differences across histological and TCGA-based molecular subgroups Annukka Pasanen MD^{1,2}, Terhi Ahvenainen MSc^{3,4}, Teijo Pellinen PhD⁵, Pia Vahteristo PhD^{3,4}, Mikko Loukovaara MD, PhD⁶, Ralf Bützow MD, PhD^{1,2,6} ¹Department of Pathology, University of Helsinki and Helsinki University Hospital, Helsinki, Finland. ²Applied Tumor Genomics Research Program, University of Helsinki, Helsinki, Finland ³Department of Medical and Clinical Genetics, Medicum, University of Helsinki, Helsinki, Finland. ⁴Genome-Scale Biology Research Program, Research Programs Unit, University of Helsinki, Helsinki, Finland. ⁵Institute for Molecular Medicine Finland (FIMM), Helsinki, Finland. ⁶Department of Obstetrics and Gynecology, University of Helsinki and Helsinki University Hospital, Helsinki, Finland. Corresponding author: Annukka Pasanen, Department of Pathology, University of Helsinki and Helsinki University Hospital, Haartmaninkatu 3, 00290 Helsinki, Finland. Electronic address: annukka.pasanen@hus.fi Disclosures: The author(s) have no conflicts of interest or funding to disclose.

PD-L1 expression in endometrial carcinoma cells and intratumoral immune cells:

Abstract

28

29 PD-L1 is a biomarker that may predict the response to antiPD-1/PD-L1 immunotherapy. We 30 evaluated the expression of PD-L1 in carcinoma cells and immune cells across 31 histopathological and TCGA molecular subgroups of endometrial carcinoma. Our study included 842 patients with endometrial carcinoma. Direct sequencing of polymerase 32 epsilon (POLE) exonuclease domain hot spots and conventional immunohistochemistry 33 34 (MLH1, PMS2, MSH2, MSH6, p53) were conducted to identify TCGA classification-based 35 molecular subgroups of endometrial carcinoma: POLE-mutated, mismatch repair (MMR) 36 deficient, no specific molecular profile and p53-aberrant. Multiplex immunohistochemistry was 37 performed to evaluate PD-L1 expression in carcinoma cells (Ca) and tumor-infiltrating immune 38 cells (ICs). PD-L1 expression in carcinoma cells and in ICs was detected in 8.6% and 27.7% 39 of the cases, respectively. Combined positive score (CPS) was $\geq 1\%$ in 19.4% of the samples. 40 PD-L1 positivity in carcinoma cells, ICs and CPS correlated with tumor T cell density (TILs, 41 p<0.001). POLE-mutated and MMR-deficient tumors were more likely to present PD-L1 42 expressing ICs, CPS positivity and abundant TILs compared with other TCGA subgroups 43 (p<0.001). No differences existed in Ca-PD-L1 expression (p=0.366). Within various histotypes, non-endometrioid carcinomas displayed the highest Ca-PD-L1, ICs and CPS 44 45 (p<0.03). Advanced cancers showed more frequent Ca-PD-L1 positivity (p=0.016), CPS (p=0.029) and IC≥1% (p=0.037) positivity compared to early disease. 46 47 In conclusion, PD-L1 expression profiles differ between molecular subclasses, histological 48 subtypes and disease stage of endometrial carcinoma. Prospective studies are needed to explore 49 the predictive value of various PD-L1 scoring systems within the subgroups of endometrial 50 cancer. CPS presents methodological advantages over cell-type specific scoring systems.

