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Plant stanol esters reduce LDL aggregation by altering LDL surface

2 lipids. The BLOOD FLOW randomized intervention study.

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38

39 Abstract

40 **Objective**

41 Plant stanol ester supplementation (2-3 g plant stanols/d) reduces plasma LDL

42 cholesterol concentration by 9-12% and is therefore recommended as part of

43 prevention and treatment of atherosclerotic cardiovascular disease. In addition to

44 plasma LDL cholesterol concentration, also qualitative properties of LDL particles can

45 influence atherogenesis. However, the effect of plant stanol ester consumption on the

46 proatherogenic properties of LDL has not been studied.

47 Approach and Results

48 Study subjects (n=90) were randomized to consume either a plant stanol ester-

enriched spread (3.0 g plant stanols/day) or the same spread without added plant

stanol esters for 6 months. Blood samples were taken at baseline and after the

51 intervention. The aggregation susceptibility of LDL particles was analysed by

52 inducing aggregation of isolated LDL and following aggregate formation. LDL

53 lipidome was determined by mass spectrometry. Binding of serum lipoproteins to

54 proteoglycans was measured using a microtiter well-based assay.

55 LDL aggregation susceptibility was decreased in the plant stanol ester group, and the

56 median aggregate size after incubation for 2h decreased from 1490nm to 620nm,

p=0.001. Plant stanol ester-induced decrease in LDL aggregation was more

58 extensive in participants having BMI<25 kg/m². Decreased LDL aggregation

susceptibility was associated with decreased proportion of LDL-sphingomyelins and

60 increased proportion of LDL-triacylglycerols. LDL binding to proteoglycans was

61 decreased in the plant stanol ester group, the decrease depending on decreased

62 serum LDL-cholesterol concentration.

63 Conclusions

64 Consumption of plant stanol esters decreases the aggregation susceptibility of LDL

65 particles by modifying LDL lipidome. The resulting improvement of LDL quality may

66 be beneficial for cardiovascular health.

- 67 This study is an ad hoc analysis of the original BLOOD FLOW Study. The
- 68 Clinical Trial Registry number of the original BLOOD FLOW Study is:
- 69 ClinicalTrials.gov, # <u>NCT01315964</u>

70

- 71 **Abbreviations:** apo, apolipoprotein; CE, cholesteryl ester; LDL, low-density
- ⁷² lipoprotein; LPC, lysophosphatidylcholine; NMR, nuclear magnetic resonance; MS,
- mass spectrometry; PC, phosphatidylcholine; SM, sphingomyelin; SMase,
- sphingomyelinase; TAG, triacylglycerol

75 Introduction

Low density lipoprotein (LDL) cholesterol (LDL-C) concentration is a causal 76 and measurable risk factor for atherosclerotic cardiovascular disease (ASCVD).¹ 77 Genetically controlled low LDL-C levels for lifetime are associated with remarkable 78 79 decrease in ASCVD, as revealed by Mendelian randomization studies.² Likewise, ASCVD risk reduction can be achieved by lowering LDL-C with pharmacological and 80 nonpharmacological means. In a recent meta-analysis including over 300 000 81 participants from 33 trials, LDL-C reduction of 1 mmol/l was found to predict a 23% 82 relative risk reduction in major cardiovascular events.³ In these studies, LDL-C 83 reduction was accomplished by upregulating LDL receptor expression using either 84 statin or non-statin therapies, the latter including also dietary trials. Interestingly the 85 relative risk reduction of major vascular events per change in LDL-C was similar in 86 the statin and non-statin treatment modalities. 87

88 It is well established that lifestyle and especially dietary changes can lower the circulating LDL-C concentration by up to 20 %, such lifestyle changes being 89 90 included in international guidelines as a means to reduce the cardiovascular risk.^{4, 5} Foods with added plant stanol esters were developed to lower plasma LDL-C levels 91 92 via inhibition of cholesterol absorption, so that less intestinal cholesterol is 93 transported to the liver. Thus, plant stanol esters can be used as a dietary supplement to safely lower the LDL-C concentration, a daily intake of 2-3 g/d plant 94 stanols lowering the concentration on average by 9-12%.⁶⁻⁸ Indeed, the plant stanol 95 ester -enriched spread has been estimated to be as effective for reducing 96 cardiovascular risk as the Mediterranean diet.⁹ Regarding plant stanols (and plant 97 sterols), the European Atherosclerosis Society Consensus Panel of Phytosterols¹⁰ 98 considered that large randomised outcome studies in low to moderate risk subjects 99 are not practically feasible. However, differences in the proatherogenic properties of 100 LDL particles could add information when attempting to detect individuals at high risk 101 for ASCVD. 102

103 The proatherogenic properties of LDL particles are associated with increased 104 retention and accumulation of LDL in the arterial wall.¹¹ Thus, after the circulating 105 LDL particles have passed the arterial endothelium and entered the tunica intima, the 106 lipoproteins are prone to bind to intimal proteoglycans and are exposed to

modification by intimal extracellular enzymes and oxidants.¹²⁻¹⁵ Modified LDL 107 particles can aggregate ¹⁴ and, indeed, LDL aggregates are found in atherosclerotic 108 lesions.¹⁶⁻¹⁸ Individual differences in these processes may partly explain differences 109 in atherogenesis between individuals having similar LDL-C levels. The binding 110 propensity of LDL to proteoglycans shows inter-individual variation and is stronger in 111 individuals with ASCVD.¹⁹ Similarly, we recently showed that LDL aggregation 112 susceptibility depends on the lipid composition of the particles, varies among 113 individuals and, importantly, an increased aggregation susceptibility can predict 114 future ASCVD death in patients with diagnosed coronary stenosis.²⁰ 115

Both the binding of LDL to proteoglycans and LDL aggregation susceptibility 116 can be modified by diet and by medications that lower plasma LDL-C.^{21, 22} To this 117 end, the aim of the present study was to investigate whether consumption of plant 118 stanol esters has an effect on LDL lipid composition and two atherogenic properties 119 of LDL particles, *i.e.* binding of LDL to proteoglycans and LDL aggregation 120 susceptibility. This study is an *ad hoc* analysis of the original BLOOD FLOW Study, in 121 which the outcome measures were to evaluate the effects of plant stanol ester 122 consumption on serum lipids and on arterial stiffness and endothelial function.^{8, 23} In 123 the present examination, LDL aggregation susceptibility and LDL binding to 124 proteoglycans were defined as exploratory end-point measures. 125

126

127 Subjects and Methods

128 Data Sharing

Data described in the manuscript, code book, and analytic code will be made available upon request.

