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3 **Transcriptional analysis of the lichenase-like gene *cel12A* of the**  
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5 **filamentous fungus *Stachybotrys atra* BP-A and its relevance for**  
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7 **lignocellulose depolymerization**  
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1  
2 **Abstract**

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4 To rationally optimize the production of industrial enzymes by molecular means  
5 requires previous knowledge of the regulatory circuits controlling the expression of the  
6 corresponding genes. The genus *Stachybotrys* is an outstanding producer of cellulose-  
7 degrading enzymes. Previous studies isolated and characterized the lichenase-like/non-  
8 typical cellulase Cel12A of *S atra* (AKA *S. chartarum*) belonging to glycosyl hydrolase  
9 family 12 (GH12). In this study we used RT-qPCR to determine the pattern of  
10 expression of *cel12A* under different carbon sources and initial ambient pH. Among the  
11 carbon sources examined, rice straw triggered a greater increase in the expression of  
12 *cel12A* than 1% lactose or 0.1% glucose, indicating specific induction by rice straw. In  
13 contrast, *cel12A* was repressed in the presence of glucose even when combined with this  
14 inducer. The proximity of 2 adjacent **5'-CTGGGGTCTGGGG-3'** CreA consensus  
15 target sites to the translational start site of *cel12A* strongly suggests that the carbon  
16 catabolite repression observed is directly mediated by CreA. Ambient pH did not have a  
17 significant effect on *cel12A* expression. These findings present new knowledge on  
18 transcriptional regulatory networks in *Stachybotrys* associated with  
19 cellulose/hemicellulose depolymerization. Rational engineering of CreA to remove  
20 CCR could constitute a novel strategy for improving the production of Cel12A.

21  
22 **Keywords**

23 *Stachybotrys*, lichenase, transcription regulation, RT-qPCR, agricultural waste, biomass  
24 depolymerization.

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27 **1. Introduction**

28 Filamentous fungi have the ability to produce a plethora of extracellular  
29 enzymes enabling them to utilize a wide spectrum of plant cell wall polysaccharides  
30 (*e.g.* cellulose, hemicelluloses and pectins), and thus they play a key step in the carbon  
31 cycle. The ecological and biotechnological importance of fungi and their extracellular  
32 plant cell wall-degrading enzymes (PCWDEs), as well as the importance of these  
33 enzymes in fungal nutrition and lifestyles have promoted interest towards understanding

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the molecular mechanisms controlling their production. As the production of extracellular enzymes in large quantities is an energy-consuming process, it is not surprising that the enzymatic degradation of plant polysaccharides and the subsequent utilization of their components as carbon and energy sources are highly regulated events (at the level of transcription) that ensure the hierarchical and conditional use of these substrates (MacCabe et al. 2002; Aro et al. 2005).

Carbon source-dependent regulation of genes encoding PCWDEs occurs by at least two independent mechanisms: induction in the presence of the polymeric substrate or its degradation products, and carbon catabolite repression (CCR) triggered by easily metabolisable carbon sources such as glucose (Martin et al. 2007; Amore et al. 2013; Adnan et al. 2018). Since plant polysaccharides are too large to enter the fungal cell, it is commonly accepted that their capacity to induce the expression of PCWDE genes resides in the production of a basal level of extracellular enzymatic activity that results in the liberation of a soluble inducer (reviewed by Amore et al. 2013). PCWDE gene induction has been studied in a number of filamentous fungi. In *Hypocrea jecorina* (*Trichoderma reesei*), *Neurospora crassa*, *Aspergillus niger* and *Aspergillus nidulans* the binuclear zinc cluster (Zn<sub>2</sub>Cys<sub>6</sub>) transcription factors CLR-1/ClrA (proposed binding site 5'-CGGN<sub>5</sub>CGGNCCG-3'), CLR-2/ClrB (5'-CGGN<sub>11</sub>CGG-3' or 5'-YAGAAT-3'), Ace2/AceB (5'-GGCTAATAA-3' or 5'-GGGTAAATTGG-3' or 5'-GGCW<sub>4</sub>-3'), and XlnR/Xyr1/Xlr-1 (5'-GGCTAA-3', 5'-GGCTRRR-3', 5'-GGCWW-3') activate the expression of genes required for cellulose and/or hemicellulose deconstruction (see Coradetti et al. 2017; Amore et al. 2013; Huberman et al. 2016; Benocci et al. 2017; and references therein), though not all these factors are ubiquitous in these organisms (and others) and the gene sets activated are not necessarily identical.

CCR is a general regulatory mechanism that also controls whether PCWDEs are produced or not. In the presence of preferred carbon sources such as glucose the expression of genes required for the utilization of alternative carbon sources (e.g. cellulose, hemicellulose) is prevented by the wide-domain Cys<sub>2</sub>His<sub>2</sub>-type zinc finger repressor CreA/CRE1/CRE-1 (see Amore et al. 2013; Huberman et al. 2016; Benocci et al. 2017; and references therein). CreA has been extensively characterized in *A. nidulans* and CreA homologues have been also found in other filamentous fungi. It has

66 been shown that CCR is exercised via binding of CreA to DNA targets with the  
67 consensus sequence 5'-SYGGRG-3' (Kulmburg et al. 1993; Cubero and Scazzocchio,  
68 1994).

