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# ▶ To cite this version:

Alan Arroyo, Julien Bensmail, Bruce Richter. Extending Drawings of Graphs to Arrangements of Pseudolines. Journal of Computational Geometry, Carleton University, Computational Geometry Laboratory, 2021, 12 (2), pp.3-24. hal-03120899

# HAL Id: hal-03120899 https://hal.archives-ouvertes.fr/hal-03120899

Submitted on 25 Jan 2021

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# 12 — Abstract –

In the recent study of crossing numbers, drawings of graphs that can be extended to an arrangement of pseudolines (pseudolinear drawings) have played an important role as they are a natural combinatorial extension of rectilinear (or straight-line) drawings. A characterization of the pseudolinear drawings of  $K_n$  was found recently. We extend this characterization to all graphs, by describing the set of minimal forbidden subdrawings for pseudolinear drawings. Our characterization also leads to a polynomial-time algorithm to recognize pseudolinear drawings and construct the pseudolines when it is possible.

<sup>20</sup> 2012 ACM Subject Classification Mathematics of computing  $\rightarrow$  Graph algorithms; Mathematics of computing  $\rightarrow$  Graphs and surfaces

22 Keywords and phrases graphs, graph drawings, geometric graph drawings, arrangements of pseudo-

23 lines, crossing numbers, stretchability.

<sup>24</sup> Funding Alan Arroyo: Supported by CONACYT. This project has received funding from the

25 European Union's Horizon 2020 research and innovation programme under the Marie Skłodowska-

<sup>26</sup> Curie grant agreement No 754411.

27 Julien Bensmail: ERC Advanced Grant GRACOL, project no. 320812.

28 R. Bruce Richter: Supported by NSERC.

# <sup>29</sup> **1** Introduction

<sup>30</sup> Since 2004, geometric methods have been used to make impressive progress for determining

the crossing number of (certain classes of drawings of) the complete graph  $K_n$ . In particular, drawings that extend to straight lines, or, more generally, arrangements of pseudolines, have

<sup>32</sup> been central to this work, spurring interest in such drawings for arbitrary graphs, not just

 $_{34}$  complete graphs [2, 4, 5, 6, 12].

In particular, for pseudolinear drawings, it is now known that, for  $n \ge 10$ , a pseudolinear drawing of  $K_n$  has more than

$$H(n) := \frac{1}{4} \left \lfloor \frac{n}{2} \right \rfloor \left \lfloor \frac{n-1}{2} \right \rfloor \left \lfloor \frac{n-2}{2} \right \rfloor \left \lfloor \frac{n-3}{2} \right \rfloor$$

 $_{35}$  crossings [1, 14]. The number H(n) is conjectured by Harary and Hill to be the smallest

<sup>36</sup> number of crossings over all topological drawings of  $K_n$ ; that is, the crossing number  $cr(K_n)$ 

<sup>37</sup> is conjectured to be H(n).

A pseudoline is the image  $\ell$  of a continuous injection from the real numbers  $\mathbb{R}$  to the plane  $\mathbb{R}^2$  such that  $\mathbb{R}^2 \setminus \ell$  is not connected. An arrangement of pseudolines is a set  $\Sigma$  of

<sup>40</sup> pseudolines such that, if  $\ell, \ell'$  are distinct elements of  $\Sigma$ , then  $|\ell \cap \ell'| = 1$  and the intersection <sup>41</sup> is a crossing point. Informally, a *crossing point* or *crossing* is an intersection point between <sup>42</sup> two pseudolines that locally looks like a crossing point between two non parallel lines (a <sup>43</sup> formal definition of crossing will be given when we introduce the notion of string). More on <sup>44</sup> pseudolines and their importance for studying geometric drawings of graphs can be found in <sup>45</sup> [10, 11].

<sup>46</sup> A drawing *D* of a graph *G* is *pseudolinear* if there is an arrangement of pseudolines <sup>47</sup> consisting of a different pseudoline  $\ell_e$  for each edge *e* of *G* and such that  $D[e] \subseteq \ell_e$ .

In the study of crossing numbers, restricting the drawing to either straight lines or pseudolines yields the rectilinear crossing number  $\overline{\operatorname{cr}}(K_n)$  or the pseudolinear crossing number  $\widetilde{\operatorname{cr}}(K_n)$ , respectively. Clearly  $\overline{\operatorname{cr}}(K_n) \geq \widetilde{\operatorname{cr}}(K_n)$  and the geometric methods prove that  $\widetilde{\operatorname{cr}}(K_n) > H(n)$ , for  $n \geq 10$ .

A good drawing is one where no edge self-intersects and any two edges share at most 52 one point—either a crossing or a common end point— and no three edges share a common 53 crossing. One somewhat surprising result is from Aichholzer et al.: a good drawing of  $K_n$ 54 in the plane is homeomorphic to a pseudolinear drawing if and only if it does not contain a 55 non-planar drawing of  $K_4$  whose crossing is incident with the unbounded face of the  $K_4$  [2] 56 (see Figure 1). By ignoring the grey edges from Figure 1, we see that any such drawing of  $K_4$ 57 contains a *B*-configuration, depicted as the third drawing of the first row of Figure 2. Based 58 on our Theorem 2, Theorem 2.5.1 from [3] shows that any non-pseudolinear drawing contains 59 a B-configuration. Thus, either Fig. 1 or the B-configuration can be used to characterize 60 pseudolinear drawings of  $K_n$ . In [4] pseudolinear drawings of  $K_n$  are characterized as f-convex, 61

and in [5] are characterized as monotone and free of a specific drawing of  $K_4$ .



**Figure 1** Non-pseudolinear  $K_4$  with its crossing incident with the outer face.

Twenty-five years earlier, Thomassen [19] proved a similar theorem for a 1-planar drawing (that is, a drawing in which each edge is crossed at most once). The *B*- and *W*-configurations are shown as the third and fourth drawings in the first row of Figure 2. Thomassen's theorem is: *if D is a 1-planar drawing of graph G, then D is homeomorphic to a rectilinear drawing* of *G if and only if D contains no B- or W-configuration.* 

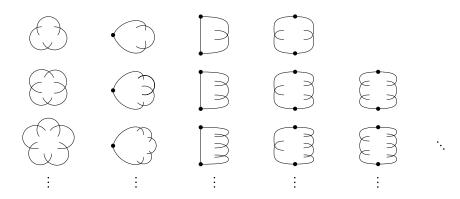
Thomassen presented in [19] the *clouds* (first column in Figure 2) as an infinite family of drawings that are minimally non-pseudolinear.

Shortly after Thomassen's paper, Bienstock and Dean proved that if  $cr(G) \leq 3$ , then  $\overline{cr}(G) = cr(G)$  [7]. They also exhibited examples based on overlapping W-configurations to show the result fails for cr(G) = 4; such graphs can have arbitrarily large rectilinear crossing number.

Despite the existence of infinitely many obstructions to pseudolinearity, we characterize
 them all.

**Theorem 1.** A good drawing of a graph G is pseudolinear if and only if it does not contain one of the infinitely many obstructions shown in Figure 1.

The drawings in Figure 2 are obtained from the *clouds* (first column) by replacing at most two crossings by vertices. The formal statement of Theorem 1 is Theorem 15 in Section 6; also a more general version of this statement, Theorem 2, is discussed below. Our result draws a



**Figure 2** Obstructions to pseudolinearity.

81 line between the class of pseudolinear drawings and the class of rectilinear drawings: Our result shows that recognizing pseudolinear drawings is a combinatorial/topological problem 82 and implies a polynomial-time algorithm to detect pseudolinear drawings (Theorem 14). 83 This contrast with the rather real algebraic geometry problem of deciding the *stretchability* 84 of a drawing, defined as the problem of deciding whether a given drawing is homeomorphic 85 to a rectilinear drawing. Mnëv [16, 17] showed that deciding the stretchability of an 86 arrangement of pseudolines is  $\exists \mathbb{R}$ -hard, implying the  $\exists \mathbb{R}$ -hardness for the problem of deciding 87 the stretchability of a graph drawing. Since  $\mathsf{NP} \subseteq \exists \mathbb{R} [15, 18, 8]$ , this in particular shows that 88 the stretchability problem is NP-hard. We refer to Matoušek's survey [15] for an approachable 89 introduction to the complexity class  $\exists \mathbb{R}$ . 90

The natural setting for our characterization is strings embedded in the plane. An arc  $\sigma$ is the image f([0,1]) of the compact interval [0,1] under a continuous map  $f:[0,1] \to \mathbb{R}^2$ . Let  $S(\sigma) = \{p \in \sigma : |f^{-1}(p)| \ge 2\}$  be the set of self-intersections of  $\sigma$ . A string is an arc  $\sigma$ for which  $S(\sigma)$  is finite. If  $S(\sigma) = \emptyset$ , then  $\sigma$  is simple. If  $\sigma'$  is a string and  $\sigma' \subseteq \sigma$ , then  $\sigma'$  is a substring of  $\sigma$ .