131 Study participants

The original study called BLOOD FLOW was carried out in Helsinki, Finland 132 in 2011 and has been described earlier in detail.^{8, 23} In short, 94 Finnish, white 133 Caucasian subjects were recruited by advertisements in four large companies having 134 mainly office employees and in two research institutes. Ninety-two subjects 135 completed the study. For the present study, in 2018 these 92 subjects were 136 contacted again, and 90 of them (56 females and 34 men) with a median age of 52 137 years (range 24-66 years) gave their consent to use the frozen sera from the 2011 138 intervention in the exploratory analyses of the present study. Supplemental Figure I 139 displays the flow chart of the study participants. In the original study, lipid-lowering 140 141 medication or consumption of nutrient supplements interfering with cholesterol metabolism were exclusion criteria, as well as gravidity or breast feeding, unstable 142 143 CAD, abnormal liver, kidney, or thyroid function, inflammatory bowel disease, and abundant alcohol consumption. No inclusion criteria were set for serum and 144 lipoprotein lipids. The study was performed according to the principles of the 145 Declaration of Helsinki, and written informed consent was obtained from all study 146 147 participants. The Ethics Committee of the Hospital District of Helsinki and Uusimaa approved the study protocol. 148

149 Study design, diet, and basic measurements

The original study was a randomized, double-blind, placebo-controlled, parallel clinical intervention (Clinical Trials Register #NCT01315964).⁸ The participants were randomized using a computer-generated randomization list into two groups. The plant stanol ester group consumed a plant stanol ester enriched rapeseed oil-based spread (3.0 g of plant stanols/day, STAEST group, n=44) three times/day during regular meals. The control group consumed the same spread without added plant stanols (CONTROL group, n=46) and followed the same

instructions for frequency and timing. Both the study participants and the 157 researchers were blinded to the spreads, which were coded with computer-generated 158 different colors and provided by Raisio Group Ltd. The color codes were broken after 159 all analyses of the original study had been performed. The subjects kept their 160 habitual home diet except for replacing 20 g/day of their regular spread intake by the 161 test spreads. A dietician counselled the subjects twice, and the subjects kept a 3-day 162 food record at baseline and at the end of the study. The nutrient intakes were similar 163 between the groups throughout the intervention.⁸ 164

The intervention phase of the original study lasted for 6 months, and blood samples were collected after 12-hour fast at baseline and at the end of the study. Laboratory measurements employed routine standard methods, and the serum and lipoprotein lipids were enzymatically determined using automated analyser systems at the Central Laboratory of Helsinki University Hospital. Plasma lipoprotein subclasses were determined using nuclear magnetic resonance (NMR) spectroscopy at LipoScience Inc. (Raleigh, NC). The rest of the samples were frozen in -80°C.

172 Measurement of LDL aggregation susceptibility

In the present ad hoc study, LDL particles (d = 1.019 to 1.063 g/ml) were 173 isolated from frozen serum samples after thawing by D₂O-based sequential 174 ultracentrifugation.²⁴ As shown previously,²⁰ frozen samples are suitable for the LDL 175 aggregation assay. LDL aggregation analysis was performed blinded. LDL protein 176 concentration was determined with Pierce[™] BCA Protein Assay Kit (Thermo 177 Scientific, Rockford, USA), and the amounts of LDL are expressed as their protein 178 concentration. LDL samples were diluted to 200 µg of protein/mL in 20 mM MES, pH 179 5.5, containing 150 mM NaCl and 50 µM ZnCl₂. LDL particle size was determined 180 using dynamic light scattering, Wyatt DynaPro Plate Reader II (Wyatt Technology, 181 California, USA). Human recombinant sphingomyelinase (SMase, produced in 182 house²⁵) was used to induce LDL aggregation. Aggregate size was followed every 183 15-30 minutes for 6 hours. LDL aggregation data was collected with Dynamics V7 184 software (Wyatt Technology, California, USA). Apolipoprotein (apo) B-100, apoE, and 185 apoCIII contents of the isolated LDL particles were measured with ELISA assays 186 (Cat. 3715-1A-6 for apoB-100 and Cat. 3712-1H-6 for apoE, Mabtech, Sweden; Cat 187 188 KSP-123 for apoCIII, Nordic Biosite, Sweden).

189 Mass spectrometry analyses of LDL lipid composition

Total lipids of the isolated LDL particles were extracted for lipid mass spectrometry 190 (MS) with the method of Folch et al.²⁶ Aliquots of the lipid extracts were dissolved in 191 chloroform/methanol (1:2 v/v) and spiked with the quantitative internal standard 192 193 mixture designed for human plasma lipids (SPLASH® LIPIDOMIX® Mass Spec Standard No 330707; Avanti Polar Lipids, Inc., Alabama, USA). Just prior to MS, 194 195 NH₄OH was added to aliquots of the sample extracts to give 1% solution, which supported ionization and prevented sodium adduct formation. The samples were 196 introduced via a syringe pump into the electrospray ionization (ESI) source of a triple 197 quadrupole MS (Agilent 6410 Triple Quad LC/MS; Agilent Technologies, Inc., Santa 198 Clara, USA) at a flow rate of 10 µl/min. MS+ scan was used to detect TAG species as 199 (M+NH₄)⁺ ions²⁷ and MS/MS precursor ion scans of m/z 184 and m/z 369 were 200 used to detect phosphorylcholine -containing phospholipid species 201 (phosphatidylcholine PC, lysophosphatidylcholine LPC, and SM) and cholesteryl 202 ester (CE) species, respectively. The ESI-MS/MS instrument was set to a source 203 temperature of 250°C and collision energies optimized for each lipid class (10-30 eV) 204 were used. Nitrogen was used as the collision, nebulizing (20 psi), and drying gas 205 206 (11 µl/min). Data analysis of the mass spectra were performed by using MassHunter Workstation qualitative analysis software (Agilent Technologies, Inc.) and the 207 208 individual lipid species were quantified and converted to molar percent data using the internal standards and Lipid Mass Spectrum Analysis (LIMSA) software, which has 209 210 an inbuilt deisotoping routine that will automatically correct for an overlap of isotope peaks.²⁸ The proportions of the various lipid species are expressed as percentages 211 of surface lipids (PC, SM, and LPC species) and percentages of core lipids (CE and 212 TAG species). 213