69 In addition, though not being carbon source regulation, the enzymatic  
70 breakdown of plant cell wall polymers can occur at different environmental pH values,  
71 and fungi have also developed regulatory circuits to ensure that the metabolically costly  
72 synthesis of PCWDEs does not occur under unfavorable pH conditions where these  
73 enzymes are less active. The regulatory mechanism controlling pH-dependent  
74 transcriptional regulation has been extensively analyzed in *A. nidulans*, and a major role  
75 for the Cys2His2 wide-domain pH regulator PacC (binding site 5'-GCCARG-3') has  
76 been shown (see Peñalva and Arst, 2004; Peñalva et al. 2008; and references therein).  
77 The first demonstration that the expression of fungal genes encoding extracellular  
78 PCWDEs is regulated by environmental pH via PacC was that of the xylanolytic genes  
79 *xlnA* and *xlnB* of *A. nidulans* (MacCabe et al. 1998).

80 The genus *Stachybotrys* (Sordariomycete class) comprises several species, most  
81 having been isolated from agricultural wastes and other decomposing cellulosic  
82 materials (Abdel-Mallek, 1994; Wang et al. 2015). These biomass-degrading fungi thus  
83 exhibit efficient enzymatic systems for the degradation of these substrates, including  
84 alkaline-resistant and thermostable cellulases, and  $\beta$ -glucanases with potential  
85 applications in the paper, textile, food and biofuel industries (Picart et al. 2016; Picart et  
86 al. 2008; Saibi et al. 2007; Taylor *et al.* 2002; Tweddell *et al.* 1996). Notwithstanding  
87 the biotechnological relevance of *Stachybotrys*, studies on transcriptional regulatory  
88 networks controlling the expression of its PCWDE genes are scarce at present. Only a  
89 few studies reporting the expression of  $\beta$ -glucosidase genes of *Stachybotrys microspora*  
90 (using semi-quantitative RT-PCR assays) have been reported (Abdeljalil et al. 2013;  
91 2014; Ben Hmad et al. 2014). In addition, few studies on the expression of genes  
92 involved in the mycoparasitism of *Stachybotrys elegans* have been also published  
93 (Morissette et al. 2003; 2006; 2008; Chamoun et al. 2015). Probably, the fact that  
94 several strains of the genus *Stachybotrys* have been reported as potentially toxigenic has  
95 hampered the study of their biomass-degrading enzymatic system (Etzcel et al. 1998;  
96 Brasel et al. 2005).

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97 We have previously isolated the strain *S. atra* BP-A from a rotting cellulose rag,  
98 and one of the genes encoding a major PCWDE produced by this strain (*cel12A*) has  
99 been cloned and characterized (Picart et al. 2012). The product of this gene, Cel12A,  
100 belongs to the glycosyl hydrolase family 12 (GH12) and was found to be a lichenase-  
101 like or non-typical cellulase showing low activity on cellulose but high activity on  
102 lichenan ( $\beta$ -1,3-1,4-glucan) and barley mixed glucans ((1 $\rightarrow$ 3, 1 $\rightarrow$ 4)- $\beta$ -D-glucans).  
103 These properties show the potential application of Cel12A in the brewing and wine  
104 industries to facilitate filtration processes; in animal foodstuffs to improve  $\beta$ -glucans  
105 digestibility and nutritive quality; to produce valuable oligosaccharides; and in the  
106 processing of agricultural and industrial wastes for producing bioethanol and biodiesel  
107 (Thomas, 1956; Goldenkova-Pavlova et al. 2018; Chaari and Ellouz-Chaabouni, 2019).  
108 The aim of the present work was to study (real-time RT-qPCR) the effect of different  
109 carbon sources and initial pH values on the expression of *cel12A*. To the best of our  
110 knowledge, this is the first report on the regulation of a lichenase gene in the genus  
111 *Stachybotrys*, and established a possible direct role for the carbon catabolite repressor  
112 CreA and its CCR. We have identified (orthology predictions) putative transcription  
113 regulators of the PCWDE gene *cel12A* in the genus *Stachybotrys*. We believe that this  
114 work could be relevant to study the regulation of other PCWDE genes (*e.g.* encoding  
115 thermostable cellulases), as well as genes associated with mycoparasitism (*e.g. sechi44*  
116 encoding a chitinase repressed by glucose; Morisette et al., 2006) in the genus  
117 *Stachybotrys*, an outstanding cellulolytic fungus.

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## 119 **2. Material and methods**

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### 121 *2.1 Strains and culture conditions*

122 *Stachybotrys atra* BP-A was previously isolated in our research group and  
123 maintained at 30 °C on potato-dextrose agar (PDA) as described (Picart et al 2008).  
124 Rice straw was collected from *Oryza sativa* cultivated fields in La Albufera de Valencia  
125 (Spain).

126 To obtain mycelium for enzyme production and RNA extraction under different  
127 growth conditions, 10<sup>6</sup> spores/mL were inoculated in 50 mL of non-buffered basal

128 media (BM; pH 6.5) (Mandels and Weber, 1969) supplemented with 1% (w/v) glucose  
129 and incubated at 30 °C and 200 rpm. After 24 hours, pregrown mycelium was filtered,  
130 washed and transferred to fresh 50 mL BM, supplemented with the following carbon  
131 sources: 1% (w/v) glucose, 0.1% (w/v) glucose, 1% (w/v) lactose, 1% (w/v) rice straw,  
132 1% (w/v) rice straw + 1% (w/v) glucose, and 1% (w/v) rice straw + 0.1% (w/v) glucose,  
133 and incubated for another 16 h at 30 °C and 200 rpm. Similarly, to achieve the different  
134 conditions with respect to ambient pH, pregrown mycelium (BM + 1% glucose) was  
135 transferred to induction media (BM + 1% rice straw) buffered to acidic (pH 5.2), or  
136 alkaline (pH 7.9) conditions using 100 mM phosphate and 200 mM Na<sup>+</sup> as described  
137 (Orejas et al. 1995), and incubated for another 16 h at 30 °C and 200 rpm. After  
138 incubation, supernatants were collected for lichenase activity assay and protein  
139 quantification, whereas the mycelia were harvested by filtration using Miracloth filters  
140 (Calbiochem®, CA, USA) for subsequent RNA extraction. All experiments were done  
141 by triplicate.

