

# Origin of Stereoselectivity in FLP-Catalyzed Asymmetric Hydrogenation of Imines

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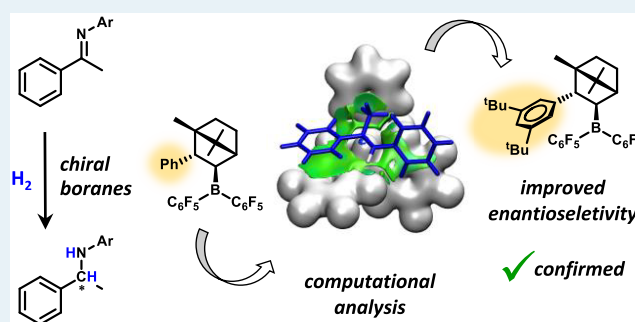
**ABSTRACT:** Development of metal-free strategies for stereoselective hydrogenation of unsaturated substrates is of particular interest in asymmetric synthesis. The emerging chemistry of frustrated Lewis pairs offers a promising approach along this line as demonstrated by recent achievements. However, the stereocontrol elements in these reactions are not clearly recognized thus far. Herein, we analyze the origin of stereoinduction in direct hydrogenation of imines catalyzed by a set of chiral boranes. We use the tools of computational chemistry to describe the elementary steps of the catalytic cycle, and we pay special attention to the stereoselectivity-determining hydride transfer process. The enantioselectivities predicted by the applied computational approach are in very good agreement with previous experimental observations. We find that the stereoselectivity is governed by a thermodynamically less favored conformer of the borohydride intermediate and not by the experimentally observed form. The most favored hydride transfer transition states are stabilized by specific aryl–aryl and alkyl–aryl noncovalent interactions, which play an important role in stereoinduction. This computational insight is exploited in proposing additional borane variants to improve the enantioselectivity, which could be demonstrated experimentally

**KEYWORDS:** frustrated Lewis pairs, asymmetric hydrogenation, mechanism, stereocontrol, DFT

## INTRODUCTION

Chiral amines, particularly those bearing  $\alpha$ -stereogenic carbon atoms, are widely used intermediates in the production of pharmaceuticals, agrochemicals, and fine chemicals. Therefore, the development of effective methods for enantioselective synthesis of these compounds is of high interest.<sup>1</sup> Among the available synthetic strategies, the asymmetric hydrogenation of prochiral imines and enamines by the direct use of H<sub>2</sub> as the hydrogen source represents a promising atom-economic approach.<sup>2</sup> A variety of transition metal (TM) complexes comprising chiral organic ligands have been developed, and some of them have proved to be efficient catalysts for direct enantioselective imine hydrogenations. Iridium complexes are known to be particularly powerful hydrogenation catalysts; however, achieving very high enantioselectivities for a wide range of substrates remains challenging.<sup>3</sup>

Metal-free routes to the synthesis of chiral amines via catalytic hydrogenation have also been developed in the past decade.<sup>4</sup> Several successful organocatalytic transfer hydrogenation reactions catalyzed by chiral phosphoric acids as well as hydrosilylations mediated by chiral Lewis bases have been reported, but these transformations require a stoichiometric amount of other hydrogen sources, such as Hantzsch ester or trichlorosilane.<sup>5</sup> The discovery that sterically hindered Lewis



acid–base pairs are able to cleave molecular hydrogen reversibly under mild reaction conditions<sup>6</sup> opened a metal-free strategy for direct catalytic hydrogenation of unsaturated molecules.<sup>7</sup> These so-called frustrated Lewis pairs (FLPs)<sup>8</sup> were shown to catalyze various unsaturated organic substrates<sup>9</sup> even under water-tolerant conditions.<sup>10</sup>

The FLP concept has been successfully adopted to design asymmetric catalytic hydrogenation processes as well.<sup>11</sup> Pioneering contributions from Klankermayer et al.<sup>12</sup> provided the first examples of chiral induction by FLPs. Boranes 1–4 (Chart 1) were prepared via hydroboration of chiral olefins with Piers' borane (C<sub>6</sub>F<sub>5</sub>)<sub>2</sub>BH,<sup>13</sup> and they were employed for the hydrogenation of imines. The (+)- $\alpha$ -pinene derived borane 1 gave only a low enantiomeric excess (ee) in the product amine (13% ee);<sup>12a</sup> however, the asymmetric induction was notably improved (up to 83% ee) using boranes 2–4, which were derived from (1R)-(+)-camphor.<sup>12b</sup>

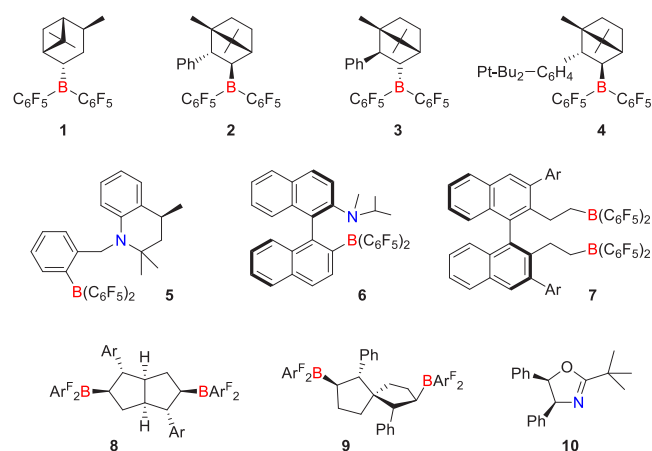
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### Chart 1. Selection of Chiral FLP Components Employed in Enantioselective Catalytic Hydrogenations<sup>a</sup>



<sup>a</sup>Ar denotes aromatic substituents; Ar<sup>F</sup> = C<sub>6</sub>F<sub>5</sub> or *p*-C<sub>6</sub>F<sub>4</sub>H.