214 Measurement of lipoprotein binding to proteoglycans

Proteoglycans were isolated from human aortas as described previously²⁹ and used to coat 96-well plates overnight at 4°C and blocked with 3% BSA, 1% fatfree milk powder, and 0.05% Tween 20 in phosphate-buffered saline for 1 h at 37°C. 1 μ L of each serum sample was diluted in 100 μ L 20 mM MES, 140mM NaCl, 2 mM CaCl₂, and 2 mM MgCl₂ pH 5.5 and incubated in the wells for 1h at 37°C. The 96-well plate was then washed with the same buffer containing 50 mM NaCl and the amount

of bound cholesterol was measured using Amplex red cholesterol kit (Thermo 221 Scientific). Each sample was analysed blinded and in duplicate. To make it easier to 222 compare the proteoglycan-binding of plasma lipoproteins to data published earlier, 223 we also performed the binding assay at neutral pH. For this purpose, only the binding 224 and washing buffers were changed. Thus, 1 µL of each serum sample was diluted to 225 100 µL of 20 mM HEPES, 50 mM NaCl, 5 mM CaCl₂, and 2 mM MgCl₂, pH 7.2, and 226 incubated for 1 h at 37°C. After the incubation, the wells were washed using the 227 same buffer and the amount of cholesterol bound to the proteoglycans was 228 determined as described above. 229

230 Statistical analysis

Statistical differences between baseline values and after intervention were calculated 231 232 using IBM SPSS Software (version 25.0, North Castle, New York, USA). Clinical characteristics are presented as median and range or mean and standard deviation 233 234 (SD) or number of cases (n) and percent from total. Paired Student's t-test was used to compare normally distributed and Wilcoxon signed rank test to compare non-235 236 normally distributed values before and after intervention. LDL aggregation data were analysed with GraphPad Prism software (version 8.0.1, La Jolla, USA). Missing 237 238 values from raw LDL aggregate size-data were replaced with average of previous 239 and following value. The aggregate size vs. time curves were fitted using nonlinear regression curve fit ([Agonist] vs. response - Variable slope (four parameters)) and 240 inflection points were defined. To analyse correlations between LDL aggregation 241 susceptibility or proteoglycan binding and LDL composition or NMR measurements 242 or between changes in these parameters, Spearman correlation coefficient analysis 243 244 was used.

245 **Results**

246 Clinical characteristics and outcome of the intervention

The baseline characteristics of the original study population have been 247 reported earlier.⁸ In brief, the study population was asymptomatic normo-and mildly-248 to-moderately hypercholesterolemic subjects with normal median body mass index 249 (BMI) in both the CONTROL and in the STAEST group. None of the subjects had 250 diagnosed ASCVD. The primary outcome of the original study was that 3.0 g of plant 251 stanol consumption as esters/day reduced arterial stiffness in small arteries in both 252 genders and in men also in large arteries. The secondary outcome revealed that 253 when compared to controls, plant stanol ester consumption lowered LDL-C and non-254 HDL-C concentrations by 10.2% and 10.6%, respectively.⁸ Regarding the present ad 255 256 hoc study, one subject of the original study population declined to participate, and one subject could not be reached, both from the STAEST group, and thus these 257 258 subjects were dropped out. The baseline characteristics and matching between the groups were similar (Table 1). Of the participants, one in the CONTROL group had 259 260 type 2 diabetes and one in each group was a smoker. Blood pressure values, plasma glucose, and high-sensitive C-reactive protein (hs-CRP) concentrations were similar 261 262 between the groups, and they remained within normal limits throughout the study. As 263 shown in **Table 1**, BMI and HDL-C concentrations of the participants were slightly increased after the intervention in both groups. LDL-C, total cholesterol, and non-264 HDL-cholesterol concentrations were all decreased in the STAEST group. 265

Serum concentrations of triglyceride-rich lipoproteins (chylomicrons+VLDL 266 267 and IDL), LDL, and HDL and their subclasses as well as the sizes of the lipoproteins at baseline and after the intervention were determined using NMR spectroscopy 268 (Table 2). In line with the clinical parameters, the concentration of LDL particles 269 decreased in the STAEST group by about 15%. No changes in the proportions of the 270 various LDL subclasses (large, medium, and very small LDL) or LDL size were 271 observed, indicating that consumption of plant stanols influenced similarly all LDL 272 273 subclasses. There was a modest increase in the concentration of large VLDL particles, which was accompanied by a slight increase in VLDL size. In addition, the 274 proportion of small HDL particles decreased, but this change did not influence the 275 overall size of the HDL particles. 276

Dietary information of the participants was collected from a 3-day food diary 277 prior to baseline measurements and prior to the end of the study period. In the 278 STAEST group, the intake of mono- and polyunsaturated fatty acids increased 279 (Table 3). In the CONTROL group, no statistically significant changes in the diet 280 were observed. Sitosterol, a biomarker of cholesterol absorption efficiency³⁰ was 281 measured in serum. As expected, in the STAEST group sitosterol-to-cholesterol -282 ratio was decreased by 33 % (from 1.41 ± 0.62 to 0.95 ± 0.32 µmol/mmol cholesterol, 283 p<0.000), while no changes in this ratio was observed in the CONTROL group (from 284 1.49 ± 0.61 to 1.51 ± 0.59 µmol/mmol cholesterol). 285

