1	Anatomy and physiology of macaque visual cortical
2	areas V1, V2 and V5/MT: bases for biologically
3	realistic models
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5	Running title: Neuroinformatics of macaque vision
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30 Abstract

The cerebral cortex of primates encompasses multiple anatomically and physiologically distinct areas processing visual information. Areas V1, V2 and V5/MT are conserved across mammals and are central for visual behavior. To facilitate the generation of biologically accurate computational models of primate early visual processing, here we provide an overview of over 350 published studies of these three areas in the genus Macaca, whose visual system provides the closest model for human vision.

The literature reports 14 anatomical connection types from the lateral geniculate nucleus of the thalamus to V1 having distinct layers of origin or termination, and 194 connection types between V1, V2 and V5, forming multiple parallel and interacting visual processing streams. Moreover, within V1, there are reports of 286 and 120 types of intrinsic excitatory and inhibitory connections, respectively.

42 Physiologically, tuning of neuronal responses to 11 types of visual stimulus parameters 43 have been consistently reported. Overall, the optimal spatial frequency of constituent 44 neurons decreases with cortical hierarchy. Moreover, V5 neurons are distinct from 45 neurons in other areas for their higher direction selectivity, higher contrast sensitivity, 46 higher temporal frequency tuning and wider spatial frequency bandwidth.

We also discuss currently unavailable data that could be useful for biologicallyaccurate models.

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One of the fundamental aims of visual neuroscience is to understand the computational 53 54 principles underlying biological vision. How do the biophysics of single neurons and network interactions generate neuronal receptive fields (RFs), process sensory inputs and 55 56 cause visual behavior? Decades of studies have provided a wealth of data and multiple descriptive and quantitative models of vision. Nevertheless, we still lack the ability to 57 construct accurate computational models that can reproduce a biologically meaningful 58 visual system. Such models and related computer simulations could help bridge the gap 59 between the physiological responses of single neurons and existing abstract models of 60 61 vision, as well as provide a better understanding of cortical processing.

62 The continuous increase of computational power has recently enabled the first 63 comprehensive microcircuit simulations of the rat somatosensory cortex (Markram et al. 64 2015). Recent simulations of macaque monkey visual cortex have explored large-scale 65 interactions between visual cortical areas (Mejias et al. 2016), replicated natural firing rate statistics in a laminar network model of the primary visual cortex (V1; Rasch et al., 66 2011), or described the generation of orientation tuning and the dynamics of V1 sublayer 67 68 4C alpha (Chariker et al. 2016). However, we are still far from being able to replicate the 69 multiplicity of cortical functions, let alone visual behavior, with biologically realistic model simulations. 70

Accurate numerical model simulations require quantitative data on the anatomy and physiology of the system, as well as on the structure and biophysical parameters of distinct cell types. With unavoidable gaps in available data, unknown parameters need to be explored against known neural RF properties, and eventually compared with visual behavior.

76 To facilitate the generation of realistic computational models of visual cortex, here we
77 have collated data from more than 350 publications on connectivity, physiological RF

properties and single neuron biophysical properties in three visual cortical areas (V1, V2 and V5 or Middle Temporal –MT–) of the macaque monkey, one of the best studied animal genus in vision research, and the available animal model closest to humans (Kaas 1992; Preuss 2004).

In macaques, visual information drives a network of about 30 interconnected cortical areas organized into a hierarchical network according to laminar connectivity patterns (Maunsell and Van Essen 1983b; Ungerleider and Desimone 1986; Zeki and Shipp 1988; Felleman and Van Essen 1991; Merigan and Maunsell 1993; Barone et al. 2000; Van Essen 2003; Shipp 2007; Kravitz et al. 2011, 2013; Markov, Vezoli, et al. 2014). V1, at the bottom of this hierarchy, sends prominent connections to areas V2, V3, V4, V5/MT and V6; in turn these V1-recipient cortical areas are interconnected with each other.

89 Here we focus on areas V1, V2 and V5/MT, as there is general agreement on the 90 location and macroscopic boundaries of these areas in primates and humans, and their 91 anatomy and electrophysiological properties have been extensively characterized. In 92 contrast, there is ongoing debate regarding the exact parcellation and function of the areas 93 that occupy the cortical territory between V2 and V5 (Kaas 1992, 2003; Van Essen 2003; 94 Wandell et al. 2007; Angelucci and Rosa 2015; Angelucci et al. 2015; Zhu and Vanduffel 95 2019). V1, V2 and V5 participate in early visual processing and are mutually connected. These areas represent multiple low- and middle-tier visual stimulus features at various 96 97 scales, necessary for visually guided behavior (Hegdé and Van Essen 2003; Born and 98 Bradley 2005; Sincich and Horton 2005; Vidyasagar and Eysel 2015; Zeki 2015). Phylogenetically, V1 and V2 are conserved in mammals, and V5 is found in all primate 99 species studied (Kaas 1995, 2003; Large et al. 2016) suggesting that these three areas play 100 101 a fundamental role in cortical processing of visual signals.

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Despite challenges, such as the occurrence of multidimensional RFs, the complexity of

103 the cortical microcircuit, different definitions of the various parameters in different 104 studies, and missing data, this review attempts to report the available data in a consistent 105 way. We also attempt to provide a balanced overview of controversial issues, and to 106 emphasize quantitative data. The latter are reported as numerical quantities, or best 107 estimates of proportions or relative strengths, when these are available in the literature. 108 When quantitative data are not available, we cover qualitatively topics, which we 109 consider important for building computational models.

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111 Anatomical and physiological database and conventions

All data reported here are limited to the Old World monkey genus Macaca, including mainly the species *M. fascicularis*, *M. nemestrina*, *M. mulatta*, and *M. fuscata*. In addition, we report data from functional anatomy studies performed in *M. arctoides*, *M. assamensis*, *M. irus* or *M. radiata*. When different developmental stages were compared in a study, we extracted only data from young adult individuals.

For consistency and brevity, we have excluded data from New World monkeys which are phylogenetically more distant from humans than macaques [for phylogenetic comparison of primate visual cortices, see (Kaas 2003, 2005; Rosa and Tweedale 2005)]. However, for some experimental questions and methodological approaches, the New World primates are better suited animal models. For example, the smooth cortical structure of the marmoset cortex allows easier and simultaneous access to multiple visual cortical areas.

We have combined data from several species of the genus *Macaca*. Brain volume across the included species varies by a factor of about 1.7 (Marino 1998), which may introduce variability in quantifications between different datasets. Given the similar pattern of V1 layers across primate species (Balaram and Kaas 2014), we expect little

structural variation across macaque subspecies. Saleem et al (2007) studied the 128 129 anatomical differences of medial temporal lobe areas between M. fuscata, M. fascicularis and M. mulatta. They found a similar anatomical organization of cortical layers, but one 130 131 of the four areas studied showed a shift in areal boundary across sub-species. Similarly, the primary auditory cortex and its surrounding fields are smaller in *M. fascicularis* than 132 in M. fuscata, whereas the laminar distributions of various histochemical stains were 133 similar (Jones et al. 1995). These studies suggest that subtle differences in the 134 macroscopic anatomy of visual cortical areas are likely to exist among macaque 135 136 subspecies, but the general functional architecture is likely conserved.

Moreover, animal gender can introduce additional variability, as for example, in *M. mulatta*, the volume of the male brain is on average 1.26 times larger than that of the female (Franklin et al. 2000). However, the primary driver of variability is likely the body weight, as this is closely correlated with brain weight (Jerison 1955), therefore requiring knowledge of the body weight of the individual animals, more than their species or gender, in order to calibrate the data; unfortunately we lacked this information, therefore, our reports are not corrected for any of these factors.

144 Tuning properties of neuronal responses to eleven visual stimulus parameters were 145 reported consistently across the literature and are summarized in the figures. For other 146 parameter values in the text and tables, we report the mean and range of the mean values 147 reported across studies (but no range if there was only one study). For a model system this can serve as the range of possible mean parameter values. The distribution of values 148 149 behind the means were inconsistently reported across studies and, of course, it was impossible for us to control for outliers. These original distributions are omitted in this 150 review, unless descriptive statistics, such as standard deviation, were available for the 151 whole data in the original studies. The supplementary material comprises both anatomical 152

and physiological data in machine readable csv format.

154

155 Anatomical conventions

There are two different nomenclatures for V1 layers in the literature. We follow the more widely used Brodmann's nomenclature, according to which layer (L) 4 has four subdivisions (4A, 4B, 4C α , 4C β ; Brodmann 1909, translated by Garey, 2006). Hassler's nomenclature is based on the same histological subdivisions, but layers 4A and 4B of Brodmann are considered part of L3 (Hassler 1966).

Area V5 is also known as MT, for middle temporal, following its original naming in the New World monkeys. In V1, we group L2 with L3A, as typical in many interlaminar connectivity studies.

Here connection strength is defined mainly as the number of labeled neurons in 164 165 retrograde tracer studies, or density of axonal projections of singly labeled neurons. Such anatomical definition of strength does not obviously reflect the actual physiological 166 strength of a connection, which depends on several other factors such as neuron identity, 167 168 and the number, strength and locations of pre-synaptic boutons on the postsynaptic 169 neuron. Note, also, that connection strength can only be compared within single tracer 170 injections, because the number of labeled cells varies across injections of different size. 171 When quantitative data were unavailable, connection strength was estimated from figures 172 or from the text and reported in Figure 2 and Supplementary Table 1 as sparse, medium or 173 dominant connection strength to indicate the approximate number of presynaptic somata 174 or axonal terminations. For interareal connections, the term "dominant" indicates the 175 combined dominant origin and termination of a given connection. In the absence of any description of connection strength in the original publications, we set the strength to 176 177 medium. For contradicting results in different studies, we gave more weight to the data

that were more rigorously quantified. When quantitative data were available, sparse, medium and dominant connections (in Figure 2 and Supplementary Table 1) indicate <10%, 10-50% and >50%, respectively, of cells (for a given tracer injection), or of synapses/boutons/axonal length (for intracellular microinjections).

When axons of traced neurons were reported to terminate at a border between two cortical layers, the connection was marked as terminating in both layers. We included studies using glutamate uncaging (Sawatari and Callaway 2000; Briggs and Callaway 2001, 2005; Yabuta et al. 2001). This method reveals connections to neurons with somata and dendrites located within the postsynaptic layer, as well as to neurons with somata residing in other layers but with dendrites extending into the postsynaptic layer. Connectivity studies based on degeneration were not included.

189 For interareal connectivity studies, single tracer injections are typically not confined to 190 a layer and therefore the layers of origin and termination within the injection site could 191 not be identified. Therefore, in Supplementary Table 1, we report separately the literature 192 references for the connections' origin and termination; moreover, for each laminar origin 193 and termination the same reference is repeated for each laminar termination and origin, 194 respectively. These data are visualized in Figure 2 reporting the existence and density of 195 inter-areal connections between different layers of the connected areas. For example, 196 Lund et al. (1981), following retrograde tracer injections across all V2 layers found 197 labeled cells in V1 L2/3A, 4A and 4B, while following anterograde tracer injections into 198 all V1 layers they found labeled terminations in V2 L4 and L3B with sparser spread into 199 L3A, and at the L5 and 6 border. For the retrograde tracer injection of this study, in 200 Supplementary Table 1 and Figure 2, we report 3 types of connections from V1 to V2, one arising from V1 L2/3A, the second from V1 L4A, and the third from V1 L4B, each 201 terminating in V2 L3A, 3B, 4, 5B and 6, and cite this study five times for each of the 202

203 three V1 layers of origin.

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205 Description of physiological parameters.

206 Physiological RF data were reported as the total number or percent of cells in a given 207 area as a function of a given RF parameter. This allowed us to combine different datasets 208 if the reported values were comparable. To this goal, we extracted and digitized data from 209 the figures in the original publications and reported in our figures the proportion of cells 210 across studies as a function of a given physiological parameter value. Because we are not 211 analyzing the original raw data, but summary histograms, the descriptive statistics we 212 report here inevitably include some inaccuracies, for example errors in the centering of 213 the bins on the X-axis of the original data, residual rotation, and calibration and 214 digitization errors. As a quality control, we visualized all data-reporting figures, 215 calibration and digitized points, and then re-digitized all data exceeding 10% mismatch between the total number of cells reported in the original study and that reported in our 216 217 data.

Different studies used different metrics for data analysis. We included only data from one of these metrics, or data that could be converted into a standard metrics using a simple transformation. For example, different datasets report either circular variance (CV) or orientation selectivity index (OSI=1 - CV), as measures of orientation selectivity. In this case, we converted OSIs to CV.

223

224 Anatomy

Anatomical data show significant individual variability, and many studies are based on only few monkeys. Thus, some of the mean values reported below may not reflect real population means. 9

Recently, mouse neocortical cells have been classified into 133 transcriptomic clusters 228 229 based on single-cell RNA sequencing. These clusters included 61 GABAergic, 56 glutamatergic, and 16 non-neuronal types (Tasic et al. 2018). However, the number of 230 231 such clusters depends on the cut point of the clustering method. Based on axonal projection patterns mainly in rodents, cortical excitatory cells have been classified into 232 233 three major groups, intratelencephalic (projection to cortex and striatum), pyramidal tract 234 (projections mainly to the brainstem, spinal cord and midbrain) and corticothalamic (projections mainly to the ipsilateral thalamus), with ongoing subgrouping efforts based 235 236 on morphology, gene expression and physiology (reviewed in Shepherd 2013; Harris and 237 Shepherd 2015). Following existing literature on the neuroanatomy of macaque visual 238 cortex, largely from the 80's until the last decade (Gilbert 1983; Nieuwenhuys 1994; Douglas and Martin 2004), below we divide excitatory cells into two major 239 240 morphological groups, spiny stellate and pyramidal cells. Further subgrouping pyramidal 241 cell is challenging, due to the wide diversity of pyramidal cell dendritic and axonal 242 morphologies, which could result in an intricate classification according to soma position, 243 branching patterns or axonal targets (examples in Larkman 1991; Markram, Muller, 244 Ramaswamy, Reimann, Schurmann, et al. 2015); moreover, such a subgrouping across 245 layers has not been systematically applied to macaque visual cortex. The pyramidal and 246 spiny stellate cells receive excitatory input predominantly onto their spines, and inhibitory 247 input onto their somas, dendritic shafts and axon initial segments.

Likewise, a general system for GABAergic interneuron classification is missing [for reviews, see (Markram et al. 2004; Ascoli et al. 2008; DeFelipe et al. 2013)]. Cells immunoreactive for the calcium-binding proteins calbindin, calretinin, and parvalbumin are distributed non-uniformly across laminae in macaque V1 and V2 (DeFelipe et al. 1999), but these markers are not uniquely mapped to morphological cell types (Ascoli et

al. 2008; Markram et al. 2015). In rodent cortex, interneurons have been classified into three major types based on expression of parvalbumin, somatostatin and $5HT_{3A}$ -receptor, each type having a different embryonal origin (Lee et al. 2010; Rudy et al. 2011). However, a similar classification has not been systematically applied to macaque visual cortex.

GABA-releasing inhibitory interneurons can be further classified based on the 258 morphological, physiological or molecular phenotype (Ascoli et al. 2008; DeFelipe et al. 259 2013). At least eight morphological subtypes exist (Jones 1993; DeFelipe et al. 2013). 260 261 with the double bouquet cell following a unique developmental path in primates (reviewed in DeFelipe, 2011; Betizeau et al., 2013). In the 80's and 90's, Jennifer Lund 262 263 and colleagues published a series of Golgi-staining studies describing the various morphological inhibitory cell types in macaque V1 and their distinct laminar distributions 264 265 of dendritic and axonal projections (Lund 1987; Lund et al. 1988; Lund and Yoshioka 1991; Lund and Wu 1997). These studies, however, did not quantify these cells' 266 267 morphological features. Recently introduced automatic classifier methods might help 268 generating a more unified classification of cell type morphologies (DeFelipe et al. 2013), 269 but presently there exist no quantitative analyses of inhibitory cells in distinct areas and 270 layers of macaque visual cortex.

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273 Area size and cell numbers, types and locations

274 <u>Lateral Geniculate Nucleus (LGN).</u> In each LGN of *M. Mulatta*, the two 275 magnocellular Magno) layers, one for each eye, comprise on average 148 x 10^3 neurons 276 (range across monkeys 91–235 x 10^3), and the four parvocellular (Parvo) layers, two for 277 each eye, 1270 x 10^3 neurons (range 900–1700 x 10^3 , Ahmad and Spear, 1993). Earlier

estimates of Magno- and Parvo LGN cell numbers, including data from undefined macaque species, give values between the ranges above (le Gros Clark, 1941; Connolly and Van Essen, 1984; reviewed in Peters et al., 1994). Of the numbers above, 35% of cells in the Magno layers, and 25% of cells in the Parvo layers are immunoreactive for the inhibitory neurotransmitter gamma-aminobutyric acid (GABA), therefore are local inhibitory interneurons, the remaining being thalamocortical projection neurons (Montero and Zempel 1986).

A neurochemically distinct population of koniocellular (Konio) cells was recognized later (Hendry and Yoshioka 1994). It occupies primarily the spaces between and below the Magno- and Parvo layers in LGN, forming six distinct layers, termed the intercalated or K layers (reviewed in Hendry and Reid, 2000; Casagrande et al., 2007). These layers comprise about 100 x 10^3 projection neurons, and apparently no inhibitory interneurons. In addition, small neurons with the chemical signature of K cells are also scattered within the M and P layers and form bridges between the K layers (Hendry and Yoshioka 1994).

292 <u>V1, V2, V5: Area size.</u> The sizes and neuron numbers of cortical areas vary across
 293 individual monkeys, being related to body weight, which has an allometric relation to
 294 cortical surface (Maunsell and Van Essen 1987; Hofman 1989).

In the adult macaque monkey, the whole cortical surface of one hemisphere may comprise up to 130-140 functionally distinct areas (Van Essen et al. 2012) and covers, on average, an area of 10430 mm² (N = 10 hemispheres from 3 *M. mulatta* and 7 *M. fascicularis*, range across monkeys 8286-14113 mm²; Sincich et al., 2003). V1 represents about 13% and V2 about 10% of this total area. Table 1 reports the surface areas for V1, V2 and V5. The corresponding surface area ratios between these three areas are 1:0.80:0.042, respectively.

Table 1. Cortical surface areas (mm²) from anatomical studies. N = total number of hemispheres. The mean values across studies were weighted by the N hemispheres in each study. Parenthesis enclose the range across the means of individual studies. Min and max values indicate the lowest and highest values in individual monkeys across all studies. References: 1. Gattass et al. 1981; Van Essen et al. 2. 1981, 3. 1986, 4. 2002; 5. O'Kusky and Colonnier 1982a; 6. Ungerleider and Desimone 1986a; 7. Maunsell and van Essen 1987; 8. Olavarria and Van Essen 1997; 9. Sincich et al. 2003.)

