

# Constructing canonical bases of quantized enveloping algebras

Willem A. de Graaf  
 Mathematical Institute,  
 University of Utrecht,  
 Utrecht,  
 The Netherlands

## Abstract

An algorithm for computing the elements of a given weight of the canonical basis of  $U_q(\mathfrak{g})$  is described.

Define  $U_q(\mathfrak{g})$ ,  $U^-$ , weight of an element, Weyl group,  $s_i = s_{\alpha_i}$ ,  $[m]!_{\alpha}$ ,  $\Phi$ ,  $\Delta$ .

## 1 The canonical basis

We work in the subalgebra  $U^-$  of  $U_q(\mathfrak{g})$ . Let  $w_0 = s_{i_1} \cdots s_{i_t}$  be a reduced expression of the longest element in the Weyl group. For  $1 \leq k \leq t$  let  $T_{i_k} = T_{\alpha_{i_k}} : U_q(\mathfrak{g}) \rightarrow U_q(\mathfrak{g})$  be the automorphism defined in [3], 8.13. Set  $F_k = T_{i_1} \cdots T_{i_{k-1}}(F_{\alpha_{i_k}})$ . Then  $F_k$  is an element of weight  $\beta_k = s_{i_1} \cdots s_{i_{k-1}}(\alpha_{i_k})$ . We also denote  $F_k$  by  $F_{\beta_k}$ . As usual we set  $F_k^{(m)} = F_k^m / [k]!_{\alpha_{i_k}}$ . Then the monomials

$$F_1^{(n_1)} \cdots F_t^{(n_t)} \tag{1}$$

form a basis of  $U^-$ . This basis is called a PBW-type basis, and we call a monomial of the form (1) a PBW-monomial (relative to the chosen reduced expression of the longest element of the Weyl group). We have algorithms

for writing the product of any two PBW-monomials as a linear combination of PBW-monomials ([2]).

Let  $x$  be a monomial of the form (1). Then to stress the dependency of  $x$  on the choice of reduced expression for the longest element of the Weyl group, we say that  $x$  is a  $w_0$ -monomial. We refer to the exponents  $n_1, \dots, n_t$  as the first, second,  $\dots$ ,  $t$ -th exponent of  $x$ .

Let  $\alpha \in \Delta$ . The Kashiwara operators  $\tilde{F}_\alpha, \tilde{E}_\alpha : U^- \rightarrow U^-$  are defined as follows. Let  $w_0 = s_{i_1} \cdots s_{i_t}$  be a reduced expression of the longest element of the Weyl group, such that  $\alpha_{i_1} = \alpha$ . Let  $u$  be a  $w_0$ -monomial with exponents  $n_1, \dots, n_t$ . Then  $\tilde{F}_\alpha(u) = F_1^{(n_1+1)} \cdots F_t^{(n_t)}$ , and  $\tilde{E}_\alpha(u) = F_1^{(n_1-1)} \cdots F_t^{(n_t)}$ , if  $n_1 > 0$ , and  $\tilde{E}_\alpha(u) = 0$  otherwise. (Note that  $F_1 = F_\alpha$ .) The action of  $\tilde{F}_\alpha, \tilde{E}_\alpha$  is extended to the whole of  $U^-$  by linearity. It can be shown that this definition does not depend on the choice of reduced expression of the longest element in the Weyl group (cf. [3], 10.1).

Let  $A$  be the ring consisting of all elements of  $\mathbb{Q}(q)$  without pole at 0. Let  $\mathcal{L}(\infty)$  be the  $A$ -lattice generated by the elements  $\tilde{F}_{\alpha_{k_1}} \cdots \tilde{F}_{\alpha_{k_m}}(1)$ , for  $m \geq 0$ . We consider the vector space  $\mathcal{L}(\infty)/q\mathcal{L}(\infty)$ , and we let  $\mathcal{B}(\infty) \subset \mathcal{L}(\infty)/q\mathcal{L}(\infty)$  be the set of all nonzero cosets  $\tilde{F}_{\alpha_{k_1}} \cdots \tilde{F}_{\alpha_{k_m}}(1) + q\mathcal{L}$ . Then  $\tilde{F}_\alpha$  maps  $\mathcal{B}(\infty)$  into itself and  $\tilde{E}_\alpha$  maps  $\mathcal{B}(\infty)$  into  $\mathcal{B}(\infty) \cup \{0\}$ . Furthermore,  $\tilde{E}_\alpha \tilde{F}_\alpha(b) = b$  for all  $b \in \mathcal{B}(\infty)$ . Also, if  $\tilde{E}(b) \neq 0$ , then  $\tilde{F}_\alpha \tilde{E}_\alpha(b) = b$  ([3], Proposition 10.12).

Now we let  $\bar{\phantom{x}}$  be the unique automorphism of  $U^-$  (viewed as  $\mathbb{Q}$ -algebra) satisfying  $\bar{q} = q^{-1}$  and  $\bar{F}_{\alpha_i} = F_{\alpha_i}$ . Elements that are invariant under  $\bar{\phantom{x}}$  are said to be bar-invariant. The bar-invariant elements include all monomials  $F_{\alpha_{i_1}}^{(n_1)} \cdots F_{\alpha_{i_r}}^{(n_r)}$ .

Let  $\pi : \mathcal{L}(\infty) \rightarrow \mathcal{L}(\infty)/q\mathcal{L}(\infty)$  denote the projection map. Then for each  $b \in \mathcal{B}(\infty)$  there is a unique  $G(b) \in \mathcal{L}(\infty)$  such that  $\pi(G(b)) = b$ , and  $G(b)$  is bar-invariant (cf. [3], Theorem 11.10). The set of all  $G(b)$  for  $b \in \mathcal{B}(\infty)$  is denoted by  $\mathbf{B}$ . It forms a basis of  $U^-$ , called the canonical basis.

