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The surfactin-like lipopeptides from *Bacillus* spp.: natural biodiversity and synthetic biology for a broader application range

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- 46

### 47 Abstract

48 Surfactin is a lipoheptapeptide produced by several *Bacillus* species and identified for the first time in 49 1969. At first, the biosynthesis of this remarkable biosurfactant was described in this review. The 50 peptide moiety of the surfactin is synthesized using huge multienzymatic proteins called 51 NonRibosomal Peptide Synthetases. This mechanism is responsible for the peptide biodiversity of the 52 members of the surfactin family. In addition, on the fatty acid side, fifteen different isoforms (from 53 C12 to C17) can be incorporated so increasing the number of the surfactin-like biomolecules. The 54 review also highlights the last development in metabolic modelling and engineering and in synthetic biology to direct surfactin biosynthesis but also to generate novel derivatives. This large set of different 55 biomolecules leads to a broad spectrum of physico-chemical properties and biological activities. The 56 57 last parts of the review summarized the numerous studies related to the production processes 58 optimization as well as the approaches developed to increase the surfactin productivity of *Bacillus* cells 59 taking into account the different steps of its biosynthesis from gene transcription to surfactin 60 degradation in the culture medium.

61

### 62 1 Introduction

63 Surfactin was firstly isolated in 1968 by Arima et al. as a new biologically active compound produced

64 by Bacillus with surfactant activities, leading to its appellation. Its structure was elucidated firstly

65 through its amino acid sequence (Kakinuma, Hori, et al., 1969) and then its fatty acid chain (Kakinuma,

66 Sugino, et al., 1969). Surfactin was thus characterized as a lipopeptide composed of a heptapeptide

with the following sequence: L-Glu1-L-Leu2-D-Leu3-L-Val4-L-Asp5-D-Leu6-L-Leu7, forming a
 lactone ring structure with a β-hydroxy fatty acid chain. Bearing both, a hydrophilic peptide portion

69 and a lipophilic fatty acid chain, surfactin is of amphiphilic nature, leading to exceptional biosurfactant

70 activities and diverse biological activities.

71 Surfactins are actually considered as a family of lipopeptides, sharing common structural traits with a

72 great structural diversity due to the type of amino acids in the peptide chain and the length and isomery

of the lipidic chain (Ongena et al., 2008). More than one thousand variants can potentially be naturally

74 synthesized. This remarkable biodiversity mainly results from their biosynthetic mechanism.

This review is composed of 4 main sections. At first, a detailed description of the biosynthesis mechanisms will allow to understand origin of the biodiversity. Secondly, the diversity of variants will be seen, as well as its enhancement possibilities. Thirdly, the link between surfactin's varying structure

and its properties and activities will be described. Lastly, the production process and its optimisation
will be discussed, either for the whole surfactin family or for specific variants.

80

# 81 2 Biosynthesis of surfactins

### 82 2.1 Peptide moiety

Surfactins, as most of the cyclic lipopeptides (CLPs), are not synthesized ribosomally, but rather by specialized systems, termed non-ribosomal peptide synthetases (NRPSs). NRPSs are multimodular mega-enzymes, consisting of repeated modules. A module is defined as a portion of the NRPS that incorporates one specific amino acid into a peptide backbone. The order of the modules is usually colinear with the product peptide sequence. Each module can in turn be dissected into the following three domains: the adenylation (A) domain, the thiolation (T) domain ("-syn. peptidyl-carrier protein (PCP)-") and the condensation (C) domain (Marahiel et al., 1997; Roongsawang et al., 2011). The A-domain

#### **Running Title**

90 recognizes, selects and activates the specific amino acid of interest (Dieckmann et al., 1995). Taking 91 into account the 3D-structures of several adenylation domains and their active site, several tools have 92 been set up to correlate the amino acid residue present in this active site and their substrate specificity. A NRPS code was so defined that it is based on 8 amino acid residues from the active site (Stachelhaus 93 et al., 1996; Rausch et al., 2005). The activated amino acid is hereby covalently bonded as a thioester 94 95 to the flexible 4'-phosphopantetheinyl (4'-Ppant) arm of the T-domain. The 4'-Ppant prosthetic group 96 is 20 Å in length and can swing from one to another adjacent catalytic centre. Exactly this flexibility 97 enables the transfer of the activated amino acid substrate to the C-domain, which catalyzes in turn (i) the formation of a peptide bond between the nascent peptide and the amino acid carried by the adjacent 98 99 module and allows afterwards (ii) the translocation of the growing chain to the following module. Various functional subtypes of the C domain have been described. For example, an <sup>L</sup>C<sub>L</sub> domain 100 catalyzes the formation of a peptide bond between two L-amino acids while a <sup>D</sup>C<sub>L</sub> domain between a 101 L-amino acid and a growing peptide ending with a D-amino acid (Rausch et al., 2007). The first 102 103 module (A-T module) is considered the initiation module, while the subsequent (C-A-T) modules are 104 defined as elongation modules. After several module-mediated cycles of peptide extension, the 105 complete linear intermediate peptide is released by the terminal thioesterase (TE) domain which, often, catalyzes an internal cyclization (Marahiel et al., 1997; Trauger et al., 2000). Besides the above 106 107 mentioned domains, the NPRS assembly line can furthermore comprise additional optional domains, which catalyze modifications of amino acid building blocks e.g. their epimerization (E-domains) 108 109 (Süssmuth et al., 2017). The lipid moiety of surfactins and most of the microbial lipopeptides is introduced directly at the start of the biosynthesis. The initiation module features a C-A-T- instead of 110 a classic A-T-structure (Sieber et al., 2005; Bloudoff et al., 2017). It contains a special N-terminal C-111 112 domain, termed C-starter ( $C_S$ ) domain and is in charge of the linkage of a CoA-activated  $\beta$ -hydroxy 113 fatty acid to the first amino acid. The activated fatty acid stems foremost from the primary metabolism 114 (Figure 1).

115 Three decades ago, the biosynthetic gene cluster (BGC) of the CLP surfactin was described in parallel 116 by different research groups (Nakano et al., 1988; Cosmina et al., 1993; Fuma et al., 1993; Sinderen et al., 1993). The structural genes were identified in *B. subtilis* and are formed by the four biosynthetic 117 core NRPS genes srfAA, srfAB, srfAC and srfAD (Figure 1) which code together for a heptamodular 118 119 NRPS assembly line. The three-modular enzyme SrfAA contains N-terminally the typical C<sub>S</sub>-domain 120 of CLP-BGCs and acylates the first amino acid Glu1 with various 3-OH-fatty acids stemming from 121 primary metabolism. The peptide is subsequently extended in a co-linear fashion by the elongation 122 modules of SrfAA, SrfAB and SrfAC to yield a linear heptapeptide (FA-L-Glu1-L-Leu2-D-Leu3-L-Val4-L-Asp5-D-Leu6-L-Leu7). The inverted stereochemistry can be readily attributed to the presence 123 of E-domains in modules M3 and M6 and <sup>D</sup>C<sub>L</sub> domains in modules M4 and M7 (Figure 1). Finally, the 124 125 TE domain of SrfAC releases the lipopeptide and performs the macrocyclization between Leu7 and 126 the hydroxy-group of the 3-OH fatty acid. Notably, SrfAD consist solely of a second TE-domain, which 127 represents rather a supportive repair enzyme and is able to regenerate misprimed T-domains during NRPS assembly (Schneider et al., 1998; Schwarzer et al., 2002; Yeh et al., 2004). 128 129 Beside the structural NRPS genes, the surfactin BGC comprises one built-in and several adjacent

130 accessory genes encoding e.g. transporters and regulatory proteins (MiBIG Accession No:

- BG0000433). Amongst these, we would like to further highlight the genes *sfp*, *ycxA*, *krsE*, *yerP* and *comS*, which are particularly related with the production yield of surfactin.
- 133 Sfp represents a phosphopantetheinyl transferase (PPTase) and is located approximately 4 kb
- 134 downstream of the *srf* BGC. The T-domain of an NRPS is, upon its expression, not directly active but
- 135 rather exists nascent in its non-functional apo-form. For full functionality, the flexible 4'-Ppant arm
- 136 needs to be fused to the T-domain. The latter process is mediated by the PPTase Sfp, thereby converting
- all T-domains of the surfactin BGC into their active holo form (Quadri et al., 1998; Mootz et al., 2001).
- 138 This fact makes Sfp indispensable for the production of surfactin (Tsuge et al., 1999). For example, in
- the reference strain, *Bacillus subtilis* 168, the *sfp* locus is truncated and therefore non-functional, which abolishes in turn surfactin production. However, the production can be restored by the transfer of a
- 141 complete *sfp* locus (Nakano et al., 1988, 1992).
- 142 Further important genes in the context of surfactin production are genes encoding transporters which
- 143 are efflux pumps. From a physiologically point of view, the pumps avoid intracellular surfactin
- 144 accumulation and constitute an essential self-resistance mechanism (Tsuge et al., 2001). In particular
- since surfactin inserts into biomembranes and at higher concentration causes membrane disruption. An
- ecological rationale for transporters could be that surfactin is extracellularly at the correct site where it
- 147 can exert its beneficial activity. So far, three transporters have been identified in Bacilli, that are
- involved in surfactin efflux, i.e. YcxA, KrsE and YerP. It has been demonstrated that the separate overexpression of the corresponding genes enhanced release rates of surfactin (Li et al., 2015) by 89%,
- 150 52% and 145%, respectively.
- 151 Finally, the surfactin BGC exhibits a unique peculiarity on the genetic level, in bearing a co-encoded
- 152 regulatory gene, termed *comS* inside itself (D'Souza et al., 1994). It is located in the open reading frame
- 153 of the NRPS gene srfAB (Hamoen et al., 1995), more precisely within the A-domain of module 4
- 154 (Figure 1). ComS is on the one hand involved in the positive regulation of the genetic competence of
- the cell (Liu et al., 1998) and on the other hand part of the quorum sensing system comQXPA (Ansaldi
- 156 et al., 2002; Schneider et al., 2002; Auchtung et al., 2006) which in turn regulates surfactin production.
- 157 Beyond this brief explanation, for an excellent overview about the role of ComS, the reader is referred
- to a review, written by Stiegelmeyer and Giddings (Stiegelmeyer et al., 2013). Since the production
- 159 yield is coupled with the presence and functionality of ComS in the coding region of *srfAB*, the genetic
- 160 engineering of the surfactin synthetase in this region requires special attention.
- 161

# 162 2.2 Fatty acid chain synthesis

- 163 Since fatty acid biosynthesis plays a critical role in surfactin production, and strongly determines its 164 activity and properties, in this section we briefly summarize this central metabolic pathway and the 165 subsequent steps leading to the modification and activation of the fatty acyl-CoA precursor.
- 166 All organisms employ a conserved set of chemical reactions to achieve the *de novo* Fatty Acid (FA)
- 167 biosynthesis, which works by the sequential extension of the growing carbon chain, two carbons at a
- time, through a series of decarboxylative condensation reactions (Wakil et al., 1983) (Figure 2). This
- 169 biosynthetic route proceeds in two stages: initiation and iterative cyclic elongation. The acetyl-CoA
- 170 carboxylase enzyme complex (ACC) performs the first committed step in bacterial FA synthesis to
- 171 generate malonyl-CoA through the carboxylation of acetyl-CoA (Marini et al., 1995; Tong, 2013). The
- 172 malonate group from malonyl-CoA is transferred to the acyl carrier protein (ACP) by a malonyl-

173 CoA:ACP transacylase (FabD) (Serre et al., 1994, 1995; Morbidoni et al., 1996). The first reaction for

the synthesis of the nascent carbon chain comprises the condensation of malonyl-ACP with a shortchain acyl-CoA (C2–C5) catalyzed by a 3-keto-acyl carrier protein synthase III (FabH). Acetyl-CoA is

176 used as a substrate for the synthesis of straight-chain FA, while branched-chain fatty acids (BCFA)

177 arise from isobutyryl-CoA, isovaleryl-CoA and methylbutyryl-CoA priming substrates. These

178 precursors derive, from the catabolism of the branched-chain amino acids valine, leucine and

179 isoleucine, respectively. The crucial branched-chain  $\alpha$ -keto acid decarboxylase (BKD) complex

180 catalyzes the decarboxylation of  $\alpha$ -keto acids to generate the corresponding branched-chain acyl-CoA

primers (Willecke et al., 1971; Kaneda, 1991; Lu et al., 2004). The substrate specificity of FabH plays
a determining role in the branched/straight and even/odd characteristics of the fatty acid produced. *B*.

*subtilis* possesses two FabH isoenzymes, FabHA and FabHB, both of which preferentially utilize

184 branched-chain acyl-CoA primers (Choi et al., 2000). Therefore, BCFA are the main components of

phospholipids, where iso-C15:0, anteiso-C15:0, iso-C16:0, iso-C17:0, and anteiso-C17:0 represent the major FA found in *Bacillus* species (Kaneda, 1969; Kämpfer, 1994). The pattern of the BCFA can be

187 modified by environmental conditions such as temperature (Graumann et al., 1999).

188 Next, the keto-acyl-ACP product of FabH condensation enters the elongation/reducing cycle of the

189 fatty acid synthase II (FAS-II). There, the keto group is reduced by the NADPH dependent β-ketoacyl-

190 ACP reductase (FabG) to give  $\beta$ -hydroxy-acyl-ACP. The  $\beta$ -hydroxyacyl-ACP intermediate is then

191 dehydrated to *trans*-2-enoyl-ACP by a 3-hydroxyacyl-ACP dehydratase (FabZ). Then, the cycle is

192 completed by an enoyl-ACP reductase, which reduces the double bond in *trans*-2-enoyl-ACP to form

193 acyl-ACP (Fujita et al., 2007). B. subtilis possesses two enoyl-ACP reductases (FabI and FabL) with

194 opposite preferences for the NADPH or NADH cofactor (Heath et al., 2000).

195 In all the successive steps of FA elongation, the acyl-ACP intermediate and malonyl-ACP are the 196 substrates of FabF condensing enzyme (3-oxoacyl-ACP-synthase II) that elongates the growing acyl 197 chain and initiate each new round of the cycle (Schujman et al., 2001). Finally, the acyl-ACPs of the 198 proper chain length are substrates of acyltransferases involved in cell membrane phospholipid 199 synthesis. Alternatively, some structurally specific FA are not integrated in the cell membrane 200 phospholipids. Those modified FA could be, under specific environmental or growth conditions, 201 channelled into secondary metabolic pathways. They are then a of specialized molecules, as it is the 202 case of lipopeptides.

203 Once the long chain FA is synthesized, the next steps needed for surfactin biosynthesis involves the 204 production of the 3-hydroxy-acyl-coenzyme A (CoA) substrates. Youssef et al., based on in vitro 205 assays, suggested that acyl 3-hydroxylation occurs prior to CoA ligation (Youssef et al., 2011). These 206 authors reported that YbdT, a cytochrome P450 enzyme, catalyzes the hydroxylation of the FA 207 precursors to be incorporated in the lipopeptide biosynthetic pathway (Youssef et al., 2011). 208 Cytochrome P450 are monooxigenases capable of introducing an oxygen atom into FA and in other 209 lipidic and non-lipidic molecules. The B. subtilis genome contains eight genes coding for cytochrome 210 P450 enzymes (Hlavica et al., 2010). In vitro, high-performance liquid chromatography (HPLC) and gas chromatography-mass spectrometry analyses demonstrated that the recombinant vbdT gene 211 212 product hydroxylates myristic acid in the presence of  $H_2O_2$ , to produce  $\beta$ -hydroxymyristic acid and  $\alpha$ -

213 hydroxymyristic acid (Matsunaga et al., 1999). Furthermore, a ybdT mutant strain of B. subtilis

OKB105 produces biosurfactants with only 2.2% of 3-hydroxylated C14, while the 97.8% contained

- 215 non-hydroxylated FA with chain lengths of C12, and C14–C18 (Youssef et al., 2011) and are thus linear.
- 216 Finally, the surfactin synthetase assembly line can be initiated in presence of a CoA-activated FA
- 217 (Steller et al., 2004). Fatty acids are converted into their corresponding acyl-CoA derivative by fatty
- 218 acyl CoA ligases (FACS). Of the four putative FACS identified in homology searches in the genome
- of *B. subtilis*, two of them, LcfA and YhfL, were characterized *in vitro* to be involved in surfactin
- production. HPLC-MS based FACS activity assays indicated that LcfA and YhfL catalyze the thioester
   formation with CoA and various FA substrates (3-OH C8, 3-OH C10, C12 and C14). All four single
- 222 mutants in the FACS homolog genes, *lcfA*, *yhfL*, *yhfT* and *yngI*, decreased surfactin production by 38%
- 55%, compared with the wild-type levels. Interestingly, a quadruple mutant in the FACS did not
  completely abolish surfactin biosynthesis, such strain still presents 16% surfactin production,
  compared with the levels produced by the wild-type strain. This observation suggests that other noncanonical FACS are present in *B. subtilis* or that other pathways, such as transthiolation from ACPs to
- 227 CoA, could be involved in providing the fatty acyl moiety.
- The hydroxylated and CoA activated FA derivative is finally transferred onto the surfactin synthetase assembly line, in a reaction performed by the N-terminal condensation ( $C_S$ ) domain, that is as mentioned above responsible for the lipoinitiation mechanism. *In vitro*, the recombinant dissected C domain, catalyzed the acylation reaction using glutamate-loaded PCP domain and 3-OH-C14-CoA as substrates (Kraas et al., 2010).
- 233 234

# 3. Variants of surfactin

The surfactin biosynthesis mechanism previously described is responsible for the high biodiversity of surfactin-like molecules. In addition, the assembly line machinery of surfactin synthetases can be easily modified by synthetic biology in order to increase this biodiversity. Both aspects will be developed in the following chapter.