Suppose that  $\sigma$  and  $\sigma'$  whose intersection  $\sigma \cap \sigma'$  is a finite set and let  $p \in \sigma \cap \sigma$ . The rotation at p is a cyclic sequence of substrings determined by a small neighbourhood homeomorphic to the plane in which p is origin and the substrings incident with p are rays emanating from p [13, Thm. 3.1]. The strings  $\sigma_1$ ,  $\sigma_2$  cross at p if they each have two substrings that alternate  $\sigma_1 - \sigma_2 - \sigma_1 - \sigma_2$  in the rotation at p.

An intersection point between of two strings  $\sigma$  and  $\sigma'$  is *ordinary* if it is either an endpoint of  $\sigma$  or  $\sigma'$ , or is a *crossing*. A set  $\Sigma$  of strings is *ordinary* if  $\Sigma$  is finite and any two strings in  $\Sigma$  have only finitely many intersections, all of which are ordinary. All the sets of strings considered in this paper are ordinary.

If  $\Sigma$  is an ordinary set of strings, then its *planarization*  $G(\Sigma)$  is the plane graph obtained from  $\Sigma$  by inserting vertices at each crossing between strings and also at the endpoints of every string in  $\Sigma$ . To keep track of the information given by the strings, we will always assume that each string  $\Sigma$  has a different color and that each edge in  $G(\Sigma)$  inherits the color of the string including it.

If  $\Sigma$  is an ordinary set of strings, then, for a cycle C in  $G(\Sigma)$  (which is a simple closed curve in  $\mathbb{R}^2$ ) the *edges inside* C are those drawn in the closed disk bounded by C (this includes the edges of C). A vertex  $v \in V(C)$  is a *rainbow* for C if all the edges incident with v and drawn inside C have different colours. The reader can verify that, for each drawing in Figure 2, if we let  $\Sigma$  be the edges of the drawing, then the unique cycle in  $G(\Sigma)$  has at most two rainbows. Our main result characterizes these cycles as the only possible obstructions:

**Theorem 2.** An ordinary set of strings  $\Sigma$  can be extended to an arrangement of pseudolines if and only if every cycle C of  $G(\Sigma)$  has at least three rainbows.

Henceforth, we define any cycle C in  $G(\Sigma)$  with at most two rainbows as an *obstruction*. A set of strings is *pseudolinear* if it has an extension to an arrangement of pseudolines.

Theorem 2 is our main contribution. In the next section, we show that the presence 120 of an obstruction implies the set of ordinary strings is not pseudolinear. The converse is 121 proved in Section 4 by extending, one small step at a time, the strings in  $\Sigma$  to get closer 122 to an arrangement of pseudolines. After each extension, we must show that no obstruction 123 has been introduced. This involves dealing with cycles in  $G(\Sigma)$  that have precisely three 124 rainbows (that we refer as *near-obstructions*). In Section 3 we show the key lemma that if G125 has two such near-obstructions that intersect nicely at a vertex v, then G has an obstruction. 126 In Section 5 we present a polynomial-time algorithm for detecting obstructions and we argue 127 why the proof of Theorem 2 implies a polynomial-time algorithm for extending a pseudolinear 128 set of strings. Finally, in Section 6, we show how Theorem 1 follows from Theorem 2 and we 129 present some concluding remarks. 130

# <sup>131</sup> **2** A set of strings with an obstruction is not extendible

<sup>132</sup> Let us start by showing the easy direction of Theorem 2:

Lemma 3. If the underlying graph  $G(\Sigma)$  of a set  $\Sigma$  of strings has an obstruction, then  $\Sigma$ is not pseudolinear.

Suppose that C is a cycle of  $G(\Sigma)$  for some set of strings  $\Sigma$ . We define  $\delta(C)$  as the set of vertices of C for which their two incident edges in C have different colours. In a set  $\Sigma$  of simple strings where no two intersect twice,  $|\delta(C)| \geq 3$  for every cycle C of  $G(\Sigma)$ .

**Lemma 4.** Let  $\Sigma$  be a set of simple strings where every pair intersect at most once. Suppose that C is an obstruction with  $|\delta(C)|$  as small as possible. Let  $S = x_0, x_1, \ldots, x_\ell$  be a path of  $G(\Sigma)$  representing a substring of some string  $\sigma \in \Sigma$  such that  $x_0x_1 \in E(C), x_1 \in \delta(C)$  and  $x_1$  is not a rainbow of C. Then  $V(C) \cap V(S) = \{x_0, x_1\}$ .

Proof. By way of contradiction, suppose that there is a vertex  $x_r \in V(C) \cap V(S)$  with  $r \geq 3$ . Assume that  $r \geq 3$  is as small as possible. Let P be the subpath of S connecting  $x_1$  to  $x_r$ . The facts  $x_0x_1 \in E(C)$ ,  $x_1 \in \delta(C)$ , and  $P \subseteq \sigma$  imply that  $x_1x_2 \neq E(C)$ . Because  $x_1$  is not a rainbow for C and no two strings tangentially intersect at  $x_1$ , the edge  $x_1x_2$  is drawn in the closed disk bounded by C. By choice of r, P is an arc connecting  $x_1$  to  $x_r$  in the interior of C.

Let  $C_1$  and  $C_2$  be the two cycles of  $C \cup P$  containing P, labelled so that  $x_0x_1 \in E(C_1)$ . We shall use the minimality of  $|\delta(C)|$  to show that  $C_1$  and  $C_2$  are not obstructions. Then, we will count rainbows in  $C_1$  and  $C_2$  to obtain the contradiction that C is not an obstruction.

For a cycle X, let  $\rho(X)$  be the set of rainbows of X. For i = 1, 2, let  $Q_i = V(C_i) \setminus V(P)$ . As the edges of S are included in the same string, we see that  $\rho(C_1) \setminus Q_1 \subseteq \{x_r\}$  and  $\rho(C_2) \setminus Q_2 \subseteq \{x_1, x_r\}$ . Likewise,  $\delta(C_1) \setminus Q_1 \subseteq \{x_r\}$  and  $\delta(C_2) \setminus Q_2 \subseteq \{x_1, x_r\}$ .

Let us show that  $C_1$  and  $C_2$  are not obstructions. Because  $|\delta(C_2)| \geq 3$  and  $\delta(C_2) \setminus Q_2 \subseteq \{x_1, x_r\}, |\delta(C) \cap Q_2| \geq 1$ . Since  $\delta(C_1) \setminus Q_1 \subseteq \{x_r\}$  and  $x_1 \in \delta(C), |\delta(C_1)| \leq |\delta(C_1) \cap Q_1| + |s_6| \leq |\delta(C)| - 2 + |\{x_r\}| < |\delta(C)|.$  Because  $|\delta(C_1)| \geq 3$  and  $|\delta(C_1) \setminus Q_1| \leq 1, |\delta(C) \cap Q_1| \geq 2$ . Since  $x_1 \in \delta(C) \cap \delta(C_2), |\delta(C_2)| \leq |\delta(C) \cap Q_2| + |\{x_1, x_r\}| \leq |\delta(C)| - 3 + |\{x_1, x_r\}| < |\delta(C)|.$ Thus, neither  $C_1$  nor  $C_2$  is an obstruction.

Finally, as  $|\rho(C_1)| \ge 3$  and  $|\rho(C_1) \setminus Q_1| \le 1$ ,  $|\rho(C) \cap Q_1| = |\rho(C_1) \cap Q_1| \ge 2$ . Because  $|\rho(C_2)| \ge 3$  and  $|\rho(C_2) \setminus Q_2| \le 2$ ,  $|\rho(C) \cap Q_2| = |\rho(C_2) \cap Q_2| \ge 1$ . Thus  $|\rho(C)| \ge 3$ , a contradiction.

<sup>162</sup> **Proof of Lemma 3.** By way of contradiction, suppose that  $\Sigma$  is pseudolinear and that  $G(\Sigma)$ <sup>163</sup> has an obstruction C.

Consider an extension of  $\Sigma$  to an arrangement of pseudolines, and then cut off the two infinite ends of each pseudoline to obtain a set of strings  $\Sigma'$  extending  $\Sigma$ , and in which every pair of strings in  $\Sigma'$  cross once. In  $G(\Sigma')$ , there is a cycle C' that represents the same simple closed curve as C. Because every rainbow of C' is a rainbow of C, C' has fewer than three rainbows. Therefore, we may assume that  $\Sigma = \Sigma'$  and C = C'. Now, the ends of every string in  $\Sigma$  are degree-1 vertices in the outer face of  $G(\Sigma)$ .

As every string in  $\Sigma$  is simple and no two strings intersect more than once,  $|\delta(C)| \ge 3$ . We will assume that C is chosen to minimize  $|\delta(C)|$ .

