-theoretic sense: The noises are  $(\beta_j^{(y)} + \chi, \beta_j^{(x)} + \frac{\chi}{\alpha})$  and the semi-functional randomness is  $\phi$ ; the noises are  $(\beta_j^{(y)}, \beta_j^{(x)})$  and the semi-functional randomness is  $\phi + \chi$ .

In the challenge phase,  $\mathcal{B}$  receives  $(M_0^*, M_1^*, \mathbf{I}^*, \mathbf{time}^*)$  from  $\mathcal{A}$ .  $\mathcal{B}$  chooses  $d \xleftarrow{\$} \{0, 1\}$ , and sets  $t_j^* := T_j(\mathbf{time}^*)$  ( $0 \leq j \leq \ell-1$ ). However,  $\mathcal{B}$  cannot create the semi-functional ciphertext for  $\mathbf{I}^*$  without knowledge of  $c_2$  (and hence  $y_{1,j}$  ( $0 \leq j \leq \ell$ ) and  $y_3$ ). To generate the semi-functional ciphertext without the knowledge,  $\mathcal{B}$  sets

$$\widetilde{\mathbf{tag}}^* := - \sum_{j=0}^{\ell-1} (t_j^* y''_{1,j}) - \mathbf{I}^* y''_{1,\ell} - y''_3.$$

Since  $y''_{1,0}, \dots, y''_{1,\ell}$  and  $y''_3$  are chosen uniformly at random, probability distribution of  $\widetilde{\mathbf{tag}}^*$  is also uniformly at random from  $\mathcal{A}$ 's view. More specifically, if  $\mathbf{I}_k \neq \mathbf{I}^*$ , then  $\phi$  and  $\widetilde{\mathbf{tag}}^*$  are pairwise independent. If  $\mathbf{I}_k = \mathbf{I}^*$ ,  $\phi$  and  $\widetilde{\mathbf{tag}}^*$  are independent due to  $\chi$ . Then,  $\mathcal{B}$  chooses  $s \xleftarrow{\$} \mathbb{Z}_p$  and  $\mu \xleftarrow{\$} \mathbb{Z}_p^*$ , and computes

$$\tilde{C}_M^* := M_d^* z^s e(g_1, g_2)^{-x_0 \mu} = M_d^* e(g_1, g_2)^{-x_0(\alpha s + \mu) + y_0 s},$$

$$\begin{aligned}
\tilde{C}_y^* &:= g_1^s, \\
\tilde{C}_x^* &:= g_1^{\alpha s + \mu} \\
\tilde{C}_{\mathbf{I}, \text{time}}^* &:= \left( \prod_{j=0}^{\ell-1} (u_{1,j}^{t_j^*}) u_{1,\ell}^{\mathbf{I}^*} w_1^{\widetilde{\text{tag}}^*} h_1 \right)^s g_1^{-\frac{\mu}{\alpha} (\sum_{j=0}^{\ell-1} (t_j^* (x'_{1,j} + y'_{1,j})) + \mathbf{I}^* (x'_{1,\ell} + y'_{1,\ell}) + x'_2 \widetilde{\text{tag}}^* + x'_3 + y'_3)} \\
&= \left( \prod_{j=0}^{\ell-1} (u_{1,j}^{t_j^*}) u_{1,\ell}^{\mathbf{I}^*} w_1^{\widetilde{\text{tag}}^*} h_1 \right)^s \\
&\quad \cdot g_1^{-\frac{\mu}{\alpha} (\sum_{j=0}^{\ell-1} (t_j^* (x'_{1,j} + y'_{1,j} + c_2 y''_{1,j})) + \mathbf{I}^* (x'_{1,\ell} + y'_{1,\ell} + c_2 y''_{1,\ell}) + \widetilde{\text{tag}} (x'_2 + c_2)^* + x'_3 + y'_3 + c_2 y''_3)} \\
&\quad \cdot g_1^{\frac{c_2 \mu}{\alpha} (\sum_{j=0}^{\ell-1} (t_j^* y''_{1,j}) + \mathbf{I}^* y''_{1,\ell} + \widetilde{\text{tag}}^* + y''_3)} \\
&= \left( \prod_{j=0}^{\ell-1} (u_{1,j}^{t_j^*}) u_{1,\ell}^{\mathbf{I}^*} w_1^{\widetilde{\text{tag}}^*} h_1 \right)^s g_1^{-\mu (\sum_{j=0}^{\ell-1} (t_j^* x_{1,j}) + \mathbf{I}^* x_{1,\ell} + x_2 \widetilde{\text{tag}}^* + x_3)}.
\end{aligned}$$

$\mathcal{B}$  sends  $\tilde{C}^* := (\tilde{C}_M^*, \tilde{C}_y^*, \tilde{C}_x^*, \tilde{C}_{\mathbf{I}, \text{time}}^*, \widetilde{\text{tag}}^*)$  to  $\mathcal{A}$ .

After receiving  $d'$  from  $\mathcal{A}$ ,  $\mathcal{B}$  sends  $b' = 1$  to the challenger of the DDH2 problem if  $d' = d$ . Otherwise,  $\mathcal{B}$  sends  $b' = 0$  to the challenger.

**Lemma 3**  $|S_q - S_{\text{Final}}| \leq 2 \text{Adv}_{\mathcal{G}, \mathcal{B}}^{\text{DDH1}}(\lambda)$ .

*Proof* At the beginning, a PPT adversary  $\mathcal{B}$  receives an instance  $(g_1, g_1^{c_1}, g_1^{c_2}, g_2, T)$  of the DDH1 problem. Then,  $\mathcal{B}$  randomly chooses  $\{(x_{1,j}, y'_{1,j})\}_{j=0}^{\ell}, x_2, y'_2, x_3, y'_3 \xleftarrow{\$} \mathbb{Z}_p$  and  $\alpha \xleftarrow{\$} \mathbb{Z}_p^*$ , and (implicitly) sets

$$\begin{aligned}
x_0 &:= c_1, \quad y_0 := x_0 \alpha + y'_0, \quad y_{1,j} := x_{1,j} \alpha + y'_{1,j} \quad (0 \leq j \leq \ell), \\
y_2 &:= x_2 \alpha + y'_2, \quad y_3 := x_3 \alpha + y'_3.
\end{aligned}$$

Then,  $\mathcal{B}$  creates

$$z := e(g_1, g_2)^{y'_0}, \quad u_{1,j} := g_1^{y'_{1,j}} \quad (0 \leq j \leq \ell), \quad w_1 := g_1^{y'_2}, \quad h_1 := g_1^{y'_3}.$$

$\mathcal{B}$  sends  $pp := (g_1, g_1^\alpha, \{u_{1,j}\}_{j=0}^{\ell}, w_1, h_1, g_2, \{(g_2^{x_{1,j}}, g_2^{y_{1,j}})\}_{j=0}^{\ell}, g_2^{x_2}, g_2^{x_3}, g_2^{y_2}, g_2^{y_3}, z)$  to  $\mathcal{A}$ . Note that  $\mathcal{B}$  does not know a master key  $mk := (x_0, y_0)$ .