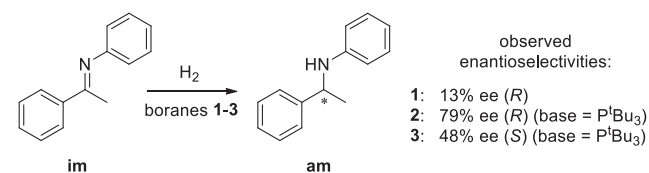
Repo and co-workers developed intramolecular FLPs with chiral amine moieties (e.g., **5**); however, they resulted in only moderate enantioselectivities in catalytic hydrogenation of imines and quinolines (up to 37% ee).<sup>14</sup> The same group later introduced chirality via the binaphthyl framework into *ansa*-aminoboranes, and intramolecular FLP **6** was shown to be an efficient hydrogenation catalyst, particularly for asymmetric hydrogenation of enamines (ee values up to 99%).<sup>15</sup> In 2013, Du proposed a simple and powerful strategy to generate enantiomerically pure bis-boranes **7** in situ by direct hydroboration of binaphthyl-based terminal dienes.<sup>16</sup> Some representatives of this family of chiral boranes, especially those with very bulky Ar substituents on the binaphthyl framework, were demonstrated to be highly effective catalysts in enantioselective hydrogenation of imines,<sup>16</sup> silyl enol ethers,<sup>17</sup> and various heteroarenes<sup>18</sup> as well. Wang and co-workers have recently developed a series of C<sub>2</sub>-symmetric bicyclic bis-boranes derived from *cis*-fused bicyclic dienes by hydroboration.<sup>19</sup> Stereoisomer **8** of this series was found to be exceptionally efficient for imine hydrogenation providing ee's above 90% for the first time. In subsequent work, spiro-bicyclic bis-boranes **9** were also introduced,<sup>20</sup> exhibiting excellent catalytic activities and stereoselectivities for the hydrogenation of quinolines and 2-vinylpyridines. Attempts to control the stereochemistry of FLP-type hydrogenation by chiral Lewis bases resulted in only modest enantioselectivities,<sup>9b</sup> until a very recent study reported by the Du group,<sup>21</sup> which established this approach as a promising direction for the design of new chiral FLPs. Quite remarkably, chiral oxazolines such as **10**, combined with achiral boranes were shown to induce high degree of enantioselectivity in asymmetric hydrogenation of ketones and enones. In addition to direct catalytic hydrogenation, FLP-type asymmetric hydrosilylation has also been successfully applied to reduce various unsaturated substrates with high enantioselectivities.<sup>22</sup>

Despite these impressive advances, our knowledge regarding the stereocontrol elements in FLP-catalyzed asymmetric hydrogenation processes is quite scanty. So far, only a few computational studies have been reported that supplemented the synthetic developments and addressed the issue of stereoselectivity.<sup>15,21,23</sup> Density functional theory (DFT) calculations carried out for the highly selective enamine hydrogenation with catalyst **6** indicated that the energy difference obtained for the hydride transfer transition states

leading to the two enantiomeric amine products stems from a combination of repulsive steric and attractive noncovalent interactions.<sup>15</sup> The stereoselectivity determining transition states for asymmetric hydrogenation of ketones catalyzed with the B(*p*-C<sub>6</sub>F<sub>4</sub>H)<sub>3</sub>/**10** pair were also identified computationally.<sup>21</sup> The predicted energy differences were consistent with experimental observations, but the origin of stereoinduction was not examined.

To gain more insight into the nature of molecular interactions responsible for the stereoselectivity of FLP-catalyzed hydrogenation, in our present work we investigated a reaction that is frequently used as a test case in the evaluation of developed catalysts, namely, the direct hydrogenation of imine (*E*)-*N*-(1-phenylethylidene)-aniline (**im**, see Scheme 1). As shown

### Scheme 1. Reactions Examined Computationally



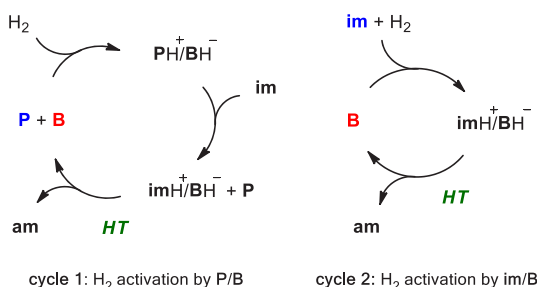
previously, the enantioselectivity of this reaction varies in a fairly broad range (from poor to good) when structurally analogous boranes **1–3** are applied as catalysts; thus, this series of reactions allows us to inspect the effect of a single chiral borane substituent on the stereochemical outcome of the hydrogenation process.

In this work, we focus primarily on the stereoselectivity-determining hydride transfer step of these reactions; however, for borane **2**, we provide a detailed analysis of the H<sub>2</sub> activation process as well. We closely inspect the conformational space of the iminium borodiyride species, which is a key intermediate prior to product formation. Accurate prediction of stereoselectivities from quantum chemical calculations is rather challenging.<sup>24</sup> In our present analysis, we attempted to pay respect to the critical issues (e.g., conformational complexity, the choice of the electronic structure method, estimation of entropic contributions, and solvent effects), and computed the enantioselectivity of the examined reactions accordingly (for computational details, see the Supporting Information (SI)). The good agreement obtained between the predicted and observed stereoselectivities allowed us to probe the origin of stereoinduction in these reactions. Based on the new computational insights, structural modifications in borane **2** were proposed, and two of these new chiral boranes were synthesized and tested as catalysts in asymmetric hydrogenation of imines.

## RESULTS

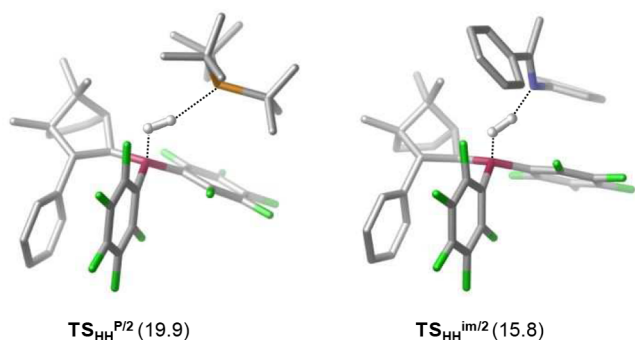
**Alternative Catalytic Cycles.** As described by Klankermayer et al.,<sup>12b</sup> the camphor-derived boranes **2** and **3** could not be isolated in pure stereoisomeric forms; however, kinetically controlled product formation in the reaction of the **2/3** mixture with phosphine P<sup>*t*</sup>Bu<sub>3</sub> (**P**) and H<sub>2</sub> enabled isolation of diastereomerically pure ion pair compounds PH<sup>+</sup>/2H<sup>-</sup> and PH<sup>+</sup>/3H<sup>-</sup>. These phosphonium/hydroborate FLP salts were then used as catalysts in the hydrogenation of imines. In principle, the catalysis in these reactions can take place via two distinct cycles, as illustrated in Scheme 2.

In cycle 1, the heterolytic H<sub>2</sub> splitting is induced by the **P/B** pair resulting in the PH<sup>+</sup>/BH<sup>-</sup> ion pair. Proton transfer from

Scheme 2. Alternative Catalytic Cycles in Imine Reduction<sup>a</sup>

<sup>a</sup>Notations:  $P$ ,  $im$  and  $am$  are defined in the text,  $B$  denotes chiral boranes **2** and **3**.  $HT$  refers to hydride transfer from  $BH^-$  to the prochiral carbon of  $imH^+$ .

$PH^+$  to  $im$  gives the  $imH^+/BH^-$  intermediate, which then undergoes hydride transfer ( $HT$ ) to yield the chiral amine product  $am$ . Alternatively, the imine can serve as a base component of an FLP, so the  $imH^+/BH^-$  intermediate is formed directly via  $H_2$  activation (cycle 2). The  $HT$  process represents the stereoselectivity-determining step in both cycles. Our computational analysis suggests that cycle 2 is a more feasible pathway. DFT calculations carried out for the reaction with borane **2** predict a significantly higher barrier for  $H_2$  activation with the  $P/2$  pair as compared to that with  $im/2$  (19.9 versus 15.8 kcal/mol; see Figure 1).<sup>25</sup>



**Figure 1.** Transition states of  $H_2$  activation by the  $P/2$  and  $im/2$  FLPs. Relative stabilities (in kcal/mol, with respect to the base +  $B + H_2$  reactant states) are given in parentheses. H atoms of the FLPs are omitted for clarity.