Key words: endometrial carcinoma, PD-L1, TCGA classification

53

54

52

INTRODUCTION

55 Immune checkpoint inhibitors have emerged as a promising treatment option for various types of cancer, but their potential in endometrial cancer (EC) is unknown (1). Immunotherapy 56 57 enhances the immune system's innate potential to fight cancer cells. An effective anti-tumor 58 response relies primarily on the capability of T cells to recognize tumor-derived peptides as 59 non-self. Typically, these immunogenic peptides are produced as a consequence of mutations 60 and, accordingly, tumors with a high mutational burden are presumed to be highly 61 immunogenic. Immune responses are controlled by various pathways that tumor cells may exploit to escape immune surveillance. One of the main immunosuppressive pathways is the 62 63 PD-1/PD-L1 interaction taking place between T cell programmed death 1 (PD-1) receptor and 64 its ligand PD-L1 located on various types of cells, including immune cells and carcinoma cells 65 (2). Antibody-mediated blockade of the PD-1/PD-L1 immune checkpoint has been shown to 66 reverse T-cell inactivation exposing tumor cells to immune attack (3). Anti-PD-1/PD-L1 67 therapies have produced impressive treatment outcomes in patients with non-small cell lung cancer, melanoma and renal cell carcinoma (1,4). Limited-sample studies have obtained 68 69 promising results also with endometrial carcinoma patients (5,6). Also other immune 70 checkpoints (e.g. CTLA-4, LAG-3) may induce immunosuppression in tumors (7,8). 71 Patients tolerate immunotherapy well compared to standard chemotherapy, but side effects may 72 occur. The therapy is costly and not all patients benefit from it. Thus, an efficient use of 73 immunotherapy requires appropriate patient selection strategies. Often the selection of patients 74 to anti-PD-1/PD-L1 therapy is guided by PD-L1 immunohistochemical (IHC) assays. Scoring 75 methods and adopted cut-offs vary according to the tumor type and individual anti-PD-1/PD- L1 agents. Proposed scoring algorithms evaluate PD-L1 positivity in carcinoma cells and/or immune cells separately or in combination (combined positive score, CPS) (9-11). Reported frequencies of PD-L1 positivity in endometrial carcinoma vary considerably (0.9-44.3%) even in unselected EC cohorts (Table 1) (12-24). Such variability may in part derive from different antibody clones and different cut-offs. In fact, notable interassay variation has been reported within commercially available PD-L1 immunohistochemical assays (25,26). Accuracy of IHC scorings may also suffer from problems related to traditional chromogenic PD-L1 immunohistochemistry. Staining of the tumor cells may be weak and unspecific cytoplasmic staining occurs. Moreover, intratumoral T cells and macrophages often present membranous staining and they may be misinterpreted as carcinoma cells (27). Multiplex IHC overcomes these limitations by simultaneous detection of a biomarker and numerous cell-specific markers on a single paraffin tissue section, allowing the identification and quantification of various cell types expressing the antigen of interest (28). Endometrial carcinoma is not a uniform disease entity, as it comprises various histological and molecular subgroups, each with their own clinicopathological characteristics. Given this heterogeneity, exhaustive biomarker studies rely on well-powered subclass analyses. The goal of our study was to explore PD-L1 expression and T cell inflammation within histological subtypes and TCGA-based molecular subgroups of endometrial cancer. Fluorescent multiplex immunohistochemistry was performed to overcome limitations related to traditional

96

97

98

99

76

77

78

79

80

81

82

83

84

85

86

87

88

89

90

91

92

93

94

95

MATERIALS AND METHODS

immunohistochemical evaluation.

Patients who underwent primary surgical treatment for endometrial cancer at the Department of Obstetrics and Gynecology, Helsinki University Hospital, between January 1, 2007, and

100 December 31, 2012, were identified (n = 965). Patients with adequate tumor samples for a tissue 101 microarray (TMA) were included in the study (n = 842). Approvals of the Institutional Review 102 Board and the National Authority for Medicolegal Affairs of Finland were obtained. Clinical 103 data were collected from institutional medical records. Lacking follow-up data were obtained 104 from Statistics Finland or completed by contacting primary physicians at the referring 105 institutions. 106 We performed immunohistochemistry on multicore tissue microarray (TMA) slides, prepared 107 as described before (29). The following monoclonal antibodies were used for chromogenic 108 immunohistochemistry: MLH1 (ES05, Dako), PMS2 (EPR3947, Epitomics), MSH-2 (G219-109 1129, BD Biosciences), MSH-6 (EPR3945, Abcam), p53 (DO-7, Dako) and PD-L1 (SP263, 110 Ventana). TMA slides were scanned with 3-dimensional Histech Pannoramic 250 Flash II 111 scanner by Fimmic Oy (Helsinki, Finland). Slide images were managed and analyzed with 112 WebMicroscope Software (Fimmic Oy). Virtual slides were scored by a pathologist blinded to 113 clinical data. A second investigator examined equivocal cases and a consensus was reached. 114 Mismatch repair protein status was considered deficient (MMRd) when we observed a complete 115 loss of nuclear expression in carcinoma cells of one or more MMR proteins (MLH1, PMS2, MSH2, MSH6) detected by immunohistochemistry. Aberrant p53 staining was defined as 116 117 strong and diffuse nuclear staining or completely negative ('null') staining in carcinoma cells. 118 Weak and heterogeneous staining was classified as wild type expression. Stromal cells and 119 inflammatory cells served as internal control for MMR and p53 stainings. Samples with scarce 120 carcinoma cells or with completely negative staining of the internal control (when applicable), 121 were discarded. Representative images of MLH-1 and p53 staining patterns are shown in Figure 122 1. 123 The fluorescent multiplex immunohistochemistry was carried out as described by Blom et al. with following modifications (30). Primary antibodies were: PD-L1 (CST, E1L3N), CD3

