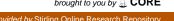
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# PERSPECTIVES AND NOTES





# An optimistic outlook on the use of evidence syntheses to inform environmental decision-making

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#### **Abstract**

Practitioners and policymakers working in environmental arenas make decisions that can have large impacts on ecosystems. Basing such decisions on high-quality evidence about the effectiveness of different interventions can often maximize the success of policy and management. Accordingly, it is vital to understand how environmental professionals working at the science-policy interface view and use different types of evidence, including evidence syntheses that collate and summarize available knowledge on a specific topic to save time for decision-makers. We interviewed 84 senior environmental professionals in Canada working at the science-policy interface to explore their confidence in, and use of, evidence syntheses within their organizations. Interviewees value evidence syntheses because they increase confidence in decision-making, particularly for high-profile or risky decisions. Despite this enthusiasm, the apparent lack of available syntheses for many environmental issues means that use can be

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limited and tends to be opportunistic. Our research suggests that if relevant, high quality evidence syntheses exist, they are likely to be used and embraced in decision-making spheres. Therefore, efforts to increase capacity for conducting evidence syntheses within government agencies and/or funding such activities by external bodies have the potential to enable evidence-based decision-making.

#### **KEYWORDS**

Canada, conservation social science, environmental decision-making, environmental policy, mixed methods, natural resource management, survey data

# 1 | INTRODUCTION

Practitioners and policymakers working in environmental arenas often make decisions that have large impacts on ecosystems and the benefits that people get from nature. Using existing evidence about the effectiveness of conservation interventions can maximize the success of environmental management, and mitigate potential detrimental outcomes of actions that have proven unsuccessful. Governments have frequently made scientifically-unsound decisions regarding natural resource exploitation (Carroll et al., 2017; Westwood, Walsh, & Gibbs, 2017). Ensuing controversy means that some are now putting in place mechanisms to ensure that evidence can be used (Westwood et al., 2017). Evidence-informed environmental management has received increasing attention in recent decades (Cook, Nichols, Webb, Fuller, & Richards, 2017; Walsh, Dicks, Raymond, & Sutherland, 2019), and several barriers to evidence use have emerged (Lemieux, Groulx, Bocking, & Beechey, 2018; Rose et al., 2018; Walsh et al., 2019). One key barrier is the time-consuming process of locating and accessing primary literature, and summarizing the knowledge effectively (Li & Zhao, 2015; Pullin, Knight, Stone, & Charman, 2004). Evidence syntheses—research papers that critically review, collate, and summarize available knowledge on a specific topic are designed to lower this barrier.

A primary advantage of evidence synthesis is they highlight and take into account the risk of bias compared to individual studies or less structured discussions of existing literature (Boyd, 2013). The critical appraisal of findings is an important stage in many forms of evidence syntheses (e.g., systematic reviews), although a wide range of available methods can be adapted to different contexts (Pullin et al., 2016), with each synthesis type having varying strengths and weaknesses (Cook et al., 2017). For example, systematic reviews aim to be rigorous and replicable by following a standardized methodology (Collaboration for Environmental Evidence, 2018; Johnson & Hennessy, 2019). If high confidence is needed to evaluate a specific hypothesis, such as the likely

effectiveness of an intervention, then systematic reviews often the most suitable synthesis method (Haddaway & Pullin, 2014). However, they are resourceintensive and environmental questions often suffer from a lack of studies that meet the criteria required for inclusion. Conversely, rapid reviews are less reliable but more useful under narrow time constraints (Cook et al., 2017; Dicks et al., 2018; Webb et al., 2017). If the aim is to generate hypotheses by representing the evidence for relationships in a system, conceptual models are an applicable form of evidence synthesis (Cook et al., 2017). Ideally the results are communicated in an accessible way, targeted to decision-makers (Bilotta, Milner, & Boyd, 2014). Indeed, research shows that nearly all practitioners are willing to reconsider their management choices when provided with a conclusive summary of the primary literature on a topic, and these altered choices can increase the effectiveness of management (Walsh, Dicks, & Sutherland, 2015).

While evidence syntheses are often used to inform decisions in medical fields (Lavis et al., 2005; Mays, Pope, & Popay, 2005; Thomson, 2013), the use of formal evidence syntheses has only recently become widespread in environmental spheres, and it is unclear how they are perceived, how well they are understood, and how frequently they are used by environmental practitioners and decision-makers (Bennett, 2016). Here we present perceptions and attitudes of senior Canadian experts with extensive experience at the science-policy interface about the current level of acceptance and use of evidence syntheses in environmental decision-making, and which forms of synthesis they find the most reliable.

