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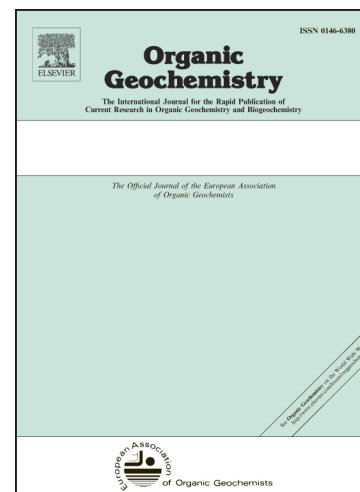
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**Lipid biomarker and stable isotopic profiles through Early-Middle Ordovician carbonates
from Spitsbergen, Norway**

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ABSTRACT

One of the most dramatic episodes of sustained diversification of marine ecosystems in Earth history took place during the Early to Middle Ordovician Period. Changes in climate, oceanographic conditions, and trophic structure are hypothesised to have been major drivers of these biotic events, but relatively little is known about the composition and stability of marine microbial communities controlling biogeochemical cycles at the base of the food chain. This study examines well-preserved, carbonate-rich strata spanning the Tremadocian through Upper Dapingian stages from the Oslobreen Group in Spitsbergen, Norway. Abundant bacterial lipid markers (elevated hopane/sterane ratios, average = 4.8; maximum of 13.1), detection of Chlorobi markers in organic-rich strata, and bulk nitrogen isotopes ($\delta^{15}\text{N}_{\text{total}}$) averaging 0 to -1‰ for the

open marine facies, suggest episodes of water column redox-stratification and that primary production was likely limited by fixed nitrogen availability in the photic zone. Near absence of the C₃₀ sterane marine algal biomarker, 24-*n*-propylcholestane (24-npc), in most samples supports and extends the previously observed hiatus of 24-npc in Early Paleozoic (Late Cambrian to Early Silurian) marine environments. Very high abundances of 3 β -methylhopanes (average = 9.9%; maximum of 16.8%), extends this biomarker characteristic to Early Ordovician strata for the first time and may reflect enhanced and sustained marine methane cycling during this interval of fluctuating climatic and low sulfate marine conditions. Olenid trilobite fossils are prominent in strata deposited during an interval of marine transgression with biomarker evidence for episodic euxinia/anoxia extending into the photic zone of the water column.

Keywords – Early Ordovician, Middle Ordovician, GOBE, carbon isotopes, nitrogen isotopes, methane cycling

1. Introduction

The Paleozoic Eon was marked by two major episodes of diversification. The first one occurred in the Cambrian and is known as the ‘Cambrian Explosion’, during which most of the major metazoan groups with mineralised skeletons first appear in the fossil record. The second occurred in the Ordovician and is known as the Great Ordovician Biodiversification Event (GOBE; Sepkoski et al., 1981; Droser & Finnegan, 2003; Webby et al., 2004; Servais & Harper, 2018). During the GOBE, many of the groups that dominated marine ecosystems until the Permian-Triassic mass extinction diversified and rose to ecological dominance. The diversification was accompanied by a variety of major ecological changes including i) increased

tiering of benthic ecosystems (Bottjer & Ausich, 1986), ii) re-establishment of metazoan-dominated reefs (Kröger et al., 2017a), iii) expansion of nektonic and pelagic ecosystems (Servais et al., 2008; Kröger et al., 2009; Servais et al., 2015), and iv) establishment of a latitudinal diversity gradient (Kröger, 2018). Although the timing of diversification varied across clades and from region to region, much of the diversity increase occurred during the Early and Middle Ordovician, especially between the Dapingian (470 Ma) and Darriwilian (467 Ma) stages (Miller & Foote, 1996; Miller, 1997; Droser & Finnegan, 2003; Rasmussen et al., 2016; Trubovitz & Stigall, 2016; Kröger, 2018).

A variety of inter-related extrinsic drivers have been invoked to explain aspects of the GOBE, including i) climatic cooling (Trotter et al., 2008; Rasmussen et al., 2016; Kröger, 2018), ii) increasing oxygenation of the oceans (Saltzman et al., 2015; Edwards et al., 2017) and associated changes in carbonate saturation state (Pruss et al., 2010), and iii) increased volcanic and erosional nutrient flux (Miller & Mao, 1995; Vermeij, 1995; Allmon & Martin, 2014). The Late Cambrian to Early Ordovician rise of acritarch diversity (Servais et al., 2015), followed by diversification of suspension-feeding benthic and planktonic organisms in the Early and Middle Ordovician (Servais et al., 2008), suggests that changes in the amount and/or nature of primary production may have played an important role in the GOBE. However, relatively little is known about the broad structure of marine microbial communities through this period, such as the balance of algal versus bacterial primary producers.

Previous organic geochemical and isotopic investigations of rocks from the Ordovician Period have focused largely on intervals of the Middle Ordovician (Hatch et al., 1987; Foster et al., 1989, 1990; Summons & Jahnke, 1990; Pancost et al., 1998, 1999; Ambrose et al., 2001; Edwards et al., 2013; Spaak et al., 2017), and the Late Ordovician (Rohrssen et al., 2013;

Mustafa et al., 2015; Smolarek et al., 2017). Oil shales of the Middle Ordovician (Estonian kukersites; Mastalerz et al., 2003) and carbonate reservoirs containing oil source rocks from the United States (Guthrie & Pratt, 1995) and northwest China (Tarim Basin; e.g., Cai et al., 2009; Pang et al., 2013; Xiao et al., 2016) are of economic importance. Interest in the Late Ordovician to Early Silurian comes from understanding the mechanisms and climatic drivers that led to the Late Ordovician Hirnantian glaciation (Delabroye & Vecoli, 2010; Finnegan et al., 2011; Luo et al., 2016) and the Late Ordovician Mass Extinction (LOME; LaPorte et al., 2009; Rohrssen et al., 2013; Luo et al., 2016; Zou et al., 2018).

In contrast, the organic geochemical characteristics of Early-Middle Ordovician sedimentary rocks have undergone less scrutiny until now. Previous biomarker studies have focused on facilitating improved oil-source correlations for petroleum fluids expelled from the Cambro-Ordovician Alum Shale in Sweden (Dahl et al., 1989) and from source rocks from central Australia (Summons & Powell, 1991; Jarrett et al., 2016) and the Tarim Basin in China (Li et al., 2000; Cai et al., 2009; Chen et al., 2018 and references therein). Broader goals of this study were then to help bridge a gap in the ancient biomarker record through an important Early-Middle Ordovician interval and to investigate relationships between microbial community structure and nutrient cycling. Here we present a detailed lipid biomarker and stable isotopic investigation of a near-continuous section of well-preserved carbonate-rich sedimentary rocks from the eastern terrane of Ny-Friesland, Spitsbergen, Norway (Figure 1).

2. Geological setting and sampling

The Hinlopenstretet Supergroup contains the Precambrian and Paleozoic successions in the eastern terrane of the Ny-Friesland area in Spitsbergen, Norway (Figure 1). The Paleozoic Oslobreen Group unconformably overlies Neoproterozoic glacial sediments of the Precambrian Polarisbreen Group (Halverson, 2011). The Cambro-Ordovician section of the Oslobreen Group contains the Cambrian Tokammane Formation and the Early-Middle Ordovician Kirtonryggen and Valhallfonna Formations. These sections have been well studied stratigraphically and paleontologically (e.g., Fortey & Brunton, 1973; Fortey & Cocks, 2003; Brandl, 2009; Stouge et al., 2011; Lehnert et al., 2013; Kröger et al., 2017b and references therein) but high resolution geochemical (either molecular or isotopic) characterisation for this succession is sparse (Brandl, 2009).

Figure 1A shows the study area and sampling site with magnified inset (Figure 1B), which has been previously described in detail by Kröger et al., 2017b and references therein. Samples were collected from three different locations (Figure 1B) during the field expedition in the northern hemisphere summer of 2016. For lipid biomarker and stable isotope analyses, ten samples total were taken from the Kirtonryggen Formation—two from the Spora Member (Spora River), five from the Basissletta Member, and three from the Nordporten Member. 20 sedimentary rocks in total were sampled from the Valhallfonna Formation—18 samples were collected at higher resolution (every 9.2 metres, on average) from the Olenidsletta Member with and additional two samples from the uppermost Profilbekken Member. Lithological description of all samples are provided in Supplementary Table 1 with complementary detailed stratigraphic column shown in Figure 1D.

2.1 Kirtonryggen Formation

The Kirtonryggen Formation (deposited during the Tremadocian-Floian stages, 485-470 Ma) contains the Spora, Basissletta, and Nordporten Members. The Spora Member of the Kirtonryggen Formation contains mostly planar-bedded limestone and wavy-bedded dolostones containing trilobite, gastropod, and cephalopod fossils (Figure 1D). The Basissletta Member that overlies the Spora Member shows a similar lithology at its base. The middle Basissletta Member displays a change in lithology as it contains horizons of flat pebble conglomerates and intraclastic conglomerates in the middle of the section. In contrast, the uppermost Basissletta Member contains stromatolitic and oolitic facies and planar-bedded limestone. The Basissletta Member is in turn overlain by the Nordporten Member, which is composed of mainly wavy-bedded dolostone with argillaceous/shaly and intraclastic conglomerate layers in between. The middle of the Nordporten Member contains a silty section with trilobite and cephalopod fossils. The upper Nordporten Member continues to be dominantly wavy-bedded dolomite containing trilobite, gastropod, and cephalopod fossils.

