

1 **Exploring the cloud top phase partitioning in different**
2 **cloud types using active and passive satellite sensors**

3 **Olimpia Bruno¹, Corinna Hoose¹, Trude Storelvmo², Quentin Coopman¹,**
4 **Martin Stengel³**

5 ¹Institute of Meteorology and Climate Research, Karlsruhe Institute of Technology, Karlsruhe, Germany

6 ²Department of Geosciences, University of Oslo, Oslo, Norway

7 ³Deutscher Wetterdienst (DWD), Offenbach am Main, Germany

8 **Key Points:**

- 9
- 10 • Despite phase and temperature mismatches, the retrievals based on passive and
active satellite sensors qualitatively agree on the following:
 - 11 • Supercooled liquid fraction is larger in the Southern Hemisphere than in the North-
12 ern Hemisphere, except for continental low-level clouds
 - 13 • In clouds with temperatures from -40°C to 0°C at the same height-level, super-
14 cooled liquid fraction increases with cloud optical thickness

Corresponding author: Olimpia Bruno, olimpia.bruno@kit.edu

Abstract

One of the largest uncertainties in numerical weather prediction and climate models is the representation of mixed-phase clouds. With the aim of understanding how the supercooled liquid fraction (SLF) in clouds with temperature from -40°C to 0°C is related to temperature, geographical location, and cloud type, our analysis contains a comparison of four satellite-based datasets (one derived from active and three from passive satellite sensors), and focuses on SLF distribution near-globally, but also stratified by latitude and continental/maritime regions. Despite the warm bias in cloud top temperature of the passive sensor compared to the active sensor and the phase mismatch in collocated data, all datasets indicate, at the same height-level, an increase of SLF with cloud optical thickness, and generally larger SLF in the Southern Hemisphere than in the Northern Hemisphere (up to about 20% difference), with the exception of continental low-level clouds, for which the opposite is true.

Plain Language Summary

In mixed-phase clouds, hydrometeors consisting of ice and supercooled liquid water, i.e. water below 0°C , can exist simultaneously. In the mixed-phase temperature range (-40°C to 0°C), ice-nucleating particles (e.g., mineral dusts, biological aerosol particles) are needed for glaciation to be possible. The partitioning into liquid and ice depends not only on the ice-nucleating particles, but also, for example, on cloud dynamics and ice multiplication processes, influencing in turn the lifetime and the precipitation type of these clouds, and the Earth-atmosphere energy balance locally and globally. In this study, we show ice and liquid partitioning for different cloud types, comparing four satellite-based datasets. This allows us to identify robustly their common trends despite their differences. Our results show on average less ice in the Northern than in the Southern Hemisphere when considering all clouds together, and that the larger the cloud optical thickness, the less ice when treating the cloud types separately. The partitioning of cloud types over sea and over land in both hemispheres show less ice in the Southern than in the Northern Hemisphere for high- and mid-level clouds, but the opposite for low-level clouds over land. This might be due to differences in aerosol composition and distribution.

1 Introduction

Mixed-phase clouds, i.e. clouds in which ice particles and supercooled liquid water can coexist in the temperature range of approximately -40°C to 0°C , are not fully understood yet and therefore not well represented in weather and climate models (Forbes & Ahlgrimm, 2014; McCoy et al., 2016).

Several studies have shown that mixed-phase clouds occur irrespective of the season, can be found in diverse locations, and can be associated with various cloud types (Korolev et al., 2017). Observations of mixed-phase clouds include active (e.g., Zhang et al., 2010; Tan et al., 2014; Cesana & Storelvmo, 2017) and passive satellite (e.g., Cooper et al., 2019; Noh et al., 2019; Tan et al., 2019), airborne in situ (e.g., Korolev, 2008; Costa et al., 2017; Barrett et al., 2020), ground-based (e.g., Henneberger et al., 2013; Yu et al., 2014; Gierens et al., 2020) and aircraft-based remote sensing measurements (e.g., Wang et al., 2012; Plummer et al., 2014). In Tan et al. (2014), in particular, mixed-phase clouds have been studied statistically in terms of supercooled cloud fraction, defined as the ratio of the in-cloud frequency of supercooled liquid pixels to the total frequency of supercooled liquid and ice pixels within 2° latitude by 5° longitude grid boxes, at several isotherms between -10°C and -30°C , distinguishing cases in the Northern Hemisphere (NH) and in the Southern Hemisphere (SH), as well as cases over ocean and over land. This study consisted of the analysis of about five years of data from NASA's spaceborne lidar, CALIOP (Cloud-Aerosol Lidar with Orthogonal Polarization) level 2 Vertical Feature Mask (VFM) in versions 3.01 and 3.02, and the relationship between the cloud phase

65 and several aerosol types was determined. They found that dust aerosols might strongly
 66 influence the supercooled cloud fraction by acting as ice-nucleating particles (INPs), il-
 67 lustrating how important the atmospheric aerosol composition can be for the cloud phase.
 68 Moreover, a larger supercooled cloud fraction in the SH than in the NH has been found,
 69 which may be caused by the presence of more land in the NH, where efficient INPs origi-
 70 nate. This result may also explain why a larger supercooled cloud fraction has been found
 71 over ocean than over land.

72 As in Tan et al. (2014), we apply a statistical approach to quantify the phase dis-
 73 tribution of mixed-phase clouds on isotherms. In addition, we use the International Satel-
 74 lite Cloud Climatology Project (ISCCP) cloud classification (Rossow & Schiffer, 1999)
 75 to distinguish different cloud types. Our study includes data from passive (Advanced Very
 76 High Resolution Radiometer — AVHRR) and active (Cloud-Aerosol Lidar and Infrared
 77 Pathfinder Satellite Observation — CALIPSO) satellite sensors, with the intention to
 78 identify robust signals despite differences, facilitating the potential identification of com-
 79 mon features based on different sources and algorithms. Passive sensors offer the ben-
 80 efit of long-period records with daily near-global coverage, which motivates us to com-
 81 pare three AVHRR-based datasets with the CALIPSO-based dataset, and to present this
 82 work as a validation study.

83 After a description of the datasets and the method in Section 2, Section 3 contains
 84 the analysis and the results of our study, while discussion and conclusions are presented
 85 in Section 4.

86 2 Datasets and Method

87 2.1 Datasets

88 The datasets we analyze are Cloud_cci AVHRR-PMv2 (Stengel et al., 2017), Cloud_cci
 89 AVHRR-PMv3 (Stengel et al., 2020), CLARA-A2 (Karlsson et al., 2017), and CALIOP
 90 V4 (Z. Liu et al., 2019). While the first three are based on the polar-orbiting passive satel-
 91 lite sensor AVHRR onboard NOAA satellites, CALIOP is an active sensor onboard the
 92 polar-orbiting CALIPSO satellite and is part of the NASA A-Train.