	VI	V2	V5
Mean	1181 (797-1343)	944 (730-1012)	50 (33-73)
Min	690	660	24
Max	1817	1412	99
Ν	58	17	37
Refs	1-5,9	1,3,8-9	2,6-7,9

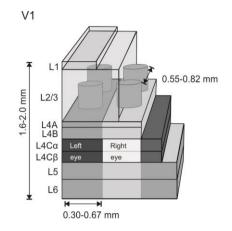
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V1: neuron numbers and types. Pyramidal cell bodies occur in all V1 layers, except
4C and 1 (Lund 1973). Moreover, the apical dendrites of pyramidal cells residing in L5
and L6 have few spines in L4C. Spiny stellate cells occur in all V1 L4 subdivisions, and
in L4C they constitute 85-95% of all neurons (Mates and Lund 1983; Fitzpatrick et al.
1987). Moreover, Briggs et al. (2016) have recently reported some spiny stellate cells in
V1 L6A. Inhibitory stellate cells occur in all V1 layers.

Figure 1 depicts the modular organization of V1, which includes the ocular dominance columns (ODC) and cytochrome oxidase (CO) blobs, as well as the six layers of V1. Monocular RFs predominate in L4C, and the blob structure is most evident in L3. Above and below these layers, RFs are biased to represent the same ODC, blob/interblob compartment, and other RF properties such as preference for the orientation of edges, 316 usually referred to as columnar organization.

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Figure 1. Schematics of cytochrome oxidase (CO) and ocular dominance modules in V1. The cylinders in L3 depict CO blobs, and the lighter and darker columnar gray bands the ocular dominance columns (ODC), most emphasized in L4C (Hubel and Wiesel 1968). References for cortical thickness (range of means; Chow et al. 1950; Lund 1973; O'Kusky and Colonnier 1982b); layer thickness is drawn approximately to scale (Lund 1973); reported distance between CO blobs is the range across monkeys (Horton 1984; Landisman and Ts'o 2002); reported width of ODC is the range across monkeys (LeVay et al. 1975; Horton and Hocking 1996).

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Table 2 reports the total number of neurons and the relative number of inhibitory neurons in each layer of area V1. The total number of neurons in one hemisphere of adult macaque V1 (N=2 *M. fascicularis* and 4 *M. mulatta*) is 161 x 10⁶ (SD=18), and the total number of synapses is 381 x 10⁹ (SD=53). V1 covers on average 841 mm² (SD=88) surface area (N=7 hemispheres; O'Kusky and Colonnier, 1982). A recent study based on rigorous stereological methods estimated V1 neuron numbers more than double the original estimates. (Table 2; Giannaris and Rosene, 2012).

Table 2. Total number of neurons, synapses/neuron, and the proportion of inhibitory interneurons in each cortical layer of area V1. * Layers 2 and 3 together; ** Layers $4C\alpha$ and $4C\beta$ together. References: 1. O'Kusky and Colonnier 1982b; 2. Fitzpatrick et al. 1987; 3. Hendry et al. 1987; 4. Beaulieu et al. 1992; 5. Giannaris and Rosene 2012.)

Layer	N neurons x 10 ⁶	Synapses / neuron x 10 ³	% inhibitory	N neurons x 10 ⁶
1	0.47	61.8	84	Supragr. 215
2	44*	2.6*	20	
3			20	
4 A	17	1.6	22	Granular 121
4B	17	2.7	19	
4Ca	14	1.9	16**	
4Cβ	24	1.4		
5	20	1.7	20	Infragr. 80
6	24	2.1	15	
Total	161	2.3		416
Refs	1	1	2-4	5

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In V1, 19% (range 18.5-19.6%) of neurons are GABA immunoreactive, while in extrastriate cortex surrounding V1, including area V2, the proportion of inhibitory neurons is 25% (range 24.2-25.3%, N=5 hemispheres, Hendry et al., 1987). No apparent difference in the density of GABAergic cells exists between the CO blobs and interblobs of V1 (Beaulieu et al. 1992).

In primate evolution, L4 of area V1 has become specialized into three sublayers (reviewed in Casagrande and Kaas, 1994), and correspondingly the number of neurons in V1 per unit surface area doubled compared with other cortical areas (Hendry et al. 1987). In addition, of all cortical areas, the density of neurons per unit volume is highest in V1.

The mean density of neurons across all layers in V1 is 230 x 10^3 /mm³ (range 190–280 x 10^3 /mm³; average of three *M. fascicularis* monkeys), and drops in V2 to 130 x 10^3 /mm³ (range 110–140 x 10^3 /mm³; average of two *M. fascicularis*, and one *M. mulatta*, Kelly and Hawken, 2017). The neuronal densities per unit mass show similar trends, being highest in V1 (130–177 x 10^6 /g) and somewhat lower in V2 (89–114 x 10^6 /g) and V5 (85 x 10^6 /g; *M. mulatta*, Collins, 2011). Layer 4A has a unique honeycomb-like appearance consisting of parvocellular

Eayer 4A has a unique noneycomb-like appearance consisting of parvocentular geniculate afferent axons, local groups of pyramidal neurons in cone-like arrangement (30-80 μ m wide, mean 60 μ m), separated by neuropil, and vertical apical dendritic clusters (1270 clusters/mm²) arising from L5 pyramidal cells (Peters and Sethares 1991a, 1991b).

V2: neuron numbers and types. Only sparse quantitative data exist for V2. Rockland
(1997) estimated that beneath 1mm² area of V2 lay about 92600 neurons (of which 31200
in L3, 37200 in L4, 10600 in L6). In contrast to V1, there are no spiny stellate neurons in
V2 L4 or elsewhere in cortex, and infragranular pyramidal cells in V2 have spines in L4,
which further emphasizes the functional uniqueness of V1 among visual areas (Lund et al.
1981). In L4 of V2, over 90% of cells are pyramidal, with short apical dendrites, rising up
to L3.

357 Data on neuron numbers and types for the individual layers of area V5 have not been358 reported.

Layer-specific quantifications of distinct neuron types would be of paramountimportance for modeling.

362 Connections between subcortical nuclei and V1, V2 and V5

363 Geniculocortical and corticogeniculate connections

364 Three main pathways, Magno- Parvo- and Koniocellular streams, convey visual 365 signals from the retina through the LGN to V1 (Figs. 2 top, 3 top, Supplementary Table 1A). These pathways are functionally distinct and computationally assumed to convey 366 367 independent dimensions of visual information from the retina to the visual cortex (Derrington et al. 1984; Gegenfurtner 2003; Lennie and Movshon 2005). The Magno 368 layers of the LGN, whose cells mediate achromatic vision, have high temporal but low 369 spatial frequency tuning, and respond non-linearly to changes in luminance and contrast, 370 send denser projections to V1 L4Ca, and sparser and fine axon collaterals to the lower 371 372 part of L6. The Parvo layers, whose cells mediate red-green contrast, have high spatial but 373 low temporal frequency tuning, and respond linearly to dynamic stimuli and contrast 374 changes, send their most dominant projection to L4C β , and sparser projections from a 375 separate population of cells to layers 4A and the upper part of L6 (Figs. 2 top and 3 376 top; Hubel and Wiesel 1972; Hendrickson et al. 1978; Blasdel and Lund 1983). In L4C, 377 the thalamic afferents form arborizations covering one monocular column, with a 378 complementary pattern of projection representing the other eye. The width of this periodic 379 arborization shows individual variability from 0.5 to 1.2 mm (Hubel and Wiesel 1972).

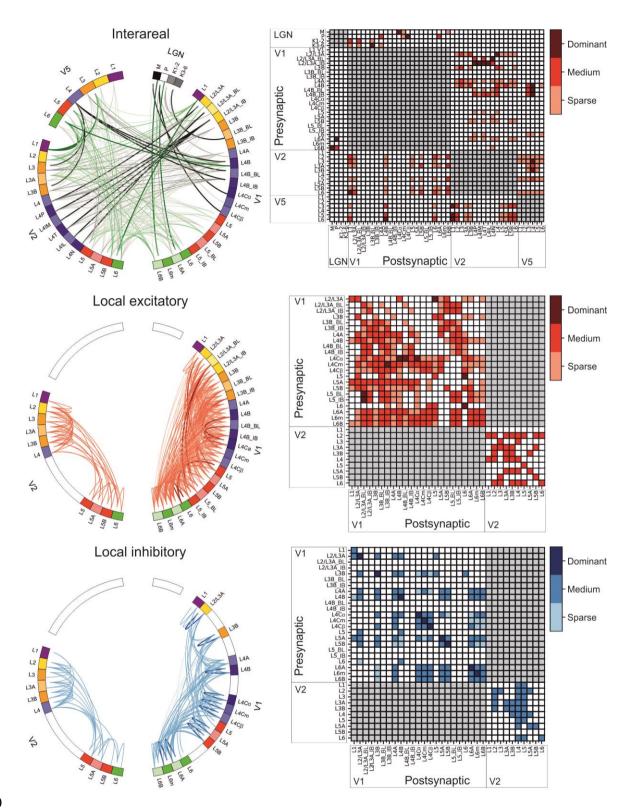
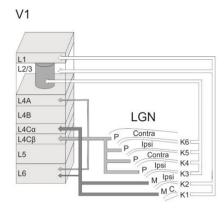
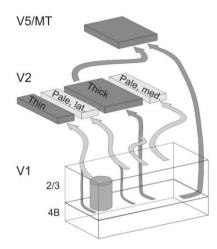


Figure 2. Connections between LGN, V1, V2, and V5 and within V1 and V2. For references, see Supplementary Table 1D. Top, middle and bottom rows indicate inter-areal, intra-areal excitatory and intraareal inhibitory connections, respectively.

LEFT COLUMN: Connectograms (Krzywinski et al. 2009) showing connections between distinct layers. Each colored segment in the circular perimeter indicates a cortical layer, sublayer or CO compartment. Line width and color intensity indicate the robustness of the connection. Unknown strengths are marked as medium; for V2 interlaminar connections (middle and bottom connectograms), the paucity and qualitative character of the available studies did not allow us to estimate connection strength. The origin (soma) of a projection neuron is marked as a line slightly displaced from the outer edge of the circle, while its termination (axon terminals) is marked as a line reaching the outer edge of the circle. *Top Left:* Interareal connections and connections. V2 L4P = L4 pale stripe (no distinction between lateral/medial stripes), L4IM = L4 interstripe (or pale stripe) medial, L4T= L4 thick stripe, L4IL = L4 interstripe (or pale) lateral, L4N = L4 thin stripe. All interareal connections are excitatory. *Middle left:* Local excitatory connections. *Bottom Left:* Local inhibitory connections; none of the studies reviewed here identified the CO compartments.

<u>RIGHT COLUMN</u>: Matrix of the connections. No connection (*white squares*) indicates that the connection either does not exist or was not studied. *Red squares* indicate excitatory connections, and *blue squares* inhibitory connections. Color intensity indicates the strength of the connection.





V1 Blobs: Color/Hue: 1-4 Lack of orientation specificity: 4 Low spatial frequency: 5-7 High contrast sensitivity: 5

> Interblobs: Orientation: 4 Mid and high SF: 5-7 Low contrast sensitivity: 5

Layer 4B: High direction selectivity: 8

V2 Pale medial (type II): contours Orientation: 9, 10

Thick stripes: disparity, motion Orientation: 4, 11-18 Low and High SF: 19 Illusory contours: 20 Retinal disparity: 12, 13, 17, 21 High contrast sensitivity: 19 Motion direction: 14, 18, 22

Pale lateral (type I): unknown Orientation (weak): 9

Thin stripes: surface Color, spectral sensitivity: 4, 11-15, 19, 23, 24 Luminance: 25 Low SF: 19 Brightness: 26, 27

Pale, undifferentiated (early data) Illusory contours: 20, 28 Orientation: 4, 11, 13, 15, 16, 18 High SF: 19

V5/MT Speed tuning: 29-31 Orientation: 32 Direction tuning: 30, 32, 33 Disparity: 34, 35

Figure 3. Feedforward pathways and specialization of functional compartments in LGN, V1, V2 and V5. <u>TOP</u>: Main LGN to V1 pathways (Hendrickson et al. 1978; Blasdel and Lund 1983; Kaplan 2003; Casagrande et al. 2007). Arrow thickness indicates the relative contribution of Parvo and Magno geniculocortical afferents to the different V1 layers. <u>BOTTOM</u>: Main FF pathways between V1, V2 and V5 (Sincich and Horton 2002; Sincich et al. 2007, 2010; Federer et al. 2013). In both top and bottom schematics, additional sparse connections were omitted for clarity.

The CO compartments of V1 and V2 contain multiple functional feature maps and their constituent neurons show specific receptive field tuning properties, as indicated on the right. Numbers refer to the following references:

1, Tootell, Silverman, Hamilton, De Valois, et al. 1988; 2, Landisman and Ts'o 2002; 3, Xiao et al. 2007; 4, Lu and Roe 2008; 5, Edwards et al. 1995; 6, Silverman et al. 1989; 7, Tootell, Silverman, Hamilton, Switkes, et al. 1988; 8, Gur and Snodderly 2007; 9, Felleman et al. 2015; 10, Shipp and Zeki 2002a; 11, Shipp and Zeki 2002b; 12, DeYoe and Van Essen 1985; 13, Roe and Ts'o 1995; 14, Munk et al. 1995; 15, Gegenfurtner et al. 1996; 16, Vanduffel et al. 2002; 17, Ts'O et al. 2001; 18, Levitt, Kiper, et al. 1994; 19, Tootell and Hamilton 1989; 20, Peterhans and von der Heydt 1993; 21, Chen et al. 2008; 22, Lu et al. 2010; 23, Tootell et al. 2004; 24, Xiao et al. 2003b; 25, Wang et al. 2007; 26, Lu and Roe 2007; 27, Roe et al. 2005; 28, Heider et al. 2000; 29, Lagae et al. 1993; 30, Maunsell and Van Essen 1983c; 31, Perrone and Thiele 2001; 32, Albright 1984; 33, Dubner and Zeki 1971; 34, Maunsell and Van Essen 1983a; 35, DeAngelis and Newsome, 1999.

383

Data on single thalamocortical axon arborization patterns are very sparse. An individual Magno axon terminating in L4C α may divide into two or perhaps more arbors. These arbors form a cluster, each covering 0.3-0.4 mm² surface area. Each cluster contains 6490 synapses (mean from Blasdel and Lund, 1983 and Freund et al., 1989; both studies reported data from one filled axon).

The corresponding coverage for the Parvo pathway axon terminal in L4Cβ is much
smaller, 0.067 mm² (Blasdel and Lund 1983). Freund et al. (1989) filled two Parvo axons,
and counted on average 3154 synapses/axon cluster. A single Parvo axon in L4A formed a
single terminal field with a honeycomb-like pattern, including 764 boutons covering
0.058 mm² surface area (Blasdel and Lund 1983). Assuming an average of 2.2

synapses/bouton [mean of Parvo synapses per bouton, from (Freund et al. 1989)], this
would result in 1681 synapses/axon cluster.

The Konio stream of the macaque LGN, a fraction of which mediates blue-vellow-396 397 contrast, is organized in 6 cellular layers (K1-K6), located between and below the four Parvo and two Magno lavers (Figs. 2 top and 3 top; Casagrande et al. 2007). Lavers K1-398 399 K2 project mainly to V1 L1 (47% of K1-K2 projecting boutons) and the upper part of L3 400 (38% of boutons; named 3A in Casagrande et al., 2007), with minor projections to L2 (3%) and the lower part of L3 (12%); each axon has on average 134 boutons (range 55-401 255. N=9 axons). Cells in the LGN layers K3-K6 project mainly to the lower part of V1 402 L3 (93% of boutons; named 3Ba in Casagrande et al., 2007), targeting mainly the CO-403 404 rich blobs (Hendry and Yoshioka 1994; Casagrande et al. 2007), with minor projections to 405 L1 (2%), upper L3 (3%), and L4A (2%); each axon has on average 217 boutons (range 406 90-430, N=9 axons). The number of thalamocortical synapses per bouton in the Konio 407 stream is unknown.

A subset of L6 neurons in V1 projects back to LGN (Wiser and Callaway 1996; Briggs et al. 2016) in a stream-specific manner (Lund et al. 1975), i.e. separate cells in L6A and 6B project to the Parvo and Magno layers, respectively, each with functional properties resembling their LGN targets (Briggs and Usrey 2009). In addition to V1, also some V2 L6 neurons project back to LGN (Briggs et al. 2016). Because the target layers in LGN are unknown for this V2 projections, these connections are omitted in Figure 2 and Supplementary Table 1A.

The LGN also projects directly to V2 (Bullier and Kennedy 1983; Markov et al. 2011), and about 1% of, or 8000, LGN neurons project directly to V5 (Sincich et al. 2004). Interestingly, these geniculate connections to V2 and to V5 both originate primarily from the intercalated Konio layers (Bullier and Kennedy 1983; Sincich et al. 2004), which

419 represent the phylogenetically older blue-yellow color system (Carlos and Silveira 2003).

420 Unfortunately, we do not know the target layers in V2 and V5 for this LGN projection,

421 and thus we have omitted these connections from Figure 2 and Supplementary Table 1A.

422

423 Other subcortical afferents to V1, V2 and V5

424 Of all subcortical inputs to V1, one of the largest arises from the claustrum (0.3% of all retrogradely labeled neurons after injections in V1), whereas for LGN inputs this 425 426 fraction does not exceed 0.2% (Markov et al. 2011). V1 receives also afferents from the pulvinar (to layers 1 and 2, Lund et al., 1981), and the amygdala (Markov et al. 2011). 427 The largest fraction of subcortical projecting neurons to V2 arises from both inferior and 428 lateral pulvinar (Benevento and Rezak 1976; Trojanowski and Jacobson 1976) [0.3% 429 430 (Markov et al. 2011), terminating primarily in L3B (Lund et al. 1981)], and from the 431 claustrum (0.5%; Markov et al. 2011). In addition, V1 and V2 receive sparse projections from thalamic intralaminar nuclei and the nucleus basalis of Meynert (Kennedy and 432 Bullier 1985). Area V5 also receives projections from the pulvinar (Adams et al. 2000) 433 434 and claustrum (Gattass et al. 2014). Unlike the very localized inputs from LGN and 435 pulvinar, inputs from the claustrum and thalamic intralaminar nuclei show much larger spread (Perkel et al. 1986). 436

437

438 Interareal Connections

439 Overview of cortico-cortical connections

440 Interareal connections between V1, V2 and V5 have been reviewed previously (Zeki

and Shipp 1988; Felleman and Van Essen 1991; Merigan and Maunsell 1993; Gattass et

442 al. 2005; Sincich and Horton 2005; Angelucci and Bressloff 2006; Nassi and Callaway

443 2009). Later, quantitative studies have provided significant new information on the23

relative connection strengths between cortical areas (Markov et al. 2011; Markov, Ercsey-Ravasz, et al. 2014), and on-line databases have also enabled targeted searches of existing literature (Kötter 2004; Bakker et al. 2012). In addition to visual inputs, V1 and V2 receive feedback from auditory and parietal cortices suggesting that multimodal signals are available to all visual areas, not just to association areas positioned at higher levels of the anatomical hierarchy (Falchier et al. 2002; Rockland and Ojima 2003).