Since C is an obstruction, there exists  $x_1 \in \delta(C)$  such that  $x_1$  is not a rainbow in C. Consider a neighbour  $x_0$  of  $x_1$  in C. Let  $S = x_0, x_1, \ldots x_\ell$  be the path obtained by traversing the string  $\sigma$  extending  $x_0 x_1$ , such that  $x_\ell$  is an end of  $\sigma$ . By Lemma 4,  $V(S) \cap V(C) = \{x_0, x_1\}$ , and because  $x_\ell$  is in the outer face of C, the segment of  $\sigma$  from  $x_1$  to  $x_\ell$  has its relative interior in the outer face of C.

However, since  $x_1$  is not a rainbow, there exists a string  $\sigma' \in \Sigma$  including two edges at  $x_1$ drawn inside C. Thus,  $\sigma$  and  $\sigma'$  tangentially intersect at  $x_1$ , a contradiction.

# <sup>179</sup> **3** The key lemma

<sup>180</sup> In this section we present the key lemma used in the proof of Theorem 2.

A plane graph G is *path-partitioned* if for  $m \ge 1$ , there exists a colouring  $\chi: E(G) \rightarrow 0$ 181  $\{1, \ldots, m\}$  such that for each  $i \in \{1, \ldots, m\}$ , the edges in  $\chi^{-1}(i)$  induce a path  $P_i \subseteq G$  where 182 any two distinct paths  $P_i$  and  $P_j$  do not tangentially intersect. Indeed, every underlying 183 planar graph  $G(\Sigma)$  of a set of simple strings  $\Sigma$  is path-partitioned. Moreover, every path-184 partitioned plane graph can be obtained by subdividing a planarization of an ordinary set of 185 simple strings. To extend the previously introduced notation we refer to each  $P_i$  as a string. 186 The concepts of rainbow and obstruction naturally extend to the context of path-partitioned 187 plane graphs. 188

Suppose that G is a path-partitioned plane graph. Given  $v \in V(G)$ , a near-obstruction at v is a cycle C with at most three rainbows and such that v is a rainbow of C. Understanding how near-obstructions behave is the key ingredient needed in the proof of Theorem 2:

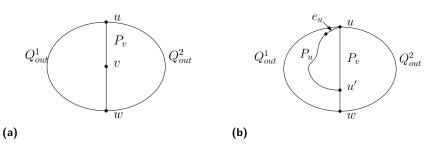
▶ Lemma 5. Let G be a path-partitioned plane graph and let  $v \in V(G)$ . Suppose that  $C_1$ and  $C_2$  are two near-obstructions at v such that the union of the closed disks bounded by  $C_1$ and  $C_2$  contains a small open ball centered at v. Suppose that one of the following two holds:

195 **1.** no obstruction of G contains v; or

<sup>196</sup> **2.** the two edges of  $C_1$  incident with v are the same as the two edges of  $C_2$  incident with v.

<sup>197</sup> Then G has an obstruction not including v.

Given a plane graph G, a cycle  $C \subseteq G$  and a vertex  $v \in V(C)$ , the edges at v inside C are the edges of G incident with v drawn inside C. Consider a homeomorphism from a small disc neighbourhood of v to the plane so that each edge segment incident with v is a straight ray from the origin (which is v). Since no two strings intersect tangentially at v, we may assume that the rotation at v has substrings of the same colour making an angle of  $\pi$  at v.



**Figure 3** Auxiliary figures used in the proof of Lemma 5.

The angles between rays are the *angles at* v and we associate to them the set of edges at vdrawn as rays inside them. From this geometric perspective, it is obvious that, if an angle  $\alpha$ is less than  $\pi$ , then  $\alpha$  is rainbow. This proves the second of the following facts.

**Description** Useful Facts. Let G be a plane path-partitioned graph and let  $v \in V(G)$ . Then

**1.** if  $\alpha$ ,  $\beta$  are two angles at v with  $\alpha \subseteq \beta$  and  $\beta$  is rainbow, then  $\alpha$  is rainbow; and

208 2. if  $\alpha$  and  $\beta$  are two angles such  $\overline{\alpha}$  is not rainbow and  $\beta$  is a proper subangle of the

209 complement  $\overline{\alpha}$  of  $\alpha$ , then  $\beta$  is rainbow.

**Proof of Lemma 5.** By way of contradiction, suppose that G has no obstruction not including v. The "small ball" hypothesis implies that v is not in the outer face of the subgraph  $C_1 \cup C_2$ .

We claim that  $|V(C_1) \cap V(C_2)| \ge 3$ . Suppose not. For i = 1, 2, let  $e_i$  and  $f_i$  be the edges of  $C_i$  at v and let  $\Delta_i$  be the closed disk bounded by  $C_i$ . From the "small ball" hypothesis it follows that (i)  $\Delta_1$  contains the edges  $e_2$  and  $f_2$ ; and (ii) the points near v in the exterior of  $\Delta_2$  are contained in  $\Delta_1$ . These two properties imply that the path  $C_2 - v$  intersects  $C_1$  at least twice, and because  $v \in V(C_1) \cap V(C_2), |V(C_1) \cap V(C_2)| \ge 3$ .

From the last paragraph we know that  $C_1 \cup C_2$  is 2-connected, and hence the outer face of  $C_1 \cup C_2$  is bounded by a cycle  $C_{out}$ . We will assume that

(\*) the cycles  $C_1$  and  $C_2$  satisfying the hypothesis of Lemma 5 are chosen so that the number of vertices of G in the disk bounded by  $C_{out}$  is minimal.

Useful Fact 1 applied to the interior angles at vertices of  $C_{out}$  shows that every vertex that is a rainbow in  $C_{out}$  is also a rainbow in each of the cycles in  $\{C_1, C_2\}$  containing it. We can assume that  $C_{out}$  is not an obstruction or else we are done. We may relabel  $C_1$  and  $C_2$  so that two of the rainbows of  $C_{out}$ , say p and q, are also rainbows in  $C_1$ . Neither p nor qis v because  $v \notin V(C_{out})$ . Because  $C_1$  is a near-obstruction, p, q and v are the only rainbows of  $C_1$ .

Since  $v \notin V(C_{out})$ , by following  $C_1$  in the two directions starting at v, we find a path  $P_v \subseteq C_1$  containing v in which only the ends u and w of  $P_v$  are in  $C_{out}$  (note that  $u \neq w$ because  $\{p,q\} \subseteq V(C_1) \cap V(C_{out})$ ). See Figure 3a.

As v is in the interior face of  $C_{out}$ ,  $P_v$  is also in the interior of  $C_{out}$ . Let  $Q_{out}^1$ ,  $Q_{out}^2$  be the *uw*-paths of  $C_{out}$ . One of the two closed disks bounded by  $P_v \cup Q_{out}^1$  and  $P_v \cup Q_{out}^2$ contains  $C_1$ . By symmetry, we may assume that  $C_1$  is contained in the first disk. Since  $C_{out} \subseteq C_1 \cup C_2$ , this implies that  $Q_{out}^2$  is a subpath of  $C_2$ .

Our desired contradiction will be to find three rainbows in  $C_2$  distinct from v. We find the first: let  $C_1 - (P_v)$  be the *uw*-path in  $C_1$  distinct from  $P_v$ . The disk bounded by

<sup>237</sup>  $(C_1 - (P_v)) \cup Q_{out}^2$  contains the one bounded by  $C_1$ . Useful Fact 1 applied to the interior <sup>238</sup> angles at the vertices of  $(C_1 - (P_v)) \cup Q_{out}^2$  implies that each vertex in  $C_1 - (P_v)$  that is a <sup>239</sup> rainbow in  $(C_1 - (P_v)) \cup Q_{out}^2$  is also rainbow in  $C_1$ . Since  $C_1$  has at most two rainbows in <sup>240</sup>  $C_1 - (P_v)$ , namely p and q,  $(C_1 - (P_v)) \cup Q_{out}^2$  has a third rainbow  $r_1$  in the interior of  $Q_{out}^2$ <sup>241</sup> (else  $(C_1 - (P_v)) \cup Q_{out}^2$  is an obstruction and we are done). Note that  $r_1$  is also a rainbow <sup>242</sup> for  $C_2$ .

To find another rainbow in  $C_2$ , consider the edge  $e_u$  of  $C_2$  incident to u and not in  $Q^2_{out}$ . We claim that either u is a rainbow in  $C_2$  or that  $e_u$  is not included in the closed disk bounded by  $P_v \cup Q^2_{out}$ . Seeking a contradiction, suppose that u is not a rainbow of  $C_2$  and that  $e_u$  is included in the disk. Then Useful Fact 2 implies that u is a rainbow in  $C_1$ . As pand q are the only rainbows of  $C_1$  in  $C_{out}$ , u is one of p and q. Therefore u is a rainbow in  $C_2$ , a contradiction.

If u is a rainbow in  $C_2$ , then this is the desired second one. Otherwise,  $e_u$  is not in the closed disk bounded by  $P_v \cup Q_{out}^2$ . Let  $P_u \subseteq C_2$  be the path starting at u, continuing on  $e_u$ and ending on the first vertex u' in  $P_v$  that we encounter. Let  $C_u$  be the cycle consisting of  $P_u$  and the uu'-subpath  $uP_vu'$  of  $P_v$ . See Figure 3b.