## 143 *2.2. Lichenase activity assay and protein quantification*

144 Enzyme activity was assayed by measuring the amount of reducing sugars  
145 released from lichenan using the method of Nelson and Somogyi (Spiro, 1966). The  
146 assay mixtures contained 1.5% (w/v) lichenan in a final volume of 0.1 mL of 50 mM  
147 phosphate buffer at pH 6. The mixtures were incubated at 45 °C for 15 min. Color  
148 development was measured at 520 nm. One unit of lichenase activity was defined as the  
149 amount of enzyme that released 1 µmol of reducing sugar equivalent per min under the  
150 assay conditions described.

151 The Bradford protein assay was used to measure the concentration of total  
152 extracellular proteins (Bradford, 1976) using BSA as standard. All assays were  
153 performed in triplicate.

## 155 *2.3. RNA extraction and reverse transcription*

156 Harvested mycelia were immediately ground in liquid nitrogen to a fine powder.  
157 Total RNAs were extracted by using the FastRNA® kit (Qbiogene) and treated with

158 RNase-free DNase I<sup>TM</sup> (QIAGEN) according to the manufacturer's recommendations.  
159 The RNA concentration and purity (A260/A280 and 260/230 ratios) were determined  
160 using a Nano-Drop ND-1000 spectrophotometer (NanoDrop Technologies), and agarose  
161 (1.1% w/v) gel electrophoresis was conducted to visualize the integrity of the RNAs.  
162 Only undegraded RNA samples with an A260/A280 ratio between 1.9 and 2.1, and  
163 A260/A230 ratios greater than 2.0 were used for the analyses.

164 First strand cDNAs were synthesized from 1 µg of total RNA using random  
165 hexamers as primers and SuperScript<sup>TM</sup> II (MoMLV-RT, Roche) according to the  
166 manufacturer's instructions.

#### 168 2.4. Expression analysis of *cell2A* by real-time quantitative RT-qPCR

169 RT-qPCR was performed for the target gene *cell2A* and for the housekeeping  
170 reference gene *18S rRNA* using the GeneAmp 5700 Sequence Detection System (PE  
171 Applied Biosystems) and the TaqMan<sup>®</sup> probe (Applied Biosystems), following the  
172 manufacturer's instructions. Amplification assays were performed in 30 µL reactions  
173 containing the following concentrations: 0.9 mmols of each primer, 0.2 mmols  
174 Taqman<sup>®</sup> probe, 1 Unit of HotSplit DNA polymerase (Biotools) and 10 ng of template  
175 cDNA. Primers and TaqMan<sup>®</sup> probes used are listed in Table 1. The amplification  
176 conditions were: 95 °C for 10 min (hot start); followed by 40 cycles at 95 °C for 15 s; 1  
177 min at 60 °C. Reactions without cDNA functioned as negative controls. All assays were  
178 performed in triplicate. Following amplification, the specificity of the PCR products  
179 was confirmed by melting curve analyses.

180 We choose to use RT-qPCR instead northern blotting because it is more  
181 convenient for the detection and quantification of relative amounts of mRNA (*i.e.* it  
182 does not require large amounts of RNA), highly sensitive, accurate and reproducible,  
183 and thus allowing the examination of *cell2A* expression under different conditions  
184 including those resulting in very low levels of expression.

#### 185 2.5. Data quantification

186 Relative *cell2A* expression analysis was performed by the  $2^{-\Delta\Delta C_t}$  method (Morse  
187 et al. 2005) and normalized against the expression of *18S rRNA*, which exhibited no

188 significant  $C_t$  (threshold cycle) variation in all tested experimental conditions. Real-time  
189 RT-qPCR data were calculated as relative expression values (fold change) of *cel12A* in  
190 the analyzed conditions. The expression level of *cel12A* in non-buffered BM media  
191 supplemented with 1% rice straw as a sole carbon source was arbitrarily assigned as the  
192 reference sample with a  $2^{-\Delta\Delta C_t}$  value of 1.0.

### 193 *2.6 In silico analysis of putative transcription factors (TF) and TF binding sites in the* 194 *promoter of cel12A*

195 The recent availability of the genome sequence of *S. chartarum* IBT 40288  
196 [[https://fungi.ensembl.org/Stachybotrys\\_chartarum\\_ibt\\_40288\\_gca\\_000732765/Info/Index](https://fungi.ensembl.org/Stachybotrys_chartarum_ibt_40288_gca_000732765/Info/Index)]  
197 was used to obtain the 5'-upstream region (800 bp) of *cel12A* and also to search  
198 (BLASTP; Altschul et al. 1997) for potential homologs to known fungal transcription  
199 factors that could be involved in the regulation of *cel12A*.