*KG oracle.* When receiving  $\mathbf{I}$  from  $\mathcal{A}$ ,  $\mathcal{B}$  first generates (initial) semi-functional keys as follows.  $\mathcal{B}$  chooses  $\beta_0^{(y)}, \dots, \beta_{\ell-1}^{(y)}, \beta_0^{(x)}, \dots, \beta_{\ell-1}^{(x)}, r, \phi', \phi'_0, \dots, \phi'_{\ell-1} \xleftarrow{\$} \mathbb{Z}_p$  and  $\gamma \xleftarrow{\$} \mathbb{Z}_p^*$ , and (implicitly) set  $B^{(y)} := \sum_{j=0}^{\ell-1} \beta_j^{(y)}$ ,  $B^{(x)} := \sum_{j=0}^{\ell-1} \beta_j^{(x)}$ ,  $\phi := \frac{\alpha(\phi' - x_0 - r(\mathbf{I}x_{1,\ell} + x_3))}{\gamma}$ , and  $\phi_j := \frac{\alpha(\phi'_j - r x_{1,j})}{\gamma}$  ( $0 \leq j \leq \ell - 1$ ). It then holds that  $\phi' = x_0 + r(\mathbf{I}x_{1,\ell} + x_3) + \frac{\gamma \phi}{\alpha}$  and  $\phi'_j = r x_{1,j} + \frac{\gamma \phi_j}{\alpha}$  ( $0 \leq j \leq \ell - 1$ ). We compute

$$\tilde{R}_j^{(y)} := g_2^{-\beta_j^{(y)}} \quad (0 \leq j \leq \ell - 1),$$

$$\begin{aligned}
\tilde{R}_j^{(x)} &:= g_2^{\beta_j^{(x)}} \quad (0 \leq j \leq \ell - 1), \\
\tilde{D}_y &:= g_2^{y_2 r + \gamma}, \\
\tilde{D}'_y &:= g_2^{y'_0 + r(\mathbf{I}y'_{1,\ell} + y'_3) + \alpha\phi'} \\
&= g_2^{x_0\alpha + y'_0 + r((x_1, \ell\alpha + y_{1,\ell})\mathbf{I} + x_3 + y'_3) + \gamma\phi} = g_2^{y_0 + r(y_{1,\ell}\mathbf{I} + y_3) + \gamma\phi}, \\
\tilde{D}_x &:= g_2^{-rx_2 - \frac{\gamma}{\alpha}}, \\
\tilde{D}'_x &:= g_2^{-\phi'} = g_2^{-x_0 - r(\mathbf{I}x_{1,\ell} + x_3) - \frac{\gamma\phi}{\alpha}}, \\
\tilde{D} &:= g_2^{r+B}, \\
\tilde{K}_j^{(y)} &:= g_2^{ry'_{1,j} + \alpha\phi'_j} = g_2^{r(y'_{1,j} + \alpha x_{1,j}) + \gamma\phi_j} = g_2^{ry_{1,j} + \gamma\phi_j} \quad (0 \leq j \leq \ell - 1), \\
\tilde{K}_j^{(x)} &:= g_2^{-\phi'_j} = g_2^{-rx_{1,j} - \frac{\gamma\phi_j}{\alpha}} \quad (0 \leq j \leq \ell - 1).
\end{aligned}$$

$\mathcal{B}$  sets and returns  $dk_{\mathbf{I},0} := (\tilde{R}_0^{(y)}, \tilde{R}_0^{(x)})$ ,  $hk_{\mathbf{I},0}^{(j)} := (\tilde{R}_j^{(y)}, \tilde{R}_j^{(x)})$  ( $1 \leq j \leq \ell - 1$ ), and  $hk_{\mathbf{I},0}^{(\ell)} := (\tilde{D}_y, \tilde{D}'_y, \tilde{D}_x, \tilde{D}'_x, \tilde{D}, \{(\tilde{K}_j^{(y)}, \tilde{K}_j^{(x)})\}_{j=0}^{\ell-1})$ .

*KI oracle.* Without loss of generality, we fix any  $j \in \{0, 1, \dots, \ell\}$  as a special level, and suppose that  $\mathcal{B}$  receives a query  $(i, \mathbf{I}^*, \mathbf{time})$  such that  $i \neq j$  and  $T_i(\mathbf{time}) \neq T_i(\mathbf{time}^*)$  if  $i < j$ , where  $\mathbf{I}^*$  and  $\mathbf{time}^*$  are the target identity and target time, respectively. Then,  $\mathcal{B}$  can generate initial semi-functional keys for  $\mathbf{I}^*$  as in the *KG* oracle. Therefore,  $\mathcal{B}$  can return any  $i$ -th semi-functional key for  $\mathbf{I}^*$  at  $\mathbf{time}$ .

In the challenge phase,  $\mathcal{B}$  receives  $(M_0^*, M_1^*, \mathbf{I}^*, \mathbf{time}^*)$  from  $\mathcal{A}$ .  $\mathcal{B}$  chooses  $d \xleftarrow{\$} \{0, 1\}$ .  $\mathcal{B}$  chooses  $s, \mathbf{tag}^* \xleftarrow{\$} \mathbb{Z}_p$  and computes

$$\begin{aligned}
\tilde{C}_M^* &:= M_d^* \cdot e(g_1, g_2)^{y'_0 s} e(T, g_2)^{-1}, \quad \tilde{C}_y^* := g_1^s, \quad \tilde{C}_x^* := g_1^{\alpha s} g_1^{c_2}, \\
\tilde{C}_{\mathbf{I}, \mathbf{time}}^* &:= \left( \prod_{j=0}^{\ell-1} (u_{1,j}^{t_j^*}) u_{1,\ell}^{\mathbf{I}^*} w_1^{\mathbf{tag}^*} h_1 \right)^s (g_1^{c_2})^{-\sum_{j=0}^{\ell-1} (x_{1,j} t_j^*) - x_1 \mathbf{I}^* - x_2 \mathbf{tag}^* - x_3}.
\end{aligned}$$

$\mathcal{B}$  sends  $C^* := (\tilde{C}_M^*, \tilde{C}_y^*, \tilde{C}_x^*, \tilde{C}_{\mathbf{I}, \mathbf{time}}^*, \mathbf{tag}^*)$  to  $\mathcal{A}$ .

If  $b = 0$ , then the above ciphertext is a semi-functional one of  $M_d^*$  by setting  $\mu := c_2$ . If  $b = 1$ , then the above ciphertext is a semi-functional one of a random element of  $\mathbb{G}_T$  since it holds

$$\begin{aligned}
\tilde{C}_M^* &= M_d^* \cdot e(g_1, g_2)^{y'_0 s - x_0 \mu - \eta} \\
&= M_d^* \cdot e(g_1, g_2)^{-x_0 \alpha s + y_0 s - x_0 \mu - \eta} \\
&= M_d^* \cdot e(g_1, g_2)^{-x_0(\alpha s + \mu) + y_0 s} e(g_1, g_2)^{-\eta} \\
&= R \cdot e(g_1, g_2)^{-x_0(\alpha s + \mu) + y_0 s},
\end{aligned}$$

where  $R = M_d^* \cdot e(g_1, g_2)^{-\eta}$ .

After receiving  $d'$  from  $\mathcal{A}$ ,  $\mathcal{B}$  sends  $b' = 1$  to the challenger of the DDH1 problem if  $d' = d$ . Otherwise,  $\mathcal{B}$  sends  $b' = 0$  to the challenger.