LDL aggregation susceptibility is decreased in STAEST group

To study whether a plant stanol ester-rich diet would influence LDL 287 aggregation susceptibility, LDL particles were first isolated from the serum samples. 288 The LDL samples were diluted to a concentration 200 µg of protein/mL, and LDL 289 290 aggregation was induced with human recombinant SMase. LDL aggregate formation and increase in the aggregate size was measured with dynamic light scattering every 291 292 15-30 minutes, and LDL aggregate size after incubation for 2 h was determined from the aggregate size vs. time –curves. Previously, aggregate size at this time point has 293 been shown to best reflect the aggregation susceptibility of the particles.²⁰ Figure 1A 294 shows LDL aggregation measurement of two participants from the STAEST group at 295 baseline and after the intervention. Consistently with previously published data,^{20, 25} 296 there was a large inter-individual variation in LDL aggregation susceptibility, and 297 these aggregation measures did not differ between the STAEST and the CONTROL 298 groups at baseline (blue boxes in Figure 1 B). In the STAEST group, there was a 299 significant decrease in LDL aggregation susceptibility, found in about 2/3 of the 300 participants. Consequently, the median LDL aggregate size at 2 h shifted from 1490 301 nm at baseline to 620 nm after intervention. In the CONTROL group the aggregate 302 303 size did not change significantly. LDL aggregation susceptibility did not correlate with LDL particle size, as also shown before.^{20, 25} 304

305 Overweight and obesity has been shown to influence cholesterol metabolism 306 and the efficacy of dietary fats on LDL-C lowering.^{31, 32} Therefore, we compared the 307 effects of plant stanol esters and the control diet on LDL aggregation susceptibility in 308 normal-weight (BMI<25 kg/m²) and overweight/obese (BMI≥25 kg/m²) participants.

The clinical characteristic of the participants at baseline and after the 6-month 309 intervention in each group are shown in **Table 4**. The baseline levels of the lipids did 310 not differ significantly between the four groups. In the STAEST groups, total 311 cholesterol, LDL-C, and non-HDL cholesterol levels decreased more in the BMI<25 312 kg/m² group than in the BMI≥25 kg/m². Interestingly, there was also a significant 313 difference (P<0.001) in cholesterol absorption (measured as sitosterol-to-cholesterol 314 -ratio) between the two BMI groups consuming plant stanol esters. Thus, in the 315 BMI<25 kg/m² group, the serum sitosterol-to-cholesterol -ratio decreased on average 316 by 0.66±0.44 µmol/mmol cholesterol (-38%), while in the BMI≥25 kg/m² group the 317 decrease was 0.30±0.24 µmol/mmol cholesterol (-25%). 318

LDL aggregation susceptibility has been shown to be independent of plasma 319 LDL-C concentration or BMI.²⁰ Also in this study, LDL aggregation susceptibility at 320 baseline did not differ between the two BMI groups (blue boxes in Figures 1C and 321 **D**). However, as a result of the plant stanol ester intervention, LDL aggregation 322 decreased strongly in the normal-weight participants (from 1210 nm to 300 nm 323 median aggregate size), while in the overweight/obese group, no significant decrease 324 was observed (from 1600 nm to 1240 nm) (Figure 1C). In the CONTROL group, no 325 significant differences in the LDL aggregate sizes between the normal weight and the 326 overweight/obese participants was observed (Figure 1D). 327

Changes in LDL composition explain changes in LDL aggregation susceptibility

We have previously shown that LDL aggregation susceptibility is 330 controlled by LDL lipid composition.²¹ Accordingly, we analysed LDL lipidome and, as 331 also shown previously^{20, 25}, high proportions of SM species of LDL surface lipids were 332 associated with increased LDL aggregation, and high proportions of several 333 polyunsaturated PC species were strongly associated with the aggregation 334 resistance (**Figure 2A**). Also, in accordance with previous data²⁰, high proportions of 335 TAGs of the core lipids were associated with decreased LDL aggregation 336 337 susceptibility (Figure 2B).

338

We next examined whether changes in LDL lipidome were associated with 339 changes in LDL aggregation susceptibility in the STAEST and CONTROL groups. In 340 the STAEST group, the surface lipids that strongly associated with changes in LDL 341 aggregation were the short SM species 15:0 and 14:0. Changes in these SM species 342 correlated positively with changes in LDL aggregation susceptibility (Figure 3A). 343 Since LDL aggregation was decreased in the STAEST group, reduction within the 344 proportion of LDL-SMs provided an explanation for the decreased aggregation. In the 345 CONTROL group, LDL aggregation susceptibility was not significantly changed, but 346 the slight changes in LDL aggregation susceptibility were negatively associated with 347 changes in several highly unsaturated LDL-PC species (Figure 3B). Thus, an 348 349 increase in these PCs could explain the nonsignificant decrease in LDL aggregation susceptibility in the CONTROL group. Of LDL core lipids, changes in the proportion 350 351 of TAGs in the core lipids correlated negatively with changes in LDL aggregation in the STAEST group (Figure 3C). In the CONTROL group only CEs containing highly 352 353 polyunsaturated fatty acids correlated negatively with LDL aggregation susceptibility (Figure 3D). 354

The susceptibility of LDL to sphingomyelin hydrolysis by *Bacillus cereus* 355 spingomyelinase and the resulting aggregation of the lipolyzed LDL particles has 356 been shown to be increased if LDL particles are enriched in apoC-III.^{33, 34} On the 357 other hand, addition of small exchangeable apolipoproteins to aggregating LDL 358 particles inhibits their aggregation.³⁵ Therefore, we next analysed the apoCIII and 359 360 apoE contents of LDL particles from samples obtained at baseline and after the intervention. In the STAEST group the molar ratios of apoE/apoB and apoCIII/apoB 361 were 12.2±6.0 mmol/mol and 286±150 mmol/mol, respectively, at baseline and 362 13.4±8.4 mmol/mol and 315±121 mmol/mol after the intervention. In the CONTROL 363 364 group the values were similar (12.2±8.0 mmol/mol of apoE/apoB and 293±142 mmol/mol of apoCIII/apoB at baseline and 13.4±10.9 mmol/mol of apoE/apoB and 365 328±188 mmol/mol of apoCIII/apoB after the intervention). These ratios did not differ 366 either between the groups or between the time points. Surprisingly, however, we 367 observed that both the apoE/apoB-ratio and the apoCIII/apoB-ratio correlated 368 inversely with LDL aggregation at baseline (Figure 4). In addition, the differences in 369 apoE/apoB and apoCIII/apoB were associated significantly with differences in various 370 LDL lipids (Figure 4). In particular, increased amount of apoE or apoCIII was 371

associated with higher proportion of TAGs in the core of LDL particles. Of note,
differences in either the apoE/apoB or apoCIII/apoB or in the proportion of TAGs of
the core lipids were not associated with differences in the ratio of surface lipids to
core lipids (i.e. potential differences in LDL size) or LDL size measured with NMR
spectroscopy.