2.2 Valhallfonna Formation

The Valhallfonna Formation (deposited during the Floian-Darriwilian stages, 470-458 Ma) contains the Olenidsletta and Profilbekken Members. The base of the Olenidsletta Member contains the cephalopod-rich wavy-bedded dolostone from the Nordporten Member and transitions into densely laminated, mixed dark limestone and black mudstones/bituminous shales throughout the entire sequence with a decrease in the abundance of marine fossils (Figure 1D). The deposition of this interval is interpreted to coincide with a local basin deepening and this succession contains rocks with higher organic carbon content than the underlying Kirtonryggen Formation. The lower and middle Olenidsletta Member contains mixed dark limestone and black mudstones and contains trilobites throughout (mostly in the lower part of the section) and

sparingly through the middle. The upper Olenidsletta Member sees the return of wavy-bedded dolostones, hardgrounds, and flint nodules (Kröger et al., 2017b) including abundant inarticulate brachiopods. This fossiliferous section of the Olenidsletta Member corresponds to the V2a and V2b trilobite biozones with olenid trilobites prominent (Fortey, 1980), the *Oepikodos intermedius* conodont biozone (Lehnert et al., 2013), and the *Didymograptus bifidus* and *Isograptus victoriae lunatus* graptolite biozone (Cooper & Fortey, 1982). The top of the Olenidsletta Member (before the transition into the Profilbekken Member) returns to the composition of the lower/middle Olenidsletta Member. The Profilbekken Member is similar in depositional environment and lithological composition to the Nordporten Member from the Kirtonryggen Formation (Kröger et al., 2017b), containing planar-bedded limestone with trilobites, cephalopods, and inarticulate brachiopods.

3. Methods

3.1 Sample preparation

Outcrop samples were collected, wrapped in pre-combusted (550°C, 9 hours) aluminium foil and stored in cloth bags. Outer portions of each sample were removed with a water-cooled diamond saw and inner portions were sonicated three times for 15 mins each in rinses of deionised water (DI), dichloromethane (DCM), methanol, (MeOH), *n*-hexane, and DCM. Each solvent rinse was discarded prior to rinsing with the next solvent. Cleaned rock samples were crushed using an organic solvent-cleaned zirconia ceramic puck mill in a 8515 SPEX Shatterbox with a procedural blank of pre-combusted (850°C, 9 hours) sand. Combusted quartz sand blanks were run parallel with the extracted rock powders as full analytical procedural blanks as an important control to monitor background contamination.

3.2 Bulk organic carbon and nitrogen isotopes

For isotopic analysis, samples were decarbonated with 1 M HCl to remove any carbonate material prior to isotopic measurement of the organic material. Samples and standards were weighed out on a Mettler Toledo microbalance (ranging from 5 mg to 40 mg depending upon organic content) and loaded into the EA autosampler. The remaining organic residue was measured for bulk carbon ($\delta^{13}\text{C}$) and nitrogen ($\delta^{15}\text{N}$) isotope signatures using an Elementar Isotope Select cube elemental analyser (EA) coupled to a VisION isotope ratio mass spectrometer (IRMS). All samples for carbon and nitrogen were run in triplicate, with stable isotope results reported as $\delta^{13}\text{C}$ relative to VPDB in permil (‰) and calibrated using certified international standards (USGS24 & NBS22). The measured standard deviation for all carbon isotope measurements is $\pm 0.1\%$. Stable isotope results are reported as $\delta^{15}\text{N}$ relative to air in permil (‰) and calibrated using certified international standards (USGS25, IAEA-N-1 & IAEA-N-2). The measured standard deviation for all nitrogen isotope measurements is $\pm 0.2\%$.

3.3 LECO Total Organic Carbon (TOC) and Rock-Eval Pyrolysis analyses

To determine TOC contents, the decarbonated samples were analysed at GeoMark Research using a LECO C230 instrument. The LECO C230 instrument was calibrated with standards that have known carbon contents. Standards were combusted by heating to 1200°C in the presence of oxygen; both carbon monoxide (CO) and carbon dioxide (CO_2) were generated and the CO was converted to CO_2 by a catalyst. The CO_2 product mass was measured by an IR cell. Combustion of samples with unknown organic carbon content was then completed and the response of these samples per mass unit was compared to that of the calibration standard.

Standards were analysed every 10 samples to check variation and calibration of the analysis. Acceptable standard deviation for TOC is 3% variation from established value.

Approximately 100 mg of washed, ground (60 mesh) whole rock sample were analysed in a Rock-Eval II instrument. Measurements include S1: free bitumen content (mg HC/g rock); S2: remaining generation potential (mg HC/g rock); Tmax: temperature at maximum evolution of S2 hydrocarbons (°C); and S3: organic carbon dioxide yield (mg CO₂/g rock), and were generated by heating according to the following parameters S1: 300°C for 3 minutes; S2: 300°C to 550°C at 25°C/min, held at 550°C for 1 minute; S3: trapped between 300 to 390°C. Instrument calibration was achieved using a rock standard with values determined from a calibration curve to pure hydrocarbons of varying concentrations.

3.4 Sample extraction

10-30 g of rock powder per sample was extracted in organic solvent-cleaned Teflon vessels on a CEM MARS5 microwave accelerated reaction system in 30 ml of 9:1 (v/v) DCM/MeOH. Samples were heated to 100°C for 15 mins with constant stirring. Procedural blanks were performed with combusted silica. Rock bitumens were filtered and desulfurised with solvent-cleaned and HCl-activated copper granules (Alfa Aesar). Saturated, aromatic, and polar hydrocarbons were obtained through fractionation on dry-packed silica gel (Fisher, 60 grit) microcolumns. The silica gel was combusted in a muffle furnace at 450°C for at least 9 hours to remove any organic contaminant residue prior to adsorption of whole rock extracts and use in column chromatography. The saturated hydrocarbon fraction eluted with 1 dead volume (DV) of *n*-hexane, aromatic hydrocarbons with 3 DVs of 1:1 (v/v) *n*-hexane:DCM, and the polar hydrocarbons with 2 DVs of 3:1 (v/v) DCM:MeOH.

3.5 Gas Chromatography-Mass Spectrometry (GC-MS)

The saturated and aromatic hydrocarbon fractions were run in full scan and selected ion monitoring (SIM) mode on an Agilent 7890A GC system coupled to an Agilent 5975C inert MSD mass spectrometer. The GC was equipped with a DB1-MS capillary column (60 m × 0.32 mm, 0.25 µm film thickness) and He was used as the carrier gas. The GC temperature program used was 60°C (held for 2 min), heated to 150°C at 20°C/min, then to 325°C at 2°C/min, and held at 325°C for 20 mins. Pristane/phytane (Pr/Ph) ratios were measured from relative peak areas using total ion current (TIC) chromatograms acquired from full scan analysis. Chlorobio-derived carotenoid biomarkers, including aryl isoprenoids, isorenieratane, and paleorenieratane were identified based on 133 and 134 Dalton (Da) mass chromatograms, with 3,4,5- and 2,3,6-trimethyl-substituted aryl isoprenoid abundances measured from peak areas in 133 Da ion chromatograms, with isorenieratane and paleorenieratane verified from mass spectra and retention times.

3.6 Gas Chromatography-Metastable Reaction Monitoring (GC-MRM)

Saturated hydrocarbons were analysed in metastable reaction monitoring (MRM) mode on a Waters AutoSpec Premier equipped with an Agilent 7890 gas chromatograph (GC). The GC was equipped with a DB1-MS capillary column (60 m × 0.25 mm, 0.25 µm film thickness) and He was used as the carrier gas. Samples were run in full scan mode and injected into the GC in splitless mode at 60°C for 2 min, heated at 10°C/min to 150°C, then 3°C/min to 320°C for 22 mins. Analyses were performed in electron impact mode with 70 eV ionisation energy and 8 kV accelerating voltage. MRM transitions for C₂₇-C₃₅ hopanes, C₃₁-C₃₆ methylhopanes, C₂₁-C₂₂ and

C₂₆-C₃₀ steranes, C₃₀ methylsteranes and C₁₉-C₂₆ tricyclics were monitored in the method used. Procedural blanks with pre-combusted sand yielded less than 0.1 ng of individual hopane and sterane isomers per gram of combusted sand. Polycyclic biomarker alkanes (tricyclic terpanes, hopanes, steranes, etc.) were quantified by addition of 50 ng of deuterated C₂₉ sterane standard [d₄- $\alpha\alpha\alpha$ -24-ethylcholestane (20R), Chiron Laboratories] to saturated hydrocarbon fractions and by comparison of relative peak areas. MRM-GC-MS was used to determine accurate biomarker abundance ratios for all the polycyclic biomarkers plotted in Figures 2, 4, and 5. Analytical error for individual hopane and sterane concentrations are estimated at $\pm 30\%$. Average uncertainties in hopane and sterane biomarker ratios are $\pm 8\%$ as calculated from multiple analyses of saturated hydrocarbon fractions from oil standards.

4. Results

Chemostratigraphic records were obtained by integrating geochemical data from sedimentary strata from three different outcrop sections spanning two formations of the Oslobreen Group—the upper Valhallfonna Formation (Olenidsletta Member as denoted by PO and Profilbekken Member as denoted by PR) and the underlying Kirtonryggen Formation (all samples from Spora, Basissletta, and Nordporten Members denoted by PS). The raw geochemical data used to construct the stratigraphic plots shown in Figures 2-5 are available in Supplementary Tables (3-6). Younger biomarkers (oleanane, oleanane triterpanes, bicadinane, and taraxastane), plastic-derived hydrocarbons (e.g., branched alkanes with quaternary carbon centres, BAQCs), and other obvious contaminants are absent in all samples. The distinctive Ordovician patterns evident in the biomarker assemblages and the robust stratigraphic trends in

biomarker ratios are generally consistent with the age, thermal maturity and lithology of the host rocks, which is an important self-consistency check that supports biomarker syngenicity for our sample set.

4.1 Thermal maturity proxies

Selected saturated and aromatic hydrocarbon maturity ratios alongside T_{max} from Rock Eval pyrolysis (values listed in Supplementary Table 3), placing these samples in the mid-oil window range of thermal maturity, but prior to peak generation, and with a generally consistent thermal maturity profile observed throughout the section (Figure 2). A T_{max} range from 441 to 446°C for samples from the Olenidsletta Formation (PO; Figure 2A), methylphenanthrene index (MPI) in the range of 0.4 to 1.0 (average = 0.73, Figure 2B), average C_{29} $\alpha\alpha\alpha$ sterane ($20S/20S+20R$) of 0.51 ± 0.04 (Figure 2D), and average C_{31} $\alpha\beta$ hopane ($22S/22S+22R$) of 0.58 ± 0.01 are all consistent with this assessment. T_s/T_{s+T_m} ratios for C_{27} hopanes (Figure 2C) are fairly low and constant throughout the Profilbekken and Olenidsletta Members (average of 0.36 ± 0.05) but are consistently higher (average of 0.54 ± 0.12) in the underlying Kirtonryggen Formation, which is more thermally mature (Supplementary Table 3).

