



Using movements, genetics and trophic ecology to differentiate inshore from offshore aggregations of humpback whales in the Gulf of Alaska

Briana H. Witteveen^{1,*}, Jan M. Straley², Ellen Chenoweth², C. Scott Baker³, Jay Barlow⁴, Craig Matkin⁵, Christine M. Gabriele⁶, Janet Neilson⁶, Debbie Steel³, Olga von Ziegesar⁷, Alexander G. Andrews⁸, Amy Hirons⁹

¹University of Alaska Fairbanks, Sea Grant Marine Advisory Program, Kodiak, 118 Trident Way, Kodiak, Alaska 99615, USA

²University of Alaska Southeast, Sitka Campus, 1332 Seward Avenue, Sitka, Alaska 99835, USA

³Hatfield Marine Science Center, Oregon State University, 2030 SE Marine Science Drive, Newport, Oregon 97365-5229, USA

⁴Southwest Fisheries Science Center, National Marine Fisheries Service, 3333 North Torrey Pines Court, La Jolla, California 92037-1022, USA

⁵North Gulf Oceanic Society, PO Box 15244, Homer, Alaska 99603, USA

⁶Glacier Bay National Park and Preserve, PO Box 140, Gustavus, Alaska 99826, USA

⁷Eye of the Whale, PO Box 15191, Fritz Creek, Alaska 99603, USA

⁸NOAA Fisheries Auke Bay Laboratories, 17109 Lena Point Loop Road, Juneau, Alaska 99801, USA

⁹Nova Southeastern University, 8000 North Ocean Drive, Dania Beach, Florida 33004-3078, USA

ABSTRACT: Humpback whales *Megaptera novaeangliae* have been studied in the coastal waters of the Gulf of Alaska (GOA) since the late 1960s, but information about whales foraging offshore is limited. A large-scale collaborative project (SPLASH) provided opportunities to study humpback whales in both inshore and offshore habitats. Using identification photographs and biopsy samples, we explored individual movements, the distribution of mitochondrial (mtDNA) haplotypes, and trophic levels for humpback whales within 3 regions (Kodiak, KOD; Prince William Sound, PWS; and southeastern Alaska, SEAK) of the GOA to determine whether inshore and offshore aggregations of humpback whales are distinct. Each region was divided into inshore and offshore habitats, creating 6 subregions for comparison. Results documenting 2136 individual whales showed that movement within the study area was most frequent between inshore and offshore subregions within a region. In general, movement between regions was minimal. Tissue samples of 483 humpback whales included 15 mtDNA haplotypes. Pairwise chi-squared tests showed haplotype differences between subregions, but inshore PWS was the only subregion with a haplotype composition significantly different than all other subregions. Trophic levels, as inferred from stable nitrogen isotope ratios, were significantly different among subregions, ranging from 3.4 to 4.5. Pairwise comparisons showed that inshore PWS was again the only subregion that significantly differed from all others. Results suggest that the combined inshore and offshore habitats for KOD and the inshore and offshore habitats for SEAK should each be considered as single regional feeding aggregations, while inshore PWS may represent a separate aggregation from PWS offshore.

KEY WORDS: Humpback whale · *Megaptera novaeangliae* · Gulf of Alaska · mtDNA · Haplotype · Trophic level · Movement

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INTRODUCTION

The humpback whale *Megaptera novaeangliae* is a cosmopolitan species that undergoes extensive seasonal migrations. In the North Pacific, humpback whales migrate from low-latitude breeding and calving grounds to geographically distinct aggregations on higher-latitude feeding grounds. While a very small degree of interchange has been documented, these feeding aggregations are generally isolated from one another. This segregation has been attributed to the cultural transmission of fidelity to a feeding ground as a result of a calf's early maternal experience (Baker et al. 1990). This maternally directed fidelity results in a sorting of individual whales onto regional feeding grounds, which are characterized by differences in the rates of return by naturally marked individuals and frequencies of mitochondrial DNA (mtDNA) haplotypes within and between aggregations (Baker et al. 1998, Calambokidis et al. 2001). Despite the discreteness of feeding aggregations, only 3 stocks of humpback whales are defined within the North Pacific: the eastern, central, and western North Pacific stocks (Allen & Angliss 2010). The eastern stock includes whales that feed in waters from California (USA) to southern British Columbia (Canada) and migrate to coastal Central America and Mexico for breeding. The central stock consists of whales that feed in northern British Columbia, throughout the Gulf of Alaska (GOA), and Bering Sea and winter around the Hawaiian Islands. Finally, the western stock feeds in the waters of the Russian Far East, the western Bering Sea, and western Aleutian Islands and winters near Asia.