93 The AVHRR datasets provide cloud top information as global composites with a
 94 spatial resolution of $0.05^\circ \times 0.05^\circ$, containing data twice per day from ascending and de-
 95 scending for each location. The swath width of AVHRR is wide enough to provide global
 96 coverage daily. The AVHRR measurements are used to perform cloud detection and to
 97 retrieve cloud top properties, e.g., the top phase, which consists of a binary flag (liquid/ice).
 98 Table 1 contains more details about the phase retrieval algorithms. AVHRR-based re-
 99 trievals often lack sensitivity to high, optically very thin cloud layers, which might be
 100 missed or associated with larger uncertainties in the retrieved cloud properties (Stengel
 101 et al., 2015).

102 CALIOP provides vertical distributions of clouds and aerosols along so-called “gran-
 103 ules”. A granule is an orbit segment containing cloud, temporal, and geographical in-
 104 formation for every vertical profile. The horizontal resolution of CALIPSO is 333 m, while
 105 the vertical resolution is 30-60m. In our analysis we use CALIOP level 2 Cloud Layer
 106 Data in version 4.20 with a spatial resolution of 5 km, corresponding to approximately
 107 0.05° as in AVHRR at the equator. The swath width is very narrow, so that about one
 108 month of data must be collected to obtain a near-global coverage. The retrieved cloud
 109 phase distinguishes liquid water from “randomly-oriented” and “horizontally-oriented”
 110 ice. Table 1 includes further details on the phase retrieval algorithm. The dataset pro-
 111 vides vertical distributions of clouds in layers. Every layer can contain only one thermo-
 112 dynamic phase. CALIOP is able to retrieve up to an optical thickness of approximately
 113 5 into the cloud (Karlsson & Håkansson, 2018). Only “medium” and “high” cloud-aerosol

Table 1. Cloud phase algorithms used by the analyzed datasets

| Dataset | Cloud phase algorithm | Spectral bands (μm) | Reference |
|--------------|---|----------------------------------|---|
| Cloud_cci v2 | Cloud types are produced with a threshold decision tree (a series of spectral tests is applied to infrared brightness temperature); then, they are converted to a binary phase. If necessary, cloud top temperature is involved to re-set the phase for $T < -40^\circ\text{C}$ and at $T > 0^\circ\text{C}$. | 0.6, 1.6/3.75, 10.8, 12 | Algorithm Theoretical Baseline Document ATBD-CC4CLv5.1 (2017) Pavolonis and Heidinger (2004) Pavolonis et al. (2005a) |
| Cloud_cci v3 | An artificial neural network trained by collocating AVHRR measurements with CALIOP cloud phase produces binary phase information. If necessary, cloud top temperature is involved to re-set the phase at $T < -40^\circ\text{C}$ and at $T > 0^\circ\text{C}$. | 0.6, 1.6/3.75, 10.8, 12 | Algorithm Theoretical Baseline Document ATBD-CC4CLv6.2 (2019) Stengel et al. (2020) |
| CLARA-A2 | As Cloud_cci v2, with some different threshold values in the decision tree scheme. | 0.6, 1.6/3.75, 10.8, 12 | Algorithm Theoretical Baseline Document ATBD-CPP_AVHRR (2016) Pavolonis and Heidinger (2004) Pavolonis et al. (2005a) |
| CALIOP | Cloud altitude is derived as primary product, then converted to temperatures using model data from Goddard Earth Observing System, Version 5 (GEOS-5) vertical profiles. Next, the cloud phase is retrieved using the particulate depolarization ratio of backscattered light (and the cloud top height and temperature, if necessary). | 0.532, 1.064 | Hu et al. (2009) |

114 discrimination scores and “medium” and “high” cloud phase confidence scores are used
115 in this study.

116 2.2 Method

117 We analyzed collocated and non-collocated near-global (60° N to 60° S) data from
118 1 June 2009 to 31 May 2013. Using this time period, we benefit from the newest AVHRR/3
119 instrument onboard the most recent NOAA satellite, avoiding sensor-calibration differ-
120 ences with AVHRR onboard previous satellites leading to potential consistency issues.
121 Moreover, the data in this time period are not biased by the satellite drift yet. Latitudes
122 higher than 60° are excluded from our study because of the data low confidence, due to
123 the low solar zenith angle (Grosvenor & Wood, 2014) and the presence of sea ice (King
124 et al., 2004). The collocated data involve pixels retrieved as cloudy by all datasets within
125 3 minutes and 5 km. As the cloud optical thickness, involved in the cloud type classi-
126 fication, can be detected by the AVHRR sensor only by the channels in the visible range,
127 we consider only daytime measurements, i.e. the ascending track; we do the same for CALIOP
128 to make the comparison as consistent as possible, although daytime CALIOP retrieval
129 has a higher backscatter sensitivity threshold (Winker et al., 2009). We constrain fur-

130 ther analyses for latitudinal bands as follows: NH – from 60° N to 30° N; SH – from 30°
 131 S to 60° S; Tropics – from 30° N to 30° S. Continental and maritime regions are also an-
 132 alyzed. Because only the cloud top information is available from AVHRR, we investi-
 133 gate the cloud top phase distribution in relation to the cloud top temperature, with a
 134 focus on the mixed-phase temperature range. With a four-year analysis, we provide statis-
 135 tics on the supercooled liquid fraction (SLF) in clouds, computed as the ratio between
 136 the number of liquid cloud top pixels and the sum of ice plus liquid cloud top pixels. The
 137 analyzed isotherms cover the range -50°C to 5°C , with a 1°C increment. To sort the
 138 cloud types, the ISCCP classification (Rossow & Schiffer, 1999) is used, based on thresh-
 139 old values of cloud top pressure (CTP= [0, 440, 680, 1000] hPa) and cloud optical thick-
 140 ness (COT= [0, 3.6, 23, 379]). For simplicity, for each COT-CTP combination the cor-
 141 responding cloud name (e.g., cirrus, stratocumulus, etc.) assigned in Rossow and Schif-
 142 fer (1999) is used here, despite being aware that a classification of cloud types purely based
 143 on CTP and COT has limitations (Hahn et al., 2001).

144 For AVHRR datasets, all the cloudy pixels with $\text{COT} < 0.3$ are filtered out to im-
 145 prove the data quality (Stengel et al., 2015). To be comparable to the AVHRR datasets
 146 and mimic the view of the passive sensor, we remove the uppermost layers from the CALIOP
 147 profiles down to an optical thickness of 0.3 and consider the remaining highest cloud top
 148 layer for the study. The cloud classification precedes the computation of SLF on isotherms
 149 in the studies in which different cloud types are analyzed.