The macaque cortex consists of a moderately dense network of functional areas, where one estimate suggests that 66% of possible direct connections between two areas exist, with the number of projecting neurons between any two areas spanning a scale of 10^5 (Markov, Ercsey-Ravasz, et al. 2014). With the caution that these numbers are based mainly on one species, *M fascicularis*, and thus cannot be applied to other macaque species, these data suggest that the number of projecting neurons p(d) follows an exponential cortical distance rule (Markov et al. 2013):

457

458
$$p(d) = c e^{-\lambda d}; SD of p(d) = \sqrt{\mu + \frac{\mu^2}{\theta}}$$

459

where, c is a scaling constant, λ is the spatial decay constant, and d is distance across white matter. Markov et al. (2013) reported $\lambda = 0.19 \text{ mm}^{-1}$ for macaques, interpreting it to reflect the cost of wiring. Variability of λ between monkeys was not reported, but individual injections show SD which follows the mean, fitting best to negative binomial model with dispersion parameter $\theta = 7.6$. (Markov et al. 2011).

Between areas, the fraction of supragranular presynaptic projection neurons is correlated with hierarchical distance from the target area, so that in lower-order areas supragranular projection neurons predominate, whereas in higher order areas projection

468 neurons lay primarily in infragranular layers (Barone et al. 2000; Markov et al. 2013).

469 A large fraction of V1 excitatory cells sends their axons into the white matter. In a study based on 9 monkeys (Macaca radiata:(Callaway and Wiser 1996), white matter-470 471 projecting axons were found for 50% (3/6 cells) of excitatory cells in layers 2/3A, 60% (3/5 cells) in L3B, 83% (5/6 cells) in L4B, and 19% in L5 (3/16 cells). In L6, 28% of 472 cells (16/56 cells; 8 monkeys; Macaca radiata) projected to white matter (Wiser and 473 474 Callaway 1996). Many projection neurons have also extensive intra- and interlaminar local collaterals in V1 (Lund and Boothe 1975; Callaway and Wiser 1996; Yarch et al. 475 476 2017).

477 Markov et al. (2014a) have provided important quantitative data on corticocortical 478 connections (Table 3). They injected retrograde tracers in multiple areas, including V1. 479 V2 and V5, counted the number of cells projecting to these areas, and calculated each 480 area's relative input from different areas. V1 receives about three fourths of its interareal 481 input from V2, and vice versa V2 receives three fourths of its input from V1, representing 482 the densest mutual connectivity in the macaque brain. Rockland (1997) estimated that 483 under each mm² of cortex, 14600 V1 neurons send feedforward (FF) projections to V2 484 (range 8800-21600), whereas 11300 (range 8000-12800) V2 neurons send feedback (FB) 485 projections to V1. Moreover, 41-68% of V2 L6 neurons provide FB connections to V1 (Rockland 1994). 486

Table 3. Relative strength of mutual connections between V1, V2 and V5. Numbers indicate the percent of total presynaptic neurons, labeled in the source area after a retrograde tracer injection in the target area (e.g. after a tracer injection in V2, 76.4±2.7% of all labeled presynaptic cells reside in V1;(Markov, Ercsey-Ravasz, et al. 2014). Data from: <u>http://core-nets.org/index.php?action=download</u>. Data are from adult monkeys, five hemispheres were injected in V1 (four monkeys, all females), three in V2 (two monkeys, all males) and one in V5 (female).

V1 to V2		V2 to V1	
Mean	76.4%	Mean	73.2%
Std	2.7%	Std	3.5%
Min	73.3%	Min	68.3%
Max	78.3%	Max	76.6%
V1 to V5		V5 to V1	
Mean	1.9%	Mean	5.9%
		Std	1.1%
		Min	5.2%
		Max	7.8%
V2 to V5		V5 to V2	
Mean	11.9%	Mean	3.6%
		Std	0.6%
		Min	3.0%
		Max	4.1%

488 489

490 Given this robust mutual connectivity between V1 and V2, it is interesting that these 491 two areas exert rather different impacts on each other's neuronal responses; whereas inactivating V1 silences V2 (reviewed in Bullier et al. 1994), inactivating V2 has much 492 493 subtler effects on V1 responses (Hupé et al. 1998, 2001; Nassi et al. 2013; Nurminen et al. 2018) indicating that the anatomical strength of a connection does not dictate its 494 495 physiological strength. Other factors, such as the strength of synaptic connections and their location on the postsynaptic cell are likely important determinants of physiological 496 497 strength of a connection.

The proportion of afferent connections to V5 arising from V1 and V2, as well as the FB connections from V5 to V1 and V2 are clearly sparser, but still significant (Table 3).

500 Many studies suggest that connections between V1 and V2, V2 and V5 and V1 and V5 501 are retinotopically organized in such a way that neighboring patches of cortex represent 502 neighboring regions in the visual field (Ungerleider and Mishkin 1979; Weller and Kaas 503 1983; Ungerleider and Desimone 1986; Shipp et al. 1989). However, the cell populations 504 projecting from V1 to V2 and V5 are largely distinct (Sincich and Horton 2003; Nassi and 505 Callaway 2007).

506 Figure 2 top, 3 bottom and Supplementary Table 1A summarize the interareal 507 connectivity between V1, V2 and V5. CO staining in V2 reveals a periodic stripe pattern 508 consisting of dark thick and thin stripes with interleaving pale stripes (Fig. 3 bottom). A 509 robust connection from V1 to V2 arises from L2/3A interblobs, followed by the 510 projection from L2/3A blobs. A second robust, but generally sparser (except for the 511 projection to thick CO stripes) pathway arises from L4B interblob and blob columns, and 512 sparse inputs arise from layers 3B, 4A, 5B and 6A. In V2, the majority of V1 afferents 513 terminate in L4 of the different CO stripes (thick, thin and pale), with minor terminations in layers 3A, 3B, 5A, 5B and 6. The FB projections from V2 to V1 arise predominantly 514

from L6, followed by layers 2-3A, with minor efferent connections from layers 3B and 515 516 5B. Earlier studies, using less sensitive anterograde tracers or bidirectional tracers suggested that V2 FB projections terminate predominantly in L1 of V1, with only minor 517 518 projections or collaterals to other layers (2/3 and 5) (Rockland and Pandya 1979; Lund et al. 1981; Rockland and Virga 1989; Rockland 1994; Gattas et al. 1997). Recent studies, 519 520 using more sensitive and exclusively anterograde viral vectors of fluorescent proteins, however, have shown strong V2 FB projections not only to L1, but also to L5B and 6B of 521 V1, with sparser terminations in layers 2/3, 4B, 5A and 6A (Ta'afua et al. 2018). This 522 523 arrangement suggests that the layer-wise connectivity between V1 and V2 is largely reciprocal, i.e. the same V1 layers sending FF projections to V2 receive direct FB 524 525 connections from V2. Such symmetry suggests the existence of FF-FB loops, for fast 526 modulation of incoming V1 FF signals by V2 FB connections. However, the lack of FB 527 connections arising from L4, the dominant FB arising from L6, and the dominant FB 528 terminations in L1 are exception to an exact FF-FB reciprocity, showing anatomical 529 asymmetry. How this asymmetry affects the cells' integrative function is unclear. 530 Connections to dendrites distant from the soma, such as the FB to L1, may contact the 531 apical dendrites of pyramidal cells with somata in deeper layers. However, studies in 532 rodents and modeling work have shown that the postsynaptic signals relayed at these 533 distal sites are attenuated (Rall 1962; Williams and Stuart 2002), and their effect may 534 depend on coincident inputs onto the proximal dendrite (Larkum et al. 2004; Larkum 535 2013). These dendritic intracellular interactions may affect the layer-specific timing of visual responses carried by feedforward, horizontal and feedback connections (Self et al. 536 537 2013; Bijanzadeh et al. 2018).

538 FF connections from V1 to V5 arise from layers 4B (both blobs and interblobs) and 6 539 and target primarily L4 and less so L3 of V5. Similar to V2-to-V1 FB, FB connections

540	from V5 originate predominantly in L6, with smaller contributions from layers 5 and 3,
541	while L4 sends no FB to V1. FB projections from V5 to V1 terminate predominantly in
542	layers 4B and 6 (Maunsell and Van Essen 1983b; Ungerleider and Desimone 1986; Shipp
543	et al. 1989), i.e. the source layers of the V1-to-V5 FF projection. Only in the peripheral
544	visual field (>10° eccentricity), does V5 FB target also V1 L1 (Ungerleider and Desimone
545	1986; Shipp et al. 1989). FF connections from V2 to V5 arise predominantly from L3B,
546	but also from layers 2, 3A and 5, with a minor contribution from L6. These connections
547	terminate mainly in L4 of V5 with some spread into the neighboring layers 3 and 5. FB
548	from V5 to V2 arises from V5 layers 3, 5 and 6, and terminates predominantly in V2
549	layers 1 and 6, but also 2, 3A, 5B, with minor terminations also in layers 3B and 5A. In
550	contrast to V2, where the supragranular origin of FB connections is mainly from L2-3A,
551	the supragranular FB from V5 seems to originate only from L3; while Rockland and
552	Pandaya (1979) reported it to originate in L3A, Weller and Kaas (1983) did not specify
553	from which subdivision of L3 V5 FB originates.

554

555 Characteristics of connections between V1 and V2

The major target layer of V1-to-V2 projections is L4, where axon terminals form 0.2-556 0.5 mm wide clusters; 1-3 clusters are arranged in 0.2 (single cluster) to 1.2 mm (multiple 557 558 clusters) long and 0.3 mm wide terminal fields (Rockland and Virga 1990; Anderson and 559 Martin 2009). Sparse axonal terminations also occur contiguously in layers 3 and 5 560 (Rockland and Pandya 1979). In V2, the most frequent targets of V1 FF projections are 561 the dendritic spines of excitatory neurons, with sparse terminations onto shafts, the latter mainly (about 60%) onto inhibitory neurons (Anderson and Martin 2009). Of the spines 562 563 receiving V1 FF projections, only 19% receive a second inhibitory synapse in addition to excitatory synapses. 564

As mentioned above, macaque V2 has four CO stripe compartments (thick, thin, and 2 565 pale stripes), each with unique afferent and efferent connectivity (Fig. 2 top, 3 bottom, 566 Supplementary Table 1A). Retrograde tracer injections confined to distinct V2 stripes 567 568 result in spatially segregated clusters of labeled somata in V1, which align preferentially with distinct V1 CO compartments (blobs or interblobs), suggesting parallel FF pathways 569 from V1 to V2 (Livingstone and Hubel 1984a, 1988a; Sincich and Horton 2002; Federer 570 et al. 2013). Livingstone and Hubel (1984b, 1988) first proposed a tripartite model of V1-571 to-V2 projections. This model was later modified by Sincich and Horton (2002) and, 572 573 subsequently, Federer et al. (2013) as illustrated in Figure 3 bottom. According to this 574 model, thin stripes receive projections from CO blobs, and thick and pale stripes from 575 interblobs. V1 projections to all stripes arise predominantly from L2-3 with sparse 576 projections from layers 4A and 5-6; projections from L4B are densest to thick stripes, 577 moderate to thin stripes and one set of pale stripes (type I, also termed pale-lateral as they 578 are located laterally to thick stripes), and absent to the second set of pale stripes (type II, 579 also termed pale-medial). Importantly, this segregation is not strict, as all stripe types 580 receive sparser projections from both blobs and interblobs.

581 After paired injections of different retrograde tracers into thick and pale stripes, 16% 582 of all V1 labeled neurons were double labeled in the interblobs (Sincich and Horton 583 2002); even smaller percentages of double labeled neurons were found after paired 584 retrograde tracer injections into thin and pale stripes (Sincich and Horton 2002), or pale-585 lateral and pale-medial stripes (1-3% of all labeled neurons, Federer et al. 2013), demonstrating that different stripe types receive inputs predominantly from different V1 586 cells, but at least some common inputs from the same cells, and that the segregation of 587 588 inputs is more marked for thin vs thick/pale stripes compared to thick vs. pale or pale-589 lateral vs. pale-medial stripes.

590 Using intra-V2 injections of a glycoprotein-deleted rabies virus carrying the gene for 591 green fluorescent protein (GFP), Nassi and Callaway (2007) found that on average 17% of V1 L4B neurons projecting to V2 had spiny stellate morphology and 83% (N= 2 592 593 hemispheres, 82% and 85%, respectively) had pyramidal morphology. By confining injections of the same virus to thick or thin stripes, Yarch et al. (2019) reported that on 594 average >60% of L4B inputs to thick stripes and about 40% to thin stripes arises from 595 stellate cells, and the rest from pyramids. The difference between the results of Nassi and 596 Callaway (2007) and those of Yarch and colleagues (2019) suggests that most V1 L4B 597 stellate cells that project to V2 target the thick stripes, and that pale stripes receive 598 599 dominant or exclusive V1 L4B inputs from pyramidal cells. Alternatively, viral injections 600 in the two studies may have been confined to different subcompartments or layers within the stripes, or the virus differentially infected different populations of L4B cells in the two 601 602 studies. Yarch et al. (2019) additionally fully reconstructed the intra-V1 axon arbors of 603 single L4B neurons projecting to thick stripes; using unbiased cluster analysis of these 604 neurons' intra-V1 laminar axon projection patterns, they identified at least two (possibly 605 three) major classes within this L4B subpopulation. Most reconstructed neurons (65%, 606 15/23 neurons) belonged to Class 1, sending narrowly focused axonal projections to L2/3, 607 and laterally extending projections to layers 4B and 5. Class 2 cells (26%), instead, sent 608 collaterals mainly to L5, and the rare Class 3 cells (9%) predominantly to L6. The somata 609 of all these cell classes lay preferentially outside CO blobs, and their axon projections in 610 all layers also avoided CO blobs, indicating that the intra-V1 connections of L4B neurons projecting to thick stripes preserve segregation between blobs and interblobs. 611 612 Rockland and Virga (1989), reported that V2 to V1 FB axons form terminal clusters in

613 V1 with extents of 4.0 x $10^6 \mu m^3$ (range 0.2 x 10^6 - 15.4 x 10^6), primarily in L1, with 614 sparser terminations in layers 2 and 5. Most single FB axons travel 0.75-2 mm in L1,

sending clusters at 350-650 µm intervals (Rockland 1994). In L5, however, the terminals 615 616 travel <0.75 mm. The density of boutons varies from 3 to 15 boutons/100 μ m of axon. Using more sensitive viral vectors of GFP (AAV9) confined to distinct V2 stripes, 617 618 Angelucci and colleagues (Federer et al. 2015; Ta'afua et al. 2018) have recently reported dominant V2 FB projections to V1 layers 1, 2A, 5B and 6B, and sparser projections to 619 2B, 3, 5A and 6A, from all stripe types. Sparse, but significant projections to L4B were 620 observed after thick and pale-lateral stripe injections but were virtually absent after thin 621 stripe injections. Moreover, V2 FB projections mimicked the parallel organization of the 622 reciprocal FF V1-to-V2 pathways: in all V1 layers of termination, thin stripes projected 623 624 predominantly to blobs and pale and thick stripes to interblobs.

625

626 Characteristics of V5 afferent pathways

627 The most direct LGN Magno inputs reach V5 tri-synaptically via V1 layers $4C\alpha$ and 628 4B. In contrast, most Parvo input travels a longer route, via V2, to reach V5 (see Fig. 3 in Nassi and Callaway 2006). More specifically, the pyramidal neurons in V1 L4B 629 630 receive Magno and Parvo inputs from both layers $4C\alpha$ (via direct $4C\alpha$ -to-4B projections) and $4C\beta$ (via $4C\beta$ -to-3 projections contacting the apical dendrites of L4B pyramids in 631 L3), whereas the 4B spiny stellate neurons receive only Magno input from L4C α (Yabuta 632 et al. 2001). L4B spiny stellates then carry Magno data directly to V5 (Nassi and 633 634 Callaway 2007). After injections of retrograde tracers into V5, Nassi and Callaway (2007) 635 found that on average 76% (N=3 hemispheres, range 67-93%) of the labeled cells in V1 636 L4B had spiny stellate morphology and only 24% had pyramidal morphology. This 637 contrasted with the much larger fraction of pyramids ($\sim 80\%$) projecting to V2. Moreover, 638 the V5-projecting V1 L4B neurons were larger in size compared to the V2-projecting 639 ones, and the V5-projecting pyramidal cells were more likely to reside under CO blobs

and have longer dendritic trees extending more often up to L1. Other studies found that
L4B cells projecting to V5 are equally located under blobs and interblobs (Shipp et al.
1989; Sincich and Horton 2003), and that V1 projections to V5 arise predominantly from
L4B (97.8% of V1 inputs), and sparsely from L6 projection (2.2%; Nhan and Callaway
2012).

Individual axons from V1 terminate into 1.0 - 1.8 mm wide patchy fields in L3, L4 645 646 and L6 of area V5 (Rockland 1989; Anderson et al. 1998). Each axonal branch forms up to 4 terminal arbors up to 250 um in diameter in the L4 and L3, and up to 50-100 um in 647 648 L6 (Rockland 1989). The axons form excitatory synapses with dendritic spines (54%; with the largest synapses, mean area 0.127 µm², SEM 0.011), shafts (33%; 0.071 µm², 649 650 SEM 0.07) and somata (13%; 0.031 μ m², SEM 0.008). All connections to the soma and 651 26% of those on shafts were found to be on inhibitory postsynaptic cells, the reminder 652 (78% of all connections) being on excitatory cells (Anderson et al. 1998). These authors estimated that of the 5-10 x 10³ synapses present on single V5 neurons, only few 653 654 hundreds are made by V1 afferents, which is analogous to LGN-to-V1 projections where 655 a small number of synapses have a disproportionally strong impact on the target neurons.

656 Similar to V1 projections, V2 afferent axons to V5 form terminal patches in L3-4, each patch being up to 200-250 µm in width, with an interpatch distance of up to 600 µm 657 658 (Rockland 1995). Moreover, as in V1, most V2 afferent synapses land onto spines (67% 659 in L4, 82% in L2/3), and only 4-6% of synapses onto L4 neurons are made by V2 afferent 660 axons (Anderson and Martin 2002). In contrast to V1 projections, some V2 axon arbors extend from L4 upward into L1; moreover, V2 afferent axons are thinner than V1 661 afferents (diameter of about 3.0 µm in V1 vs. 1.0 µm in V2), and send no collaterals to L6 662 663 (Rockland 1995; Anderson and Martin 2002).

665 Divergence and convergence in feedforward and feedback connections

Some of the earliest anatomical studies of interareal connections reported that the tangential extents of the FF and FB connectional fields were asymmetric (reviewed in Zeki and Shipp 1988). The forward connections converged to a local region in higher order areas, and it was hypothesized that they represent the anatomical substrate for the increasing RF size of neurons along the cortical hierarchy. In contrast, the backward projecting system was typically more divergent, thus possibly serving widespread modulation of low-order areas.