<sup>253</sup>  $\triangleright$  Claim 6. If  $P_u$  does not have a rainbow of  $C_u$  in its interior, then either  $C_u$  is an <sup>254</sup> obstruction not containing v or:

<sup>255</sup> (a)  $C_u$  and  $C_2$  are near-obstructions at v satisfying the same conditions as  $C_1$  and  $C_2$  in <sup>256</sup> Lemma 5; and

<sup>257</sup> (b) the closed disk bounded by the outer cycle of  $C_u \cup C_2$  contains fewer vertices than the <sup>258</sup> disk bounded by  $C_{out}$ .

**Proof.** Suppose that all the rainbows of  $C_u$  are located in  $uP_vu'$ . If z is a rainbow of  $C_u$ , then  $z \in \{u, v, u'\}$ , as otherwise z is a rainbow of  $C_1$  distinct from p, q and v, a contradiction. Thus, if  $v \notin V(C_u)$ , then  $C_u$  is the desired obstruction. We may assume that  $v \in V(C_u)$ .

If u' = w, then  $C_2 = P_u \cup Q_{out}^2$ , violating the assumption that  $v \in V(C_2)$ . Thus  $u' \neq w$ . If u' = v, then the rainbows of  $C_u$  are included in  $\{u, u'\}$ , and hence  $C_u$  is an obstruction. However, the existence of  $C_u$  shows that both alternatives (1) and (2) in Lemma 5 fail: condition (1) fails because  $C_u$  contains v and (2) fails because the edge of  $P_u$  incident with vis in  $E(C_2) \setminus E(C_1)$ . Thus  $u' \neq v$ .

The previous two paragraphs show that  $C_u$  is a near-obstruction at v with rainbows u, v and u'. Since the interior of  $C_u$  near v is the same as the interior of  $C_1$  near v, the pair  $(C_u, C_2)$  satisfies the "small ball" hypothesis. Thus, (a) holds.

Let  $C'_{out}$  be the outer cycle of  $C_u \cup C_2$ . From the fact that  $C_u \cup C_2 \subseteq C_1 \cup C_2$  it follows that the disk bounded by  $C_{out}$  includes the disk bounded by  $C'_{out}$ .

Since  $p, q \in V(C_{out})$ , p and q are in the disk bounded by  $C_{out}$ . If both p and q are in  $C_2$ , then p, q and  $r_1$  are rainbows in  $C_2$ , and also distinct from v, contradicting that  $C_2$  is a near-obstruction for v. If, say  $p \notin V(C_2)$ , then p is not in the disk bounded by  $C'_{out}$ , which implies (b).

From Claim 6(b) and assumption (\*) either  $C_u$  is the desired obstruction or  $P_u$  contains a rainbow  $r_2$  of  $C_2$  in its interior. We assume the latter as otherwise we are done.

In the same way, the last rainbow  $r_3$  comes by considering the edge of  $C_2 - Q_{out}^2$  incident with w. It follows that v,  $r_1$ ,  $r_2$  and  $r_3$  are four different rainbows in  $C_2$ , contradicting the fact that  $C_2$  is a near-obstruction.

# <sup>281</sup> 4 Proof of Theorem 2

In this section we prove that a set of strings with no obstructions can be extended to an
 arrangement of pseudolines.

**Proof of Theorem 2.** It was shown in Observation 3 that the existence of obstructions implies non-extendibility. For the converse, suppose that  $\Sigma$  is a set of strings for which  $G(\Sigma)$ has no obstructions.

We start by reducing to the case where the point set  $\bigcup \Sigma$  is connected: iteratively add a new string in a face of  $\bigcup \Sigma$  connecting two connected components of  $\bigcup \Sigma$ . No obstruction is introduced at each step (obstructions are cycles), and, eventually, the obtained set  $\bigcup \Sigma$  is connected. An extension of the new set of strings contains an extension for the original set, thus we may assume that  $\bigcup \Sigma$  is connected.

Our proof is algorithmic, and consists of repeatedly applying one of the three steps described below.

**Disentangling Step.** If a string  $\sigma \in \Sigma$  has an end *a* with degree at least 2 in  $G(\Sigma)$ , then we slightly extend the *a*-end of  $\sigma$  into one of the faces incident with *a*.

**Face-Escaping Step.** If a string  $\sigma \in \Sigma$  has an end *a* with degree 1 in  $G(\Sigma)$ , and is incident with an interior face, then we extend the *a*-end of  $\sigma$  until it intersects some point in the boundary of this face.

**Exterior-Meeting Step.** Assuming that all the strings in  $\Sigma$  have their two ends in the outer face and these ends have degree 1 in  $G(\Sigma)$ , we extend the ends of two disjoint strings so that they meet in the outer face.

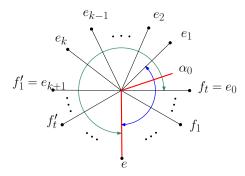
Each of these three steps either increases the number of pairs of strings that intersect, or 302 increase the number crossings (recall that a crossing between  $\sigma$  and  $\sigma'$  is a non-tangential 303 intersection point in  $\sigma \cap \sigma'$  that is not an end of  $\sigma$  or  $\sigma'$ ). Moreover, these steps can be 304 performed as long as one of the next two conditions holds: (1) at least one string does not 305 have an end incident with the outer face; and (2) there is a pair of strings that do not 306 cross. If none of (1) and (2) hold, then our set of strings is extendible into an arrangement 307 of pseudolines. Henceforth, we will show that, if performed correctly, none of these steps 308 introduces an obstruction. The proof for each step can be read independently. 309

**Lemma 7** (Disentangling Step). Suppose that  $\sigma \in \Sigma$  has an end a with degree at least 2 in  $G(\Sigma)$ . Then we can extend the a-end of  $\sigma$  into one of the faces incident to a without creating an obstruction.

<sup>313</sup> **Proof.** A pair of different edges f and f' in  $G(\Sigma)$  incident with a are *twins* if they belong to <sup>314</sup> the same string in  $\Sigma$ . The edge  $e \subseteq \sigma$  incident with a has no twin.

The fact that no pair of strings tangentially intersect at a tells us that if  $(f_1, f'_1)$  and  $(f_2, f'_2)$  are pairs of twins, then  $f_1, f_2, f'_1, f'_2$  occur in this cyclic order for either the clockwise or counterclockwise rotation at a. Thus, we may assume that the counterclockwise rotation at a restricted to the twins and e is  $e, f_1, \ldots, f_t, f'_1, \ldots, f'_t$ , where  $(f_i, f'_i)$  is a twin pair for  $i = 1, \ldots, t$ .

To avoid tangential intersections, the extension of  $\sigma$  at a must be in the angle between  $f_t$ and  $f'_1$  not containing e. Let  $e_1, \ldots, e_k$  be the counterclockwise ordered list of non-twin edges at a having an end in this angle (as depicted in Figure 4). We label  $e_0 = f_t$  and  $e_{k+1} = f'_1$ . If there are no twins, then let  $e_0 = e_{k+1} = e$ .



**Figure 4** Substrings included in the disk bounded by  $C_0$ .

Let us consider all the possible extensions: for  $i \in \{0, ..., k\}$ , let  $\Sigma_i$  be the set of strings obtained from  $\Sigma$  by slightly extending the *a*-end of  $\sigma$  into the face containing the angle between  $e_i$  and  $e_{i+1}$ . Let  $\alpha_i$  be the new edge at *a* extending  $\sigma$  in  $\Sigma_i$  (see  $\alpha_0$  in Figure 4).

Seeking a contradiction, suppose that, for each  $i \in \{0, ..., k\}$ ,  $G(\Sigma_i)$  contains an obstruction  $C_i$ . Since  $\alpha_i$  contains a degree-1 vertex,  $\alpha_i$  is not in  $C_i$ . Hence  $C_i$  is a cycle of  $G(\Sigma)$ . Thus,  $C_i$  is not an obstruction in  $G(\Sigma)$  and becomes an obstruction in  $G(\Sigma_i)$ . This conversion has a simple explanation: in  $G(\Sigma)$ ,  $C_i$  has exactly three rainbows, and one of them is a. After  $\alpha_i$  is added, a is not a rainbow in  $C_i$  (witnessed by the edges e and  $\alpha_i$  included in the new version of  $\sigma$ ).

Recall from Section 3 that a *near-obstruction at a* is a cycle with exactly three rainbows, and one of them is *a*. Each of  $C_0, C_1, ..., C_k$  is a near-obstruction at *a* in  $G(\Sigma)$ .