150 3 Results

151 As a first step, a comparison between the collocated (Fig. 1(a)) and non-collocated
 152 (Fig. 1(b)) data is shown. The difference in SLF and the associated CTT among the datasets
 153 stands out in these figures, and in particular the gap between the three AVHRR-based
 154 datasets and CALIOP, up to about 25°C or SLF of about 80% at a fixed temperature.
 155 In Fig. 1(c), we show that this disagreement is due to both the CTT and phase retrievals:
 156 There is a CTT bias of Cloud_cci v3 compared to CALIOP mainly at lower tempera-
 157 tures, and a frequent disagreement on phase (with Cloud_cci v3 retrieving ice where CALIOP
 158 retrieves liquid) in the mixed-phase temperature range. This figure uses the collocated
 159 data and compares point-by-point the cloud top temperature retrieved by Cloud_cci v3
 160 and CALIOP over the entire tropospheric temperature range and for three cloud top phase
 161 combinations: same phase, CALIOP retrieving ice while Cloud_cci v3 liquid, and vice
 162 versa. The contour lines indicate the areas (A, B, and C) where the frequency of occur-
 163 rence per $1\text{K} \times 1\text{K}$ bin is greater than 240. This threshold highlights areas of agreement
 164 and disagreement between the datasets, and separate the area where the sensors retrieve
 165 the same phase into two regions (A and C) at around $T = -28^{\circ}\text{C}$. This temperature value
 166 is used to compute the contributions of regions A and C separately. Because of the small
 167 contribution given by the collocated pixels with CALIOP retrieving ice and Cloud_cci
 168 v3 liquid, there is no contour line for this phase combination. Region A, including part
 169 of the cases with the same phase and representing 45.9% of total cases, does not con-
 170 tribute systematically to the differences in the phase distribution in Fig. 1(a)-(b), be-
 171 cause it incorporates cases with good agreement in temperature and cases where the largest
 172 temperature difference is about 10°C . Moreover, only a part of that region is within the
 173 mixed-phase temperature range (around 13% of total cases). Conversely, a clear warm
 174 bias in Cloud_cci v3 CTT with respect to CALIOP is indicated in region B, including
 175 pixels with the same phase, which represents 26.6% of total cases. This region, albeit
 176 including only around 0.7% of total cases between -40°C and 0°C for both datasets, in-
 177 cludes many cases contributing only to the SLF computation for Cloud_cci v3 (count-
 178 ing about 11% of total cases considering the mixed-phase temperature range for only Cloud_cci
 179 v3), which are excluded from the SLF computation in CALIOP because they are out-
 180 side its mixed-phase temperature range. Finally, region C, with 9% of total cases (8.7%
 181 between -40°C and 0°C), refers to pixels retrieved liquid in CALIOP and ice in Cloud_cci

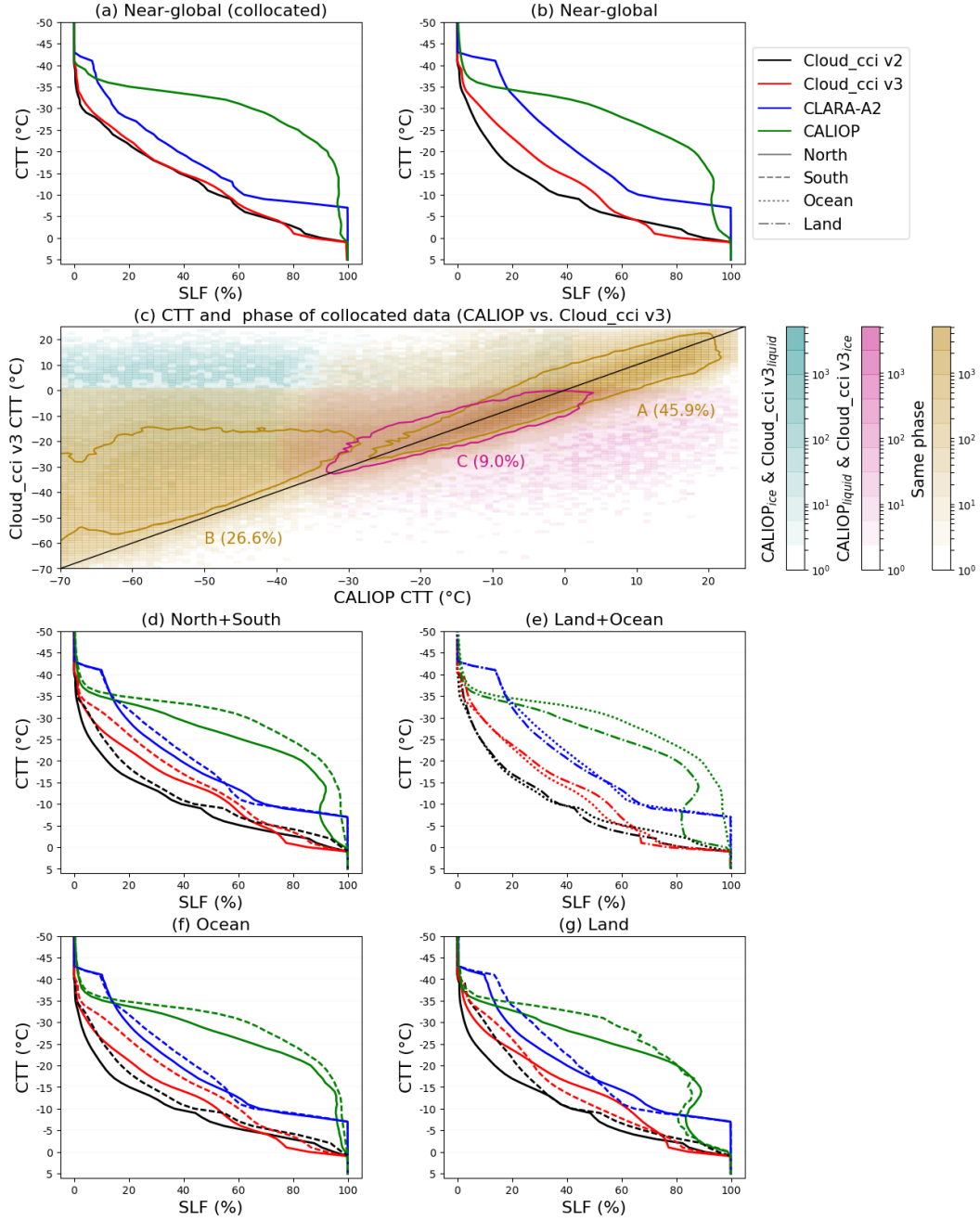


Figure 1. Comparison of supercooled liquid fractions (SLFs) vs. cloud top temperature (CTT) for collocated (a) and non-collocated (b) near-global (60° N to 60° S) data, followed by a point-by-point comparison of CTT and cloud top phase for CALIOP and Cloud_cci v3 using the collocated data (c), where the brightness of the bins ($1\text{K} \times 1\text{K}$) represents the absolute frequency of occurrence and the different colors represent the different combinations of retrieved phase. The contour lines encompass bins with frequency greater than 240, while the percentages refer to the contoured areas and represent the relative amount of cases within the contour lines with respect to the total cases. A further comparison of SLF vs. CTT for non-collocated data follows constraining the extratropical Northern and the Southern Hemispheres (d), land and ocean (e), and extratropical Northern and Southern Hemispheres for only maritime (f) and only continental (g) regions. Different colors in SLF vs. CTT plots represent different datasets; different line types represent different regions.