Now by results of Lusztig (e.g., [8] Theorem 42.1.10, [9], Proposition 8.2) we have that  $\mathcal{L}(\infty)$  is spanned by all PBW-monomials (relative to any fixed reduced expression of the longest element in the Weyl group). Furthermore,  $\mathcal{B}(\infty)$  consists of the cosets of the PBW-monomials. If  $b \in \mathcal{B}(\infty)$  is the coset of the PBW-monomial  $x$ , then  $G(b) = x + \sum_i \zeta_i x_i$ , where the  $x_i$  are PBW-monomials, and  $\zeta_i \in q\mathbb{Z}[q]$ . We call  $x$  the *principal monomial* of  $G(b)$ .

Fix a simple root  $\alpha$  and consider the action of  $\tilde{F}_\alpha$  on  $\mathcal{B}(\infty)$ . We have that  $\mathcal{B}(\infty)$  consists of the cosets of all PBW-monomials relative to a fixed reduced

expression  $w_0$  of the longest element of the Weyl group. Therefore, if  $x$  is a  $w_0$ -monomial,  $\tilde{F}_\alpha(x) = x' \bmod q\mathcal{L}(\infty)$ , where  $x'$  is a certain  $w_0$ -monomial. We consider the problem of obtaining  $x'$  from  $x$ .

First we note that if  $w_0$  happens to start with  $s_\alpha$ , then  $x'$  is constructed from  $x$  by increasing the first exponent of  $x$  by 1. Now suppose that  $w_0$  does not start with  $s_\alpha$ . Let  $\tilde{w}_0$  be a different reduced expression for the longest element of the Weyl group. Then there is a  $\tilde{w}_0$ -monomial  $\tilde{x}$  such that  $x = \tilde{x} \bmod q\mathcal{L}(\infty)$ . In analogy with Lusztig's notation (see [8], [7]), we write  $\tilde{x} = R_{\tilde{w}_0}^{\tilde{w}_0}(x)$ . If we can find  $\tilde{x}$  from  $x$ , then the problem of calculating  $\tilde{F}_\alpha(x)$  is solved. Indeed, let  $\tilde{w}_0$  be a reduced expression for the longest element of the Weyl group, starting with  $s_\alpha$ . We find the  $\tilde{x} = R_{\tilde{w}_0}^{\tilde{w}_0}(x)$ , and increase its first exponent by 1. Denote the resulting monomial by  $\tilde{x}'$ . Finally we construct  $x' = R_{\tilde{w}_0}^{w_0}(\tilde{x}')$ . Then  $\tilde{F}_\alpha(x) = x' \bmod q\mathcal{L}(\infty)$ .

We may assume that  $\tilde{w}_0$  can be obtained from  $w_0$  by applying one braid relation. Suppose that this relation amounts to replacing  $s_\alpha s_\beta \cdots$  by  $s_\beta s_\alpha \cdots$ , where both words are of length  $d$ . Then  $d = 2, 3, 4$  or  $6$ . Suppose that the first word occurs in  $w_0$  on positions  $p, p+1, \dots, p+d-1$ . Write  $x = F_1^{(m_1)} \cdots F_t^{(m_t)}$  and  $\tilde{x} = F_1^{(m'_1)} \cdots F_t^{(m'_t)}$  (where the  $F_i$  in  $\tilde{x}$  are defined relative to  $\tilde{w}_0$ ). We obtain the  $m'_i$  from the  $m_i$  in the following way.

1. If  $d = 2$ , then  $m'_p = m_{p+1}$  and  $m'_{p+1} = m_p$ .
2. If  $d = 3$ , then set  $\mu = \min(m_p, m_{p+2})$ , and  $m'_p = m_{p+1} + m_{p+2} - \mu$ ,  $m'_{p+1} = \mu$ ,  $m'_{p+2} = m_p + m_{p+1} - \mu$ .
3. If  $d = 4$  then suppose that the move consists of replacing  $s_\alpha s_\beta s_\alpha s_\beta$  by  $s_\beta s_\alpha s_\beta s_\alpha$ . Set  $a = m_p$ ,  $b = m_{p+1}$ ,  $c = m_{p+2}$ ,  $d = m_{p+3}$ .
  - (a) If  $\alpha$  is short, then set  $n_1 = \max(b, \max(b, d) + c - a)$ ,  $n_2 = \max(a, c) + 2b$ ,  $n_3 = \min(c + d, a + \min(b, d))$ ,  $n_4 = \min(a, c)$ . Set  $\mu = \max(2n_3, n_2 + n_4)$  and  $m'_p = n_1$ ,  $m'_{p+1} = \mu - n_2$ ,  $m'_{p+2} = n_2 + n_3 - \mu$ ,  $m'_{p+3} = n_4 - 2n_3 + \mu$ .
  - (b) If  $\alpha$  is long, then set  $p_1 = \max(b, \max(b, d) + 2c - 2a)$ ,  $p_2 = \max(a, c) + b$ ,  $p_3 = \min(2c + d, \min(b, d) + 2a)$ ,  $p_4 = \min(a, c)$ . Set  $\mu = \max(p_3, p_2 + p_4)$ , and  $m'_p = p_1$ ,  $m'_{p+1} = \mu - p_2$ ,  $m'_{p+2} = p_3 + 2p_2 - 2\mu$ ,  $m'_{p+3} = p_4 - p_3 + \mu$ .
4. If  $d = 6$ , then we consider the root system of type  $D_4$ , along with its diagram automorphism  $\phi$  of order 3. Let  $\alpha_2$  be the simple root fixed by

$\phi$ , and  $\alpha_1, \alpha_3, \alpha_4$  the other three. Set  $v = s_1 s_3 s_4$ . We use the following two reduced expressions for the longest element in the Weyl group:  $v_0 = v s_2 v s_2 v s_2$  and  $\tilde{v}_0 = s_2 v s_2 v s_2 v$ . Let  $\tilde{U}_q$  be the corresponding quantized enveloping algebra, in which we use the PBW-bases relative to  $v_0$  and  $\tilde{v}_0$ .