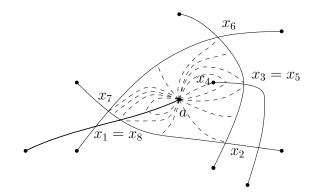
For a cycle  $C \subseteq G$ , let  $\Delta(C)$  denote the closed disk bounded by C. Both e and  $\alpha_0$  are in  $\Delta(C_0)$ . Thus, either  $\Delta(C_0) \supseteq \{e, f_1, f_2, \ldots, f_t, e_1\}$  (blue bidirectional arrow in Figure 4) or  $\Delta(C_0) \supseteq \{f_t, e_1, \ldots, e_k, f'_1, f'_2, \ldots, f'_t, e\}$  (green bidirectional arrow). We rule out the latter situation as the second list contains  $f_t$  and  $f'_t$ , and this would imply that a is not a rainbow for  $C_0$  in  $G(\Sigma)$ .

We just showed that  $\{e, e_0, e_1\} \subseteq \Delta(C_0)$ . By symmetry,  $\{e_k, e_{k+1}, e\} \subseteq \Delta(C_k)$ . Consider the largest index  $i \in \{0, 1, \dots, k-1\}$  for which  $\{e, e_0, \dots, e_{i+1}\} \subseteq \Delta(C_i)$ . By the choice of i, and because  $\{e, \alpha_{i+1}\} \subseteq \Delta(C_{i+1})$ ,  $\{e, f'_t, \dots, f'_1, e_k, \dots, e_i\} \subseteq \Delta(C_{i+1})$ . Apply Lemma 5 to the pair  $C_i$  and  $C_{i+1}$ , where  $C_i$ ,  $C_{i+1}$  and a play the roles of  $C_1$ ,  $C_2$  and v. Condition 1 of Lemma 5 holds, and hence we obtain that  $G(\Sigma)$  has an obstruction, a contradiction.

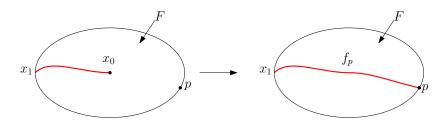
▶ Lemma 8 (Face-Escaping Step). Suppose that there is a string  $\sigma$  that has an end a with degree 1 in  $G(\Sigma)$ , and a is incident to an interior face F. Then there is an extension  $\sigma'$  of  $\sigma$  from its a-end to a point in the boundary of F such that the set  $(\Sigma \setminus \{\sigma\}) \cup \{\sigma'\}$  has no obstruction.

**Proof.** Let W be the closed boundary walk  $(x_0, e_1, x_1, e_2, \ldots, e_n, x_n)$  of F such that  $x_0 = x_n = a$  and F is to the left as we traverse W (see Figure 5 for an illustration with n = 9). For  $i = 1, \ldots, n$  we let  $m_i$  be a point in the relative interior of  $e_i$ , and let P be the list of non-necessarily distinct points  $m_1, x_1, m_2, x_2 \ldots, m_n$ , which are the potential ends for all the different extensions. For each  $p \in P$ , let  $\Sigma_p$  be the set of strings obtained from  $\Sigma$  by extending the *a*-end of  $\sigma$  by adding an arc  $\alpha_p$  connecting *a* to *p* in F (see Figure 5). We assume that every two distinct arcs  $\alpha_p$  and  $\alpha_{p'}$  are internally disjoint.

Let  $f_p$  be the edge  $e_1 \cup \alpha_p$  in  $G(\Sigma_p)$ ;  $f_p$  has ends  $x_1$  and p. Also, let  $\sigma^p = \sigma \cup \alpha_p$ . See Figure 6. Seeking a contradiction, suppose that each  $G(\Sigma_p)$  has an obstruction.







**Figure 6** Transforming  $\Sigma$  into  $\Sigma_p$ .

 $_{358}$   $\triangleright$  Claim 9. Let  $p \in P$ . Then there exists an obstruction  $C_p$  in  $G(\Sigma_p)$  including  $f_p$ . Moreover,

(1) if  $p \in \sigma$ , then  $C_p$  can be chosen so that all its edges are included in  $\sigma^p$ ; and

(2) if  $p \notin \sigma$ , then every obstruction includes  $f_p$ .

<sup>361</sup> **Proof.** First, if  $p \in \sigma$ , then the string  $\sigma^p$  self-intersects at p; thus  $\sigma^p$  has a simple close curve <sup>362</sup> including  $f_p$ . In this case let  $C_p$  be the cycle in  $G(\Sigma_p)$  representing this simple closed curve <sup>363</sup> without rainbows, and thus (1) holds.

Second, assume that  $p \notin \sigma$  and let  $C_p$  be any obstruction of  $G(\Sigma_p)$ . For (2), we will show that  $f_p \in E(C_p)$ .

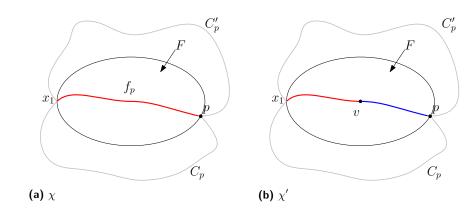
Seeking a contradiction, suppose that  $f_p \notin E(C_p)$ .

If  $p = m_i$  for  $i \in \{1, ..., n\}$ , since  $m_i$  is the only vertex whose rotation in  $G(\Sigma)$  differs from its rotation in  $G(\Sigma_{m_i})$ ,  $m_i \in V(C_p)$ . Consider the cycle C of  $G(\Sigma)$  obtained from  $C_p$ by replacing the subpath  $(x_{i-1}, m_i, x_i)$  by the edge  $x_{i-1}x_i$ . For each vertex  $v \in V(C)$  the colors of the edges of  $G(\Sigma)$  at v included in the disk bounded by C are the same as in  $G(\Sigma_p)$ for the disk bounded by  $V(C_p)$ . Thus, C is an obstruction for  $G(\Sigma)$ , a contradiction.

Suppose now that p is one of  $x_1, \ldots, x_{n-1}$ . The only vertex in  $G(\Sigma)$  whose rotation is different in  $G(\Sigma_p)$  is p. Therefore, p is a point that is a rainbow for  $C_p$  in  $G(\Sigma)$ , but not a rainbow in  $G(\Sigma_p)$ , as witnessed by the two edges of  $\sigma^p$  that are incident with p and inside  $\sigma_p$ . This contradicts the assumption that  $p \notin \sigma$ . Hence  $f_p \in E(C_p)$ .

Henceforth we assume that, for  $p \in P$ ,  $C_p$  is an obstruction in  $G(\Sigma_p)$  as in Claim 9.

More can be said about the obstructions in  $G(\Sigma_p)$ , but for this we need some terminology. If we orient an edge e in a plane graph, then the *sides* of e are either the points near e that are to the right of e, or the points near e to the left of e. For any cycle C of G through e, exactly one side of e lies inside C. This is the side of e covered by C. For the next claim and in the rest of the proof we will assume that for  $p \in P$ ,  $f_p$  is oriented from  $x_1$  to p.



**Figure 7** The two edge colorings  $\chi$  and  $\chi'$  discussed in the proof of Claim 10.

<sup>382</sup>  $\triangleright$  Claim 10. For  $p \in P$  with  $p \notin \sigma$ , every obstruction in  $G(\Sigma_p)$  covers the same side of  $f_p$ .

Proof. Suppose that for  $p \in P$  there are obstructions  $C_p$  and  $C'_p$  covering both sides of  $f_p$ . Let G' be the plane graph obtained from  $G(\Sigma_p)$  by subdividing  $f_p$ , and let v be the new degree-2 vertex inside  $f_p$ .

We consider the edge-colouring  $\chi$  induced by the strings in  $\Sigma_p$ . Let  $\chi'$  be a new colouring obtained from  $\chi$  by replacing the colour of the edge vp by a new colour not used in  $\chi$ (see Figure 7). It is immediate that (i)  $\chi'$  induces a path-partition in G'; and in the next paragraph we show that (ii)  $C_p$  and  $C'_p$  are near-obstructions for v with respect to  $\chi'$ .

Consider the set of edges in the rotation at p inside the disk bounded by  $C_p$  and assume they are colored by  $\chi$ . No edge from this set (except  $f_p$ ) can have the same color as  $f_p$  or else  $p \in \sigma$ , contradicting our hypothesis. Therefore, p is a rainbow in  $C_p$  in  $\chi$  if and only if p is a rainbow in  $C_p$  in  $\chi'$ . Thus, when we switch from  $\chi$  to  $\chi'$ , v is the only vertex of  $C_p$  switching identity (where the identity is to be or not to be a rainbow). As  $C_p$  is an obstruction for  $\chi$ , then  $C'_p$  is a near obstruction at v for  $\chi'$ . Likewise,  $C'_p$  is a near obstruction for  $\chi'$ .

As Condition 2 of Lemma 5 holds for  $C_1 = C_p$ ,  $C_2 = C'_p$  and v = v with respect to  $\chi', G'$  has an obstruction not containing v in  $\chi'$ . However, this implies the existence of an obstruction in  $G(\Sigma)$  with respect to  $\chi$ , a contradiction.