182 v3. It includes, as region A, cases with good agreement in temperature and cases where
 183 the largest difference is about 10°C. Nevertheless, region C contributes principally to the
 184 phase mismatch. The percentage of cases in C changes only a little if considering solely
 185 temperatures between -40°C and 0°C (8.7%). A point-by-point comparison of CALIOP
 186 with CLARA-A2 and with Cloud_cci v2 (not shown) gives similar results. For AVHRR
 187 datasets retrieving liquid while CALIOP retrieving ice, CLARA-A2 revealed more cases
 188 than Cloud_cci v2 and v3 for temperatures below -30°C and down to -41°C; For this rea-
 189 son, CLARA-A2 shows SLF around 7% between -40°C and -41°C using the collocated
 190 data (Fig. 1(a)) and around 17% using the non-collocated data (Fig. 1(b)). A quanti-
 191 tative analysis of the differences between CALIOP and Cloud_cci v2 and v3 can be found
 192 in Stengel et al. (2020): While any phase bias of Cloud_cci v2 and v3 with respect to CALIOP
 193 has nearly vanished for COTs of approximately 0.15 into the clouds, there is still a sig-
 194 nificant bias at COT = 1 for the cloud top height (CTH) of ice clouds, to which CTT
 195 is linked. As a consequence, CTH is usually retrieved from levels below the levels used
 196 to retrieve the phase, so that the retrieved CTT can be warmer than the effective tem-
 197 perature of the assigned cloud top phase, agreeing to our results.

198 We proceed with the study of SLF in separate geographical regions. Figure 1(d)
 199 shows, for all datasets, larger SLF in SH than in NH (with an average difference for sin-
 200 gle datasets between 1.7% and 9.8%). In Fig. 1(e), CALIOP shows clearly larger SLF
 201 over ocean than over land (with an average difference of 11.2%), but the AVHRR-based
 202 datasets do not agree with CALIOP for the entire temperature range. While larger SLF
 203 in SH than in NH is confirmed when constraining the analysis to maritime pixels (Fig. 1(f),
 204 with an average difference for single datasets between 2.1% and 7.3%), it is confirmed
 205 only for specific temperature ranges over land (Fig. 1(g)), generally for $T < -23^\circ\text{C}$. Near-
 206 global SLF geographical distributions are shown in Fig. S1 in the supporting informa-
 207 tion.

208 Next, we investigate the global SLF distribution for different cloud types (Fig. 2).
 209 The cloud types have been grouped into high-, mid-, and low-level clouds taking into ac-
 210 count the temperature ranges that the datasets have in common at the three heights in-
 211 dividually. This figure is derived from SLF-CTT distributions, for which the least fre-
 212 quent cases in CTT (frequency of occurrence lower than 2% with respect to the max-
 213 imum of each distribution in temperature) have been filtered out. Similarly to Fig. 1,
 214 in Fig. 2 the systematically lower SLF in AVHRR compared to CALIOP is found. A fur-
 215 ther outcome can be identified in this figure for every height-level and almost all cases:
 216 the optically thicker the clouds, the larger the SLF. This is consistent in all datasets with
 217 a few exceptions.

218 Figure 3 condenses the SLF into average values for different cloud types, land and
 219 ocean, NH and SH. All datasets confirm generally larger SLF for optically thicker clouds
 220 at the same height-level. Figures 3(a)-(c) reveal larger SLF over ocean than over land
 221 and in SH than in NH, respectively, for most of the cloud types with the exception of
 222 some high- and mid-level clouds in Cloud_cci v2 and v3, especially in Fig. 3(a). In the
 223 Tropics (Fig. 3(b)), most of the clouds show larger SLF over ocean than over land, ex-
 224 cept for again some high- and mid-level clouds in Cloud_cci v2 and v3, and low-level clouds
 225 in Cloud_cci v2. Finally, Figure 3(d) shows that, separating the maritime and continen-
 226 tal pixels in NH and SH, SLF is larger in NH than in SH only for most of the low-level
 227 clouds over land (cumulus, stratocumulus, and stratus clouds), otherwise again a larger
 228 SLF in SH than NH is found.

229 This entire study has been conducted using the collocated data too (not shown),
 230 confirming the main results of our findings, although with more noise. The collocated
 231 data, in fact, represent only about 9.5% of CALIOP and 0.02% of AVHRR non-collocated
 232 data.

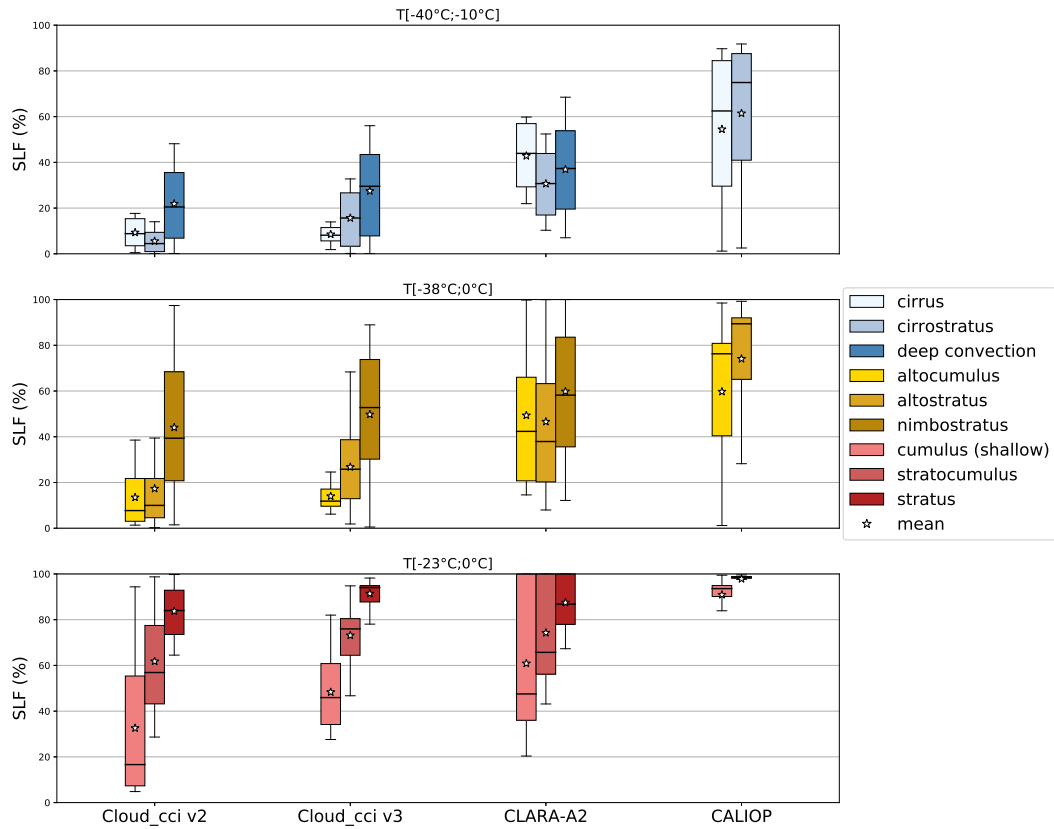


Figure 2. Boxplot of the supercooled liquid fraction (SLF) for different cloud types sorted in three height levels. Clouds at the same height-level share the same cloud top temperature range, specified at the top of each panel. The different datasets are separated by columns and every color corresponds to one cloud type. The boxes extend from the lower to upper quartile values of the data, whereas the whiskers show the entire range of the data. The horizontal lines within the boxes represent the median of the distributions, while the stars represent their mean values.