Angelucci et al. (2002) tested the hypothesis that widespread FB connections from 673 674 extrastriate cortical areas provide an anatomical substrate for contextual modulation of V1 neuron responses arising from outside the neurons' classical RF (also termed the RF 675 surround). By combining tracer injections with electrophysiological recordings at the 676 677 injection site and in the cortical region of expected tracer transport, these authors were 678 able to compare the spatial extent of extrastriate FB connections to V1 with the spatial extent of V1 neurons' classical and extra-classical RFs. Anterograde tracer injections 679 confined to the V2 upper layers produced a pattern of labeled patchy FB terminations in 680 681 V1 upper layers. Injections including also the deep V2 layers additionally produced less 682 patchy, and more extensive terminal FB label in layers 5/6. The diameter of the V2 FB 683 axon terminal field in V1 was 6.8 ± 0.4 mm (mean \pm SEM, range 6.4-7.6 mm), while FB 684 terminations from V5 extended over 13.4 ± 0.5 mm (range 12.9-13.9) mm in V1. These 685 authors also made injections of retrograde tracers into V1 and measured the extent of the 686 retrogradely-labeled fields of neurons in V2, and V5 sending convergent FB projections 687 to the injected V1 region. When converted to visuotopic coordinates, on average, the V2 688 and V5 L5/6 FB neurons labeled by small injections of retrograde tracers in V1 689 encompassed a visual field region of $3.8^{\circ} \pm 0.6$ and $26.6^{\circ} \pm 3.0$, respectively, in diameter.

In contrast, the field of long-range intra-V1 horizontal connections converging to the 690 same V1 injection sited was only $2.9^{\circ} \pm 0.4$ in diameter. Expressed in units of V1 691 classical RF size, the visuotopic extents of V2 FB fields correspond to 4.0 ± 0.4 times 692 693 (range 2.7-5.3; for FB from V2 L2/3) and 4.6 ± 0.2 times (4.0-5.1; for FB from V2 L5/6) the size of the classical RF of V1 neurons. FB from V5 L2/3 and 5/6, instead, extends 694 695 15.0, and 25.0 ± 4.0 (21-29) times, respectively, the V1 neurons' classical RF size. Importantly, the FB fields to V1 are much larger than the extent of visual field 696 encompassed by the intra-V1 long-range horizontal connections, which, instead, 697 698 encompass 2.7 (L2/3) to 3.7 (L4B) times the classical RF size of V1 cells. In conclusion, 699 horizontal connections can mediate contextual integration of visual signals from just 700 outside the V1 neurons' RF (the "near surround"), while FB connections provide V1 cells with a much larger area for integrating visual signals arising from the most distant regions 701 702 of the RF surround (the "far surround").

703

704 Intra-areal connections

Local cortical connectivity is complex. For example, a recently implemented model of the microcircuit of rat somatosensory cortex comprises almost 2000 connection types between 55 morphological cell types (Markram et al. 2015). For modeling purposes, the complex connectivity needs to be simplified to basic principles, including distance distributions, major local inter-laminar pathways, and main connection motifs for excitatory and inhibitory neurons. This information is only partially available for macaque cortex.

712 Overall, there seem to be two major categories of connections, long-range 713 (millimeters-long) horizontal connections, which are most prominent within the lamina of 714 origin (Fisken et al. 1975; Rockland and Lund 1983; Angelucci, Levitt, Walton, et al. 715 2002), and short local connections, which often cross layer boundaries.

716

717 Horizontal connectivity

Most inputs to cortical neurons arise from their local neighborhood. On average 79% of incoming axons to any cortical point originate within the same functional area (Markov et al. 2011). In addition, the intra-areal intrinsic connectivity is highly local (Barone et al. 2000; Markov et al. 2011), i.e. following injection of a retrograde tracer in cortex, the number of resulting retrogradely labeled neurons drops as a function of distance (d) from the injected site:

724

Number of neurons
$$\sim \frac{1}{e^{\lambda d}}$$

726

For example, in V1 lambda is 1/0.23 mm, resulting in 95% of labeled presynaptic neurons being located within 2.2 mm of the injection site; in V2 the corresponding value is 1.8 mm. On average, across the studied cortical areas, 95% of labeled intrinsic neurons are within 1.9 mm of the injected site (Markov et al. 2011). Moreover, on average 63% of these retrogradely labeled V1 neurons are supragranular, and the drop in number as a function of distance appears similar in the supra- and infragranular layers (Barone et al. 2000).

The extent of local horizontal connections varies in different layers of V1. Using
bidirectional tracers (which label both axon terminals anterogradely and cell bodies
retrogradely), Angelucci et al. (2002) showed average horizontal extents of 3 mm (radius
from the injection site) in L2/3, 3.4 mm in L4B/upper 4Cα, and 4 mm in L5/6. The largest
axonal extents in these layers were 4.5, 5.0 and 4.8 mm, respectively. In contrast,
connections in the remainder of L4C seem to be highly local, extending laterally mainly
36

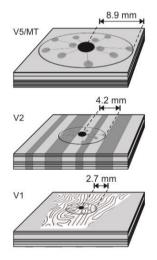
within one functional column (up to 0.2 mm radius, Fisken et al., 1975; Katz et al., 1989).
The number of synapses between any two horizontally connected cells appears to be
very low: only 2 out of 33 postsynaptic dendritic branches (sample of two neurons)
received two inputs from the same presynaptic neuron (McGuire et al. 1991). This study,
however, looked only at single branches and, thus, could not exclude targets on different
dendritic branches of the same postsynaptic cell.

- 746
- 747 Functional organization of horizontal connections

Figure 4 depicts the relative extent of horizontal connections in V1, V2 and V5, and

749 Table 4 summarizes key measurements. Horizontal connections extend over progressively

750 larger distances in higher-order areas (Amir et al. 1993).



751

Figure 4. Extent of intra-areal horizontal connections in the tangential domain of areas V1, V2 and V5. Horizontal connections in V1 are most prominent in L2/3 and 5 but exist also in L4B/upper 4Cα and 6 (Amir et al. 1993; Angelucci, Levitt, Walton, et al. 2002). In V2, horizontally spreading connections emerge from L2, L3 and some from L5 and L6 (Levitt, Yoshioka, et al. 1994). In V5, locally projecting neurons are predominantly found in L2 and L3 and, following deep layer injections, also in L6 (Ahmed et al. 2012).

In the center of each cortical slab is a halo (*black dot*) of dense, unspecific local connectivity, surrounded by more specific patches of terminal clusters (*gray dots*). In V1, the ocular dominance pattern (modified from LeVay et al. 1975), and in V2 the schematics of the CO bands, are approximately at scale. In V5, the darker shading in layers 4-6 depicts heavier myelination. The horizontal connection extents are average maxima across studies from *M. Fascicularis* and *M. Mulatta*: V1, (Amir et al. 1993; Angelucci, Levitt, Walton, et al. 2002), V2 (Amir et al. 1993), V5 (Ahmed et al. 2012).

752

Table 4. Summary of horizontal connectivity. Mean (range) across studies. Distances are in mm. Data from 1. Amir et al. 1993; 2. Malach et al. 1993; 3. Levitt, Yoshioka, et al. 1994; 4. Yoshioka et al. 1996; 5. Angelucci, Levitt, Walton, et al. 2002; 6. Ahmed et al. 2012.)

	V1	V2	V5
Most distant terminal	2.7 (2.1-2.9)	4.1 (4.0-4.2)	8.9
cluster			
Anisotropy ratio	1.7 (1.6-1.8)	1.6	1.2
Cluster size	0.21 (0.18-0.23)	0.25 (0.25-0.25)	0.52
Inter-cluster separation	0.61	0.88 (0.60-1.15)	2.3
References	1-2,4-5	1,3	6

753

In layers 2/3 of V1, horizontal connections labeled by retrograde tracer injections into V1 form patches of axon terminals and somata around the injection site (Rockland and Lund 1983; Angelucci, Levitt, Walton, et al. 2002; Tanigawa et al. 2005). Single tracer injections label on average 11 (range 3-21) patches (Yoshioka et al. 1996; Tanigawa et al. 2005), each about 0.1-0.2 mm wide, which repeat at 0.5-0.6 mm intervals (Rockland and

Lund 1983). The 0.2 mm patch diameter matches the width of the dendritic fields of pyramidal cells in the supragranular layers, and, together with the characteristic interpatch distance, reflects the preference of these connections to link V1 domains with similar functional tuning (Malach et al. 1993; Yoshioka et al. 1996). Patchy horizontal connections are also prominent in L5 (Lund et al. 1993).

Tracer injections targeted to specific orientation-preference domain in the V1 orientation map send horizontal connections preferentially (70%) to other V1 domains with similar orientation preference ($\pm 45^{\circ}$) as that of the injected site. In the local neighborhood of the injected site the connection targets show wider orientation diversity (Malach et al. 1993).

Horizontal connections in V1 layer 2/3 also prefer domains of similar ocular 769 770 dominance (OD) and CO compartment (blob/interblob, Livingstone and Hubel 1984b; Yoshioka et al. 1996). Tracer injections targeted to a specific OD column resulted in 771 772 labeled patches of horizontal connections, of which on average 54% resided in the 773 surrounding OD columns representing the same eye territory, 18% at the border between 774 the left and right eye representation, and 28% in the opposite eye territory. For tracer 775 injections targeted to blob/interblob domains, 71% of connection targets remained in the 776 same domain, the rest were located at blob/interblob borders or into the opposite CO 777 compartment (Yoshioka et al. 1996).

Taken together, in layers 2 and 3 of V1 the horizontal connectivity is locally (within
dendritic and axonal field) not specific to functional domains, but long-range connections
form terminal patches with a preference for similar-domain cells.

In thalamorecipient L4C β , the dendritic fields of both spiny and non-spiny stellate cells seem to avoid crossing OD boundaries, whereas axons of both cell classes cross to the opposite domain. Since these axons are only about 100 μ m long, they nevertheless

mainly remain in their home eye column (Katz et al. 1989). Functionally, this results in
strictly monocular cells in this layer (Hubel and Wiesel 1968).

Horizontal connections in layers 4B/upper4C α also show some domain-specific clustering. When columnar tracer injections encompass L2 to upper L4C α , the clusters of horizontal connections in L2/3 and those in L4B/upper4C α are vertically aligned, but clusters in L4B/upper4C α are band-like rather than patch-like as in L2/3 (Angelucci, Levitt, and Lund 2002; Lund et al. 2003).

In L6, a specialized class of large pyramidal Meynert cells shows little clustering of their horizontal connections and appear to form diffuse terminations (Li et al. 2003). In other layers, the horizontal connectivity in relation to functional domains has not been systemically studied.

795 The distribution of horizontal connections is anisotropic. The ratio between the long 796 and short axes of the antero- and retrogradely-labeled connection fields ranges from 1.5 in 797 L4B/upper 4C α to 1.8 in L5/6 (Angelucci, Levitt, Walton, et al. 2002). Interestingly, the 798 visual field representation of these horizontal connection fields appears isotropic, i.e. their 799 spatial anisotropy in cortex translates to an isotropic distribution in visual field. This 800 results from the anisotropic columnar organization in V1, primarily due to the OD 801 columns, interrupting an otherwise smooth retinotopic representation (Blasdel and 802 Campbell 2001).

In V2, horizontal connections are also patchy (Rockland 1985; Amir et al. 1993; Levitt, Yoshioka, et al. 1994). From each injection site, efferent axons travel in layers 1-3 to form 10-15 terminal patches, each 0.25-0.3 mm wide. The patches are found up to 4 mm away from the injection site, with a gamma-like, positively skewed, distribution, peaking at 1 mm distance. The patches form an oval field, with median longer/shorter axis ratio of 1.6 (range 1-3.8), and the longer axis of the field being oriented orthogonal to

the CO stripes. Given the anisotropy of visual field representation in V2, due to the presence of CO stripes, the connections seem to connect roughly a circular area of visual field. After tracer injections confined to the upper layers, some labeled horizontal connection are also observed in L5.

813 The stripe specificity of horizontal connections in V2 remains unclear. It appears that 814 over short distances, they cross CO stripe boundaries (Levitt, Yoshioka, et al. 1994), but over longer projection distances they preferentially target the same stripe type as that of 815 the injected site (Baldwin et al. 2012). Interestingly, GABAergic connections seem to 816 817 create an oval-shaped connectivity along, rather than across, the CO stripes, in contrast to 818 excitatory connections (Kritzer et al. 1992); their maximum lateral spread is also shorter, 819 1.4 mm in superficial layers and 1.1 mm in the infragranular layers. Functionally, this difference in excitatory vs. inhibitory topography would seem to indicate that V2 820 821 excitatory horizontal connections combine signals from different visual processing 822 streams, while the more local inhibitory connections suppress nearby activation within 823 one stream.

In V5, horizontal connections form the longest-range connections, with clusters up to 10 mm from the injection site (Ahmed et al. 2012). A tracer injection in the upper layers labels horizontal connections that are restricted to supragranular layers, whereas a tracer injection in the deep layers labels horizontal axons in both supragranular and L6 neurons with a similar distribution of clusters (mean space between clusters 2 mm).

829

830 Overview of interlaminar connections

Interlaminar connections in V1 and V2 (Figs. 2 *middle, bottom*, Supplementary Table 1B-D) have been previously reviewed (Gilbert 1983; Lund 1988; Lund et al. 1994; Levitt et al. 1996; Callaway 1998a; Douglas and Martin 2004). We found no intrinsic

834 interlaminar connectivity studies of macaque V5.

From a modeling perspective, it is interesting that lamination might reflect a developmental hierarchy. The major laminar borders and layer-specific connections develop first, guided by ontogenetic molecular markers, whereas sublamina-specific connectivity (e.g. axons targeting 3 vs. 4B, $4C\alpha$ vs. $4C\beta$) might emerge postnatally, guided by either molecular markers or visual input (Callaway 1998b). Eventually, interlaminar connectivity becomes highly complex with multiple unique combinations of layer inputs (Sawatari and Callaway 2000; Nassi and Callaway 2009).

842 The excitatory and inhibitory local circuit neurons have been clearly distinguished in 843 the literature, as light and electron microscopic observations allow straightforward 844 identification of excitatory cells, as having spinous dendrites and forming asymmetric 845 synapses, in contrast to inhibitory cells which instead have smooth dendrites and form 846 symmetric synapses. However, there are sparser data describing the extent of axonal 847 spread in a target layer. Moreover, in these studies, cell samples were typically small, 848 precluding statistical analyses, and the distance covered by the projecting axons is often 849 reported only as largest extent within the small sample. An even greater challenge is the 850 classification of inhibitory and excitatory cells into different morphological subtypes and 851 describing the subtype-specific connectivity. While attempts to classify neurons into 852 distinct subtypes have been made, the relative proportions of the different subtypes and 853 the statistics of their inputs and outputs is sporadic and largely missing in macaques. 854 However, a general rule that has emerged from these studies is that both excitatory and inhibitory neuron types typically project strongly within their home layer. 855

856

857 Excitatory interlaminar connections

858 In Figure 2 (*Middle*) and in Supplementary Table 1 B, we report, separately for V1 and

42

V2, the layer location of the somata giving rise to interlaminar projections and a semi-quantitative description of their axonal target layers.

V1 excitatory neuron connectivity. Excitatory neurons in L4Ca and L4CB project 861 862 strongly to their home layer, but they also target 4Cm, a sub-layer of cells which occupies the middle of L4C between the α and β sub-layers, and which receives only sparse direct 863 LGN connections. L4C α sends robust projections to layers 3B and 4B, which align with 864 865 CO blobs, but sparser projections also to other layers, except L1 and 5B. L4C β sends a robust projection to L3B, which instead aligns with both interblobs and blobs, L4C β also 866 867 sends more moderate projections to other layers, except 1 and 5B. The L3B blob and 868 interblob compartments seem to distribute efferent connections primarily to 869 corresponding blob or interblob compartments, respectively, in other layers (2/3A, 4B 870 (sparse) and 5), and L3B interblob neurons in addition project to 5A. L2/3A interlaminar 871 connections are partially selective for blob/interblob divisions, emphasizing connections 872 within their home compartment, but not totally avoiding crossing CO boundaries. L4A, 873 which receives direct LGN Parvo input, projects to L3B interblobs, but also to layers 874 2/3A, 4B and 5A. Cells in L4B blobs seem to be targeting mainly interblobs within 4B 875 itself and in L5; in contrast, cells in L4B interblobs target primarily interblobs in these 876 layers. L4B projections to layers 2/3A and 3B, instead, terminate in CO blobs, regardless 877 of whether their soma sits in a blob or interblob column. However, Yarch et al. (2017) 878 have recently shown that the L4B output cells that project to the thick CO stripes of V2 879 do not obey this local L4B to L3-blob connectivity rule; instead, these cells have somata 880 that typically lay at a blob border or an interblob, and in L3 they avoid blobs but project to the same CO compartment where their soma resides, i.e. blob border or interblob. 881

L5A and 5B neurons seem to be widely projecting to supragranular and granular layers, avoiding, however, L4Cm. L5A and 5B both send some axons to L6, too. L6 cells

are connected to almost all other layers, with some emphasis on L4C.

In V1, the mutual connectivity between the L5 and 6 sublayers has not been studied. Sub-layers 5A and 5B are most likely highly interconnected (Kisvarday et al. 1989; Briggs and Callaway 2005), but this has not been explicitly studied. The illustrations in Wiser and Callaway (1996), together with the quantification of pyramidal cell types in L6A, L6m and L6B seem to suggest that there is dense mutual sublayer connectivity between these L6 subdivisions.

L6 pyramidal cells have been classified into subgroups based on their specific laminar targets (Wiser and Callaway 1996). L6 neurons target either the L4C sublayers and L4A in different combinations avoiding all other layers (type I) or avoid L4C and show strong mutual connectivity within L6 (type II). The L6 projections to CO blobs vs. interblobs seem to be nonspecific (Wiser and Callaway 1996) and only a subset of type I pyramids project selectively to particular OD columns in L4C (Wiser and Callaway 1997).

897 V2 excitatory neuron connectivity. For V2 interlaminar connections, we found only 898 three studies (Valverde 1978; Lund et al. 1981; Levitt, Yoshioka, et al. 1994), limiting the 899 robustness of the connectivity graph and especially the classification of connection 900 strength (Fig. 2 Middle, Supplementary Table 1B). First, local excitatory connections 901 show the typical intralaminar self-connectivity. Input layer 4 sends projections to 902 supragranular layers, whereas no direct infragranular projection has been reported from 903 this layer. L3B connects to layers 3A, 5A and 2. L3A projects to L3B, 2 and 5B. L2 sends 904 axonal projections to every layer except 5A. L5A connects back to L4 and sends 905 projections to both 3A and 3B. L5B projects to layers 3A and 3B. Finally, L6 sends 906 efferent axons to all other layers, except L1.