For simplicity assume that the root system of  $U_q(\mathfrak{g})$  is of type  $G_2$ . Suppose that the braid relation amounts to replacing  $w_0 = s_\alpha s_\beta s_\alpha s_\beta s_\alpha s_\beta$  by  $\tilde{w}_0 = s_\beta s_\alpha s_\beta s_\alpha s_\beta s_\alpha$ , where  $\alpha$  is long. Corresponding to a  $w_0$ -monomial  $x$  with exponents  $m_1, \dots, m_6$  we construct the  $v_0$ -monomial  $y = \psi_1(x)$  with exponents  $m_1, m_1, m_1, m_2, m_3, m_3, m_3, m_4, m_5, m_5, m_5, m_6$ . Furthermore, to a  $\tilde{w}_0$ -monomial  $\tilde{x}$  with exponents  $m_1, \dots, m_6$  corresponds the  $\tilde{v}_0$ -monomial  $\tilde{y} = \psi_2(\tilde{x})$  with exponents  $m_1, m_2, m_2, m_2, m_3, m_4, m_4, m_4, m_5, m_6, m_6, m_6$ . Now starting with a  $w_0$ -monomial  $x$  we construct (using 1., and 2.) the  $\tilde{v}_0$ -monomial  $\tilde{y} = R_{v_0}^{\tilde{v}_0}(\psi_1(x))$ . Then we have  $R_{w_0}^{\tilde{w}_0}(x) = \psi_2^{-1}(\tilde{y})$ .

Finally, if  $\alpha$  happens to be short, then we follow the same steps, in the reverse order.

First of all, 1., and 2. are proved in [8], 3. can be proved using [7], 12.5, and 4. follows in the same way (see also [1]). At the end of Section 3 we sketch a different proof of 2., 3.

## 2 The path method

We recall some facts on Littelmann's path model. For more details and proofs we refer to [5].

Let  $P$  denote the weight lattice, and let  $X$  be the vector space over  $\mathbb{R}$  spanned by  $P$ . Let  $\Pi$  be the set of all piecewise linear paths  $\xi : [0, 1] \rightarrow X$ , such that  $\xi(0) = 0$ . For  $\alpha \in \Delta$  Littelmann defined operators  $f_\alpha, e_\alpha : \Pi \rightarrow \Pi \cup 0$ . Let  $\lambda$  be a dominant weight and let  $\xi_\lambda$  be the path joining  $\lambda$  and the origin by a straight line. Let  $\Pi_\lambda$  be the set of all  $f_{\alpha_{i_1}} \cdots f_{\alpha_{i_m}}(\xi_\lambda)$  for  $m \geq 0$ . Then  $\xi(1) \in P$  for all  $\xi \in \Pi_\lambda$ . Let  $\mu \in P$  be a weight, and let  $V(\lambda)$  be the highest-weight module over  $U_q(\mathfrak{g})$  of highest weight  $\lambda$ . A theorem of Littelmann states that the number of paths in  $\xi \in \Pi_\lambda$  such that  $\xi(1) = \mu$  is equal to the dimension of the weight space of weight  $\mu$  in  $V(\lambda)$  ([5], Theorem 9.1).

Let  $\nu = \sum_{i=1}^l k_i \alpha_i$  be a linear combination of simple roots, with non-negative integer coefficients. Set  $\lambda = \sum_{i=1}^l k_i \lambda_i$  (where the  $\lambda_i$  are the fundamental weights). Then the dimension of the weight space of weight  $\lambda - \nu$  in  $V(\lambda)$  is equal to the dimension of  $U_{-\nu}^-$ . In particular, the dimension of  $U_{-\nu}^-$  is equal to the number of paths  $\xi \in \Pi_\lambda$  such that  $\xi(1) = \lambda - \nu$ .

Let  $w_0 = s_{i_1} \cdots s_{i_t}$  be a fixed reduced expression of the longest element in the Weyl group. Let  $\nu, \lambda$  be as in the preceding paragraph, and let  $\xi \in \Pi_\lambda$  be such that  $\xi(1) = \lambda - \nu$ . We define a sequence of integers  $\eta_\xi = (n_1, \dots, n_t)$  and a sequence of paths  $\xi_k$  in the following way. First we set  $\xi_0 = \xi$ . Suppose that the elements  $\xi_0, \dots, \xi_{k-1}$  and  $n_1, \dots, n_{k-1}$  are defined. Then let  $n_k$  be maximal such that  $e_{\alpha_{i_k}}^{n_k}(\xi_{k-1}) \neq 0$ , and set  $\xi_k = e_{\alpha_{i_k}}^{n_k}(\xi_{k-1})$ . Following [6] we call  $\eta_\xi$  the adapted string corresponding to  $\xi$  (relative to the fixed reduced expression of the longest element of the Weyl group). Let  $S_\nu$  be the set of adapted strings corresponding to all  $\xi \in \Pi_\lambda$  such that  $\xi(1) = \lambda - \nu$ .

Let  $\eta = (n_1, \dots, n_t) \in S_\nu$  and set

$$M_\eta = F_{\alpha_{i_1}}^{(n_1)} \cdots F_{\alpha_{i_t}}^{(n_t)},$$

and

$$b_\eta = \tilde{F}_{\alpha_{i_1}}^{n_1} \cdots \tilde{F}_{\alpha_{i_t}}^{n_t}(1) + q\mathcal{L}(\infty).$$

Let  $<_{\text{lex}}$  be the lexicographical ordering on integer sequences of length  $t$  (i.e.,  $(m_1, \dots, m_t) <_{\text{lex}} (n_1, \dots, n_t)$  if there is a  $k$  such that  $m_i = n_i$  for  $i < k$ , and  $m_k < n_k$ ). Then [6] Proposition 10.4 states

$$M_\eta = G(b_\eta) - \sum_{\substack{\eta' >_{\text{lex}} \eta \\ \eta' \in S_\nu}} c_{\eta, \eta'} G(b_{\eta'}), \quad (2)$$

where  $c_{\eta, \eta'} \in \mathbb{Z}[q, q^{-1}]$ .

In the sequel we write  $f^\eta(\xi_\lambda)$  instead of  $f_{\alpha_{i_1}}^{n_1} \cdots f_{\alpha_{i_t}}^{n_t}(\xi_\lambda)$ , where  $\eta = (n_1, \dots, n_t)$ .

### 3 Constructing canonical basis elements

Here we describe an algorithm for computing the elements of the canonical basis of a given weight.