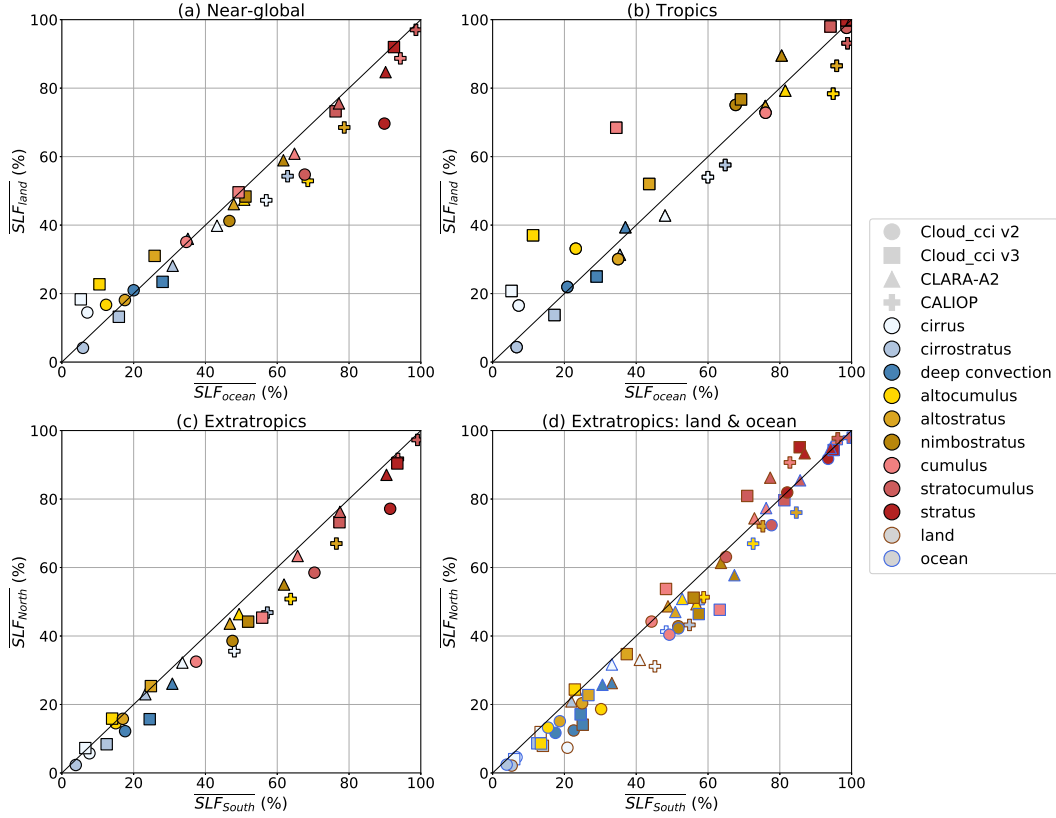


Figure 3. Comparison of mean SLF for different cloud types, considered in the temperature ranges they have in common at the same height-level and for each subplot individually, for near-global maritime and continental pixels (a), tropical maritime and continental pixels (b), and extratropical Northern and Southern Hemispheres (c), with the further separation of maritime and continental regions (d). Different markers identify different datasets, filling colors distinguish the cloud types, while edge colors refer to continental or maritime pixels in (d).

4 Discussion and Conclusions

We performed a four-year statistical analysis to better understand the relationship between cloud top phase and temperature in the mixed-phase temperature range (-40°C to 0°C). Our study is based on four datasets (Cloud_cci AVHRR-PM2.0, Cloud_cci AVHRR-PM3.0, CLARA-A2, and CALIOP v4.20) and consists of the comparison of the retrieved cloud top phase and cloud top temperature in terms of SLF for specific isotherms. The study included collocated data, to determine the inconsistencies among the retrievals, and non-collocated data for the main study. The analysis was conducted from 60°N to 60°S , for extratropical Northern and Southern Hemispheres separately, for the Tropics, for continental and oceanic surfaces, and for different cloud types. To classify the cloud types, cloud top pressure and cloud optical thickness thresholds have been used (Rossow & Schiffer, 1999). Summarizing the main findings:

- Using collocated data, we found a warm bias of AVHRR CTT compared to CALIOP and a phase mismatch (liquid cloud tops in CALIOP retrieved as ice in AVHRR-based datasets). Many factors can contribute to the disagreements between CALIOP and AVHRR. One of the most important ones is the difference of the sensors, the first passive and the second active: While the AVHRR has problems detecting multilayer clouds that include top layers with small COT, leading to misclassifications of cloud top phase, CALIOP can detect multilayer clouds with optical thickness up to 5, and this might cause misclassifications too. Processing the data, cloud edges have not been excluded because it was not possible for the AVHRR-based datasets, representing a possible source of misclassification for low- and mid-level clouds (Pavolonis et al., 2005b). Moreover, a possible phase change of a detected cloud top would cause a modification of COT, and therefore a possible misclassification to an optically thicker or thinner cloud category, modifying the SLF of another cloud type. Some of these issues have also been presented in Cesana et al. (2019) for shallow cumulus and stratocumulus clouds, emphasizing that errors in retrieving cloud phase, cloud optical thickness, and cloud top height can result in cloud type misclassifications. Furthermore, the filter we apply to the optical thickness may be not sufficient to make sure that we are analyzing the cloud data in the same way. In Stengel et al. (2015), CALIOP's liquid cloud fraction resulted closer to the AVHRR-based dataset CLAVR-x (Cloud from AVHRR Extended) than to other AVHRR-based datasets. One reason was that for CLAVR-x algorithms a priori information based on CALIOP climatologies was used for ice clouds. This, in turn, prevented that phase and CTT were independently retrieved, a condition required for our study.
- We found higher SLF in the SH than in the NH, in agreement with Tan et al. (2014). This result might be explained by the larger size of the continental area and therefore the prevalence of continental aerosol with the ability to act as INPs in the NH. Higher SLF in the SH than in the NH was found also when constraining the analysis for maritime surfaces, while over-land cases agree on it for temperatures generally colder than -23°C . Further analyses using different cloud types were necessary to understand the origin of this last feature, principally due to the low-level clouds, which occur at warmer temperatures than the clouds at higher levels. More details are included in the next point.
- Analyzing different cloud types and combining NH, SH, continental, and maritime regions, we found higher SLF in the SH than in the NH (in line with Coopman et al. (2020)), with the exception of the most low-level clouds over land, for which the opposite occurs. This might be due to synoptic conditions or specific aerosol conditions experienced by low-level clouds in those regions and impacting their phase. Considering that the common temperature range of the analyzed continental low-level clouds goes from -15°C to 0°C (not shown), our result shows agreements with Villanueva et al. (2020), where lower ice content was found in clouds