Even though  $P^tBu_3$  is certainly more basic than imine  $im$ ,<sup>26</sup> steric hindrance between the chiral borane substituent and the bulky phosphine destabilizes the transition state of  $H_2$  splitting ( $TS_{HH}^{P/2}$ ) leading to an increased barrier. Furthermore, the

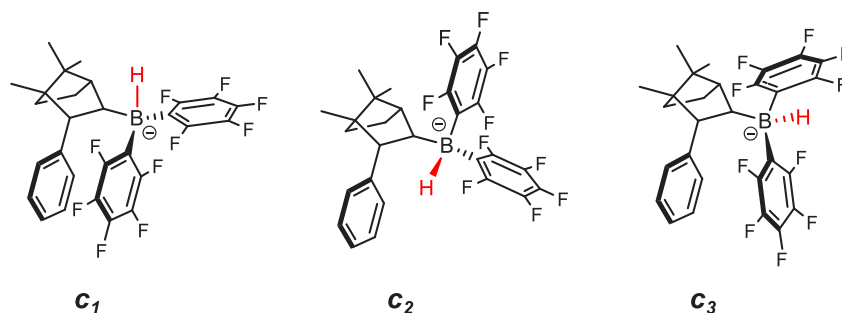
protonation of  $im$  on the  $P/2$  pathway is computed to have even higher barrier (31.6 kcal/mol) rendering cycle 1 far less favorable.<sup>27</sup> Our calculations thus indicate that although the catalytic process in the hydrogenation of  $im$  is initiated by the  $PH^+/2H^-$  ion pair, the catalysis follows the  $im/2$  pathway. The high barrier obtained for the initiation step is consistent with the elevated temperature ( $T = 65^\circ C$ ) used in the experimental setup.<sup>12b</sup>

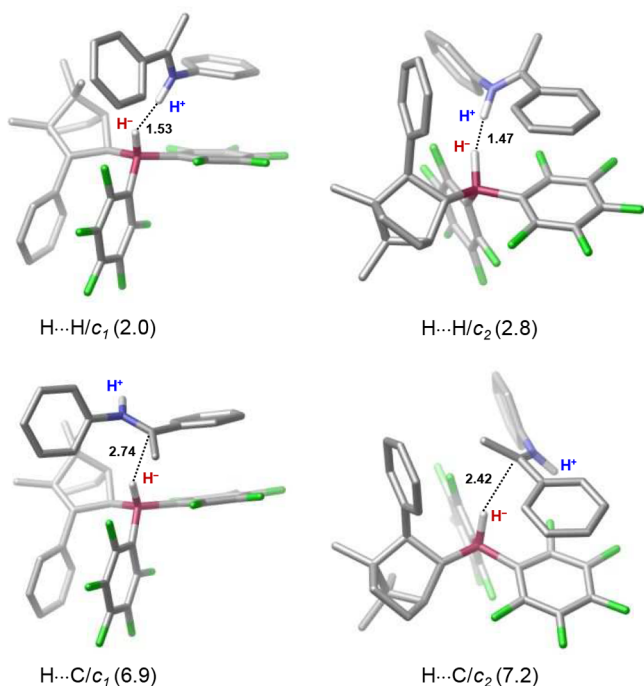
**Iminium Borohydride Intermediates for Borane 2.** The conformational space of the  $imH^+/BH^-$  intermediate formed upon  $H_2$  activation is rather complex because both ionic components can adopt different structures and their relative positions can vary as well. Conformations of borohydrides  $BH^-$  can be classified into three groups that differ in the orientation of the B–H unit with respect to the chiral backbone (Chart 2).

The  $C_6F_5$  borane substituent may also display altered orientations within these classes, resulting in several structural forms. For borohydride  $2H^-$ , DFT calculations predict a  $c_1$ -type conformation to be the most stable form, wherein one of the  $C_6F_5$  rings is in stacking arrangement with the phenyl substituent of the chiral unit.<sup>28</sup> This borohydride structure was characterized experimentally via X-ray diffraction analysis of compound  $PH^+/2H^-$ , and it was assumed to play an important role in the catalytic process.<sup>12b</sup> In principle, both  $E$  and  $Z$  isomers of iminium  $imH^+$  could be produced in the  $H_2$  activation step, so we have considered this possibility in our computational analysis as well.

For the  $imH^+/2H^-$  ion pair intermediate, we carried out an extensive conformational analysis and identified 14 different isomeric forms all lying within a 5 kcal/mol free energy range.<sup>29</sup> A few representative structures are displayed in Figure 2.

The most stable form of  $imH^+/2H^-$  involves a  $c_1$  borohydride conformer with the B–H bond interacting closely with the iminium N–H group ( $H\cdots H/c_1$  in Figure 2). This  $imH^+/2H^-$  isomer is formed directly after transition state  $TS_{HH}^{im/2}$ , and it is predicted to be 2.0 kcal/mol above the reactant state ( $im + 2 + H_2$ ). The dihydrogen bond is apparent from the very short intermolecular  $H\cdots H$  distance (1.53 Å), and it provides considerable stabilization for the ion-pair intermediate.<sup>30</sup> Isomer  $H\cdots H/c_2$  features a dihydrogen bonding interaction as well; however, the borohydride anion adopts a  $c_2$ -type conformation. This form lies at 2.8 kcal/mol in free energy. Isomeric forms with B–H bonds pointing toward the electrophilic carbon atom of the substrate ( $H\cdots C/c_1$  and  $H\cdots C/c_2$  in Figure 2) are notably less stable as compared to their  $H\cdots H/c_1$  analogues; however, these isomers are structurally well prepared for the  $HT$  step of the catalytic cycle. Our computational analysis indicates that the  $H\cdots H$  and  $H\cdots C$  type  $imH^+/2H^-$  isomers can easily interconvert with each other. For instance, the transformation

Chart 2. Main Borohydride Conformers as Exemplified by  $2H^-$ 



**Figure 2.** Selected structures of  $\text{imH}^+/\text{2H}^-$  ion pair intermediates. In the labeling,  $\text{H}\cdots\text{H}$  and  $\text{H}\cdots\text{C}$  refer to structures with B–H units pointing to iminium N–H bond or to prochiral C atom;  $c_1$  and  $c_2$  denote two different borohydride conformers. Relative stabilities (in kcal/mol, with respect to the  $\text{im} + \text{2} + \text{H}_2$ ) are given in parentheses. Selected bond distances are in angstroms.