(Thermo, MA5-14482), CD163 (Abcam, ab188571), and PanEpi (cocktail of anti-PanCk, C-11, Abcam, Ab77531; anti-PanCk AE1/AE3, InVitrogen, 180132; E-cadherin, BD clone 36). Nuclei were stained using DAPI (Roche). Five-channel fluorescent images were acquired using Metafer 5 scanning and imaging platform (MetaSystems, Alltlussheim, Germany) equipped with AxioImager Z2 microscope with a 20x objective (Carl Zeiss, Goettingen, Germany) and a CoolCube 2m CCD camera (MetaSystems, Alltlussheim, Germany). The image analysis was carried out both visually by a pathologist and by a cell image analysis software (CellProfiler version 2.2.0). Scoring was primarily performed by a pathologist and in rare equivocal cases automated image analysis was used to support the decision-making. Necrotic areas and scarce samples (<100 cells) were excluded from scoring. PD-L1 expression was defined as partial or complete membranous staining in carcinoma cells and membranous and/or cytoplasmic staining in immune cells (CD3-positive T lymphocytes and CD163-positive macrophages within tumor nests and/or adjacent supporting stroma). We determined the percentage of positive carcinoma cells and immune cells separately and in combination. To calculate the combined positive score (CPS), we divided the total number of PD-L1-positive cells (carcinoma cells, lymphocytes, and macrophages) by the number of viable carcinoma cells, multiplied by 100 (9). Semiquantitative scoring was adopted as follows: 0: <1% of the cells; 1: 1-4%; 2: 5-9%; 3: 10-49%; 4: \geq 50%. The cut-off for positive PD-L1 staining was set at 1%. The cut off for strong positivity was set according to the results of a previous randomized trial (≥50% for carcinoma cells and ≥10% for immune cells) (31). Comparative images of conventional chromogenic immunohistochemistry and multiplex immunofluorescence of PD-L1 positive and negative cells are shown in Figure 2. Tumoral CD3+ lymphocytic infiltration (TILs) was semiquantitatively scored as scarce, moderate or abundant. For DNA extraction, representative areas of formalin-fixed paraffin-embedded tumor tissue

125

126

127

128

129

130

131

132

133

134

135

136

137

138

139

140

141

142

143

144

145

146

147

148

149

were macrodissected as identified by pathologist assessment. DNA was extracted by proteinase

150 K/phenol-chloroform method. POLE exonuclease domain mutation screening of hot spots in 151 exon 9 (c.857C>G, p.P286R; c.890C>T, p.S297F), exon 13 (c.1231G>C, p.V411L) and exon 152 14 (c.1366G>C, p.A456P), was performed by direct sequencing. The following primers were 153 used: Ex (5'-3'): CCTAATGGGGAGTTTAGAGCTT; Ex 9R (5'-3'): 154 CCCATCCCAGGAGCTTACTT; Ex 13F (5'-3'): TCTGTTCTCATTCTCCTTCCAG; Ex 155 13R (5'-3'): CGGGATGTGGCTTACGTG; Ex 14F (5'-3'): TGACCCTGGGCTCTTGATTT; 156 Ex 14R (5'-3'): ACAGGACAGATAATGCTCACC. PCR products were sequenced on an 157 ABI3730xl Automatic DNA Sequencer at Institute for Molecular Medicine Finland (FIMM), 158 Helsinki. Sequence graphs were analyzed both manually and with Mutation Surveyor 159 (Softgenetics, State College, PA). Only cases with good-quality sequence for all the examined 160 *POLE* hot spots were included in the analysis. 161 Pearson χ^2 test and Fisher exact test were used for comparisons of categorical variables. Survival 162 curves were calculated by the Kaplan-Meier method. A log-rank test was used to test for survival 163 differences. Disease-specific survival was defined as the time from date of surgery to death from 164 endometrial cancer. Statistical significance was set at p < 0.05. Cohen's kappa statistics were 165 calculated to measure the agreement between multiplex and chromogenic immunohistochemistry 166 for PD-L1. Based on kappa references outlined by Landis and Koch, the strength of agreement 167 was considered fair for kappa values between 0.21 and 0.40 and moderate for kappa values 168 between 0.41 and 0.60 (32). Data were analyzed using IBM SPSS version 25 software (IBM 169 Corp., Armonk, New York, USA).

170

171

172

173

174

RESULTS

Clinicopathological characteristics of the study cases are summarized in Table 2. Of the 842 patients included in the study, 745 (88.5%) had endometrioid and 97 (11.5%) non-endometrioid carcinoma. Median follow-up of patients was 78 months (range 1 to 136 months). Sequencing

of all the targeted genomic regions of POLE was successful for 553 cases. POLE mutation was detected in 7.4% of endometrioid carcinomas and 4.0% of non-endometrioid carcinomas (6.7% of all cases). MMR protein deficiency was found in 37.7% of endometrioid carcinomas and 25.6% of non-endometrioid carcinomas (36.2% of all the cases). Aberrant p53 profile was detected in 10.8% of endometrioid tumors and 61.9% of non-endometrioid tumors (16.8% of all the samples). A minority of cases displayed multiple molecular features. Both *POLE* mutation and aberrant p53 expression were present in 0.4% of the cases and both POLE mutation and MMR protein deficiency in 0.2% of the patients. Only one sample (0.2%) had all three molecular alterations. These patients were allocated into the POLEmut molecular subgroup. Both MMR deficiency and aberrant p53 status were detected in 3.1% of the cases. These were classified as MMRd tumors. In the multiplex immunofluorescence staining, 8.6% of the cases presented PD-L1 expression on carcinoma cells (Ca≥1%) and 27.7% on intratumoral immune cells (ICs≥1%). CPS was positive (CPS≥1%) in 19.4% of the samples. High PD-L1 expression (Ca≥50% or ICs≥10%) was observed in 0.5% and 8.6% of the cases, respectively. Relative frequencies of semiquantitative staining scores are presented in Table 3. Tumors with moderate-abundant T cell density presented PD-L1 positivity in carcinoma cells (10.6%), ICs (36.6%) and CPS (26.8%) more frequently than tumors with scarce lymphocytic infiltration (Ca 5.6%, p=0.019; ICs 14.6%, p<0.001, CPS 8.4%, p<0.001). Concomitant presence of moderate-abundant T cell infiltrates and any PD-L1 positivity ("T cell inflamed PD-L1 positive" phenotype), was observed in 25.1% of all the tumors. Relative frequencies of PD-L1 positivity in carcinoma cells varied significantly between histotypes: endometrioid carcinoma 8.0%, clear cell carcinoma 14.7%, serous carcinoma 3.7%, undifferentiated carcinoma 14.7% and carcinosarcoma 20% (p=0.022, Figure 3). Observed relative frequencies of CPS\ge 1\% were: endometrioid carcinoma 17.1\%, clear cell carcinoma