*Proof of Theorem 1.* From Lemmas 1, 2, and 3, we have

$$\begin{aligned} Adv_{\Pi_{\text{IKE}, \mathcal{A}}}^{\text{KE-CPA}}(\lambda, \ell) &\leq |S_{\text{Real}} - S_0| + \sum_{i=1}^q |S_{i-1} - S_i| + |S_q - S_{\text{Final}}| + |S_{\text{Final}} - \frac{1}{2}| \\ &\leq 4Adv_{\mathcal{G}, \mathcal{B}}^{\text{DDH1}}(\lambda) + 2q \cdot Adv_{\mathcal{G}, \mathcal{B}}^{\text{DDH2}}(\lambda). \end{aligned}$$

The proof of Theorem 1 is completed.  $\square$

## 6 Chosen-Ciphertext Security

Boneh et al. [5] proposed an well-known transformation from  $(\ell+1)$ -level CPA-secure HIBE (and one-time signature (OTS)) to  $\ell$ -level CCA-secure HIBE. We cannot apply this transformation to a hierarchical IKE scheme *in a generic way* since it does not have delegating functionality. However, we can apply their techniques to the underlying Jutla-Roy HIBE of our hierarchical IKE, and therefore we obtain a CCA-secure scheme. We show the detailed construction as follows. We assume a verification key  $vk$  is appropriately encoded as an element of  $\mathbb{Z}_p$  when it is used in exponent of ciphertexts.

Let  $\Pi_{\text{OTS}} = (\text{KGen}, \text{Sign}, \text{Ver})$  be an OTS scheme.<sup>6</sup> An  $\ell$ -level hierarchical IKE scheme  $\Pi_{\text{IKE}} = (\text{PGen}, \text{Gen}, \Delta\text{-Gen}, \text{Upd}, \text{Enc}, \text{Dec})$  is constructed as follows.

- $\text{PGen}(\lambda, \ell)$ : It runs  $(\mathbb{G}_1, \mathbb{G}_2, \mathbb{G}_T, p, g_1, g_2, e) \leftarrow \mathcal{G}$ . It chooses  $x_0, y_0, \{(x_{1,j}, y_{1,j})\}_{j=0}^{\ell}, \hat{x}_1, \hat{y}_1, x_2, y_2, x_3, y_3 \xleftarrow{\$} \mathbb{Z}_p$  and  $\alpha \xleftarrow{\$} \mathbb{Z}_p^\times$ , and sets

$$\begin{aligned} z &= e(g_1, g_2)^{-x_0\alpha + y_0}, \quad u_{1,j} := g_1^{-x_{1,j}\alpha + y_{1,j}} \quad (0 \leq j \leq \ell), \\ \hat{u}_1 &:= g_1^{-\hat{x}_1\alpha + \hat{y}_1}, \quad w_1 := g_1^{-x_2\alpha + y_2}, \quad h_1 := g_1^{-x_3\alpha + y_3}. \end{aligned}$$

It outputs

$$\begin{aligned} pp &:= (g_1, g_1^\alpha, \{u_{1,j}\}_{j=0}^{\ell}, \hat{u}_1, w_1, h_1, g_2, \{(g_2^{x_{1,j}}, g_2^{y_{1,j}})\}_{j=0}^{\ell}, \\ &\quad g_2^{\hat{x}_1}, g_2^{\hat{y}_1}, g_2^{x_2}, g_2^{x_3}, g_2^{y_2}, g_2^{y_3}, z), \end{aligned}$$

$$mk := (x_0, y_0).$$

- $\text{Gen}(mk, ID)$ : It chooses  $\beta_0^{(x)}, \dots, \beta_{\ell-1}^{(x)}, \beta_0^{(y)}, \dots, \beta_{\ell-1}^{(y)}, r \xleftarrow{\$} \mathbb{Z}_p$ , and let  $B^{(j)} := \sum_{i=0}^{\ell-1} \beta_i^{(j)}$  for  $j \in \{x, y\}$ . It computes

$$\begin{aligned} R_j^{(y)} &:= g_2^{-\beta_j^{(y)}} \quad (0 \leq j \leq \ell-1), \quad R_j^{(x)} := g_2^{\beta_j^{(x)}} \quad (0 \leq j \leq \ell-1), \\ D_y &:= (g_2^{y_2})^r, \quad D'_y := g_2^{y_0 + B^{(y)}} \left( (g_2^{y_{1,\ell}})^{\mathbb{I}} g_2^{y_3} \right)^r, \\ D_x &:= (g_2^{x_2})^{-r}, \quad D'_x := g_2^{-x_0 - B^{(x)}} \left( (g_2^{x_{1,\ell}})^{\mathbb{I}} g_2^{x_3} \right)^{-r}, \end{aligned}$$

<sup>6</sup> The formal description of the OTS is given in Appendix A.



$$\begin{aligned}
D &:= g_2^r, \\
K_j^{(y)} &:= (g_2^{y_{1,j}})^r \quad (0 \leq j \leq \ell - 1), \quad K_j^{(x)} := (g_2^{x_{1,j}})^{-r} \quad (0 \leq j \leq \ell - 1), \\
K_{vk} &:= (g_2^{\hat{y}_1})^r, \quad K'_{vk} := (g_2^{\hat{x}_1})^{-r}.
\end{aligned}$$

It outputs

$$\begin{aligned}
dk_{\mathbf{I},0} &:= (R_0^{(y)}, R_0^{(x)}), \\
hk_{\mathbf{I},0}^{(i)} &:= (R_i^{(y)}, R_i^{(x)}) \quad (1 \leq i \leq \ell - 1), \\
hk_{\mathbf{I},0}^{(\ell)} &:= (D_y, D'_y, D_x, D'_x, D, \{(K_j^{(y)}, K_j^{(x)})\}_{j=0}^{\ell-1}, K_{vk}, K'_{vk}).
\end{aligned}$$

- $\Delta\text{-Gen}(hk_{\mathbf{I},t_i}^{(i)}, \mathbf{time})$ : If  $t_i \neq T_i(\mathbf{time})$ , it outputs  $\perp$ . Otherwise, parse  $hk_{\mathbf{I},t_i}^{(i)}$  as  $(R_i^{(y)}, R_i^{(x)}, D_y, D'_y, D_x, D'_x, D, \{(K_j^{(y)}, K_j^{(x)})\}_{j=0}^{i-1}, K_{vk}, K'_{vk})$ . It chooses  $\hat{r} \leftarrow \mathbb{Z}_p$ , and let  $t_j := T_j(\mathbf{time})$  ( $i - 1 \leq j \leq \ell - 1$ ). It computes

$$\begin{aligned}
\hat{d}_y &:= D_y(g_2^{y_2})^{\hat{r}}, \quad \hat{d}'_y := D'_y(K_{i-1}^{(y)})^{t_{i-1}} \left( (g_2^{y_{1,\ell}})^{\mathbf{I}} \prod_{j=i-1}^{\ell-1} ((g_2^{y_{1,j}})^{t_j}) g_2^{y_3} \right)^{\hat{r}}, \\
\hat{d}_x &:= D_x(g_2^{x_2})^{-\hat{r}}, \quad \hat{d}'_x := D'_x(K_{i-1}^{(x)})^{t_{i-1}} \left( (g_2^{x_{1,\ell}})^{\mathbf{I}} \prod_{j=i-1}^{\ell-1} ((g_2^{x_{1,j}})^{t_j}) g_2^{x_3} \right)^{-\hat{r}}, \\
\hat{d} &:= D g_2^{\hat{r}}, \\
\hat{k}_j^{(y)} &:= K_j^{(y)} (g_2^{y_{1,j}})^{\hat{r}} \quad (0 \leq j \leq i - 2), \quad \hat{k}_j^{(x)} := K_j^{(x)} (g_2^{x_{1,j}})^{-\hat{r}} \quad (0 \leq j \leq i - 2), \\
\hat{k}_{vk} &:= K_{vk} (g_2^{\hat{y}_1})^{\hat{r}}, \quad \hat{k}'_{vk} := K'_{vk} (g_2^{\hat{x}_1})^{\hat{r}}.
\end{aligned}$$

It outputs  $\delta_{\mathbf{I},t_{i-1}}^{(i-1)} := (\hat{d}_y, \hat{d}'_y, \hat{d}_x, \hat{d}'_x, \hat{d}, \{(\hat{k}_j^{(y)}, \hat{k}_j^{(x)})\}_{j=0}^{i-2}, \hat{k}_{vk}, \hat{k}'_{vk})$ .