4.2 Organic and inorganic carbon content and bulk stable carbon and nitrogen isotopes

All sedimentary rocks analysed in this study contain high carbonate content (wt%), (Figure 3A) with an overall range of 53 to 99 wt% of the bulk mass. At the top of the Nordporten Member of the Kirtonryggen Formation (the boundary between the Nordporten and the deeper water strata of the Olenidsletta Member), there is a decrease in carbonate content as the lithology becomes mixed with siliciclastic minerals (mudstone and siltstone). Carbonate content then increases again stratigraphically higher into Profilbekken Member shallow water carbonates

(Kröger et al., 2017b). TOC content is generally low for most of the Kirtonryggen Formation (most samples with <0.1 wt%; Figure 3B) but increases to 2.5 wt% at the top of the unit in samples from the Olenidsletta Member of the Valhallfonna Formation (TOC ranging from 0.2 to 3.8 wt%), with two noticeable spike increases around the base and at the top of this succession, which throughout is generally associated with a deeper shelf depositional setting than the underlying and overlying strata.

Bulk organic carbon isotopes through the Kirtonryggen and Valhallfonna Formations are stable, showing little variation throughout (average $-30.4‰ \pm 0.8‰$; Figure 3C) and typical of bulk organic carbon values for Paleozoic sedimentary rocks (Hayes et al., 1999). There is a slight decreasing trend toward lighter $\delta^{13}\text{C}_{\text{org}}$ values from the base of the Basissletta Member up to the Basissletta/Nordporten boundary representing a small variation of ca. 2‰ in magnitude. $\delta^{13}\text{C}_{\text{org}}$ values return to a heavier baseline of $-29‰$ at the Nordporten/Olenidsletta boundary with another small, (ca. 2‰) negative excursion through to the upper portion of the Olenidsletta Member, followed by a low magnitude recovery to ca. $-30‰$. Bulk nitrogen isotopes ($\delta^{15}\text{N}_{\text{total}}$) are most enriched in $\delta^{15}\text{N}$ in the Basissletta Member (average of $+1.5‰$) and experience a ca. 2.4‰ negative excursion (down to $-1‰$) at the Nordporten/Olenidsletta boundary coinciding with higher TOC content (Figure 3D). $\delta^{15}\text{N}_{\text{org}}$ values then increase again to ca. $+1‰$ moving from the Nordporten/Olenidsletta boundary into the overlying Profilbekken Member. Overall, the average $\delta^{15}\text{N}_{\text{total}}$ signature for all data points is near zero in value ($+0.4‰$), close to the typical ancient sedimentary bulk nitrogen isotope values associated with a bacterial nitrogen fixation (ca. 0 to $-1‰$) signature (Delwiche & Steyn, 1970).

4.3 Saturated hydrocarbon biomarkers

Saturated hydrocarbon profiles of these Oslobreen Group carbonates generally contain abundant *n*-alkanes and alkylcyclohexanes with only a slight odd-over-even (OEP) carbon number preference, but no strong *G. prisca* (*Gloeocapsomorpha prisca*; nC_{15} , nC_{17} , and nC_{19} alkane carbon number preference) molecular signature is discernible. Pristane and phytane are the dominant isoprenoids and methylalkanes are generally low in abundance relative to the dominant *n*-alkanes. The most abundant polycyclic biomarker alkanes include tri- and tetracyclic terpanes, steranes, hopanes, and methylhopanes. Saturated hydrocarbons show variable contents of unresolved complex mixtures (UCMs) from sample to sample (e.g., Supplementary Figure 1). Carbonates from the Profilbekken Member and the Kirtonryggen Formation have small or no UCMs, whereas samples from the Olenidsletta Member have small and moderate to larger UCMs. Although reasons for this are not entirely clear, enhanced UCMs in Ordovician rocks are generally associated with more reducing environmental marine conditions which is reminiscent of the prominent UCM features of saturated hydrocarbons profiles from immature Mesoproterozoic marine rocks rich in bacterial source inputs and deposited in low oxygen marine conditions (e.g., Pawlowska et al., 2013).

Hopane/sterane ratios show a declining trend from very high values (ca. 13) at the base of the Basissletta Member (Figure 4B) to values slightly higher (ca. 2.2) than the upper boundary value of the Phanerozoic marine average at the Nordporten/Olenidsletta boundary. Through the Olenidsletta Member, hopane/sterane ratios increase to average values of 6.4 in the Profilbekken Member. Total sterane (sum of C_{27} - C_{29} regular steranes and diasteranes) concentrations are lower (0.8 to 13 ppm TOC) in the Kirtonryggen Formation compared with the upper Valhallfonna Formation (4 to 50 ppm TOC) (Supplementary Table 2). C_{29} steranes are the dominant steranes which is typical for Paleozoic sedimentary rocks and oils (e.g., Schwark &

Empt, 2006; Haddad et al., 2016), followed by C₂₇ and C₂₈ (Figure 4E). C₂₈/C₂₉ sterane ratios have moderate values throughout the entire section, showing little variation with stratigraphic position (Figure 5B; average 0.5 ± 0.1). C₃₀ steranes are either very low in abundance or below detection limits. C₃₀ steranes are below detection limits in all Kirtonryggen Formation samples and appear in small quantities (both 24-*n*-propylcholestane and 24-isopropylcholestane; 24-npc and 24-ipc, respectively) in select Olenidsletta Member samples (Supplementary Table 5). Total C₂₇-C₃₅ hopane concentrations in the Kirtonryggen Formation (7 to 102 ppm TOC) are similar to the same range as the upper Valhallfonna Formation (7 to 144 ppm TOC), but overall slightly lower than the middle to lower Valhallfonna Formation (Supplementary Table 2). C₂₉/C₃₀ hopane ratios are quite high (average = 0.95) as is typical for sedimentary rocks with high carbonate mineral content (Peters et al., 2005; Figure 5C).

Methylhopanes, both 2 α - and 3 β - (sum of C₃₁-C₃₆), are present and abundant in all samples from the Oslobreen Group (Figure 4C, 4D and Figure 6). Both exhibit similar concentrations throughout the section, with slightly higher values of 3 β -methylhopanes in the Olenidsletta and Profilbekken Members (Figure 4C, 4D and Supplementary Table 2). Absolute abundances of 2 α - and 3 β -methylhopanes in the Kirtonryggen and Valhallfonna Formations range from 0.1 to 3 ppm TOC. Methylhopane indices (MeHI) were calculated for the C₃₁ homologue of 2 α -methylhopane and 3 β -methylhopane (expressed as a percentage value from C₃₁ $\alpha\beta$ MeH/C₃₁ $\alpha\beta$ MeH + C₃₀ $\alpha\beta$ hopane). The 2 α -methylhopane trend shows an initial decrease at the base of the Spora Member with recovery to higher values in the Basissletta Member. Upsection through the Nordporten Member, 2 α -methylhopane indices (2MeHI) drop to ca. 5 and recover to ca. 10 at the Nordporten/Olenidsletta boundary (Figure 4C). Through the more organic-rich Olenidsletta Member, the 2MeHI remains constant with most values around 5%

(average $5.6\% \pm 1.6\%$). 3β -methylhopane indices (3MeHI) show a range of values (3 to 17%) but are generally high in magnitude (Figure 6) and consistently above typical Phanerozoic marine average values (1 to 3%). At the base of the Olenidsletta Member, there is an increase in 3MeHI (from 3% to 13%) moving stratigraphically upwards into strata with higher TOC contents. Additionally, acyclic biphytane (C_{40}) from archaea was found in most of the Olenidsletta Member samples (Supplementary Table 7) and in trace amounts in two samples from the lower Basissletta Member and lower Nordporten Member of the Kirtonryggen Formation. The relative abundance of acyclic biphytane to *n*-alkanes (nC_{35}) in Olenidsletta Member samples is highest in the upper Valhallfonna Formation. Other C_{40} cyclic (mono-, bi-, and tri- cyclic biphytanes) were found in six Olenidsletta Member samples and in trace quantities in five samples throughout the section (Supplementary Figures 2 and 3). These are below detection limits in samples from the Kirtonryggen Formation.

Figure 4G shows the gammacerane index (calculated as gammacerane/ C_{30} $\alpha\beta$ hopane) exhibiting mostly low values through the Olenidsletta, Nordporten, and the upper and middle Basissletta Members, which is consistent with a normal marine salinity environment (Peters et al., 2005) and no strong water column stratification related to salinity during the deposition of rocks with appreciable TOC content. The exception is for the organic-lean Basissletta/Spora Member carbonates deposited in more saline and restricted environments, exhibiting values up to 0.7 in the Spora Member. Other selected biomarkers indicative of paleoenvironmental and paleoredox depositional conditions, such as Pr/Ph (pristane/phytane), homohopane index (HHI%; as $(C_{35}/C_{31-C_{35}})*100$), C_{28} bisnorhopane ($28BNH/C_{30}$ $\alpha\beta$ hopane), and dibenzothiophene/phenanthrene (DBT/P) are shown in Figures 5D-5G. Pr/Ph values increase from ca. 0.5 at the base of the Kirtonryggen Formation to 1.2 in the Nordporten Member and

decrease to low values (ca. 0.5) in the middle of the Olenidsletta Member. Values recover to ca. 1.0 in to the Profilbekken Member (Figure 5D). The homohopane index (HHI; Figure 5E), C₂₈ bisnorhopane (Figure 5F), and DBT/P (Figure 5G) all show antithetical relationships to Pr/Ph values. These particular proxies increase markedly in the middle of the Olenidsletta Formation, which is the more organic-rich strata and likely associated with deposition during marine transgression sustaining a redox-stratified water column, whilst remaining low and invariable in the rest of the strata.