239

# 240 3.1.Natural variants

- 241 Three main peptide backbones and the NRPSs responsible for their biosynthesis, produced by different
- 242 Bacillus species, have been so far described in literature: surfactin as previously described from B.
- 243 subtilis, B. amyloliquefaciens, B. velezensi and B. spizizeni amongst others, pumilacidin from B.
- 244 pumilus (Naruse et al., 1990) and lichenysin from B. licheniformis (Horowitz et al., 1990). Compared
- 245 to surfactin, pumilacidin has a leucine in position 4 instead of a valine, as well as an isoleucine or a
- valine in position 7 instead of a leucine. Lichenysin differs from surfactin by a change in the first amino
- 247 acid residue: a glutamine (Gln) instead of a glutamic acid (Figure 3).
- 248 This first biosynthetic diversity in surfactin is increased by the promiscuous specificity of adenylation
- 249 domains of modules 2, 4 and 7 of surfactin synthetases which are able to accept L-Leu, L-Val or L-Ile
- amino acids residues as well as L-Ala for module 4. Similarly low levels of specificity have been
- 251 observed for lichenysin (Peypoux et al., 1991; Bonmatin et al., 2003).
- 252 Based on all these results, it appears that the aspartic acid in position 5, as well as the D-Leucine in
- 253 position 3 and 6 are present in all the members of the surfactin family. The only mention of an
- asparagine (Asn) for lichenysin (Yakimov et al., 1995) was quickly refuted by the same author after
- the use of fast atom bombardment mass spectrometry (Yakimov et al., 1999). The specificity of M3

and M6 could result from (i) an enzyme of the assembly line machinery such as the epimerisation domain which could accept only leucine as substrate, (ii) from the specificity of the adenylation domain or (iii) from the specificity of the involved condensation domains.

The changes in the peptide chain are not the only source of diversity in the surfactin family. As mentioned before, surfactin is a heptapeptide linked to a fatty acid chain. Regarding this chain, the length of it can vary from 12 to 17 carbons atoms, mainly being C14 and C15.

262 Another change in this lipid chain is its isomery, it can have a linear, *n*, configuration, but it can also

263 be branched, iso and anteiso. Anteiso can only be in an uneven carbon chain length, while iso can be

264 found in all chain lengths (odd and even-numbered carbon chain). These derivatives can be mainly

- explained by the promiscuity of the  $C_8$ -domain present in module M1 towards its relaxed substrate specificity.
- Finally, natural linear surfactins (Figure 3) have been also identified in the culture supernatant of *Bacillus* strains (Gao et al., 2017). The molecular mechanism responsible for this linearization is not yet known. It could result from an incomplete efficacy of TE domain which could release some surfactin without cyclization or from enzymatic or chemical degradation of cyclic surfactin.
- 271 In addition, heterologous enzymes are also capable to catalyze linearization. An *in vitro* study showed
- the linearisation effect of a purified V8 endoprotease from *Staphylococcus aureus* (Grangemard et al.,
- 273 1999). Furthermore, an *in vivo* study demonstrated that *Streptomyces* sp. Mg1 produces, as a
- mechanism of resistance, an enzyme that hydrolyses surfactin into its linear form (Hoefler et al., 2012).
  Surfactin methyl ester was observed in the supernatant of Ba*cillus subtilis* HSO121 (Liu et al., 2009),
- and a methylated product of surfactin with a valine in position 7 was discovered in the supernatant of
- a *Bacillus* mangrove bacteria strain (Tang et al., 2007). This change was also discovered in the supernatant of supernatant of *Bacillus licheniformis* HSN221 with surfactin and lichenysin methyl esters (Li et al., 2010) and in the culture medium of *Bacillus pumilus* through surfactin methyl ester (Zhuravleva et al., 2010).
- 281

### 282 **3.2.Synthetic and biosynthetic variants**

In addition to the natural surfactins seen before, synthetic variants can be obtained through chemicalmodifications or genetic engineering of the NRPS. This leads to new forms or to a controlled

285 production of a specific form. Reasons for structural changes are manifoldly given, foremost to reduce

the toxicity of surfactin, but also to optimize its biological activities or to increase its water solubility.

287 Esterification can be achieved through chemical treatment with alcohol, reacting with the Asp- $\beta$ - and/or

288 Glu-γ-carboxyl group, producing monoester and/or diester-surfactin (Figure 3).

289 For example, reaction of surfactin with *n*-hexyl alcohol lead to mono- and *di*-hexyl-surfactin, with 2-

290 methoxyethanol to mono- and di-2-methoxy-ethyl-surfactin (Shao et al., 2015). Amidation through a

- reaction with alcohol and then NH<sub>4</sub>Cl was also observed (Morikawa et al., 2000). Esterification and
- amidation of aspartic and glutamic acid eliminate the negative charge of those amino acid residues,
- 293 creating an even greater diversity in the surfactin family because of the charge change that they bring
- and thus the modification in surfactin biological and surfactant properties.
- 295 Linearization of the cyclic surfactin previously mentioned as a natural process can also be obtained by
- chemical alkaline treatment (Figure 3) (Eeman et al., 2006).

297 In addition to those chemical modifications of surfactin naturally produced, synthetic forms can be chemically produced (Figure 3). Liquid phase techniques have been used at first (Nagai et al., 1996) 298 but, because of the many steps and the purification of intermediates needed, it was replaced with a 299 quicker solid phase peptide synthesis (SPPS) technique. Different forms of surfactins have been 300 produced, such as standard surfactin, but also analogues with a change in the amino acid sequence, 301 302 such as an epimerisation (D-Leu2), a change in charge (Asn5) and the switch of two residues (Asp4-303 Leu5) (Pagadoy et al., 2005). Linear surfactin was also produced, as well as linear with an amidated 304 carboxy-terminus function (Dufour et al., 2005). Finally, the fatty acid chain length was likewise 305 changed, with C10 and C18 (Francius et al., 2008). However, due to the complexity of the production, 306 these lipopeptides are intended only for research use.

As said before, in addition to the chemical changes, the genetic engineering can be also applied to the genes coding for the NRPS, in order to modify the structure of surfactin. The generation of novel derivatives by rational design can hereby be achieved by site directed mutagenesis, module- insertion, deletion and substitution (Alanjary et al., 2019). Application of the site directed mutagenesis technique, an A-domain specificity of an NRPS module shift from L-Glu to L-Gln and from L-Asp to L-Asn at

312 position 5 in modules 1 and 5 was accomplished, respectively (Eppelmann et al., 2002).

Concerning the concept of module substitutions, particularly the Marahiel group showed in a ground 313 314 breaking way from the mid 90s onwards the feasibility of module swaps which allowed single or multiple variations concerning all seven amino acids (Stachelhaus et al., 1995, 1996; Schneider et al., 315 316 1998; Eppelmann et al., 2002). As a practical aspect, beside the gain in basic research knowledge, for several modified surfactins, such as Cys7-surfactin, a decreased hemolytic activity was observed. 317 318 Furthermore, ring contracted surfactin derivatives were obtained by deletion of complete NRPS 319 modules. In this way, the corresponding knockouts yielded hexapeptidic surfactin congeners, 320 individually lacking Leu2, Leu3, Asp5 and Leu6. Notably, the  $\Delta$ Leu2  $\Delta$ Leu3 and the  $\Delta$ Leu6 surfactin 321 variants showed a reduced toxicity towards erythrocytes and enhanced antibacterial activities, while 322 the  $\Delta Asp5$  surfactine exhibited an even higher inhibitory ability for Gram positive bacteria, but kept the 323 hemolytic capabilities of the native surfactin (Mootz et al., 2002; Jiang et al., 2016). However, each 324 genetic manipulation mentioned above resulted in a significant decrease in the production yield.

Nevertheless, these studies showed the feasibility and moreover demonstrated in an encouraging way that the surfactin scaffold can be fine-tuned concerning its intended activity and its undesired side

327 effects.