By  $<_{\text{lex}}$  we denote the lexicographic ordering on the PBW-monomials of  $U^-$  (i.e.,  $F_1^{(m_1)} \cdots F_t^{(m_t)} <_{\text{lex}} F_1^{(n_1)} \cdots F_t^{(n_t)}$  if and only if  $(m_1, \dots, m_t) <_{\text{lex}} (n_1, \dots, n_t)$ ).

Let  $x$  be a PBW-monomial; then by  $b_x$  we denote the element of  $\mathcal{B}(\infty)$  such that  $G(b_x)$  has principal monomial  $x$ . Also by  $\varepsilon_\alpha(x)$  we denote the maximal integer  $n$  such that  $\tilde{E}_\alpha^n(b_x) \neq 0$ . Note that if  $x$  is a  $w_0$ -monomial, where  $w_0$  starts with  $s_\alpha$ , then  $\varepsilon_\alpha(x)$  is equal to the first exponent of  $x$ .

**Proposition 1** *Let  $w = s_{\alpha_{i_1}} \cdots s_{\alpha_{i_r}}$  be a reduced word in the Weyl group of  $\Phi$ . Let  $w_0$  be any reduced expression for the longest element in the Weyl group starting with  $w$ . Let*

$$x = F_{\alpha_{i_1}}^{(n_1)} T_{\alpha_{i_1}} (F_{\alpha_{i_2}})^{(n_2)} \cdots (T_{\alpha_{i_1}} \cdots T_{\alpha_{i_{r-1}}}) (F_{\alpha_{i_r}})^{(n_r)}$$

*be a PBW-monomial in  $U^-$ . Then  $G(b_x)$  is equal to  $x$  plus a  $q\mathbb{Z}[q]$ -linear combination of  $w_0$ -monomials  $y$  such that  $y >_{\text{lex}} x$ .*

In the proof we use two direct sum decomposition of  $U^-$  relative to a simple root  $\alpha$ :

$$U^- = U^- \cap T_\alpha(U^-) \oplus F_\alpha U^-, \quad (3)$$

$$U^- = U^- \cap T_\alpha^{-1}(U^-) \oplus U^- F_\alpha, \quad (4)$$

(cf. [3], 8.25, [9]). We have the corresponding projection maps  $\pi_\alpha^+ : U^- \rightarrow U^- \cap T_\alpha(U^-)$  and  $\pi_\alpha^- : U^- \rightarrow U^- \cap T_\alpha^{-1}(U^-)$  (cf. [9]). These maps can be described as follows. Let  $w_0 = s_{\alpha_{i_1}} \cdots s_{\alpha_{i_t}}$  be a reduced expression for the longest element in the Weyl group, where  $\alpha_{i_1} = \alpha$ . We have that  $U^- \cap T_\alpha(U^-)$  is the linear span of all  $w_0$ -monomials  $y$ , such that the first exponent of  $y$  is zero. Now let  $u \in U^-$  and write  $u$  as a linear combination of  $w_0$ -monomials. Then  $u = u_1 + u_2$ , where  $u_1$  consists of  $w_0$ -monomials with first exponent zero, and  $u_2$  is a linear combination of  $w_0$ -monomials with first exponent  $\geq 1$ . Hence  $\pi_\alpha^+(u) = u_1$ .

Set  $v = s_{\alpha_{i_2}} \cdots s_{\alpha_{i_t}}$ , and let  $\beta$  be a simple root such that  $v(\beta) > 0$ . We set  $\tilde{w}_0 = vs_\beta$ ; then  $\tilde{w}_0$  is also a reduced expression for the longest element of the Weyl group. We have  $v(\beta) > 0$ , but  $s_\alpha v(\beta) < 0$ , so that  $v(\beta) = \alpha$ . Hence  $T_v(F_\beta) = F_\alpha$  (cf. [3], Proposition 8.20). Furthermore,  $U^- \cap T_\alpha^{-1}(U^-)$  is the linear span of all  $\tilde{w}_0$ -monomials with  $t$ -th exponent zero. This means that we can decompose  $u \in U^-$  according to the decomposition (4) by writing  $u = u_1 + u_2$ , where  $u_1$  is a linear combination of  $\tilde{w}_0$ -monomials with  $t$ -th exponent zero, and  $u_2$  consists of  $\tilde{w}_0$ -monomials with  $t$ -th exponent  $\geq 1$ . Then  $\pi_\alpha^-(u) = u_1$ .

We have that  $B_\alpha^+ = \pi_\alpha^+(\mathbf{B} \setminus \mathbf{B} \cap F_\alpha U^-)$  is a basis of  $U^- \cap T_\alpha(U^-)$ , and  $B_\alpha^- = \pi_\alpha^-(\mathbf{B} \setminus \mathbf{B} \cap U^- F_\alpha)$  is a basis of  $U^- \cap T_\alpha^{-1}(U^-)$  (cf. [9]). Now [9], Theorem 1.2 states that

$$T_\alpha(B_\alpha^-) = B_\alpha^+. \quad (5)$$

**Proof.** (Of Proposition 1). We use induction on  $r$ . Note that the result is trivial for  $r = 1$  as in that case  $x = F_{\alpha_{i_1}}^{(n_1)}$  and  $G(b_x) = x$ . Set  $\alpha = \alpha_{i_1}$  and

$$\begin{aligned} x' &= T_{\alpha_{i_1}}(F_{\alpha_{i_2}})^{(n_2)} \cdots (T_{\alpha_{i_1}} \cdots T_{\alpha_{i_{r-1}}})(F_{\alpha_{i_r}})^{(n_r)}, \\ x'' &= F_{\alpha_{i_2}}^{(n_2)} T_{\alpha_{i_2}}(F_{\alpha_{i_3}})^{(n_3)} \cdots (T_{\alpha_{i_2}} \cdots T_{\alpha_{i_{r-1}}})(F_{\alpha_{i_r}})^{(n_r)}. \end{aligned}$$