377

378 LDL binding to proteoglycans is decreased in the STAEST group

379 The binding of lipoproteins to human aortic proteoglycans was determined using microtiter wells that had been coated with the proteoglycans, BSA-blocked, and 380 381 incubated with serum diluted in a buffer containing 20 mM MES, 2 mM CaCl₂, 2 mM MgCl₂, 150 mM NaCl and having pH 5.5.^{29, 36, 37} Unbound lipoproteins were removed 382 by washing, and the amounts of bound lipoproteins were determined by measuring 383 the cholesterol concentration in the proteoglycan-coated wells. The interaction of 384 plasma lipoproteins to proteoglycans is much stronger at acidic pH than at neutral 385 pH,^{29, 37} but as shown in **Supplemental Figure II**, binding of the lipoproteins at pH 386 5.5 correlated significantly with binding of the same lipoproteins to proteoglycans at 387 pH 7.2, but at lower ionic strength (50 mM NaCl). 388

The binding of serum lipoproteins to proteoglycans at baseline correlated 389 significantly with the concentration of VLDL and LDL particles in the serum samples 390 measured by NMR spectroscopy (Figure 5A). Of the lipoprotein subfractions, small 391 392 VLDL and both large and very small LDL particles correlated positively and VLDL size correlated negatively with the proteoglycan-binding. The apoE/apoB or 393 apoCIII/apoB-ratios did not correlate with either the proteoglycan-binding or with any 394 of the lipoprotein subclasses. The binding of lipoproteins to proteoglycans was 395 associated with differences in the proportion of several LPC species (Supplemental 396 Figure III). 397

In the STAEST group, consumption of plant stanol esters led to decrease in the binding of LDL to proteoglycans (from 4.1 μ mol/L to 3.7 μ mol/L, p=0.032), whereas in the CONTROL group there were no changes (from 4.3 μ mol/L to 4.4 μ mol/L, p=0.604). Similarly to plant stanol -induced changes in LDL aggregation, also the change in the proteoglycan binding was statistically significant in the normal-

- weight participants, but not in the overweight/obese (Figure 5B and C). The
- decrease in the proteoglycan-binding of plasma lipoproteins correlated significantly
- with a decrease in LDL particles (r=0.360, p=0.016) and with the decrease in LDL-C
- 406 (r=0.383, p=0.011). When the proteoglycan-binding propensity values were
- standardized for serum LDL-C concentrations, the significance disappeared in the
- 408 STAEST group (p=0.407) and remained non-significant in the CONTROL group
- 409 (p=0.566).

410

411 Discussion

It is shown for the first time in this *ad hoc* study of the original BLOOD FLOW intervention that consumption of 3 g/day of plant stanols as esters for 6 months reduces LDL aggregation susceptibility and the binding of plasma lipoproteins to proteoglycans. Interestingly, the effects of plant stanols were stronger in normal weight than in overweight or obese participants.

The binding of lipoproteins to proteoglycans determines their potential to 417 be retained in the arterial wall, where the retained particles are subjected to 418 modifications by enzymes and oxidizing agents.³⁸ The modifications can induce 419 lipoprotein aggregation¹⁴ and, importantly, aggregated LDL particles are found in 420 atherosclerotic lesions.¹⁶⁻¹⁸ The atherogenicity of aggregated LDL has been 421 accredited to increased lipid accumulation and induction of secretion of biologically 422 active products from foam cells that recruit macrophages and other cells into the 423 developing atherosclerotic plaques.^{13, 39} A particularly potent enzyme in inducing LDL 424 aggregation is secretory SMase,^{34, 40} an enzyme also used in our LDL aggregation 425 assay. 426

Consumption of plant stanol esters decreased LDL-C concentration and 427 the number of LDL particles in the serum samples and led to decreased binding of 428 LDL to isolated human aortic proteoglycans. Similarly, we previously found that 429 consumption of α -linolenic acid, which decreased plasma LDL-C levels, also 430 decreased LDL binding to proteoglycans LDL-C concentration-dependently.²¹ On the 431 other hand, simvastatin was recently found to decrease the binding of LDL to 432 proteoglycans to a larger extent than explainable by the decrease in LDL-C 433 concentration alone.²² 434

LDL aggregation susceptibility decreased in 2/3 of the participants of the 435 STAEST group with consumption of a plant stanol ester -enriched spread. In 436 contrast, only minor changes in LDL aggregation were observed when the 437 corresponding control spread was consumed. Similar results were observed 438 previously, when diet rich in unsaturated fats was found to reduce LDL aggregation 439 susceptibility in 2/3 of the participants with minimal changes observed in the control 440 group.²⁰ Consistent with our previous results,²⁰ LDL aggregation susceptibility 441 correlated positively with the proportions of total SM and negatively with the 442

proportions of several PCs and TAGs in the LDL particles. Interestingly, in plant
stanol ester consuming group, differences in the changes in the proportions of SMs
and TAGs in LDL explained changes in LDL aggregation susceptibility, whereas in
CONTROL group the changes in the different PC proportions explained the individual
changes in LDL aggregation.

We also analysed the amounts of apoE and apoCIII in the isolated LDL 448 particles. These two small exchangeable apolipoproteins have been linked with 449 increased binding of lipoproteins to a small proteoglycan, biglycan, apoE by directly 450 interacting with glycosaminoglycans and apoCIII via an unknown mechanism.^{33, 41, 42} 451 ApoCIII in LDL particles has also been shown to enhance both sphingomyelinase-452 and phospholipase A₂-mediated hydrolysis of LDL particles.^{33, 43} Surprisingly, we did 453 not observe any correlation between the proteoglycan-binding and LDL-apoE or LDL-454 apoCIII, while observing an inverse correlation between LDL aggregation 455 susceptibility and LDL-apoE and LDL-apoCIII. The differences between this study 456 and the previously published results may be related to differences in the respective 457 study populations. Thus, the effect of LDL-apoCIII has been shown to be particularly 458 prominent in subjects having type 2 diabetes, who carry more apoCIII in their LDL 459 particles than subjects without diabetes.^{33, 43} In our study group only one person had 460 diabetes. Another possibility explaining the above-mentioned differences may relate 461 to isolation of LDL particles. Thus, even though we used a D₂O-based optimal LDL 462 isolation method,⁴⁴ it is still possible that some of the small apolipoproteins were 463 464 released from LDL during the isolation. Finally, in our assay we used proteoglycans isolated from human aortas. The preparation is enriched in versican, rather than 465 biglycan used in the earlier studies.^{33, 41} Although unlikely, we cannot rule out the 466 possibility that the interaction of lipoproteins with large versican proteoglycans differs 467 from their interaction with biglycan. 468