Since the 1960s, several feeding aggregations assigned to the central stock within the inshore coastal waters of the GOA have been the focus of research directed at regional populations, including southeastern Alaska, Prince William Sound, Kodiak Island, and the Shumagin Islands. These studies are conducted from cost-effective platforms such as skiffs or smaller vessels by researchers who often live in communities close to their study areas. Results from these studies have shown the benefit of long-term research effort by providing estimates of abundance, life history parameters, and insights into the structure of feeding aggregations (Straley et al. 1994, 2002, 2009, Waite et al. 1999, von Ziegeler et al. 2001, Witteveen et al. 2004, 2007, 2008). Additionally, using stable nitrogen isotope ratios ($\delta^{15}\text{N}$), regional differences in trophic levels have been shown, suggesting that these regional feeding aggregations may be targeting different prey resources (Witteveen et al. 2009a, 2011).

Difficulty working offshore in small vessels and limited funding for humpback whale research has precluded data collection in offshore waters in the GOA.

Historic whaling data have shown that the offshore waters off the GOA were used extensively by humpback whales, as well as other large whale species (Townsend 1935, Nishiwaki 1966, Ivashin & Rovnin 1967, Reeves et al. 1985, Springer et al. 2006, Ivashchenko et al. 2007). Fishermen also report humpback whales present offshore in the GOA (J. Straley and B. Witteveen pers. comm.). It is unknown how the whales present in offshore waters fit into regional feeding aggregations or the 3 management stocks in US waters within the North Pacific Ocean. Further, there is no information about the prey populations targeted by these whales.

Between 2004 and 2006, a large-scale, North Pacific-wide collaborative project, entitled Structure of Populations, Levels of Abundance, and Status of Humpbacks (SPLASH), provided funding and platforms to collect humpback whale data in both inshore coastal and offshore regions of the GOA. Using identification photographs and biopsy tissue samples provided by SPLASH, we explored the movements, mitochondrial DNA (mtDNA) haplotypes, and trophic levels of humpback whales within 3 areas of the GOA to determine whether inshore and offshore feeding aggregations are distinct from one another. Results from this study contribute to our understanding of population structure, including defining feeding aggregations, for purposes of conservation and management.

MATERIALS AND METHODS

Study area. Humpback whales belonging to the central North Pacific stock located within the eastern and central GOA were the focus of this study. The GOA study area was separated into 3 regions to determine whether inshore and offshore aggregations within these regions were distinct from one another. Regions within the GOA were the Kodiak Archipelago (KOD); Prince William Sound, Kenai Fjords, and lower Cook Inlet (PWS); and southeastern Alaska (SEAK; Fig. 1). Each region was divided into inshore and offshore subregions, to create a total of 6 subregions (KODIN, KODOFF, PWSIN, PWSOFF, SEAKIN, and SEAKOFF) for comparison. Regions were defined based on the boundaries of long-term coastal inshore research efforts within each region. The inshore designation stemmed from study areas accessible by regional researchers who live in coastal communities and can conduct research from small skiffs or vessels under 10 m. Hence, inshore animals were sighted or sampled within areas consistently covered by long-term coastal research efforts, while offshore animals were sighted or sampled from SPLASH ship-based efforts in areas not covered by the long-term inshore studies.