# 2 | ENVIRONMENTAL MANAGEMENT IN CANADA

Canada is a country with a developed economy and is rich in natural resources (Cooke et al., 2016). The second-largest country in the world by landmass, it comprises 10 provinces and three territories with highly decentralized environmental regulation and management (Cooke et al., 2016). Legislation is enacted at the federal, provincial/territorial, Indigenous government, and municipal levels. The federal entities most relevant to natural resource exploitation and environmental management in Canada include Fisheries and Oceans Canada (DFO), Natural Resources Canada (NRCan), and Environment and Climate Change Canada (ECCC), along with Parks Canada, a federal agency within ECCC. Civil society non-government organizations (NGOs) such as environmental organizations or hunting and fishing organizations also work to inform and shape natural resource and environmental management regimes.

From June to September 2019 we conducted a study on the capacity of environmental research to inform policy and practice in Canada. We interviewed 84 environmental experts across different sectors. We recruited participants who are currently used in or recently retired from high-level positions in environmental bodies in Canadian federal, territorial, or provincial governments or NGOs with an interest in advising or influencing policy. We aimed for a diversity of perspectives, with good representation across gender (36 female, 48 male), sector (federal, territorial or provincial government, NGO), and federal entities (DFO, ECCC, Parks Canada, NRCan). Participants had a range of experience from 8 to 30+ years in the field (not including years in academic graduate programs). Further details about sampling methods can be found in (Nyboer et al, 2021, in review). This research was approved by the Carleton University Research Ethics Board (file #12486).

Interviews were semi-structured, following a set of scripted questions but allowing for digressions (Longhurst, 2010; guide in Supporting Information S1). They comprised a mix of closed-ended and open-ended questions, generating both quantitative and qualitative responses. The questions covered a broad range of issues how research informs policy. section (Q6) asked interviewees to identify the primary forms of evidence they used to inform policy decisions, with options including peer-reviewed literature, primary non-peer-reviewed literature, raw data, theory, policy briefs, Indigenous knowledge, and evidence syntheses. Another section (Q14) focused just on evidence syntheses. We asked interviewees to select the top three evidence syntheses types that they (a) have confidence in, and (b) actually use from the list in Table 1, and to explain their choices. They were not asked to describe each syntheses type, but the below definitions (Table 1; adapted from Cook et al., 2017) were available to them. We also put in place measures to reduce bias (see Supporting Information S2).

We conducted both qualitative and quantitative analyses. Responses to closed questions were entered in a

database along with the participants' affiliation (i.e., federal government entity, provincial or territorial government, or NGO). We examined the frequency of responses of all respondents together, and separated by sector. In addition, all 84 interviews transcripts were analyzed for references to evidence syntheses, using a description-focused coding strategy. The codes emerged in an iterative process, as we cycled repeatedly between reading, focused coding, reflection, and rereading (Adu, 2019; Tie, Birks, & Francis, 2019). The codebook we developed is available in the Supplementary Information (Supporting Information S3). All coding was conducted by a single author (LTW) in NVivo 12 Pro (QSR International).

# 3 | EVIDENCE SYNTHESES AND DECISION-MAKERS IN CANADA

Due to time constraints during some of the interviews, only about 50% of all respondents (n=39–40) were able to complete the closed-ended questions; however, all sectors were still represented (Table 2). Further, the qualitative analysis identified references to evidence syntheses in 42 of the transcripts. The gender split between respondents was 18 female and 24 male.

**TABLE 1** Descriptions of different evidence syntheses types shown to interviewees

Synthesis type	What it does	
Causal criteria analysis	Tests specific cause-effect hypotheses	
Conceptual models	Depicts the current knowledge of relationships within a system	
Narrative/ traditional review	Provides a qualitative review of the literature on a particular topic	
Rapid review	Provides rapid evaluation of evidence to test a hypothesis	
Stand-alone meta-analysis	Combines multiple, comparable studies to test a hypothesis	
Summaries and synopses	Summarizes the evidence-base for a broad management area	
Systematic map	Describes the state of knowledge for a particular topic	
Systematic review	Provides a transparent, repeatable, and quantitative evaluation of the evidence for a hypothesis	
Vote counting	Summarizes the evidence for and against a hypothesis	
None	Not familiar with any of these	

