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Chen, L., Medlin, J., Grönbeck, H. (2021) On the Reaction Mechanism of Direct H<inf>2</inf>O<inf>2</inf>Formation over Pd Catalysts ACS Catalysis, 11(5): 2735-2745 http://dx.doi.org/10.1021/acscatal.0c05548

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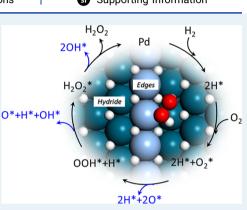


# On the Reaction Mechanism of Direct H<sub>2</sub>O<sub>2</sub> Formation over Pd Catalysts

Lin Chen,\* J. Will Medlin, and Henrik Grönbeck\*

Cite This: ACS Catal. 2021, 11, 2735–2745		Read Online			
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ABSTRACT: Hydrogen peroxide  $(H_2O_2)$  is an effective green oxidant, which is used in many industrial processes. Here, the reaction mechanism for direct formation of H<sub>2</sub>O<sub>2</sub> from H<sub>2</sub> and O<sub>2</sub> over Pd catalysts is studied using density functional theory calculations and mean-field kinetic modeling. The state of the catalyst as a function of reaction conditions is determined from ab initio thermodynamics. It is found that Pd is in a hydride phase during typical reaction conditions. Reaction landscapes are constructed for the reaction over PdH(111) and PdH(211). Formation of  $H_2O_2$  instead of  $H_2O$  requires that  $O_2$  adsorbs and that the surface intermediates O2, OOH, and H2O2 do not dissociate. We find that these requirements are fulfilled on the stepped PdH(211) surface. Surface steps are needed for  $O_2$  chemisorption as the adsorption on PdH(111) is endothermic. The high H coverage on the surface of the hydride is important to slow down the unwanted scission of the O-O bond and promote the desorption of the products.



Comparative calculations for the Pd(111) surface show that this surface is inactive for both  $H_2O_2$  and  $H_2O$  formation below room temperature for typical reaction mixtures. Our findings demonstrate the importance of surface steps and high hydrogen coverage for direct synthesis of  $H_2O_2$  from  $H_2$  and  $O_2$  over Pd catalysts. The results imply that the selectivity of the reaction toward  $H_2O_2$  is enhanced by a high partial pressure of  $H_{2}$ , which is in agreement with experimental observations.

**KEYWORDS:** H<sub>2</sub>O<sub>2</sub>, selectivity, Pd, PdH, DFT

# INTRODUCTION

Hydrogen peroxide, H<sub>2</sub>O<sub>2</sub>, is a widely used oxidant for liquidphase reactions within, for example, textile bleaching and waste water purification.<sup>1</sup> Hydrogen peroxide can oxidize organic compounds with  $H_2O$  and  $O_2$  as the only byproducts.<sup>2</sup> This is a clear advantage with respect to nitric acid or chlorinated oxidizers, which may result in toxic byproducts.<sup>2</sup> Currently, the production of H<sub>2</sub>O<sub>2</sub> is largely dependent on the anthraquinone oxidation process (AO process) with a series of reduction/ oxidation steps.<sup>3</sup> This large-scale process requires the use of quinones and toxic organic solvents and energy-intensive purification procedures, generating undesirable wastes.<sup>4</sup> During the past decades, the direct synthesis of H<sub>2</sub>O<sub>2</sub> from H<sub>2</sub> and O<sub>2</sub> over transition metals at low temperatures (275-315 K) has been explored as an appealing alternative production route thanks to its simplicity and low environmental impact. Moreover, these processes can be operated in a small/middle scale, and H<sub>2</sub>O<sub>2</sub> can be produced close to the location of usage.4,5

Direct synthesis of H2O2 has not yet emerged at an industrial scale primarily because of its low selectivity toward H<sub>2</sub>O<sub>2</sub>.<sup>6</sup> The low selectivity comes mainly from irreversible O-O bond cleavage and the subsequent unselective hydrogenation of the intermediates to H<sub>2</sub>O.<sup>7</sup> The reaction cycle following a Langmuir-Hinshelwood mechanism is shown in

Figure 1. The cycle starts by adsorption of  $H_2$  forming atomic hydrogen. The next step involves molecular adsorption of  $O_2$ . From this state,  $H_2O_2$  can be formed by subsequent hydrogenation and eventual desorption of the product. The challenge is the unwanted O–O cleavage and subsequent  $H_2O$ formation. The O-O bond can be cleaved at different stages of hydrogenation, and the barrier for O-O rupture is reduced with an increasing degree of hydrogenation.

Palladium catalysts have shown higher selectivity for direct  $H_2O_2$  synthesis than other transition-metal catalysts, such as Pt<sup>8</sup> and Au.<sup>9</sup> The highest selectivity reported to date for direct synthesis of H<sub>2</sub>O<sub>2</sub> over catalysts of supported Pd nanoparticles is 80%.<sup>1</sup> However, the rate of  $H_2O_2$  production selectivities appears to depend strongly on factors, such as reactant pressures,<sup>10</sup> particle size,<sup>11</sup> solvents (e.g., water and ethanol),<sup>12</sup> and promoters (e.g., the presence of halides).<sup>4,13</sup> In particular, the activity of the Pd catalysts has been found to depend strongly on the  $H_2/O_2$  ratio and the reaction temperature.<sup>12</sup>

Received: December 17, 2020 **Revised:** January 25, 2021 Published: February 12, 2021



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The literature concerning the  $H_2/O_2$  ratio is, however, not conclusive. References<sup>4,10,14</sup> indicate that a high  $H_2/O_2$  ratio is needed to enhance the  $H_2O_2$  rate and selectivity, whereas refs<sup>15,16</sup> indicate that a  $H_2/O_2$  ratio close to 1 gives the highest rate and selectivity. Regarding the effect of temperature, experimental studies agree that the  $H_2O_2$  selectivity is favored at low temperatures.<sup>12,17</sup> It is known that  $O_2$  dissociates above 200 K over metallic Pd.<sup>18–20</sup> This indicates that the active site for direct synthesis of  $H_2O_2$  should be different from the metallic Pd state. However, the underlying mechanism for the reaction has not yet been well understood.

Improving the H<sub>2</sub>O<sub>2</sub> selectivity over Pd catalysts would benefit from an atomic-level understanding of the reaction mechanism. Although H<sub>2</sub>O<sub>2</sub> formation over Pd systems has been investigated from first principles,<sup>7,21</sup> we are not aware of studies where the hydride phase has been considered. Here, we investigate the reaction paths for direct formation of H2O2 from H<sub>2</sub> and O<sub>2</sub> over Pd catalysts by the combination of density functional theory calculations and first-principle-based kinetic modeling. Evaluation of reaction kinetics is needed to understand the kinetic consequences of different reaction paths. The state of the Pd catalysts under reaction conditions is determined by constructing a surface phase diagram with respect to the chemical potentials of H<sub>2</sub> and O<sub>2</sub>. We find that Pd is preferably in a hydride state under typical reaction conditions. A high coverage of hydrogen is found to be important for the selectivity of the reaction as adsorbed hydrogen prevents O-O scission. The adsorption energy of  $O_2$ is endothermic on PdH(111), which suggests that the reaction takes place over steps. We explore PdH(211) as a model for low-coordinated sites and find that O<sub>2</sub> adsorbs exothermically over this step and can be hydrogenated with low barriers. The kinetic analysis reveals a selectivity of about 0.3 over the PdH(211) step, which is in reasonable agreement with experiments.