4.4 Aromatic hydrocarbon biomarkers

All aromatic hydrocarbon fractions contain a variety of 1 to 7 ring polyaromatic hydrocarbons (PAHs) including phenanthrene, alkylphenanthrenes, dibenzothiophenes, benzofluoranthenes, benzopyrenes, and coronenes, and contain large UCMs in full scan and selected ion monitoring (SIM) mode. Additionally, mono- and triaromatic steroids are also present in all samples. Almost all samples from the Valhallfonna Formation with appreciable TOC contents (>0.3%) contain paleorenieratane, isorenieratane, and 2,3,6- and 3,4,5-trimethylaryl isoprenoids (in samples where paleorenieratane is higher than isorenieratane). Figure 7 shows partial ion chromatograms (m/z 134 and 546) of isorenieratane (I; Figure 7A) and paleorenieratane (P) and trimethylaryl isoprenoid fragments (Figure 7B) in a sample from the Profilbekken Member. Two samples from the Profilbekken Member (PR-6 and PR-30.4) and most samples from the Olenidsletta Member contain higher relative amounts of isorenieratane than paleorenieratane ($I > P$; Figure 4F). The most striking trend observed is for strata near the base of the Olenidsletta Member which contain significantly greater amounts of paleorenieratane compared to isorenieratane ($P/I > 2$). This corresponds to a switch to increasing TOC content of the host rocks and a likely deeper water and open marine depositional environmental setting due

to local sea level rise. Aromatic carotenoids and arylisoprenoid fragmentation products were below detectable limits in all samples from the Kirtonryggen Formation. Other carotenoids, such as β -carotane, γ -carotane, lycopane, okenane, and chlorobactane, were below detectable limits in all samples.

5. Discussion

The biomarker assemblages and their implication for biological source inputs and paleoenvironmental settings

The organic geochemical and stable isotopic characteristics of Early-Middle Ordovician carbonates from Spitsbergen exhibit some broad characteristics similar to those reported previously from Middle-Late Ordovician marine settings (e.g., Rohrssen et al., 2013; Spaak et al., 2017), albeit with some deviations likely due to local overprint effects, such as organic matter source inputs and local paleoenvironments. Ordovician marine sedimentary rocks and oils often contain low acyclic isoprenoids abundances, elevated hopane/sterane ratios, high 3β -methylhopanes, as found also for our sample set (e.g., Fowler & Douglas, 1984; Jacobsen et al., 1988; Summons & Jahnke, 1990; Rohrssen et al., 2013; Spaak et al., 2017).

In terms of major alkane constituents, the rock extracts from the Oslobreen Group are dominated by a marine *n*-alkane signature (extending from nC_{15} up to nC_{40}) that begin to tail off in abundance with increasing carbon number above nC_{22} (Supplementary Figure 1). The *n*-alkanes and alkylcyclohexane abundance profiles in all our rock extracts do not have the pronounced *G. prisca* signature (Fowler & Douglas, 1984), namely a readily discernible carbon number preference for low molecular weight odd carbon numbered *n*-alkanes and

alkylcyclohexanes. Some samples show carbon number preference for low molecular weight odd carbon numbered *n*-alkanes and alkylcyclohexanes (nC_{15} , nC_{17} , and nC_{19}) enhanced in m/z 83 and m/z 85 ion chromatograms, respectively. The lack of a pronounced *G. prisca* signature in *n*-alkanes has also been observed in other Ordovician source rocks (e.g., Fowler, 1992; Sun et al., 2013; Rohrssen et al., 2013). In our rock set, the major organic matter source input appears to be dominated by amorphous marine Type II kerogen derived mainly from mixed autochthonous bacterial and algal sources.

The C_{29} steranes commonly comprise the most abundant sterane signal throughout the section with an average value of 44%, although the C_{27} steranes become slightly higher in a restricted interval for organic-lean strata within the Nordporten Member (Figure 4E). However, the magnitude of the C_{29} sterane dominance is overall lower in our sample set than previously reported for other Early Paleozoic paleotropical settings of Late Ordovician (Rohrssen et al., 2013) and Late Devonian (Haddad et al., 2016; Martinez et al., 2018) age. The proportion of C_{28}/C_{29} steranes, which average ca. 0.5 in this study (expected for Paleozoic rocks), is also higher than the average values of ca. 0.3 for paleotropical marine settings in the Late Ordovician (Rohrssen et al., 2013) and the Late Devonian (Haddad et al., 2016). The enhanced proportions of C_{28} and C_{27} sterane biomarkers suggest that prasinophyte algae and other algal groups existed as significant contributors to preserved organic matter along with the C_{29} sterol-producing green algal clades (Schwark & Empt, 2006; Kodner et al., 2008; Haddad et al., 2016).

Hopanes methylated at the C-3 position are typically low in abundance relative to the regular hopane series in most Phanerozoic marine sedimentary rocks (usually within a tight range of 1-3% of C_{30} $\alpha\beta$ hopane; Peters et al., 2005; Cao et al., 2009; Rohrssen et al., 2013), but have been found to be moderately to highly elevated during certain periods in Earth history

associated with low marine sulfate conditions; e.g., in the Paleoproterozoic (Brocks et al., 2005), the Mesoproterozoic (Blumenberg et al., 2012), the Middle Ordovician (Spaak et al., 2017), the Late Ordovician-Silurian (Summons & Jahnke, 1990; Rohrssen et al., 2013), and the Late Permian (Cao et al., 2009). Biological precursors of 3 β -methylhopanoids include diverse groups of proteobacteria (Welander & Summons, 2012), although microaerophilic proteobacteria (typically Type I methanotrophic bacteria) are usually invoked as a major source (Farrimond et al., 2004). This is supported by ¹³C-depletion in 3 β -methylhopanes from compound-specific carbon isotope measurements of ancient rocks containing abundant 3 β -methylhopanes (Collister et al., 1992; Ruble et al., 1994). A likely source of the relatively abundant 3 β -methylhopanes in Oslobreen Group marine carbonates is from methanotrophic bacteria as for Late Ordovician strata (Rohrssen et al., 2013).

In addition to abundant 3-methylhopanes, we detected acyclic biphytane in most of the samples from the Olenidsletta Member and in trace quantities in one Basissletta and one Nordporten Member sample (Supplementary Table 6). Additionally, 11 samples from the Olenidsletta Member contained trace but detectable amounts of mono-, bi-, and tricyclic biphytanes (the latter constituting a cluster of peaks, suggesting some cyclic groups were the result of diagenetic and catagenetic alteration with prominent *m/z* 263 fragment ion; Supplementary Figure 2 and 3; DeLong et al., 1998). Although intact acyclic biphytane is rarely found preserved in ancient sedimentary rocks and oils (Saito et al., 2017; Schinteie & Brocks, 2017), a recent study has found acyclic biphytane and associated degradation products in sedimentary rocks deposited in a Neoproterozoic hypersaline ecosystem (Schinteie & Brocks, 2017). At 820 Ma, this constitutes the oldest occurrence of acyclic biphytane in the geological record, likely derived from the membranes of halotolerant archaea. Acyclic biphytane preserved

in the Oslobreen carbonates of the Early-Middle Ordovician in this study thus far presents the oldest reported occurrence of acyclic biphytane preserved under conventional marine salinity conditions (as supported by generally low gammacerane index values), and is sourced from archaea, with the previous oldest occurrences in the Jurassic/Cretaceous (Kuypers et al., 2001; Carrillo-Hernandez et al., 2003). The high UCMs in the high molecular weight region of our samples, combined with low absolute abundances of acyclic biphytane, precludes the possibility of obtaining accurate and reproducible compound-specific carbon isotope ratio values for biphytane. Although given the overall biomarker characteristics, the co-occurrence of acyclic biphytane and trace amounts of cyclic biphytanes along with high 3MeHI values suggest that an enhanced microbially-driven methane cycle likely occurred in this low marine sulfate environment. In this scenario, biphytane could be derived from methanotrophic and/or methanogenic archaea (Kuypers et al., 2001) with the abundant 3β -methylhopanes largely sourced from microaerophilic methanotrophic bacteria. Previously, elevated 3β -methylhopanes have been reported from samples from the Late Ordovician of Laurentia and Baltica (Rohrsen et al., 2013) and more recently from the Middle Ordovician of Gondwana (Spaak et al., 2017). Overall, methanotrophic microorganisms appear to be largely contributing to the bitumen composition, pointing to enhanced and sustained methane cycling occurring during the Ordovician as suggested previously (Rohrsen et al., 2013). This active methane cycle has potential implications for climate and climate feedbacks in the Middle and Late Ordovician.

Lipid biomarker ratios provide information about the redox state of the environment in which organic matter was deposited. Figure 5D-5G displays stratigraphic trends for Pr/Ph, homohopane index (HHI), C_{28} bisnorhopanes, and dibenzothiophene/phenanthrene (DBT/P) and shows that the Olenidsletta Member—thought to be deposited during a local deepening of the

basin (Kröger et al., 2017b)—was deposited under redox-stratified water column conditions, which is supported by the higher TOC contents observed in this section. A cross-plot of the arylisoprenoid ratio (AIR; $C_{13}\text{-}C_{17}/C_{18}\text{-}C_{22}$ arylisoprenoids) and Pr/Ph indicate that these samples were seemingly deposited under persistently anoxic conditions in the photic zone (as defined in Schwark & Frimmel, 2004; Supplementary Figure 4), although the upper surface mixed layer must have remained oxygenated within a redox stratified water column. Carotenoids and their arylisoprenoidal degradation products have been reported previously in Early-Middle Ordovician samples (Cai et al., 2009; French et al., 2015) and are expected for locally productive continental margin settings sustaining photic zone euxinia in the lower portion of the photic zone (down to ca. 100 m depth). The presence of trimethylaryl isoprenoids (both 2,3,6- and 3,4,5- isomers) along with isorenieratane and paleorenieratane in all Olenidsletta Member samples indicate that, in addition to being anoxic, the water column could have been episodically euxinic in the photic zone.