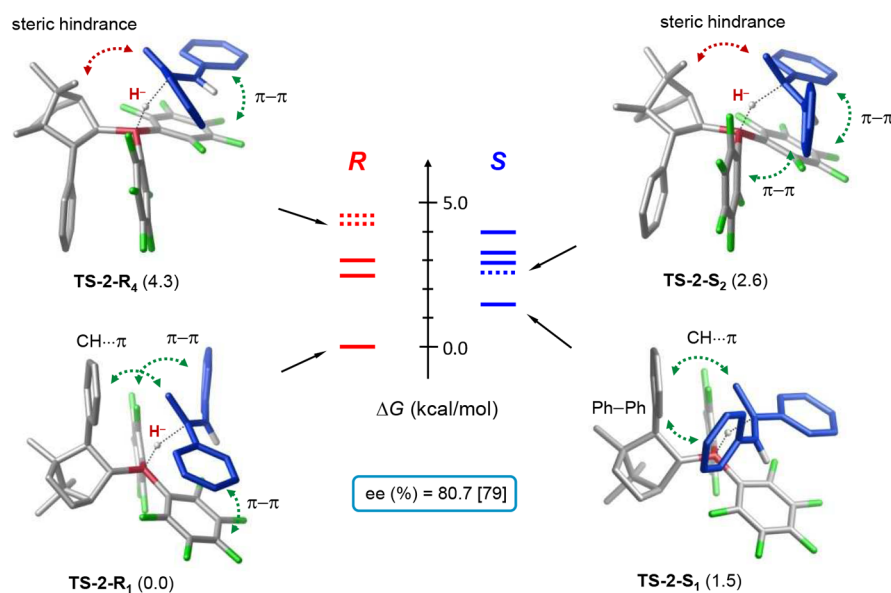
of isomer  $\text{H}\cdots\text{H}/c_2$  to  $\text{H}\cdots\text{C}/c_2$  shown in Figure 2 can take place via a barrier of only 5.3 kcal/mol. Computations also suggest that facile transformation between the  $c_1$  and  $c_2$  borohydride conformers is feasible; the free energy barrier estimated for the  $c_1$

→  $c_2$  conversion is only 8.7 kcal/mol.<sup>31</sup> These results imply that the array of conformational isomers identified computationally for the structurally flexible ion-pair intermediate  $\text{imH}^+/\text{2H}^-$  is likely in fast equilibrium even at room temperature.

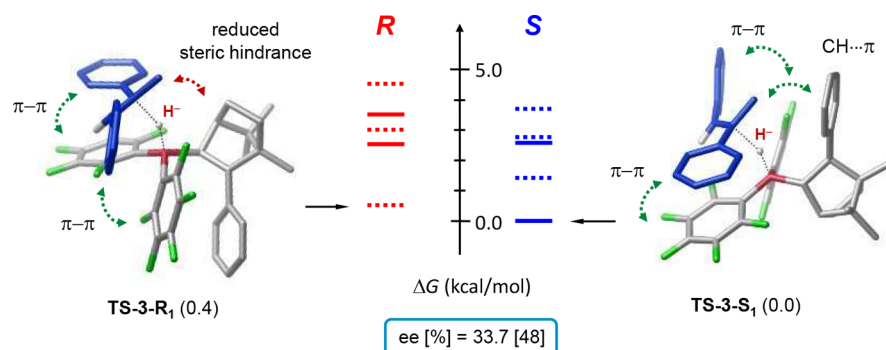
**Hydride Transfer Transition States for Borane 2.** The conformational space of HT transition states leading to the (*R*)-**am** and (*S*)-**am** enantiomeric products were explored comprehensively, and we identified a set of energetically low-lying conformers on both pathways. The relative stabilities of the most stable structures are summarized in a free energy diagram shown in Figure 3, wherein selected transition-state structures are also depicted.

The most favored HT transition state corresponds to the formation of product (*R*)-**am** (see **TS-2-R<sub>1</sub>** in Figure 3), and it is predicted to be 14.8 kcal/mol with respect to the reactant state ( $\text{im} + \text{2} + \text{H}_2$ ). In this structure, the borohydride that donates  $\text{H}^-$  to the iminium has a  $c_2$  conformation, which is somewhat surprising because the  $c_2$  form of  $\text{2H}^-$  is predicted to be 4.7 kcal/mol less stable as compared to the  $c_1$  form.<sup>28</sup> Several intermolecular contacts, such as  $\pi$ – $\pi$  stacking between the iminium phenyl and the borohydride  $\text{C}_6\text{F}_5$  substituents, or  $\text{CH}\cdots\pi$  interaction between the iminium  $\text{CH}_3$  and the borohydride phenyl groups, are noticeable in **TS-2-R<sub>1</sub>**. As shown previously for TM-catalyzed hydrogenation reactions, these types of noncovalent interactions can strongly influence the stereochemical outcome;<sup>32,33</sup> therefore, they may provide notable stabilization to transition state **TS-2-R<sub>1</sub>** as well. Additional HT transition states involving the  $c_2$  form of  $\text{2H}^-$  could be identified on the (*R*) reaction pathway (**TS-2-R<sub>2</sub>** and **TS-2-R<sub>3</sub>**), but they are computed to be 2–3 kcal/mol less stable than **TS-2-R<sub>1</sub>**. The extent of noncovalent interactions in higher lying transition states is reduced, which corroborates the stabilizing nature of these intermolecular contacts.

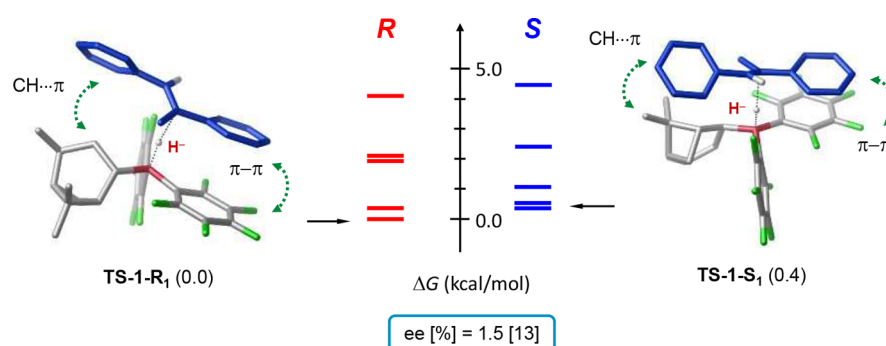
Interestingly, the most favored transition state comprising a  $c_1$ -type  $\text{2H}^-$  conformation (**TS-2-R<sub>4</sub>**) is predicted to be even



**Figure 3.** Hydride transfer transition states identified computationally for hydrogenation of **im** with borane 2. Each line on the free energy diagram represents a specific isomeric form with the computed relative stability. **TS-2-R<sub>i</sub>** and **TS-2-S<sub>i</sub>** denote transition states leading to (*R*)-**am** and (*S*)-**am** products (index *i* defines the stability order). Full and dotted lines refer to transition state isomers involving  $c_2$  and  $c_1$  borohydride conformers. Selected structures are depicted and marked with arrows; their relative stabilities are given in parentheses (in kcal/mol, with respect to the most stable form). The iminium component is highlighted in blue for clarity. Green and red dotted arrows indicate attractive and repulsive intermolecular contacts. Computed and experimental (in brackets) ee data are shown below the diagram.