## COMPUTATIONAL METHODS

First-Principles Calculations. Spin-polarized density functional theory calculations are performed with the Vienna ab initio simulation package (VASP).<sup>22-26</sup> The Kohn-Sham orbitals are expanded with plane waves using a 450 eV energy cutoff, and the interaction between the valence electrons and the cores is described with the plane augmented wave approach.<sup>27,28</sup> The numbers of valence electrons considered in the calculations are 10 (Pd), 6 (O), and 1 (H). The exchange-correlation effects are described within the generalized gradient approximation according to Perdew, Burke, and Ernzerhof (PBE).<sup>29</sup> The D3 approach proposed by Grimme and co-workers<sup>30,31</sup> is added to describe the vdW interactions. The calculated lattice constants of bulk Pd and PdH within PBE + D3 are 3.89 and 4.08 Å, respectively, which agree well with the experimental values of 3.89 Å (Pd) and 4.09 Å (PdH).<sup>32</sup> Structures are optimized with the conjugate gradient method, and geometries are considered to be converged when the electronic energy difference between subsequent steps is lower than  $1 \times 10^{-5}$  eV and the largest force is lower than 0.03 eV/Å.

Pd and PdH surfaces are built from the theoretical lattice constants. The Pd(111) and PdH(111) surfaces are modeled by periodically repeated  $p(3 \times 3)$  surface cells. The stepped PdH(211) surface is used to model the reactions over edge sites of typical Pd nanoparticles. The PdH(211) surface is considered using a  $p(1 \times 2)$  surface cell. The PdH(211) step

joins (100) and (111) microfacets. All surface slabs are constructed with four-atomic layers, and repeated slabs are separated by 12 Å of vacuum. The Brillouin zone is sampled using the Monkhorst–Pack<sup>33</sup> scheme with a  $4 \times 4 \times 1$  *k*-point for all considered surface cells. As the experimental stoichiometry of  $\beta$ -phase palladium hydride is PdH<sub>0.7</sub>,<sup>34</sup> hydrogen atoms are randomly removed from the PdH surface slabs to obtain configurations with a H/Pd ratio of ~0.7. H is preferably adsorbed at surface sites,<sup>35</sup> and the considered PdH surface.

Reaction barriers are calculated by the climbing image nudged elastic band technique as implemented in the transition-state tools of VASP.<sup>36,37</sup> Harmonic vibrational frequencies are computed using the finite-difference approach. The surface atoms are fixed during the vibrational analysis. The transition states are confirmed by vibrational analysis showing one imaginary frequency along the reaction coordinate. The vibrational analyses are also used to obtain zero-pointcorrected energies.

The reaction energies H<sub>2</sub> + 0.5 O<sub>2</sub>  $\rightarrow$  H<sub>2</sub>O and H<sub>2</sub> + O<sub>2</sub>  $\rightarrow$  H<sub>2</sub>O<sub>2</sub> are calculated to be -2.28 and -1.19 eV, respectively. These results are in fair agreement with the experimental values<sup>38</sup> of -2.51 and -1.41 eV, respectively.

**Surface Phase Diagram.** A surface phase diagram with respect to the metal surface and surrounding gas reservoirs can be constructed to determine the stability of the considered systems. Here, the relative stabilities of H<sub>2</sub> and O<sub>2</sub> adsorption on Pd(111) structures are calculated by comparing the Gibbs free energy of adsorption ( $\Delta G^{ads}$ ).  $\Delta G^{ads}$  is obtained with respect to the clean Pd(111) surface and evaluated as a function of the chemical potentials for H<sub>2</sub> ( $\mu_{H_2}$ ) and O<sub>2</sub> ( $\mu_{O_2}$ )

$$\Delta G^{\rm ads} = \frac{1}{A} \left[ G^{\rm surf}_{\rm N_{\rm H}H, N_{\rm O}O/Pd(111)} - G^{\rm surf}_{\rm Pd(111)} - \Delta N_{\rm Pd} \mu_{\rm Pd}^{\rm bulk} - \frac{N_{\rm O}}{2} \mu_{\rm O_2} - \frac{N_{\rm H}}{2} \mu_{\rm H_2} \right]$$
(1)

where A is the surface area,  $G_{\rm N_HH,N_OO/Pd(111)}^{\rm surf}$  is the Gibbs free energy of Pd(111) with N<sub>H</sub>-adsorbed H atoms and N<sub>O</sub>adsorbed O atoms,  $G_{\rm Pd(111)}^{\rm surf}$  is the Gibbs free energy of clean Pd(111), and  $\Delta N_{\rm Pd}$  is the difference between the numbers of Pd atoms in the considered surface and the pristine surface. Surface reconstruction can lead to  $\Delta N_{\rm Pd} \neq 0$ , and  $\mu_{\rm Pd}^{\rm bulk}$  is calculated using the Pd bulk as a reservoir. The chemical potentials of H<sub>2</sub> ( $\mu_{\rm H_2}$ ) and O<sub>2</sub> ( $\mu_{\rm O_2}$ ) can be separated into a total energy term and terms dependent on temperature and pressure.<sup>39</sup> Thus,  $\mu_{\rm H_2}$  and  $\mu_{\rm O_2}$  are given by

$$\mu_x(T, P_x) = E_x + \Delta \mu_x(T, P^\circ) + k_{\rm B} T \ln\left(\frac{P_x}{P^\circ}\right)$$
(2)

where x is either H<sub>2</sub> or O<sub>2</sub>, P° is the standard pressure, and  $\Delta \mu_x(T,P^\circ)$  is the chemical potential at the standard pressure. The stable structure at a given condition  $(T, P_{O_2}, \text{ and } P_{H_2})$  is given by the structure that minimizes  $\Delta G^{ads}$ . The configurational entropy of the  $\beta$ -phase palladium hydride is estimated from<sup>40</sup>

$$\Delta S_{\rm conf} = -Nk_{\rm B}[x\,\ln(x)\,+\,(1\,-\,x)\,\ln(1\,-\,x)] \tag{3}$$

where x is 0.7 and N is the total number of octahedral sites. Thus, the free-energy contribution from the configurational entropy per site  $(G_{conf})$  is given by