286 in NH than in SH for $T = (-15^{\circ} \pm 6^{\circ})\text{C}$, probably because of the larger amount
 287 of feldspar in the SH. Our result could also be explained by the higher density of
 288 particles acting as CCN in the NH, resulting in smaller droplet sizes, which might
 289 limit secondary ice formation (Mossop, 1980). Our speculations are partially sup-
 290 ported by further previous studies: Some anthropogenic aerosols such as black car-
 291 bon, sulfate, and organic aerosols, do not act as efficient INPs but are efficient CCNs
 292 (Hoose & Möhler, 2012); model outputs have shown that sulfate aerosol and black
 293 carbon have the highest mass concentration in the lower troposphere of the NH
 294 (X. Liu et al., 2009), where they act as CCN (Boucher & Lohmann, 1995), whereas
 295 they act as INPs only at very high altitudes over the Tropics and the polar regions
 296 (X. Liu et al., 2009). Indeed, Tan et al. (2014) found that dust (as mineral desert
 297 dust), polluted dust (as dust mixed with urban pollution and biomass burning smoke),
 298 and smoke (as biomass burning aerosols, principally made of soot and organic car-
 299 bon) are mainly distributed in the Tropics and in the NH.

- 300 • In the analysis of different cloud types, same-height clouds showed SLF increas-
 301 ing with COT. Although clouds containing more droplets than ice particles result
 302 in higher optical thickness, we cannot exclude an influence of the cloud dynam-
 303 ics on both COT and SLF. For example, optically thicker clouds tend to have stronger
 304 updrafts and consequently higher supersaturation values, which may inhibit the
 305 glaciation process (Korolev, 2007), potentially lowering the glaciation tempera-
 306 ture in clouds and causing the presence of more supercooled liquid water than ice.
 307 From our analysis, it is not possible to determine which process can explain the
 308 obtained result.

309 In our study, we have considered possible limitations in the datasets linked to the
 310 phase detection of the sensors. Because of this, particular attention has been paid to the
 311 cloud optical thickness, bearing in mind that the cloud top phase as well as cloud type
 312 might be influenced by it. Despite the differences found in the datasets, our results show
 313 broad agreements among them in many aspects, not only proving the robustness of the
 314 results but also showing that the passive satellite sensor AVHRR can contribute to the
 315 cloud phase research once its limitations have been taken into account. The AVHRR-
 316 based datasets can be used for further studies (e.g., for comparison with climate mod-
 317 els), benefiting from the long temporal record and good spatial coverage.

318 **Acknowledgments**

319 For the used AVHRR-PM datasets, the following DOIs provide additional documenta-
 320 tion and data download sites: Cloud_cci v2 — https://doi.org/10.5676/DWD/ESA_Cloud_cci/AVHRR-PM/V002;
 321 Cloud_cci v3 — https://doi.org/10.5676/DWD/ESA_Cloud_cci/AVHRR-PM/V003;
 322 CLARA-A2 — https://doi.org/10.5676/EUM.SAF_CM/CLARA_AVHRR/V002. CALIOP
 323 data are available online at the NASA Langley Atmospheric Sciences Data Center web-
 324 site (<https://eosweb.larc.nasa.gov/order-data>). For the collocated CALIOP data, the CAL_LID_L2_05kmCLay-
 325 Prov product was downloaded from the ICARE Data and Service Center ([http://www.icare.univ-](http://www.icare.univ-lille1.fr)
 326 [lille1.fr](http://www.icare.univ-lille1.fr), last access: 29 March 2017). This project has received funding from the Euro-
 327 pean Research Council (ERC) under the European Union’s Horizon 2020 research and
 328 innovation programme under grant agreement No 714062 (ERC Starting Grant “C2Phase”).
 329 This work was performed on the supercomputer ForHLR funded by the Ministry of Sci-
 330 ence, Research and the Arts Baden-Württemberg and by the Federal Ministry of Edu-
 331 cation and Research. The contribution of Martin Stengel was supported by the Euro-
 332 pean Space Agency (ESA) through the Cloud_cci project (Contract 4000128637/20/I-
 333 NB) and by EUMETSAT and its member states through CM SAF. We thank Jan Cer-
 334 mak for the helpful discussions.