**TABLE 2** Numbers of participants from the federal government departments, provincial and territorial governments, and NGOs from closed-ended questions plus transcript coding

	Quantitative			
	analysis		Qualitative	
Overall sample sizes	Confidence	Use	analysis	
Federal government entity	20	22	25	
Parks Canada	5	6	8	
Environment and climate change Canada	6	6	6	
Fisheries and oceans Canada	6	6	7	
Natural resources Canada	3	4	4	
Provincial/territorial governments	7	7	6	
Alberta	1	1	1	
British Columbia	1	1	1	
Nunavut	2	2	1	
Northwest Territories	2	1	2	
Ontario	1	1	1	
Yukon	-	1	-	
NGOs	12	11	11	
Canadian council of academies	1	1	1	
Canadian parks and wilderness society	2	2	1	
Evidence for democracy	1	1	1	
Great Lakes fisheries commission	-	-	1	
Nature united	1	1	1	
Trout unlimited	1	1	1	
Waterton biosphere reserve association	1	1	1	
Wildlife conservation society Canada	1	1	2	
World wildlife fund Canada	1	1	-	
Yellowstone to Yukon conservation initiative	2	2	1	
Yukon conservation society	1	-	1	
Total	39	40	42	

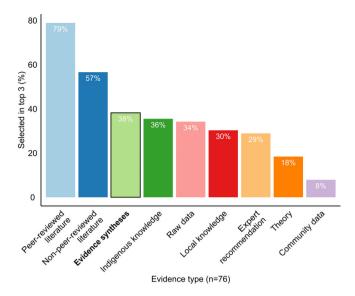


FIGURE 1 Confidence in and use of difference evidence types (%)

# 3.1 | General attitudes to evidence syntheses

Interviewees generally viewed evidence syntheses positively and indicated enthusiasm for their use in decision-making processes. Thirty-eight percent (n=29 out of 76 respondents who answered Q6) selected syntheses as one of the primary forms of evidence they used in their work, third after the primary peer-reviewed and non-peer reviewed literature (Figure 1). It is worth noting that a classification system for evidence types is by necessity imprecise, and evidence syntheses can incorporate many of the other types listed. Although it may seem problematic that evidence syntheses came third, this question is specifically about which evidence type is commonly used rather than valued. The qualitative analysis suggests that syntheses are highly trusted, but lack of availability hampers actual use.

The qualitative analysis revealed a common theme for the favorable attitudes toward evidence syntheses. Many respondents viewed scientific results as more trustworthy if multiple studies came to the same conclusion, which can be demonstrated in a synthesis. It is also easier and quicker to read an evidence synthesis than multiple primary articles, offering a convenient way to keep up to date with the wider research context.

Sure I can do the job of trying to read a thousand studies and summarizing them but I would much prefer to have someone who I

can trust from a good school who writes clearly that's reflecting on a lifetime of experience.—R03 (ECCC)

But I think good science is maybe the science that can be described with multiple studies and we can actually see that these phenomenon or trends are observed in more than one case and that builds confidence that, ves, this is something that is real. Not to say that if you only see something once it's not real - it probably calls for some more work. But building the evidence is maybe one way to be actually more comfortable or to have more confidence in its accuracy.—R04 (NGO)

Some respondents favored syntheses that were authored by large collaborations of experts, and several participants highlighted the credibility and prestige of authors as an indication of quality. Publication in a peer-reviewed iournal, rather than in the "grey" literature, was also viewed favorably. As decision-makers may be tasked with documenting and justifying the policy decisions they make, appropriate evidence syntheses can provide confidence in this process.

> I personally tend still towards the academic peer review with relative confidence or these bigger syntheses that have been done through collaborative networks of experts with relative confidence.—R18 Canada)

> One of our realities in government is just about anything we do is subject to judicial review. So there is a formal process. Everything major or very significant. I mean we usually have a briefing note system, essentially where the primary findings are summarized in a briefing note that's then sent through for decision with a summary of the analysis and conclusions that are leading to that decision that then gets signed off. So that's kind of our main place where we document the basis for our decisions.—R17 (Parks Canada)

#### Differences between evidence 3.2 synthesis types and sectors

We presented participants with nine different synthesis types (Table 1). There was some discrepancy between the top three synthesis types interviewees reported having confidence in, and the three they actually used (Figure 2).