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$$G_{\rm conf} = -k_{\rm B}T[x\,\ln(x)\,+\,(1\,-\,x)\,\ln(1\,-\,x)] \tag{4}$$

An extended palladium oxide bulk is thermodynamically stable when the oxygen content in the environment is high enough, which gives

$$\mu_{\rm PdO}^{\rm bulk} < \mu_{\rm Pd}^{\rm bulk} + \frac{1}{2}\mu_{\rm O_2} \tag{5}$$

whereas a sufficient hydrogen content will make the reduction of PdO into  $H_2O$  and Pd preferred, which leads to

$$\mu_{\rm Pd}^{\rm bulk} + \mu_{\rm H_2O} < \mu_{\rm PdO}^{\rm bulk} + \mu_{\rm H_2} \tag{6}$$

Combining eqs 2, 5, and 6 gives the stability criterion for PdO in a mixed atmosphere of  $H_2$  and  $O_2$ 

$$\Delta \mu_{\rm H_2} - \frac{1}{2} \Delta \mu_{\rm O_2} < -2\Delta E_{\rm PdO}^{\rm f} + \Delta E_{\rm H_2O}^{\rm f}$$
(7)

Here,  $\Delta E_{PdO}^{f}$  is the formation energy of PdO at 0 K and  $\Delta E_{H_2O}^{f}$  is the formation energy of H<sub>2</sub>O from H<sub>2</sub> and O<sub>2</sub>.

**Microkinetic Modeling.** The reaction kinetics is obtained by mean-field microkinetic modeling. The reaction networks are constructed from our proposed reaction mechanism (see below). A set of coupled ordinary differential equations (ODEs) describes the time-dependent coverage of each species<sup>41</sup>

$$\frac{\mathrm{d}\theta_i}{\mathrm{d}t} = \sum_j r_j(\vec{\theta}) n_{j,i} \tag{8}$$

where  $\theta_i$  is the fractional coverage of species *i*,  $r_j(\hat{\theta})$  is the rate of reaction *j*, which depends on the coverages of all species, and  $n_{j,i}$  is the stoichiometric coefficient of species *i* in reaction *j*. Microkinetic models often have rate constants that differ by many orders of magnitude, which makes the system of ODEs stiff. In this work, a method based on backward differentiation formulas within the VODE solver in SciPy<sup>42</sup> has been used to numerically integrate the system of ODEs until the steady state is reached.

The rate constants k for the surface reactions are calculated through transition-state theory<sup>43</sup>

$$k = \frac{k_{\rm B}T}{h} \exp\left(\frac{\Delta S^{\#}}{k_{\rm B}}\right) \exp\left(\frac{-\Delta E^{\#}}{k_{\rm B}T}\right) = A_{\rm f} \exp\left(\frac{-\Delta E^{\#}}{k_{\rm B}T}\right) \tag{9}$$

where  $\Delta S^{\#}$  and  $\Delta E^{\#}$  are the entropy and electronic energy differences between the transition state and the reactant, respectively. The entropies for the adsorbed species are calculated within the harmonic approximation, where the translations and rotations are treated as frustrated vibrations.<sup>44</sup>  $A_{\rm f}$  defines the pre-exponential factor for the forward reaction. The rate constants for the (non-activated) adsorption reactions are calculated according to collision theory

$$k_{\rm ads} = \frac{P_x s_0 a_{\rm site}}{\sqrt{2\pi m k_{\rm B} T}} = P_x A_{\rm f} \tag{10}$$

where  $P_x$  is the partial pressure of x,  $s_0$  is the sticking coefficient at zero coverage,  $a_{site}$  is the area of an adsorbed site, and m is the mass of the molecule.  $s_0$  is assumed to be unity for all cases. Thermodynamic consistency is enforced in the model by calculating the reverse rate constants from the equilibrium constants for all steps. For the interactions between the adsorbed species, it is found that the majority of the interactions is repulsive with the exception of the OH–OH interaction. We have included O–O and OH–OH interactions following ref 45. The H–H interactions are modeled in a linear fashion, as seen in the Supporting Information. The interactions between adsorbed O<sub>2</sub> molecules are found to be small and, therefore, neglected in the model.

#### RESULTS

The direct synthesis of  $H_2O_2$  from  $H_2$  and  $O_2$  proceeds over Pd catalysts at low temperatures (275–315 K) and reactant pressures normally in the range of 0.5–10 MPa  $H_2$  and 0.5–10 MPa  $O_2$ .<sup>4,14</sup> At these pressures and temperatures, exposure of Pd to only  $H_2$  would result in the formation of palladium hydride ( $\alpha$ - or  $\beta$ -phase).<sup>34,46,47</sup> Similarly, the exposure of Pd to  $O_2$  at these temperatures results initially to adsorbed oxygen and thereafter a two-dimensional surface oxide.<sup>48,49</sup> Formation of bulk oxide requires for kinetic reasons higher temperatures.<sup>48</sup> During  $H_2O_2$  formation, Pd is exposed to both  $H_2$ and  $O_2$ , which implies that it is important to investigate the stable surface structure with the relevant gas mixture.

State of the Catalyst. The stability of the calculated surface structures in equilibrium with gas-phase H<sub>2</sub> and O<sub>2</sub> is compared by evaluating the Gibbs free energy. Here, we have considered clean Pd(111), a  $p(3 \times 3)$  overlayer of atomic oxygen on Pd(111), Pd<sub>5</sub>O<sub>4</sub> surface oxide<sup>48</sup> on Pd(111), the PdO bulk, a  $p(3 \times 3)$  overlayer of atomic hydrogen on Pd(111), and a  $p(3 \times 3)$   $\beta$ -phase palladium hydride (111) [PdH<sub>0.7</sub>(111)]. The considered structures focus on the state of the catalyst, being a metal, an oxide, or a hydride. The actual surface coverages, which are determined by both thermodynamics and reaction kinetics, are obtained by mean-field kinetic modeling as described below.

The stable phases at the corresponding H<sub>2</sub> and O<sub>2</sub> chemical potentials are shown in Figure 2. The clean Pd(111) surface is the stable phase when the  $H_2$  and  $O_2$  chemical potentials are low. If the H<sub>2</sub> chemical potential is kept low and O<sub>2</sub> chemical potential increases (along the y-axis) to oxygen-rich conditions, first a  $p(3 \times 3)$  overlayer appears on the Pd(111) surface, then a Pd<sub>5</sub>O<sub>4</sub> surface oxide, and finally the PdO bulk oxide. The structure of the surface oxide has been determined by in situ surface X-ray diffraction and core-level spectroscopy measurements.  $^{\rm 48}$  If the  $O_2$  chemical potential is kept low and the  $H_2$ chemical potential gradually increases (along the x-axis), a completely H-covered Pd(111) surface is first formed and, thereafter, the  $\beta$ -phase palladium hydride (PdH<sub>0.7</sub>). This is in good agreement with experimental results<sup>34,47</sup> (at low temperatures, Pd goes directly between the metallic phase and  $\beta$ -phase<sup>50</sup>). The adsorption energy for hydrogen in the bulk is significantly lower than the adsorption energy on the surface,<sup>3</sup> which implies that hydrogen will first occupy adsorption sites on the surface and only occupy bulk sites once a full surface coverage is obtained.