200

## 201 **3. Results and discussion**

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### 203 *3.1. Gene structure of cel12A and promoter analysis*

204 The *cel12A* gene of *S. atra* BP-A (GenBank accession no. AM180511) contains  
205 an 848 bp open reading frame (ORF) interrupted by two introns of 78 and 53 bp in  
206 length (Picart et al. 2012). Its 5' untranslated region (*cel12A<sub>p</sub>*; 800 bp) was *in silico*  
207 analyzed (SnapGene Viewer) for the presence of DNA sequence motifs known to be  
208 recognized by relatively well conserved Zn2Cys6 (*i.e.* CLR-1/ClrA, CLR-2/ClrB,  
209 Ace2/AceB and XlnR/Xyr1/Xlr-1) and Cys2His2 (CreA/CRE1 and PacC/PAC1)  
210 transcription regulators of cellulase genes. As shown in Figure 1, one (5'-YAGAAT-3';  
211 at position -345 from the ATG-initiation codon) and three (5'-GGCWWW-3'; positions  
212 -240, -457, -689) predicted DNA binding sequences for CLR-2 and XlnR, respectively  
213 (Benocci et al. 2017) were found in *cel12A<sub>p</sub>*, suggesting an *in vivo* positive role for these  
214 activators in the expression of *cel12A*. Neither sites for CLR-1 nor for Ace2 were  
215 identified. The transcription activators CLR-2 and its orthologue ClrB are essential for  
216 cellulase gene expression in *N. crassa* and *A. nidulans*, respectively (Codaretti et al.  
217 2012). Using the amino acid sequence of CLR-2/NCU08042 of *N. crassa* against the



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218 hypothetical proteome of *S. atra* (BLASTP) we found a highly homologous protein  
219 (KFA75600/A0A084RHB5, >70% identity) to CLR-2. Reciprocal BLASTP analysis  
220 confirmed that KFA75600 might be the cellulose regulator CLR-2 of *S. atra*. In  
221 addition, the Zn(II)2Cys6 DNA-binding domains of both CLR-2 orthologues are 100%  
222 identical suggesting that their DNA targets would also be conserved. On the other hand,  
223 the transcription activator XlnR regulates the expression of cellulase and hemicellulase  
224 genes in *A. niger* (van Peij et al. 1998, Stricker et al. 2008) whereas its orthologue  
225 (XLR-1) in *N. crassa* has a different role, and predominantly regulates the expression of  
226 xylanolytic genes (Sun et al. 2012). BLASTP analysis using the amino acid sequence of  
227 the xylanolytic activator (XLR-1/NCU06971) of *N. crassa* revealed that *S. atra* also  
228 have an orthologue protein to XLR-1 (*i.e.* KFA73621/A0A084RBN6). The identity  
229 (100%) in the Zn(II)2Cys6 regions of both XLR-1 orthologues suggests that they  
230 recognized identical DNA targets.

231 Four DNA sequences matching the hexanucleotide 5'-SYGGRG-3' described as  
232 the binding site for the wide domain glucose repressor CreA (Kulmburg et al. 1993;  
233 Cubero and Scazzocchio, 1994) were also found in *cell12A<sub>p</sub>* (Figure 1). Two of these  
234 sequences are located at positions -523 and -436 from the ATG codon, whereas the  
235 other two are adjacent and directly oriented sequences separated by one base pair (5'-  
236 CTGGGGnCTGGGG-3'; positions -178 and -171 from the ATG), strongly suggesting  
237 that expression of *cell12A* is subjected to CCR directly mediated by CreA. It is in this  
238 regard noteworthy that a physiological role for CreA sites conforming the sequence 5'-  
239 CTGGGG-3' has been shown in both, the intergenic region between *prnD* and *prnB*  
240 (Cubero and Scazzocchio, 1994) and the promoter region of the xylanase gene *xlnA*  
241 (Orejas et al. 1999) of *A. nidulans*. BLASTP and reciprocal BLASTP analyses revealed  
242 that *S. atra* also has one hypothetical protein (KFA75113/A0A084RFX8) orthologue to  
243 the CreA repressor (AN6195) of *A. nidulans*.

244 Finally, two copies of the DNA consensus sequence 5'-GCCARG-3', which is  
245 the binding site of the main regulatory protein (PacC) controlling pH-dependent  
246 transcriptional regulation in *A. nidulans* (Caddick et al. 1986; Tilburn et al. 1995) and  
247 other fungi, were detected in *cell12A<sub>p</sub>* at positions -422 and -459 from the ATG (Figure  
248 1). This observation suggests that expression of *cell12A* could be also controlled by the

249 pH/PacC regulatory circuit. Using the BLASTP program, PacC (AN2855) has been also  
250 shown to have one putative orthologue in *S. atra* (KFA79600/A0A084RTR5).  
251 In summary, *in silico* analysis of the 5' flanking region adjacent to *cel12A* resulted in  
252 the identification of motifs and promoter elements that could be involved in its  
253 regulation. BLAST searches against the proteome of *S. atra* resulted in the  
254 identification of hypothetical regulatory proteins that could bind to those promoter  
255 targets to modulate the expression of *cel12A*. Rational engineering of these  
256 transcriptional factors could allow to enhance the production of not only Cel12A but  
257 also other industrially important PCWDE in *S. atra* or other *Stachybotrys* species  
258 (according to the JGI Genome Portal MycoCosm, the genome of *S. elegans MPI-*  
259 *CAGE-CH-0235* has potential to encode 841 carbohydrate acting enzymes while that of  
260 the industrial enzyme producers *A. niger* and *T. reesei* would encode 516 and 407  
261 respectively). A similar strategy has been successfully applied to enhance the  
262 production of endogenous and heterologous PCWDEs in *A. nidulans* (Tamayo-Ramos  
263 and Orejas, 2014). Moreover, as a biocontrol activity has been suggested for some  
264 *Stachybotrys* species, and expression of genes encoding extracellular enzymes  
265 associated with mycoparasitism (proteases,  $\beta$ -glucanases, chitinases and other fungal  
266 cell wall-degrading enzymes) could be also controlled by the carbon source and ambient  
267 pH, the identification of the potential regulatory proteins KFA79600/PacC and  
268 KFA75113/CreA of *Stachybotrys* could provide a better knowledge of the molecular  
269 mechanism involved in its mycoparasitism and thus help to improve its biocontrol  
270 efficiency against fungal pathogens.