The major driver of variation in the formations studied are attributed to changes in microbial communities, however, differences in lithologies and paleoredox are also contributing. The depositional environment of the youngest Profilbekken Member is most similar to the upper Nordporten Member—representing open shelf, shallower water carbonates. The lower Kirtonryggen Formation (lower Basissletta Member) represents a facies deposited under more restricted environmental conditions. In this lower strata, we observe high gammacerane index values up to 0.7 at the base of the section which could be pointing to a more saline, stratified environment. Additionally, microbialite and oolite structures are common only in the lower Basissletta Member (Kröger et al., 2017b) and an organic source contribution from benthic microbial mats is anticipated and consistent with the low TOC contents and biomarker

assemblages reported for this strata. The Olenidsletta Member is perhaps the most distinctive of all three, being deposited under deeper water conditions during a marine transgression, with enhanced organic matter preservation (as indicated by higher TOC). Lipid biomarkers and nitrogen isotopes indicate that this bacterial-dominated environment was characterised by anoxic and intermittently photic zone euxinic conditions.

$\delta^{13}\text{C}_{\text{org}}$ values—average -30.4‰ —from the Oslobreen Group are similar to reports from other Early-Middle Ordovician localities (e.g., Buggisch et al., 2003; Azmy & Lavoie, 2009; Zhang et al., 2010; Edwards & Saltzman, 2016) and close to the average $\delta^{13}\text{C}_{\text{org}}$ of -29.4‰ for global bulk marine sedimentary organic matter for this time period (Hayes et al., 1999 and references therein). Some sections from South China (Zhang et al., 2010), Ireland (Jahren et al., 2013), and France (Alvaro et al., 2008) report average carbon isotopic values that are, on average, 4-6‰ heavier than reported here. However, Jahren et al. (2013) reported ^{13}C -enriched bulk organic matter values in the Ordovician of Ireland (Illaunglass Formation, Tremadocian-Floian stages), these values are 13‰ heavier than the ones measured in the sample set investigated here. It has been proposed from palynological and isotopic evidence that colonisation of primitive land plants prior to the Devonian rise of vascular land plants (Middle Ordovician; Strother et al., 1996; Tomescu et al., 2009; Rubinstein et al., 2010; Spaak et al., 2017) may be a possible explanation for these anomalously ^{13}C -enriched bulk isotopic values (Tomescu et al., 2009; Jahren et al., 2013). Such signature contributions are not evident in bulk $\delta^{13}\text{C}_{\text{org}}$ values from the Early-Middle Ordovician strata of Spitsbergen.

High bacterial contributions to preserved organic matter

The ratio of the sum of all the major hopane versus sterane constituents (H/St) gives a broad but informative guide to the overall balance of bacterial versus eukaryotic source contributions to preserved sedimentary organic matter and can be accurately measured from MRM-GC-MS. The Phanerozoic marine average for organic-rich sedimentary rocks and oils for (H/St) ratio typically falls in the range of 0.5 to 2.0 (Peters et al., 2005; Rohrssen et al., 2013). The H/St for all of our Oslobreen Group samples are generally above the upper limit of the marine Phanerozoic average (upper value of the Phanerozoic average is 2.0, for our samples the average value is 6.4). Values >2 are found even in the deeper water strata with appreciable TOC content of the lower Olenidsletta Member (Figure 4B), indicating a high proportion of bacterial source input contributions to the preserved organic matter during sediment deposition.

A series of 2 α -methylhopanes are present and abundant in all samples, with most 2MeHI values exceeding 5% (average = 6.6%; Figure 4C). Biological precursors of 2-methylhopanes have previously been linked to oxygenic photosynthesising cyanobacteria (Summons & Jahnke, 1990; Summons et al., 1999) but have subsequently also been found in anoxygenic photoautotrophs and other bacteria (Rashby et al., 2007; Doughty et al., 2009; Welander et al., 2010). While 2 α -methylhopanes cannot be used to identify specific biological source organisms (Ricci et al., 2014) they can, however, provide some broad insights into depositional environmental conditions. Intriguing correlations between elevated 2 α -methylhopanes and distinctive chemostratigraphic indicators have been noted previously in the geological record. High 2 α -methylhopane abundances in the Phanerozoic rock record have previously been associated with the duration or the aftermath of oceanic anoxic events (OAEs) and often, but not always (French et al., 2014), accompanied by shifts in bulk nitrogen isotopes suggesting nitrate limitation (e.g., Kuypers et al., 2004; Cao et al., 2009; Sepúlveda et al., 2009; Luo et al., 2011).

This shift in nitrogen isotopic compositions to ^{15}N -depleted (zero to negative) values (Figure 3D) is likely due to the activity of diazotrophic cyanobacteria fixing nitrogen as plankton and/or proliferation of microbial mats. Elevated 2α -methylhopanes combined with low (ranging from -2 to +4‰ but averaging -1 to +1‰ for normal marine salinity facies) $\delta^{15}\text{N}_{\text{total}}$ values are in general agreement with a stratified and nutrient (nitrate)-limited aquatic environment favouring diazotrophic bacteria. Nitrogen isotope values reported here are similar to those reported in another Early Ordovician section (Azmy et al., 2015) and fixed nitrogen limitation has been commonly associated with Late Ordovician shelf and basinal settings (e.g., LaPorte et al., 2009; Kiipli & Kiipli, 2013; Luo et al., 2016). The more organic-rich strata of the lower Olenidsletta Member yield $\delta^{15}\text{N}_{\text{total}}$ signatures which are slightly ^{15}N -depleted by about 1‰ (mostly within the 0 to -1‰ range in Figure 3D) relative to organic-lean rocks. This may signify increased transport of recycled ^{15}N -depleted ammonium back into the water column from sedimentary organic matter during redox stratification for uptake by green algae and other microbial producers, given the higher TOC contents. Overall though, the near zero signatures for $\delta^{15}\text{N}_{\text{total}}$ are strongly indicative of bioavailable fixed nitrogen being the local limiting nutrient for primary productivity for Oslobreen Group rocks. This is also consistent with the elevated H/St and 2MeHI values that indicate a high contribution of bacterial source organisms.

Implications of extremely low/absent C_{30} regular steranes

An Early Paleozoic hiatus in the occurrence of the C_{30} sterane compound, 24-*n*-propylcholestane (24-*n*pc), in ancient marine environments was proposed previously (Rohrsen et al., 2015). This particular sterane biomarker is often applied to distinguish marine depositional environments, as opposed to lacustrine or highly restricted marine basins. 24-*n*pc is a steroid marker biosynthesised by pelagophyte microalgae for most Phanerozoic rocks and oils of

Devonian age and younger (Gold et al., 2016). Possible sources of 24-npc sterane in older Phanerozoic and Neoproterozoic rocks are demosponges (Love et al., 2009) and/or foraminifera (Grabenstatter et al., 2013). Rohrssen et al. (2015) found that 24-npc was low or absent in samples from Middle-Late Cambrian age as well as during an extended interval spanning the Late Ordovician-Early Silurian transition.

We found that 24-npc is below detection limits (estimated as 0.34% of total C₂₇-C₃₀ steranes, to take account of prominent UCMs) in samples from the Kirtonryggen Formation and first appears in trace concentrations beginning near the base of the Olenidsletta Member. Abundance of this compound continues to be present either in trace amounts or below detection limits throughout the Olenidsletta Member but increases slightly at the very top (reaching only up to 0.8% of total C₂₇-C₃₀ steranes, continuing into the Profilbekken Member. The first hiatus of C₃₀ steranes, reported by Rohrssen et al. (2015), is extended by our results where 24-npc was below detection limits in the Kirtonryggen Formation and part of the Olenidsletta Member. This hiatus is now extended from the Middle Cambrian into the Early Ordovician. 24-npc has been widely reported in Neoproterozoic rocks and oils from different locations (e.g., Love et al., 2008; Love et al., 2009; Grosjean et al., 2009; Kelly et al., 2011; Lee et al., 2013), particularly in eutrophic settings but can also be absent in Neoproterozoic rocks despite the recognition of the characteristic C₂₉ sterane dominance (Pehr et al., 2018). It is likely that the source of 24-npc in the Neoproterozoic and Early Paleozoic is derived from demosponges, which also biosynthesise 24-isopropylcholestane (24-ipc), and/or from foraminifera (Grabenstatter et al., 2013) given that marine pelagophyte and their algal ancestors did not likely produce C₃₀ sterols until around the Devonian Period as gauged from molecular clock estimates (Gold et al., 2016). Therefore, our samples that contain detectable amounts of 24-npc steranes from the Olenidsletta Member are

probably not algal derived, particularly as the 24-ipc biomarker is found in similar abundance to 24-npc (Love et al., 2009; Love & Summons, 2015; Gold et al., 2016).

Potential implications for marine invertebrate taxa during the GOBE

Overall the lipid biomarker assemblages and stable isotopic characteristics for this paleotropical marine shelfal setting, suggest a bacterially-dominated community structure that was influenced and moderated by sea level and ocean connectivity fluctuations, water column redox-stratification during marine transgression, as well as overall nutrient cycle constraints. Fixed nitrogen limitation would have been a commonly important factor influencing the ecology of paleotropical shelf environments during the Early Ordovician, since availability of organic matter for heterotrophic uptake was strongly nutrient-limited.