The relevant operating conditions during  $H_2O_2$  synthesis over Pd are indicated by the white square in Figure 2 ( $P_{H_2}$ ,  $P_{O_2}$ = 0.5–10 MPa, and T = 275–315 K). The region of the square is situated in the  $\beta$ -hydride phase close to the boundary to the Pd<sub>5</sub>O<sub>4</sub> surface oxide. Our results show that the  $\beta$ -hydride phase should be considered as the most abundant surface structure during  $H_2O_2$  formation conditions, particularly when  $H_2$  is fed in excess. This finding is in accord with experimental X-ray pubs.acs.org/acscatalysis

absorption spectroscopy data,<sup>16</sup> showing that the  $\beta$ -phase PdH is present for H<sub>2</sub>/O<sub>2</sub> ratios > 1.

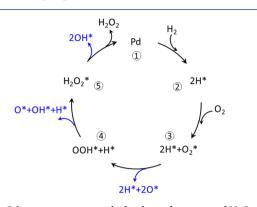


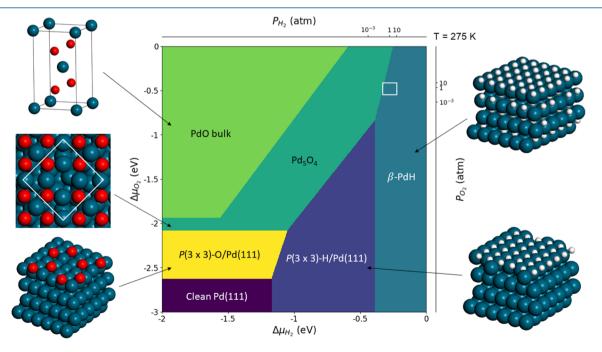
Figure 1. Schematic reaction cycle for direct formation of  $\rm H_2O_2$  from  $\rm H_2$  and  $\rm O_2.$ 

**Reaction Paths over Pd(111) and PdH(111).** The reaction paths for direct  $H_2O_2$  formation from  $H_2$  and  $O_2$  on Pd(111) and  $\beta$ -PdH(111) are shown in Figures 3 and 4, respectively. Even though the  $\beta$ -hydride is the preferred phase during the reaction conditions, we consider the clean Pd(111) surface as a comparison. On Pd(111),  $O_2$  is first adsorbed in a molecular state over an fcc hollow site (O\*O\*).  $H_2$  is subsequently adsorbed and dissociated (O\*O\* + 2H\*). The dissociated atomic H atoms can react with O\*O\*, forming an O\*O\*H species with a barrier of 0.54 eV. Thereafter, adsorbed hydrogen peroxide (HO\*OH) can be formed with a high barrier of 0.86 eV. The surface hydrogen peroxide desorbs in the last step from the surface. The desorption energy is 0.60 eV. However, there are a couple of possible side reactions along the potential energy landscape.  $O_2$  can dissociate with an

activation energy of 0.63 eV, forming two oxygen atoms on the surface  $(2O^*)$ . Adsorbed O and H can react to form O\*H with a barrier of 0.98 eV (not shown in the figure). Another side reaction is the dissociation of the O\*O\*H species to an O\* and an O\*H. This reaction has a small barrier of 0.11 eV. A water molecule can be formed by reaction between O\*H and H\* with a barrier of 0.49 eV. The desorption energy of water is 0.45 eV. A third side reaction is the dissociation of surface HO\*OH to two O\*H groups, which is exothermic and has a small barrier of 0.11 eV.

All our calculated energies for this reaction on Pd(111) are in good agreement with the previous studies.<sup>7,21</sup> Note that water should mainly be formed by the reaction between two OH groups or hydrogenation of HO\*OH. The hydrogenation of HO\*OH over Pd(111) is not considered as it is difficult to form HO\*OH over Pd(111). The barrier for direct O<sub>2</sub> dissociation over Pd(111) is high enough to hinder direct dissociation into 2O\* below room temperature. Moreover, the barrier for OH formation from atomic oxygen and hydrogen is high. This is consistent with the observation that OH groups are formed from O\* and H\* at step sites.<sup>51</sup>

Turning to the reaction paths on  $\beta$ -PdH(111) (Figure 4), the reaction landscape is more complicated than on clean Pd(111) due to the high coverage of the surface hydrogen and the existence of the bulk hydrogen. H<sub>2</sub> is first dissociated on the surface, and the surface is thereafter fully covered by the hydrogen atoms. O<sub>2</sub> is found to be physisorbed in a triplet state with an adsorption energy of 0.16 eV. The barrier to form a chemisorbed molecule in the singlet state is 0.61 eV. The direct dissociation of O<sub>2</sub> has a large barrier of 1.12 eV. With chemisorbed O<sub>2</sub>, there are two paths to form OOH species. One is to form HO\*O with one bond to the surface, which has a barrier of 0.22 eV. The other species (O\*O\*H) has two bonds to the surface and is formed with a lower barrier of 0.12



**Figure 2.** Surface phase diagram of Pd(111) in constrained thermodynamic equilibrium with gas-phase H<sub>2</sub> and O<sub>2</sub>. The phase diagram is given with respect to the chemical potentials of H<sub>2</sub> and O<sub>2</sub>. The corresponding H<sub>2</sub> (O<sub>2</sub>) pressure at 275 K is shown in the black bar at the top (right) of the axis. The white square in the phase diagram denotes the typical gas conditions of direct H<sub>2</sub>O<sub>2</sub> formation [ $P_{H_2}$ ,  $P_{O_2}$  = 0.5–10 MPa, T = 275–315 K; atom color codes: Pd (blue), O (red), and H (white)].

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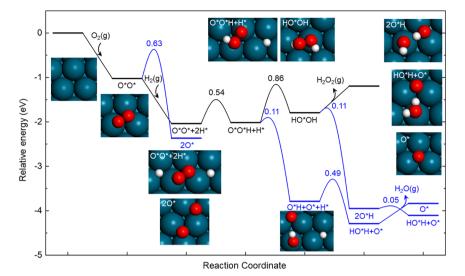


Figure 3. Potential energy landscape for direct  $H_2O_2$  formation from  $H_2$  and  $O_2$  over Pd(111). The lines with the blue color represent the path toward the side reactions. All energies are zero-point-corrected. Atom color codes: Pd (blue), O (red), and H (white).