- $\text{Upd}(hk_{\mathbf{I},t_i}^{(i)}, \delta_{\mathbf{I},t_i}^{(i)})$ : Parse  $hk_{\mathbf{I},t_i}^{(i)}$  and  $\delta_{\mathbf{I},t_i}^{(i)}$  as  $(R_i^{(y)}, R_i^{(x)}, D_y, D'_y, D_x, D'_x, D, \{(K_j^{(y)}, K_j^{(x)})\}_{j=0}^{i-1}, K_{vk}, K'_{vk})$  and  $(\hat{d}_y, \hat{d}'_y, \hat{d}_x, \hat{d}'_x, \hat{d}, \{(\hat{k}_j^{(y)}, \hat{k}_j^{(x)})\}_{j=0}^{i-1}, \hat{k}_{vk}, \hat{k}'_{vk})$ , respectively. It outputs  $hk_{\mathbf{I},t_i}^{(i)} := (\hat{R}_i^{(y)}, \hat{R}_i^{(x)}, \hat{D}_y, \hat{D}'_y, \hat{D}_x, \hat{D}'_x, \hat{D}, \{(\hat{K}_j^{(y)}, \hat{K}_j^{(x)})\}_{j=0}^{i-1}, \hat{K}_{vk}, \hat{K}'_{vk}) = (R_i^{(y)}, R_i^{(x)}, \hat{d}_y, \hat{d}'_y R_i^{(y)}, \hat{d}_x, \hat{d}'_x R_i^{(x)}, \hat{d}, \{(\hat{k}_j^{(y)}, \hat{k}_j^{(x)})\}_{j=0}^{i-1}, \hat{k}_{vk}, \hat{k}'_{vk})$ .
- $\text{Enc}(\mathbf{I}, \mathbf{time}, M)$ : It first runs  $(vk, sk) \leftarrow \text{KGen}(\lambda)$ . It chooses  $s, \mathbf{tag} \xleftarrow{\$} \mathbb{Z}_p$ . For  $M \in \mathbb{G}_T$ , it computes

$$C_M := M z^s, \quad C_y := g_1^s, \quad C_x := (g_1^\alpha)^s, \quad C_{\mathbf{I},\mathbf{time}} := \left( \prod_{j=0}^{\ell-1} (u_{1,j}^{t_j}) u_{1,\ell}^{\mathbf{I}} \hat{u}_1^{vk} w_1^{\mathbf{tag}} h_1 \right)^s,$$

where  $t_j := T_j(\mathbf{time})$  ( $0 \leq j \leq \ell - 1$ ). It also runs  $\sigma \leftarrow \text{Sign}(sk, (C_M, C_y, C_x, C_{\mathbf{I},\mathbf{time}}, \mathbf{tag}))$ , and outputs  $C := (vk, C_M, C_y, C_x, C_{\mathbf{I},\mathbf{time}}, \mathbf{tag}, \sigma)$ .

- $\text{Dec}(dk_{\mathbf{I},t_0}, \langle C, \mathbf{time} \rangle)$ : If  $t_0 \neq T_0(\mathbf{time})$ , then it outputs  $\perp$ . Otherwise, parse  $dk_{\mathbf{I},t_0}$  and  $C$  as  $(R_0^{(y)}, R_0^{(x)}, D_y, D'_y, D_x, D'_x, D, K_{vk}, K'_{vk})$  and  $(vk, C_M, C_y,$

$C_x, C_{\mathbf{I,time}}, \mathbf{tag}, \sigma$ ), respectively. If  $\text{Ver}(vk, C_M, C_y, C_x, C_{\mathbf{I,time}}, \mathbf{tag}, \sigma) \rightarrow 0$ , then it outputs  $\perp$ . Otherwise, it computes

$$\hat{D}'_y := D'_y(K_{vk})^{vk}, \quad \hat{D}'_x := D'_x(K'_{vk})^{vk}.$$

Finally, it outputs

$$M = \frac{C_M e(C_{\mathbf{I,time}}, D)}{e(C_y, D_y^{\mathbf{tag}} \hat{D}'_y) e(C_x, D_x^{\mathbf{tag}} \hat{D}'_x)}.$$

The correctness of the above IKE scheme  $\Pi_{\text{IKE}}$  can be checked as in our CPA-secure IKE scheme described in Section 4.

For the security of our construction above, we obtain the following theorem. The proof is omitted since this theorem can be easily proved by combining Boneh et al.'s techniques [5] and our proof techniques of Theorem 1.

**Theorem 2** *If the underlying OTS scheme  $\Pi_{\text{OTS}}$  is sUF-OT secure and the SXDH assumption holds, then the resulting  $\ell$ -level hierarchical IKE scheme  $\Pi_{\text{IKE}}$  is IND-KE-CCA secure.*

## 7 Public-key Encryption with Hierarchical Key Insulation

In this section, we consider the hierarchical key insulation structure in the public-key-encryption setting. Specifically, we newly formalize  $\ell$ -level hierarchical public-key-based key-insulated encryption (PK-KIE), and propose a concrete construction for it. This proposal is the first realization of PK-KIE in the hierarchical setting.

### 7.1 Model and Security Definition

$\ell$ -level hierarchical PK-KIE takes almost the same procedure as  $\ell$ -level hierarchical IKE. A receiver generates a public key  $pk$  and initial secret keys  $dk_0, hk_0^{(1)}, \dots, hk_0^{(\ell)}$ , where  $dk_0$  is an initial decryption key and  $hk_0^{(i)}$  is an initial  $i$ -th helper key. Each helper key is stored in different devices. A sender encrypts a plaintext  $M$  with the public key  $pk$  and current time  $\mathbf{time}$ . The key-updating procedure is the same as that in  $\ell$ -level hierarchical IKE. After receiving  $\langle C, \mathbf{time} \rangle$ , the receiver can decrypt a ciphertext  $C$  with  $dk_{t_0}$  if  $t_0 = T_0(\mathbf{time})$ .

An  $\ell$ -level hierarchical PK-KIE scheme  $\Pi_{\text{PKIE}}$  consists of five-tuple algorithms (Setup,  $\Delta$ -Gen, Upd, Enc, Dec) defined as follows.