Trilobite faunas during periods of redox stratification (i.e., in the lower and upper Olenidsletta Member, when TOC is high and $\delta^{15}\text{N}_{\text{total}}$ is low) were exclusively comprised of species belonging to the family Olenidae, a group characteristic of deep-water, low-oxygen conditions in Ordovician sedimentary basins (Farrell et al., 2011), and of putatively pelagic taxa, such as agnostid arthropods and the trilobites *Carolinites* and *Opipeuter* (Fortey, 1974; Fortey, 1980). It has been proposed that olenid trilobites may have possessed sulfide-oxidising symbionts within their tissues to adapt to benthic conditions prone to episodic anoxia and euxinia (Fortey, 2000). Low Pr/Ph ratios together with elevated homohopane indices (%HHI) and dibenzothiophene/phenanthrene ratios (Figure 5) support the notion that oxygen and sulfidic environmental stress was an important factor for marine invertebrates in both the benthic and pelagic realm during the interval associated with the deposition of the Olenidsletta Member, sustained by organic matter remineralisation and consumption of oxidants. Brachiopod

assemblages of the Kirtonryggen Formation are low in diversity and dominated by articulated forms (Hansen & Holmer, 2010, 2011). During the deposition of the Olenidsletta Member, a strong diversification in the brachiopods resulted in the dominance of linguliform brachiopods. This diversification and shift in dominance has been interpreted as mainly reflecting the deepening and the changing water column redox conditions during the deposition of the Olenidsletta Member (Hansen & Holmer, 2010, 2011). Overall, productivity constraints from nutrient limitation, as well environmental shifts associated with sea level change and redox-stratification variation would all have contributed to the selection pressure on different marine invertebrate groups and strongly influenced the temporal variability of the marine community structure.

While our biomarker assemblages exhibit some of the main characteristic reported previously for Middle-Late Ordovician and Early Silurian sedimentary rocks and oils (e.g., high hopane/sterane ratios, high 3-methylhopane content, C₂₉ sterane dominance, and low/absent C₃₀ steranes), it extends their temporal range for the first time as valid for marine environments for the Early-Middle Ordovician interval. The combination of biomarker characteristics that we report through the Early-Middle Ordovician are not only unique but fundamentally different than what is observed in younger Paleozoic rocks, as revealed from detailed investigation of Devonian sedimentary organic matter (e.g., Haddad et al., 2016; Martinez et al., 2018). The temporal shifts in marine biomarker assemblages, which is becoming apparent across the breadth of the Paleozoic Era, reflect the irreversible impact that biotic and climatological innovations had on the evolution of life and environment during this extended interval (Lenton et al., 2012).

6. Conclusions

Detailed lipid biomarker and stable isotope stratigraphic records were generated for a suite of oil window-mature Early-Middle Ordovician sedimentary carbonates from the eastern terrane of Ny-Friesland, from Spitsbergen, Norway, which were deposited in a paleotropical marine shelf setting. This represents the first time, to our knowledge, that the biomarker and stable carbon and nitrogen isotope systematics have been investigated to better characterise the microbial communities and nutrient cycling for this important time interval of Earth history which witnessed significant climatic and biospheric evolutionary changes. Biomarker assemblage analysis reveals that the organic-lean strata of the Kirtonryggen Formation was deposited in a semi-restricted and shallow oxygenated marine environment with high salinity elevated above typical marine salinity, dominated by bacterial primary producers including likely contributions from benthic microbial mats. The transition from the middle to uppermost Kirtonryggen Formation into the Valhallfonna Formation, around the base of the Olenidsletta Member, represents a local deepening of the basin marked by elevated productivity and deposition of sedimentary rocks with higher organic matter content under a redox-stratified water column, including episodes of photic zone euxinia. The locally nitrate-limiting and oxygen-deficient conditions would have favoured diazotrophs as the dominant primary producers and the low sulfate marine conditions (relative to Mesozoic and younger settings) likely helped sustain active marine methane cycling between the sediment package and the water column. We observed consistently high 3MeHI values mainly in the 5 to 15% range (average = 9.9%) which is well above marine Phanerozoic values (typically from 1 to 3%) and commonly detected C₄₀ acyclic and cyclic biphytane markers derived from archaea.

In terms of implications for the enablement and sustenance of the GOBE, sufficient biomass production and replenishment of bioavailable dissolved nitrogen species via nitrogen fixation from diazotrophic bacteria and/or advection of nitrogen or ammonium onto the shelf from exchange with open ocean waters, would have been required to support the heterotrophic nutrient requirements of diverse groups of marine invertebrates. Additionally, the development of water column stratification, with anoxic/euxinic layers shoaling at least episodically into the photic zone, during the deposition of the more organic-rich Olenidsletta Member of the Valhallfonna Formation, shows that changing sea level and redox conditions also influenced the temporal stability of marine invertebrate communities. Olenid trilobite fossils are prominent within this strata and these may have possessed sulfide-oxidising microbial symbionts which helped them adapt to these metabolically-challenging benthic marine conditions. Distinctive biomarker characteristics reported previously for Middle and Late Ordovician rocks and oils (particularly the exceptionally high 3MeHI values and absence or only traces of 24-npc steranes) are also found here for the first time for the Early-Middle Ordovician from detailed MRM-GC-MS analysis of lipid biomarker assemblages. This emphasises that the Ordovician Period represented an important evolutionary and environmental transition period which led to the reorganisation of the Paleozoic marine biosphere, affecting both microbial and marine invertebrate communities.

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FIGURE CAPTIONS

Figure 1. A) Map of sampling location in Spitsbergen, Norway. B) Magnified inset of the three sampling sites for the Valhallfonna (PR—Profilbekken Member and PO—Olenidsletta Member) and Kirtonryggen Formations (PS—Spora, Basissletta, and Nordporten Members). C) Paleogeographic distribution of continents during the Early-Middle Ordovician (from Scotese & McKerrow, 1990), star represents low latitude location of Spitsbergen. D) Detailed stratigraphic column of the sampled interval. E) Description of symbols and abbreviations: L—Laurentia; S—Siberia; B—Baltica; and G—Gondwana. Dpg.—Dapingian, Olenids.—Olenidsletta, PR—Profilbekken. TR—trilobite, GS—gastropod, CPH—cephalopod, SP—sponge, ECH—echinoderm, art. brachiopod—articulate brachiopod, inart. brachiopod—inarticulate brachiopod.

Figure 2. Thermal maturity profiles through the Kirtonryggen and Valhallfonna Formations. A) Tmax (in °C); B) methylphenanthrene index, MPI; $[1.5(3\text{-MP}+2\text{-MP})/(P+9\text{-MP}+1\text{-MP})]$; C) T_s/T_s+T_m ; D) C_{29} steranes (C_{29} $\alpha\alpha\alpha S/(\alpha\alpha\alpha S+\alpha\alpha\alpha R)$); E) C_{31} $\alpha\beta$ hopanes (C_{31} $22S/C_{31}$ $22S+22R$); and F) C_{30} hopanes (C_{30} $\beta\alpha/C_{30}$ $\beta\alpha+\alpha\beta$). Dpg.—Dapingian; Sp.—Spora Member; Olenids.—Olenidsletta Member; PR—Profilbekken Member. Grey dashed bar delineates the Valhallfonna Formation (above) from the Kirtonryggen Formation (below).

Figure 3. Bulk carbon and stable isotopic ratio profiles through the Kirtonryggen and Valhallfonna Formations. A) Carbonate content (in weight percent); B) Total Organic Carbon (TOC, in weight percent); C) Bulk organic carbon isotopes ($\delta^{13}C_{org}$, in ‰ VPDB); and D) bulk nitrogen isotopes ($\delta^{15}N_{total}$, in ‰ vs. air). Dpg.—Dapingian; Sp.—Spora Member; Olenids.—Olenidsletta Member; PR—Profilbekken Member. Grey dashed bar delineates the Valhallfonna Formation (above) from the Kirtonryggen Formation (below).

Figure 4. Selected lipid biomarker ratios through the Kirtonryggen and Valhallfonna Formations. A) Total organic carbon (TOC; in weight percent %); B) Hopane/sterane (sum of C₂₇-C₃₅ hopanes/sum of C₂₇-C₂₉ diasteranes and regular steranes); C) 2 α -methylhopane index, in percent (2MeHI%; C₃₁ 2 α -methylhopane/2 α -methylhopane+C₃₀ $\alpha\beta$ hopane x 100); D) 3 β -methylhopane index, in percent (3MeHI%; C₃₁ 3 β -methylhopane/3 β -methylhopane+C₃₀ $\alpha\beta$ hopane x 100); E) %steranes for C₂₇ (filled circles), C₂₈ (grey diamonds), and C₂₉ (open squares); F) ratio of paleorenieratane/isorenieratane (paleo/iso) for Valhallfonna Formation samples; and G) Gammacerane index (Gammacerane/C₃₀ $\alpha\beta$ hopane). Dpg.—Dapingian; Sp.—Spora Member; Olenids.—Olenidsletta Member; PR—Profilbekken Member. Grey shaded bar in B) and D) represent the Phanerozoic marine average; grey dashed bar delineates the Valhallfonna Formation (above) from the Kirtonryggen Formation (below).

Figure 5. Selected lipid biomarker ratios through the Kirtonryggen and Valhallfonna Formations. A) TOC (in weight percent); B) C₂₈/C₂₉ steranes; C) C₂₉/C₃₀ hopane; D) Pristane (Pr)/Phytane (Ph); E) homohopane index (HHI) in % (C₃₅ hopanes/sum of C₃₁-C₃₅ homohopanes x 100); F) C₂₈ bisnorhopane/C₃₀ $\alpha\beta$ hopane; and G) dibenzothiophene/phenanthrene (DBT/P). Dpg.—Dapingian; Sp.—Spora Member; Olenids.—Olenidsletta Member; PR—Profilbekken Member. Grey dashed bar delineates the Valhallfonna Formation (above) from the Kirtonryggen Formation (below).

Figure 6. Partial MRM ion chromatograms from the saturated hydrocarbon fraction of PO-92.4 (Olenidsletta Member, Valhallfonna Formation) highlighting the abundance of methylhopanes. A) C₃₀ $\alpha\beta$ and $\beta\alpha$ hopanes (white), γ = gammacerane; B) C₃₁ 2 α -methylhopane (black); C₃₁ $\alpha\beta$ (S and R) hopanes (light grey); and C₃₁ 3 β -methylhopane (dark grey); C) C₃₁ $\alpha\beta$ (S and R) hopanes

(light grey); D) C₃₂ 2 α -methylhopanes (black) and 3 β -methylhopanes (dark grey); and E) C₃₃ 2 α -methylhopanes (black) and 3 β -methylhopanes (dark grey).