**Figure 4.** Hydride transfer transition states identified computationally for hydrogenation of **im** with borane **3**. For further relevant information, see the caption of **Figure 3**.



**Figure 5.** Hydride transfer transition states identified computationally for hydrogenation of **im** with borane **1**. For further relevant information, see the caption of **Figure 3**. The classification of transition states according to the borohydride conformations is not relevant in this case. The lowest lying energy level in the (*S*) ensemble (at 0.4 kcal/mol) represents two different structures, **TS-1-S<sub>1</sub>** and **TS-1-S<sub>2</sub>**, of which only the former is depicted.

higher in free energy (at 4.3 kcal/mol). This structure is destabilized by steric repulsion between the chiral borane substituent and the methyl group of iminium **imH<sup>+</sup>**, which becomes too close as demonstrated by rather short H...H distances measured in the optimized structure ( $\sim 2.1$  Å).<sup>34</sup>

On the HT reaction pathway that furnishes the minor (*S*)-**am** enantiomeric product, iminium **imH<sup>+</sup>** approaches  $2\text{H}^-$  with the other face. The most stable transition state **TS-2-S<sub>1</sub>** also involves a *c*<sub>2</sub>-type borohydride; however, the intermolecular contacts are different from those in **TS-2-R<sub>1</sub>**. Although the CH... $\pi$  interaction between the iminium CH<sub>3</sub> and the borohydride phenyl groups is still present in **TS-2-S<sub>1</sub>**, no close  $\pi$ - $\pi$  stacking interactions are perceived. The phenyl substituent of the borohydride interacts with the iminium phenyl group, but this aryl-aryl interaction is far from the ideal stacking or T-shaped arrangements. Overall, transition state **TS-2-S<sub>1</sub>** is found to be 1.5 kcal/mol less stable than **TS-2-R<sub>1</sub>**. Several other close-lying transition states were located on the (*S*) pathway, of which the next in the stability order (**TS-2-S<sub>2</sub>**) incorporates a *c*<sub>1</sub>-type borohydride. Steric hindrance is also a characteristic feature of this transition state structure (similarly to **TS-2-R<sub>4</sub>**), but due to more favorable  $\pi$ - $\pi$  stacking interactions, **TS-2-S<sub>2</sub>** is more stable than **TS-2-R<sub>4</sub>**.

The enantioselectivity of catalytic imine hydrogenation is under kinetic control.<sup>35</sup> The rapid equilibration of various isomers of the **imH<sup>+</sup>**/ $2\text{H}^-$  intermediate enables the application of the Curtin-Hammett principle, so the enantiomeric excess (ee) can be estimated from the Boltzmann-weighted relative Gibbs free energies of the identified HT transition states. Using this procedure, the ee of the kinetically favored (*R*)-**am** product

in the present reaction is computed to be 80.7%, which is in good agreement with the experimental observation (79%).<sup>12b,36</sup>

**Reaction with Borane 3.** Assuming that the concepts discussed in the previous sections and our conclusions regarding the hydrogenation mechanism can be extended to the analogous reactions with other boranes, we carried out a systematic computational study for the HT process with borane **3** as well. Borane **3** is the diastereomeric pair of **2** having the same bridged bicyclic (*R*)-camphor derived framework with the altered stereochemical arrangement of the B(C<sub>6</sub>F<sub>5</sub>)<sub>2</sub> and Ph units (see **Chart 1**). The free energy diagram illustrating the relative stabilities of HT transition states computed for **im** hydrogenation with borane **3** is shown in **Figure 4** along with the most stable structures identified on the (*R*) and (*S*) pathways.

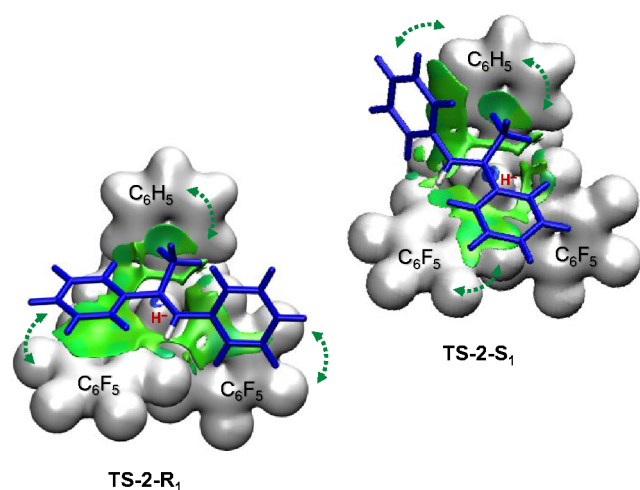
The most favored transition state **TS-3-S<sub>1</sub>** can be regarded as a pseudoenantiomeric form of **TS-2-R<sub>1</sub>** displaying a *c*<sub>2</sub>-type borohydride conformation and the same type of stabilizing intermolecular contacts ( $\pi$ - $\pi$  stacking and CH<sub>3</sub>- $\pi$  interactions). In this reaction, however, HT transition states involving the *c*<sub>1</sub> borohydride conformation tend to be energetically more favored as compared to those in the reaction with borane **2**, and in fact, the lowest lying transition state on the minor (*R*) pathway (**TS-3-R<sub>1</sub>**) encompasses a *c*<sub>1</sub>-type borohydride. This latter transition state is computed to be only 0.4 kcal/mol apart from **TS-3-S<sub>1</sub>**. Our structural analysis points to a somewhat reduced steric hindrance between the methyl group of iminium **imH<sup>+</sup>** and the chiral bicyclic  $3\text{H}^-$  substituent in transition states with *c*<sub>1</sub> borohydrides, which explains the tendency of having these transition state structures more populated in the reaction with borane **3**.<sup>37</sup> As a result of reduced steric repulsion, two transition states (**TS-3-R<sub>1</sub>** and **TS-3-S<sub>2</sub>**) shift closer to the most

avored TS-3-S<sub>1</sub> structure in free energy, which of course influences the enantioselectivity as well. Computations predict ee = 33.7% for this reaction, which is consistent with the measured enantioselectivity (48%).<sup>12b</sup>

**Reaction with Borane 1.** HT transition state isomers for the reaction with borane 1 were also explored, and we identified a set of structures, some of which were found to have very similar relative stabilities (see Figure 5).<sup>38</sup>

Due to the lack of any bulky substituent on the  $\alpha$ -pinene framework in borane 1 (such as Ph in 2 and 3), the chiral environment around the reactive B–H bond of borohydride 1H<sup>−</sup> is less defined. For instance, no multiple combinations of stabilizing  $\pi$ – $\pi$  stacking and CH<sub>3</sub>– $\pi$  contacts are developed between 1H<sup>−</sup> and imH<sup>+</sup>; therefore, no structure with particularly enhanced stabilities exists. For the same reason, the most favored transition states on the competing (*R*) and (*S*) pathways become less separated in free energy. Indeed, calculations predict five different transition state structures within a 0.5 kcal/mol range, and the most stable diastereomeric forms (TS-1-R<sub>1</sub> and TS-1-S<sub>1</sub>) display very similar intermolecular contacts (a single  $\pi$ – $\pi$  stacking and a CH $\cdots\pi$  type interaction; see Figure 5). Consequently, a very low enantioselectivity is predicted (ee = 1.5%), which is again in line with experimental observations (13%).<sup>12a</sup>