Recall that the boundary walk of F is  $W = (x_0, e_1, \ldots, e_n, x_n)$ , with  $x_0 = x_n = a$ . Since  $x_1$  and  $x_{n-1}$  are in  $\sigma$ , the extreme obstructions  $C_{x_1}$  and  $C_{x_2}$  cover the right of  $f_{x_1}$  and the left of  $f_{x_{n-1}}$ , respectively. Thus, there are two consecutive vertices  $x_{i-1}$ ,  $x_i$  in W - a, such that the interior of  $C_{x_{i-1}}$  covers the right of  $f_{x_{i-1}}$  and the interior of  $C_{x_i}$  covers the left of  $f_{x_i}$ . Moreover, we may assume that the interior of  $C_{m_i}$  includes the left of  $f_{m_i}$  (otherwise we reflect our drawing).

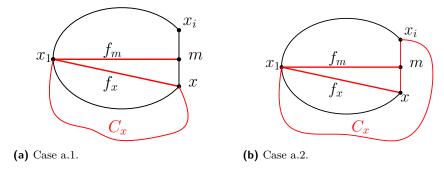
The next claim is the last ingredient to obtain a final contradiction. To make the notation simpler, we let  $x = x_{i-1}$  and  $m = m_i$ .

 $_{407}$   $\triangleright$  Claim 11. Exactly one of the following holds:

(a)  $x \in \sigma, m \notin \sigma$  and  $G(\Sigma_m)$  has an obstruction covering the side of  $f_m$  not covered by  $C_m$ ; or

(b)  $x \notin \sigma$  and  $G(\Sigma_x)$  has an obstruction covering the side of  $f_x$  not covered by  $C_x$ .

<sup>411</sup> **Proof.** By redrawing the arcs representing  $f_x$  and  $f_m$ , we will assume that they only intersect <sup>412</sup> at  $x_1$ . In particular this redrawing creates two copies of the edge  $e_1$ .



**Figure 8** Illustrations for Claim 11.a.

First, suppose that  $x \in \sigma$ . For (a) we have two cases depending on whether  $xx_i$  is an edge in  $C_x$ .

<sup>415</sup> Case a.1  $xx_i \notin E(C_x)$ . See Figure 8a.

Let  $C'_m$  be the cycle obtained from  $C_x$  by replacing the edge  $f_x$  by the path  $P = (x_1, f_m, m, mx, x)$ . Since  $x \in \sigma$ , by the choice of  $C_x$  (Claim 9), all the edges in  $C_x$  are in  $\sigma^x$ . Therefore, by Claim 9.1, all the edges in  $C'_m$ , with the possible exception of mx, are in  $\sigma^m$ . Thus  $C'_m$  is an obstruction in  $G(\Sigma_m)$ .

Now we show that  $C'_m$  covers the right side of  $f_m$ . The disk bounded by  $P \cup f_x$  is to the right of  $f_m$  as this side of  $P \cup f_x$  is included in the bounded face F. Since the interior of  $C_x$ is to the right of  $f_x$ , the interior of  $C'_m$  covers the right side of  $f_m$ .

Finally, note that  $m \notin \sigma$ , or else,  $C'_m \subseteq \sigma^m$  and hence by the choice of  $C_m$ , and Claim 10,  $C'_m = C_m$ . However, this contradicts that  $C_m$  covers the left side of  $f_m$ . Thus, (a) holds.

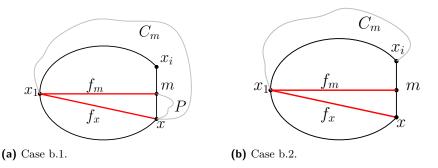
425 **Case a.2.**  $xx_i \in E(C_x)$ . See Figure 8b.

Let  $C'_m$  be the cycle obtained from  $C_x$  by replacing the path  $(x_1, f_x, x, xx_i, x_i)$  by  $(x_1, f_m, m, m, mx_i, x_i)$ . Since  $x \in \sigma$ , by the choice of  $C_x$  (Claim 9), all the edges in  $C_x$  are in  $\sigma^x$ . Therefore all the edges in  $C'_m$  are in  $\sigma^m$ . Thus  $C'_m$  is an obstruction in  $G(\Sigma_m)$ .

Now we show that  $C'_m$  covers the right side of  $f_m$ . The disk bounded by  $f_x \cup f_m \cup xm$  is to the right of  $f_m$  as this side of  $f_x \cup f_m \cup xm$  is included in the bounded face F. Since the interior of  $C_x$  is to the right of  $f_x$ , the interior of  $C'_m$  covers the right side of  $f_m$ .

Finally, as  $C'_m \subseteq \sigma^m$  and by the choice of  $C_m$ ,  $C'_m = C_m$ . However, this contradicts the assumption that  $C_m$  covers the left side of  $f_m$ . Thus, (a) holds.

Turning to (b), let us suppose that  $x \notin \sigma$ .



**Figure 9** Illustrations for Claim 11.b.

435 **Case b.1.**  $x \in V(C_m)$ . See Figure 9a.

Let T be the triangle bounded by  $f_x$ ,  $f_m$  and xm. The interior face of T is to the left of  $f_x$  and to the right of  $f_m$ . Let P be the mx-path of  $C_m - f_m$  and let P' be the  $xx_1$ -path of  $C_m - m$ . Since the interior face of T is a subset of F, P and P' are drawn in the closure of the exterior of T (possibly P = (m, mx, x)).

Let C be the simple closed curve bounded by  $P \cup f_x \cup f_m$  (in other words, C is obtained from T by replacing xm by P). Seeking a contradiction, suppose that xm is in the closed exterior of C. Then, P' is included inside the cycle C' = P + xm. Since  $V(C') \subseteq V(C_m)$ and  $C_m$  is included in the disk bounded by C', the number of rainbows in C' is at most the number of rainbows in  $C_m$ . Then C' is an obstruction in  $G(\Sigma_m)$  not containing  $f_m$ , contradicting Claim 9.2. Thus, xm is inside C.

Our last observation implies that P' is an arc connecting  $x_1$  and x in the exterior of C. Since the interior of  $C_m$  covers the left of  $f_m$ , the interior of  $C'_x = P' + f_x$  covers the left of  $f_{448}$   $f_x$ . The cycle  $C'_x$  is an obstruction because  $V(C'_x) \subseteq V(C_m)$  and  $C_m$  is included inside  $C'_x$ .

449 **Case b.2.**  $x \notin V(C_m)$ . See Figure 9b.

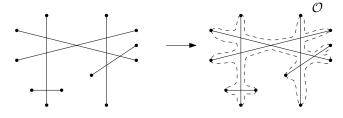
In this case we let  $C'_x$  be the cycle obtained by replacing the path  $(x_1, f_m, m, mx_i, x_i)$  in  $C_m$  by the path  $P = (x_1, f_x, x, xx_i, x_i)$  in  $G(\Sigma_x)$ . Since  $C_m$  covers the left of  $f_m$  and F is bounded,  $C'_x$  covers the left of  $f_x$ .

To show that  $C'_x$  is an obstruction, note that  $C_m$  is inside  $C'_x$  and that  $V(C'_x) \setminus \{x\} \subseteq V(C_m)$ . Thus, all the rainbows of  $C'_x$  in  $V(C'_x) \setminus \{x\}$  are also rainbows in  $C_m$ . Since  $x \notin \sigma$ , we see that x is a rainbow in  $C'_x$ , but is not a vertex of  $C_m$ . To compensate, we note that m is a rainbow in  $C_m$  that is not in  $V(C_x)$ : if m is not rainbow, both  $f_m$  and  $xx_i$  are included in  $\sigma$ , implying that  $x \in \sigma$ . This shows that  $C'_x$  has at most as many rainbows as  $C_m$ . Therefore  $C'_x$  is the desired obstruction.

459 Claims 10 and 11 contradict each other, so, for some  $p \in P$ ,  $G(\Sigma_p)$  has no obstructions. 460

**Lemma 12** (Exterior-Meeting Step). If all the strings in  $\Sigma$  have their ends on the outer face of  $G(\Sigma)$  and the ends have degree 1 in  $G(\Sigma)$ , then we can extend a pair disjoint strings so that they intersect without creating an obstruction.

**Proof.** First, consider a simple closed curve in the outerface of  $\bigcup \Sigma$  closely following the outerboundary of  $\bigcup \Sigma$ . Then, by slightly modifying this curve, we obtain a simple closed cuve  $\mathcal{O}$  containing all the ends of the strings in  $\Sigma$ , but otherwise disjoint from  $\bigcup \Sigma$ . See Figure 10.



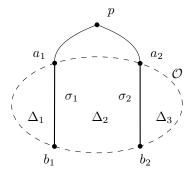
**Figure 10** Construction of the curve  $\mathcal{O}$ .