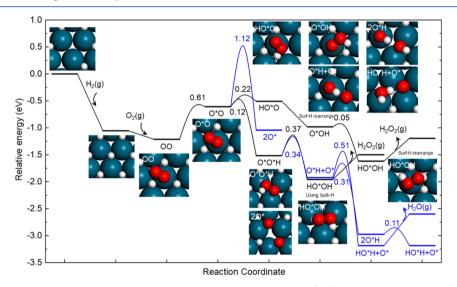


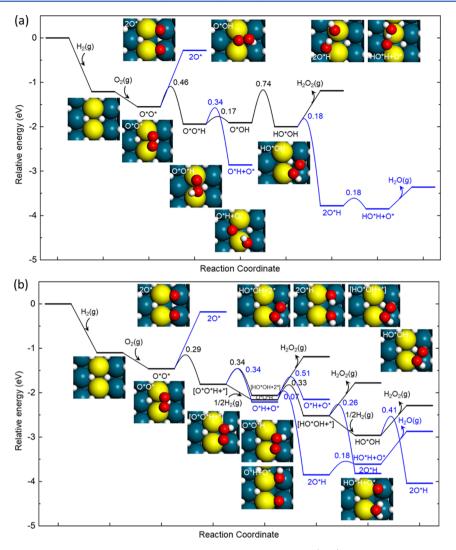
Figure 4. Potential energy landscape for direct  $H_2O_2$  formation from  $H_2$  and  $O_2$  on  $\beta$ -PdH(111). The lines with the blue color represent the path toward the side reactions. Steps marked with surface-H rearrangement indicate that the surrounding hollow sites of the surface species are all covered by surface H. All energies are zero-point-corrected. Atom color codes: Pd (blue), O (red), and H (white).

eV. To continue the reaction with HO\*O, the species need to reorient and bind with the unhydrogenated oxygen atom to the surface (O\*OH). This step is spontaneous with a full hydrogen coverage, which is maintained by either diffusion of H from the bulk or hydrogen adsorption. In the potential energy diagram, a local full coverage is kept by moving a surface hydrogen atom close to HO\*O. HO\*OH is formed from O\*OH with a small barrier of 0.05 eV. The desorption energy of the adsorbed HO\*OH is 0.38 eV. HO\*OH can also be formed from O\*O\*H by reaction with a subsurface hydrogen (e.g., bulk-dissolved H) with a small barrier of 0.37 eV. This is preferred with respect to a reaction with an adjacent surface hydrogen. The desorption energy of this HO\*OH species is 0.38 eV.

The side reactions involve dissociation of the  $O^*O^*H$  species into an  $O^*H$  group and an adsorbed  $O^*$ , having a barrier of 0.34 eV. Another side reaction is the dissociation of HO\*OH, which proceeds with a barrier of 0.31 eV. This path is possible if there are free sites on the surface to accommodate

20\*H. Water can be formed either with a barrier of 0.51 eV from O\*H and H\* or, alternatively, with a smaller barrier of 0.11 from 2O\*H. Note that the dissociation of O\*O\*H and HO\*OH requires higher barriers on the hydride PdH(111) than on the clean Pd(111) surface. This indicates that the presence of surface H should increase the selectivity toward  $H_2O_2$ . However, the potential energy landscape in Figure 4 also shows that the  $O_2$  adsorption step is endothermic, making  $H_2O_2$  formation a very slow process.

We find that the interaction of  $O_2$  with Pd(111) and  $\beta$ -PdH(111) is significantly different.  $O_2$  binds to Pd(111) by -1.02 eV, whereas the reaction is endothermic on  $\beta$ -PdH(111). There are two main reasons for the difference. One is the change in Pd electronic structure upon hydride formed. The densities of states of Pd, PdH<sub>0.7</sub>, and PdH bulk are reported in the Supporting Information. The width of the d-band is reduced and shifted to lower energies as the hydride is formed. Moreover, d-states are depleted from the Fermi level. These are changes that reduce the interaction with  $O_2$ .<sup>52</sup> In



**Figure 5.** Potential energy landscape for direct  $H_2O_2$  formation from  $H_2$  and  $O_2$  on  $\beta$ -PdH(211). Two types of adsorbed H may take part in the reactions: (a) H on the (111) microfacet and (b) H on the (100) microfacet. The lines with the blue color represent the path toward the side reactions. All energies are zero-point-corrected. Pd atoms at the step edge are highlighted with the yellow color. Atom color codes: Pd (blue), O (red), and H (white).

addition, the H is slightly negatively charged in the hydride, which results in a repulsion to  $O_2$  upon adsorption.

**Reaction Paths over PdH(211).** Direct synthesis of  $H_2O_2$  is typically performed over Pd nanoparticles, which have undercoordinated edge and corner sites. It is known that low-coordinated sites may strongly influence the activity.<sup>53</sup> To simulate the reactions on the edge sites of a PdH nanoparticle, here,  $H_2O_2$  formation has been investigated on the stepped PdH(211) surface, as seen in Figure 5. Owing to the low-coordinated Pd atoms on the edges,  $O_2$  adsorbs on this surface by -0.35 eV. The dissociation of O\*O\* on the stepped surface with a full coverage of hydrogen is strongly endothermic by 1.27 eV, which implies that direct dissociation is hindered under reaction conditions. After the adsorption of  $O_2$ , there are two possible routes for OOH formation. One route uses the H\* from the (100) microfacet (Figure 5b).

Following the path in Figure 5a,  $O^*O^*H$  formation proceeds via a barrier of 0.46 eV. The subsequent formation of HO\*OH involves two steps. First,  $O^*O^*H$  reorients with a barrier of 0.17 eV to allow for a reaction with the second H\* on the (111) microfacet. Second, HO\*OH is formed with a

barrier of 0.74 eV. A side reaction is at this point dissociation of  $O^*O^*H$  into  $O^*H$  and  $O^*$ . The barrier for this reaction is 0.34 eV. Moreover, adsorbed HO\*OH is found to dissociate easily with a barrier of 0.18 eV. HO\*H can be formed from two O\*H groups, a process that has a barrier of 0.18 eV. The desorption of HO\*OH and HO\*H from the edges is less facile than the desorption on the Pd(111) surface due to their stronger binding energies.