(So that  $x' = T_\alpha(x'')$ .) We define  $\tilde{w}_0$  as above. Then  $x''$  is a  $\tilde{w}_0$ -monomial and by induction  $G(b_{x''})$  is equal to  $x''$  plus a  $q\mathbb{Z}[q]$ -linear combination of  $\tilde{w}_0$ -monomials that are lexicographically bigger than  $x''$ . By the description of  $\pi_\alpha^-$  we see that the same holds for  $\pi_\alpha^-(G(b_{x''}))$ . Now, by (5) we have that  $T_\alpha(\pi_\alpha^-(G(b_{x''}))) = \pi_\alpha^+(G(b_y))$  for some  $G(b_y) \in \mathbf{B} \setminus \mathbf{B} \cap F_\alpha U^-$ . But  $T_\alpha(\pi_\alpha^-(G(b_{x''})))$  is equal to  $T_\alpha(x'') = x'$  plus a  $q\mathbb{Z}[q]$ -linear combination of  $w_0$ -monomials, and therefore  $y = x'$ . It follows that  $\pi_\alpha^+(G(b_{x'}))$  is equal to  $x'$  plus a  $q\mathbb{Z}[q]$ -linear combination of  $w_0$ -monomials that are lexicographically bigger than  $x'$ . From the description above of the map  $\pi_\alpha^+$  we now see that  $G(b_{x'})$  is equal to  $\pi_\alpha^+(G(b'_x))$  plus a linear combination of  $w_0$ -monomials with non-zero first exponent, and these are lexicographically bigger than  $x'$ . Now by [3] 11.12(1), we have that  $G(b_x) = F_\alpha^{(n_1)} G(b_{x'}) + R$  where  $R$  is a linear combination of elements  $G(b_z)$ , with  $\varepsilon_\alpha(z) > n_1$ . By [3], 11.3(2), 11.12(3) we have that  $G(b_u) \in F_\alpha^{(\varepsilon_\alpha(u))} U^-$  for all PBW-monomials  $u$ . In particular, all  $w_0$ -monomials occurring in  $R$  have first exponent  $> n_1$ , and therefore they are bigger than  $x$  in the lexicographical ordering.  $\square$

Proposition (1) yields the following algorithm for constructing elements of the canonical basis. From (2) we get

$$G(b_\eta) = M_\eta + \sum_{\eta' <_{\text{lex}} \eta} c_{\eta, \eta'} G(b_{\eta'}). \quad (6)$$

The  $M_\eta$ ,  $G(b_\eta)$  are all bar-invariant, and the latter form a basis of  $U_{-\nu}^-$ , hence the  $c_{\eta, \eta'}$  are bar-invariant as well.

Let  $\eta \in S_\nu$ , and suppose that we have already constructed the elements  $G(b_{\eta'})$  for  $\eta' >_{\text{lex}} \eta$ . In order to construct  $G(b_\eta)$  we need to know the coefficients  $c_{\eta, \eta'}$  in (6). For  $b_1, b_2 \in \mathcal{B}(\infty)$  we write  $b_1 <_{\text{lex}} b_2$  if the principal

monomial of  $G(b_1)$  is smaller with respect to  $<_{\text{lex}}$  than the principal monomial of  $G(b_2)$ . Order the elements occuring in the sum on the right hand side of (6) as  $b_{\eta_1} <_{\text{lex}} b_{\eta_2} <_{\text{lex}} \cdots <_{\text{lex}} b_{\eta_r}$ . We define a sequence of elements  $G_k \in U^-$ . First set  $G_0 = M_\eta$ . Suppose that  $G_0, \dots, G_{k-1}$  are defined. Let  $\zeta_k$  be the coefficient of the principal monomial of  $G(b_{\eta_k})$  in  $G_{k-1}$ , and let  $\zeta'_k$  be the unique bar-invariant element of  $\mathbb{Z}[q, q^{-1}]$  such that  $\zeta_k + \zeta'_k \in q\mathbb{Z}[q]$ . Set  $G_k = G_{k-1} + \zeta'_k G(b_{\eta_k})$ . By induction on  $k$ , and Proposition 1 we have that  $c_{\eta, \eta_k} = \zeta'_k$ . Hence  $G_r = G(b_\eta)$ .

**Example 2** We consider the root system of type  $B_2$ , with simple roots  $\alpha, \beta$ , where  $\alpha$  is long. We use the reduced expression  $s_\alpha s_\beta s_\alpha s_\beta$  of the longest element in the Weyl group. The generators of the corresponding PBW-type basis of  $U^-$  are  $F_\alpha, F_{\alpha+\beta}, F_{\alpha+2\beta}, F_\beta$ . Let  $\nu = 3\alpha + 2\beta$ ; we compute the elements of the canonical basis of weight  $\nu$ .

The set  $S_\nu$  consists of the adapted strings  $\eta_1 = (3, 2, 0, 0), \eta_2 = (2, 2, 1, 0), \eta_3 = (2, 1, 1, 1), \eta_4 = (1, 2, 2, 0)$  (in lexicographical order). First of all  $M_{\eta_1} = F_\alpha^{(3)} F_\beta^{(2)} = G(b_{\eta_1})$ . Now we consider  $\eta_2$ . Using the algorithms to compute products of PBW-monomials in  $U^-$  ([2]), we get

$$M_{\eta_2} = F_\alpha^{(2)} F_\beta^{(2)} F_\alpha = F_\alpha^{(2)} F_{\alpha+2\beta} + q F_\alpha^{(2)} F_{\alpha+\beta} F_\beta + (1 + q^4 + q^8) F_\alpha^{(3)} F_\beta^{(2)}.$$

Here the coefficient of  $F_\alpha^{(3)} F_\beta^{(2)}$  is not contained in  $q\mathbb{Z}[q]$ . We repair this situation, and we get that

$$G(b_{\eta_2}) = M_{\eta_2} - G(b_{\eta_1}) = F_\alpha^{(2)} F_{\alpha+2\beta} + q F_\alpha^{(2)} F_{\alpha+\beta} F_\beta + (q^4 + q^8) F_\alpha^{(3)} F_\beta^{(2)}.$$

Thirdly,  $M_{\eta_3} = F_\alpha^{(2)} F_{\alpha+\beta} F_\beta + (q^{-3} + q^{-1} + q + q^3 + q^5 + q^7) F_\alpha^{(3)} F_\beta^{(2)}$ . Here we get

$$G(b_{\eta_3}) = M_{\eta_3} - (q^{-3} + q^{-1} + q + q^3) G(b_{\eta_1}) = F_\alpha^{(2)} F_{\alpha+\beta} F_\beta + (q^5 + q^7) F_\alpha^{(3)} F_\beta^{(2)}.$$