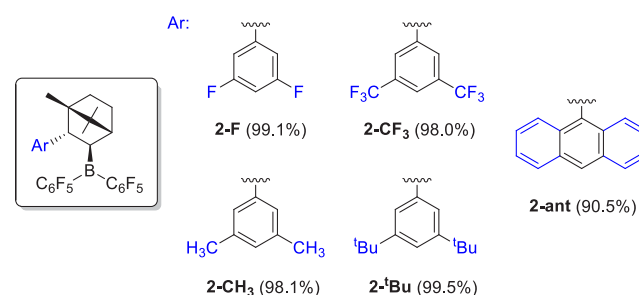
**On the Origin of Stereoselectivity.** Several important findings that emerged from our computational analysis are worth highlighting when discussing the origin of enantioselectivity in the examined reactions. First, it appears that for camphor-derived boranes 2 and 3, the stereoselectivity of imine hydrogenation is dictated by thermodynamically less favored borohydride conformers of *c*<sub>2</sub>-type and not by the most stable *c*<sub>1</sub> form that is observed experimentally. The *c*<sub>2</sub> conformer of 2H<sup>−</sup> is clearly more reactive in hydride transfer to imH<sup>+</sup> and with its phenyl substituent arranged next to the B–H bond provides a chiral binding site for the approaching protonated substrate. The chiral environment is defined by the three aromatic rings of the borohydride resulting in facial selectivity for the hydride transfer (Figure 6).



**Figure 6.** Noncovalent interactions (NCI) in hydride transfer transition states TS-2-R<sub>1</sub> and TS-2-S<sub>1</sub>. The borohydride is represented by a gray isodensity surface ( $\rho = 0.01$  au); the iminium is shown in blue. The applied cutoff for reduced density gradient is  $s = 0.3$  au.  $\pi$ – $\pi$  stacking and CH<sub>3</sub>– $\pi$  interactions are highlighted by green dotted arrows.

The stereoselection in the present reactions is found to be influenced by multiple stabilizing noncovalent interactions between 2H<sup>−</sup> and imH<sup>+</sup>, which are apparent from the NCI plots<sup>39</sup> generated for transition states TS-2-R<sub>1</sub> and TS-2-S<sub>1</sub>. The green surface areas on these plots represent weak attractive noncovalent interactions corresponding to  $\pi$ – $\pi$  stacking, CH<sub>3</sub>– $\pi$ , Ph–Ph, etc. intermolecular contacts. As noted above, and also illustrated in Figure 6, the higher stability of transition state TS-2-R<sub>1</sub> could be associated with more favorable aromatic (C<sub>6</sub>F<sub>5</sub>–C<sub>6</sub>H<sub>5</sub>) interactions in this structure as compared to that in TS-2-S<sub>1</sub>.

**Proposed Modifications in Borane 2.** Our computational analysis indicates that the phenyl substituent of camphor derived boranes is an important stereocontrol element in catalytic hydrogenation of im. This is further supported by calculations carried out for a model reaction catalyzed by a borane derived from 2 by omitting the Ph substituent. These calculations predict very low ee (only 5.6%) in this case.<sup>40</sup> We envisioned that additional substitutions implemented on the Ph group of borane 2, or replacing the Ph group by a larger aromatic ring, could alter the enantioselectivity of hydrogenation. The boranes considered for additional computational analysis are shown in Figure 7.<sup>41</sup>

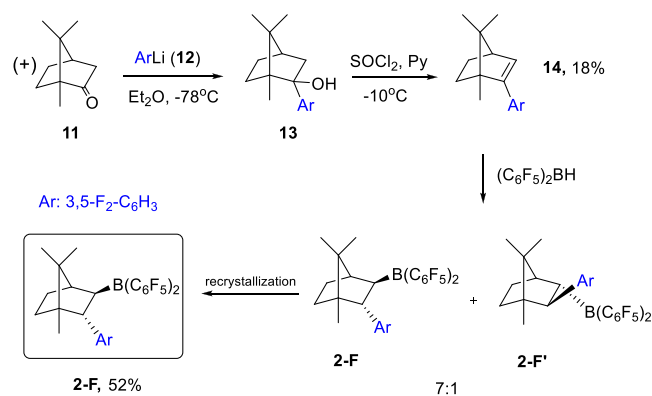


**Figure 7.** Modified boranes and predicted ee data.

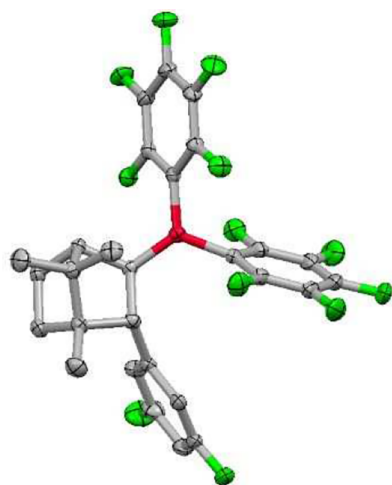
DFT calculations carried out for reactions catalyzed by boranes derived from 2 by adding either electron-withdrawing (F and CF<sub>3</sub>) or electron-donating (CH<sub>3</sub> and <sup>t</sup>Bu) groups at the *meta* positions of the Ph ring predicted significantly enhanced enantioselectivities (ee's above 98%). We find that in these reactions, HT transition state conformers analogous to TS-2-S<sub>1</sub> are notably destabilized with respect to the corresponding R<sub>1</sub> structures. This is due to steric effects induced by the *meta* substituents on the catalyst Ph group. As discussed above, transition state TS-2-S<sub>1</sub> is displaced only by 1.5 kcal/mol from the most stable TS-2-R<sub>1</sub> structure (Figure 3), but this free energy difference increases to 4–5 kcal/mol with the modified boranes. The strength of the CH<sub>3</sub>– $\pi$  interaction is also altered by introducing the *meta* substituents,<sup>42</sup> but these interactions are equally present in the most stable diastereomeric transition states (R<sub>1</sub> and S<sub>1</sub>; see Figure 6), so they have no considerable influence on the enantioselectivity. For borane 2-ant, calculations predict somewhat lower ee (90.5%). In this borane variant, the *c*<sub>1</sub>-type borohydride conformations attain further stabilization via the extended aromatic stacking interactions, so all HT transition states of *c*<sub>1</sub>-type shift closer in free energy to the most stable form, reducing the enantioselectivity.