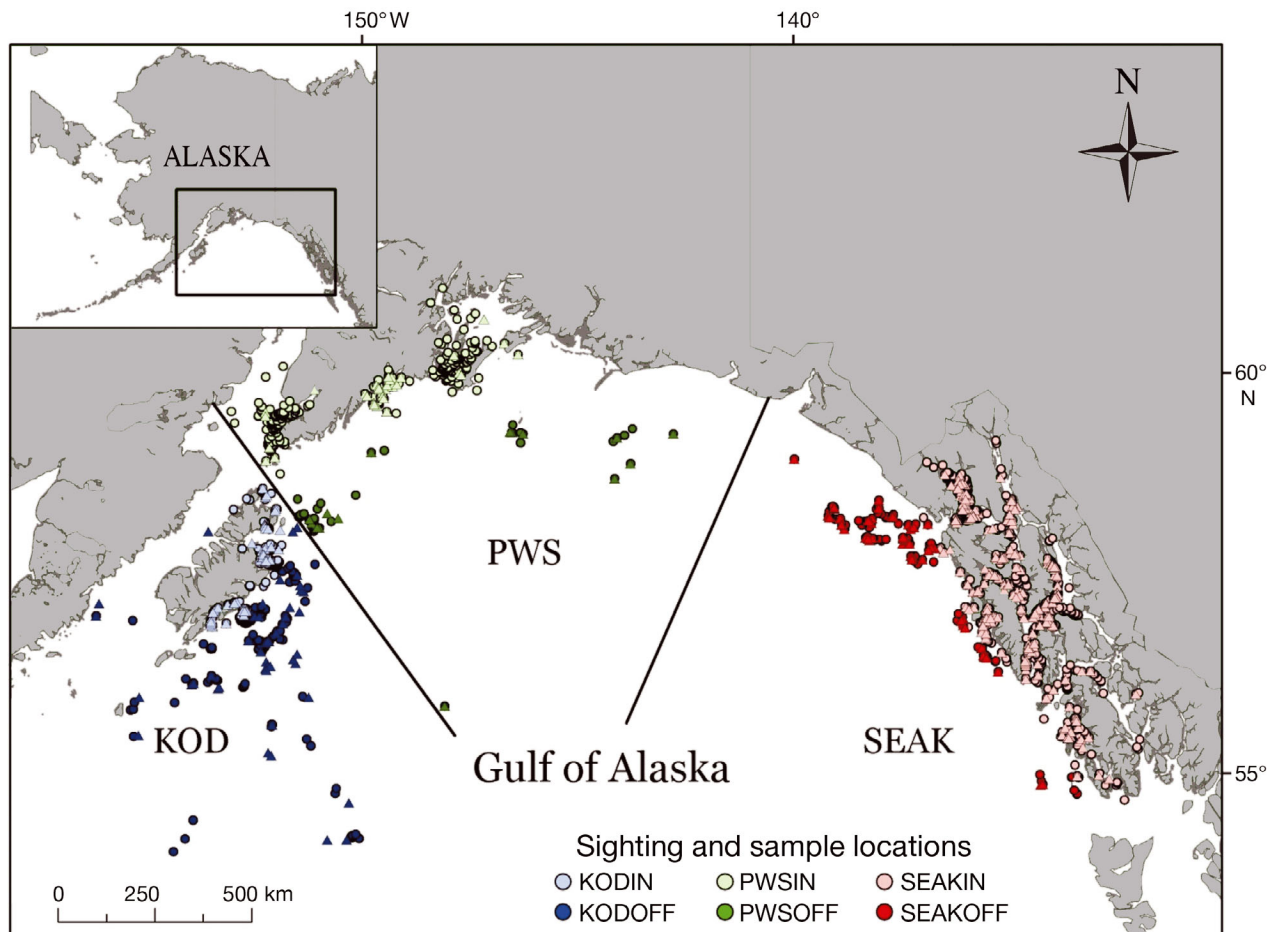


Fig. 1. Gulf of Alaska showing the separation of the 3 study regions (KOD, PWS, and SEAK). Locations of humpback whale sightings (circles) and biopsy samples (triangles) are also shown. KOD: Kodiak Archipelago; PWS: Prince William Sound, Kenai Fjords, and lower Cook Inlet; SEAK: southeastern Alaska. Suffixes IN and OFF indicate inshore and offshore subregions, respectively

Data collection. Three methodologies were used to determine whether whales present in the GOA subregions were distinct: (1) sightings of individual humpback whales documenting movement between inshore and offshore subregions and regions, (2) genetic analyses of biopsy skin samples to determine mtDNA haplotypes and sex, and (3) relative trophic levels as determined by $\delta^{15}\text{N}$ of biopsy skin samples.