### 272 *3.2 Influence of carbon sources and ambient pH on lichenase production*

273 The potential regulatory elements found in the promoter of *cel12A* as well as the  
274 activity of its gene product suggested that expression of *cel12A* could be at least  
275 regulated by two abiotic factors: carbon source (induced by cellulosic/hemicellulosic  
276 substrates and repressed by glucose) and ambient pH. Regarding to the inducing carbon  
277 source, current research into PCWDEs focuses on their uses in the bioconversion of  
278 agricultural wastes, as well as the environmental benefits that could have the use of  
279 these substrates. It has been reported that rice straw (one of the abundant lignocellulosic  
280 waste materials in the world; Karimi et al. 2006) greatly induced the production of

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281 cellulases in *S. atra* BP-A (Picart et al. 2008) and  $\beta$ -glucanases in *Aspergillus terreus*  
282 ASKU 10 (Prajnanban et al. 2008). On the other hand, in the CCR/CreA system of *A.*  
283 *nidulans* lactose is considered as a non-repressing carbon source whereas 0,1% glucose  
284 is less repressing than 1% glucose; Bailey and Arst, 1975).

285 Previous studies in our group of research (unpublished results) showed the  
286 presence of at least three protein bands in SDS-PAGE which zymography revealed to  
287 have lichenase activity under rice-straw conditions. Bearing this study in mind along  
288 with the above mentioned considerations, to assess whether lichenase biosynthesis in *S.*  
289 *atra* is indeed regulated by the carbon source, extracellular lichenase activity was firstly  
290 compared in transfer experiments from 1% glucose to potential inducing (1% rice  
291 straw), non-repressing (1% lactose), and two different levels of repressing conditions  
292 (1% glucose and 0,1% glucose). As seen in Figure 2, the complex source of carbon rice  
293 straw (predominantly contains cellulose (30–47%), hemicellulose (19–27%) and lignin;  
294 Karini et al. 2004; Jin and Chen, 2007; Horikawa et al. 2011) was the most effective  
295 inducer among the carbon sources tested. In the sole presence of this substrate  
296 extracellular lichenase activity was 6-fold greater than that obtained in 0,1% glucose  
297 (0.36 vs 0.06 U/mL), while it was not detected in 1% lactose or 1% glucose. These  
298 results suggest that in *S. atra* lichenase activity is produced at a basal level which is  
299 induced by rice straw and repressed by glucose and lactose.

300 To assess whether glucose also represses lichenase induction, extracellular  
301 lichenase activity was studied in transfer experiments to rice straw media with and  
302 without glucose. Figure 2 shows that lichenase activity was abolished when 1% glucose  
303 was combined with the inducer indicating that the induction of lichenase in *S. atra* is  
304 under CCR. As expected, lichenase activity was about 50% lower in media co-  
305 supplemented with rice straw and 0,1% glucose (0.19 U/ml) than in the sole presence of  
306 rice straw, indicating that induction is partially repressed under low concentration of the  
307 repressor (glucose). Not surprisingly, the amount of secreted proteins varied depending  
308 on the nature of the carbon source (Figure 2). In the presence of complex substrates  
309 such as rice straw more enzymes (lichenase and other PCWDEs) should be induced than  
310 in its absence or in the presence of repressing conditions (1% glucose) where  
311 biosynthesis of most of these enzymes is negatively affected.

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312 It can therefore be concluded that lichenase activity (including Cel12A) in *S.*  
313 *atra* is induced by rice straw and this induction repressed by glucose. In a previous  
314 study we have also found that *S. atra* BP-A secreted high cellulase activity when grown  
315 on rice straw, and this is not produced in the presence of glucose (Picart et al. 2008),  
316 suggesting that lichenase and cellulase genes might be co-regulated, and their promoters  
317 would share common *cis*-acting elements. The production profile of lichenase activity  
318 in *S. atra* is also similar to that of the *Stachybotrys microspore* endoglucanase, which is  
319 highly produced on cellulosic substrates such as wheat bran, and repressed on lactose  
320 and glucose (Hmad et al. 2014), and to that of *P. occitanis* lichenase, where its  
321 production is greatly induced by cellulose, barley flour, barley bran and oat flour  
322 (Chaari et al. 2014).

323 Finally, to investigate the effect of ambient pH on lichenase production,  
324 lichenase activity was studied in transfer experiments to inducing media (1% rice straw)  
325 buffered at acidic (pH 5.2) or alkaline (pH 7.9) pH values. As seen in Figure 2, both pH  
326 conditions gave similar yields of extracellular lichenase activity (about 0.4 U/mL),  
327 suggesting the lack of a role for pH and PacC in the synthesis of lichenase. Similar  
328 yields were also reached in the presence of phosphate (0,36 U/mL) indicating the lack  
329 of a role for this supplement. Interestingly, most of cellulolytic fungi show an acidic pH  
330 optimum for PCWDEs secretion (Chaari et al. 2014; Elgharbi et al. 2013; Grishutin et  
331 al. 2006, Murray et al. 2001). Instead, *S. atra* BP-A produced Cel12A at both, acidic  
332 and alkaline pH, a behavior that seems not to be very often among fungi.