Suppose  $\sigma_1$ ,  $\sigma_2$  are two disjoint strings in  $\Sigma$ . For i = 1, 2, let  $a_i$ ,  $b_i$  be the ends of  $\sigma_i$ ; since  $\sigma_1$  and  $\sigma_2$  do not cross, we may assume that these ends occur in the cyclic order  $a_1$ ,  $b_1$ ,

 $a_{70}$   $b_2$ ,  $a_2$ . We extend the  $a_i$ -ends of  $\sigma_1$  and  $\sigma_2$  so that they meet in a point p in the outer face,

471 and so that all the ends of  $\sigma_1$  and  $\sigma_2$  remain incident with the outer face (Figure 11). Let  $\Sigma'$ 

<sup>472</sup> be the obtained set of strings.



**Figure 11** Exterior-Meeting Step.

Seeking a contradiction, suppose that  $G(\Sigma')$  has an obstruction C. Since  $G(\Sigma)$  has no obstruction,  $p \in V(C)$ . Our contradiction will be to find three rainbows in C. Note that p is a rainbow. To obtain a second rainbow, traverse C starting from p towards  $a_1$ . Let  $d_1$  be the first vertex during our traversal that is not in the extended  $\sigma_1$ , and let  $c_1$  be its neighbour in  $\sigma_1$ , one step before we reach  $d_1$ . Since  $b_1$  has degree one,  $c_1 \neq b_1$ .

The strings  $\sigma_1$  and  $\sigma_2$  divide the disk bounded by  $\mathcal{O}$  into three closed regions  $\Delta_1$ ,  $\Delta_2$ , 479  $\Delta_3$  such that  $\Delta_1 \cap \Delta_2 = \sigma_1$ ,  $\Delta_2 \cap \Delta_3 = \sigma_2$  and  $\Delta_1 \cap \Delta_3 = \emptyset$  (see Figure 11).

480  $\triangleright$  Claim 13. The cycle C has a rainbow included in  $\Delta_1$ .

<sup>481</sup> **Proof.** First, suppose that  $d_1 \notin \Delta_1$ . In this case,  $c_1$  is a rainbow because otherwise there <sup>482</sup> would be a string  $\sigma$  that tangentially intersects  $\sigma_1$  at  $c_1$ . Thus, if  $d_1 \notin \Delta_1$ , then  $c_1$  is the <sup>483</sup> desired rainbow.

Second, suppose that  $d_1 \in \Delta_1$ . Let  $P_1$  be the path of C starting at  $c_1$ , continuing on the edge  $c_1d_1$ , and ending at the first vertex we encounter in  $\sigma_1$ . Let C' be the cycle enclosed by  $P_1 \cup \sigma_1$ . Since C' is not an obstruction, there is one rainbow of C' that is an interior vertex of  $P_1$ ; this is the desired rainbow of C. This concludes the proof of Claim 13.

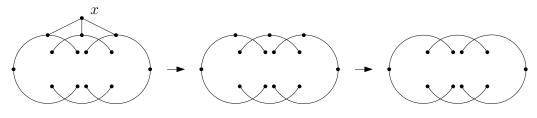
Considering  $\sigma_2$  instead of  $\sigma_1$ , Claim 13 yields a third rainbow in C inside the region  $\Delta_3$ analogous to  $\Delta_1$ , contradicting that C is an obstruction. Hence Lemma 12 holds.

We iteratively apply the Disentangling Step, Face-Escaping Step or Exterior-Meeting Step without creating obstructions. Each step increases the number of pairwise intersecting strings in  $\Sigma$  until we reach a stage where the strings are pairwise intersecting and all of them have their two ends in the unbounded face. From this we extend them into an arrangement of pseudolines. This concludes the proof of Theorem 2.

# <sup>495</sup> **5** Finding obstructions and extending strings in polynomial time

We start this section by describing an algorithm to detect obstructions. Henceforth, we assume that the input to the problem is the planarization  $G(\Sigma)$  of an ordinary set of s strings  $\Sigma$ . For the running-time analysis, we assume that n and m are the number of vertices and edges in  $G(\Sigma)$ , respectively. Since  $G(\Sigma)$  is planar, m = O(n). Moreover, if  $\Sigma$  is pseudolinear, then  $n \leq {s \choose 2} + 2s = {s+2 \choose 2} - 1$ . At the end of this section we explain how to extend  $\Sigma$  (if possible) in polynomial time.

502 503 504 Recall that each string in  $\Sigma$  receives a different colour; this induces an edge-colouring on  $G(\Sigma)$  where each string is a monochromatic path. An *outer-rainbow* is a vertex  $x \in V(G(\Sigma))$  incident with the outer face and for which the edges incident with x have different colours. Next we describe the basic operation in our obstruction-detecting algorithm.



**Figure 12** From  $\Sigma$  to  $\Sigma - x$ .

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**Outer-rainbow deletion.** Given an outer-rainbow  $x \in V(G(\Sigma))$ , the instance  $G(\Sigma - x)$  is defined by: first, removing x and the edges incident to x; second, suppressing the degree-2 vertices incident with edges of the same colour; and third, removing remaining degree-0 vertices (Figure 12 illustrates this process). Edge colours are preserved.

It is easy to verify that  $G(\Sigma - x)$  is the planarization of an arrangement of strings. The colours removed by this operation are those belonging to strings that are paths of length 1 in  $G(\Sigma)$  incident with x. Our obstruction-detecting algorithm relies on the following property:

<sup>513</sup> (\*\*) if x is an outer-rainbow of  $G(\Sigma)$ , then there is an obstruction in  $G(\Sigma)$  not including x if <sup>514</sup> and only if there is an obstruction in  $G(\Sigma - x)$ .

This property holds because cycles in  $G(\Sigma) - x$  and in  $G(\Sigma - x)$  are in 1-1 correspondence: two cycles correspond to each other if they are the same simple closed curve. This correspondence is obstruction-preserving.

Let us now describe the two subroutines in our algorithm. For this, we remark that an outer-rainbow of  $G(\Sigma)$  is a rainbow for any cycle containing it.

<sup>520</sup> **Subroutine 1.** Detecting an obstruction through two outer-rainbows x and y.

<sup>521</sup> (1) Find a cycle C through x and y whose edges are incident with the outer face of  $G(\Sigma)$ . If <sup>522</sup> C exists, then this cycle is unique and can be described as the outer boundary of the <sup>523</sup> block containing x and y. If no such C exists, then output *No obstruction through* x and <sup>524</sup> y. Else, go to Step 2.

<sup>525</sup> (2) Find whether there is a third outer-rainbow  $z \in V(C) \setminus \{x, y\}$ . If such z exists, update <sup>526</sup>  $G(\Sigma) \leftarrow G(\Sigma - z)$  and go to Step 1. If no such z exists, output C.

<sup>527</sup> Correctness and running-time of Subroutine 1: If an obstruction through x and y exists, then <sup>528</sup> x and y are in the same block (some authors use the term 'biconnected component'). Since <sup>529</sup> x and y are incident with the outer face, the outer boundary of the block containing x and y <sup>530</sup> is the cycle C from Step 1. This C can be found by considering outer boundary walk W of <sup>531</sup>  $G(\Sigma)$  and then by finding whether x and y belong to the same non-edge block of W. Finding <sup>532</sup> W is O(m) and computing the blocks of W via a DFS takes O(m) time.

In Step 2, if there is a third outer rainbow z in C, then no obstruction through x and ycontains z. Property (\*\*) justifies the update that takes O(m) time.

<sup>535</sup> A full run from Step 1 to Step 2 takes O(m). Moving from Step 2 to Step 1 occurs O(n)<sup>536</sup> times. Thus, the total time for Subroutine 1 is  $O(mn) = O(n^2)$ .

<sup>537</sup> Subroutine 2. Detecting an obstruction through a single outer-rainbow x.

- <sup>538</sup> (1) Find a cycle C through x whose edges are incident with the outer face of  $G(\Sigma)$ . If no <sup>539</sup> such C exists, output No obstruction through x. Else, go to Step 2.
- <sup>540</sup> (2) Find whether there is an outer-rainbow y in  $V(C) \setminus \{x\}$ . If no such y exists, output C.
- Else, apply Subroutine 1 to x and y; if there is an obstruction C' through x and y, then output C'. Else, update  $G(\Sigma) \leftarrow G(\Sigma - y)$  and go to Step 1.
- <sup>543</sup> Correctness and running-time of Subroutine 2: If  $G(\Sigma)$  has an obstruction through x, then <sup>544</sup> there is a non-edge block in  $G(\Sigma)$  containing x. The outer boundary of this block is a cycle <sup>545</sup> C through x having all edges incident with the outer face. As in Subroutine 1, Step 1 takes <sup>546</sup> O(m) time.
- Detecting the existence of y in Step 2 is O(m) because to detect rainbows in C, each edge incident with a vertex in V(C) is verified at most twice. The update in Step 2 is justified by Property (\*\*). Since Step 2 may use Subroutine 1, Step 2 takes  $O(n^2)$  time. As moving from Step 2 to Step 1 occurs O(n) times, the total running-time for Subroutine 2 is  $O(n^3)$ . We are now ready for the algorithm to detect obstructions.
- **Algorithm 1:** Detecting obstructions in  $G(\Sigma)$ .
- <sup>553</sup> (1) Find a cycle C having all edges incident with the outer face. If no such C exists, output <sup>554</sup> No obstruction. Else, go to step 2.
- 555 (2) Find whether there is an outer rainbow  $x \in V(C)$ . If not, output C. Else apply Subroutine
- <sup>556</sup> 2 to x; if there is an obstruction C' through x, output C'. Else, update  $G(\Sigma) \leftarrow G(\Sigma x)$ <sup>557</sup> and go to Step 1.
- <sup>558</sup> Correctness and running-time of Algorithm 1: If  $G(\Sigma)$  has an obstruction, then it has a <sup>559</sup> non-trivial block whose outer boundary is a cycle C as in Step 1. As before, C and x as in <sup>560</sup> Step 2 can be found in O(m) steps. If C has not outer rainbow x, then C is an obstruction; <sup>561</sup> Property (\*\*) justifies the update in Step 2.