- $(pk, dk_0, hk_0^{(1)}, \dots, hk_0^{(\ell)}) \leftarrow \text{Setup}(\lambda, \ell)$ : An algorithm for key generation. It takes a security parameter  $\lambda$  and the maximum hierarchy depth  $\ell$  as input, and outputs a public key  $pk$ , an initial secret key  $dk_0$ , and initial helper keys  $hk_0^{(1)}, \dots, hk_0^{(\ell)}$ , where  $hk_0^{(i)}$  ( $1 \leq i \leq \ell$ ) is assumed to be stored user's  $i$ -th level private device.

- $\delta_{\mathbf{I}, T_{i-1}(\mathbf{time})}^{(i-1)}$  or  $\perp \leftarrow \Delta\text{-Gen}(hk_{t_i}^{(i)}, \mathbf{time})$ : An algorithm for key update generation. It takes an  $i$ -th helper key  $hk_{t_i}^{(i)}$  at a time period  $t_i \in \mathcal{T}_i$  and current time  $\mathbf{time}$  as input, and outputs key update  $\delta_{\mathbf{I}, T_{i-1}(\mathbf{time})}^{(i-1)}$  if  $t_i = T_i(\mathbf{time})$ ; otherwise, it outputs  $\perp$ .
- $hk_{\tau_i}^{(i)} \leftarrow \text{Upd}(hk_{t_i}^{(i)}, \delta_{\mathbf{I}, \tau_i}^{(i)})$ : A probabilistic algorithm for decryption key generation. It takes an  $i$ -th helper key  $hk_{t_i}^{(i)}$  at a time period  $t_i \in \mathcal{T}_i$  and key update  $\delta_{\mathbf{I}, \tau_i}^{(i)}$  at a time period  $\tau \in \mathcal{T}_i$  as input, and outputs a renewal  $i$ -th helper key  $hk_{\tau_i}^{(i)}$  at  $\tau$ . Note that for any  $t_0 \in \mathcal{T}_0$ ,  $hk_{t_0}^{(0)}$  means  $dk_{t_0}$ .
- $\langle C, \mathbf{time} \rangle \leftarrow \text{Enc}(pk, \mathbf{time}, M)$ : A probabilistic algorithm for encryption. It takes a public key  $pk$ , current time  $\mathbf{time}$ , and a plaintext  $M \in \mathcal{M}$  as input, and outputs a pair of a ciphertext and current time  $\langle C, \mathbf{time} \rangle$ .
- $M$  or  $\perp \leftarrow \text{Dec}(dk_{t_0}, \langle C, \mathbf{time} \rangle)$ : A deterministic algorithm for decryption. It takes  $dk_{t_0}$  and  $\langle C, \mathbf{time} \rangle$  as input, and outputs  $M$  or  $\perp$ , where  $\perp$  indicates decryption failure.

In the above model, we assume that  $\Pi_{\text{PKIE}}$  meets the following correctness property: For all  $\lambda$ , all  $\ell := \text{poly}(\lambda)$ , all  $(pk, dk_0, hk_0^{(1)}, \dots, hk_0^{(\ell)}) \leftarrow \text{Setup}(\lambda, \ell)$ , all  $M \in \mathcal{M}$ , and all  $\mathbf{time} \in \mathcal{T}$ , it holds that  $M \leftarrow \text{Dec}(dk_{T_0(\mathbf{time})}, \text{Enc}(pk, \mathbf{time}, M))$ , where  $dk_{T_0(\mathbf{time})}$  is generated as follows: For  $i = \ell, \dots, 1$ ,  $hk_{T_{i-1}(\mathbf{time})}^{(i-1)} \leftarrow \text{Upd}(hk_{t_{i-1}}^{(i-1)}, \Delta\text{-Gen}(hk_{T_i(\mathbf{time})}^{(i)}, \mathbf{time}))$ , where some  $t_i \in \mathcal{T}_i$ . Note that  $hk_{T_0(\mathbf{time})}^{(0)} := dk_{T_0(\mathbf{time})}$ .

We consider the strong security for (hierarchical) PK-KIE, i.e., indistinguishability against key exposure and chosen ciphertext attack for PK-KIE (IND-KE-CCA). Let  $\mathcal{A}$  be a PPT adversary, and  $\mathcal{A}$ 's advantage against IND-KE-CCA security is defined by

$$\text{Adv}_{\Pi_{\text{PKIE}}, \mathcal{A}}^{\text{KE-CCA}}(\lambda, \ell) := \left| \Pr \left[ b' = b \left| \begin{array}{l} (pk, dk_0, hk_0^{(1)}, \dots, hk_0^{(\ell)}) \leftarrow \text{Setup}(\lambda, \ell), \\ (M_0^*, M_1^*, \mathbf{time}^*, \text{state}) \leftarrow \mathcal{A}^{KI(\cdot, \cdot), \text{Dec}(\cdot)}(\text{find}, pk), \\ b \xleftarrow{\$} \{0, 1\}, C^* \leftarrow \text{Enc}(pk, \mathbf{time}^*, M_b^*), \\ b' \leftarrow \mathcal{A}^{KI(\cdot, \cdot), \text{Dec}(\cdot)}(\text{guess}, C^*, \text{state}) \end{array} \right. \right] - \frac{1}{2} \right|.$$

where  $KI(\cdot, \cdot)$  and  $\text{Dec}(\cdot)$  are defined as follows.

$KI(\cdot, \cdot)$ : For a query  $(i, \mathbf{time}) \in \{0, 1, \dots, \ell\} \times \mathcal{T}$ , it returns  $hk_{T_i(\mathbf{time})}^{(i)}$  by running  $\delta_{\mathbf{I}, T_{j-1}(\mathbf{time})}^{(j-1)} \leftarrow \Delta\text{-Gen}(hk_{T_j(\mathbf{time})}^{(j)}, \mathbf{time})$  and  $hk_{T_{j-1}(\mathbf{time})}^{(j-1)} \leftarrow \text{Upd}(hk_t^{(j-1)}, \delta_{\mathbf{I}, T_{j-1}(\mathbf{time})}^{(j-1)})$  for  $j = \ell, \dots, i+1$ .

$\text{Dec}(\cdot)$ : For a query  $\langle C, \mathbf{time} \rangle$ , it returns  $\text{Dec}(dk_{T_0(\mathbf{time})}, \langle C, \mathbf{time} \rangle)$ .

$\mathcal{A}$  can issue any queries  $(i, \mathbf{time})$  to the  $KI$  oracle if there exists at least one special level  $j \in \{0, 1, \dots, \ell\}$  such that

1. For any  $\mathbf{time} \in \mathcal{T}$ ,  $(j, \mathbf{time})$  is never issued to  $KI$ .

2.  $(i, \mathbf{time}) \in \{0, 1, \dots, j-1\} \times \mathcal{T}$  such that  $T_i(\mathbf{time}) = T_i(\mathbf{time}^*)$  is never issued to  $KI$ .

$\mathcal{A}$  is not allowed to issue  $\langle C^*, \mathbf{time} \rangle$  such that  $T_0(\mathbf{time}) = T_0(\mathbf{time}^*)$  to  $Dec$ .

**Definition 5 (IND-KE-CCA)** An  $\ell$ -level hierarchical PK-KIE scheme  $\Pi_{\text{PKIE}}$  is said to be IND-KE-CCA secure if  $Adv_{\Pi_{\text{PKIE}}, \mathcal{A}}^{\text{KE-CCA}}(\lambda, \ell)$  is negligible in  $\lambda$  for all PPT adversaries  $\mathcal{A}$ .