907

908 Inhibitory interlaminar connections

909 Jennifer Lund and colleagues studied the inhibitory neurons and their interlaminar 910 connections of V1 in a series of four papers (Lund 1987; Lund et al. 1988; Lund and 911 Yoshioka 1991; Lund and Wu 1997), based on Golgi impregnation of thick tissue sections 912 and reconstructions of single neurons within single sections. The limitations of these 913 studies are the incomplete impregnation and the fact that neurons cannot be reconstructed beyond individual impregnated sections, therefore leading to incomplete neuron 914 915 reconstructions. The inhibitory local circuit neurons of V2, instead, have been studied 916 mainly together with excitatory neurons (Valverde 1978; Lund et al. 1981; Levitt, 917 Yoshioka, et al. 1994). Kritzer et al. (1992) used 3H-nipecotic acid to retrogradely label 918 GABAergic cells. Their data suggest that inhibitory connections are made nearly across 919 all layers in both V1 and V2, with the probability of connections decreasing with laminar 920 distance. This is consistent with data in rodents (Markram et al. 2015). Due to poor 921 confinement of tracer injections to single layers in Kritzer et al. (1992), in Figure 2 and 922 Supplementary Table 1 we have omitted most data from this study.

V1 inhibitory neuron connectivity. The dendritic fields of inhibitory interneurons
often spread vertically outside the layer where the parent soma is located (Lund 1987).
Albeit spreading to other layers, the dendritic fields spread uniformly, sampling
apparently unselectively across their depth. Horizontally, the dendritic fields of smooth
inhibitory neurons in supragranular layers 1-4B are local, measuring 250-350 µm in
diameter (Lund and Yoshioka 1991; Lund and Wu 1997).

It is safe to claim that more than half of the inhibitory synapses are formed within the layer of the parent soma. The exceptions in Figure 2 (*bottom*) and Supplementary Table 1 are L1, for which sparse data do not allow quantitative estimates, and L4A, which is too narrow to include most of the local axonal tree. In contrast to dendrites, the axons may

also cross layers, but without sprouting, targeting specific upper or lower layers. A subset 933 934 of inhibitory neurons with somata either in L4C α or L4C β sends axons to the opposite geniculo-cortical stream (i.e. $L4C\alpha \implies L4C\beta$, L4A or $L4C\beta \implies L4C\alpha$), potentially 935 936 causing cross-inhibition between the Magno and Parvo streams (Lund 1987). Horizontally, the axons of inhibitory neurons may spread considerable distances, albeit 937 938 much less than the horizontal spread of excitatory cells; the largest distances are reached 939 by the $L^{2/3}$ wide-arbor Basket cells, whose axon terminals may reach up to 1.5 mm from 940 the soma (Lund and Wu 1997).

941 The layer-specific connectivity in relation to CO compartments has not been 942 extensively studied for inhibitory interneurons, thus this is omitted in Fig. 2 (bottom). 943 Overall, however, the few available studies seem to indicate that inhibitory connections preserve CO specificity (Kritzer et al. 1992), similar to excitatory neurons. 944

945 $L4C\alpha$ interneurons connect to all 4C sublayers, as well as to layers 4B, 4A, 3B, 5A, 946 and the bottom of L6. Sparse axonal projections from L4C α target, in addition, layers 5B 947 and 6A. L4C β interneurons show similar connectivity as those of 4C α , but with emphasis 948 on L6A instead of 6B, and a missing projection to L4B.

949 L4B interneurons target layers 3B, 4A, both 5A and 5B and all L6 sublayers. Sparse 950 projections reach L1 and L2/3A. L4A inhibitory neurons have similar targets as those of 951 L4B. In contrast to L4B, L4A inhibitory neurons send weaker projections to L6, while 952 targeting L4C (4Cm, as well as, weakly, 4C α and 4C β). L3B inhibitory neurons target 953 predominantly L4A, and moderately layers 2/3A, 4B, 4Cm, 5A and 5B, and all three 954 sublayers of L6. L2/3A interneurons send dominant projections to layers 1, 3B, 4A, 4B 955 and 5B, and sparse connections to L6. L1 interneurons send axons to layers 2/3A, 3B and 956 4B, but not to L4C or infragranular layers. L5A sends inhibitory axons to layers 1, 3B, as 957 well as to all L4C and L6 sublayers. L5B is very different from 5A, as it sends inhibitory

axon projections to layers 2/3A, 5A and 6A, and sparse projections to 3B, 4A, 4B, and the 958 959 bottom two L6 sublayers. L6 interneurons project to layers 4A, all 4C sublayers, 5, and 960 heavily to all L6 sublayers.

961 The two most apparent distinctions between inhibitory and excitatory intrinsic connectivity within V1 are in L1, which has more extensive inhibitory than excitatory 962 connectivity, and in L6, which inhibits only the thin L4A, but excites most supragranular 963 964 layers. Horizontally, the inhibitory connections do not seem to form terminal axon clusters, as excitatory neurons do, but their axon density decreases continuously as a 965 966 function of distance (Kritzer et al. 1992).

967 Lund et al. (1988) suggested that some L5B inhibitory neurons send axons to the white 968 matter, which would be an important exception to the rule that all white matter tracts are 969 excitatory. Later, long-range inhibitory projections have been found in many species and 970 systems (Caputi et al. 2013) but they are sparse, originating from about 0.5% of 971 neocortical GABA neurons in mice (Tamamaki and Tomioka 2010). In macaques, long-972 distance projecting inhibitory neurons are predominantly inside the white matter (81%) 973 and in the gray matter they reside predominantly in L3 (12%; L1 0.5%, L2 3%, L5 2%, 974 L6 1.5%, L4 none, Tomioka and Rockland 2007). The functional role of these neurons 975 has remained unknown.

976 V2 inhibitory neuron connectivity. In V2, the inhibitory connectivity graph is sparse 977 due to availability of only sparse data and will likely need to be modified when new data 978 will become available. The extensive connectivity of L4 is mainly reported in Kritzer et 979 al. (1992), but the ³H-nipecotic acid retrograde tracing data seem to show an overall more 980 diffusely connected system across layers than, e.g. the Golgi stained single cell data of 981 Lund et al. (1981).

982

983 Interlaminar feedback

Despite the paucity of data on V2 excitatory interlaminar connectivity compared toV1, some similarities between the two areas are apparent.

The input layers 4C and 4 in V1 and V2, respectively, preferentially target the supragranular layers, particularly 3B, but also 3A and 2. In contrast, L2 avoids projecting back to these input layers. Given the lack of direct L2 FB to L4, it may be interesting to investigate whether L2 provides FB-like inputs to other layers.

990 L6 projects to all layers containing excitatory neurons. Given the large RFs and their 991 broader tuning in L6 (Gur et al. 2005), L6 interlaminar projections could provide fast 992 intracolumnar FB inputs relaying local contextual information to more sharply tuned cells 993 in other layers. Moreover, as V1 L6 (together with L1) is a major recipient of inter-areal 994 FB projections arising from higher visual areas (as discussed above), L6 is also in a 995 position to relay global contextual information (arising from the "far surround" of V1 996 neurons) to all V1 layers to which it projects. This idea is consistent with the observation 997 that V1 L6 (but also L1) shows the shortest onset latency of local field potential (LFP) responses (i.e. is activated earlier than other layers) following presentation of a visual 998 999 stimulus in the far RF surround of neurons in a recorded V1 column (Bijanzadeh et al. 1000 2018). These early far-surround responses in V1 L6 (and L1) are thought to be generated 1001 by inter-areal FB connections from extrastriate cortex (Angelucci, Levitt, Walton, et al. 1002 2002; Angelucci and Bressloff 2006; Angelucci et al. 2017).

Anatomical reconstruction of microcircuits remains a challenge. Here, we have reviewed studies, most of which are based on injections of neuroanatomical tracers followed by microscopy analysis of labeled tracts or reconstructions of single labeled neurons across serial tissue sections. These approaches have well recognized limitations, for example difficulty and errors in serial section reconstruction of single neurons,

1008 variability in tracer transport across injections and animals, etc. Serial block surface 1009 imaging with electron microscopy (EM) allows for accurate and high resolution 3D 1010 reconstruction of circuits, at the level of synapses (Denk and Horstmann 2004), and 1011 recently automated transmission EM has allowed synapse-level analysis of excitatory 1012 network in rodents (Lee et al. 2016). These methods are, however, difficult to apply to 1013 large tissue blocks, e.g. encompassing macaque V1, let alone the whole macaque visual 1014 cortex. Recently developed methods based on viral vector-mediated high-resolution 1015 fluorescent labeling of neuronal circuits (Luo et al. 2008), followed by tissue clearing, to 1016 render intact tissue blocks optically transparent (Chung et al. 2013), and deep-tissue 1017 imaging (Denk et al. 1990; Stelzer 2015), to image labeled neurons through intact tissue 1018 blocks, are making it possible to characterize primate and even human (Mortazavi et al. 1019 2019) brain circuits at cellular resolution. However, lack of algorithmic and 1020 computational solutions to visualize, analyze and reconstruct the massive amount of 1021 neuronal data that are being collected remains a major challenge that requires 1022 development of cyberinfrastructure and computational approaches (Venkat et al. 2016; 1023 Petruzza et al. 2017, 2018).

1024

1025 Functional anatomy

1026 Cell structure and synaptic coverage

The heterogeneity of cellular structures and their development across brain areas has been previously reviewed (Elston 2003; Elston and Fujita 2014). In V1, dendritic morphology does not seem to change as a function of RF eccentricity. V1 L3 pyramidal neurons show similar number of dendritic branches, total dendritic length, and basal dendritic fields across eccentricities (Oga et al. 2016).

1032 In contrast, along the hierarchy of visual areas dendritic field size and complexity 49

1033 increase. For L3 pyramidal cells, the area of basal dendrites, which form the largest extent

1034 of horizontal dendritic field coverage, increases from V1 ($36 \pm 5.5 \text{ x } 10^3 \text{ } \mu\text{m}^2$; range 27-

1035 49 x 10³ μ m²) to V2 (45 ± 10 x 10³ μ m²; range 18-66 x 10³ μ m²), to V5 (84 ± 11 x 10³

- μm^2 ; range 56-104 x 10³ μm^2 ; Elston and Rosa 1997). Moreover, there are more dendritic
- 1037 branches per unit area in V5 than in V1 or V2.
- 1038 The L3 pyramidal neuron basal dendritic field area is somewhat larger in the CO blobs 1039 $(27 \pm 11 \times 10^3 \mu m^2; \text{ range } 5\text{-}49 \times 10^3 \mu m^2)$ of V1 compared with the interblobs $(20 \pm 10 \times 10^3 \mu m^2; \text{ range } 6\text{-}51 \times 10^3 \mu m^2; \text{ Elston and Rosa } 1998)$. There was a similar trend for 1041 larger dendritic fields in the V2 thin stripes compared with pale stripes, but without 1042 statistical significance.
- 1043 The V1 L5 pyramidal cell basal dendritic area ($40 \pm 19 \times 10^3 \mu m^2$; Oga et al. 2017) is 1044 comparable to that of L3 mentioned above.
- The total length of the apical dendrite of V1 L3 pyramidal neurons averages (mean \pm SD) 1,530 \pm 114 µm (trunk 9% of total length, oblique branches 50% and tuft 41%) with 1047 15.3 \pm 1.2 branch points, and the total length of the basal dendrites averages 1,659 \pm 138 1048 µm with 16.8 \pm 1.8 branch points (Gilman et al. 2017). The apical dendrites have on average 855 \pm 92 spines, and the basal dendrites 1,030 \pm 157 spines.

The apical dendrite spine necks, retrieved from two pyramidal cells in V1 L3, range
from 0.2 to 1.2 μm in width, most being 0.4-0.8 μm (McGuire et al. 1991).

1052 The proportion of LGN afferent synapses relative to the total number of synapses 1053 (summarized in Peters et al., 1994) in Magno-recipient L4C α was originally reported to 1054 be between 1.3-1.9% (18-40/neuron), and in Parvo-recipient L4C β 3.7-8.7% (37-1055 191/neuron; O'Kusky and Colonnier 1982b; Beaulieu et al. 1992). The corresponding 1056 number of synapses per number of neurons were (mean±SD) 1.9±0.2 x 10³ in L4C α , and 1057 1.4±0.2 x 10³ in L4C β (O'Kusky and Colonnier 1982b). A recent quantitative 3D

1058 microscopy study (Garcia-Marin et al. 2017) reported higher average thalamocortical 1059 synaptic densities: 0.46 (range 0.39-0.53) x 10^8 /mm³ in L4Ca, and 0.82 (range 0.70-0.93) 1060 x 10^8 /mm³ in L4Cβ. These densities correspond to 15% of all excitatory synapses in 1061 L4C α (197 /neuron), and 20% in L4C β (200 /neuron) being thalamocortical synapses, 1062 suggesting a much stronger thalamocortical drive than previously assumed. In L4A the 1063 anisotropic honeycomb arrangement, with thalamocortical synapses had an thalamocortical synaptic density of 0.35 (0.23-0.49) x 10⁸/mm³. In L6 the corresponding 1064 density was 0.13 (0.08-0.16) x 108/mm3. 1065

There might be a trend for higher inhibitory synaptic coverage of the spiny stellate cell somas compared with pyramidal cell somas. Otherwise different layers and animals showed variable (between 20-60% of circumference) inhibitory synapse coverage of their somata (Lund et al. 2001).

1070 Although V1 L2-3 CO blobs and interblobs differ in several physiological properties, 1071 their pyramidal neurons show no significant difference in soma area, spine density, 1072 number of basal dendrites, dendritic radius, or dendritic branching pattern (Hubener and 1073 Bolz 1992). Moreover, the dendritic fields of the pyramidal cells cross blob boundaries 1074 suggesting continuous dendritic sampling.

1075

1076 Proportions and synaptic densities of excitatory and inhibitory connections in1077 V1

About 85-90% of V1 connections are excitatory, forming asymmetric synapses with postsynaptic cells, the rest being inhibitory, i.e. forming symmetric synapses (Fisken et al. 1975; Medalla and Luebke 2015). The horizontal and interlaminar connections seem target dendritic spines and shafts in similar proportions. Labeling single V1 L3 pyramidal cells by intracellular injections of HRP (N=2), McGuire et al. (1991) studied both local

51

1083 and long-range excitatory connections of layer 2/3 PCs and found that 75% of synapses 1084 are made onto dendritic spines and 25% onto shafts. This is consistent with the overall 1085 population of V1 layer 2/3 excitatory neurons, which make 75% of their synaptic contacts 1086 onto dendritic spines, with a mean density of $365\pm54 \times 10^6$ synapses / mm³ (mean \pm SEM), 1087 and 25% with shafts, with a mean density of $119\pm10 \ge 10^6$ / mm³ (Medalla and Luebke 1088 2015). Inhibitory neurons, instead, target spines more seldom (34%; mean density of $33\pm7 \times 10^6$ / mm³) than dendritic shafts (66%; 62±24 x 10⁶ / mm³, Medalla and Luebke, 1089 1090 2015). Although lower in volumetric density, the density of synapses along inhibitory 1091 cells' dendritic shafts (average of 1.9 synapses/µm, range 0.8-3.9 synapses/µm) is much 1092 higher than the density of synapses along excitatory cells' dendrites (average of 0.3 svnapses/um, range 0.1-0.5 synapses/um, McGuire et al., 1991). A similar synaptic 1093 1094 density was found on the cell bodies of the smooth inhibitory cells, with about 200-300 1095 synapses over the whole soma surface.

1096

1097 Diversity of response properties in V1 and V2 layers

Layers 4C α and 4C β show response properties similar to those of their respective afferent Magno and Parvo LGN neurons (Blasdel and Fitzpatrick 1984). The minimum response field size of neurons (defined as the RF size measured using small bar or square stimuli) is about two times larger in L4C α than in L4C β . Correspondingly, the contrast threshold increases up to 3.5-fold from the top of L4C α to the bottom of L4C β . In supragranular layers, in both blob and interblob regions, cells receive input from both Magno- and Parvo streams (Nealey and Maunsell 1994).

Gur and colleagues (Gur et al. 2005; Gur and Snodderly 2007, 2008) measured RF properties of neurons in different V1 layers in alert monkeys and found significant variability. Orientation and direction tuning in V1 show high laminar variability, with the

1108	input layers, 4C α and 4C β , 4A and 6 housing less selective units (Gur et al. 2005). In
1109	addition, the input layers show higher spontaneous firing rates [layer: mean (range across
1110	cells) in light / mean (range) in darkness, Hz: L4A: 27 (1-74) / 24 (3-113); L4Ca: 13 (<1-
1111	52) / 10 (<1-28); L4Cβ: 30 (11-59) / 17 (5-28); L6: 13 (<1 – 27Hz) /10 (<1-25) Hz]
1112	compared to the output layers whose mean firing rates are generally <1 Hz [L3: 3 (<1 $-$
1113	14) / <1 (one cell); L4B: 1 (<1 – 3) / 1 (<1-3); L5: <1 (<1 – <1) / <1 (<1 – <1), from Fig
1114	5 top in Snodderly and Gur 1995)]. The high spontaneous firing rate in the input layers
1115	may be inherited from the LGN, where the mean spontaneous firing rate is about 13Hz
1116	(Spear et al. 1994). Mapping RF size with bars of light increments or decrements, Gur et
1117	al. (2005) found that V1 layers receiving direct input from LGN (L4A, L4C α , L4C β , L6)
1118	have larger RFs than other layers (L2/3, L4B, L4Cm, L5). These findings challenged
1119	earlier studies of layer 4C which reported much smaller RF sizes (Schiller et al. 1976;
1120	Hubel and Wiesel 1977; Blasdel and Fitzpatrick 1984). This discrepancy can perhaps be
1121	attributed to the effects of anesthesia in the earlier studies, which is known to alter LGN
1122	activity and multiple RF properties downstream of LGN (Gur et al. 2005). As an
1123	alternative explanation, the discrepancy may emerge from less accurate laminar
1124	differentiation and RF mapping in awake animals. Moreover, the method and visual
1125	stimuli used to map RF size affect the measurements (Angelucci and Bressloff 2006). For
1126	example, estimates of RF sizes based on the cortical spread of deoxyglucose uptake
1127	(Tootell, Switkes, et al. 1988) allows accurate laminar definition and indicate that layers 2
1128	and 6 have the widest RFs (spread could not be quantified), followed by L5 (half the
1129	spread from the edge of the stimulus, about 0.5 mm). L4C α and L4B show intermediate
1130	spread (0.35 and 0.33 mm, respectively), followed by L3 (0.24 mm), and last L4C β (0.14
1131	mm). Importantly, RF sizes vary by a factor of over 10 within layers (Dow et al. 1981;
1132	Van Essen and Newsome 1984). Given the inverse relationship between spatial frequency

and the RF size (Teichert et al. 2007) spatial frequency data suggests that large RFs arehorizontally clustered into CO blobs (Tootell, Silverman, et al. 1988).