Finally,  $M_{\eta_4} = F_\alpha F_{\alpha+\beta}^{(2)} + (1 + q^4) F_\alpha^{(2)} F_{\alpha+2\beta} + (q + q^5) F_\alpha^{(2)} F_{\alpha+\beta} F_\beta + (q^4 + q^8 + q^{12}) F_\alpha^{(3)} F_\beta^{(2)}$ . Here the coefficient of  $F_\alpha^{(2)} F_{\alpha+2\beta}$  does not lie in  $q\mathbb{Z}[q]$ . So we have to subtract the element of the canonical basis with that principal monomial, i.e.,  $G(b_{\eta_2})$ . We get

$$G(b_{\eta_4}) = M_{\eta_4} - G(b_{\eta_2}) = F_\alpha F_{\alpha+\beta}^{(2)} + q^4 F_\alpha^{(2)} F_{\alpha+2\beta} + q^5 F_\alpha^{(2)} F_{\alpha+\beta} F_\beta + q^{12} F_\alpha^{(3)} F_\beta^{(2)}.$$



As a first application of the algorithm for constructing elements of the canonical basis we give an inefficient algorithm for constructing highest-weight modules. Let  $\lambda$  be a dominant weight. Let  $v_\lambda$  be a highest-weight vector of the highest weight module  $V(\lambda)$ . Then according to [3], Theorem 11.10 (d), the set  $\{G(b) \cdot v_\lambda \mid b \in \mathcal{B}(\infty)\} \setminus \{0\}$  is a basis of  $V(\lambda)$ . Using the path method it is straightforward to decide which  $b \in \mathcal{B}(\infty)$  satisfy  $G(b) \cdot v_\lambda = 0$ . Let  $b = b_\eta$  for some adapted string  $\eta$ . Then  $G(b) \cdot v_\lambda = 0$  if and only if  $f^\eta \xi_\lambda = 0$  (this will be the content of Lemma 4). Furthermore, we only have to check  $b \in \mathcal{B}(\infty)$  of weight  $\nu$  such that the multiplicity of  $\lambda - \nu$  in  $V(\lambda)$  is non-zero. By a standard algorithm we can calculate the set of all those  $\nu$  (using the path method for example). Now the nonzero  $G(b) \cdot v_\lambda$  form a basis of the highest-weight module, and we use the  $G(b)$  such that  $G(b) \cdot v_\lambda = 0$  to rewrite all other vectors to linear combinations of basis elements. We remark that this algorithm is rather inefficient because the dimension of  $U_{-\nu}^-$  grows quickly as the level of  $\nu$  increases. A more efficient algorithm for constructing highest-weight modules is indicated in [2].

**Example 3** We use the same notation as in Example 2. Let  $\lambda = \lambda_1$  be the first fundamental weight. Then  $V(\lambda)$  has a weight space of weight  $-\lambda_1 = \lambda - 2\alpha - 2\beta$ . The elements of the canonical basis of weight  $2\alpha + 2\beta$  are

$$\begin{aligned} G(b_1) &= F_\alpha^{(2)} F_\beta^{(2)} \\ G(b_2) &= F_\alpha F_{\alpha+\beta} F_\beta + (q^3 + q^5) F_\alpha^{(2)} F_\beta^{(2)} \\ G(b_3) &= F_\alpha F_{\alpha+2\beta} + q F_\alpha F_{\alpha+\beta} F_\beta + (q^2 + q^6) F_\alpha^{(2)} F_\beta^{(2)} \\ G(b_4) &= F_{\alpha+\beta}^{(2)} + q^2 F_\alpha F_{\alpha+2\beta} + q^3 F_\alpha F_{\alpha+\beta} F_\beta + q^8 F_\alpha^{(2)} F_\beta^{(2)}. \end{aligned}$$

They correspond to the strings  $\eta_1 = (2, 2, 0, 0)$ ,  $\eta_2 = (1, 1, 1, 1)$ ,  $\eta_3 = (1, 2, 1, 0)$  and  $\eta_4 = (0, 2, 2, 0)$  respectively. Now only  $f^{\eta_3} \xi_\lambda \neq 0$ . So  $G(b_i) \cdot v_\lambda = 0$  for  $i = 1, 2, 4$ . Let  $x_i$  denote the principal monomial of  $G(b_i)$ . We see that  $x_i \cdot v_\lambda = 0$  for  $i = 1, 2$ , and  $x_4 \cdot v_\lambda = -q^2 x_3 \cdot v_\lambda$ .

We end this section with a sketch of a proof of case 3. of the formulas for the exponents  $m'_i$  in Section 1. We have to study the case where the root system is of type  $B_2$ . We let  $\alpha, \beta$  be the simple roots, where  $\beta$  is long. First suppose that we use the reduced expression  $s_\alpha s_\beta s_\alpha s_\beta$ . Then by [6], Corollary 2, the set  $C_1^{s,r}$  of adapted strings of weight  $s\alpha + r\beta$  consists of all  $\eta_{l,m} = (s - m, r - l, m, l)$ , such that  $0 \leq m \leq s$ ,  $0 \leq l \leq r$  and

$2(r-l) \geq m \geq 2l$ . Here we have  $\eta_{l,m} >_{\text{lex}} \eta_{l',m'}$  if  $m < m'$  or  $m = m'$  and  $l < l'$ .