Identification photographs of the flukes of individual humpback whales were collected during SPLASH surveys conducted during the feeding season (May through December) in 2004 and 2005 (Calambokidis et al. 2008).

Skin samples ($n = 785$; Table 1) were obtained during these same surveys using a stainless steel dart and either a modified crossbow or pneumatic rifle. For each sighting, the date, position (latitude and longitude), behavior, and age class and reproductive status, if known (e.g. mother, calf), were recorded. In 2 cases where both a mother and calf were biopsy sampled, the calf was excluded from analysis because of the lack

of independence of these samples. Inshore PWS sample size was supplemented with 34 samples collected by C.S.B. and O.v.Z. in 2002, to increase the sample size for this subregion. Sampling effort in the offshore subregions was substantially reduced in 2005 due to limited availability of the larger vessels that conducted surveys in 2004.

A subset of samples was analyzed for sex ($n = 566$) and mtDNA haplotype ($n = 483$). In addition, sex data from SPLASH analysis were supplemented with known sexes of individuals from regional KOD, PWS, and SEAK databases based on field observations or previous genetic analyses (Table 1).

Movement. If inshore and offshore waters represent distinct feeding stocks, movement of individual animals between these areas should be uncommon. To determine whether a rate of movement between 2 subregions is low, it is useful to compare the number of documented movements between all subregion pairs as well as the number of animals resighted within a subregion. However, the highly variable number of

Table 1. *Megaptera novaeangliae*. Sample sizes of humpback whales for each analysis type by region and subregion. TL: trophic level. See Fig. 1 for definitions of region abbreviations

Region	Subregion	Individuals ^a	Skin samples	mtDNA	TL	Sex
KOD	Inshore	476	142	67	84	71
	Offshore	275	113	108	63	109
	Total	751	255	175	147	180
PWS	Inshore	315	94	80	42	76
	Offshore	65	17	15	10	16
	Total	380	111	95	52	92
SEAK	Inshore	985	343	150	191	229
	Offshore	152	76	63	38	65
	Total	1137	419	213	229	294
Grand total		2136	785	483	428	566

^aTotals do not sum to 2136 because some individuals were sighted in both areas

whales identified in each subregion makes this comparison difficult. To control for this difference in sample size, an index that weights the number of movements or resights based on the total number of whales identified in those subregions was applied. The index was modified from previous studies (Baker et al. 1986, Calambokidis et al. 2001, Garrigue et al. 2002). An index value of 0 indicates no documented movements between the 2 subregions. Greater index values represent a greater rate of movement between the 2 subregions being assessed, or a greater rate of resighting the same individual within a subregion. Only movements between subregions and resights within subregions that occurred between 2004 and 2005, not within a given year, were compared. This method eliminates the possibility of counting the same whale in the same location 1 d later as a resight. The index for resights within the same subregion starts with a ratio: the number of whales sighted in both 2004 and 2005 (n_{04-05}) divided by the total number of whales sighted in 2004 (n_{04}). The variable n_{04} can be thought of as the number of whales available to be resighted. The ratio is then multiplied by the maximum number of whales identified in any subregion in a single year within the index comparison (equal to 679 from SEAKIN) divided by the total number of whales identified in 2005 (n_{05}). The variable n_{05} can be thought of as the number of opportunities for resighting the whales in n_{04} . Therefore, this index can be described as the proportion of whales available for resight that were resighted multiplied by the unlikeliness of documenting the move or resight in this subregion relative to other subregions.