*Remark 3* The above security definition captures strong security. In particular, the above definition is equivalent to traditional definition of PK-KIE [2, 14] when  $\ell = 1$ .

## 7.2 Construction

We construct an  $\ell$ -level hierarchical PK-KIE scheme based on our CPA-secure hierarchical IKE construction and an well-known transformation from any CPA-secure IBE scheme and any OTS scheme to a CCA-secure PKE scheme [5, 8]. Therefore, this construction is similar to a CCA-secure hierarchical IKE construction proposed in Section 6. The main difference between them is that in this construction, the master key of the Jutla-Roy HIBE scheme is used as an  $\ell$ -th level helper key as in the existing construction of PK-KIE from an IBE scheme [2], whereas it is used as the master key in the CCA-secure hierarchical IKE construction.

An  $\ell$ -level hierarchical PK-KIE scheme  $\Pi_{\text{PKIE}} = (\text{Setup}, \Delta\text{-Gen}, \text{Upd}, \text{Enc}, \text{Dec})$  is constructed as follows.

- **Setup** $(\lambda, \ell)$ : It runs  $(\mathbb{G}_1, \mathbb{G}_2, \mathbb{G}_T, p, g_1, g_2, e) \leftarrow \mathcal{G}$ . It chooses  $x_0, y_0, \{(x_{1,j}, y_{1,j})\}_{j=0}^{\ell-1}, \hat{x}_1, \hat{y}_1, x_2, y_2, x_3, y_3, \overset{\$}{\leftarrow} \mathbb{Z}_p$  and  $\alpha \overset{\$}{\leftarrow} \mathbb{Z}_p^\times$ , and sets

$$z = e(g_1, g_2)^{-x_0\alpha + y_0}, \quad u_{1,j} := g_1^{-x_{1,j}\alpha + y_{1,j}} \quad (0 \leq j \leq \ell - 1),$$

$$\hat{u}_1 := g_1^{-\hat{x}_1\alpha + \hat{y}_1}, \quad w_1 := g_1^{-x_2\alpha + y_2}, \quad h_1 := g_1^{-x_3\alpha + y_3}.$$

It chooses  $\beta_0^{(x)}, \dots, \beta_{\ell-1}^{(x)}, \beta_0^{(y)}, \dots, \beta_{\ell-1}^{(y)} \overset{\$}{\leftarrow} \mathbb{Z}_p$ , computes

$$B^{(y)} := \sum_{i=0}^{\ell-1} \beta_i^{(y)}, \quad B^{(x)} := \sum_{i=0}^{\ell-1} \beta_i^{(x)},$$

$$D'_y := g_2^{y_0 + B^{(y)}}, \quad D'_x := g_2^{-x_0 - B^{(x)}},$$

$$R_j^{(y)} := g_2^{-\beta_j^{(y)}} \quad (0 \leq j \leq \ell - 1), \quad R_j^{(x)} := g_2^{\beta_j^{(x)}} \quad (0 \leq j \leq \ell - 1).$$

It outputs

$$pk := (g_1, g_1^\alpha, \{u_{1,j}\}_{j=0}^{\ell-1}, \hat{u}_1, w_1, h_1,$$

$$g_2, \{(g_2^{x_{1,j}}, g_2^{y_{1,j}})\}_{j=0}^{\ell-1}, g_2^{\hat{x}_1}, g_2^{\hat{y}_1}, g_2^{x_2}, g_2^{y_2}, g_2^{x_3}, g_2^{y_3}, z),$$

$$dk_0 := (R_0^{(y)}, R_0^{(x)}), \quad hk_0^{(i)} := (R_i^{(y)}, R_i^{(x)}) \quad (1 \leq i \leq \ell - 1), \quad hk_0^{(\ell)} := (D'_y, D'_x).$$

- $\Delta\text{-Gen}(hk_{t_i}^{(i)}, \mathbf{time})$ : If  $t_i \neq T_i(\mathbf{time})$ , it outputs  $\perp$ .<sup>7</sup> Otherwise, parse  $hk_{t_i}^{(i)}$  as  $(R_i^{(y)}, R_i^{(x)}, D_y, D'_y, D_x, D'_x, D, \{(K_j^{(y)}, K_j^{(x)})\}_{j=0}^{i-1}, K_{vk}, K'_{vk})$ .<sup>8</sup> It chooses  $r \leftarrow \mathbb{Z}_p$ , and let  $t_j := T_j(\mathbf{time})$  ( $i-1 \leq j \leq \ell-1$ ). It computes

$$\begin{aligned} \hat{d}_y &:= D_y(g_2^{y_2})^r, & \hat{d}'_y &:= D'_y(K_{i-1}^{(y)})^{t_{i-1}} \left( \prod_{j=i-1}^{\ell-1} ((g_2^{y_{1,j}})^{t_j} g_2^{y_3})^r \right), \\ \hat{d}_x &:= D_x(g_2^{x_2})^{-r}, & \hat{d}'_x &:= D'_x(K_{i-1}^{(x)})^{t_{i-1}} \left( \prod_{j=i-1}^{\ell-1} ((g_2^{x_{1,j}})^{t_j} g_2^{x_3})^{-r} \right), \\ \hat{d} &:= Dg_2^r, \\ \hat{k}_j^{(y)} &:= K_j^{(y)}(g_2^{y_{1,j}})^r \quad (0 \leq j \leq i-2), & \hat{k}_j^{(x)} &:= K_j^{(x)}(g_2^{x_{1,j}})^{-r} \quad (0 \leq j \leq i-2), \\ \hat{k}_{vk} &:= K_{vk}(g_2^{y_1})^r, & \hat{k}'_{vk} &:= K'_{vk}(g_2^{x_1})^{-r}. \end{aligned}$$

- It outputs  $\delta_{\mathbf{I}, t_i}^{(i-1)} := (\hat{d}_y, \hat{d}'_y, \hat{d}_x, \hat{d}'_x, \hat{d}, \{(\hat{k}_j^{(y)}, \hat{k}_j^{(x)})\}_{j=0}^{i-2}, \hat{k}_{vk}, \hat{k}'_{vk})$ .<sup>9</sup>
- $\text{Upd}(hk_{t_i}^{(i)}, \delta_{\mathbf{I}, \tau_i}^{(i)})$ : Parse  $hk_{t_i}^{(i)}$  and  $\delta_{\mathbf{I}, \tau_i}^{(i)}$  as  $(R_i^{(y)}, R_i^{(x)}, D_y, D'_y, D_x, D'_x, D, \{(K_j^{(y)}, K_j^{(x)})\}_{j=0}^{i-1}, K_{vk}, K'_{vk})$  and  $(\hat{d}_y, \hat{d}'_y, \hat{d}_x, \hat{d}'_x, \hat{d}, \{(\hat{k}_j^{(y)}, \hat{k}_j^{(x)})\}_{j=0}^{i-1}, \hat{k}_{vk}, \hat{k}'_{vk})$ , respectively. It outputs  $hk_{\tau_i}^{(i)} := (\hat{R}_i^{(y)}, \hat{R}_i^{(x)}, \hat{D}_y, \hat{D}'_y, \hat{D}_x, \hat{D}'_x, \hat{D}, \{(\hat{K}_j^{(y)}, \hat{K}_j^{(x)})\}_{j=0}^{i-1}, \hat{K}_{vk}, \hat{K}'_{vk}) = (R_i^{(y)}, R_i^{(x)}, \hat{d}_y, \hat{d}'_y R_i^{(y)}, \hat{d}_x, \hat{d}'_x R_i^{(x)}, \hat{d}, \{(\hat{k}_j^{(y)}, \hat{k}_j^{(x)})\}_{j=0}^{i-1}, \hat{k}_{vk}, \hat{k}'_{vk})$ .
- $\text{Enc}(pk, \mathbf{time}, M)$ : It chooses  $s, \mathbf{tag} \xleftarrow{\$} \mathbb{Z}_p$ , and runs  $(vk, sk) \leftarrow \text{KGen}(\lambda)$ . For  $M \in \mathbb{G}_T$ , it computes