Now

$$F_\alpha^{(s-m)} F_\beta^{(r-l)} F_\alpha^{(m)} F_\beta^{(l)} = \sum_{\substack{i,j \geq 0 \\ i+j \leq r-l \\ 2i+j \leq m}} q^{(m-2i-j)(2r-2l-2i-j)+2(r-l-i-j)i} \begin{bmatrix} s-2i-j \\ s-m \end{bmatrix}_\alpha \begin{bmatrix} r-i-j \\ l \end{bmatrix}_\beta F_\alpha^{(s-2i-j)} F_{2\alpha+\beta}^{(i)} F_{\alpha+\beta}^{(j)} F_\beta^{(r-i-j)}.$$

By studying the coefficients in this expression, and following the algorithm for computing elements of the canonical bases it can be shown that the principal monomial of  $G(b_{\eta_{l,m}})$  is  $F_\alpha^{(s-m)} F_{2\alpha+\beta}^{(l)} F_{\alpha+\beta}^{(m-2l)} F_\beta^{(r-m+l)}$  if  $m \leq r$ , and  $F_\alpha^{(s-m)} F_{2\alpha+\beta}^{(m+l-r)} F_{\alpha+\beta}^{(2r-2l-m)} F_\beta^{(l)}$  if  $m \geq r$ .

Now suppose that we use the reduced expression  $s_\beta s_\alpha s_\beta s_\alpha$ . In this case the set  $C_2^{s,r}$  of adapted strings of weight  $s\alpha + r\beta$  consists of all  $\zeta_{l,m} = (r-m, s-l, m, l)$  such that  $0 \leq l \leq s$ ,  $0 \leq m \leq r$ ,  $s-l \geq m \geq m$  (cf. [6], Corollary 2). We have that  $\zeta_{l,m} <_{\text{lex}} \zeta_{l',m'}$  if  $m < m'$  or  $m = m'$  and  $l < l'$ . In this case the principal monomial of  $G(b_{\zeta_{l,m}})$  is  $F_\beta^{(r-m)} F_{\alpha+\beta}^{(2m-s+l)} F_{2\alpha+\beta}^{(s-l+m)} F_\alpha^{(l)}$  if  $s \leq 2m$ , and  $F_\beta^{(r-m)} F_{\alpha+\beta}^{(l)} F_{2\alpha+\beta}^{(m-l)} F_\alpha^{(s+l-2m)}$  if  $s \geq 2m$ .

Suppose that the braid relation consists of replacing  $s_\alpha s_\beta s_\alpha s_\beta$  by  $s_\beta s_\alpha s_\beta s_\alpha$ . We start with a PBW-monomial  $x = F_\alpha^{(a)} F_{2\alpha+\beta}^{(b)} F_{\alpha+\beta}^{(c)} F_\beta^{(d)}$ . We form the adapted string  $\eta$  such that  $G(b_\eta)$  has principal monomial  $x$ . By the description of the principal monomials above we have that  $\eta = (a, c + \max(b, d), 2b + c, \min(b, d))$ . Now we use the bijection  $\phi : C_1^{s,r} \rightarrow C_2^{s,r}$ , such that  $f^\theta = f^{\phi(\theta)}$  for all  $\theta \in C_1^{s,r}$ . According to [6], Proposition 2.4 it is given by  $\phi(\eta) = (n_1, n_2, n_3, n_4)$ , where  $n_1 = \max(b, \max(b, d) + c - a)$ ,  $n_2 = \max(a, c) + 2b$ ,  $n_3 = \min(c + d, a + \min(b, d))$ ,  $n_4 = \min(a, c)$ . Now  $\phi(\eta)$  corresponds to the PBW-monomial  $F_\beta^{(n_1)} F_{\alpha+\beta}^{(2n_3-n_2)} F_{2\alpha+\beta}^{(n_2-n_3)} F_\alpha^{(n_4)}$  if  $n_2 + n_4 \leq 2n_3$ , and to  $F_\beta^{(n_1)} F_{\alpha+\beta}^{(n_4)} F_{2\alpha+\beta}^{(n_3-n_4)} F_\alpha^{(n_2+2n_4-2n_3)}$  if  $n_2 + n_4 \geq 2n_3$ . This implies the formulas in the case 3(a); the case 3(b) is similar.

Also the formula in case 2. can be proved this way.

## 4 Canonical bases of modules

Let  $\lambda$  be a dominant weight, and  $V(\lambda)$  the corresponding highest-weight module over  $U_q$ . For  $\alpha \in \Delta$  we have the Kashiwara operators  $\tilde{F}_\alpha, \tilde{E}_\alpha$  :

$V(\lambda) \rightarrow V(\lambda)$  defined by [3], 9.2(2), (3). Let  $v_\lambda$  be a fixed highest-weight vector, and let  $\mathcal{L}(\lambda)$  be the  $A$ -module spanned by all  $\tilde{F}_{\alpha_{i_1}} \cdots \tilde{F}_{\alpha_{i_r}}(v_\lambda)$ , for  $r \geq 0$ . Furthermore,  $\mathcal{B}(\lambda)$  is the set of non-zero cosets  $\text{mod } q\mathcal{L}(\lambda)$  of these elements ([3], §9.5).

Let  $U_{\mathbb{Z}}^-$  be the  $\mathbb{Z}$ -form of  $U^-$ . It is spanned over  $\mathbb{Z}[q, q^{-1}]$  by all PBW-monomials (2). Let  $\varphi_\lambda : U_{\mathbb{Z}}^- \rightarrow V(\lambda)$  be the map defined by  $\varphi_\lambda(u) = uv_\lambda$ , and set  $V_{\mathbb{Z}}(\lambda) = \varphi_\lambda(U_{\mathbb{Z}}^-)$ . We consider the  $\mathbb{Z}[q]$ -module  $\mathcal{L}_{\mathbb{Z}}(\lambda) = \mathcal{L}(\lambda) \cap V_{\mathbb{Z}}(\lambda)$  (cf., [3], §11.1 - 11.6). In this section we describe an algorithm for obtaining a basis of  $\mathcal{L}_{\mathbb{Z}}(\lambda)$ , along with a set of coset representatives for the elements of  $\mathcal{B}(\lambda)$ .