The inter-annual index for resights within a subregion was calculated as

$$i = \frac{n_{04-05} \times 679}{n_{04} \times n_{05}} \quad (1)$$

where n_{04-05} = number of individual whales identified

in both 2004 and 2005 in the same subregion; n_{04} = total number of individual whales identified in the subregion in 2004; n_{05} = total number of individual whales identified in the subregion in 2005; 679 = maximum number of whales identified in a subregion during a single year.

Creating an index for inter-annual movements between subregions is more complex because this could happen in 2 ways. A whale sighted in subregion A during 2004 could be resighted in subregion B in 2005, or a whale in subregion B in 2004 could be resighted in region A during 2005. To make this comparable to inter-annual resights within a subregion, indices were calculated for both of these options, added together, and divided by 2.

The inter-annual index for movements between subregions A and B was calculated as

$$i = \frac{\frac{n_{A04B05} \times 679}{n_{A04} \times n_{B05}} + \frac{n_{B04A05} \times 679}{n_{B04} \times n_{A05}}}{2} \quad (2)$$

Trophic level. Relative trophic levels were estimated for a subset of individuals ($n = 428$; Table 1) based on a previous analysis of $\delta^{15}\text{N}$ determined from skin samples (Witteveen 2008, 2009b). Trophic levels of individual humpback whales were calculated using the following equation:

$$\text{Trophic Level (TL)} = 2 + \frac{(\delta^{15}\text{N}_{\text{humpback whale}} - \delta^{15}\text{N}_{\text{primary consumer}})/2.4}{1} \quad (3)$$

where 2 is the trophic position of the primary consumer and 2.4 is the average amount of $\delta^{15}\text{N}$ enrichment between trophic levels for marine mammals (Hobson et al. 1994, Post 2002). Primary consumers, such as copepods (*Calanus* spp.) and filter-feeding bivalves (*Patinopecten caurinus*), serve as surrogates for the base of regional food webs and account for regional differences in baseline $\delta^{15}\text{N}$ values (Kling et al. 1992, Cabana

Table 4. *Megaptera novaeangliae*. Total numbers of male and female humpback whales for each region and habitat. Also shown is the male to female sex ratio. See Fig. 1 for definitions of region abbreviations

Region	Habitat	Male	Female	M:F
KOD	Inshore	40	31	1.3
	Offshore	63	46	1.4
	Total	103	77	1.3
PWS	Inshore	34	42	0.8
	Offshore	6	10	0.6
	Total	40	52	0.8
SEAK	Inshore	116	113	1.0
	Offshore	33	32	1.0
	Total	149	145	1.0
Sex total		292	274	1.1
Grand total		566		

mtDNA haplotypes

GOA humpback whales were represented by 15 mtDNA haplotypes. KODOFF showed the most diversity in haplotypes, with 11 of 15 represented, while SEAKOFF showed the least diversity with only 4 (Fig. 2). The A- and A+ haplotypes were the most dominant haplotypes for all subregions. Regions were significantly different from one another in chi-squared tests of independence (KOD versus PWS: $\chi^2 = 47.0$, $p < 0.001$; KOD versus SEAK: $\chi^2 = 118.1$, $p < 0.001$; PWS versus SEAK: $\chi^2 = 102.4$, $p < 0.001$). Following significant results of regional comparisons, chi-squared tests were performed to compare subregions. Results showed that the frequencies of haplotypes differed significantly between 11 of the 15 pairwise comparisons of subregions. Pairwise comparisons were not significant for KODIN versus KODOFF ($\chi^2 = 8.5$, $p = 0.67$),

KODIN versus PWSOFF ($\chi^2 = 3.2$, $p = 0.92$), KODOFF versus PWSOFF ($\chi^2 = 4.2$, $p = 0.84$), and SEAKIN versus SEAKOFF ($\chi^2 = 3.3$, $p = 0.51$). PWSIN was the only sub-region that was significantly different in haplotypes from all other subregions (Table 5).