Turning to the path in Figure 5b, the O\*O\*H formation from O\*O\* and the H\* on the (100) microfacet requires a smaller barrier (0.29 eV) than the formation using H on the (111) microfacet (0.46 eV). The more facile transport of H from the (100) microfacet can be explained by a 0.1 eV weaker bond to the surface. After the formation of O\*O\*H, there are three possibilities for the following reactions: (i) HO\*OH can be formed via a barrier of 0.34 eV. HO\*OH can either desorb by 0.86 eV or dissociate into two O\*H groups via a small (0.07 eV) barrier. The two O\*H groups can react with each other, forming a HO\*H species by a barrier of 0.18 eV. The desorption of HO\*H is endothermic by 0.74 eV. (ii) O\*O\*H can dissociate to O\*H + O\* with a barrier of 0.34 eV. (iii) As empty sites are formed upon O\*O\*H formation, it is feasible

Table 1.	Considered	Elementary	Reaction Step	os on PdH(21	1) with H	Transferred	from the	(100) Microfacet	•
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	reaction equation	E(aV)	4	E(aV)	4
no.	reaction equation	$E_{\rm f}~({\rm eV})$	$A_{ m f}$	$E_{\rm b}~({\rm eV})$	A <sub>b</sub>
(1)	$H_2(g) + 2^* \leftrightarrow 2H^*$	0.00	$1.06 \times 10^{3}$	1.03	$8.84 \times 10^{7}$
(2)	$O_2(g) + 2^*(edge) \leftrightarrow O^*O^*(edge)$	0.00	$2.67 \times 10^{3}$	0.36	$1.30 \times 10^{10}$
(3)	$O^*O^*(edge) + H^* \leftrightarrow [O^*O^*H(edge) + *]$	0.29	$2.77 \times 10^{12}$	0.65	$1.90 \times 10^{12}$
(4)	$O^*O^*(edge) + 2^* \leftrightarrow 2O^* + 2^*(edge)$	1.28	$2.57 \times 10^{12}$	0.00	$1.28 \times 10^{13}$
(5)	$[O^*O^*H(edge) + *]_{str1} + H^* \leftrightarrow [HO^*OH(edge) + 2^*]_{str1} + *(edge)$	0.34	$2.83 \times 10^{12}$	0.60	$1.15 \times 10^{12}$
(6)	$[O*O*H(edge) + *]_{str1} + * \leftrightarrow O*H + O* + 2*(edge)$	0.34	$1.67 \times 10^{12}$	0.74	$5.18 \times 10^{12}$
(7)	$[O*O*H(edge) + *]_{str1} + H_2(g) + * \leftrightarrow [O*O*H(edge)]_{str2} + 2H*$	0.00	$1.06 \times 10^{3}$	0.70	$2.91 \times 10^{5}$
(8)	$[HO*OH(edge) + 2^*]_{str1} \leftrightarrow H_2O_2(g) + 2^* + *(edge)$	0.87	$6.80 \times 10^{15}$	0.00	$2.59 \times 10^{2}$
(8')	$[HO*OH(edge) + 2^*]_{str1} \leftrightarrow H_2O_2(l) + 2^* + *(edge)$	0.42	$5.65 \times 10^{6}$	0.00	$2.59 \times 10^{2}$
(9)	$[HO*OH(edge) + 2^*]_{str1} \leftrightarrow O^*H + O^*H + *(edge)$	0.07	$2.16 \times 10^{12}$	1.86	$1.11 \times 10^{13}$
(10)	$[O^*O^*H(edge)]_{str2} + H^* \leftrightarrow [HO^*OH(edge) + *]_{str2} + *(edge)$	0.33	$2.95 \times 10^{12}$	0.69	$1.33 \times 10^{12}$
(11)	$[HO*OH(edge) + *]_{str2} \leftrightarrow H_2O_2(g) + * + *(edge)$	0.74	$6.80 \times 10^{15}$	0.00	$2.59 \times 10^{2}$
(11')	$[HO*OH(edge) + *]_{str2} \leftrightarrow H_2O_2(l) + * + *(edge)$	0.29	$5.65 \times 10^{6}$	0.00	$2.59 \times 10^{2}$
(12)	$[O^*O^*H(edge)]_{str2} + 2^* \leftrightarrow O^*H + O^* + 2^*(edge)$	0.51	$3.78 \times 10^{12}$	0.50	$1.69 \times 10^{13}$
(13)	$[\mathrm{HO}^{*}\mathrm{OH}(\mathrm{edge}) + *]_{\mathrm{str2}} + \mathrm{H}_{2}(\mathrm{g}) + * \leftrightarrow [\mathrm{HO}^{*}\mathrm{OH}(\mathrm{edge})]_{\mathrm{str3}} + 2\mathrm{H}^{*}$	0.00	$1.06 \times 10^{3}$	0.88	$3.17 \times 10^{5}$
(14)	$[HO^*OH(edge)]_{str3} \leftrightarrow H_2O_2(g) + *(edge)$	0.67	$7.34 \times 10^{15}$	0.00	$2.59 \times 10^{2}$
(14')	$[HO*OH(edge)]_{str3} \leftrightarrow H_2O_2(l) + *(edge)$	0.22	$6.11 \times 10^{6}$	0.00	$2.59 \times 10^{2}$
(15)	$[HO*OH(edge)]_{str3} + 2^* \leftrightarrow O^*H + O^*H + *(edge)$	0.41	$2.41 \times 10^{12}$	1.49	$1.63 \times 10^{13}$
(16)	$O^*H + O^*H + *(edge) \leftrightarrow HO^*H(edge) + O^* + *$	0.18	$4.10 \times 10^{12}$	0.04	$1.79 \times 10^{12}$
(17)	$HO^*H(edge) \leftrightarrow H_2O(g) + *(edge)$	0.74	$1.36 \times 10^{15}$	0.00	$3.56 \times 10^{2}$
(17')	$HO^*H(edge) \leftrightarrow H_2O(l) + *(edge)$	0.29	$2.20 \times 10^{6}$	0.00	$3.56 \times 10^{2}$
(18)	$O^* + H^* \leftrightarrow O^*H + *$	0.69	$4.25 \times 10^{12}$	1.19	$2.58 \times 10^{12}$
(19)	$O^*H + H^* + *(edge) \leftrightarrow HO^*H(edge) + 2^*$	0.58	$5.38 \times 10^{12}$	0.96	$1.25 \times 10^{12}$
(20)	$[HO*OH(edge) + *]_{str2} + * \leftrightarrow O*H + O*H + *(edge)$	0.26	$2.08 \times 10^{12}$	1.56	$9.52 \times 10^{12}$

<sup>*a*</sup>The forward ( $E_t$ ) and backward ( $E_b$ ) energy barriers are reported with zero-point corrections. The desorption steps with a prime denote the desorption into the liquid state. As the reaction network has different structures of O\*O\*H and HO\*OH, we denote the different possibilities by  $[O*O*H(edge) + *]_{strl}$ ,  $[O*O*H(edge)]_{str2}$ ,  $[HO*OH(edge) + 2*]_{strl}$ ,  $[HO*OH(edge) + *]_{str2}$ , and  $[HO*OH(edge)]_{str3}$ . The different structures are shown in the Supporting Information.

to fill this site either by H diffusion or by H<sub>2</sub> adsorption, yielding a full coverage. In Figure 5b, the empty site is filled by  $H_2$  adsorption. The high coverage of H on the (100) microfacet makes the dissociation of O\*O\*H less facile than the formation of HO\*OH. The dissociation has a barrier of 0.51 eV, whereas HO\*OH is formed by a barrier of 0.33 eV. The formation of HO\*OH gives rise to an empty site, and the dissociation of HO\*OH to two O\*H groups is associated with a barrier of 0.26 eV. Once HO\*OH is formed, the full coverage can be maintained by yet another H<sub>2</sub> adsorption step. The dissociation of HO\*OH to two O\*H groups on the edges with a high H coverage has a barrier of 0.41 eV. The barrier for the dissociation of HO\*OH to two O\*H groups increases from 0.07 to 0.41 eV with increasing surface H coverage. This shows that a high H coverage slows down the dissociation. Moreover, the desorption of HO\*OH is endothermic by 0.67 eV. The full coverage of H reduces the desorption energy of HO\*OH on the edges. The desorption energies with one or two hydrogen vacancies close to HO\*OH are calculated to be 0.74 and 0.86 eV, respectively.