We have that  $\varphi_\lambda$  induces a map (which we denote by the same symbol)  $\varphi_\lambda : \mathcal{L}(\infty)/q\mathcal{L}(\infty) \rightarrow \mathcal{L}_{\mathbb{Z}}(\lambda)/q\mathcal{L}_{\mathbb{Z}}(\lambda)$ . By [3], Theorem 10.10 we have that  $\varphi_\lambda(\mathcal{B}(\infty)) \setminus \{0\} = \mathcal{B}(\lambda)$ . Furthermore, [3], Theorem 11.10 states that the set of  $\varphi_\lambda(G(b))$ , where  $b \in \mathcal{B}(\infty)$  is such that  $\varphi(b) \neq 0$  is a basis over  $\mathbb{Z}[q]$  of  $\mathcal{L}_{\mathbb{Z}}(\lambda)$ . So we can find a basis of  $\mathcal{L}_{\mathbb{Z}}(\lambda)$  by computing elements of the canonical basis of  $U^-$ , and taking their image under  $\varphi_\lambda$ . However, many of these images will be zero. Here we describe a more direct approach for computing  $\varphi_\lambda(G(b))$ , without computing  $G(b)$  first.

Let  $\eta = (n_1, \dots, n_t)$  be an adapted string, relative to the reduced expression  $w_0 = s_{\alpha_{i_1}} \cdots s_{\alpha_{i_t}}$ . Then we write  $\tilde{F}^\eta$  for  $\tilde{F}_{\alpha_{i_1}}^{n_1} \cdots \tilde{F}_{\alpha_{i_t}}^{n_t}$  (where the  $\tilde{F}_{\alpha_k}$  are the Kashiwara operators on  $U^-$  or the Kashiwara operators on  $V(\lambda)$ ).

**Lemma 4** *Let  $\eta$  be an adapted string, and set  $b = \tilde{F}^\eta(1) \text{ mod } q\mathcal{L}(\infty)$ . Then  $\varphi_\lambda(b) = 0$  if and only if  $f^\eta(\xi_\lambda) = 0$ .*

**Proof.** By [4], Theorem 4.1 we have that  $f^\eta \xi_\lambda = 0$  if and only if  $\tilde{F}^\eta b_\lambda = 0$ , where  $b_\lambda = \varphi_\lambda(1)$ . By [3], Proposition 10.9 this is equivalent to  $\varphi_\lambda(\tilde{F}^\eta(1)) = 0$ , which, by [3], Theorem 11.10(d) is equivalent to  $G(b_\eta) \cdot v_\lambda = 0$ .  $\square$

For an adapted string  $\eta$  we denote by  $x_\eta$  the PBW-monomial with the property  $\tilde{F}^\eta(1) = x_\eta \text{ mod } q\mathcal{L}(\infty)$ . Note that we can compute  $x_\eta$  by using the algorithm for computing the action of  $\tilde{F}_\alpha$ , described at the end of Section 1.

**Corollary 5**  *$\mathcal{B}(\lambda)$  consists of all cosets  $x_\eta \cdot v_\lambda \text{ mod } q\mathcal{L}(\infty)$ , where  $\eta$  runs over all adapted strings with  $f^\eta(\xi_\lambda) \neq 0$ .*

**Proof.** This follows immediately from Lemma 4, along with  $\varphi_\lambda(\mathcal{B}(\infty)) \setminus \{0\} = \mathcal{B}(\lambda)$ .  $\square$

We note that this corollary gives an immediate algorithm for constructing a set of coset representatives for the elements of  $\mathcal{B}(\lambda)$ .

By  $\bar{\phantom{x}}$  we denote the involution of  $V(\lambda)$  defined by  $\overline{u \cdot v_\lambda} = \bar{u} \cdot v_\lambda$ , for  $u \in U^-$ .

**Lemma 6** *Let  $b \in \mathcal{B}(\lambda)$ . Then there is a unique element  $v(b) \in \mathcal{L}_{\mathbb{Z}}(\lambda)$  such that  $v(b) = b \bmod q\mathcal{L}(\lambda)$  and  $\overline{v(b)} = v(b)$ . Let  $b' \in \mathcal{B}(\infty)$  be such that  $\varphi_\lambda(b') = b$ ; then  $v(b) = \varphi(G(b'))$ .*

**Proof.** It is clear that  $\varphi(G(b'))$  has the listed properties. Suppose that the element  $v \in \mathcal{L}_{\mathbb{Z}}(\lambda)$  also has these properties. Then we write  $v$  as a linear combination of elements  $\varphi_\lambda(G(b''))$ . Because  $v$  is bar-invariant, the coefficients in this expression must be bar-invariant as well. Because the  $\varphi_\lambda(G(b''))$  form a basis of  $\mathcal{L}_{\mathbb{Z}}(\lambda)$  over  $\mathbb{Z}[q]$ , the coefficients must lie in  $\mathbb{Z}[q]$ . This means that the coefficients are elements of  $\mathbb{Z}$ . Since  $v = b \bmod q\mathcal{L}(\lambda)$  we have that the only  $\varphi_\lambda(G(b''))$  that has a non-zero coefficient is  $\varphi_\lambda(G(b'))$ .  $\square$

Now the algorithm is straightforward. Let  $\nu$  be a weight such that  $\lambda - \nu$  is a weight of  $V(\lambda)$ . Let  $\xi_1, \dots, \xi_r$  be the paths in  $\Pi_\lambda$  such that  $\xi_k(1) = \lambda - \nu$ . Let  $\eta_1, \dots, \eta_r$  be the corresponding adapted strings (relative to some fixed reduced expression for the longest element in the Weyl group). Suppose that they are ordered so that  $\eta_i <_{\text{lex}} \eta_{i+1}$ . Set  $u_i = x_{\eta_i} \cdot v_\lambda$ , and  $w_i = M_{\eta_i} \cdot v_\lambda$ . Suppose that  $v_1 = v(b_{\eta_1}), \dots, v_k = v(b_{\eta_k})$  are already constructed. Write  $v_i <_{\text{lex}} v_j$  if  $x_{\eta_i} <_{\text{lex}} x_{\eta_j}$ .

Now write  $w_{k+1} = \sum_{j=1}^r \zeta_{ij} u_j$ , where  $\zeta_{ij} \in \mathbb{Z}[q, q^{-1}]$ . We go through the  $v_m$ , starting with the one which is biggest in the  $<_{\text{lex}}$  ordering. If the coefficient of a  $u_m$  in the expression for  $w_{k+1}$  does not lie in  $\mathbb{Z}[q]$ , then we add a suitable bar-invariant multiple of  $v_m$  to remedy this situation. Proposition 1 implies that this algorithm terminates with the correct result.

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