References

335

- 336 ATBD-CC4CLv5.1. (2017). Algorithm Theoretical Baseline Document ATBD
 337 CC4CL - ESA Cloud_cci [Computer software manual]. (Issue 6, Revi-
 338 sion: 2, date of issue: 18/10/2019) doi: [http://www.esa-cloud-cci.org/
 339 ?q=documentation_v3](http://www.esa-cloud-cci.org/?q=documentation_v3)
- 340 ATBD-CC4CLv6.2. (2019). Algorithm Theoretical Baseline Document ATBD
 341 CC4CL - ESA Cloud_cci [Computer software manual]. (Issue 5, Revi-
 342 sion: 1, date of issue: 28/08/2017) doi: [http://www.esa-cloud-cci.org/
 343 ?q=documentation](http://www.esa-cloud-cci.org/?q=documentation)
- 344 ATBD-CPP_AVHRR. (2016). Algorithm Theoretical Baseline Document - CM SAF
 345 Cloud, Albedo, Radiation data record, AVHRR-based, Edition 2 (CLARA-A2)
 346 - Cloud Physical products [Computer software manual]. (Issue/Revision
 347 Index: 2.0, date of issue: 19/08/2016) doi: [10.5676/EUM.SAF_CM/
 348 CLARA_AVHRR/V002](https://doi.org/10.5676/EUM.SAF_CM/CLARA_AVHRR/V002)
- 349 Barrett, P. A., Blyth, A., Brown, P. R. A., & Abel, S. J. (2020). The structure
 350 of turbulence and mixed-phase cloud microphysics in a highly supercooled al-
 351 tocumulus cloud. *Atmospheric Chemistry and Physics*, *20*(4), 1921–1939. doi:
 352 [10.5194/acp-20-1921-2020](https://doi.org/10.5194/acp-20-1921-2020)
- 353 Boucher, O., & Lohmann, U. (1995). The sulfate-CCN-cloud albedo effect. *Tellus B:
 354 Chemical and Physical Meteorology*, *47*(3), 281–300. doi: [10.3402/tellusb.v47i3
 355 .16048](https://doi.org/10.3402/tellusb.v47i3.16048)
- 356 Cesana, G., Del Genio, A. D., & Chepfer, H. (2019). The Cumulus And Stratocu-
 357 mulus CloudSat-CALIPSO Dataset (CASCCAD). *Earth System Science Data
 358 Discussions*, *11*, 1–33. doi: [10.5194/essd-2019-73](https://doi.org/10.5194/essd-2019-73)
- 359 Cesana, G., & Storelvmo, T. (2017). Improving climate projections by under-
 360 standing how cloud phase affects radiation. *Journal of Geophysical Research*,
 361 *122*(8), 4594–4599. doi: [10.1002/2017JD026927](https://doi.org/10.1002/2017JD026927)
- 362 Coopman, Q., Hoose, C., & Stengel, M. (2019). Detection of Mixed-Phase Convec-
 363 tive Clouds by a Binary Phase Information From the Passive Geostationary
 364 Instrument SEVIRI. *Journal of Geophysical Research: Atmospheres*, *124*(9),
 365 5045–5057. doi: [10.1029/2018JD029772](https://doi.org/10.1029/2018JD029772)
- 366 Coopman, Q., Riedi, J., Zeng, S., & Garrett, T. J. (2020). Space-based analysis
 367 of the cloud thermodynamic phase transition for varying microphysical and
 368 meteorological regimes. *Geophysical Research Letters*, *47*(6), e2020GL087122.
 369 doi: [10.1029/2020GL087122](https://doi.org/10.1029/2020GL087122)
- 370 Costa, A., Meyer, J., Afchine, A., Luebke, A., Günther, G., Dorsey, J. R., . . .
 371 Krämer, M. (2017). Classification of Arctic, midlatitude and tropical clouds
 372 in the mixed-phase temperature regime. *Atmospheric Chemistry and Physics*,
 373 *17*(19), 12219–12238. doi: [10.5194/acp-17-12219-2017](https://doi.org/10.5194/acp-17-12219-2017)
- 374 Forbes, R. M., & Ahlgrimm, M. (2014). On the Representation of High-Latitude
 375 Boundary Layer Mixed-Phase Cloud in the ECMWF Global Model. *Monthly
 376 Weather Review*, *142*(9), 3425–3445. doi: [10.1175/MWR-D-13-00325.1](https://doi.org/10.1175/MWR-D-13-00325.1)
- 377 Gierens, R., Kneifel, S., Shupe, M. D., Ebell, K., Maturilli, M., & Löhnert,
 378 U. (2020). Low-level mixed-phase clouds in a complex arctic environ-
 379 ment. *Atmospheric Chemistry and Physics*, *20*(6), 3459–3481. doi:
 380 [10.5194/acp-20-3459-2020](https://doi.org/10.5194/acp-20-3459-2020)
- 381 Grosvenor, D. P., & Wood, R. (2014). The effect of solar zenith angle on
 382 MODIS cloud optical and microphysical retrievals within marine liquid wa-
 383 ter clouds. *Atmospheric Chemistry and Physics*, *14*(14), 7291–7321. doi:
 384 [10.5194/acp-14-7291-2014](https://doi.org/10.5194/acp-14-7291-2014)
- 385 Hahn, C. J., Rossow, W. B., & Warren, S. G. (2001). ISCCP Cloud Proper-
 386 ties Associated with Standard Cloud Types Identified in Individual Sur-
 387 face Observations. *Journal of Climate*, *14*(1), 11–28. doi: [10.1175/
 388 1520-0442\(2001\)014\(0011:ICPAWS\)2.0.CO;2](https://doi.org/10.1175/1520-0442(2001)014(0011:ICPAWS)2.0.CO;2)
- 389 Henneberger, J., Fugal, J. P., Stetzer, O., & Lohmann, U. (2013). HOLIMO II:

- 390 A digital holographic instrument for ground-based in situ observations of
 391 microphysical properties of mixed-phase clouds. *Atmospheric Measurement*
 392 *Techniques*, 6(11), 2975–2987. doi: 10.5194/amt-6-2975-2013
- 393 Hoose, C., & Möhler, O. (2012). Heterogeneous ice nucleation on atmospheric
 394 aerosols: A review of results from laboratory experiments. *Atmospheric Chem-*
 395 *istry and Physics*, 12(20), 9817–9854. doi: 10.5194/acp-12-9817-2012
- 396 Hu, Y., Winker, D., Vaughan, M., Lin, B., Omar, A., Trepte, C., . . . Kuehn,
 397 R. (2009). CALIPSO/CALIOP Cloud Phase Discrimination Algorithm.
 398 *Journal of Atmospheric and Oceanic Technology*, 26(11), 2293–2309. doi:
 399 10.1175/2009JTECHA1280.1
- 400 Karlsson, K. G., Anttila, K., Trentmann, J., Stengel, M., Fokke Meirink, J., Dev-
 401 asthale, A., . . . Hollmann, R. (2017). CLARA-A2: The second edition
 402 of the CM SAF cloud and radiation data record from 34 years of global
 403 AVHRR data. *Atmospheric Chemistry and Physics*, 17(9), 5809–5828. doi:
 404 10.5194/acp-17-5809-2017
- 405 Karlsson, K.-G., & Håkansson, N. (2018). Characterization of avhrr global
 406 cloud detection sensitivity based on calipso-caliop cloud optical thickness
 407 information: demonstration of results based on the cm saf clara-a2 climate
 408 data record. *Atmospheric Measurement Techniques*, 11(1), 633–649. doi:
 409 10.5194/amt-11-633-2018
- 410 King, M. D., Platnick, S., Yang, P., Arnold, G. T., Gray, M. A., Riedi, J. C., . . .
 411 Liou, K. N. (2004). Remote sensing of liquid water and ice cloud optical thick-
 412 ness and effective radius in the Arctic: Application of airborne multispectral
 413 MAS data. *Journal of Atmospheric and Oceanic Technology*, 21(6), 857–875.
 414 doi: 10.1175/1520-0426(2004)021<0857:RSOLWA>2.0.CO;2
- 415 Korolev, A. V. (2007). Limitations of the Wegener–Bergeron–Findeisen Mechanism
 416 in the Evolution of Mixed-Phase Clouds. *Journal of the Atmospheric Sciences*,
 417 64(9), 3372–3375. doi: 10.1175/JAS4035.1
- 418 Korolev, A. V. (2008). Rates of phase transformations in mixed-phase clouds. *Quar-*
 419 *terly Journal of the Royal Meteorological Society*, 134(632), 595–608. doi: 10
 420 .1002/qj.230
- 421 Korolev, A. V., McFarquhar, G., Field, P. R., Franklin, C., Lawson, P., Wang, Z.,
 422 . . . Wendisch, M. (2017). Mixed-Phase Clouds: Progress and Challenges. *Me-*
 423 *teorological Monographs*, 58. doi: 10.1175/AMSMONOGRAPHS-D-17-0001.1
- 424 Liu, X., Penner, J. E., & Wang, M. (2009). Influence of anthropogenic sulfate and
 425 black carbon on upper tropospheric clouds in the NCAR CAM3 model cou-
 426 pled to the IMPACT global aerosol model. *Journal of Geophysical Research:*
 427 *Atmospheres*, 114(D3). doi: 10.1029/2008JD010492
- 428 Liu, Z., Kar, J., Zeng, S., Tackett, J., Vaughan, M., Avery, M., . . . Winker, D.
 429 (2019). Discriminating between clouds and aerosols in the CALIOP version 4.1
 430 data products. *Atmospheric Measurement Techniques*, 12(1), 703–734. doi:
 431 10.5194/amt-12-703-2019
- 432 McCoy, D. T., Tan, I., Hartmann, D. L., Zelinka, M. D., & Storelvmo, T. (2016).
 433 On the relationships among cloud cover, mixed-phase partitioning, and plane-
 434 tary albedo in GCMs. *Journal of Advances in Modeling Earth Systems*, 8(2),
 435 650–668. doi: 10.1002/2015MS000589
- 436 Mossop, S. C. (1980). The mechanism of ice splinter production during riming. *Geo-*
 437 *physical Research Letters*, 7(2), 167–169. doi: 10.1029/GL007i002p00167
- 438 Noh, Y.-J., Miller, S. D., Heidinger, A. K., Mace, G. G., Protat, A., & Alexander,
 439 S. P. (2019). Satellite-based detection of daytime supercooled liquid-topped
 440 mixed-phase clouds over the southern ocean using the advanced himawari im-
 441 ager. *Journal of Geophysical Research: Atmospheres*, 124(5), 2677–2701. doi:
 442 <https://doi.org/10.1029/2018JD029524>
- 443 Pavolonis, M. J., & Heidinger, A. K. (2004). Daytime Cloud Overlap Detection from
 444 AVHRR and VIIRS. *Journal of Applied Meteorology*, 43, 762–778. doi: 10