LDL particle aggregation depends on the degree of particle lipolysis,⁴⁵ but regarding fully lipolyzed particles, such as in our LDL aggregation assay, both apoE and apoCIII on LDL particles appear to inhibit particle aggregation, a finding in accordance with previously published data showing that addition of small exchangeable apolipoproteins stabilizes modified LDL particles.³⁵ Partice stabilization has been suggested to depend on the ability of the apolipoproteins to incorporate into the surface monolayer of the modified LDL particles.³⁶ Importantly, high content of
both apo E and apoCIII also correlated with high proportion of TAGs in LDL core and
high proportion of several PC species on LDL surface, in accordance with earlier
data.^{33, 43} Indeed, an increase in these lipids in LDL is causally associated with the
decreased LDL aggregation susceptibility.²⁰

In addition to the current dietary intervention with plant stanols, also 480 "healthy Nordic diet" has been found to decrease the LDL aggregation among most 481 of the study participants.²⁰ In the cited study, dietary vitamin E, a marker of vegetable 482 oil consumption, best explained this decrease.²⁰ However, when we studied if α-483 linolenic acid-rich Camelina sativa oil, fatty fish, or lean fish we found no effect on 484 LDL aggregation.²¹ It is of interest to note that the participants in both the "healthy 485 Nordic diet" study,⁴⁶ and the *Camelina sativa* oil study⁴⁷ were all overweight or obese. 486 Here, we showed that consumption of plant stanols reduced intestinal cholesterol 487 absorption, serum LDL-C and LDL particle concentrations, and LDL aggregation 488 significantly more in participants having BMI < 25 kg/m² than in the overweight/obese 489 participants. Together these findings suggest that excess adipose tissue influences 490 the intestinal lipid absorption. This idea is in accordance with earlier studies showing 491 that cholesterol absorption is lower in obese than in normal-weight subjects and that 492 dietary effects of unsaturated fats differ between normal-weight and overweight 493 people.^{31, 32, 48} 494

Taken together, this study shows that dietary plant stanol esters 495 decrease the binding of LDL to proteoglycans by lowering LDL levels in circulation 496 and reduce LDL aggregation susceptibility by inducing qualitative changes in LDL 497 lipids. The changes in the proatherogenic properties of LDL were more pronounced 498 in lean individuals. We have previously demonstrated that the circulating LDL 499 particles are more aggregation-prone in individuals having established ASCVD than 500 in healthy subjects, and that the presence of aggregation-prone LDL in circulation 501 predicts future ASCVD death independently of conventional risk factors.²⁵ Thus, plant 502 stanol esters possess a dual potential to support our preventive efforts to combat 503 ASCVD: they lower the concentration of LDL particles in serum and also render them 504 505 more resistant against aggregation.

506

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- 516

517 Author's contributions

- 518 HG, KÖ, and MR designed the research; HG, PS, and HL collected the patient
- samples and the clinical characteristic data, RK, FT-S, LÄ and MR were responsible
- 520 for lipid mass spectrometry analyses, MR conducted research; MR and FT-S
- analysed data; MR wrote the first draft of the manuscript and it was critically reviewed
- 522 by KÖ, HG, and PTK. All authors edited the text and approved the final manuscript.

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Highlights:

- LDL aggregation susceptibility has been associated with atherosclerotic cardiovascular deaths, but it has not been studied whether LDL-lowering plant stanol ester consumption affects LDL aggregation.
- LDL aggregation decreases with consumption of plant stanol esters by altering LDL lipid composition.
- Plant stanol esters are more effective among lean individuals than overweight/obese individuals.
- LDL binding to human arterial proteoglycans is decreased with plant stanol ester consumption.

Figure legends

Figure 1. Plant stanol ester –rich diet decreases LDL aggregation susceptibility. LDL aggregation was induced by incubating LDL isolated from serum samples collected at baseline and after the intervention and measuring the size of the aggregates by dynamic light scattering. (**A**) Aggregate size vs. time curves of two participants at baseline and after the intervention. The size of LDL aggregates at 2 h is used as a measure of LDL aggregation susceptibility (**B**) Aggregate sizes at 2h in STAEST group (n=44) and in CONTROL group (n=46). The groups were further divided according to BMI to normal-weight (BMI < 25 kg/m²) and overweight/obese (BMI ≥ 25 kg/m²). Aggregate sizes at 2 h (**C**) in STAEST group (BMI < 25 kg/m² n=20, BMI ≥ 25 kg/m² n=24) and (**D**) in CONTROL group (n=23 in both BMI groups). The box encompasses the middle 50% of the measured values and the horizontal line within the box shows the median. The whiskers show the most extreme data points. Statistical significance between the baseline and after intervention values was determined with Wilcoxon signed rank test.

Figure 2. Correlation of LDL aggregation susceptibility and LDL lipid species.Volcano plots show Spearman correlation coefficients between LDL aggregation susceptibility and (**A**) LDL surface lipid species and (**B**) LDL core lipids. PC, phosphatidylcholine; LPC, lysophosphatidylcholine; SM, sphingomyelin. Triacylglycerols (TAGs) are grouped according to the sum of acyl carbon double bonds: TAG-SAT, no double bonds, TAG-MONO, 1 double bond; TAG-DI, 2 double bonds, TAG-TRI, 3 double bonds; TAG-TETRA, 4 double bonds. CE-HUFA; cholesteryl esters having \geq 3 double bonds in their acyl chain. Only lipids having statistically significant (p<0.05) correlation are indicated.