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### 334 3.3 Analysis of *cel12A* expression in response to carbon source and ambient pH

335 To investigate whether the results obtained at the lichenase activity level  
336 correlated with differences in the expression of *cel12A*, its steady-state mRNA levels  
337 were quantified (by RT-qPCR) in mycelia obtained from the same cultures used to  
338 measure lichenase activity. The housekeeping gene *18S rRNA* was firstly validated to  
339 normalize *cel12A* expression data. As shown in Table 2, *18S rRNA* displayed similar Ct  
340 values across all experimental samples, indicating that its expression is stable in these  
341 conditions and it can therefore be considered a suitable reference gene. We have  
342 validated the *18S rRNA* as a reference gene for RT-qPCR analysis in *S. atra*, and we

343 expect it could potentially be used to normalize other results of gene expression in this  
344 fungus.

345 In contrast to the stable expression of *18S rRNA*, the  $C_t$  values of *cel12A* varied  
346 widely among the different growth conditions analyzed (Table 2), indicating that its  
347 expression is regulated. We arbitrary assigned as a reference sample the  $C_t$  value  
348 derived from the cultures of *S. atra* BP-A in 1% rice straw as a sole carbon source  
349 (considered as an inducing condition for lichenase activity, see above). To assess  
350 whether rice straw also induced gene expression of lichenase genes, *Cell12A* transcripts  
351 from mycelia grown either in 1% rice straw, 1% lactose or 0,1% glucose were  
352 quantified. Table 2 shows that the relative mRNA levels of *cel12A* are elevated in rice  
353 straw in comparison to those in the sole presence of 1% lactose (about 11-fold) or 0.1%  
354 glucose (about 58-fold), indicating that transcription of *cel12A* is indeed activated by  
355 rice straw. Likewise, *cel12A* mRNAs accumulated upon transfer to 1% rice straw but  
356 not (the  $C_t$  values are almost identical to those of the water control, about 39) in mycelia  
357 transferred to 1% rice straw + 1% glucose, or to 1% glucose alone (the pre-growth  
358 condition), clearly indicating that 1% glucose totally represses the expression of *cel12A*  
359 (with or without the inducer). Repression of the induction of *cel12A* is less pronounced  
360 at low glucose concentrations, as reflected by the amount of *cel12A* transcripts that was  
361 reduced 17-fold when the mycelia were grown in rice straw + 0,1% glucose relative to  
362 growths in 1% rice straw (Table 2). Great repression by 0.1% glucose of *cel12A* was  
363 observed in the absence of the inducer (about 58-fold less mRNA in 0,1% glucose than  
364 in 1% rice straw, and a high  $C_t$  value >35). Qualitatively, these results are in good  
365 agreement with those of lichenase activity (Fig. 2). The exception is the response of *S.*  
366 *atra* to 1% lactose. While this carbon source allows a certain expression of *cel12A*,  
367 extracellular lichenase activity was undetectable. One possible explanation to this result  
368 is a possible degradation of *Cell12A* by protease(s) produced in the presence of lactose.  
369 Protease production in fungi under specific growth conditions has already been reported  
370 (de Souza et al. 2015). Similarly to *cel12A*, moderate expression of some *T. reesei*  
371 cellulolytic genes in the presence of lactose has also been reported (Amore et al. 2013  
372 and references therein).

373 Transcription of *cel12A* was also analyzed in mycelia grown in 1% rice straw  
374 under acidic (pH 5.2) and alkaline (pH 7.9) conditions. In agreement to the lichenase

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375 activity data (Fig. 2), transcript levels of *cell12A* were very similar under both pH  
376 conditions (Table 2), indicating that transcription of *cell12A* is independent of the  
377 pH/PacC regulatory circuit as well as the lack of a physiological role for the three  
378 consensus PacC target sites in *cell12A<sub>p</sub>*. It is interesting that acid or alkaline pH  
379 conditions are not affecting - as it seems in Figure 2 - the total extracellular lichenase  
380 activity, whereas the mRNA of *cell12A* (Table 2) accumulated at greater levels in  
381 buffered media than under control. It can be speculated that other lichenase activities  
382 could be masking the expression profile of *cell12A*.

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384 Taken together these results demonstrate that both rice straw induction and  
385 glucose repression (CCR) of lichenase production in *S. atra* take place at the level of  
386 transcription of at least *cell12A*. The presence of potential target sequences for the  
387 cellulase/hemicellulase transcription activators CLR-2 and XlnR in *cell12A<sub>p</sub>* suggests a  
388 positive role for their orthologues (KFA75600 and KFA73621, respectively) activating  
389 *cell12A* transcription in the presence of raw straw. Likewise, the presence of four CreA  
390 consensus binding sites in *cell12A<sub>p</sub>*, would suggest direct repression of *cell12A* by  
391 CreA/KFA75113 in the presence of glucose with and without rice straw. With regard to  
392 the molecular mechanism by which CreA/KFA75113 would repress *cell12A*  
393 transcription, the fact that the potential CLR-2, XlnR and CreA targets do not overlap  
394 would rule out direct competition between CreA and the potential transcription  
395 activators.