**Microkinetic Analysis.** The relevance of the proposed reaction landscape can be verified by performing a microkinetic analysis with the calculated kinetic parameters. In the microkinetic simulations, the partial pressures of  $H_2$  and  $O_2$  are chosen to be 1 atm. The  $H_2O_2$  and  $H_2O$  pressures are set to close to 0. The temperatures for the simulations are ramped from 275 to 315 K, which is within the experimental regime.<sup>4</sup>

The microkinetic simulations for the direct  $H_2O_2$  formation provide information on both the rate of product formation and the coverages of the surface species and have been performed for the potential energy surfaces for PdH(111) (Figure 4) and PdH(211) (Figure 5), respectively. We find that no  $H_2O_2$  is formed over PdH(111) in the studied temperature interval. The kinetic bottleneck is the adsorption of  $O_2$ . The results for PdH(111) are reported in the Supporting Information. For the PdH(211) surface, the use of H atoms from the (111) microfacet involves a high barrier, which prevent facile H<sub>2</sub>O<sub>2</sub> formation (see the Supporting Information). Here, we concentrate on the results for the PdH(211) surface where H atoms are transferred from the (100) microfacet. The reaction barriers and pre-exponential factors (at 275 K) for forward and backward reactions between the adsorbed O<sub>2</sub> and H on the (100) microfacet are listed in Table 1. The parameters for H<sub>2</sub>O<sub>2</sub> and H<sub>2</sub>O desorption have been adjusted to account for the fact that the desorption is to the liquid phase. In particular, experimental heats of vaporization and liquid-state entropies are used (see the Supporting Information).38

The pre-exponential factors (at 275 K) for forward and backward reactions are denoted by  $A_f$  and  $A_b$ , respectively. The pre-exponential factors for surface reactions and desorption events are given in (1/s). The pre-exponential factors for adsorption are given in  $(Pa^{-1} s^{-1})$ . Species with (edge) denote the adsorption sites on the edges.

The turnover frequency (TOF) is given by the rate of the formation of the product. Figure 6 shows the TOF of the formation of  $H_2O_2$  and  $H_2O$  as a function of temperature. We find that the TOF for the formation of  $H_2O_2$  is lower than the TOF for  $H_2O$  formation in the entire temperature interval.  $H_2O_2$  formation has a maximum at 295 K, whereas the  $H_2O$  rate monotonically increases with temperature. The reason for the decrease in TOF for  $H_2O_2$  is the onset of the  $H_2O_2$ 

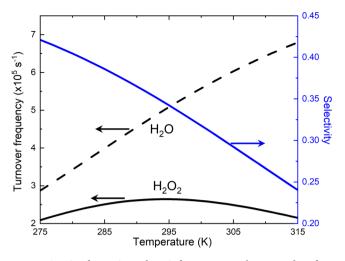


Figure 6. TOF for  $H_2O_2$  and  $H_2O$  formation on the stepped surface PdH(211) as a function of temperature. The selectivity toward the formation of  $H_2O_2$  is given in blue. The pressures of  $H_2$  and  $O_2$  are both 1 atm.

decomposition into OH groups. The TOF of  $H_2O_2$  formation shows a moderate dependence on temperature in the range of 278–288 K, which agrees with experiments.<sup>14</sup> Moreover, the decrease in  $H_2O_2$  formation at higher temperatures (above ~305 K) due to HO\*OH decomposition is also in agreement with experiments.<sup>17,54</sup>

The selectivity toward  $H_2O_2$  formation ( $S_{H,O_2}$ ) is defined as

$$S_{\rm H_2O_2} = \frac{\rm IOF_{\rm H_2O_2}}{\rm TOF_{\rm H_2O_2} + \rm TOF_{\rm H_2O}}$$
(11)

where  $TOF_{H,O_1}$  and  $TOF_{H,O}$  are the TOFs for  $H_2O_2$  and  $H_2O$ formation, respectively. The corresponding selectivity at each temperature is given in Figure 6. The selectivity toward  $H_2O_2$ formation decreases with increasing temperature. This implies that direct H2O2 formation should be performed at low temperatures to reach high selectivity. This finding is consistent with the experimental data.<sup>5,14,54</sup> The reaction order in H<sub>2</sub> is calculated to increase from 0.3 to 0.7 as the temperature is increased. The reaction order in O<sub>2</sub> is calculated to be negative (about -0.2) in the studied temperature interval. The reaction order in H2 has been measured to be either  $0.5^{10}$  or  $1.0.^{14}$  The reaction order in O<sub>2</sub> was in ref 10 measured to be slightly negative. We have also performed a degree of rate control (DRC)<sup>55</sup> analysis (Figure S7 in the Supporting Information). H<sub>2</sub> adsorption and HO\*OH formation from O\*O\*H have a positive DRC as well as H<sub>2</sub>O desorption. Instead, HO\*OH dissociation into 2O\*H has a negative DRC.

# DISCUSSION

In the results, we identify three key findings important for the mechanism of direct  $H_2O_2$  synthesis: (i) the Pd catalyst exists in its hydride form under typical direct synthesis conditions; (ii)  $H_2O_2$  selectivity is significantly improved on the hydride thanks to a combination of facile H addition and suppression of water formation; and (iii)  $H_2O_2$  synthesis rates are much higher on edge sites than on Pd particle terraces. Below, we elaborate on these conclusions and discuss them in the context of prior experimental work.

Recent X-ray absorption experiments show that under  $H_2/O_2$  ratios larger than 1, hydride is present under reaction conditions and that a phase transition to an oxide-terminated metal surface takes place as the  $H_2/O_2$  ratio is lowered.<sup>15</sup> This agrees with the presented phase diagram. Experimentally, the oxide surface also shows some selectivity toward  $H_2O_2$  synthesis.<sup>16</sup> The mechanism for the activity of the oxide surface could be related to the mechanism for the hydride surface, for example, a completely oxidized surface disfavors O–O bond rupture.