Trophic levels

The mean trophic level for all subregions combined was 3.7 ± 0.02 . Means ranged from a high of 4.5 ± 0.05 for PWSIN to a low of 3.4 ± 0.06 for SEAKOFF (Fig. 3). ANOVA showed that trophic level varied significantly as a function of region ($F = 131.6$, $p < 0.001$) and then by subregion ($F = 59.3$, $p < 0.001$). Tukey HSD subsets grouped the SEAK subregions together, KOD subregions with PWSOFF, and PWSIN in its own subset (Fig. 3).

DISCUSSION

We used comparative analysis from 3 methodologies to explore connections and differences between whales foraging offshore and inshore in the Gulf of Alaska. Results provide strong support for subregions

Table 5. *Megaptera novaeangliae*. Results of pairwise chi-squared comparisons of haplotype frequencies between each of the 6 subregions in the Gulf of Alaska. * $p < 0.05$, ** $p < 0.001$. See Fig. 1 for definitions of region abbreviations

	KODOFF	PWSIN	PWSOFF	SEAKIN	SEAKOFF
KODIN	8.45	30.52**	4.22	64.04**	37.41**
KODOFF		39.29**	6.52	82.57**	52.62**
PWSIN			12.19*	80.09**	49.02**
PWSOFF				33.41**	18.50*
SEAKIN					3.30

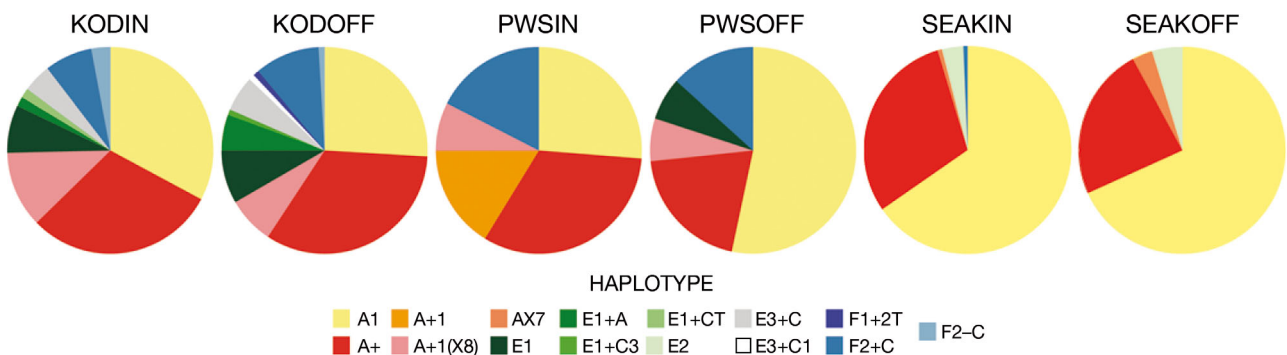


Fig. 2. *Megaptera novaeangliae*. Composition of mtDNA haplotype frequencies and sample sizes for humpback whales within each of the 6 subregions. See Fig. 1 for definitions of region abbreviations

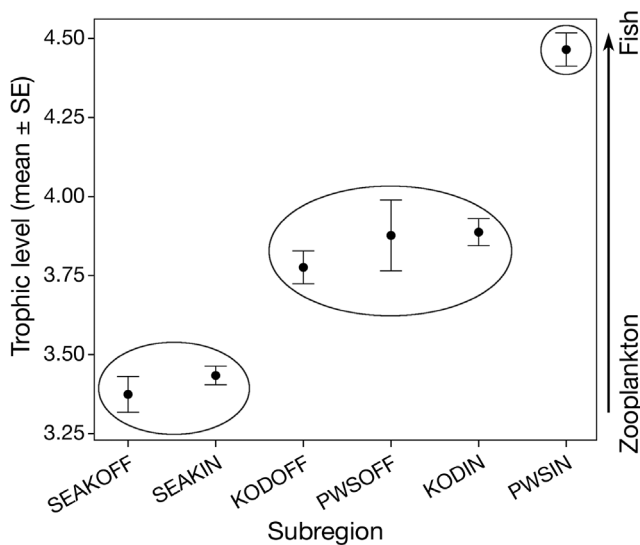


Fig. 3. *Megaptera novaeangliae*. Mean (\pm SE) trophic levels of humpback whales estimated from $\delta^{15}\text{N}$ for each of the 6 subregions in the Gulf of Alaska. The arrow on the secondary y-axis reflects the transition from zooplankton to fish diets with increasing trophic level. Ellipses indicate groups with similar means as shown by Tukey HSD post hoc comparisons. See Fig. 1 for definitions of region abbreviations

to be considered as single feeding aggregations within their respective regions for KOD and SEAK, but patterns are less clear for subregions within PWS.