175

176

177

178

179

180

181

182

183

184

185

186

187

188

189

190

191

192

193

194

195

196

197

198

38.2%, serous carcinoma 37.0%, undifferentiated carcinoma 42.9% and carcinosarcoma 26.7% (p<0.001; Figure 3). Similar differences were noted in the immune cell expression of PD-L1 with significantly higher relative frequencies of expression in the non-endometrioid carcinomas (p=0.028). By contrast, we found no statistically significant differences between histological subgroups and strong PD-L1 positivity in ICs (p=0.148, Figure 3). Our cohort included only one neuroendocrine carcinoma, which presented PD-L1 expression on both carcinoma cells and We found no correlation between Ca-PD-L1 expression and grade of differentiation of endometrioid carcinomas (G1-2 vs G3, p=0.08), whereas CPS and IC≥10% PD-L1 expression were more frequent in G3 as compared to G1-2 endometrioid carcinomas (33.0% vs 14.3% and 20.8% vs 5.4%, respectively, p<0.001). The overall quantity of CD3+ TILs (scarce-moderate vs abundant) did not differ significantly in histological subgroups (p=0.158) or between grade of differentiation of endometrioid carcinoma (p=0.722). PD-L1 expression profiles were also analyzed according to FIGO 2009 stage of disease (stage I-II vs III-IV, Figure 3). Samples from patients with advanced stage (III-IV) disease were more likely to present Ca-PD-L1 positivity (13.6% vs 7.5%, p=0.016), CPS (25.9% vs 18.0%, p=0.029) and IC\ge 1\% (34.7\% vs 26.2\%, p=0.037) positivity as compared to early stage (I-II) disease. Differences in the IC≥10% (p=0.270) or the overall quantity of TILs (p=0.598) were not statistically significant. In advanced disease, strong Ca-PD-L1 positivity was found in 1.4% of the cases and strong IC positivity in 10.9% of the cases. Samples with successful POLE sequencing and immunohistochemical stainings of MMR proteins and p53 (512 cases), were stratified into TCGA-based molecular subclasses. POLEmut and MMRd tumors exhibited higher relative frequencies of immune cell PD-L1 positivity (55.9% and 40.9%) and CPS positivity (44.1% and 29.6%) compared to NSMP (IC: 13.9%, CPS: 9.1%) and p53ab cases (25.4%, 20.9%; p<0.001, Figure 4). Significant differences were observed also for strong positivity in ICs (p<0.001, Figure 4). POLEmut and MMRd cases were

200

201

202

203

204

205

206

207

208

209

210

211

212

213

214

215

216

217

218

219

220

221

222

223

also more likely to present abundant intratumoral T cell infiltrates (26.5% and 27.8% respectively) compared to NSMP and p53ab cases (15.3% and 16.7% respectively; p=0.014). Similarly, we observed "T cell inflamed PD-L1 positive" phenotype more frequently in *POLE*mut and MMRd groups (50.0% and 34.9%, respectively) compared to other TCGA subclasses (16.3% and 17.9%; p<0.001). PD-L1 expression in carcinoma cells showed no

correlation with TCGA classification (p=0.366).

In Kaplan Meier analysis, disease specific survival segregated by histotype and TCGA subgroups as expected (p \leq 0.001, data not shown). *POLE* mut group had excellent outcomes (no disease related deaths in this group) and aberrant p53 status associated with poor disease specific survival. Scarce overall quantity of TILs predicted poor prognosis (p =0.001), whereas PD-L1 expression on carcinoma cells, ICs or CPS showed no correlation with outcome (p=0.298, p=0.592, p = 0.569, respectively).

According to kappa statistics, multiplex and chromogenic immunohistochemistry scorings showed moderate agreement for CPS (kappa 0.540) and poor agreement for PD-L1 expression in carcinoma cells (kappa 0.279).

DISCUSSION

In the evolving era of personalized medicine, immunotherapy offers new treatment options for cancer patients. FDA has approved mismatch repair deficiency/microsatellite instability as selection criteria for anti PD-1/PD-L1 therapy (33). Treatment indications in mismatch repair stable EC and the role of biomarkers, including PD-L1, have remained unsettled. To facilitate prospective studies, we profiled PD-L1 expression across histopathological and TCGA molecular subgroups of endometrial carcinoma.