328 Very recently, the Bode group revolutionized the concept of module swapping. It includes the finding 329 that C-domains have to be subdivided into a C<sub>Donor</sub> (C<sub>D</sub>) and C<sub>Acceptor</sub> (C<sub>A</sub>) portion and that both are 330 amino-acid specific (Bozhüyük et al., 2019). This redefines nowadays the borders of an exchange unit. 331 Instead of a classic A, A-T or C-A-T domain swap, it is preferable to exchange a C<sub>D</sub>-A-T-C<sub>A</sub> domain 332 unit (Figure 4). The huge advantage of these findings is that peptide-variants can be generated by genetic engineering at a much higher success rate and without any production loss. The technique will 333 334 be an incentive to modify highly bioactive structures, such as surfactin. The exchange units can be derived from other Bacilli or codon-optimized from other bacterial genera. Particularly, in combination 335 336 with synthetic biology, in future numerous genetically-engineered modifications can be envisioned: beside the exchange of amino acids, ring contractions by module deletion and ring expansions, by 337 338 addition of an exchange unit, can be generated, respectively (Figure 4). Since peptides, containing D-

339 configured amino acids are less prone to degradation, the change of the absolute configuration by 340 insertion of epimerization domains could lead to derivatives that are less prone to enzymatic degradation. Furthermore, since the biotechnological production of surfactin always results in the 341 342 production of complex mixtures, e.g. varying in the fatty acid portion, it would be desirable to produce surfactin with a more defined lipid moiety. For this purpose, the biobrick-like exchange of the C<sub>Donor</sub>-343 344 portion of the Cs-domain could lead to the incorporation of the desired 3-OH fatty acid. Finally, it can 345 be also envisioned to modify the surfactin NRPS assembly line even further, e.g. by introduction of 346 catalytic domains which drive intramolecular cyclization-, N-methylation-, hydroxylation- and redox-347 reactions.

348

#### 349 4. Structure and properties relationship

Surfactins and surfactin-like molecules are amphiphilic molecules with a polar part mainly constituted by the two negatively charged amino acid residues Glu and Asp (in native surfactin) and an apolar domain formed by the lateral groups of aliphatic amino acid residues (mainly Leu) and the fatty acid chain. This amphiphilic structure is responsible for its attractive physico-chemical properties as well as its various biological activities.

355

### 356 **4.1.Surfactin structure and its influence on physico-chemical properties and biological activites**

The amphiphilic structure of surfactins leads to strong surface activity, i.e. their capacity to reduce the 357 358 surface/interfacial tension and to self-assembly in nanostructures, and the presence of negative 359 charge(s). Thus, they display as physico-chemical properties foaming (Razafindralambo et al., 1998; Fei et al., 2020), emulsifying (Deleu et al., 1999; Liu et al., 2015; Long et al., 2017; Fei et al., 2020) 360 361 and dispersing properties, solid surface wetting and surface hydrophobicity modification performance (Ahimou et al., 2000; Shakerifard et al., 2009; Marcelino et al., 2019; Fei et al., 2020), and chelating 362 363 ability (Mulligan et al., 1999; Grangemard et al., 2001; Eivazihollagh et al., 2019). This strong surface 364 activity leads to detergent applications (Zezzi do Valle Gomes et al., 2012), but they also show 365 promising perspectives of applications in the environmental sector to enhance oil recovery in oil-366 producing wells (Liu et al., 2015; Joshi et al., 2016; Long et al., 2017; de Araujo et al., 2019; Alvarez et al., 2020; Miyazaki et al., 2020), to increase the biodegradation rate of linear and aromatic 367 368 hydrocarbons (Wang et al., 2020), and for metal removal from soil or aqueous solutions (Zouboulis et 369 al., 2003; Eivazihollagh et al., 2019). Very recently, it was also suggested that surfactin can effectively 370 demulsify waste crude oil (Yang et al., 2020). Their emulsifying property also confers them a potential 371 of application in the food and cosmetics area for the product formulation (Mnif et al., 2013; Varvaresou 372 et al., 2015; Zouari et al., 2016) as well as in the pharmaceutical area for the formulation of stable 373 microemulsion drug delivery systems (Ohadi et al., 2020).

The variations in the molecular structure of the peptidic part and/or of the hydrocarbon chain greatly impact their physico-chemical properties. In term of self-aggregation behavior, the critical micellar

376 concentration (CMC) value decreases with a longer fatty acid chain (CMC Surfactin C15 = 20  $\mu$ M;

377 CMC surfactin C14 = 65  $\mu$ M; CMC surfactin C13 = 84  $\mu$ M in Tris-HCl pH 8) (Deleu et al., 2003; Liu

378 et al., 2015). It also decreases with the presence of a methyl ester on the Glu residue (Grangemard et

379 al., 2001) or the replacing of the Glu residue by a Gln as in lichenysin (Grangemard et al., 2001;

380 Bonmatin et al., 2003). On the contrary, the linearization of the peptide cycle (CMC linear surfactin

- $C14 = 374 \mu M$  in Tris pH 8.5) (Dufour et al., 2005) and the presence of a Leu4 instead of the Val4 as
- 382 in pumilacidin (de Araujo et al., 2019) increase it. Different self-assembled nanostructures like sphere-
- 383 like micelles, wormlike micelles and unilamellar bilayers coexist with larger aggregates in aqueous
- 384 solution depending on the surfactin concentration, pH, temperature, ionic strength and metal ions (Zou
- et al., 2010; Taira et al., 2017; Jahan et al., 2020). These parameters can induce conformational changes
- in the secondary structure of the cyclic peptide moiety and thereby affect the shape and the packing
- 387 parameter of surfactin (Jahan et al., 2020).
- 388 The capacity of surface tension reducing is also influenced by the molecular structure of surfactin.
  389 Depending of environmental conditions, lichenysin is or not more efficient than surfactin to reduce the
- 390 surface tension (in Tris pH 9.4  $\gamma_{cmc}$ =35 and 37 for lichenysin and surfactin respectively and in NaHCO<sub>3</sub>
- 391 pH 9.4  $\gamma_{cmc}$ =30 and 29 for lichenysin and surfactin respectively) (Grangemard et al., 2001), while
- 392 pumilacidin is less (de Araujo et al., 2019). Linearization of the peptide cycle lessens this capacity (34
- 393 mN/m in Tris pH 8.5). Nevertheless, the replacing of carboxyl group by a sulfo methylene amido group
- 394 leads to a complete loss of activity (Bonmatin et al., 2003). The chain length but also the branching 395 type also impact the surface tension. A longer chain is more efficient and the normal configuration is
- 396 more active than the iso one which is more powerful than the anteiso (Yakimov et al., 1996).
- The effect of the chain length on the foaming properties does not follow this trend as it was shown that a lipidic chain with 14 carbon atoms provides surfactin with best foaming properties compared to that
- 399 with 13 or 15 carbon atoms (Razafindralambo et al., 1998).
- 400 Lichenysin was also demonstrated to be a better divalent cation chelating agent than surfactin
- 401 (Grangemard et al., 2001). This effect is assigned to an increase accessibility of the carboxyl group to
- 402 the cation in the case of lichenysin (Habe et al., 2018). The complexation of divalent cations with the
- lipopeptide in a molar ratio of 2:1 for lichenysin leads to the formation of an intermolecular salt bridge,stronger than the intramolecular complexation in a 1:1 ratio with surfactin (Grangemard et al., 2001;
- 405 Habe et al., 2018).
- Globally speaking, the few studies focused on the structure-properties relationships of surfactin family emphasize three main facts. The first is that the unique feature of the peptide loop provides surfactin with a fascinating molecular behavior at interfaces (Liu et al., 2020). Furthermore, the peptide cycle linearization leads to a structural distortion of the molecule reducing or annihilating its surface active power. The second fact is that the surface activity of surfactin is dictated by the interplay of hydrocarbon chain and peptide sequence (Liu et al., 2020). The more distant and distinct the polar and
- 412 apolar domains are, the stronger the surface active power is. The last fact is that the charges of the polar
- 413 part also play a primordial role in the physico-chemical properties. A monoanionic surfactin is more
- 414 efficient than a dianionic one, due to a reduced repulsive effect between the molecules at the interface.
- 415
- 416 The remarkable physico-chemical properties of surfactin are also responsible for their biological 417 activities which, in most of the cases, involve perturbation or disruption of membrane integrity. It was
- 418 demonstrated for haemolytic (Kracht et al., 1999; Dufour et al., 2005), antibacterial (Bernheimer et al.,
- 419 1970), antiviral (Yuan et al., 2018; Johnson et al., 2019) and antimycoplasma (Vollenbroich et al., 1997)
- 419 activities of surfactin as well as its ability to inducing systemic resistance in plant (Ongena et al., 2007,
- 421 2008). Some of those activities leading to promising results in the agricultural field (Chandler et al.,
- 422 2015; Loiseau et al., 2015). But surfactin was also characterized for anti-inflammation (Takahashi et

al., 2006; Zhao et al., 2017), anti-sepsis (Hwang et al., 2007), anti-tumor (Wu et al., 2017) and
immunomodulatory (Park et al., 2009) activities for which another target than membranes is involved.
A synergistic effect has been observed between surfactin and other lipopeptides. The addition of
surfactin at an inactive concentration to iturin increase its haemolytic activity (Maget-Dana et al.,
1992). The combination of surfactin and fengycin lead to a decrease in disease in tomato and bean
plants (Ongena et al., 2007). Furthermore, while surfactin has no effect against fungi, it has been shown
to enhance the biological activities of other lipopeptides against fungi and oomycetes (Deravel et al.,