Resights were generally greatest within subregions (i.e. SEAKIN to SEAKIN) and between subregions within the same region (i.e. SEAKIN to SEAKOFF). This result supports the fidelity to feeding grounds previously documented for humpback whales (Baker et al. 1986, Straley et al. 1994, Calambokidis et al. 1997, 2008, Waite et al. 1999, Witteveen et al. 2007). The PWS subregions showed higher within-subregion movement indices, suggesting either smaller populations or higher site fidelity in these subregions. Somewhat confounding this result is the fact that the largest amount of movement between subregions was between PWSIN and KODIN. While the actual number of individuals that showed this movement was high relative to other subregion pairings, a comparison of index values showed that this movement was less prominent than resights within subregions and on par with indices from other subregion pairs. When looking at total movement numbers, both inter- and intra-annually, only 13 of 47 movements

from the PWSIN subregion were from Prince William Sound proper. Most movements occurred between lower Cook Inlet and the Barren Islands and waters adjacent to northeast Kodiak Island (Fig. 4). A possible explanation is that a small core group of animals remains within Prince William Sound, while animals utilizing other grounds within what was defined as PWSIN are more prone to movement in the central Gulf of Alaska.

While the Gulf of Alaska showed considerable haplotype diversity as a whole, diversity increased from east to west, with the SEAK subregions dominated by only 2 haplotypes (A- and A+) and the KOD subregions represented by 11 haplotypes. Comparisons revealed that the subregions of SEAK were significantly different than all other subregions. SEAK is unique in having only 2 primary haplotypes and emphasizes how genetic distinctiveness creates separate feeding aggregations which can be vulnerable to human activities.

Mean trophic levels suggest that humpback whales in the Gulf of Alaska feed on a mixed fish and zooplankton diet and that no subregion is dependent on a strictly zooplankton diet, as planktivorous cetaceans tend to have much lower trophic levels (TL 2.8 to 3.0; Hoekstra et al. 2002). Significant differences in trophic levels, however, do suggest differences in diet composition between subregions. The lower levels found in the SEAK subregions suggest a diet higher in zooplankton and lower in fish

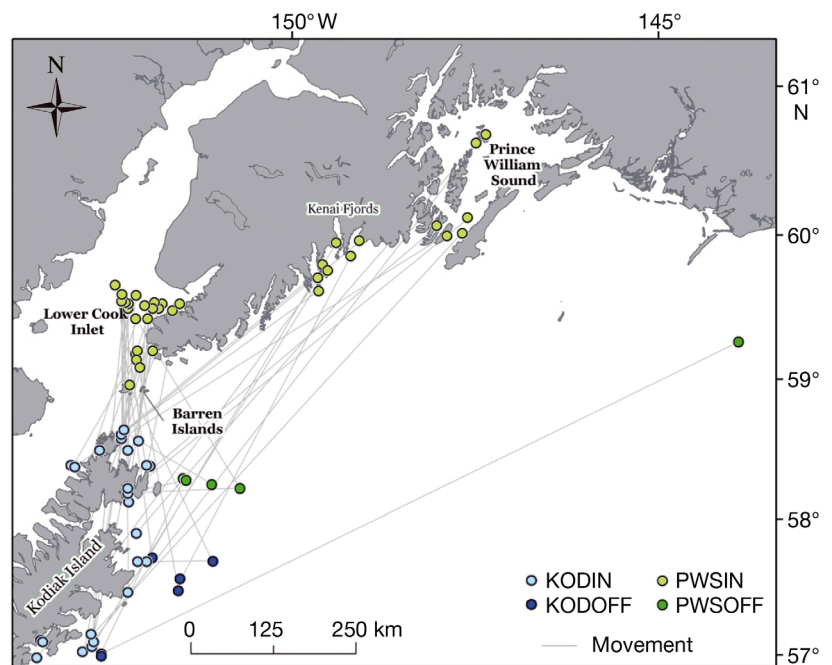


Fig. 4. *Megaptera novaeangliae*. Movements of individual humpback whales between PWS and the KOD regions. See Fig. 1 for definitions of region abbreviations