1135 Most V1 laminae have a median circular variance, a measure of orientation selectivity 1136 (CV = 1 - orientation selectivity index), close to 0.5, but in L3B CV reaches up to 0.75, 1137 i.e. L3B is less orientation selective (Ringach et al., 2002). L4 has an intricate 1138 parcellation, with layers 4A, 4C α and 4C β having higher CV values, and 4Cm much 1139 smaller values (Gur et al. 2005). Overall, L4Cm, the sublayer located between 4C α and 1140 4C β , behaves like a non-input layer: it has small RFs, sharp orientation and direction 1141 tuning and low spontaneous activity.

Direction selectivity emerges first in layer $4C\alpha$, and thereafter highly direction selective cells are found in L4Cm, L3, L4B and L6 (Gur and Snodderly 2007). Downstream from L4C α , the L4Cm projects to L3, and it has been proposed to represent a third motion pathway from V1 to V2, in addition to the monosynaptic motion pathways arising from direction selective cells in layers 4B and 6 (Gur and Snodderly 2007). In addition to high direction selectivity, cells in L3 show high orientation selectivity and small RFs.

Although typically studied together, L2 is functionally distinct from L3. L2 has higher levels of ongoing activity, less spatially selective RFs, lower orientation selectivity and no direction selectivity (Gur and Snodderly 2008), thus, resembling more the input than output layers of V1.

In V2, the tuning properties of neuronal RFs in different layers show greater similarity than in V1 (Tootell and Hamilton 1989), but L3 has the largest proportion of neurons tuned for visual stimulus parameters (Shipp and Zeki 2002a). The layers receiving feedback (L1, L2, L5 and L6), show more often (27 vs 18%) combined tuning to chromatic and spatial features, suggesting higher-order feature binding in these layers

- than in the layers receiving the feed-forward input (L4 and L3; Shipp et al. 2009).
- 1159

1160 Diversity of response properties in parallel pathways

1161 As reviewed in Schiller et al. (1976), the input from the LGN is transformed into five 1162 main ways within V1. First, the concentric center-antagonistic surround RFs become a 1163 minority in V1, while orientation selectivity emerges. Second, many units become 1164 selective for motion direction. Third, many cells acquire "complex" RFs, i.e. they respond 1165 to both light increments and decrements in their RFs. Fourth, most cells become driven by 1166 both eyes and, fifth, become more selective for spatial frequency. In addition, some cells 1167 show double color opponency (Livingstone and Hubel 1984a), and most cells sum 1168 contrast non-linearly as a function of visual stimulus size (Sceniak et al. 1999; Angelucci, 1169 Levitt, Walton, et al. 2002; Cavanaugh et al. 2002).

The functional architecture of the macaque visual cortex and parallel processing strategies have been more extensively reviewed previously (Casagrande and Royal 2004; Roe 2004; Sincich and Horton 2005; Nassi and Callaway 2009). In brief, Figure 3 *top* depicts the parallel FF pathways from LGN to V1. Afferent geniculate connections from the two eyes remain segregated into OD columns in the input layers of V1 (Hubel and Wiesel 1968). CO blobs are prominent in layers 2/3, but to some extent visible also in layers 1, 4B, 5 and 6 in register with the L2/3 blobs (Horton 1984).

In V2, the CO stripes run orthogonally to the V1/V2 border (Tootell et al. 1983), and are visible, albeit weakly, in most layers and moderately in L4 (Balaram et al. 2014). Across V2, there are about 28 complete sets of CO stripes, a full stripe cycle encompassing on average 4 mm (Olavarria and Van Essen 1997). Table 5 presents a quantitative overview of early electrophysiological single unit recording studies showing the prevalence of various visual stimulus tuning properties in the different V2 stripes

(modified from Shipp and Zeki 2002a). Electrophysiological recordings have demonstrated that many visual response properties are present, albeit with differing prevalence, in all stripe types, and there has been much debate and controversy over the functional specificity, or lack thereof, of distinct stripes (Shipp and Zeki 2002a).

Intrinsic signal optical imaging (OI) is better suited than single unit recordings to reveal the predominant response within a neuronal population and, in addition, it allows investigations of the spatial layout of particular visual responses (Blasdel and Salama 1986; Grinvald et al. 1986; Ts'o et al. 1990). This technique has revealed that while neuronal responses to the various visual stimulus parameters are present in most CO compartments, only some of these parameters are systematically mapped within a given compartment.

1194 Figure 3 right lists the functional feature selectivity and maps found in the various CO 1195 compartments of V1 and V2. Unfortunately, macaque V5 is buried within the superior 1196 temporal sulcus, and thus is not accessible to OI. In V1, OI has revealed multiple, and at 1197 least partially independent, spatial representations or maps of visual stimulus features, 1198 including ocular dominance (Blasdel and Salama 1986; Bartfeld and Grinvald 1992; 1199 Blasdel 1992), orientation (Bartfeld and Grinvald 1992; Blasdel 1992; Ramsden et al. 1200 2014; Felleman et al. 2015), motion direction (Lu et al. 2010; Hu et al. 2018), binocular 1201 disparity (Ts'O et al. 2001; Chen et al. 2008), color (Ts'O et al. 2001; Landisman and 1202 Ts'o 2002; Xiao et al. 2003, 2007; Lu and Roe 2008) and brightness/luminance (Roe et al. 1203 2005; Wang et al. 2007) maps.

Based on microelectrode recordings by Hubel and Wiesel (1974a) Braitenberg and Braitenberg (1979) suggested that iso-orientation domains are arranged around orientation singularities. This local "pinwheel-like" organization of orientations was later confirmed by optical imaging of intrinsic signal (Blasdel and Salama 1986; Ts'o et al. 1990; Malach

et al. 1993; Landisman and Ts'o 2002; Nauhaus et al. 2008) and two-photon imaging
(Nauhaus et al. 2012); for a critical and quantitative analysis of data and models, see
(Obermayer and Blasdel 1993; Erwin et al. 1995).

1211 Many studies have examined the relative spatial relationships between these various 1212 feature maps in V1. CO blobs (Horton and Hubel 1981) and orientation pinwheel centers 1213 (Bartfeld and Grinvald 1992) lie close to the center of OD bands (the latter 300-670 um 1214 wide (LeVay et al. 1975; Horton and Hocking 1996)], but it has remained controversial 1215 whether CO blobs and pinwheel centers align with each other (Bartfeld and Grinvald 1216 1992; Blasdel 1992; Obermayer and Blasdel 1993; Lu and Roe 2008). This controversy 1217 may have been aggravated by spatial low-pass filtering of neural responses by optical 1218 imaging method which may cause systematic shift of pinwheel centers (Polimeni et al. 1219 2005). In our unpublished data (Merlin et al. 2012), we find a strong association between 1220 CO blobs and pinwheel centers, with 85-90% of blobs containing a pinwheel center. 1221 However, as pinwheel centers are more numerous than CO blobs in V1, only about 50% 1222 of pinwheel centers reside in blobs, therefore suggesting at least partially independent 1223 representations of orientation and CO blob maps. The CO blobs seem to coincide with 1224 color patches revealed by OI (Lu and Roe 2008), and each color patch contains an orderly 1225 and overlapping mapping of responses to distinct hues (Xiao et al. 2007). Despite this 1226 partially independent spatial arrangement between orientation pinwheels and color 1227 patches, many neurons in V1 are tuned both to color and orientation (Garg et al. 2019). 1228 OI studies have shown that in V2 each CO stripe contains distinct feature maps. Each

thick stripe contains one or more (200 μ m wide x 1 mm long) topological representation of horizontal retinal disparities (Chen et al. 2008), and a pinwheel-like (about 1 mm wide) or linear representation of different motion directions (Lu et al. 2010). In addition, thick stripes contain ordered orientation maps which have diameter of 0.7-1.5 mm (Ts'O et al.

2001). Orientation domains are also found in the pale stripes, in response to both real and
illusory contours (Ramsden et al. 2014). Thin stripes represent hue in a systematic fashion
[0.07-0.32 wide x 1.3 mm long bands of varying shape (Xiao et al. 2003)], as well as
brightness increments/decrements, the latter forming distinct domains about 0.7 mm apart
(Wang et al. 2007).

1238

Table 5. Functional selectivity of V2 stripes. Median % (range) of cells tuned to the specific visual stimulus parameter (single or multi-unit recordings) across seven electrophysiological studies published up to 2002. Modified from the summary of (Shipp and Zeki 2002a). The definitions of tuning and stripe type varied between studies. * Disparity or binocular interaction. Data originally from: 1. DeYoe and Van Essen 1985; 2. Peterhans and von der Heydt 1993; 3. Levitt, Kiper, et al. 1994; 4. Munk et al. 1995; 5. Roe and Ts'o 1995; 6. Gegenfurtner et al. 1996; 7. Shipp and Zeki 2002a.

	Thick	Thin	Pale	References
Orientation	85 (51-88)	41 (20-73)	80 (17-96)	1-7
Direction	29 (11-60)	6 (0-21)	13 (0-34)	1-4, 6-7
Color	16 (7-39)	63 (53-86)	27 (12-64)	1,3-7
Disparity*	68 (38-77)	21 (10-33)	15 (1-22)	1-2,5

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1240

1241 Physiology

1242 Conduction velocities and latencies

1243 The hierarchy of anatomical connections suggests that areas higher in the hierarchy

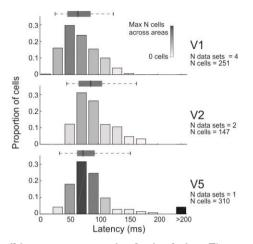
1244 have increasingly longer response latencies to visual stimulation. Experimental evidence

1245 supports this claim to some extent for the areas in the occipitotemporal ventral stream, but 58

not for the areas in the parietal and frontal dorsal stream (Schmolesky et al. 1998). In the 1246 1247 LGN, the Magno pathway has about a 20-ms lead in response onset relative to the Parvo pathway. In V1, magnorecipient L4C α has a corresponding 20-ms lead relative to 1248 1249 parvorecipient L4C β (Nowak et al. 1995). This segregation of latencies continues in the 1250 distinct functional compartments of V2 (Bullier and Nowak 1995). Interestingly, the 1251 inhibitory responses are as early as the excitatory responses, and the shortest latencies in V2 are in the infragranular layers (Nowak et al. 1995). Thereafter, cortical latencies show 1252 a wide distribution (Nowak and Bullier 1997; Schmolesky et al. 1998). 1253

1254 Intracortical conduction velocity has been measured for connections between V1 and 1255 V2 (Girard et al. 2001). The following values are a lower bound for the true velocities, 1256 because they were estimated assuming direct connections in Cartesian 3D space. The 1257 median FF conduction velocity was 3.7 m/s (range 3 - 6 m/s), and the FB conduction 1258 velocity 3.4 m/s (range 1.5 - 9.5 m/s). This is very fast compared to the conduction 1259 velocity of upper layer local V1 axons (0.33 m/s) enabling a rapid FF-FB loop between 1260 V1 and V2, particularly faster for the Magno signals which are conveyed to V2 and back 1261 to V1 even before the Parvo signals (80% of optic nerve fibers) arrive to V1. 1262 Functionally, Magno signals may prime V1 with contextual/top-down information before 1263 Parvo signals arrive to V1, and the loop via extrastriate cortices would be necessary in 1264 particular for long-distance interactions (reviewed in Bullier, 2001; Bullier et al., 2001; 1265 Angelucci and Bressloff, 2006). It is noteworthy that Girard et al. (2001) briefly reported 1266 that intrinsic horizontal connections within the infragranular layers of V1 may conduct 1267 signals faster than upper layer axons (up to 1m/s), albeit still slower than interareal V1-V2 1268 connections; however, layer differences were not thoroughly characterized in that study 1269 and will need further investigation.

1270



1271

Figure 5. Onset latencies of spiking responses to visual stimulation. The proportions of cells are displayed as a function of latency. The number of distinct figures providing the source data, some in the same papers, are indicated on the right (N data sets), together with the total number of cells across the data sets. Bar darkness reflects the number of cells in each bin normalized to largest number of cells in any of the bins across the three cortical areas. The black bar on the right contains outlier values above the reported cutoff at the tick mark value. The whisker plots indicate the 2.5, 25, 50, 75 and 97.5 percentiles of the data, calculated from the histograms in the original data. Data for V1 are from (Maunsell and Gibson 1992; Nowak et al. 1995), for V2 from (Nowak et al. 1995), and for V5 from (Raiguel et al. 1989).

1272

1273 Figure 5 shows response onset latencies in areas V1, V2 and V5, and Supplementary 1274 Figure 1 shows the cumulative density functions and pairwise uncorrected Mann-Whitney 1275 U tests between the areas' median latency values. Response onset latencies overlap in the 1276 different areas, but median latency increases from V1 to V5 to V2 (65, 73 and 86 ms, 1277 respectively). Onset latencies are strongly dependent on various visual stimulus 1278 parameters, especially luminance, which affects integration time in the retina (Mansfield 1279 1973). Moreover, there is significant variability between individual animals in onset latencies (Maunsell and Gibson 1992), which complicates comparison across studies. The 1280 1281 study of Schmolesky et al. (1998) compared latencies in different cortical areas. Mean latencies were shortest in V1, 66 ms (SD 10.7, range 34-97), longest in V2 82 ms (SD 1282 1283 21.1, range 56-118) and intermediate in V5 72 ms (SD 10.3, range 49-98). These latencies

1284 resemble our summary data from multiple studies.

The earliest responses at the top of L4C of V1 cause oscillations at 50-100 Hz (Maunsell and Gibson 1992). Within V2, the thick (median multi-unit onset latency 63 ms) and pale (70 ms) stripes show earlier response onset compared to the color-sensitive thin stripes (81 ms; Munk et al. 1995).

1289

1290 Firing rate statistics

The ability of a neural system to provide the same response with high temporal precision is highly dependent on the variance of the input, suggesting neural systems have low intracellular noise (Mainen and Sejnowski 1995). High temporal precision enables a system to transmit information using less resources. Because neuronal response statistics differs in alert vs. anesthetized monkeys, in the discussion below we specify the state of anesthesia.

In alert monkeys, individual V1 neurons show high temporal precision of spike latency in response to an optimal stimulus, with the median Fano factor (variance/mean) across layers ranging between 0.2 and 0.35, and the mean across V1 being 0.33 ± 0.17 (SD, range across cells <0.1-1). However, when stimulus contrast is reduced to near threshold, variability increases, and Fano Factors grow closer to 1 (Gur and Snodderly 2006).

The spontaneous spike rate in V1 has an exponential distribution across cells with very low average rates (simple cells 1.2 Hz, N=137, complex cells 4.9 Hz, N=245, anesthetized; Schiller et al. 1976).

Rasch et al. (2011) studied the statistics of V1 spiking during movie viewing in anesthetized monkeys. They found a mean firing rate of 5.1 ± 0.8 (SD) Hz, and an exponential distribution of firing rates, with the exponent being on average -0.8 ± 0.6 s

1309 (range -2.4 s - -0.2 s). For individual neurons, the Fano factor across multiple 1310 presentations of the same stimulus was close to 1 for very short <10 ms epochs and 1311 increased for longer epochs. The population response was, as expected, more reliable for 1312 short epochs (smaller Fano factor than for individual neurons), but increased again with 1313 longer epochs, suggesting that the firing rates of individual neurons go up and down 1314 together. There are probably important differences in firing rate statistics due to 1315 anesthesia. First, the Fano factors are significantly lower in awake than anesthetized 1316 animals (Gur and Snodderly 2006); moreover, when fixational eye movements are 1317 carefully controlled in awake animals (Gur and Snodderly 2006; McFarland et al. 2016), 1318 Fano factors cease to increase at longer epochs. In summary, response variability might be 1319 significantly smaller in awake visual cortex than previously assumed and stay constant 1320 over time.

There are few studies on the firing rate statistics of extrastriate areas. Because Fano factor is affected by anesthesia, epoch length and, in awake animals, fixational eye movements, areas V1, V2 and V5 need to be compared under identical conditions. Yang et al (2009) compared anesthetized young and old adult monkeys and found that Fano factors in V1 and V5 during drifting grating stimulation are very similar, but increase with age in both areas. Mean Fano factors were (young/old) 1.4/2.4 in V1, and 1.5/2.5 in V5.

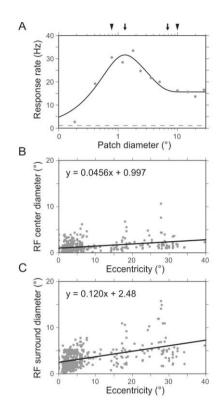
1328

1329 Visual field representation in cortex

The representation of the visual field in cortex can be characterized by three parameters, namely RF size, magnification factor (or it's 2D generalization log conformal mapping), and cortical point image. These representations are however not smooth, because local discontinuities arise from RF scatter and additional dimensions, such as

- 1334 ocular dominance (V1;(LeVay et al. 1975) and cytochrome oxidase (V2) bands (Roe and
- 1335 Ts'o 1995; Shipp and Zeki 2002b).

1336



1337

Figure 6. Area summation function in V1. A: Area summation function for an example V1 neuron. Solid line represents fit to the data (dots) using the difference of Gaussians (DoG) model. Dashed line indicates the mean spontaneous firing rate. Arrows indicate the center and surround diameters obtained using the DoG fit. Arrowheads indicate the center and surround diameters extracted from the empirically measured responses (without any fit). Data from (Shushruth et al. 2009). B: RF center diameter with respect to eccentricity. Solid line represents linear fit to the data (dots, N=425). C: RF surround diameter with respect to eccentricity. Solid line represents linear fit to the data (dots, N=425). B, C data from (Cavanaugh et al. 2002).

1338

1339 Receptive field size

1340 In macaque V1, a typical single-cell response to an enlarging stimulus first shows an 1341 increase, then a decrease, until an asymptote is reached (Fig. 6A). The RF size is defined

1342 as the stimulus radius at peak response, and the region beyond peak response, where the

cells response is suppressed is termed the surround. This patch-size tuning curve, also
called the area summation function (ASF), has been modeled as antagonistic excitatory
and inhibitory Gaussian mechanisms, interacting either divisively (ratio of Gaussians,
RoG, model; Cavanaugh et al., 2002) or subtractively (difference of Gaussians, DoG,
model; Sceniak et al. 1999). In the DoG model, the area summation function is:

1349
$$R(dia) = R_0 + K_c \int_{-dia/2}^{dia/2} e^{-(2x/w_c)^2} dx - K_s \int_{-dia/2}^{dia/2} e^{-(2x/w_s)^2} dx$$

1350

Here, dia is the diameter of the stimulus, R₀ the spontaneous firing rate, K_c, K_s, the 1351 gain and w_c, w_s the extent of the receptive field center and surround, respectively. The 1352 1353 center and surround mechanisms are thought to be generated by distinct connections: the 1354 excitatory center primarily by geniculocortical FF and intra-V1 horizontal connections, 1355 while the inhibitory surround primarily by both local intra-V1 and interareal FB 1356 connections (Angelucci, Levitt, Walton, et al. 2002; Schwabe et al. 2006). A recent review 1357 (Angelucci et al. 2017) discusses how the cortical microcircuit might give rise to the area 1358 summation function.