- 430 2014; Tanaka et al., 2015; Desmyttere et al., 2019).
- 431

### 432 **4.2.**Use of molecular modelling for mechanism of action investigation

433 Molecular modelling methods are powerful theoretical tools to investigate structure functions 434 relationship of surfactin and its mode of action. Docking and Molecular Dynamic (MD) simulations 435 have been used in various studies involving surfactin for the characterization of diverse properties to 436 predict activities and domains of applications.

437 For membrane interactions, Hypermatrix (Brasseur et al., 1987), was used to simulate the interaction

- of surfactin with a membrane monolayer in order to determine the lipid specificity for insertion and
  membrane destabilization. It was shown that surfactin interacts specifically with 1,2dipalmitoylphosphatidylcholin (DPPC) localized at the DPPC/1,2-dioleoyl-sn-glycero-3phosphocholine (DOPC) domain boundaries (Lins et al., 1995; Deleu et al., 2003, 2013).
- 442 For medical applications, the interaction of surfactin with the amyloid  $\beta$  -peptide (A  $\beta$  42) has been 443 studied with MD simulation and docking experiments (with GROMACS (Abraham et al., 2015) and
- 444 AutoDock (Morris et al., 2009) respectively).
- Further investigations have shown that surfactin binds protofibrils by forming a stable hydrogen bond with residues involved in salt bridges responsible of amyloid aggregation and plaques stability (Verma et al., 2016). Another docking investigation, employing Swiss Dock (Lien Grosdidier et al., 2011), has shown that surfactin binds favorably via hydrogen bonds to porcine pancreatic lipase and inhibits its
- 449 activity, which could lead to a novel and potent body weight reducer for obesity control (Meena et al.,
- 450 2018).
- 451 Beside these investigations on monomeric surfactin interacting with potential targets, MD simulations
- 452 proved to be an efficient tool to study molecular assemblies. A surfactin monolayer at the air-water
- 453 interface was studied under various interfacial concentrations. It was shown that packed structures are
- 454 formed via intra- and inter-molecular hydrogen bonds, stabilizing the  $\beta$ -turn structure of the peptide 455 ring, favouring the  $\beta$ -sheet domain organization and hydrophobic contacts between molecules Another
- 455 ring, favouring the  $\beta$ -sheet domain organization and hydrophobic contacts between molecules Another 456 simulation was applied to study the self-assembly of surfactin in water and more particularly the
- 457 structural organization of the micelles (Lebecque et al., 2017). Micelles were pre-formed with PackMol
- 458 (Martinez et al., 2009) and were simulated to analyse their behavior. The optimal aggregation number,
- 459 i.e.20, predicted by this approach is in good agreement with the experimental values. Two parameters
- 460 were analysed, the hydrophilic (phi)/hydrophobic (pho) surface and the hydrophobic tail hydration
- 461 (Lebecque et al., 2017). A higher phi/pho surface ratio means a more thermodynamically favorable
- 462 organization of the hydrophilic and hydrophobic domains, but steric and/or electrical repulsions
- 463 between polar heads have also to be considered. For surfactin, it was shown that the phi/pho surface
- 464 ratio undergoes a decrease for the largest micelles of surfactin because they have to rearrange

465 themselves to reach a more favorable organization. The low value of apolar moieties hydration 466 observed for surfactin micelles is due to the very large peptidic head that efficiently preserves hydrophobic tails from contact with water. The Coarse Grain (CG) representation MARTINI (Marrink 467 et al., 2007) (grouping atoms into beads to speed up the simulation process) was similarly applied to 468 analyse the structural properties and kinetics of surfactin self-assembly in aqueous solution and at 469 470 octane/water interface (Gang et al., 2020). With complementary MD of a pre-formed micelle and a 471 monolayer, the authors showed that their CG model is in agreement with atomistic MD and 472 experimental data, for micelle self-assembly and stability, as well as for the monolayer. Furthermore, this study allows the development of a set of optimized parameters in a MARTINI CG model that could 473 474 open further investigations for surfactin interaction with various biofilms, proteins or other targets of interest with a better sampling than atomistic MD. 475

476

### 477 **5. Production**

This last part of this review is dedicated to the improvement of the production of surfactin like compounds. It will first consider the techniques for the identification and the quantification of these lipopeptides and then focus on strain, culture conditions and bioprocess optimization. Not to forget, the purification process allows for a greater recovery of the surfactin produced and lower the losses.

482

#### 483 **5.1.Identification and quantification of surfactin and its variants**

484 In order to discover new natural variants or verify the production of synthetic ones, the identification is an important process. The first surfactin structure elucidation was made through hydrolysis of the 485 486 peptide and fatty acid chain into fragments, their identification and alignment (Kakinuma, Sugino, et 487 al., 1969). However, with the continuous innovations of analytical-chemical techniques such as mass 488 spectrometry MS/MS (Yang, Li, et al., 2015), nuclear magnetic resonance (NMR) (Kowall et al., 1998) 489 and Fourier transform IR spectroscopy (FT-IR) (Fenibo et al., 2019), the analysis of new variants can 490 be determined quicker and without hydrolysis. While FT-IR provides the functional groups, NMR leads 491 to a complete structural characterization of the compounds but requires completely purified products 492 at the level of mg quantities. Mass spectrometry does not enable the differentiation of compounds 493 having the same mass (such as leucine and isoleucine for example), nor the type of fatty acid chain

494 (linear, iso or anteiso), but provides the global mass and the peptide moiety primary sequence.

An overview of surfactin's dosage techniques can be found in Table 1. The first ones rely on surfactin's
amphiphilic nature, so that its production can be detected through its surfactant activity.

Indirect methods, such as emulsification measure, haemolytic activity (blood agar plate) or cell surface hydrophobicity can be used. However, the correlation between those activities and surfactant activity has been refuted. Youssef et al. (Youssef et al., 2004) does not recommend the use of blood agar lysis as a screening method. Therefore, direct methods to measure the surface activity, such as interfacial tension measurement, drop shape analysis, drop collapse assay or oil spreading should be used (Youssef et al., 2004). Newer techniques have been developed the last few years for a rapid detection and

- 503 quantification, based on colour shifts or fluorescence.
- 504 The first colour shift approach is based on the higher affinity of a mediator, initially forming a complex

505 with a colour indicator, for surfactin and thus the release of the colour indicator in the solution (Yang,

506 Yu, et al., 2015). The fluorescence technique is based on the same principle, but with fluorescein instead

507 of a colour indicator (Heuson et al., 2018). This leads to a more sensitive and stable procedure. However, another colour shift approach has been developed based only on the interaction between 508 bromothymol blue solution and lipopeptides (Ong et al., 2018). However, since they are not specific 509 for surfactin, the best and most sensitive quantification method is still the use of reversed phase HPLC-510 UV or MS (Geissler et al., 2017). This method also allows the discrimination between the various 511 512 homologues of the surfactin family. Indeed, the molecules are separated based on their hydrophobic 513 properties, giving a shorter retention time for lipopeptides with a leucine in position 7 and a longer 514 retention time for lipopeptides with a valine in position 7. The separation is also based on the fatty acid chain, the shorter the fatty acid chain length is, the shorter the elution time is (Dhali, 2016). 515 516 Furthermore, the production capacity of a micro-organism can be discovered through PCR, with 517 primers specific to the surfactin biosynthesis genes (sfp and srf) (Mohammadipour et al., 2009) or 518 genome sequencing. However, these methods do not reflect the real lipopeptide production, since only 519 the presence of the genes is observed. RT-PCR allows the detection of the transcribed genes, but does 520 not allow to reflect the post-transcriptional modifications.

521

### 522 **5.2.Optimisation of surfactin production**

523 In order to enhance the surfactin production, in addition to fermentation optimization, the genetic 524 engineering of the producing strains is of great significance. It was already covered in the past by other 525 teams (Hu et al., 2019) and will be more developed here.