$$C_M := Mz^s, \quad C_y := g_1^s, \quad C_x := (g_1^\alpha)^s, \quad C_{\mathbf{time}} := \left( \prod_{j=0}^{\ell-1} (u_{1,j}^{t_j} \hat{u}_1^{vk} w_1^{\mathbf{tag}} h_1) \right)^s,$$

where  $t_j := T_j(\mathbf{time})$  ( $0 \leq j \leq \ell-1$ ). It runs  $\sigma \leftarrow \text{Sign}(sk, (C_M, C_y, C_x, C_{\mathbf{time}}, \mathbf{tag}))$ , and outputs  $C := (vk, C_M, C_y, C_x, C_{\mathbf{time}}, \mathbf{tag}, \sigma)$ .

- $\text{Dec}(dk_{t_0}, (C, \mathbf{time}))$ : If  $t_0 \neq T_0(\mathbf{time})$ , then it outputs  $\perp$ . Otherwise, parse  $dk_{t_0}$  and  $C$  as  $(R_0^{(y)}, R_0^{(x)}, D_y, D'_y, D_x, D'_x, D, K_{vk}, K'_{vk})$  and  $(C_M, C_y, C_x, C_{\mathbf{time}}, \mathbf{tag}, \sigma)$ , respectively. If  $\text{Ver}(vk, C_M, C_y, C_x, C_{\mathbf{time}}, \mathbf{tag}, \sigma) \rightarrow 0$ , then it outputs  $\perp$ . Otherwise, it computes

$$\hat{D}'_y := D'_y(K_{vk})^{vk}, \quad \hat{D}'_x := D'_x(K'_{vk})^{vk}.$$

Finally, it outputs

$$M = \frac{C_M e(C_{\mathbf{time}}, D)}{e(C_y, D_y^{\mathbf{tag}} \hat{D}'_y) e(C_x, D_x^{\mathbf{tag}} \hat{D}'_x)}.$$

<sup>7</sup> This means that initial helper keys  $hk_0^{(\ell-1)}, \dots, hk_0^{(2)}, hk_0^{(1)}$  must be updated by  $hk_0^{(\ell)}$  first and foremost since  $0 \notin \mathcal{T}_i$  for every  $i \in \{0, 1, \dots, \ell-1\}$ .

<sup>8</sup> In the case  $i = \ell$ ,  $R_\ell, D_y, D_x, D$ , and  $\{(K_j^{(y)}, K_j^{(x)})\}_{j=0}^{i-1}$  mean empty strings, and we consider these as identity elements in  $\mathbb{G}_2$  when these elements are used in operations.

<sup>9</sup> In the case  $i = 1$ ,  $\{(\hat{k}_j^{(y)}, \hat{k}_j^{(x)})\}_{j=0}^{\ell-1}$  means an empty string, namely we have  $\delta_{t_0}^{(0)} := (\hat{d}_y, \dots, \hat{d}_5, \hat{k}_{vk}, \hat{k}'_{vk})$ .

**Table 3** Parameters evaluation of our  $\ell$ -level hierarchical PK-KIE scheme.

$\#pk$ ,  $\#hk^{(\ell)}$ ,  $\#hk^{(i)}$ ,  $\#dk$ , and  $\#C$  denote the sizes of public keys,  $\ell$ -th level helper keys,  $i$ -th level helper keys ( $0 \leq i \leq \ell - 1$ ), decryption keys, and ciphertexts.  $k$  denotes the number of allowable leaked decryption keys in the scheme.

$\#pk$	$\#dk$	$\#hk_\ell$	$\#hk_i$
$(\ell + 5) \mathbb{G}_1  + (2\ell + 5) \mathbb{G}_2  +  \mathbb{G}_T $	$7 \mathbb{G}_2 $	$2 \mathbb{G}_2 $	$(2i + 9) \mathbb{G}_2 $
$\#C$	Enc. Cost	Dec. Cost	Assumption
$3 \mathbb{G}_1  +  \mathbb{G}_T  +  \mathbb{Z}_p $	$[0, 0, \ell + 5, 1]$	$[3, 0, 2, 0]$	SXDH

We can easily check the correctness in a way similar to our hierarchical IKE scheme.

For the security of the above construction, we obtain the following theorem. This theorem can be also easily proved by combining existing techniques [5, 8] and our proof techniques of Theorem 1. Therefore, we omit the proof.

**Theorem 3** *If the SXDH assumption holds and  $\Pi_{\text{OTS}}$  is sUF-OT secure, then the resulting  $\ell$ -level hierarchical PK-KIE scheme  $\Pi_{\text{PKIE}}$  is IND-KE-CCA secure.*

### 7.3 Parameter Evaluation and Discussion

We give a parameter evaluation of our scheme in Table 3. Again, the proposed construction is the first PK-KIE scheme in the hierarchical setting.

We compare our scheme in the non-hierarchical case with existing PK-KIE schemes. Dodis et al. [14] (strongly) CCA-secure (non-hierarchical) PK-KIE scheme under decisional Diffie-Hellman (DDH) assumption. Namely, this scheme can be realized without pairings, though it does not satisfy optimal threshold property, which means that the scheme is secure even if any polynomially many decryption keys are leaked. As a result, the number of allowable leaked decryption keys  $q$  has to be determined in the setup algorithm of their scheme, and its parameter sizes depend on  $q$ . On the other hand, our scheme satisfies the optimal threshold property, and achieves constant-size parameters when  $\ell = 1$ . Bellare and Palacio [2] showed a generic transformation from any CCA-secure IBE scheme to CCA-secure PK-KIE scheme. However, the resulting scheme does not meet strong security. Cheon et al. [11] showed a generic transformation from any timed-release encryption (TRE) scheme to strongly CCA-secure PK-KIE scheme. However, the resulting scheme seems less efficient than ours since the currently-known, most efficient construction of TRE scheme [25] needs a CPA-secure identity-based key-encapsulation system, a CCA-secure PKE scheme, and an OTS scheme, whereas our scheme is based on a specific CPA-secure IBE scheme (i.e., the Jutla-Roy IBE) and an OTS scheme.