1359 Neurons show significant variability in their ASFs and the center and surround extents vary with visual field eccentricity (Fig. 6B, C). In parafoveal V1 (at 3-7° eccentricity) 1360 1361 using a high contrast grating patch increasing in size, the RF radius measured on average 1362 $0.36^{\circ} \pm 0.13^{\circ}$ (N=79; range $0.11^{\circ} - 0.82^{\circ}$, Shushruth et al. 2009), and in a different study 1363 0.39° (N=148; eccentricity < 5°, Cavanaugh et al. 2002). These same studies estimated the surround radius to be $1.62^{\circ} \pm 0.62^{\circ}$ (N=79; range $0.55^{\circ} - 2.66^{\circ}$) and 2.5° (N=148) 1364 1365 respectively. The extents were determined using the DoG fits to the spatial summation 1366 data (Shushruth et al. 2009), or directly from the data (Cavanaugh et al. 2002), and 1367 defining RF extent as the smallest stimulus radius at the peak of the fitted function, or (for 64

1368	cells that did not show surround suppression) that elicited 95% of the maximum response,
1369	and the surround extent as the smallest stimulus for which the response was reduced to
1370	5% of its asymptotic value.
1371	Similarly, in parafoveal V2 (up to 10° eccentricity), the RF radius has been reported to
1372	average $0.74^{\circ} \pm 0.50^{\circ}$ (N=91; range $0.16^{\circ} - 2.43^{\circ}$) and the surround radius $3.56^{\circ} \pm 1.94^{\circ}$
1373	(N=83; range $1.06^{\circ} - 10.55^{\circ}$). Thus in V2, the RF sizes are on average double the sizes of
1374	V1 RFs (Shushruth et al. 2009).
1375	For V5, similar nonlinear ASFs as in V1 and V2 have been reported (Pack et al. 2005;
1376	Hunter and Born 2011). The peak response appears to be larger than in V1 or V2, in many
1377	cases larger than the largest stimulus diameter used for the measurements (30°). The
1378	"classical RF" for V5 cells, which is measured using small stimuli rather than gratings of
1379	increasing size, was defined by the following equation: size (deg) = $0.72E + 1.35$
1380	(Desimone and Ungerleider 1986). The optimal RF size, corresponding summation field,
1381	is about 10 times larger in V5 than in V1 (Albright and Desimone 1987; Maunsell and
1382	van Essen 1987).

1383

1384 Mapping of visual field in cortex

1385 The representation of foveal and parafoveal visual field in V1 can be characterized as1386 (Schwartz 1980, 1994) :

1387

1388 $w = k * \log (z + a)$ Eq 1

1389

1390 where w is the position in cortex, and z is the position in visual field. The real part of z 1391 represents the eccentricity, and the imaginary part the polar angle (azimuth) in visual 1392 field. The parameters k and a scale the transformation, and a defines the foveal part of the

1393 visual field, respectively. For the existing macaque data (Daniel and Whitteridge 1961), 1394 Schwartz (1980) used a value of a=1 (in his Figure 1), and k would scale for the 1395 individual V1 size.

Schwartz's log mapping has been generalized to cover full field V1, V2 and V3, and to account for shear that conformal (i.e. angle-preserving) mappings cannot model (Polimeni et al. 2006). For numerical simulations, the log mapping provides a straightforward way to map the visual field into cortical coordinates, and it has recently been applied to visual cortical prosthetics as well (Li 2015).

Several previous studies have provided quantitative data on the one-dimensional derivative of the log conformal map, i.e. the magnification factor. This is the distance in cortex that represents a given distance in visual field (Daniel and Whitteridge 1961). The parameters k and a of the log conformal mapping are related to the magnification factor (M), because the inverse of the magnification factor can be defined as a linear function of eccentricity (Schwartz 1994):

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1408
$$\frac{1}{M} = \frac{a}{k} + \frac{1}{k} * \text{eccentricity} \qquad \text{Eq. 2}$$

1409

1410 Although none of the cortical areas show smooth mappings of the visual field, as they 1411 all have some sub-structure, V1 has in early studies been characterized with a single 1412 magnification value. Nevertheless, the relation of eccentricity to M⁻¹ is not fully linear 1413 (Dow et al. 1981), and additionally shows significant horizontal-vertical anisotropy 1414 (Tootell, Switkes, et al. 1988). This anisotropy calls for the aforementioned more 1415 comprehensive 2D mapping of the visual cortex than what the M factor allows (Polimeni 1416 et al. 2006). However, since these parameters are nonexistent for V5, here we only report 1417 magnification factor.

1418 The area V1 magnification factor, M, as a function of eccentricity is 1/(0.077 + 0.082)1419 x E) mm/deg, as determined using the 2 deoxyglucose method (2DG) method within the central 10° (Tootell et al. 1982), and 1/(0.0404 + 0.116 x E) mm/deg, as determined using 1420 1421 electrophysiological recordings within the central 2.5° (Dow et al. 1981) or 1/(0.109 +1422 0.0637 x E) mm/deg outside the foveal representation (Hubel and Wiesel 1974b; Hubel 1423 and Freeman 1977). The slope of M^{-1} at the fovea is about half the slope outside the fovea. 1424 The OD columns cause anisotropy by reducing the M factor to about half the value in the 1425 direction orthogonal to the OD bands (LeVay et al. 1975). 1426 Early V2 studies reported that the three CO stripes have separate maps of the visual 1427 field. The representations are, however, continuous within the same type of stripe (Roe 1428 and Ts'o 1995; Shipp and Zeki 2002b). Each of the V2 CO compartments had similar

values of magnification factor (interstripes 1.44 mm/deg, thin stripes 1.4 mm/deg and
thick stripes 1.25 mm/deg; Roe and Ts'o 1995).

1431The magnification factor for area V5 is 1.14 x E-0.76 (Gattass and Gross 1981; Albright1432and Desimone 1987). Because the sizes of cortical areas vary across individuals (as also1433shown for humans in Amunts et al., 2000), the magnification factors show also individual1434variability.

1435

1436 Cortical point image

The literature on cortical point image, *i.e.* the cortical representation of one point in visual field, is a function of the average cortical RF size and scatter. In V1, the cortical point image shows discrepant values, depending on whether it was measured by electrophysiological recordings or by optical imaging with voltage sensitive dyes (VSDI). Electrophysiological recordings demonstrate an exponential reduction of the cortical point image with increasing eccentricity. At the fovea the point image approaches 10 mm,

whereas in the periphery it is about 1 mm (Dow et al. 1981). In contrast, VSDI shows a constant point image, at least in the parafoveal representation (2°-5°, Palmer et al., 2012). The former method measures action potentials, i.e. the output of neurons, whereas the latter measures the subthreshold voltage variations. The discrepancy may, thus, be related to differences in neuronal tuning of synaptic vs. action potentials (Jia et al. 2010), and may partially reflect non-linear mapping from subthreshold to suprathreshold responses (Anderson et al. 2000; Miller and Troyer 2002).

Including LGN in a computational model requires mapping the visual field onto LGN cells, and then LGN cells onto visual cortex. The visual field forms retinotopic representation in each Parvo- and Magno layer. The LGN represents the visual field with a smaller number of cells than V1, and the ratio of LGN/V1 cell numbers changes as a function of eccentricity (Connolly and Van Essen 1984). The number of cells per square degree of visual field (M_c , cells/deg²) as a function of eccentricity (E) is given by:

1456

$$1457 M_c = k(a+E)^{-x}$$

1458

where k=83700, a=1.28 and x=1.96, for Parvo layers, and k=3520, a=3.12 and x=1.56,
for Magno layers. These results suggest that the Magno/Parvo cell ratio in LGN increases
by a factor of up to 20 from the fovea to the periphery.

Livingstone and Hubel (1988b), instead, found an approximately equal M/P-ratio across eccentricities, and suggested that the Connolly and Van Essen (1984) analysis was flawed. The anatomical data of Livingstone and Hubel (1988b) were later challenged by Malpeli et al. (1996), who attributed the discrepancy to a number of potential factors, such as technical issues related to the retrograde transport of the tracers, the omission of the Koniocellular channel (which was discovered in 1994, after the Livingstone and

Hubel's study), or a plateau in the magnitude of the magnification factor of the Magno channel at the eccentricities where the tracer injections were placed. Malpeli et al. (1996) showed that the M/P cell ratio in LGN grows by a factor of at least 14 from the fovea to the periphery, thus confirming the original study by Connolly and Van Essen (1984).

1472 Based on the results by Connolly and Van Essen (1984), Schein and de Monasterio 1473 (1987) estimated the point images from LGN cells onto V1. Point images are very different for the LGN Parvo and Magno cells' mapping onto the cortex, which results 1474 1475 from the different type of scaling of N neurons/unit area as a function of eccentricity. 1476 Outside the fovea, the ratio of LGN Parvo cells to unit area of cortex is close to constant, being 550/mm² at 1° eccentricity, and increasing to 872/mm² at 80°. In contrast, the 1477 density of Magno cells increases steeply, from 13/mm² at 1° to 206/mm² at 80° 1478 1479 eccentricity. The point image in V1 behaves exactly the opposite, namely for Parvo cells 1480 it decreases steeply with eccentricity, whereas it is almost constant for the Magno cells.

1481 The cortical point image size grows along the ventral stream hierarchy, but stays 1482 constant along the dorsal stream, including V5 (Gattass et al. 2005).

1483

1484 Orientation selectivity

In V1 about 70% of cells are tuned to the orientation of edge stimuli, the rest having non-orientation-sensitive RFs (Bullier and Henry 1980). The distribution of orientation selectivity across V1 layers is discussed in the section "Diversity of response properties in V1 layers" under "Functional anatomy". Quantitatively, orientation selectivity can be defined by two parameters, circular variance (CV) and bandwidth (BW). The circular variance is a global measure, based on firing rate responses (r) to all orientations (Θ).

1491

1492
$$Circular Variance = 1 - \left|\frac{\sum re^{i2\theta}}{\sum r}\right|$$
 Eq. 3

1493

1494 A CV of zero indicates high orientation selectivity, and CV of 1 no selectivity.

1495 Figure 7 and Supplementary Figure 1 show that the CV in V1 is somewhat higher than

in V2. All datasets in V1 and V2 were from (Goris et al. 2015), and were originally

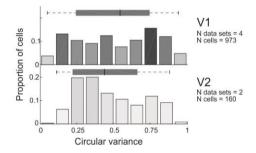
1497 reported as orientation selectivity index (OSI), which we have converted into CV (1-OSI)

1498 for the data shown in Fig. 7. For V5, Albright (1984) reported that 83% (74/89) of units

1499 were tuned for orientation, but, because he quantified orientation tuning as the difference

1500 between the max and min responses, this data could not be converted to CV.

1501



Eq. 4

1502

Figure 7. Circular variance. Conventions are as in Figure 5. Data for V1 are from (Ringach et al. 2002; Gur et al. 2005; Goris et al. 2015) and for V2 from (Goris et al. 2015).

1503

1504 Orientation bandwidth is a local measure, defined as the response distribution close to 1505 the orientation causing the peak response (Figure 8, Supplementary Fig 1).

1506

1507

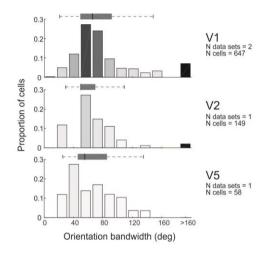
 $BW_{\theta} = \theta_{r_{1/2}max} - \theta_{r_{1/2}min}$

1508 where

1509 $\theta_{r_{1/2}max}$ is the max (min) orientation producing half of the response strength. Studies 1510 reporting BW at $1/2^{1/2}$, or 70.7%, of the peak response (Ringach et al. 2002; Gur et al. 1511 2005) instead of the half-height, were transformed to half-height values by assuming a 1512 Gaussian distribution of the tuning, and multiplying the BW values by the square root of

two. The full bandwidth was reported only by Albright et al. (1984), whereas we doubled the values from other studies which reported the half-bandwidth. The outlier cutoff was set at 160 deg. Fig. 8 and Supplementary Fig. 1 demonstrate that orientation bandwidth is slightly wider in V1 than V2, but bandwidth in V5 is not significantly different from V1 and V2.

1518



1519

Figure 8. Orientation bandwidth. Conventions are as in Figure 5. Data for V1 are from (Ringach et al. 2002; Gur et al. 2005), for V2 from (Levitt, Kiper, et al. 1994), and for V5 from (Albright 1984).

1520

1521 Direction selectivity

1522 The direction selectivity index (DSI) reported in Figure 9, is based on nine previous

1523 studies. The DSI is defined as follows:

1524

1525
$$DSI = 1 - \frac{r_{null direction}}{r_{preferred direction}}$$
Eq. 5

1526

1527 A DSI = 0 indicates a non-direction selective cell and a DSI =1 a highly directionally

selective cell. Values >1 appear when the minimal response to stimuli moving in the non-

preferred direction is below the spontaneous firing rate. One study (De Valois, Yund, et al.71

1530 1982) reported V1 DSI as null/preferred response; in this case were recalculated the DSI

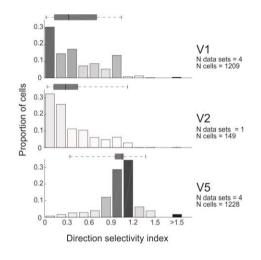
values according to Eq. 5. De Valois et al. did not report values when the null response

1532 was below baseline, leading to a max DSI value of 1. However, a similar secondary peak

at 1 in V1 was observed in two other data sets. The distribution in Figure 9 did not change

1534 much with the De Valois et al. dataset removed (222 cells).

1535



1536

Figure 9. Direction selectivity index. Conventions are as in Figure 5. Data for V1 are from (De Valois, Yund, et al. 1982; Albright 1984; Movshon and Newsome 1996; Wang and Movshon 2016), for V2 from (Levitt, Kiper, et al. 1994), and for V5 from (Maunsell and Van Essen 1983c; Albright 1984; Movshon and Newsome 1996; Wang and Movshon 2016).

1537

Direction selectivity clearly differs in different areas. Most cells in V1 and V2 are poorly or moderately directionally selective, and the two areas show overlapping distribution, whereas most cells in V5 are strongly directionally selective.

1541

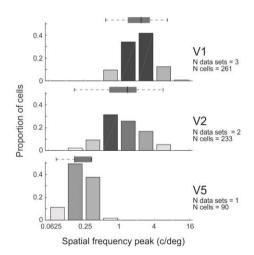
1542 Spatial frequency selectivity

In contrast to the retinogeniculate pathway, where most cells show low-pass
characteristics, in visual cortex the majority of cells are band-pass tuned (De Valois,
Albrecht, et al. 1982). Spatial frequency (SF) has typically been described by two
72

1546 parameters, the optimal response (peak) and the bandwidth.

1547 Figure 10 shows the distribution of peak SFs in the three cortical areas. The 1548 eccentricities of the recorded neurons varied a little between studies, but the range was 1549 similar: 2-5° in V1, 0-5° in V2, and 0-8° in V5. The large variability in peak SF precluded 1550 setting the outlier threshold at the same cutoff value for all areas, because the low outlier 1551 cutoff in V1 (<0.5, De Valois et al., 1982a) encompassed most data in V5. The number of 1552 outliers in the original data were low, 4 cells (1.5%) in V1, 22 (9.4%) in V2, and none in 1553 V5, thus them could only minimally skew the data in Figure 10. 1554 The peak SF differed significantly between areas, with the highest values in V1, and 1555 progressively lower values in V2 and V5 (Suppl. Fig. 1). Functionally this suggests that 1556 the three areas co-operate to detect a wide range of SFs. Because the low SFs would be 1557 lost after band-pass filtering in V1, these data in addition suggest either that V2 and V5 1558 have direct access to visual information from LGN (as, indeed, reported in the anatomy 1559 section above) or that the few units in V1 tuned to low SFs have high response gain.

1560



1561

Figure 10. Spatial frequency peak. Conventions are as in Figure 5. Data for V1 are from (De Valois, Albrecht, et al. 1982; Foster et al. 1985), for V2 from (Levitt, Kiper, et al. 1994), and for V5 from (Yuan et al. 2014).

1562

Datasets on the SF bandwidth are limited, due to different metrics used to report it in different studies (Fig. 11). The bandwidth in Fig. 11 is reported as full width at halfheight, on a logarithmic scale (octaves, *i.e.* log₂):

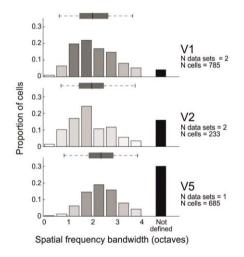
1566

1567
$$BW_{SF} = SF_{r_{1/2}max} - SF_{r_{1/2}min}$$
 Eq. 6

1568

V5 has significantly wider SF bandwidth compared to V1 and V2 (Suppl. Fig. 1). In addition, higher areas showed increasingly higher numbers of cells whose SF bandwidth could not be defined (black bars), as their response did not drop to half of the maximum response on either side of the peak. Cells with such wide-band tuning could be sensitive to sharp edges.

1574



1575

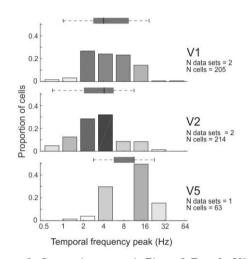
Figure 11. Spatial frequency bandwidth. Conventions are as in Figure 5. Data for V1 are from (Foster et al. 1985; Wang and Movshon 2016), for V2 from (Foster et al. 1985; Levitt, Kiper, et al. 1994), and for V5 from (Wang and Movshon 2016).

1576

- 1578 Temporal frequency selectivity
- 1579 Peak temporal frequency (TF) was characterized in five studies (Fig. 12). The original 74

- 1580 data have sparse bins, and small numbers of cells, hampering comparison between areas.
- 1581 V5 significantly prefers higher optimal TFs compared to V1 and V2, whereas V2 shows a
- 1582 distribution with somewhat lower TF values than V1 (Suppl. Fig. 1).

1583



1584

Figure 12. Temporal frequency peak. Conventions are as in Figure 5. Data for V1 are from (Foster et al. 1985; Hawken et al. 1996), for V2 from (Foster et al. 1985; Levitt, Kiper, et al. 1994), and for V5 from (Yuan et al. 2014).

1585

The TF bandwidth (Fig. 13) was characterized in the literature only for a minority of cells, most not reaching the threshold of 50% response strength, and most of them having low-pass temporal response function. The TF bandwidth was significantly wider in V1 than in V2 (Suppl. Fig. 1). We found no published data for V5.