- 526 A first strategy would be to allocate more resources of the cell to surfactin biosynthesis by suppressing
- 527 different cellular processes. It was successful with the plipastatin operon disruption (Coutte, Leclère,
- 528 et al., 2010) or biofilm formation related genes (Wu et al., 2019). However, a strain with a 10 % genome
- 529 deletion, comprising genes for plipastin, bacilysin, toxins, prophages and sporulation, had a lower
- 530 surfactin production (Geissler et al., 2019). Then, concerning surfactin production itself, the strategy
- 531 can take place at different stages of the surfactin cell production: at the transcription level by promoter
- 532 substitution or modification of the transcriptional regulatory genes of *srfA* operon, at the level of
- 533 surfactin synthesis by increasing the precursor availability, during the molecule's excretion and finally
- 534 during its degradation (Figure 5).
- 535

# 536 **5.2.1.** Transcription

- As seen before, surfactin NRPS is coded by four genes, *srfA-A*, *srfA-B*, *srfA-C* and *srfA-D*, that are controlled by the  $P_{srf}$  antoinducible promoter, triggered by signal molecules from a quorum sensing pathway. Studies were performed to exchange this promoter with inducer-specific or constitutive ones. It emerged that a replacement with a constitutive promoter in a weak surfactin producer strain leads to
- 541 an increase in the production, but that the opposite effect is observed for strong surfactin producers
- 542 (Willenbacher et al., 2016). However, the use of novel artificial inducible promoters leads to an increase
- 543 in surfactin production of more than 17 times (Jiao et al., 2017).
- 544 In addition to the promoter, transcriptional regulatory genes also control the expression of the NRPS
- 545 genes. The cell density dependent quorum sensing system plays a regulatory role in many pathways in
- 546 Bacillus, and among others in the regulation of the srfA operon. Ohsawa et al. (Ohsawa et al., 2006)
- 547 showed that the inhibition of the ComQXP quorum sensing locus lead to a decrease in the expression

- 548 of *srfA* genes and Jung et al. (Jung et al., 2012) showed that the overexpression of ComX and PhrC 549 increases the production of surfactin.
- 550 In addition to the quorum sensing system itself, regulators also impact the srfA operon, the quorum
- sensing system or even other mechanisms that indirectly impact surfactin. There are positive regulators
- such as PerR (Hayashi et al., 2005) and negative regulators such as CodY (Coutte et al., 2015), Rap
- 553 (Hayashi et al., 2006), SinI (López et al., 2009) and Spx (Zhang et al., 2006).
- 554

# 555 5.2.2. Increasing precursor supply of NRPS by feeding or metabolic engineering

- 556 Modifying media and fermentation condition is a strategy to overproduce the lipopeptide precursors as 557 well as to favor the production of certain isoforms. For example it was seen that the feeding of leucine 558 as 50% of the nitrogen source lead to an increase in specific surfactin production of three times (Coutte 559 et al., 2015). Another strategy is the application of rational metabolic engineering approaches such 560 as: (i) blocking competitive pathways for building blocks, as well as, those pathways that consume 561 products; (ii) pulling flux through biosynthetic pathways by removing regulatory signals; and (iii) by 562 overexpressing rate-limiting enzymes.
- 563

# 564 5.2.2.1.Amino acids precursors

- 565 One way to develop this metabolic engineering approach is to use knockout of genes which negatively 566 influence the intracellular pool of amino acids precursors. To implement the knock-out of gene which 567 negatively influence the intracellular pool of amino acid precursor, their metabolic pathways have to 568 be modelled as a reaction network taking into account the regulation processes.
- 569 Firstly, the various pathways involved in the metabolites needed for the amino acid production should
- 570 be addressed. In this research for compounds from the glycolysis that influence the amino acid 571 production, pyruvate is interesting from multiple points of view. It is the entry point of the Krebs cycle
- 571 production, pyruvate is interesting non-intuitiple points of view. It is the entry point of the Kreos cycle 572 through its conversion into acetyl-CoA, but it is also used as a substrate for the production of amino
- acids that compose the surfactin. Indeed, pyruvate is converted into valine and leucine. Furthermore,
- 574 the production of isoleucine is made through threonine and pyruvate. The Krebs cycle also contributes
- 575 to the amino acid production, with oxoglutarate and oxaloacetate, they belong to the metabolism of
- 576 aspartic and glutamic acid. Secondly, the various enzymes that regulates metabolite production should
- 577 be addressed. The search can also go a level above, with the regulators and promoters of those enzymes,
- 578 such as pleiotropic regulators CodY or TnrA (Dhali, 2016). Lastly, the transporters of the amino acid
- 579 precursors can be addressed. Indeed, the amino acid can be transported into the cell from the 580 environment.
- 581 Wang et al. (Wang et al., 2019), showed that the knockout of *murC*, *yrpC* and *racE*, negative regulators
- 582 involved in the metabolism of glutamate, lead to an increase in surfactin production. The choice of
- 583 those knock-outs can also be directed by methods from computational biology, to narrow them down
- 584 and reduce the laboratory time needed.
- 585 Some prediction methods are based on formal reasoning techniques based on abstract-interpretation
- 586 (Niehren et al., 2016). This is a general framework for abstracting formal models that is widely used
- 587 in the static analysis of programming languages. Formal models are reaction networks with partial
- 588 kinetic information with steady state semantics define systems of linear equations, with kinetic
- 589 constraints, that are then abstracted. Here, the methods were to be developed further, so that they could

- 590 be applied to reaction networks rather than other kinds of programs. This approach has been used for
- 591 the branched chain amino acids (leucine, valine and isoleucine) that mainly compose the surfactin
- 592 peptide chain (Coutte et al., 2015).
- 593 The quite complex metabolic pathway of leucine production from threonine and pyruvate was
- 594 modelled, by rewriting the informal model from SubtiWiki (Coutte et al., 2015) into this formal
- 595 modelling language, while adding and adapting some reactions. It selected gene knock-outs that may
- 596 lead to leucine overproduction, for which some of them an increase in surfactin production in *Bacillus*
- 597 subtilis 168 was observed after experimental verification (Dhali et al., 2017).
- 598 Since single gene deletion is successful, multiple gene deletion must be the next aim. To be able to 599 perform various deletions and/or insertions in the same strain, a markerless strategy is required. Various
- 600 strategies can be performed such as temperature sensitive plasmid, pORI vectors, auxotrophy based
- 601 methods, but also the *cre/lox* system (Yan et al., 2008), the pop-in pop-out technique (Tanaka et al.,
- 602 2013) and the CRISPRi technology (Wang et al., 2019).
- 603

### 604 5.2.2.2.Fatty acid precursors

- 605 As mentioned, fatty acids are one of the crucial components of surfactin, and modifications of this part of the molecule, such as length and isomerism, demonstrated to impact on the physicochemical 606 607 properties and on the biological activity of lipopeptides (Dufour et al., 2005; De Faria et al., 2011; Henry et al., 2011; Liu et al., 2015; Dhali et al., 2017). Different metabolic engineering strategies were 608 609 applied to improve surfactin production, in terms of the branched-chain fatty acid supply included: (i) enhancing the branched-chain α-ketoacyl-CoA supply (Dhali et al., 2017; Wang et al., 2019; Wu et al., 610 2019); (ii) enhancing malonyl-ACP synthesis (Wu et al., 2019); (iii) overexpressing the whole fatty 611 612 acid synthase complex (Wu et al., 2019); and (iv) pulling substrates flux towards surfactin biosynthesis
- 613 by enhancing *srfA* transcription (Jiao et al., 2017; Wu et al., 2019).
- Another study showed that the overexpression of the *bkd* operon produces less surfactin, besides being detrimental for cell growth (Wu et al., 2019). As the BKD complex requires lipoylation for its dehydrogenase activity, this enzyme competes with other lipoic acid dependent complexes (pyruvate dehydrogenase complex (PDH), 2-oxoacid dehydrogenase, acetoin dehydrogenase and the glycine cleavage system), generating a suppression of cell growth and, eventually, of surfactin production. By
- 619 overexpressing the enzymes responsible for lipoic acid synthesis (*lipA*, *lipL* and *lipM*) (Christensen et
- al., 2011; Martin et al., 2011), this suppressive effect is reversed. The competitive lipoylation process
  between BKD and other lipoic acid dependent complexes is eliminated (Wu et al., 2019) and thus
- between BKD and other lipoic acid dependent complexes is eliminated (Wu et al., 2019) a
  generates a higher production of surfactin with respect to the parental strain.
- 623 A further pathway, targeted to modification, represents the malonyl-ACP synthesis. Acetyl-CoA is
- 624 converted into malonyl-CoA through the activity of ACC (*accDABC*). Thus, overexpression of these
- 625 genes in combination with that of *fabD*, the malonyl-CoA:ACP transacylase, has been reported to
- 626 increase the levels of surfactin production (Wu et al., 2019). Furthermore, these authors applied
- 627 systematic metabolic engineering in *B. subtilis* 168 to construct surfactin hyperproducer strains. Other 628 successful interventions related to FA biosynthesis have also been described. The simultaneous
- 629 overexpression of most FAS II coding genes; *fabH* and *fabGZIF* (Runguphan et al., 2014) and
- 630 expression of the *E. coli tesA* thioesterase (Steen et al., 2010), to "pull" through the pathway. The