248 In our study cohort, 8.6% of the cases presented PD-L1 expression in carcinoma cells and 249 27.7% in the ICs. In line with previous studies, PD-L1 expression on carcinoma cells or on 250 lymphocytes showed no correlation with survival (18,19). Non-endometrioid carcinomas were 251 more likely to present PD-L1 positive carcinoma cells, CPS and ICs compared to endometrioid 252 carcinomas. In the subgroup of endometrioid ECs, high grade of differentiation was associated 253 with more frequent CPS and IC positivity compared to low grade disease. 254 In a landmark study, The Cancer Genome Atlas (TCGA) identified 4 distinct molecular 255 subgroups of endometrial carcinoma: POLE ultramutated, microsatellite instability 256 hypermutated (MSI-H), copy-number-low microsatellite stable (MSS), and copy-number-high 257 (34). Vast majority (90%) of the copy-number-high tumors presented TP53 mutations. 258 Consequently, TP53 mutational analysis or immunohistochemical analysis of p53 expression 259 have been proposed as a surrogate marker for this subgroup of tumors (35,36). POLE mutated 260 tumors are characterized by defects in the proof-reading function of DNA polymerase epsilon 261 and harbor the highest rate of somatic mutations, followed by MSI-H tumors characterized by 262 defects in DNA mismatch repair activity. These highly mutated tumors have been reported to 263 contain a large number of predicted neoantigens and activated cytotoxic tumor infiltrating T 264 lymphocytes, often expressing PD-1 and PD-L1 (13,17,24,37,38). Corroborating these 265 findings, we observed significantly higher relative frequencies of heavy T cell infiltrates and 266 PD-L1 expressing ICs in the *POLE* mutated and MMR deficient groups compared to other 267 TCGA subgroups. By contrast, we found no correlation between Ca-PD-L1 expression and the 268 molecular subclasses. 269 It has been speculated, that tumors most likely to respond to PD-1/PD-L1 blockade 270 characteristically present an "adaptive resistance" phenotype (T cell inflamed PD-L1 positive 271 phenotype, i.e. concomitant presence of intratumoral T cell infiltrates and PD-L1 positivity)

(39-41). Consequently, based on previous studies and our results, *POLE* mut and MMRd tumors

273 become natural candidates for immune checkpoint blockade therapy. Interestingly, in a phase 274 II study of an anti-PD-1 agent in patients with various types of advanced cancer (including 275 endometrial carcinoma), mismatch-repair status itself, and not PD-L1 expression, predicted 276 clinical benefit (42). 277 Clinical studies suggest a correlation between increasing levels of PD-L1 expression and drug 278 efficacy, but definite scoring systems and cut-offs may be tumor-specific and still need to be determined (43,44). Most trials focus on PD-L1 expression on carcinoma cells. Nonetheless, 279 280 various studies report associations between clinicopathological characteristics of EC and PD-281 L1 expression on immune cells rather than tumor cells (13-15,21). The significance of these 282 correlations is unknown. In a trial including multiple cancer types, PD-L1 positivity on tumor-283 infiltrating immune cells, but not on tumor cells, predicted response to cancer treatment with 284 an anti-PD-L1 agent, MPDL3280A (atezolizumab) (45). Accordingly, for atezolizumab 285 treatment, expression in intratumoral immune cells (IC) is also used as an indicator for potential 286 response (46). In a randomized lung cancer trial, patients with tumors expressing high levels of 287 PD-L1 (defined as Ca≥50% or IC≥10%) derived the greatest benefit from atezolizumab 288 treatment (31). We observed high tumoral Ca-PD-L1 expression in only 0.5% of the tumors. 289 However, strong IC positivity (≥10%) was seen in 8.6% of the cases. The need for alternative 290 treatment options is greatest in advanced stage (III-IV) endometrial carcinoma, which presented 291 with stronger Ca-PD-L1, IC and CPS expression levels than early cancers. 292 Intratumoral heterogeneity of protein expression may lead to decreased sensitivity in TMA 293 studies. Clonal loss of MMR protein expression has been reported and it is not known whether 294 focal MMR deficiency could invoke a PD-L1 response in a predominantly intact tumor. 295 However, the rate of mismatch repair deficiency in our study was not lower than generally 296 reported in the literature. In a study by Sloan et al., heterogeneous PD-L1 positivity in ECs typically consisted of individual cells or small clusters of cells, that were fairly evenly 297

distributed throughout the tumor (18). Further, previous studies have shown that TMAs with three core biopsies per tumor adequately represent the tumor phenotype, even with antigens known to be heterogeneous (47,48). Since performing MMR or PD-L1 stainings on whole sections was not feasible for this vast cohort, to improve sensitivity, we included 4 tissue cores from each tumor in our TMA. We have previously demonstrated a high concordance between our TMA and the corresponding whole sections, as concerns expression of L1CAM, a highly heterogeneous antigen (29). As an advantage, TMA methodology allowed us to analyze a large number of cases by multiplex IHC and conventional standardized immunohistochemistry (Ventana clone SP263). In concordance analysis of multiplex IHC and conventional IHC, carcinoma cell proportion score showed only fair agreement, which in part reflects the difficulty of differentiating PD-L1 positive carcinoma cells from macrophages in the chromogenic IHC. Accordingly, moderate agreement was found between CPS scorings. In some cases, chromogenic immunostainings presented equivocal staining in the stromal compartment, which may have led to false positivity in IC scoring and CPS. Multiplex immunohistochemistry aptly circumvented these limitations. Some of the differences between the staining results may be explained by the low cut off for PD-L1 positivity (1%) and the use of different PD-L1 antibody clones, i.e. E1L3N for multiplex and SP263 for chromogenic IHC. In our experience, multiplex immunohistochemistry clearly outperforms traditional IHC when analyzing PD-L1 expression in various cell types. However, at the moment it cannot be adopted in routine diagnostics and the problems related to cell-type specific scoring systems may be circumvented using a scoring method that combines positivity of both carcinoma and intratumoral immune cells. Based on our results, the correlation of such score (CPS) to clinicopathological characteristics of endometrial carcinoma is equal or better than score based on carcinoma cells only.