## 8 Conclusion

In this paper, we first proposed an  $\ell$ -level hierarchical key-insulated encryption without random oracles in both the identity-based and public-key setting. When  $\ell = 1$ , our construction achieves constant-size parameters including public parameters, decryption and helper keys, and ciphertexts, and hence our IKE scheme is more efficient than the existing scheme [35] in the sense of parameter sizes. Our IKE scheme is based on the Jutla-Roy HIBE [23] (and its variant [27]) and techniques of threshold secret sharing schemes [4, 29]. Recently, the Jutla-Roy IBE is beginning to attract attention [30, 10] due to its useful property (re-randomizability, etc.), and we expect that the Jutla-Roy IBE has untapped potential and more applications. Furthermore, we realized a hierarchical PK-KIE scheme based on our hierarchical IKE construction through the transformation techniques [5, 8].

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## A Omitted Descriptions

**Bilinear Group.** A bilinear group generator  $\mathcal{G}$  is an algorithm that takes a security parameter  $\lambda$  as input and outputs a bilinear group  $(p, \mathbb{G}_1, \mathbb{G}_2, \mathbb{G}_T, g_1, g_2, e)$ , where  $p$  is a prime,  $\mathbb{G}_1$ ,  $\mathbb{G}_2$ , and  $\mathbb{G}_T$  are multiplicative cyclic groups of order  $p$ ,  $g_1$  and  $g_2$  are (random) generators of  $\mathbb{G}_1$  and  $\mathbb{G}_2$ , respectively, and  $e$  is an efficiently computable and non-degenerate bilinear map  $e : \mathbb{G}_1 \times \mathbb{G}_2 \rightarrow \mathbb{G}_T$  with the following bilinear property: For any  $u, u' \in \mathbb{G}_1$  and  $v, v' \in \mathbb{G}_2$ ,  $e(uu', v) = e(u, v)e(u', v)$  and  $e(u, vv') = e(u, v)e(u, v')$ .

A bilinear map  $e$  is called symmetric or a “Type-1” pairing if  $\mathbb{G}_1 = \mathbb{G}_2$ . Otherwise, it is called asymmetric. In the asymmetric setting,  $e$  is called a “Type-2” pairing if there is an efficiently computable isomorphism either from  $\mathbb{G}_1$  to  $\mathbb{G}_2$  or from  $\mathbb{G}_2$  to  $\mathbb{G}_1$ . If no efficiently computable isomorphisms are known, then it is called a “Type-3” pairing. In this paper, we focus on the Type-3 pairing, which is the most efficient setting in terms of group sizes (of  $\mathbb{G}_1$ ) and operations. For details, see [9,17].

We next give formal definitions the CBDH and DBDH assumptions as follows. In the following, we assume the Type-1 pairing (i.e.,  $\mathbb{G} := \mathbb{G}_1 = \mathbb{G}_2$ ).

**Computational Bilinear Diffie-Hellman (CBDH) Assumption.** Let  $\mathcal{A}$  be a PPT adversary and we consider  $\mathcal{A}$ ’s advantage against the CBDH problem as follows.

$$Adv_{\mathcal{G}, \mathcal{A}}^{\text{CBDH}}(\lambda) := \Pr \left[ T = e(g, g)^{c_1 c_2 c_3} \mid \begin{array}{l} (p, \mathbb{G}, \mathbb{G}_T, g, e) \leftarrow \mathcal{G}, \\ c_1, c_2, c_3 \xleftarrow{\$} \mathbb{Z}_p, \\ T \leftarrow \mathcal{A}(\lambda, g, g^{c_1}, g^{c_2}, g^{c_3}) \end{array} \right].$$

**Definition 6** The CBDH assumption relative to a generator  $\mathcal{G}$  holds if for all PPT adversaries  $\mathcal{A}$ ,  $Adv_{\mathcal{G}, \mathcal{A}}^{\text{CBDH}}(\lambda)$  is negligible in  $\lambda$ .

**Decisional Bilinear Diffie-Hellman (DBDH) Assumption.** Let  $\mathcal{A}$  be a PPT adversary and we consider  $\mathcal{A}$ ’s advantage against the DBDH problem as follows.

$$Adv_{\mathcal{G}, \mathcal{A}}^{\text{DBDH}}(\lambda) := \Pr \left[ b' = b \mid \begin{array}{l} (p, \mathbb{G}, \mathbb{G}_T, g, e) \leftarrow \mathcal{G}, \\ c_1, c_2, c_3 \xleftarrow{\$} \mathbb{Z}_p, \\ b \xleftarrow{\$} \{0, 1\}, \\ \text{if } b = 1 \text{ then } W := \hat{e}(g, g)^{c_1 c_2 c_3}, \\ \text{else } W \xleftarrow{\$} \mathbb{G}_T, \\ b' \leftarrow \mathcal{A}(\lambda, g, g^{c_1}, g^{c_2}, g^{c_3}, W) \end{array} \right] - \frac{1}{2}.$$

**Definition 7** The DBDH assumption relative to a generator  $\mathcal{G}$  holds if for all PPT adversaries  $\mathcal{A}$ ,  $Adv_{\mathcal{G}, \mathcal{A}}^{\text{DBDH}}(\lambda)$  is negligible in  $\lambda$ .

Finally, we describe the definition of OTS as follows.

**One-time signature.** An OTS scheme  $\Pi_{\text{OTS}}$  consists of three-tuple algorithms (KGen, Sign, Ver) defined as follows.

- $(vk, sk) \leftarrow \text{KGen}(\lambda)$ : It takes a security parameter  $\lambda$  and outputs a pair of a public key and a secret key  $(vk, sk)$ .
- $\sigma \leftarrow \text{Sign}(sk, m)$ : It takes the secret key  $sk$  and a message  $m \in \mathcal{M}$  and outputs a signature  $\sigma$ .
- $1$  or  $0 \leftarrow \text{Ver}(vk, m, \sigma)$ : It takes the public key  $vk$  and a pair of a message and a signature  $(m, \sigma)$ , and then outputs  $1$  or  $0$ .

We assume that  $\Pi_{\text{OTS}}$  meets the following *correctness* property: For all security parameters  $\lambda \in \mathbb{N}$ , all  $(vk, sk) \leftarrow \text{KGen}(\lambda)$ , and all  $m \in \mathcal{M}$ , it holds that  $1 \leftarrow \text{Ver}(vk, (m, \text{Sign}(sk, m)))$ .

We describe the notion of strong unforgeability against one-time attack (sUF-OT). Let  $\mathcal{A}$  be a PPT adversary, and  $\mathcal{A}$ 's advantage against sUF-OT security is defined by

$$Adv_{\Pi_{\text{OTS}}, \mathcal{A}}^{\text{sUF-OT}}(\lambda) := \Pr \left[ 1 \leftarrow \text{Ver}(vk, m^*, \sigma^*) \wedge (m^*, \sigma^*) \neq (m, \sigma) \mid \begin{array}{l} (vk, sk) \leftarrow \text{KGen}(\lambda), \\ (m^*, \sigma^*) \leftarrow \mathcal{A}^{\text{Sign}(\cdot)}(vk) \end{array} \right].$$

$\text{Sign}(\cdot)$  is a *signing oracle* which takes a message  $m$  as input, and then returns  $\sigma$  by running  $\text{Sign}(sk, m)$ .  $\mathcal{A}$  is allowed to access to the above oracle only once.

**Definition 8** An OTS scheme  $\Pi_{\text{OTS}}$  is said to be sUF-OT secure if for all PPT adversaries  $\mathcal{A}$ ,  $Adv_{\Pi_{\text{OTS}}, \mathcal{A}}^{\text{sUF-OT}}(\lambda)$  is negligible in  $\lambda$ .