- 445 .1175/2099.1
 446 Pavlonis, M. J., Heidinger, A. K., & Uttal, T. (2005a). Daytime Global Cloud
 447 Typing from AVHRR and VIIRS: Algorithm Description, Validation, and
 448 Comparisons. *Journal of Applied Meteorology*, *44*(6), 804–826. doi:
 449 10.1175/JAM2236.1
- 450 Pavlonis, M. J., Heidinger, A. K., & Uttal, T. (2005b). Daytime Global
 451 Cloud Typing from AVHRR and VIIRS: Algorithm Description, Validation,
 452 and Comparisons. *Journal of Applied Meteorology*, *44*(6), 804–826. doi:
 453 10.1175/JAM2236.1
- 454 Plummer, D. M., Mcfarquhar, G. M., Rauber, R. M., Jewett, B. F., & Leon,
 455 D. C. (2014). Structure and statistical analysis of the microphysical prop-
 456 erties of generating cells in the comma head region of continental winter
 457 cyclones. *Journal of the Atmospheric Sciences*, *71*(11), 4181–4203. doi:
 458 10.1175/JAS-D-14-0100.1
- 459 Rossow, W. B., & Schiffer, R. A. (1999). Advances in Understanding Clouds from
 460 ISCCP. *Bulletin of the American Meteorological Society*, *80*(11), 2261–2287.
 461 doi: 10.1175/1520-0477(1999)080<2261:AIUCFI>2.0.CO;2
- 462 Stengel, M., Mieruch, S., Jerg, M., Karlsson, K. G., Scheirer, R., Maddux, B., ...
 463 Hollmann, R. (2015). The Clouds Climate Change Initiative: Assessment
 464 of state-of-the-art cloud property retrieval schemes applied to AVHRR her-
 465 itage measurements. *Remote Sensing of Environment*, *162*, 363–379. doi:
 466 10.1016/j.rse.2013.10.035
- 467 Stengel, M., Stapelberg, S., Sus, O., Finkensieper, S., Würzler, B., Philipp, D., ...
 468 McGarragh, G. (2020). Cloud_cci advanced very high resolution radiometer
 469 post meridiem (avhrr-pm) dataset version 3: 35-year climatology of global
 470 cloud and radiation properties. *Earth System Science Data*, *12*(1), 41–60. doi:
 471 10.5194/essd-12-41-2020
- 472 Stengel, M., Stapelberg, S., Sus, O., Schlundt, C., Poulsen, C., Thomas, G., ...
 473 Hollmann, R. (2017). Cloud property datasets retrieved from AVHRR,
 474 MODIS, AATSR and MERIS in the framework of the Cloud-cci project. *Earth*
 475 *System Science Data*, *9*(2), 881–904. doi: 10.5194/essd-9-881-2017
- 476 Tan, I., Oreopoulos, L., & Cho, N. (2019). The role of thermodynamic phase shifts
 477 in cloud optical depth variations with temperature. *Geophysical Research Let-*
 478 *ters*, *46*(8), 4502–4511. doi: <https://doi.org/10.1029/2018GL081590>
- 479 Tan, I., Storelvmo, T., & Choi, Y. S. (2014). Spaceborne lidar observations of the
 480 ice-nucleating potential of dust, polluted dust, and smoke aerosols in mixed-
 481 phase clouds. *Journal of Geophysical Research*, *119*(11), 6653–6665. doi:
 482 10.1002/2013JD021333
- 483 Villanueva, D., Heinold, B., Seifert, P., Deneke, H., Radenz, M., & Tegen, I. (2020).
 484 The day-to-day co-variability between mineral dust and cloud glaciation: a
 485 proxy for heterogeneous freezing. *Atmospheric Chemistry and Physics*, *20*(4),
 486 2177–2199. doi: 10.5194/acp-20-2177-2020
- 487 Wang, Z., French, J., Vali, G., Wechsler, P., Haimov, S., Rodi, A., ... Pazmany,
 488 A. L. (2012). Single aircraft integration of remote sensing and in situ sampling
 489 for the study of cloud microphysics and dynamics. *Bulletin of the American*
 490 *Meteorological Society*, *93*(5), 653–668. doi: 10.1175/BAMS-D-11-00044.1
- 491 Winker, D. M., Vaughan, M. A., Omar, A., Hu, Y., Powell, K. A., Liu, Z., ...
 492 Young, S. A. (2009). Overview of the CALIPSO mission and CALIOP data
 493 processing algorithms. *Journal of Atmospheric and Oceanic Technology*,
 494 *26*(11), 2310–2323. doi: 10.1175/2009JTECHA1281.1
- 495 Yu, G., Verlinde, J., Clothiaux, E. E., & Chen, Y.-S. (2014). Mixed-phase cloud
 496 phase partitioning using millimeter wavelength cloud radar doppler velocity
 497 spectra. *Journal of Geophysical Research: Atmospheres*, *119*(12), 7556–7576.
 498 doi: <https://doi.org/10.1002/2013JD021182>
- 499 Zhang, D., Wang, Z., & Liu, D. (2010). A global view of midlevel liquid-layer topped

500 stratiform cloud distribution and phase partition from calipso and cloudsat
501 measurements. *Journal of Geophysical Research: Atmospheres*, 115(D4). doi:
502 <https://doi.org/10.1029/2009JD012143>