298

299

300

301

302

303

304

305

306

307

308

309

310

311

312

313

314

315

316

317

318

319

320

In conclusion, we identified differences in PD-L1 expression between histological subtypes, disease stage and TCGA-based molecular subgroups of endometrial carcinoma. PD-L1 positivity was more frequently observed in intratumoral immune cells compared to carcinoma cells. Based on our results, prospective trials should consider not only PD-L1 expression on carcinoma cells but also immune cells, when stratifying patients with endometrial carcinoma for immunotherapy. Combined scoring systems may present methodological advantages over cell-type specific scoring. Further studies are necessary to explore the predictive value of this differential expression of PD-L1, various scoring methods and the applicability of immunotherapy in different subgroups of endometrial cancer.

331

322

323

324

325

326

327

328

329

330

332

333334 References

- 1. Brahmer JR, Tykodi SS, Chow LQ, et al. Safety and activity of anti-PD-L1 antibody in
- patients with advanced cancer N Engl J Med 2012;366:2455-2465.
- 338 2. Freeman GJ, Long AJ, Iwai Y, et al. Engagement of the PD-1 immunoinhibitory receptor
- by a novel B7 family member leads to negative regulation of lymphocyte activation *J Exp*
- 340 *Med* 2000;192:1027-1034.
- 341 3. Fife BT, Pauken KE, Eagar TN, et al. Interactions between PD-1 and PD-L1 promote
- tolerance by blocking the TCR-induced stop signal *Nat Immunol* 2009;10:1185-1192.
- 4. Garon EB, Rizvi NA, Hui R, et al. Pembrolizumab for the treatment of non-small-cell lung
- 344 cancer N Engl J Med 2015;372:2018-2028.

- 5. Ott PA, Bang YJ, Berton-Rigaud D, et al. Safety and Antitumor Activity of Pembrolizumab
- in Advanced Programmed Death Ligand 1-Positive Endometrial Cancer: Results From the
- 347 KEYNOTE-028 Study *J Clin Oncol* 2017;35:2535-2541.
- 348 6. Le DT, Durham JN, Smith KN, et al. Mismatch repair deficiency predicts response of solid
- 349 tumors to PD-1 blockade. *Science* 2017;357:409-413.
- 350 7. Pardoll DM. The blockade of immune checkpoints in cancer immunotherapy Nat Rev
- 351 *Cancer* 2012;12:252-264.
- 8. Nirschl CJ, Drake CG. Molecular pathways: coexpression of immune checkpoint
- 353 molecules: signaling pathways and implications for cancer immunotherapy *Clin Cancer Res*
- 354 2013;19:4917-4924.
- 9. www.agilent.com/cs/library/usermanuals/public/29219_pd-l1-ihc-22C3-pharmdx-gastric-
- 356 interpretation-manual_us.pdf
- 357 10. www.ventana.com/documents/PD-L1_SP142-NSCLC-Brochure.pdf
- 358 11. www.ventana.com/documents/PD-L1 SP142-UC-Brochure.pdf
- 359 12. Herzog TJ, Arguello D, Reddy SK, et al. PD-1, PD-L1 expression in 1599 gynecological
- 360 cancers: Implications for immunotherapy. *Gynecologic Oncology* 2015;137:204-205.
- 361 13. Howitt BE, Shukla SA, Sholl LM, et al. Association of Polymerase e-Mutated and
- 362 Microsatellite-Instable Endometrial Cancers With Neoantigen Load, Number of Tumor-
- 363 Infiltrating Lymphocytes, and Expression of PD-1 and PD-L1. JAMA Oncol 2015;1:1319-
- 364 1323.
- 365 14. Eggink FA, Van Gool IC, Leary A, et al. Immunological profiling of molecularly classified
- 366 high-risk endometrial cancers identifies POLE-mutant and microsatellite unstable carcinomas
- 367 as candidates for checkpoint inhibition. *Oncoimmunology* 2016;6:e1264565.
- 368 15. Mo Z, Liu J, Zhang Q, et al. Expression of PD-1, PD-L1 and PD-L2 is associated with
- 369 differentiation status and histological type of endometrial cancer *Oncol Lett* 2016;12:944-950.