**Experiments with Borane 2-F.** To assess the reliability of DFT predictions, we first synthesized borane 2-F according to the procedure established for the reported camphor-derived boranes 2 and 3<sup>12</sup> (Scheme 3). Reaction of enantiopure (1R)-

## Scheme 3. Synthesis of Chiral Borane 2-F



(+)-camphor (**11**) with aryllithium **12**, followed by dehydration of resulting tertiary alcohol **13** with thionyl chloride/pyridine gave olefin **14**. Hydroboration of **14** with Piers' borane under solvent-free conditions resulted in a mixture of diastereomeric boranes **2-F** and **2-F'** in a 7:1 ratio according to multinuclear NMR. Pure **2-F** could be isolated from the crude mixture by recrystallization from pentane at  $-20^{\circ}\text{C}$  as a colorless powder in 52% yield, and its structure was confirmed by single-crystal X-ray diffraction analysis (Figure 8).



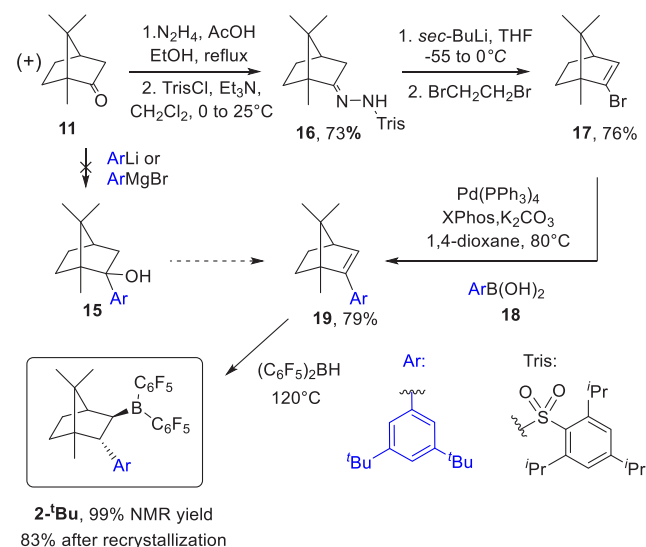
**Figure 8.** Crystal structure of borane **2-F**. H atoms are omitted for clarity.

Catalytic hydrogenation of imine **im** with **2-F** gave high conversions (above 90%) in 1 h at room temperature, however, no improvement could be obtained for the enantioselectivity as compared to that observed by Klankermayer et al.; the ee measured in our experiments reached 75% at most, depending on the reaction conditions (see Table S6 in the Supporting Information). Noteworthy, hydrogenation of **im** in the presence of external base 1,2,2,6,6-pentamethylpiperidine (PMP, 5 mol %) gave only 13% conversion. These results support the view emerging from the computational analysis that catalytic hydrogenation takes place via cycle 2 (Scheme 2) even in the presence of an external base.

The reason for the disagreement we see between the computed and measured enantioselectivities is not fully settled. The discrepancy may certainly arise from the inaccuracy of the DFT calculations, although the good match obtained for boranes 1–3 points to other, yet unknown, factors. In an

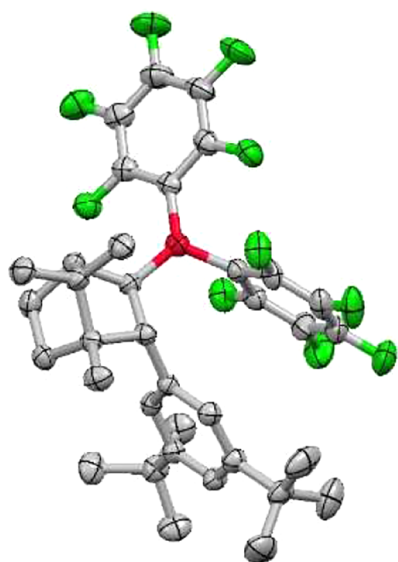
attempt to provide a plausible explanation, we decided to assess the stability of **2-F** upon the hydrogenation process. In a thick-wall gas-tight NMR tube, a stoichiometric mixture of **2-F** and **im** in deuterated toluene was pressurized with 10 bar of dihydrogen. After 1 h, the sample was analyzed by  $^1\text{H}$  NMR, which revealed appearance of a new set of signals related to camphor scaffold in addition to those of **2-F** (44% conversion). Although we could not reliably identify the structure of the newly formed species, a detailed NMR data analysis ( $^{19}\text{F}$ ,  $^{11}\text{B}$ , HH COSY and HH NOESY, see the SI) suggested that it was isomeric to both **2-F** and **2-F'**. The same species, albeit to a lesser extent (with 18% conversion), was formed when **am** was probed instead of **im** under the same reaction condition. On the basis of these observations, it is reasonable to assume that the new borane species produced in the catalytic process may catalyze a parallel low-enantioselective hydrogenation of **im**, which deteriorates the overall enantioselectivity of this reaction.

**Experiments with Borane 2-<sup>t</sup>Bu.** Next, we decided to synthesize and to test borane **2-<sup>t</sup>Bu**, which involves bulkier 3,5-substituents on the phenyl group. Attempt to produce **2-<sup>t</sup>Bu** following the established procedure as for **2-F** was unsuccessful due to predominant enolization of **11** upon addition of either 3,5-di-*tert*-butylphenyllithium or -magnesium bromide. Therefore, we designed an alternative synthetic route outlined in Scheme 4. Camphor **11** was transformed into bromobornene **17**

Scheme 4. Synthesis of Chiral Borane 2-<sup>t</sup>Bu

via the Shapiro reaction. The subsequent Suzuki-Miyaura cross-coupling of **17** with 3,5-di-*tert*-butylphenyl boronic acid **18** gave alkene **19** in 79% yield. The hydroboration of **19** using Piers' borane under solvent-free conditions gave exclusively diastereomer **2-<sup>t</sup>Bu** in nearly quantitative yield. Recrystallization of **2-<sup>t</sup>Bu** from *n*-pentane at  $-20^{\circ}\text{C}$  provided colorless crystals (83% yield) suitable for X-ray crystallographic analysis (Figure 9).

Hydrogenation of imine **im** using 5 mol % borane **2-<sup>t</sup>Bu** at room temperature and 50 bar  $\text{H}_2$  in toluene gave only moderate conversion (52%) after 1 h, however, the enantioselectivity was high (91% ee; see Table S7 in the Supporting Information). Prolongation of reaction time to 24 h led to quantitative hydrogenation of **im** with similarly high enantiomeric excess (92%), demonstrating the stability of the catalyst under the applied conditions. Solvent screening showed only a small effect on the stereoselectivity, giving slightly lower ees in ethereal



**Figure 9.** Crystal structure of borane 2-<sup>t</sup>Bu. H atoms are omitted for clarity.

solvents (see Table S7 in the Supporting Information). We note that this level of selectivity in FLP-type imine hydrogenation could only be accomplished by recently developed bicyclic borane **8** at significantly lower temperature ( $-40\text{ }^{\circ}\text{C}$ ).

Broadening of substrate scope was not an objective of our present work, but catalyst 2-<sup>t</sup>Bu was tested for the hydrogenation of a few additional imines as well (Table 1 and also Table S8 in the Supporting Information). Various *N*-aryl-substituted-imines **20a–20d** and imine **20e** bearing non-

aromatic cyclohexyl substituent at nitrogen could be reduced with high stereoselectivities (91–94% ee). On the other hand, hydrogenation of the benzyl-substituted imine **20f** resulted in a dramatically lower enantioselectivity (33%). Quantitative hydrogenation of 2-phenyl quinoline **20g** to 2-phenyl-1,2,3,4-tetrahydroquinoline **21g** could also be achieved, albeit in 48 h with doubling of catalyst loading, and with a significantly reduced ee (21%). Hydrogenation of selected substrates **im** and **20c** at lower temperature ( $-15\text{ }^{\circ}\text{C}$ ) afforded slightly enhanced enantioselectivities: 95% and 96% ee, respectively.