Most respondents trusted meta analyses (64%) and systematic reviews (79%), which are often perceived to be among the most rigorous forms of evidence syntheses (Bilotta et al., 2014; Cook et al., 2017; Walsh et al., 2019). However, the use of these synthesis types was much lower, at only 33 and 55%, respectively. The most frequently used type was summaries and synopses (62%).

> Now which ones would I have greater faith in? Definitely, probably definitely the systematic review, would be one of the biggest I like. And because that gives you a really good idea of repeatability. Make sure we're not missing anything and you've got the evaluation. You can see where the evidence comes from.—R22 (NGO)

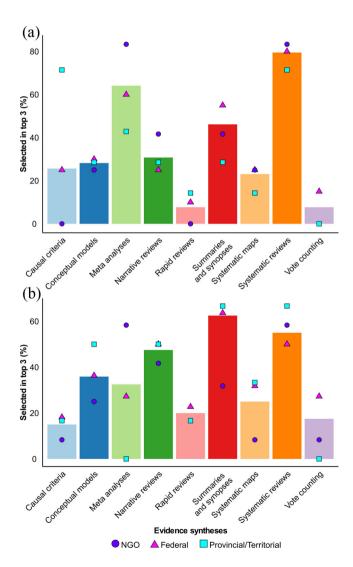


FIGURE 2 Percentage of respondents who selected different evidence syntheses types as one of the top three they (a) had confidence in and (b) used, both overall (bars) and by sector (shapes)

It depends what's available. I think it's based on availability not based on confidence. So given that, it's probably more often, narrative traditional reviews. Probably more.—R08 (Parks Canada)

The qualitative analysis suggests that the inconsistency between what interviewees trust versus what they use is due to the opportunistic use of evidence syntheses, echoing a lower rate of evidence syntheses overall compared with other evidence types. Decision-makers reported being constrained by what types of evidence synthesis were available to them. This was particularly true for the more trusted options such as systematic reviews and meta-analyses, which are extremely intensive and require high levels of analytical expertise. For time-sensitive or emerging issues, appropriate systematic reviews may not exist, and there may not be enough time or expertise to conduct such a synthesis in-house. Decision-makers instead must search the published literature to see if a synthesis already exists (Bilotta policy-relevant et al., 2014; Haddaway & Pullin, 2014).

We almost never have a review at hand that we can use.—R38 (Parks Canada) But sometimes it's just hiring someone to do a very specific literature search. Or the policy makers sometimes fund it because they have the funding, Sometimes we do maybe a larger request for specific knowledge assimilation like a literature review or a systematic lit review, but those require more forward thinking because they're so lengthy a process.—R07 (DFO)

There was also some variation between respondents from different sectors in answer to the closed questions (Figure 2). However, this variation was not reflected in the qualitative analysis. The strong agreement about confidence in/use of systematic reviews suggests investment in these would be particularly valued across all sectors.

# 4 | RECOMMENDATIONS

Our research suggests that many professionals working at the science-policy interface in the environmental realm do not need to be convinced of the merits of rigorous evidence syntheses. Syntheses are viewed as useful tools for senior decision-makers and their advisors, saving time and highlighting sources of inaccuracy compared to rushed or superficial searches of the primary literature. Indeed, our data show that if high quality and relevant evidence syntheses exist, and are appropriately communicated and shared, they will be used and embraced. Although our findings reflect responses of only highly experienced environmental policy experts and could thus potentially overlook individuals with less favorable views of evidence synthesis, this is an encouraging finding. In addition to building support for the approach, proponents of the use of evidence syntheses in environmental management should instead focus on increasing the number of syntheses, improving their timeliness and relevance to environmental issues, and facilitating their availability to decision-makers. Below we outline concrete pathways to achieve these goals.