Figure 7. Partial ion chromatogram acquired in selected ion monitoring (SIM) mode for the aromatic hydrocarbon fraction of a sample from the Profilbekken Member (PR-6) showing A) m/z 134; open circles denote the C₁₃-C₂₂ members of 2,3,6-trimethylated arylisoprenoids, and B) m/z 546 highlighting C₄₀ compounds; p—paleorenieratane and i—isorenieratane.

ACCEPTED MANUSCRIPT

Figure 1

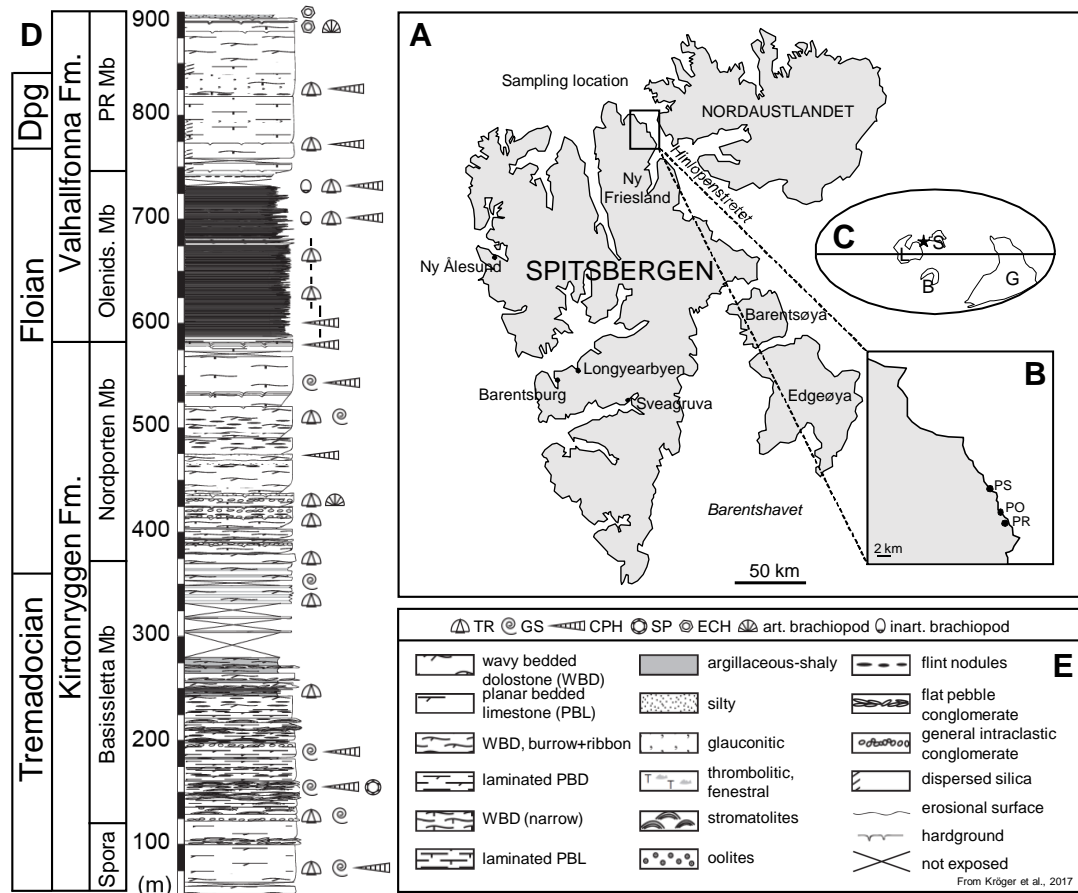


Figure 2

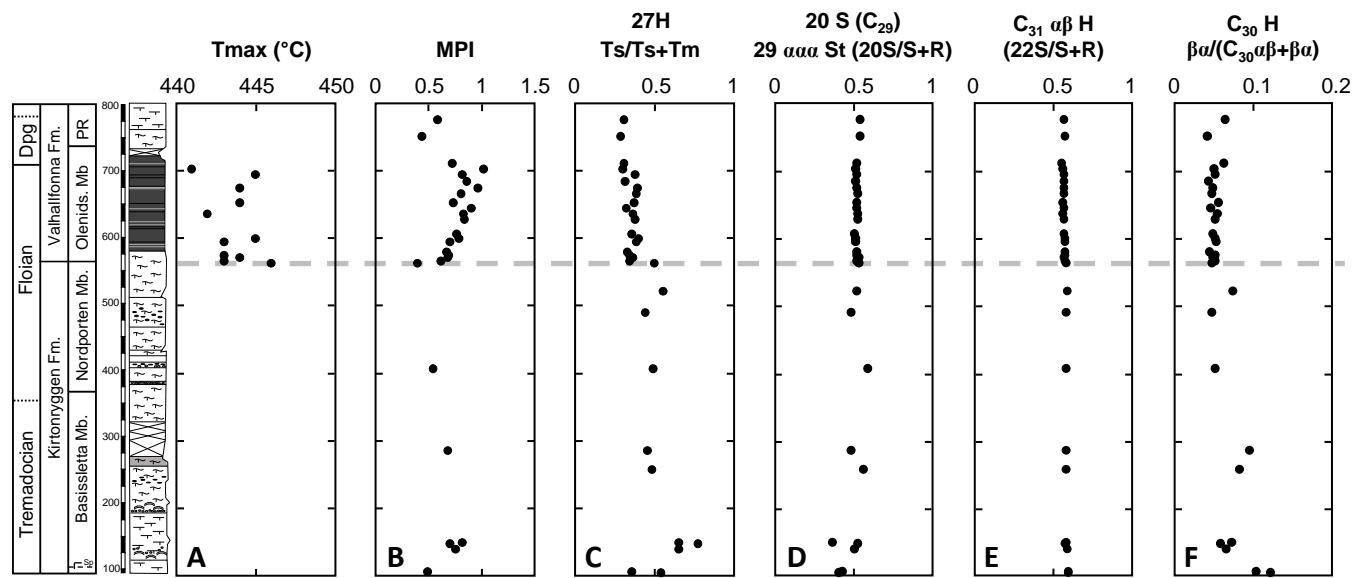


Figure 3

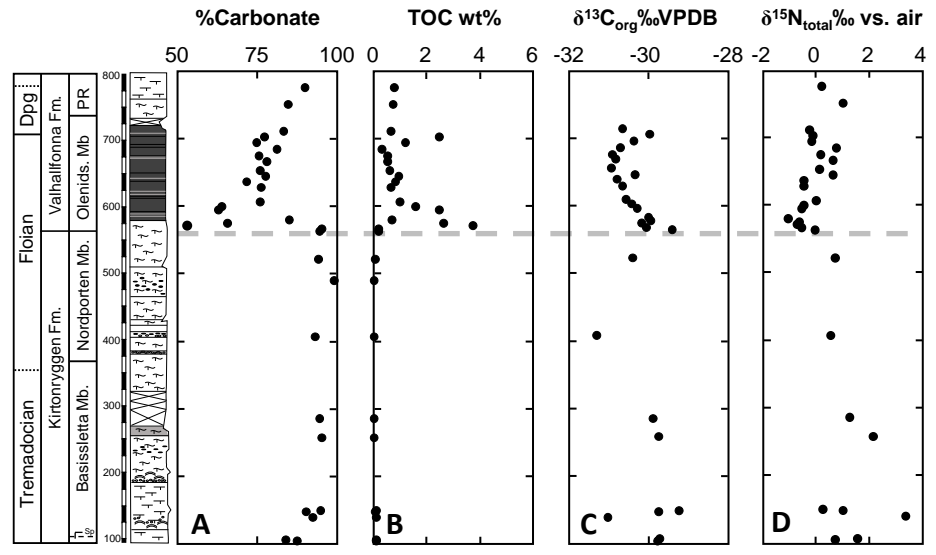


Figure 4