These results highlight and confirm the importance of specific aryl–aryl and aryl–alkyl catalyst–substrate interactions in stereoselection. For the particular borane catalyst 2-<sup>t</sup>Bu and imines with topology analogous to **im** (**20a–20e**) the overall effect of attractive noncovalent and destabilizing steric interactions is highly beneficial; however, this balance is far from optimal for other substrates (**20f** and **20g**).

## SUMMARY AND CONCLUSIONS

Utilization of chiral FLP catalysts in direct asymmetric hydrogenation of unsaturated compounds is a potential metal-free strategy in stereoselective synthesis. Remarkable developments have been achieved along this line over the past decade; however, the current level of comprehension concerning the stereoselectivity governing factors in these catalytic processes is not sufficient thus far to facilitate new catalyst design. In our present work, we performed a detailed computational analysis for imine hydrogenation reactions reported previously by the Klankermayer group, namely those catalyzed by chiral boranes derived from (+)- $\alpha$ -pinene (borane **1** in Chart 1) and (1*R*)-(+)-camphor (boranes **2** and **3**). Although only a single imine substrate (**im**) was considered in our computational study, and the selection of borane catalysts is limited as well, yet several interesting findings and conclusions have emerged from our analysis, which considerably improve our understanding.

Computations carried out for imine hydrogenation with borane **2** (the most selective reaction in the series) revealed that catalysis operates preferably via  $\text{H}_2$  activation with the **im/2** pair even if the borane is introduced in a phosphonium–borohydride form, as in the original experiments. This computational insight could be supported experimentally in our work. The analysis focusing on the hydride transfer step pointed to fast equilibration of various isomers of the  $\text{imH}^+/2\text{H}^-$  intermediate. We showed that the stereoselectivity is dictated by a thermodynamically unfavored borohydride isomer, and not by the most stable, experimentally observed form. This latter  $2\text{H}^-$  isomer is less reactive for steric reasons. In the active form of  $2\text{H}^-$ , the phenyl substituent of the camphor framework, along with the two  $\text{C}_6\text{F}_5$  aromatic rings, define a chiral pocket for the approaching protonated substrate ( $\text{imH}^+$ ). The most stable hydride transfer transition states are found to be stabilized by specific noncovalent interactions, such as  $\pi$ – $\pi$  stacking,  $\text{CH}_3$ – $\pi$ , and Ph–Ph intermolecular contacts. The enantioselectivity predicted by DFT calculations is in close agreement with experimental observations, and we find similarly good match between computed and measured ee data for the reactions with boranes **1** and **3**.

Based on these promising results, we anticipated that alteration of the Ph substituent in borane **2** could be beneficial for stereoselection, and indeed, calculations for boranes with 3,5-disubstituted Ph groups predicted significantly improved enantioselectivities. Two of these new borane candidates were selected for experimental studies. Boranes 2-<sup>F</sup> and 2-<sup>t</sup>Bu were

**Table 1.** Asymmetric Hydrogenations of Imines with 2-<sup>t</sup>Bu<sup>a</sup>

<b>At 25 °C:</b>	
	Ar = Ph, ( <b>im</b> ), 99%, 92% ee ( <i>S</i> ) Ar = 4-Me-C <sub>6</sub> H <sub>4</sub> ( <b>21a</b> ), 99%, 91% ee ( <i>S</i> ) Ar = 3-Me-C <sub>6</sub> H <sub>4</sub> ( <b>21b</b> ), 99%, 93% ee ( <i>S</i> ) Ar = 3,5-Me <sub>2</sub> -C <sub>6</sub> H <sub>4</sub> ( <b>21c</b> ), 99%, 94% ee ( <i>S</i> ) Ar = 4-MeO-C <sub>6</sub> H <sub>4</sub> ( <b>21d</b> ) <sup>b</sup> , 99%, 92% ee ( <i>S</i> )
<b>21e</b> 99%, 93% ee ( <i>nd</i> )	<b>21f</b> 99%, 33% ee ( <i>R</i> )
<b>21g<sup>b,c,d</sup></b> 99%, 21% ee ( <i>S</i> )	
<b>At -15 °C:</b>	
<b>am<sup>c</sup></b> 99%, 95% ee ( <i>S</i> )	
<b>21c<sup>c</sup></b> 99%, 96% ee ( <i>S</i> )	

<sup>a</sup>Substrate (0.25 mmol), PhMe (0.5ml), conversion by <sup>1</sup>H NMR spectroscopy, ee by HPLC (Chiralcel OD-H or OJ-H column). <sup>b</sup>For detailed optimization, see Table S7 in the Supporting Information. <sup>c</sup>Reaction time 48 h. <sup>d</sup>10 mol % of 2-<sup>t</sup>Bu.



synthesized and probed as catalysts in imine hydrogenation. No improvement in the measured ee could be demonstrated with borane 2-F, but the experiments inferred that catalytic hydrogenation could be performed at room temperature in the absence of an external base. Borane 2-<sup>t</sup>Bu, however, was shown to be a robust and efficient FLP catalyst in imine hydrogenation, providing ee's above 90%, which could only be achieved so far at significantly lower temperature.

## ■ ASSOCIATED CONTENT

### Supporting Information

The Supporting Information is available free of charge at <https://pubs.acs.org/doi/10.1021/acscatal.0c04263>.

Details regarding the computational analysis, total energies and Cartesian coordinates for the considered stationary points, and experimental details (PDF)

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### Author Contributions

<sup>§</sup>A.H. and K.S. contributed equally.

### Notes

The authors declare no competing financial interest. CCDC 2007899 (2-F), and 2007900 (2-<sup>t</sup>Bu) contain the supplementary crystallographic data for this paper. These data can be obtained free of charge from The Cambridge Crystallographic Data Centre via [www.ccdc.cam.ac.uk/data\\_request/](http://www.ccdc.cam.ac.uk/data_request/).

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(27) For a detailed comparison of the energetics of the two catalytic cycles, see the **SI (section 2.2)**.

(28) For conformational analysis of borohydride  $2H^-$ , see the **SI (section 2.3)**.

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(34) For structures of all HT transition states in the reaction with borane **2** and related structural analysis, see the SI (section 2.5).

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(36) The nearly quantitative agreement between computed and observed ee values is no doubt fortuitous and cannot be regarded as a measure of accuracy of the applied computational methodology.

(37) For structures of all HT transition states in the reaction with borane **3** and related structural analysis, see the SI (section 2.6).

(38) For structures of all HT transition states in the reaction with borane **1** and related structural analysis, see the SI (section 2.7).

(39) The RDG data were computed by using the NCIPLOT program:

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