Figure 3. Correlation of changes in LDL aggregation susceptibility and LDL lipid species. Spearman correlation coefficients between changes in LDL aggregation susceptibility and changes in LDL surface lipids (**A**) in the STAEST group, n=37 and (**B**) in the CONTROL group, n=42. Spearman correlation coefficients between changes in LDL aggregation susceptibility and changes in LDL core lipids (**C**) in the STAEST group and (**D**) in the CONTROL group. PC, phosphatidylcholine; LPC, lysophosphatidylcholine; SM, sphingomyelin. Triacylglycerols (TAGs) are grouped according to the sum of acyl carbon double bonds: TAG-SAT, no double bonds, TAG-MONO, 1 double bond; TAG-DI, 2 double bonds, TAG-TRI, 3 double bonds; TAG-TETRA, 4 double bonds. CE-HUFA; cholesteryl esters having \geq 3 double bonds in their acyl chain. Lipid species with significant p-values (p<0.05) are labelled.

Figure 4. Correlation of apo E and apo CIII and LDL aggregation and lipidome. Spearman correlation coefficients between apoE/apoB (**A**) or apoCIII/apoB (**B**) and LDL aggregation and LDL lipid composition at baseline (n=90). PC, phosphatidylcholine; Triacylglycerols

(TAGs) are grouped according to the sum of acyl carbon double bonds TAG-SAT, no double bonds, TAG-MONO, 1 double bond; TAG-DI, 2 double bonds, TAG-TRI, 3 double bonds; TAG-TETRA, 4 double bonds. Lipid species with significant p-values (p<0.05) are labelled

Figure 5. Binding of serum lipoproteins to human aortic proteoglycans. Serum samples were incubated for 1 h at 37 °C in microtiter wells coated with human aortic proteoglycans. The amount of cholesterol bound to the wells was determined. (**A**) Heatmap showing the Spearman correlation coefficients of the association at baseline between proteoglycanbinding, serum lipoproteins and their subclasses determined by NMR spectroscopy, and apoE/apoB and apoCIII/apoB (n=90). * p<0.05, **p<0.01, *** p<0.001. Proteoglycanbinding of the samples collected at baseline and after the intervention (**B**) in the STAEST group divided according to BMI to normal-weight (BMI<25kg/m², n=20) and overweight/obese (BMI≥ 25kg/m², n=24) and (**C**) in the CONTROL group (n=23 in both BMI groups). The statistical significance was determined using Wilcoxon signed rank test.

Table 1. Clinical characteristics presented as mean \pm SD of study participants at baseline and after the intervention. Statistical differences within groups were calculated between before and after values of the intervention using paired Student's t-test, *p<0.05, **p<0.01, ***p<0.001 BMI, body mass index; LDL-C, low density lipoprotein cholesterol, HDL-C, high density lipoprotein cholesterol, TG, triglycerides.

	CO	NTROL	STA	EST
Clinical characteristics	Baseline	After intervention	Baseline	After intervention
Subjects (n)		46	4	4
male		14	2	20
female	32		24	
Age (years)	52 ((24-66)	52 (2	27-66)
BMI (kg/m²)	25.0±3.6	25.3±3.6**	25.2±3.8	25.5±3.6*
Cholesterol (mmol/l)	5.6±1.0	5.7±1.0	5.5±0.9	5.3±0.8**
LDL-C (mmol/l)	3.5±0.9	3.6±1.0	3.5±0.8	3.2±0.8***
HDL-C (mmol/I)	1.8±1.0	1.9±0.5**	1.8±0.5	1.9±0.5*
TG (mmol/l)	0.96±0.5	1.0±0.5	0.88±0.41	0.98±0.5*
non-HDL-C (mmol/l)	3.8±1.0	3.8±0.9	3.7±1.0	3.4±0.9***

Table 2. Lipoprotein subclasses and lipoprotein sizes of study participants at baseline and after the intervention were analysed by NMR spectroscopy. The concentrations of lipoproteins are expressed as nmol/l and the subclasses as % of each lipoprotein class. Normally distributed data are expressed as mean \pm SD and other values as median (range). Statistical significances of the differences within groups were calculated between before and after values using paired Student's t-test (normally distributed values) or Wilcoxon signed rank test, *p<0.05, **p<0.01, ***p<0.001. TG, triglyceride.

	CON	TROL	STAES	т
Lipoprotein particle concentration	Baseline	After intervention	Baseline	After intervention
Triglyceride-rich particles (nmol/l)	49.3 (4.0-333)	55.4 (9.6-304)	49.9 (13.6-311)	40.0 (11.1-221)
Large (% of TG-rich)	1.0 (0-11)	0.7 (0-11.5)	0.85 (0-21.5)	1.6 (0-14.2)*
Medium (% of TG-rich)	41 (3.2-99)	38 (2.7-99)	34 (2.7-99)	49 (5.8-89)
Small (%of TG-rich)	42±24	41±40	48±24	39±20
IDL (% of TG-rich)	44 (0-88)	0 (0-77)	0 (0-73)	0 (0-69)
LDL (nmol/l)	1314 (657-2887)	1238 (538-2981)	1355 (634-2573)	1147 (413-2330)***
Large (% of LDL)	63±26	61±26	61±29	58±30
Medium (% of LDL)	7.5±5.6	8.5±5.6	8.0±6.3	9.2±7.2
Very small (% of LDL)	29 (0-69)	33 (0-81)	34 (0-70)	28 (0-70)
HDL (nmol/l)	38.6±7.5	36.2±6.8	37.435.5± 7.0	35.5±6.9
Large (% of HDL)	35±15	35±14	34±16	35±16
Medium (% of HDL)	0 (0-30)	0 (0-46)	0 (0-18)	0.15 (0-32)
Small (% of HDL)	62±15	60±16	63±29	60±15*
Lipoprotein size	Baseline	After intervention	Baseline	After intervention
VLDL size (nm)	48.7 (9.6-152)	46.6 (36.8-73.6)	45.6 (34.4-66.7)	48.8 (37.2-67.8)**
LDL size (nm)	21.9 (20.0-23.0)	21.7 (19.2-22.9)	21.7 (19.9-23.0)	21.8 (19.9-22.8)
HDL size (nm)	9.4 (8.2-10.3)	9.4 (8.2-10.5)	9.5 (8.3-10.5)	9.4 (8.3-10.4)

Table 3. Dietary information was collected from 3-day food diaries at baseline and at the end of the intervention. The values of dietary energy % are presented as median (range). Statistical differences within groups were calculated between before and after values of the intervention using Wilcoxon signed rank test. *p<0.05. E%, energy %, SFA, saturated fatty acids; MUFA, monounsaturated fatty acids; PUFA, polyunsaturated fatty acids, CARB, carbohydrates.