- 16. Bregar A, Deshpande A, Grange C, et al. Characterization of immune regulatory
- 371 molecules B7-H4 and PD-L1 in low and high grade endometrial tumors *Gynecol Oncol*
- 372 2017;145:446-452.
- 17. Yamashita H, Nakayama K, Ishikawa M, et al. Microsatellite instability is a biomarker for
- immune checkpoint inhibitors in endometrial cancer *Oncotarget* 2017;9:5652-5664.
- 18. Sloan EA, Ring KL, Willis BC, et al. PD-L1 Expression in Mismatch Repair-deficient
- 376 Endometrial Carcinomas, Including Lynch Syndrome-associated and MLH1 Promoter
- 377 Hypermethylated Tumors *Am J Surg Pathol* 2017;41:326-333.
- 19. Li Z, Joehlin-Price AS, Rhoades J, et al. Programmed Death Ligand 1 Expression Among
- 379 700 Consecutive Endometrial Cancers: Strong Association With Mismatch Repair Protein
- 380 Deficiency. *Int J Gynecol Cancer* 2018;28:59-68.
- 381 20. Kim J, Kim S, Lee HS, et al. Prognostic implication of programmed cell death 1 protein
- and its ligand expressions in endometrial cancer *Gynecol Oncol* 2018;149:381-387.
- 383 21. Asaka S, Yen TT, Wang TL, et al. T cell-inflamed phenotype and increased Foxp3
- 384 expression in infiltrating T-cells of mismatch-repair deficient endometrial cancers *Mod Pathol*
- 385 2019;32:576-584.
- 386 22. Crumley S, Kurnit K, Hudgens C, et al. Identification of a subset of microsatellite-stable
- 387 endometrial carcinoma with high PD-L1 and CD8+ lymphocytes *Mod Pathol* 2019;32:396-
- 388 404.
- 389 23. Kucukgoz Gulec U, Kilic Bagir E, Paydas S, et al. Programmed death-1 (PD-1) and
- 390 programmed death-ligand 1 (PD-L1) expressions in type 2 endometrial cancer *Arch Gynecol*
- 391 Obstet 2019;.
- 392 24. Talhouk A, Derocher H, Schmidt P, et al. Molecular Subtype Not Immune Response
- 393 Drives Outcomes in Endometrial Carcinoma Clin Cancer Res 2018;.

- 394 25. McLaughlin J, Han G, Schalper KA, et al. Quantitative Assessment of the Heterogeneity
- of PD-L1 Expression in Non-Small-Cell Lung Cancer. JAMA Oncol 2016;2:46-54.
- 396 26. Hirsch FR, McElhinny A, Stanforth D, et al. PD-L1 Immunohistochemistry Assays for
- 397 Lung Cancer: Results from Phase 1 of the Blueprint PD-L1 IHC Assay Comparison Project J
- 398 Thorac Oncol 2017;12:208-222.
- 399 27. Brunnstrom H, Johansson A, Westbom-Fremer S, et al. PD-L1 immunohistochemistry in
- 400 clinical diagnostics of lung cancer: inter-pathologist variability is higher than assay variability.
- 401 *Mod Pathol* 2017;30:1411-1421.
- 402 28. Parra ER, Uraoka N, Jiang M, et al. Validation of multiplex immunofluorescence panels
- 403 using multispectral microscopy for immune-profiling of formalin-fixed and paraffin-embedded
- 404 human tumor tissues. *Sci Rep* 2017;7:13380-017-13942-8.
- 405 29. Pasanen A, Tuomi T, Isola J, et al. L1 Cell Adhesion Molecule as a Predictor of Disease-
- 406 Specific Survival and Patterns of Relapse in Endometrial Cancer Int J Gynecol Cancer
- 407 2016;26:1465-1471.
- 408 30. Blom S, Paavolainen L, Bychkov D, et al. Systems pathology by multiplexed
- immunohistochemistry and whole-slide digital image analysis Sci Rep 2017;7:15580-017-
- 410 15798-4.
- 411 31. Rittmeyer A, Barlesi F, Waterkamp D, et al. Atezolizumab versus docetaxel in patients
- with previously treated non-small-cell lung cancer (OAK): a phase 3, open-label, multicentre
- 413 randomised controlled trial. *The Lancet* 2017;389:255-265.
- 414 32. Landis JR, Koch GG. The measurement of observer agreement for categorical data.
- 415 Biometrics 1977;33:159-174.
- 416 33. www.fda.gov/newsevents/newsroom/pressannouncements/ucm560167.htm
- 417 34. Cancer Genome Atlas Research Network, Kandoth C, Schultz N, et al. Integrated
- 418 genomic characterization of endometrial carcinoma *Nature* 2013;497:67-73.