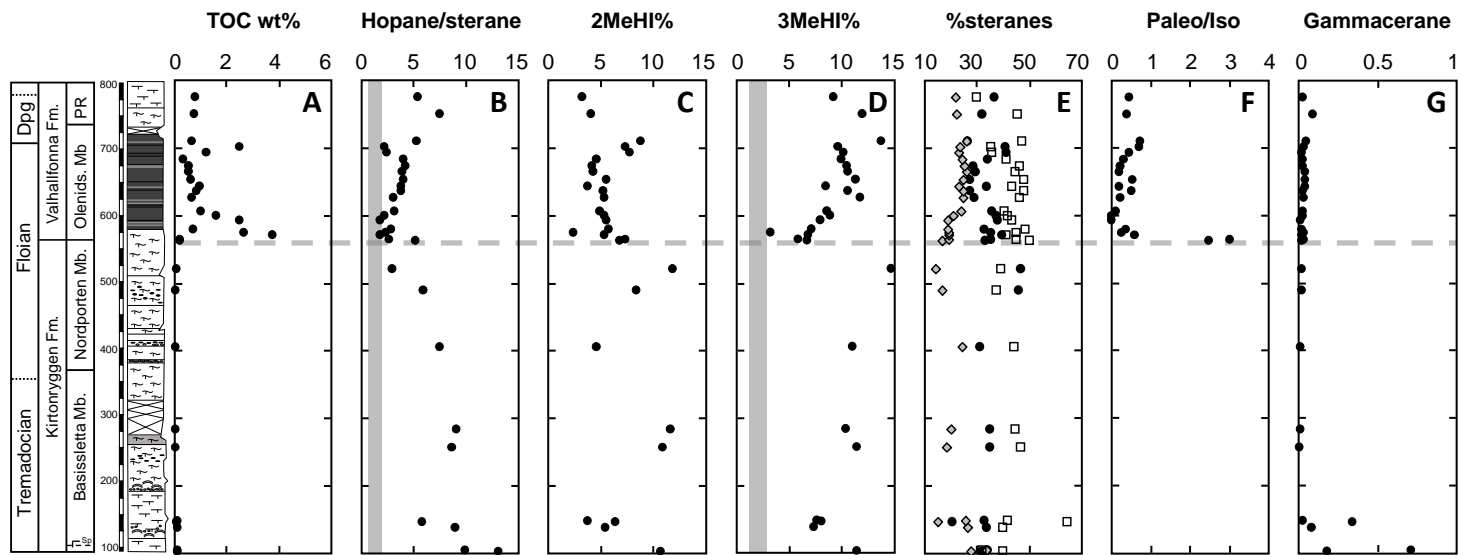


Figure 5

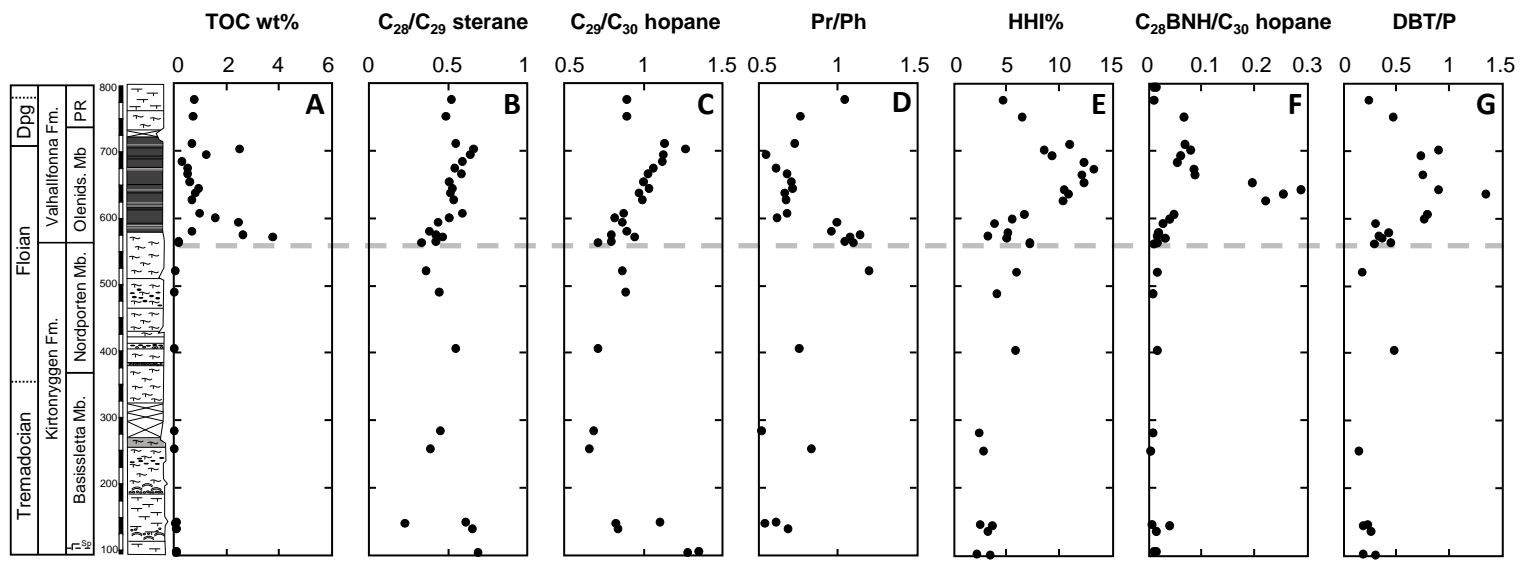


Figure 6

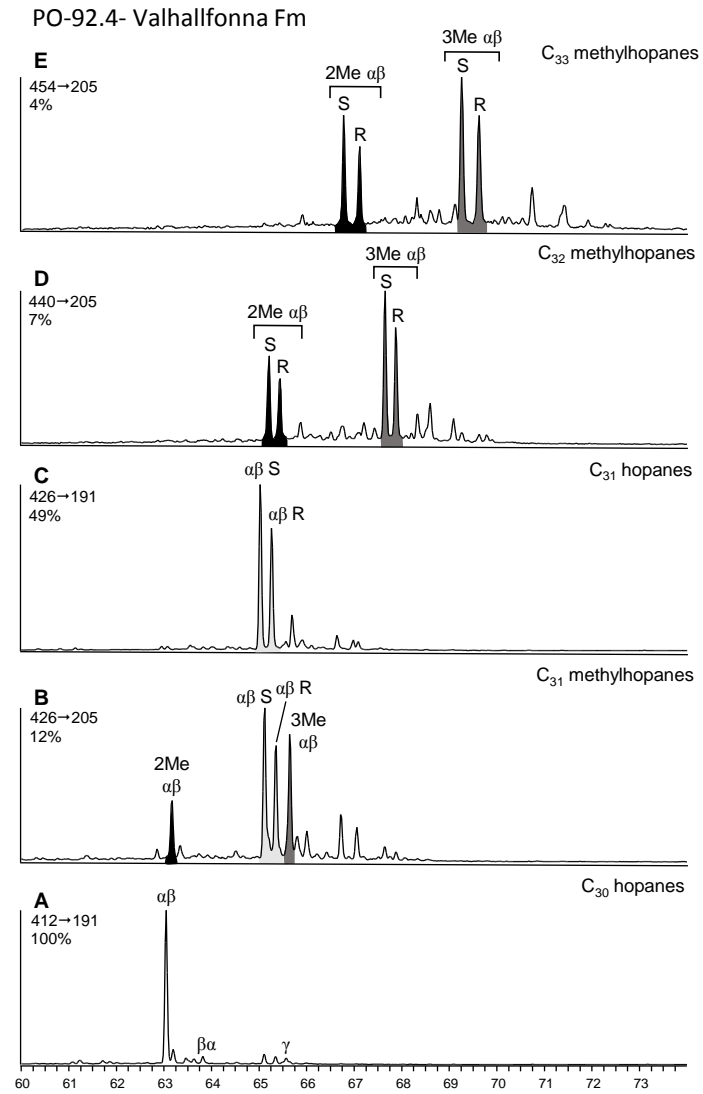
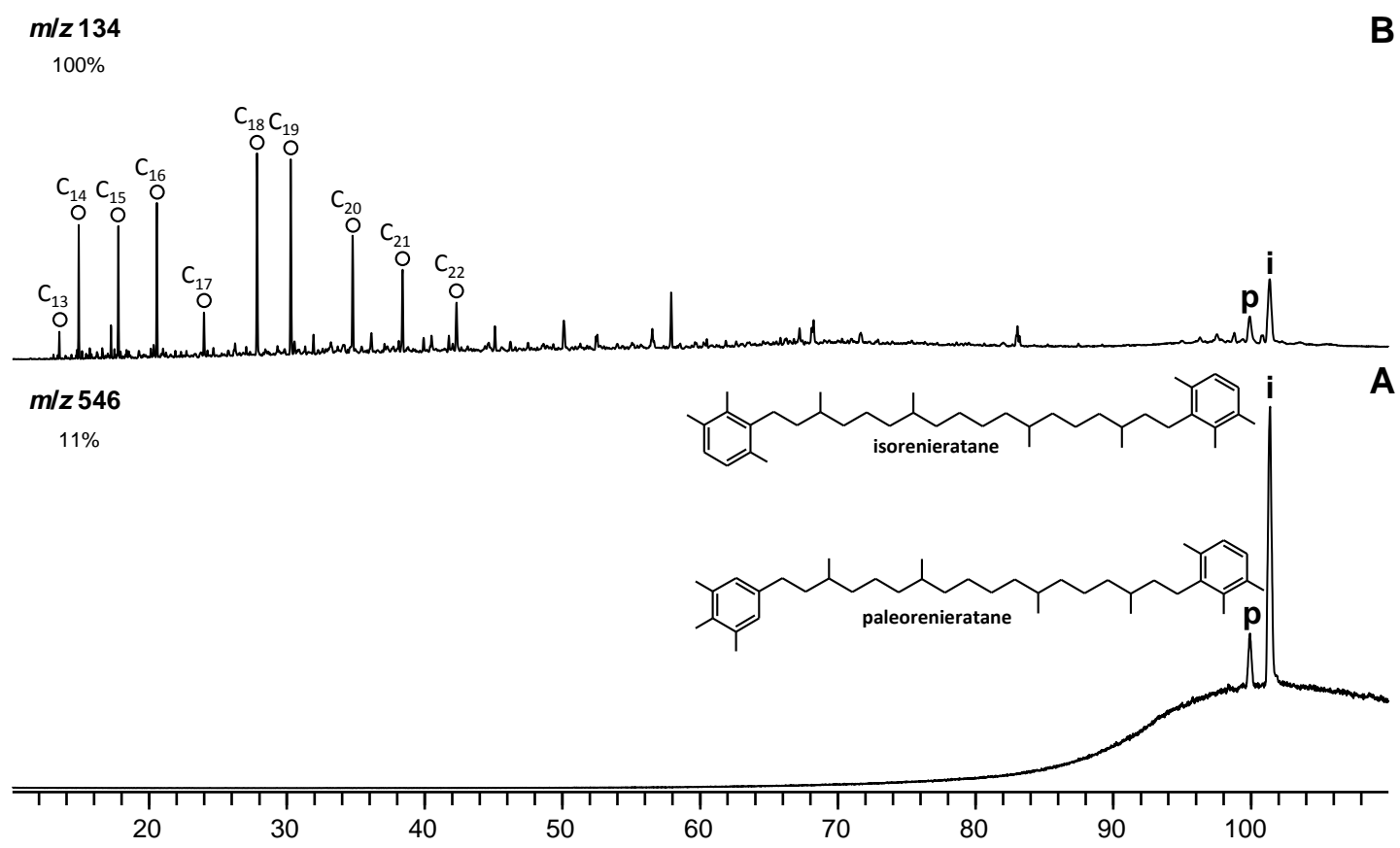


Figure 7



Highlights

1. Bacterially-dominated microbial environment with enhanced methane cycling
2. Aromatic carotenoids reveal anoxic and episodically euxinic conditions
3. Below detectable limits of the C₃₀ marine algal biomarker extends the C₃₀ hiatus
4. Nutrient supply contributes to natural selection of marine invertebrates

ACCEPTED MANUSCRIPT