	CONTROL		STAEST	
Dietary E%	Baseline	End of intervention	Baseline	End of intervention
Protein E%	16 (10-28)	16 (10-26)	17 (12-25)	17 (10-24)
Fat E%	35 (16-47)	36 (25-50)	33 (23-50)	35 (19-45)
SFA E%	11 (5-20)	12 (7-21)	11 (7-21)	11 (5-16)
MUFA E%	12 (5-23)	12 (8-18)	11 (7-20)	13 (7-18)**
PUFA E%	5 (3-13)	5 (3-15)	5 (3-8)	6 (3-12)*
CARB E%	41 (24-56)	42 (26-53)	43 (22-56)	42 (27-54)
Alcohol E%	2 (0-11)	0 (0-15)	0 (0-15)	1 (0-13)

Table 4. Clinical characteristics of the study participants (presented as mean \pm SD) divided to normal weight (BMI < 25) and overweight/obese (BMI ≥ 25). Statistical difference between baseline and after treatment were analysed with paired Student's t-test. *p<0.05, **p<0.01, ***p<0.001. BMI, body mass index; LDL-C, low density lipoprotein cholesterol, HDL-C, high density lipoprotein cholesterol, TG, triglycerides.

	BMI < 25 kg/m ²					BMI ≥ 2	25 kg/m²	
	CON	NTROL	ST	AEST	CON	ITROL	ST	AEST
Clinical characteristics	Baseline	After intervention	Baseline	After intervention	Baseline	After intervention	Baseline	After intervention
Subjects (n)		23		20		23		24
male		4		4		10		16
female		19		16		13		8
Age (years)	54	(24-66)	53	(37-64)	52 (36-62)	51	(27-66)
BMI (kg/m²)	22.3±1.9	22.6±1.9 **	22.2±2.1	22.6±2.2**	27.7±2.7	28.1±2.6	27.8±2.9	27.9±2.8
Cholesterol (mmol/l)	5.5±0.1	5.6±1.0	5.4±0.9	5.0±0.7**	5.6±1.0	5.8±1.0	5.6±0.8	5.5±0.8
LDL-C (mmol/l)	3.4±0.9	3.4±1.0	3.2±0.8	2.8±0.7***	3.7±0.9	3.8±1.0	3.8±0.7	3.6±0.7*
HDL-C (mmol/l)	2.0±0.4	2.1±0.4*	2.0±0.4	2.1±0.5	1.6±0.5	1.7±0.5	1.6±0.5	1.7±0.5
TG (mmol/l)	0.8±0.3	0.9±0.4	0.7±0.2	0.8±0.2	1.1±0.5	1.2±0.5	1.0±0.5	1.2±0.5
non-HDL-C (mmol/l)	3.6±1.0	3.6±1.0	3.4±0.8	3.0±0.7***	4.0±1.0	4.1±1.0	4.0±0.8	3.8±0.8*



Figure 2







ALDL aggregation vs. ∆LDL core lipids (Spearman correlation coefficient)

△LDL aggregation vs. △LDL core lipids (Spearman correlation coefficient)

Figure 4



(Spearman correlation coefficient)

Figure 5.



Β.

*





Plant stanol esters reduce LDL aggregation by altering LDL surface lipids. The BLOOD FLOW randomized intervention study.

Maija Ruuth, Lauri Äikäs, Feven Tigistu-Sahle, Reijo Käkelä, Harri Lindholm, Piia Simonen, Petri T. Kovanen, Helena Gylling & Katariina Öörni

Supplemental files:

Supplemental Figure I: Participant flow chart

Supplemental Figure II: Binding of serum lipoproteins to proteoglycans at pH 5.5 and pH 7.2

Supplemental Figure III: Correlation of proteoglycan binding and LDL lipid species

Major Resources Table

Participant flow chart





Binding of serum lipoproteins to human aortic proteoglycans at pH 5.5 and pH 7.2. The binding of serum lipoproteins (n=90) to human aotic proteoglycans was determined at pH 5.5 (20 mM MES-150 mM NaCl, 2 mM CaCl₂-2 mM MgCl₂) and at pH 7.2 (20 mM HEPES-50 mM NaCl, 2 mM CaCl₂-2 mM MgCl₂) in microtiter wells. One μ L of the serum samples was incubated in the proteoglycan-coated wells for 1 h, the wells were washed with the same buffer containing 50 mM NaCl in both pH 5.5 and pH 7.2, and cholesterol in each well was determined.

Supplemental Figure III



Spearman correlation coefficient of the PG-binding and LDL lipid species at baseline (n=79). PC, phosphatidylcholine; LPC, lysophosphatidylcholine; SM, sphingomyelin. Lipid species with significant p-values (p<0.05) are labelled.

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Animals (in vivo studies)

Species	Vendor or Source	Background Strain	Sex	Persistent ID / URL
N/A				

Genetically Modified Animals

	Species	Vendor or Source	Background Strain	Other Information	Persistent ID / URL
Parent - Male	N/A				
Parent - Female					

Antibodies

Target antigen	Vendor or Source	Catalog #	Working	Lot # (preferred	Persistent ID / URL
N/A			concentration	but not required)	

DNA/cDNA Clones

Clone Name	Sequence	Source / Repository	Persistent ID / URL
N/A			

Cultured Cells

Name	Vendor or Source	Sex (F, M, or unknown)	Persistent ID / URL
N/A			

Data & Code Availability

Description	Source / Repository	Persistent ID / URL
The data that support the findings of this study are available from the corresponding		

Other

Description	Source / Repository	Persistent ID / URL
Human recombinant	University of Helsinki/	Produced in house as described in doi:
sphingomyelinase	Wihuri Research Institute	10.1016/j.jacl.2019.09.011
Human aortic proteoglycans	Wihuri Research Institute	Isolated in house as described in doi:
		10.1074/jbc.272.34.21303