Since Step 2 may use Subroutine 2, a full run of Steps 1 and 2 takes  $O(n^3)$  time. Since Step 2 goes to Step 1 O(n) times, the running-time of Algorithm 1 is  $O(n^4)$ .

Algorithm 1 and the constructive proof of Theorem 2 imply the following result.

<sup>565</sup> ► **Theorem 14.** There is a polynomial-time algorithm to recognize and extend an ordinary <sup>566</sup> set of strings that are extendible to an arrangement of pseudolines.

<sup>567</sup> **Proof.** Let  $\Sigma$  be an ordinary set of *s* strings. First, note that if  $n = |V(G(\Sigma))|$ , m =<sup>568</sup>  $|E(G(\Sigma))|$ , and  $\Sigma$  is extendible, then  $n \leq {s \choose 2} + 2s$ . Hence  $n, m = O(s^2)$ .

Assume that  $G(\Sigma)$  has not obstructions, by first verifying that  $n \leq {s \choose 2} + 2s$  and then running Algorithm 1. For each end in each string in  $\Sigma$ , we keep track of whether one of the Disentangling, Face-Escaping or Exterior-Meeting Steps apply.

The Disentangling and Face-Escaping Steps consist on extending one end a of a fixed string  $\sigma \in \Sigma$  in different ways to find an obstruction-free set of strings. For the Disentangling Step, the number of possible extensions is bounded by the maximum degree of  $G(\Sigma)$ ; for the Face-Escaping Step, the number of possible extensions is bounded by twice the length of the face containing the end that we are extending. Thus, each step lead to O(m) possibilities, and testing obstructions in each of them is  $O(n^4)$ . Thus, the Disentangling and the Face-Escaping Steps take  $O(n^5)$  time.

The Exterior-Meeting Step is  $O(m^2)$  because for this step we just need to record the number of the pairwise disjoint strings in  $\Sigma$  and the set of strings that have ends incident with the outer face; if all the strings have their ends in the outer boundary, the extension is performed as in the proof of Lemma 12.

As there is a total of  $O(s^2)$  extending steps, extending  $\Sigma$  is  $O(s^2(n^5 + m^2)) = O(s^{12})$ .

# 584 6 Concluding remarks

In this work we characterized in Theorem 2 sets of strings that can be extended into arrangements of pseudolines. Moreover, we showed that the obstructions to pseudolinearity can be detected in  $O(n^4)$  time, where n is the number of vertices in the planarization of the set of strings.

An easy consequence of Theorem 2 is the following (presented before as Theorem 1).

▶ **Theorem 15.** Let D be a non-pseudolinear good drawing of a graph H. Then there is a subset S of edge-arcs in  $\{D[e] : e \in E(H)\}$ , such that each  $\sigma \in S$  has a substring  $\sigma' \subseteq \sigma$ for which  $\bigcup_{\sigma \in S} \sigma'$  is one of the drawings represented in Figure 2.

<sup>593</sup> **Proof.** Take *C* an obstruction of the planarization associated to *D*. Let  $\delta(C) \subseteq V(C)$  be <sup>594</sup> the vertices that in *C* are incident with two different strings in  $\Sigma = \{D[e] : e \in E(H)\}$ . We <sup>595</sup> choose our obstruction *C* so that  $|\delta(C)|$  is as small as possible.

Decompose C into a cyclic sequence of paths  $P_0, \ldots, P_m$ , where  $P_i$  connects two points in  $\delta(C)$  and it is otherwise disjoint from  $\delta(C)$ . Using Lemma 4, one can show that  $P_0, \ldots, P_m$ belong to distinct edge-arcs  $\sigma_0, \ldots, \sigma_m \in \Sigma$ , respectively. For each  $P_i$ , we consider the string  $\sigma'_i$ , obtained by slightly extending the ends of  $P_i$  that are not rainbows in C; we extend them along  $\sigma_i$ .

Let  $x \in \delta(C)$  be an end shared by  $P_{i-1}$  and  $P_i$ . If x is not a rainbow for C, then x is a crossing between  $\sigma_{i-1}$  and  $\sigma_i$ . Moreover, the arcs added to  $P_{i-1}$  and  $P_i$  at x to obtain  $\sigma'_{i-1}$ and  $\sigma'_i$  are inside C. If x is a rainbow in C, then  $P_i$  and  $P_{i-1}$  are not extended at x, and xacts as one of the degree-2 vertices in Figure 2. The rest of the points in  $\delta(C)$  are crossings in  $\bigcup_{i=0}^{m} \sigma'_i$  facing the interior of C. Since C has at most two rainbows,  $\bigcup_{i=0}^{m} \sigma'_i$  is one of the drawings depicted in Figure 2.

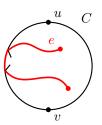
Theorem 2 can also be applied to show that a drawing of  $K_n$  is pseudolinear if and only if does not contain the *B*-configuration (Theorem 2.5.1 in [3]). We sketch the proof of a specific case of this theorem in the next two paragraphs and comment on the general case afterwards.

Suppose that  $G(\Sigma)$  is the planarization of a non-pseudolinear drawing D of  $K_n$  for which we would like to show that D contains a B-configuration. Consider an obstruction C of  $G(\Sigma)$ minimizing  $|\delta(C)|$ , where  $\delta(C)$  are vertices of C incident with edges in C having different colours. For illustrative purposes, let us assume that C contains two vertices from  $V(K_n)$ . Since C is an obstruction, u and v are the only rainbows of C.

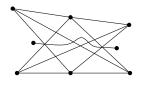
An edge e of  $K_n$  is *involved in* C if C contains a subarc of D[e] (see Figure 13). By using Lemma 4 is not hard to show that every edge involved in C is drawn inside C. Consider all the vertices incident with an edge involved in C and let D' be the drawing of the complete graph induced by these vertices. Then, D' has at most two vertices in its outer boundary, namely u and v. Thus, the outer boundary of D' is incident with at least one crossing. The  $K_4$  containing this crossing is drawn as in Figure 1 with its crossing incident with the outer face. This  $K_4$  contains a B-configuration.

The proof for the general case, where C does not necessarily contains two vertices of  $K_n$ , is considered in full detail in [3], and uses the complete subgraph induced by the edges involved in C combined with the fact that  $|\delta(C)|$  is minimal.

A drawing is *stretchable* if it is homeomorphic to a rectilinear drawing. There are pseudolinear drawings that are not stretchable. For instance, consider the Non-Pappus configuration in Figure 14. Nevertheless, the following is an immediate consequence of Thomassen's main result in [19].



**Figure 13** An edge e involved in the obstruction C.



**Figure 14** Non-Pappus configuration.

**Corollary 16.** A 1-planar drawing of a graph is stretchable if and only if it is pseudolinear.

<sup>631</sup> **Proof.** If a drawing D is stretchable then clearly it is pseudolinear. To show the converse, <sup>632</sup> suppose that D is pseudolinear. Then D does not contain any obstruction, and in particular, <sup>633</sup> neither of the B- and W-configurations in Figure 2 occurs in D. This condition was shown <sup>634</sup> in [19] to be equivalent to being stretchable.

One can construct more general examples of pseudolinear drawings that are not stretchable by considering non-strechable arrangements of pseudolines. However, such examples seem to inevitably have some edge with multiple crossings. This leads to a natural question.

<sup>638</sup>  $\triangleright$  Question 17. Is it true that if *D* is a pseudolinear drawing in which every edge is crossed <sup>639</sup> at most twice, then *D* is stretchable?

We believe that there are other instances where pseudolinearity characterizes stretchability of drawings. A drawing is *near planar* if the removal of one edge produces a planar graph. One instance, is the following result by Eades et al. that can be translated to the language of pseudolines:

▶ **Theorem 18.** [9] A drawing of a near-planar graph is stretchable if and only if the drawing induced by the crossed edges is pseudolinear.

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