Hydrides have previously been found to be associated with higher selectivity for direct  $H_2O_2$  synthesis.<sup>4,6</sup> The results reported here provide insights into the reasons for these observations. One possible explanation for the importance of the hydride is associated with a high H coverage on the surface, which prevents the side reactions and facilitates the desorption of HO\*OH, as shown in the reaction landscapes. Compared with the potential energy landscape on clean Pd(111), the coverage of the surface hydrogen plays an important role to prevent the side reactions on  $\beta$ -PdH(111). With the full coverage of hydrogen on  $\beta$ -PdH(111), O<sub>2</sub> prefers to be adsorbed as O\*O species and the dissociation is kinetically hindered by a higher activation energy of 1.12 eV. This kind of site blocking created by surface H atoms would also hypothetically be observed for other types of surface adsorbates. Long-chain ligands, such as alkanethiols<sup>56-58</sup> and alkyl ammonium phosphates,<sup>7</sup> have been found to improve  $H_2O_2$  yields, likely by suppressing the undesired O–O bond breaking. In addition to inhibiting O–O dissociation reactions, the presence of a hydride phase promotes H addition reactions. Keeping the molecular oxygen  $(O^*O)$  on the surface promotes the formation of surface OOH species. The barriers for OOH formation are lower than those on clean Pd(111). In addition, having a high coverage of surface hydrogen also makes the formation of HO\*OH from HO\*O more facile than on clean Pd(111); the barrier is calculated to be only 0.05 eV on the hydride. The spontaneous desorption of HO\*OH from a surface with high H coverage implies that surface hydrogen also prevents the dissociation of HO\*OH. Moreover, the formation of HO\*OH from O\*O\*H species by using subsurface hydrogen shows that bulk-dissolved hydrogen in Pd can promote the direct formation of H<sub>2</sub>O<sub>2</sub>.

The importance of the reactant pressure for the selectivity of  $H_2O_2$  production was first found experimentally by Lunsford.<sup>59</sup> Recently, Wilson and Flaherty<sup>10</sup> observed that the rate of  $H_2O_2$  production increases dramatically with the  $H_2$  pressure keeping the  $O_2$  pressure fixed. On the contrary, increasing the  $O_2$  pressure with a fixed  $H_2$  pressure did not result in a changed rate for  $H_2O_2$  formation. This is an indication that the state of the catalyst, being in either a hydride state or an oxide state, is critical for the selectivity to  $H_2O_2$ .

Even though the barriers for OOH and HOOH formation are low on the PdH(111) surface, the endothermic adsorption of O<sub>2</sub> on PdH(111) leads to a very low predicted reaction rate. Thus, hydrogen-covered (111) terraces of large Pd nanoparticles should, in principle, be inert for direct  $H_2O_2$ production. Instead, the undercoordinated atoms at the edge of a stepped surface provide sites for O<sub>2</sub> adsorption and facile  $H_2O_2$  formation. Our finding highlights the importance of undercoordinated sites in typical Pd nanoparticles for the direct synthesis of  $H_2O_2$ . This hypothesis appears to accord with recent observations of enhanced  $H_2O_2$  direct synthesis for catalysts with Pd clusters below 2 nm<sup>11</sup> as well as earlier reports of an enhanced yield for palladium nanoparticles with a high number undercoordinated sites.<sup>5</sup>

We note that it has been suggested that  $H_2O_2$  can be formed via sequential protonation of adsorbed  $O_2$  and OOH from  $H^+$ in the solution.<sup>10</sup> In ref 10, it was found that the  $H_2O_2$ formation rates require  $H^+$  in the solution and that the rate increased with addition of  $H^+$  donors (e.g.,  $H_2SO_4$ , HCl, or  $H_2CO_3$ ), whereas rates for  $H_2O_2$  formation are low in aprotic solvents. We have performed calculations of the diffusion barrier of the adsorbed  $H^*$  to an adsorbed water layer forming  $H_3O^+$  over PdH(111). The calculations show that the barrier is small (about 0.4 eV, see the Supporting Information), and we believe that such proton–electron transfer mechanisms could work in parallel with the reaction paths we have discussed here.

### CONCLUSIONS

Herein, we provide a perspective on the reaction mechanism for direct formation of H<sub>2</sub>O<sub>2</sub> from H<sub>2</sub> and O<sub>2</sub> over Pd catalysts by the combination of density functional theory calculations and mean-field kinetic modeling. First, the state of the Pd catalyst under different reaction conditions has been determined by constructing a surface phase diagram with respect to the chemical potential of H<sub>2</sub> and O<sub>2</sub> at 275 K. It is found that  $\beta$ -PdH(111) with full coverage of hydrogen is the stable phase with 1 atm H<sub>2</sub> and 1 atm O<sub>2</sub>. Thus, the reaction steps for  $H_2O_2$  formation on Pd(111) and  $\beta$ -PdH(111) are investigated. To model the reactions on the edge sites in a typical Pd nanoparticle, a stepped surface PdH(211) is employed to construct the reaction landscape. It is found that adsorption of  $O_2$  is endothermic on PdH(111), which results in low H2O2 production over the extended facet. However, the edge sites of the stepped surface PdH(211)provide sites to adsorb O<sub>2</sub> exothermically, which gives possibilities for H<sub>2</sub>O<sub>2</sub> formation. Additionally, the decomposition of the intermediate species to OH groups is kinetically hindered by site blocking of neighboring surface H. The surface hydrogen is, moreover, found to facilitate the desorption of H<sub>2</sub>O<sub>2</sub>, which further prevents the unwanted decomposition of H<sub>2</sub>O<sub>2</sub>. Our findings suggest that undercoordinated sites are active sites for direct H<sub>2</sub>O<sub>2</sub> formation and highlight the importance of hydrogen coverage on the Pd catalyst surface. The results imply that the selectivity of the reaction toward H2O2 can be enhanced by a high partial pressure of H<sub>2</sub>. In this way, we provide a mechanistic understanding of the intrinsic role of the reactant pressure and the role of undercoordinated sites for direct synthesis of H<sub>2</sub>O<sub>2</sub> on Pd catalysts.

## ASSOCIATED CONTENT

#### **Supporting Information**

The Supporting Information is available free of charge at https://pubs.acs.org/doi/10.1021/acscatal.0c05548.

Comparison between electronic densities of states for Pd and PdH, scaling relation for H–H interaction in the kinetic model, reaction networks, equilibrium coverages, reaction orders, analysis of DRC, and mechanism for H transfer via an adsorbed water layer (PDF)

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#### Notes

The authors declare no competing financial interest.

## ACKNOWLEDGMENTS

Financial support was obtained from the Research Foundation FORMAS (2018-01004) and the Swedish Research Council (2016-05234). The Competence Centre for Catalysis is hosted by Chalmers University of Technology and financially supported by the Swedish Energy Agency and the member companies AB Volvo, ECAPS AB, Johnson Matthey AB, Preem AB, Scania CV AB, and Umicore Denmark Aps. The calculations have been performed at C3SE (Göteborg) and PDC (Stockholm) through a SNIC grant.

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