As the volume of available scientific information continues to expand and environmental decisions become increasingly complex, the need for evidence synthesis is growing. The capacity to deliver them and ensure their accessibility should follow suit. This reinforces the usefulness of dedicated evidence synthesis organizations like the Collaboration for Environmental Evidence (CEE; www. environmentalevidence.org), which supports the conduct of systematic reviews for specific environmental questions, and Conservation Evidence (www.conservationevidence. com), which develops synopses of evidence for specific interventions in a comprehensive database (Sutherland & Wordley, 2018). CEE also offers a free evidence service, the CEE Database of Evidence Reviews (www.environmenta levidence.org/ceeder), which provides a database of reviews in environmental management (including non-systematic reviews) along with an independent assessment of their rigour and reliability (see Konno et al., 2020). Published syntheses may overstate how systematic the search process was, as well as the level of critical appraisal involved in exploring the potential for bias in the body of existing evidence. Ratings of gold, green, amber, or red, provided by unaffiliated experts, quickly communicate how much confidence decision-makers should place on the findings. Such organizations can facilitate both the production and "findability" of evidence syntheses.

As a country, Canada has both espoused evidence-based government decision-making and been challenged to adhere to it (Magnuson-Ford & Gibbs, 2014), and within governing bodies the capacity for conducting an environmental evidence synthesis is currently limited (Carroll et al., 2017). Dedicated centers, such as the Canadian Centre for Evidence-Based Conservation (www. canadiancebc.com/), are crucial for filling this gap, and expanded capacity at this center and others like it would facilitate the production of more syntheses that are timely and regionally-relevant for issues in Canada. However, to be successful, there must be adequate communication among these centers and environmental practitioners,

and policymakers. Taking this further, governing bodies and NGOs could consider building internal capacity by investing in training staff or creating in-house evidence synthesis teams. This way, appropriate and timely reviews can be conducted internally when the needs arise. Indeed, knowledge of evidence synthesis could be taught on environmental programs in Canadian universities, preparing the future workforce no matter the sector they join. Collectively, this expansion of capacity could ensure that environmental practitioners are able to access sources of evidence that they already know and trust in order to guide decision-making.

Better coordination among synthesis groups and potential end-users could help prioritize future research questions and ensure that outputs are used. A useful approach could be to conduct a horizon scan similar to those that have conducted for global conservation (e.g., Sutherland et al., 2019), but focused instead on common policy questions that would benefit from a synthesis. This may also include topics where there are many individual studies but few syntheses; a way to point out the proverbial "low-hanging fruit" in the world of evidence synthesis. Additionally, end-user groups could publish priorities for evidence synthesis based on recurring management issues where staff struggle to find clear evidence to guide decisions (e.g., https://www.pc.gc.ca/apps/rps/RPSResN\_e.asp).

Finally, an important area of study is to explore the root of the perception that appropriate evidence syntheses are not available. It was unclear from our interviews whether relevant syntheses are truly nonexistent, whether they exist but are spatially or temporally inexact (e.g., different geographic location), whether decisionmakers are simply unaware of their existence, or whether they are aware but unable to access them. Instances in which evidence syntheses have been successfully used to inform policy and practice should be analyzed to understand the characteristics of syntheses that lead to impact, for example, in terms of spatial scales or specificity versus generality. Such studies would clarify how decision-makers assess the applicability of syntheses, and can illuminate how to effectively mobilize evidence syntheses to ensure their uptake by practitioners and managers. Again, the work of dedicated evidence synthesis centers is crucial here. As well as supporting the production of syntheses and compiling them in accessible databases, they could provide guidance on dissemination. Syntheses are intended to be used by diverse audiences, so concise and effective communication is crucial. As one respondent summarized:

> At the end of the day it's actually people in my position at that science policy interface, where I have to go in and I have eight slides and maybe five minutes to communicate

someone's 10-year academic findings. And so, synthesis maps, synthesis figures, synthesis images, I use those more than anything else.—R10 (ECCC)

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#### CONFLICT OF INTEREST

There are no conflicts of interest to disclose.

#### **AUTHOR CONTRIBUTIONS**

Elizabeth A. Nyboer, Steven J. Cooke, and Nathan Young conceived the study; Steven J. Cooke, Elizabeth A. Nyboer, Nathan Young, Trina Rytwinski, and Jessica J. Taylor designed the methodology; John F. Lane, Elizabeth A. Nyboer, Nathan Harron, collected the data; Laura Thomas-Walters analysed the data and led the writing of the manuscript. All authors contributed critically to designing the interview tool and to writing the drafts. All authors gave final approval for publication.

#### DATA AVAILABILITY STATEMENT

Our research participants are identifiable from the qualitative data so it cannot be made public, but the quantitative survey data can be found in anonymised form at 10.6084/m9.figshare.14294558.

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### SUPPORTING INFORMATION

Additional supporting information may be found online in the Supporting Information section at the end of this article.

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