- 631 combination of the mentioned interventions, in an already modified B. subtilis 168 chassis, further
- 632 improved surfactin production by 220% (Wu et al., 2019).
- 633 Acetyl-CoA, is a key intermediate metabolite, which is not only used for surfactin biosynthesis, but

fundamentally for cell growth and proliferation. Acetyl-CoA is generated from pyruvate by PDH; 634 overexpression of enzymes of the glycolytic pathway and the KO of genes coding for enzymes 635 636 associated with the acetyl-CoA consumption are common strategies to increase the supply of this key intermediate. Wu et al. (Wu et al., 2019) showed that the simultaneous overexpression of the PDH 637 638 genes and that of the glycolysis enzymes produce an increase in biomass but not a significant increase the levels of surfactin. However, if these interventions were combined with the 639 in 640 overexpression/deregulation of the srf gene cluster, the surfactin production could be further improved to 12.8 g/l, achieving a 42% (mmol surfactin/mol sucrose) of the theoretical yield. 641

642

### 643 5.2.2.3.Directed biosynthesis of surfactin

644 Due to the non-specificity of some adenylation domains, the proportion of natural variants of surfactin can be modified through the feeding of certain amino acids as the nitrogen source in the culture 645 646 medium. In the peptide moiety, this only affects L amino acid residues located in position 2, 4 and 7, and with a greater variation in position 4. Indeed, the feeding of valine leads to an increase of valine in 647 648 position 7 (Menkhaus et al., 1993), the feeding of isoleucine (Ile) leads to the apparition of isoleucine in position 2 and/or 4 (Grangemard et al., 1997) and the feeding of alanine (Ala) lead to a surfactin 649 with alanine in position 4 (Peypoux et al., 1994). Also, the culture medium can also influence the 650 proportion of surfactin variants with different acyl moieties. For example, Liu et al. (Liu et al., 2015) 651 found that the strain B. subtilis BS-37 has lower surfactin titers with higher proportions of C15-652 653 surfactin when grown in LB compared with glucose medium. Another team analysed the influence of amino acid residues on the pattern of surfactin variants produced by *B. subtilis* TD7 (Liu et al., 2012). 654 655 The  $\beta$ -hydroxy fatty acid in surfactin variants was C15>C14>C13>C16, when no amino acid was added 656 in the culture medium. On the other hand, when Arg, Gln, or Val was added to the culture medium, the 657 proportion of surfacting with even  $\beta$ -hydroxy fatty acid chain significantly increased; whereas the 658 addition of Cys, His, Ile, Leu, Met, Ser, or Thr significantly enhanced the proportion of surfactins with 659 odd β-hydroxy fatty acid. Some of these results can be explained by the mode of biosynthesis of branched fatty acids, the precursors of which are branched chain amino acids (Kaneda, 1991). Thus, 660 661 valine feeding enhances the proportion of iso variants with even fatty acid chains, while leucine and 662 isoleucine feeding enhances the proportion of uneven iso or anteiso fatty acids chains respectively (Liu et al., 2012). 663

664 Modification of the variant pattern can also be obtained by genetic engineering of precursor pathways. 665 As previously mentioned, increasing the branched chain 2-ketoacyl-CoAs intermediates is one of the strategies used for enhancing the synthesis of surfactin. The deletion of gene *codY*, which encodes a 666 global transcriptional regulator and negatively regulates the *bkd* operon lead to a 5.8-fold increase in 667 surfactin production in *B. subtilis* BBG258 with an increase by a factor 1.4 of the amino acid valine in 668 position 7 instead of leucine (Dhali et al., 2017). On the other hand, Wang et al. (Wang et al., 2019), 669 670 using CRISPR interference (CRISPRi) technology, were able to repress the *bkdAA* and *bkdAB* genes of the *bkd* operon; provoking a modest improvement in surfactin concentration, but a significant change 671 in the proportion of the nC14 component. Similar results were observed in B. subtilis BBG261, a 672

- 673 derivative lpdV mutant strain, where the interruption of this 2-oxoisovalerate dehydrogenase of the
- 674 BKD complex led to higher percentage of the nC14 isoform (52,7% in the lpdV mutant in comparison
- 675 with the 21,2% of the control strain) (Dhali et al., 2017).
- 676

# 677 **5.2.3. Excretion**

678 The excretion of surfactin is another important step for its overproduction. Even if, as mentioned

before, surfactin can insert itself in the membrane of the cell, the transmembrane efflux is mediated byprotein transporters.

681 As mentioned before, thanks to its amphiphilic structure, surfactin can interact with the membrane of

- the cell. Under or at the CMC, the surfactin can insert itself in the membrane, and above the CMC it
- 683 can even solubilize it (Deleu et al., 2003, 2013). However, it was hypothesised by Tsuge et al. that the
- 684 gene y*erP*, homolog to the RND family efflux pumps, is involved in the surfactin efflux (Tsuge et al., 685 2001). Later, Li et al. (Li et al., 2015) showed that the overexpression of three lipopeptide transporters,
- dependent on proton motive force, YcxA, KrsE and YerP lead to an increase in surfactin export of 89%,
- 687 52% and 145% respectively.
- Those studies are promising and the efflux proteins need to be further investigated to fully understandthe excretion of surfactin.
- 690

# 691 5.2.4. Degradation

692 Lastly, the importance of surfactin degradation should not be underestimated. Indeed, a decrease in 693 surfactin concentration of 59% and 73% has been observed during the fermentation process (Nitschke 694 et al., 2004; Maass et al., 2016), leading to the presence of degradation mechanisms by the cell 695 themselves.

696 Three hypotheses are considered by the different teams observing this phenomenon. Since that, for 697 different mediums with the same carbon content, the surfactin decrease happened at the same time, it

- 698 could be that surfactin is used as a carbon source after glucose depletion. Or, since the decrease
- 699 happened at the same surfactin concentration, that it is degraded because of its possible inhibitory effect
- at higher concentration (Maass et al., 2016). It was also shown that the surfactin decrease is linked to
- 701 the increase in protease activity in the culture medium and thus the produced enzymes could be
- 702 involved in this degradation (Nitschke et al., 2004).
- As for the excretion, this degradation process was seldomly researched but could greatly influence the surfactin production.
- 705

# 706 5.3.Culture medium and conditions

- 707 Landy culture medium, based on glucose and glutamic acid, is one the main culture medium usually
- used for surfactin production. Furthermore, some studies have been performed to ameliorate it (Jacques
  et al., 1999; Akpa et al., 2001; Wei et al., 2007; Ghribi et al., 2011; Huang et al., 2015; Willenbacher et
- 710 al., 2015).
- 711 However, another type of approach for the culture medium is rising. Indeed, the use of cheap substrate
- such as waste or by-products from the agro-industrial field is more and more researched (De Faria et
- al., 2011; Gudiña et al., 2015; Moya Ramírez et al., 2015; Paraszkiewicz et al., 2018), since this

- 714 approach enables a sustainable production of surfactins. The recent review of Zanotto et al. develops
- 715 specifically this approach (Zanotto et al., 2019).
- 716 Concerning the fundamental parameters of culture condition, a pH of 7 and a temperature of 37°C leads
- 717 to a higher production rate (Ohno et al., 1995a). However, when up-scaling from a flask culture to a
- 718 larger scale, the main challenge in surfactin production appears. Indeed, the agitation rate and
- 719 oxygenation of the culture medium play an important role in the production (Hbid et al., 1996; Guez
- 720 et al., 2008; Ghribi et al., 2011). As surfactin is a surfactant and thus increases the stability of a gas-
- 721 liquid dispersion, this agitation leads to the abundant production of foam. Nonetheless, even if this
- foam production is often considered as a drawback, it can be used with the appropriate reactors as an
- advantage to easily recover surfactin.
- 724