- 419 35. Stelloo E, Bosse T, Nout RA, et al. Refining prognosis and identifying targetable
- 420 pathways for high-risk endometrial cancer; a TransPORTEC initiative. *Modern Pathology*
- 421 2015; 2015;28:836 < last_page > 844.
- 422 36. Talhouk A, McConechy MK, Leung S, et al. A clinically applicable molecular-based
- 423 classification for endometrial cancers. *Br J Cancer* 2015;113:299-310.
- 424 37. Dolcetti R, Viel A, Doglioni C, et al. High Prevalence of Activated Intraepithelial Cytotoxic
- T Lymphocytes and Increased Neoplastic Cell Apoptosis in Colorectal Carcinomas with
- 426 Microsatellite Instability. *The American Journal of Pathology* 1999;154:1805-1813.
- 427 38. Pakish JB, Zhang Q, Chen Z, et al. Immune Microenvironment in Microsatellite-Instable
- 428 Endometrial Cancers: Hereditary or Sporadic Origin Matters. Clin Cancer Res 2017;23:4473-
- 429 4481.
- 430 39. Tumeh PC, Harview CL, Yearley JH, et al. PD-1 blockade induces responses by
- inhibiting adaptive immune resistance *Nature* 2014;515:568-571.
- 432 40. Teng MW, Ngiow SF, Ribas A, et al. Classifying Cancers Based on T-cell Infiltration and
- 433 PD-L1 Cancer Res 2015;75:2139-2145.
- 434 41. Althammer S, Tan TH, Spitzmuller A, et al. Automated image analysis of NSCLC
- biopsies to predict response to anti-PD-L1 therapy. J Immunother Cancer 2019;7:121-019-
- 436 0589-x.
- 42. Le DT, Uram JN, Wang H, et al. PD-1 Blockade in Tumors with Mismatch-Repair
- 438 Deficiency *N Engl J Med* 2015;372:2509-2520.
- 439 43. Borghaei H, Paz-Ares L, Horn L, et al. Nivolumab versus Docetaxel in Advanced
- Nonsquamous Non-Small-Cell Lung Cancer N Engl J Med 2015;373:1627-1639.
- 441 44. Herbst RS, Baas P, Kim DW, et al. Pembrolizumab versus docetaxel for previously
- 442 treated, PD-L1-positive, advanced non-small-cell lung cancer (KEYNOTE-010): a
- 443 randomised controlled trial. *Lancet* 2016;387:1540-1550.

- 444 45. Herbst RS, Soria JC, Kowanetz M, et al. Predictive correlates of response to the anti-PD-
- L1 antibody MPDL3280A in cancer patients. *Nature* 2014;515:563-567.
- 446 46. www.gene.com/download/pdf/tecentrig_prescribing.pdf
- 447 47. Fons G, Hasibuan SM, van der Velden J, et al. Validation of tissue microarray technology
- in endometrioid cancer of the endometrium. *J Clin Pathol* 2007:60:500-503.
- 449 48. Camp RL, Charette LA, Rimm DL. Validation of tissue microarray technology in breast
- 450 carcinoma. Lab Invest 2000;80:1943-1949.

451

452

LEGENDS

453 454

Table 1. PD-L1 expression in endometrial carcinoma: overall frequency of PD-L1 positivity (tumoral and immune cells, CPS), cut-offs for positive staining and significant correlations between PD-L1 expression and clinicopathological features.

458

- 459 END=endometrioid, NE=non-endometrioid, MSI=microsatellite instable, MSS=microsatellite stable,
- 460 MMRd/p=mismatch repair deficient/proficient, Chr=chromogenic immunostaining, CA=carcinoma cells, IC=immune
- cells, CPS=combined positive score, mut=mutated, LVI=lymphovascular invasion, LN+=lymph nodal metastasis,
- 462 MI=myometrial invasion; NS=non-significant, PFS=progression-free survival, OS=overall survival; *values extracted
- 463 from graphs

464 465

- 466 Figure 1. MLH-1 and p53 immunohistochemistry: a) Endometrial carcinoma cells exhibiting
- positive nuclear MLH-1 staining, b) Loss of MLH-1 expression in carcinoma cells with
- tumoral lymphocytes as positive internal control, c) subclonal loss of MLH-1, d) wild type
- p53, e) aberrant p53 (diffuse overexpression), f) aberrant p53 (null), stromal cells serving as
- 470 internal control.

471

- 472 Figure 2. PD-L1 positive (a,b) and negative (c,d) endometrial carcinoma: a,c) PD-L1,
- chromogenic immunoassay; b, d) Fluorescent multiplexed immunoassay: PD-L1 (blue), EPI
- 474 (carcinoma cells, white), CD3 (T cells, green), CD163 (macrophages, red). Note the co-
- localization of PD-L1 and epithelial or immune cell markers: PD-L1 positive carcinoma cells
- 476 (light blue), lymphocytes (turquoise) and macrophages (magenta)

- 478 Figure 3. Frequency of PD-L1 positivity in carcinoma cells ($\geq 1\%$), ICs ($\geq 10\%$) and CPS
- 479 (≥1%) according to histological subgroups (p=0.022, p=0.148 and p<0.001, respectively) and
- FIGO 2009 stage (p=0.037, p=0.270 and p=0.029, respectively). Ca=carcinoma cells,
- 481 ICs=immune cells, CPS=combined positive score

Figure 4. Frequency of PD-L1 positivity in carcinoma cells (p=0.366), ICs (p<0.001), CPS (p<0.001) and presence of heavy T cell infiltrates (p=0.014) according to molecular subgroups. POLEmut = mutated *POLE*, MMRd= MMM deficient, NSMP = no specific molecular type, p53ab = p53 aberrant. Ca=carcinoma cells, ICs=immune cells, CPS=combined positive score