species, while the opposite is likely true in the KOD subregions and PWSOFF. The high trophic level of PWSIN is indicative of a diet comprised almost exclusively of fish (TL 4.4 to 4.8; Lesage et al. 2001).

All regions in this study are assigned to the central North Pacific stock of humpback whales, yet the SEAK region was clearly different from the other regions with respect to stable isotope ratios, genetics, and movement patterns. These results argue that the definition of the central North Pacific stock may need to be reconsidered. Results also suggest that the PWSIN subregion may be unique among all subregions within the central North Pacific stock. The PWSIN subregion was the only one to be significantly different from all other subregions based on mtDNA and trophic level comparisons. In addition, there was a much smaller rate of movement between inshore and offshore habitats within the PWS region than in KOD or SEAK. There were, however, a large number of documented movements between PWSIN and KODIN, which may be explained by a definition of the boundary that separated KOD and PWS (see 'Study area' in 'Materials and methods' and 'Discussion' above). Further, it is likely that a whale from KOD may travel through the waters of the PWS region while transiting to or from the southern breeding area during migration. Interestingly, most movements between KOD and PWSIN documented by photo-identification originated from lower Cook Inlet, but most tissue samples were collected from Prince William Sound proper. This suggests that Prince William Sound may itself represent a distinct feeding aggregation of humpback whales with specialized prey preferences. A distinct feeding aggregation in Prince William Sound could have significant implications for resource allocation and for regional prey populations, including the depleted stock of Pacific herring (Exxon Valdez Oil Spill Trustees Council 2010, www.evostc.state.ak.us/recovery/status_herring.cfm).

There are a few caveats that should be noted. First, though separation of GOA into regions was based on distribution, historic data, and personal observations, the definitions of KOD, PWS, and SEAK may not correspond precisely to biological reality. Similarly, the designation of inshore and offshore habitats was based on research effort and not any oceanographic or biological factors. It may be that these habitats are defined by different characteristics between regions. Second, in a few cases ($n = 25$), samples were collected from individuals where an identification photograph was not obtained, which may have resulted in an unknown duplicate sampling of the same animal. Clearly, if this occurred to a high degree, our results would be impacted. However, due to the relatively large number of samples, we do not believe this to be a significant issue here. Finally, effort was concentrated in the traditional summer feed-

ing months (May through September), but humpback whales can be found in higher latitudes year round (Straley 1994, Wynne & Witteveen 2005). Therefore, the results presented here represent movements of individuals within what may be only a portion of time spent in the GOA. Further investigation is needed to refine the geographical boundaries and seasonality of feeding aggregations to maximize the delineation of each region in terms of genetic structure, feeding ecology, and site fidelity over what is likely to be a biological gradient. Specifically, in areas of low sample size (e.g. PWSOFF) and transition areas (e.g. Lower Cook Inlet), increased sampling effort would provide additional data and insight to clarify distinctions.

This study reinforced that humpback whale feeding aggregations maintain high site fidelity and are distinct genetically, as well as trophically. Results suggest that the inshore and offshore habitats of KOD and SEAK should be considered together, but that PWS habitats be considered distinct from one another. Combining methodologies proved to be a powerful tool in refining knowledge of population structure of humpback whales in the GOA. An accurate understanding of the structure of the humpback whale population is fundamental for a variety of management concerns, including evaluating the impact of ship strikes, fishing interactions, and catastrophic events. It is also necessary to evaluate their status under the US Endangered Species Act. Application of these methods to other regions and species could provide similar refinement of boundaries and assist in determining the locations of unique aggregations for use in conservation and management.

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