### 725 **5.4.Production processes**

- 726 For an overproduction of surfactin, the addition of a solid carrier to an agitated liquid culture can
- enhance surfactin production by stimulating cell growth and by promoting a biofilm formation. Yeh et
- al. (Yeh et al., 2005) added activated carbon, agar and expanded clay, observing a 36 times increase vith activated carbon
- 729 with activated carbon.
- 730 Nonetheless, as mentioned before, due to the high foam generation in surfactin production, classical
- stirred reactors are not optimal for this bioprocess. Indeed, adding antifoam to the culture medium has
- many drawbacks. Antifoams may have a negative effect on cell growth and are costly, but even more,
- they have to be eliminated during purification. Thus, multiple strategies can be applied: (i) to use this
- foam production to its advantage or (ii) to reduce or avoid foam production.
- For the first strategy, the foam fractionation method consists in a continuous removal of the foam from a liquid agitated culture to a sterile vessel. So, this removal is a first purification step and by the
- 737 continuous extraction avoids any possible feedback inhibition from the products (Cooper et al., 1981;
- 738 Davis et al., 2001). However, the foam can carry a part of the culture medium and cells out and thus
- 739 decrease the production. For the second strategy, a rotating disk bioreactor was used by Chtioui et al.
- 740 (Chtioui et al., 2012) where a biofilm formation occurs on a rotating disk in a liquid medium. The
- 741 process is simple and can easily be upscaled, but the oxygen transfer is quite low and thus not optimal
- 742 for surfactin production.
- 743 Bacillus biofilm formation capacity can also be used in other type of biofilm reactors such as packed
- bed reactors, where the liquid medium recirculates on a packing in the reactor (Zune et al., 2016). The
- purification is easily performed, but the biofilm growth is difficult to control because it depends on the
- 746 liquid distribution in the packing. Recent studies have considered the genetic engineering of the
- 747 bacterial cells to modify their biofilm formation ability or their filamentous growth in order to enhance
- their adhesion on the packing (Brück et al., 2019, 2020).
- A membrane reactor allows for a bubbleless oxygen transfer through a membrane between the air and
- 750 the culture medium. Furthermore, a first surfactin purification can be made through ultrafiltration
- 751 coupled to the fermentation (Coutte, Lecouturier, et al., 2010). However, there is a surfactin adsorption
- on the membrane and they can be costly when upscaled.
- 753 Lastly, a solid medium can be used with solid state fermentation that avoids the mechanical stirring of
- 754 liquid cultures and thus the foam production. It represents a simple process but with parameters more

- 755 difficult to control than in a liquid culture. However, many waste and by-products used as novel
- substrate are in a solid state and could thus be used without pretreatment (Ohno et al., 1995b).
- 757 Most studies are performed on the enhancement of one of the steps of the production process, but some
- studies are performed to decrease the costs in a large scale production (Czinkóczky et al., 2020).
- 759

# 760 **5.5.Purification**

761 The purification process is a major step in the surfactin production and depends on the fermentation 762 process used. Linked to the techniques mentioned before, foam can be recovered during the fermentation and lead to 70 % of recovery (Davis et al., 2001; Willenbacher et al., 2014). For a 763 764 fermentation process with the surfactin in the liquid medium, acid precipitation, linked to the negative charge of surfactin, is the oldest and more common used technique. It can lead to a high recovery rate, 765 766 but has a low purity (55 %) and is the only technique that cannot be continuously coupled to the production. Solvent extraction can also be used alone but it is mostly coupled with acid precipitation 767 768 to enhance the purity (Kim et al., 1997; Geissler et al., 2017). One of the most common type of purification, membrane filtration, can especially be used for surfactin through its micelle forming 769 770 ability above its critical micelle concentration. The aggregated molecule is larger an thus can be retained by membranes with a MWCO of 10 to 100 kDa (Jauregi et al., 2013) with recovery rates and 771 772 a purity above 90 % depending on the applied membrane. Furthermore, hybrid methods have been successfully employed, i.e. precipitation before filtration (Chen et al., 2007), which facilitated the 773 774 process or increased the final purity.

The techniques mentioned above are mostly used for the extraction of surfactin from the culture medium. Some uses of surfactin require a higher purity that can be obtained with the following methods. The physico-chemical properties of surfactin can be used through its adsorption on resin or active charcoal (Liu et al., 2007), leading to variable recovery rates and purity. Chromatographic derived methods can also be used to get a better purity and to separate individual variants or isoforms of the lipopeptide (Smyth et al., 2010). Reverse phase chromatography, based on hydrophobic interactions, is the most common technique employed.

782

# 783 6. Conclusions

With the improved genetic toolbox which is now available, a larger and more diverse chemical space of the surfactin scaffold can be generated and explored. This endeavour will create novel surfactin derivatives with improved, specialized or expanded biological activities. And even if this molecule's potential applications range is already broad and reaches different industrials sectors, it may be enhanced with those novel compounds. However, despite the advancements in surfactin production, its production cost is still withholding it for a widespread commercial use in low added-value applications.

790

# 791 Author contribution

792 The literature review and manuscript writing were performed by AT, CC, MB, YL, MD, JN, SG, AA,

793 HG, HG and PJ, insights were provided by MA, and MM. In addition, AT and PJ have co-ordinated

and synthesized the different contributions. All authors have read and agreed to the published version

- 795 of the review.
- 796

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- 804

# 805 **Conflict of interest**

- PJ is a co-founder of Lipofabrik and Lipofabrik Belgium and a member of the scientific advisory
   board of both companies.
- MHM is a co-founder of Design Pharmaceuticals and a member of the scientific advisory board of
   Hexagon Bio.
- 810

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- 1391
- 1392

1393 <u>Table 1 Techniques for detection and/or quantification of lipopeptide production</u>

Technique	Advantages	Disadvantages
Blood agar lysis	Ease of use	Not specific and not reliable
Drop collapse	Ease of use	Not specific
Oil spreading	Ease of use, better prediction	Not specific
	than drop collapse	
Surface tension measurement	Ease of use, reliable	Not specific
Color shift	Ease of use, high-throughput	Not specific
HPLC-UV	Can discriminates the different	Expensive equipment
	lipopeptides if standard,	
	quantification possible	
LC-MS	Discriminates the different	Expensive equipment
	lipopeptides	
PCR or genome sequencing	Production capacity	Observes only genes
	measurement	
RT-PCR	Production capacity	Observes only gene transcription
	measurement	

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Figure 1: TOP: The surfactin biosynthetic gene cluster. Structural NRPS genes are indicated in red. The regulatory gene comS, which is co-encoded in SrfAB is indicated in purple. Bottom: Classic module and domain architecture of SrfAA-SrfAD.

1395 Figure 2: Biochemical steps for the formation of fatty acid and their channeling to surfactin biosynthesis. The first step of fatty acid synthesis involves the production of malonyl-CoA by the 1396 acetyl-CoA carboxylase complex (ACC). The malonyl-CoA-ACP transacylase, FadD, transfers 1397 1398 the malonyl groups to the acyl carrier protein (ACP) to produce malonyl-ACP. FabH, condensates the malonyl-ACP and a priming acyl-CoA substrate to produce the first new C-C 1399 bond. The keto group of the  $\beta$ -ketoacyl-ACP is completely reduced by the reducing enzymes of 1400 1401 the cycle, FabG, FabZ, FabI, and then the condensing enzyme FabF initiates a new round of 1402 elongation of the growing carbon chain utilizing malonyl-ACP. The acyl-ACP product is primarily channeled to PL biosynthesis or alternatively to surfactin biosynthesis. For this, at 1403 least two additional biochemical steps are required, a hydroxylation of a free FA by YbdT and 1404 1405 its activation by an ACS.

1406

Figure 3 : Natural and synthetic variants of surfactin. The natural variants can be obtained through
specific strains, the non specificity of the adenylation domain or the first condensation domain,
a non cyclization or a linearization and through the genetic engineering of the NRPS. The

1410 synthetic variants can be obtained through a chemical modification of a natural product or 1411 through total chemical synthesis. The first three molecule naturally produced are surfactin 1412 produced by B. subtilis and others, pumilacidin from B. pumilus and lichenysin from B. 1413 licheniformis. 1414 1415 Figure 4 : TOP: Re-defined module and domain architecture of SrfAA-SrfAD with dissected C 1416 subdomains. The new module definition  $C_A$ -A-T- $C_D$  is indicated in light green. BOTTOM: Examples of biobrick-like exchanges and deletions using a synthetic biology concept. The 1417 resultant changes in the molecule are indicated in red. R represents the rest of the fatty acid 1418 1419 moiety, which has numerous possibilities regarding chain length, degree of saturation and 1420 branching. 1421 1422 Figure 5 : Steps involved in the overproduction of surfactin in Bacillus, from the gene expression to the degradation. The main steps are in purple, the yellow arrow represent hypothetical

- 1423 reactions.
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- 1425