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#### 397 4. Conclusions

398 In this study we report on the expression of a gene encoding an extracellular  
399 lichenase activity in the genus *Stachybotrys*. From 2015, the genome sequence of *S.*  
400 *chartarum* (AKA *S. atra*) is publicly available (Betancourt et al. 2015). Its *in silico*  
401 analyses resulted in the identification of transcriptional factors orthologues to PacC,  
402 CreA, CLR-2 and XlnR, which regulate the expression of PCWDEs in several  
403 filamentous fungi. Further manipulation of these control systems (*e.g.* rational  
404 engineering of CreA to remove CCR) may significantly improve yields of Cel12A and  
405 another PCWDEs in *S. atra*. Taking into account the key role of lichenases in

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406 biotechnological applications (*e.g.* brewing, animal foodstuffs) as well as the fact that  
407 these enzymes are an essential component of the enzyme portfolio for degrading the  
408 lignocellulose resources to produce biofuel (Dashtban et al. 2010), it is evident that new  
409 knowledge about the biochemical properties of these enzymes and the regulatory  
410 mechanisms involved in their production in various microorganisms is still necessary.  
411 Consequently, we believe that the present work will set the basis for further research on  
412 the genus *Stachybotrys* and will encourage other scientists to study the transcriptional  
413 regulation and recombinant production of new PCWDEs produced by these important  
414 cellulolytic fungi. In addition, open-field burning of rice residues has harmful  
415 environmental implications and thus the use of this substrate to generate value-added  
416 products such as ethanol or enzymes, could help to alleviate problems related to their  
417 disposal. In this regard, since promoters are key tools in biotechnology to ensure that  
418 gene expression is effective, rice straw responding promoters (such as *cel12<sub>p</sub>*) for the  
419 overexpression of transgenes could well be an interesting alternative to valorize this  
420 agriculture by-product.

421

#### 422 **Conflicts of interest**

423 The authors declare no conflict of interest regarding this manuscript.

424

#### 425 **Acknowledgments**

426 This work was supported by research grants AGL2015-66131-C2-2-R (CICYT-  
427 FEDER), CTQ2017-84966-C2-2-R and PROMETEO/2018/066 (Generalitat  
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## 601 **Figure captions**

602 **Figure 1. DNA sequence of the *cel12A* gene promoter of *S. atra* BP-A.** Putative TATA box and the translational  
603 initiation codon are shown in bold. The four DNA sequences (two 5'-CTGGGG-3', one 5'-GTGGAG-3' and one 5'-  
604 CCGGGG-3') conforming the consensus binding site for CreA (5'-SYGGRG-3') are shown in bold and underlined  
605 whereas those for PacC (two 5'-GCCAAG-3') are shown double underlined. The putative DNA binding site (5'-  
606 CAGAAT-3') for CLR-2 is underlined whereas those for XlnR (one 5'-GGCTTA-3' and two 5'-GGCAAT-3') are  
607 shadowed in grey. Although these sequences are located on both strands, only the top strand is indicated.

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609 **Figure 2.** Influence of the carbon source and ambient pH on *S. atra* BP-A lichenase production. Rice straw at 1% and  
610 rice straw at 1% buffered at two different initial pH conditions (acidic 5.2, and alkaline 7.9), Glucose at 1% and  
611 0.1%, and Lactose at 1%, used individually and combined with RS at 1%. Bars represent the lichenase activity (mean  
612  $\pm$  standard deviation) whereas the line shows the total extracellular protein concentration (mean  $\pm$  standard  
613 deviation). (RS: raw straw; RS H+: raw straw adjusted at acidic pH 5.2; RS OH-: raw straw adjusted at alkaline pH  
614 7.9; G1: 1% glucose; G0.1: 0.1% glucose; and L1: 1% lactose).

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## 616 **Tables**

617 **Table 1.** Primers used in this study.

618 **Table 2.** Relative expression of *cel12A* and *18S rRNA* in *S. atra* BP-A. Values are expressed as the means with their  
619 standard deviation. The expression level of *cel12A* in 1% rice straw was arbitrarily assigned as the reference sample  
620 with a  $2^{-\Delta\Delta Ct}$  value of 1.0.  $C_{C\ell12A}$  values in bold correspond to values found for water and thus, represent no  
621 expression of *cel12A*.