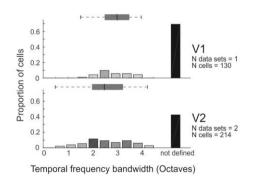




Figure 13. Temporal frequency bandwidth. Conventions are as in Figure 5. Data for V1 are from (Foster et al. 1985), and for V2 from (Foster et al. 1985; Levitt, Kiper, et al. 1994).

1591

1593

1594 Contrast response function

1595 The contrast response function is quantified using the following equation

1596

1597
$$R = b + \frac{c^{\gamma}}{c^{\gamma} + c_{50}^{\gamma}}$$
 Eq. 7

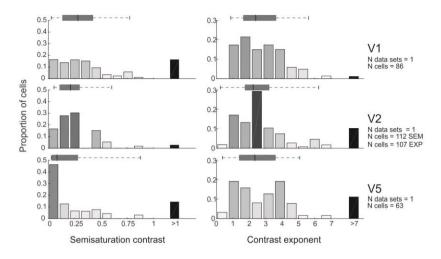
1598

1599 where *R* is response, *b* baseline firing rate and *C* contrast (independent variable). The 1600 fitted variables are γ , the exponent, and C_{50} , the semisaturation contrast, i.e. the contrast 1601 value at which the response curve reaches 50% of its maximum value.

Figure 14 shows the distributions of semisaturation contrast and contrast exponent for areas V1, V2 and V5. For each study we reviewed both parameters. The only logarithmic semisaturation contrast plot (V2) in (Levitt, Kiper, et al. 1994) was turned into a linear scale, for comparison.

Figure 15 visualizes the normalized contrast response functions attainable with the median exponent and semisaturation contrast for each area. Figures 14 and 15 as well as Suppl. Fig 1 demonstrate that V5 has significantly higher contrast sensitivity than the two other areas, followed by V2 and V1. This is due to the varying semisaturation contrast, whereas the median exponents are similar in the three areas.

Figure 16 shows that there is a similar distribution of maximum firing rates across the cell population in V1 and V5. The original data were binned at 10 spikes/s and peaked between 10 and 20 Hz in both V1 and V5, with about 50% drop in the 0-10 Hz bin (Sclar et al. 1990).

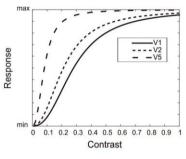


1615

Figure 14. Semisaturation contrast and contrast exponent of the contrast response function. Conventions are as in Figure 5. Data for V1 are from (Sclar et al. 1990), for V2 from (Levitt, Kiper, et al.

1994), and for V5 from (Sclar et al. 1990).

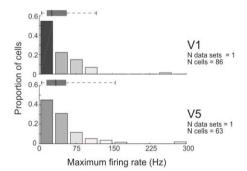
1616



1617

Figure 15. Contrast response function. Based on the median parameters of the data reported in Figure 14.

1618



1619

Figure 16. Maximum firing rate. Stimuli were sinusoidal gratings at 120 cd/m2 luminance and saturating contrast; the grating orientation, spatial frequency, motion direction and speed were optimized for each cell. Conventions are as in Figure 5. Data for V1 and V5 are from (Sclar et al. 1990).

1621 Higher-order feature selectivity in V2 and V5

1622 In addition to the low-level feature selectivity, neurons in V2 and V5 become selective 1623 to more complex RF features. These higher-order features are related presumably to 1624 pattern, object, speed and depth computations in V2 and V5.

1625 About one third of V2 cells are selective for complex gratings or forms (Hegdé and 1626 Van Essen 2000). These responses are dependent on anisotropic orientation sensitivity in 1627 the classical RF and its surroundings (Ito 2004; Anzai et al. 2007). Most V2 cells (63%) 1628 are sensitive to natural texture statistics, i.e. in the higher order correlation of image 1629 features across spatial frequencies, orientations and positions. This is in sharp contrast to 1630 V1, where only 15% show such selectivity (Freeman et al. 2013). Interestingly, this 1631 increased sensitivity in V2 derives from stronger surround suppression from non-natural 1632 (gratings, noise) than from natural texture stimuli (Ziemba et al. 2018).

Stereoscopic depth perception is dependent on relative disparity between the retinal images of the two eyes. While V1, V2 and V5 all have cells which are tuned for retinal disparity, only the cells in V2 and V5 contribute to depth perception (Maunsell and Van Essen 1983a; DeAngelis et al. 1998; Nienborg 2006). Another depth cue, motion parallax, arises from self-motion in stationary surroundings. Eighteen percent of V5 cells contribute significantly to behavioral judgments based on motion parallax (Kim et al. 2015).

1640 The V2 cells assign contrast edges to particular object, or code "border ownership", 1641 more often than V1 cells (59% in V2 vs 18% in V1; Zhou et al. 2000). This may be 1642 related to emergent segregation of objects from background in V2.

Many V5 cells become more sensitive to motion of a whole pattern than motion of the components of the pattern. Estimates for the proportion of cells which prefer pattern motion in macaque V5 range from 23-25%, whereas such cells are rare in V1 (Movshon

1646 et al. 1985; Wang and Movshon 2016).

1647

1648 Neuronal membrane physiology

The neuronal membrane physiology has not been systemically studied in macaque 1649 1650 visual cortex. Some parameters have been extracted from prefrontal cortex, but given the 1651 structural differences of neurons in different areas (Elston 2003; Luebke 2017) it is 1652 unclear whether such values are relevant for the visual areas considered in this review, 1653 therefore, those data were excluded. In visual cortices, some biophysical parameters are 1654 available for V1 L3 pyramidal neurons (Table 6, Amatrudo et al., 2012; Luebke et al., 1655 2015; Gilman et al., 2017). While all neurons have tonic regular spiking patterns, many 1656 also show phasic activity. Amatrudo et al. (2012) tuned a model neuron to the structure of 1657 a single pyramidal cell providing an example table of biophysical model parameters (their 1658 Table 1).

 Table 6. Biophysical parameters for area V1 L3 pyramidal neurons. Data from Amatrudo

 et al., 2012; Luebke et al., 2015; Gilman et al., 2017). sEPSC, sIPSC= spontaneous EPSP,

 IPSP. Mean (range) across studies.

Membrane time constant (ms)	23 (19–28)
Input resistance (Mohm)	238 (205–285)
Resting membrane potential (mV)	-66 (-66.4 – -65.8)
Action potential threshold (mV)	-42 (-42.841.8)
Rheobase (pA)	82 (79– 87)
sEPSC freq (Hz)	1.4 (1.2 – 1.5)
rise (ms)	1.1 (1.0 – 1.2)
decay (ms)	4.7 (4.2 – 5.4)
amplitude (pA)	6.7 (6.4 – 7.3)
sIPSC_freq (Hz)	0.33
rise (ms)	2.8
decay (ms)	7.6
amplitude (pA)	20

1660

1661

In V1 L3 pyramidal cells, a depolarizing current step of 80 pA evokes on average (SD) a 14.9 (1.8) Hz response, and a 180 pA current step evokes a 19.6 (2.4) Hz response, clearly higher than in prefrontal cortex (Gilman et al. 2017). This is likely due to the smaller cell size, and thus membrane capacitance, in visual than prefrontal cortex, resulting in more responsive neurons to the same input current.

1668 **The need for future quantitative studies**

We have reviewed the literature and summarized quantitative data about the structure and function of, and interactions between macaque visual areas V1, V2 and V5. Although available data are insufficient to support a complete quantitative microcircuit diagram, it, however, allows to construct a binary diagram, including partial data on relative connection strength, which allows to identify dominant and sparse connections in a microcircuit, helping to constrain the parameter search spaces for numerical simulations.

1675 This review omits several areas in the occipital lobe, such as V3, V3A, V6/PO and V4. 1676 These areas lack either a unique definition (Angelucci and Rosa 2015; Angelucci et al. 1677 2015; Gamberini et al. 2015; Zhu and Vanduffel 2019), clear homologues between 1678 humans and macaques (Kaas 1992; Tootell et al. 1997; Hadjikhani et al. 1998), thus 1679 precluding prospective generalization of the model system to humans, or have not been 1680 sufficiently studied to justify their inclusion into a quantitative review. The exclusion of 1681 these visual areas naturally limits the type of visual analysis that can be expected from a 1682 model and may also lead to inaccuracies in the model receptive fields, if the latter are 1683 shaped by feedback in the real biological system.

1684 In the early nineties, Felleman and Van Essen (1991) provided a binary diagram of 1685 connections between macaque visual cortical areas and studied their mutual hierarchy. The CoCoMac database (Stephan et al. 2001; Kötter 2004; Bakker et al. 2012) later 1686 1687 provided online access to interareal anatomical tract tracing data. Later these connections 1688 were studied quantitatively, revealing the dense connectome between areas (Barone et al. 1689 2000; Markov et al. 2011; Markov, Ercsey-Ravasz, et al. 2014). The macroscopic scale is 1690 however insufficient for model simulations aiming to replicate single neuron function. 1691 Instead, we need a model at the microcircuit level.

1693 Missing parts for a synthetic blueprint

Much information is still missing in order to build a comprehensive model of the macaque visual cortex. First, we need information on which cell types contact which other cell types, making how many synapses, and the probability distribution of synapses along the dendritic tree. Unfortunately, the construction of such a detailed connectome directly from anatomical data is not technically possible, because no current method allows reading and visualizing massive anatomical volumes at synaptic resolution.

1700 Fortunately, partial data samples may allow us to extract statistical rules which could 1701 lead to the establishment of a representative connectome and synaptome of a neural 1702 system (DeFelipe 2010, 2015). Two studies, both in rat somatosensory cortex, have 1703 presented approaches to build a comprehensive model from sparse connectivity data. In 1704 the first study, Egger et al. (2014) combined experimental anatomical volumetric data, 1705 soma distributions, examples of neuron type specific morphologies (axonal and dendritic 1706 fields), relative frequency of neuron types, and subcellular structural connectivity data 1707 between cell types. This subcellular connectivity included neuron-type specific density of 1708 postsynaptic targets, separately for the soma and apical- and basal dendrites. Using these 1709 data, the software calculated dense instantiations of a microcircuit, which were available 1710 for numerical simulations (such as Landau et al., 2016). In the second study, Reimann et 1711 al. (2015) build microcircuit models based on five types of data. The first defines 1712 morphological neuron types, and their local density distributions. Then, they estimate the 1713 total length of axons, and the density of boutons on the axons for each type of neuron. For 1714 each connection between two neuron types, the approach requires connection probability 1715 and the mean and standard deviation of number of synapses per connection. This 1716 algorithm was later used to build a comprehensive microcircuit model of rat 1717 somatosensory cortex (Markram et al. 2015).

Table 7 lists some of the key data that are still missing for macaque. In the literature there are many anatomical tracing studies of interareal connections. Unfortunately, there are only partial data on the densities of distinct morphological neuron types in different layers for V1 and none for V2 or V5. Moreover, inhibitory cell types have not been quantified by layer, and quantitative data of dendritic length and bouton or synapse numbers on different cell types, and in different layers, are missing.

A recent cluster analysis of V1 L6 neurons provides a sense of the correlations between functional parameters (Hawken et al. 2019). The study reports six major clusters of RF properties, on the basis of simple/complex RFs (f0/f1 modulation;(Skottun et al. 1727 1991), direction selectivity, and temporal frequency tuning. Such cluster analyses for other layers could reveal the inter-parameter correlations, which may significantly limit a 1729 model's parameter search space.

1730 In addition, we are missing subcellular data on synaptic connection strengths, although 1731 it is likely that the latter are also dynamically adjusted by homeostasis (Turrigiano et al. 1732 1998; van Rossum et al. 2000; Turrigiano 2008). For state-of-the-art Hodgkin-Huxley 1733 membrane voltage dynamics, we would, additionally, need information on the relative 1734 density of distinct ion channels. For a comprehensive multicompartmental model, the 1735 detailed dendritic morphology of distinct cell types would be required in digital format. 1736 Some data are available at http://neuromorpho.org/index.jsp mainly for V1 L6 pyramidal 1737 and spiny stellate neurons (Briggs et al. 2016) and L3 pyramidal cells (Luebke et al. 1738 2015).

 Table 7. Missing data for macaque V1, V2, V5 needed for microcircuit reconstruction.

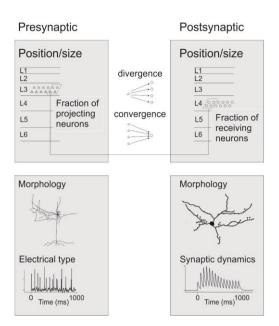
 PC: pyramidal cell

Parameter	Missing data
Total N neurons by layer	V2, V5
Excitatory cell type counts by layer	$\ensuremath{\text{PC}}$ subtypes sparse for V1, missing for V2 and
	V5
Inhibitory cell type counts by layer	V1, V2, V5
Interlaminar cell type specific connectivity	Partial data for V1, sparse for V2, missing for V5
Horizontal distribution of local axons	Partial data for V1, V2 and V5
Axonal structure, incl. N boutons	Sparse data for V1, missing for V2 and V5 $$
Dendritic structure	Partial data for V1, V2, missing for V5
Neural membrane electrophysiology	Sparse data for V1, missing for V2 and V5
Cell type specific neural structural model	V1, V2, V5

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1741

1742 Fortunately, a complete microcircuit connectome is not necessary for simplified model 1743 simulations. Simplified models allow avoiding unnecessary complexity for some research 1744 questions and increase computational efficiency (Hokkanen et al. 2019). Figure 17 1745 presents two levels of connection detail that can be implemented in a model. If the neural 1746 model is point-like, with fixed synaptic dynamics and firing patterns, the required level of 1747 description of the system is the identification of pre- and postsynaptic neuron types, the 1748 fraction of pre- and postsynaptic neurons contacting each other, the number of synapses 1749 per connection, and the amount of divergence and convergence in interareal connectivity 1750 (Fig. 17 top). However, more detailed and biologically realistic models require additional 1751 details on the morphology and firing rate statistics of the presynaptic neuron, as well as 1752 synapse location and dynamics of the postsynaptic neurons (Fig. 17 bottom).



1754

Figure 17. Describing connections between two neuron groups in silico. A) A simple point-like phenomenological neural model with fixed synaptic dynamics only needs to incorporate data on position and population size as well as data on the divergence and convergence of connections. B) A more comprehensive, biophysically meaningful, multicompartmental model requires, in addition, data on the cellular morphology, distribution of synapses, and electrical types of neurons and synaptic dynamics.

1755

1756 Studies on anatomical tracer injections targeted to specific CO compartments of V1 or 1757 V2, have typically reported the percentages of resulting labeled neurons in each 1758 compartment. To calibrate such measures, we need data on the density of projecting 1759 fibers/mm² of cortical surface area for each V2 compartment. For V1, where distinct 1760 layers have unique projection patterns, such data should also indicate the amount of 1761 projecting fibers/mm³ of cortex. Given the known layer thickness, one would thus be able 1762 to estimate the amount of projecting fibers.

1763

1764 Filling in missing macaque data for numerical simulations

For missing local connectivity data for macaque visual cortex, a theoretician is forced to use available data from other mammalian species, such as cat, rat and mouse, whose

local connections have been studied more extensively (Thomson and Lamy 2007;
Markram et al. 2015). In addition, neural cell membrane electrophysiology has been
studied more extensively in rodents (Markram et al. 1998; Thomson and Destexhe 1999;
Gupta et al. 2000), and there is a clear underrepresentation of monkey data in the
neuroinformatics databases, such as NeuroElectro at http://neuroelectro.org/.

1772 Binzegger et al. (2004) collected local structural data for cat primary visual cortex, and 1773 suggested a canonical microcircuit diagram based on those data and remaining 1774 assumptions. For this diagram one needs essentially three quantities: the number of each 1775 neuron type in each layer, each neuron type's average dendritic length in each layer, and 1776 the number of synapses formed by each cell type in each layer. Moreover, one needs to 1777 assume that synapses form between cell types with equal probability (Peters' rule, Braitenberg and Schuz, 1998). However, many parameters remain uncertain in such a 1778 1779 diagram. For example, how synapses are distributed onto the postsynaptic cells' dendritic 1780 trees, the short-term synaptic dynamics and potential for long-term plasticity in distinct 1781 neuron types, potential local anatomical anisotropies, such as those of patchy local 1782 horizontal connections.

1783 Thomson et al (2002) provided local structural network data for rat and cat cortex, 1784 together with connection strength measured by dual or triple intracellular 1785 electrophysiological recordings in cortical slices. Most differences between species were 1786 just scale differences. Thomson et al (2002) reported apparent deviations from Peter's 1787 rule, particularly an asymmetry between interlaminar FF (e.g. L4 to 3 and L3 to 5) and 1788 FB projections (e.g. from L3 to L4, and from L5 to L3). The excitatory targets of FF 1789 connections were primarily the larger pyramidal neurons; the FB targets were horizontally 1790 more diffuse than the FF targets, and the FB-induced EPSPs were very small, below 1791 threshold. Moreover, FB connections were stronger onto inhibitory than onto excitatory

neurons, the latter being generally very sparse. The authors suggested that this asymmetryprevents reverberating excitation within the local circuit.

1794 Interspecies differences may unfortunately hamper the ability to supplement a monkey 1795 model with rodent data (Luebke 2017). For example, the basket cells show lower input 1796 resistance and higher firing thresholds in rat compared to monkey, causing them to have 1797 lower excitability in rats than monkeys (Povysheva et al. 2008).

1798 Schmidt et al. (2017) estimated the quantitative anatomical connectivity of macaque 1799 visual areas by combining CoCoMac databases (Stephan et al. 2001; Bakker et al. 2012) 1800 with the fraction of supragranular presynaptic neurons in a source area (Barone et al. 1801 2000; Markov et al. 2011; Markov, Vezoli, et al. 2014) and an exponential distance rule 1802 (Ercsey-Ravasz et al. 2013). As local connectivity data from macaque were largely 1803 missing, the model of Schmidt et al. (2017) used Potjans and Diesmann's (2014) local 1804 microcircuit model, which was based on data from rats and cats. While Schmidt et al. 1805 (2017) provided a full graph of connections of macaque visual cortex, as well as explicit 1806 tables of the heuristics and assumptions included in their model, our reported anatomical 1807 data are different. We provide the details of published experimental data, including the 1808 rich substructure and layer-specific connectivity. Although we provide relative rather than 1809 absolute connection strengths, and there are uncertainties about the completeness of laver-1810 specific connectivity graphs between two areas, our work goes one step forward 1811 compared to existing interareal connectivity graphs (Felleman and Van Essen 1991; 1812 Markov, Ercsey-Ravasz, et al. 2014).

In conclusion, here we have collated data from the literature with the goal of facilitating construction of biophysically meaningful models of macaque visual cortex and validation of such models by numerical simulation of neuronal RF properties. In the short run, it will be challenging to establish how the model structure gives rise to RF

- 1817 properties that resemble those measured in the real cortex, because multiple unknown
- 1818 factors affect RF responses. In the long run, however, a comprehensive model could
- 1819 nevertheless help elucidate the relation between macroscopic activation, local spiking and
- 1820 signal processing in a neural population.

1822

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