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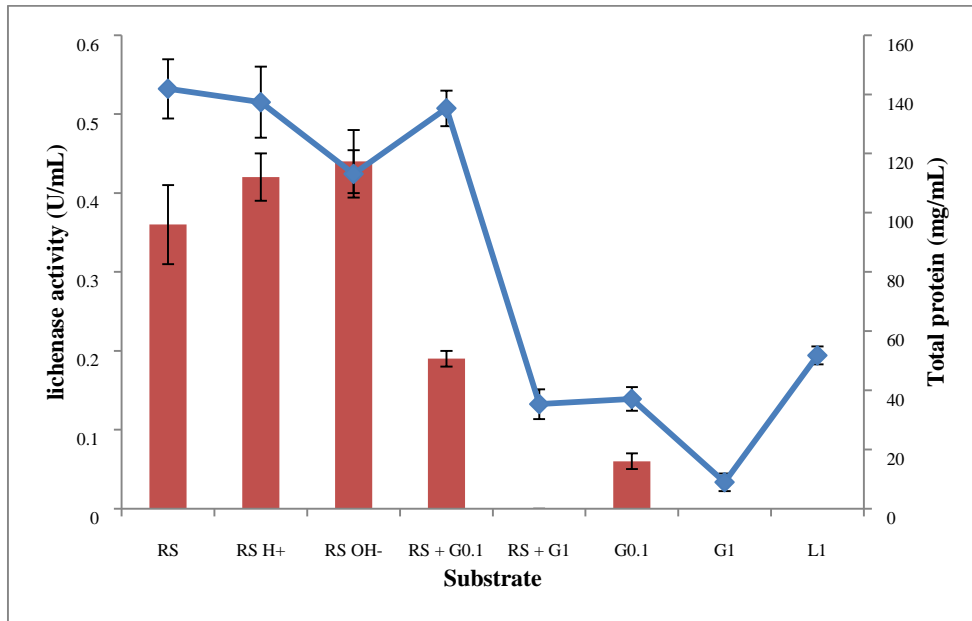
633                               -800 ACCCGGATCGGCCCCGAACCAGACTTCAGTACCCAA
634 -765 GGCCCCATAACCGAAACCTTCATTATAAGCGCGCTAATCAAGCGAGTGATGCTGACCCCTTGCT
635 -701 TCTATGCATTGCCATTTTCAGTGCTATTGCGTACTCAACCAAAGTTTGGTTCGCTCCGATAGATA
636 -637 GATTAATGCTGAAGCAAAGGCCCGAAAACCTTGTGCATGGCCTGAGGTCCACTTCCTAATGGG
637 -573 GATGCTGCTTAGACTTGCGATTTCGTTGTGGCTGTAGATTGGTCCCCCCCGGGCCATGCAGTTAA
638 -509 TTTATAAATTGATGAGCTATTAAGGTACAGCAAGCTCATAGAAACACATTGCCAAGGAAACACC
639 -445 ATGCAACAGGTGGAGAATCTTGGCTGCGATTAGCAAGTTGAGGGCAACCGCAGCTAGCCAAATA
640 -381 ACCAACCACCAAGTGATGGCCTTCAGTCGACCAAGACAGAAATGCTCCGATGGAGTACTATAGTC
641 -317 ATTCATATGACACACCCAGTGTCATGCACCATTGTTTGTAAACCGCTTACCTTTGGTCATGGGCC
642 -253 CCGAGTGCTAAGCCAACGAGATGTTGCATTCCCCTGGATGAACCCCTTTGGAAACGGACATAGT
643 -189 CAACCGAACATCTGGGGTCTGGGGTCAAGGCTCCGTTCAAACACAAGATGGCTTCGAGCTCTGA
644 -125 AGCTGCTTATAAGTACATTGGGATCTATCTGGCGATCAGACGAACAGAGCCAGATGAAGCAACTT
645 -61  GCCAGAACAGTATCTTCAATTGATCATCGTCAACAAGGTTCAAGCCTGCTAGCTGTCAAACATG
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**Figure 2.** Influence of the carbon source and ambient pH on *S. atra* BP-A lichenase production. Rice straw at 1% and rice straw at 1% buffered at two different initial pH conditions (acidic 5.2, and alkaline 7.9), Glucose at 1% and 0.1%, and Lactose at 1%, used individually and combined with RS at 1%. Bars represent the lichenase activity (mean  $\pm$  standard deviation) whereas the line shows the total extracellular protein concentration (mean  $\pm$  standard deviation). (RS: raw straw; RS H+: raw straw adjusted at acidic pH 5.2; RS OH-: raw straw adjusted at alkaline pH 7.9; G1: 1% glucose; G0.1: 0.1% glucose; and L1: 1% lactose).



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**Table 1.** Primers used in this study

Name of the primer	Sequence (5'-3')	Expected size (pb)
Cel12a_Fwd	TCGTTTCTTGGATTGGGT	116
Cel12a_rev	GGTAGAGTGATTAGGGTT	116
Cel12a_taqman	CGGATGTTGTTGCCCTGGT	TaqMan probe
rRNA18S_Fwd	TGACTCAACACGGGGAAA	116
rRNA18S_rev	CACCCACCAACTAAGAA	116
rRNA18S_taqman	ATGCACCACCACCCACAAA	TaqMan probe

**Table 2.** Relative expression of *cel12A* and *18S rRNA* in *S. atra* BP-A. Values are expressed as the means with their standard deviation. The expression level of *cel12A* in 1% rice straw was arbitrarily assigned as the reference sample with a  $2^{-\Delta\Delta C_t}$  value of 1.0.  $C_{iCel12A}$  values in bold correspond to values found for water and thus, represent no expression of *cel12A*.

Sample	Mean $C_{iCel12A}$	Mean $C_{iRNA18S}$	$\Delta C_t (C_{iCel12A} - C_{i18S rRNA})$	$\Delta\Delta C_t$	$2^{-\Delta\Delta C_t}$ (-fold)
1% Rice straw	29.35 ± 0,305	15.537 ± 0.015	14.108± 0.124	0.0 ± 0.124	1.0 ± 0.124
1% Rice straw pH 5.2	28.413 ± 0,244	15.85 ± 0.111	12.563± 0.268	-1.545 ± 0,268	2.918 ± 0,596
1% Rice straw pH 7.9	28.576 ± 0,069	16.163 ± 0.051	12.412± 0.086	-1.696 ± 0,087	3.24 ± 0,195
1% R straw + 0.1% Glucose	33.71 ± 0,325	15.503 ± 0.075	18.207± 0.334	4.099 ± 0,334	-17.136 ± 3,546
1% R. straw + 1% Glucose	<b>38.98</b> ± 0,352	14.94 ± 0.026			
0.1% Glucose	35.123 ± 0,201	15.16 ± 0.026	19.963± 0.203	5.855 ± 0,203	-57.88 ± 8,745
1% Glucose	<b>38.973</b> ± 0,140	15.547 ± 0.032			
1% Lactose	32.637 ± 0,238	15.10 ± 0.040	17.537± 0.33	3.429 ± 0,33	-10.77 ± 2,769
Distilled water	<b>39.19</b> ± 0,26	38.647 ± 0.608			

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