## TRANSLATIONAL AND CLINICAL RESEARCH



# Mesenchymal stromal cell immunomodulation: In pursuit of controlling COVID-19 related cytokine storm

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#### Abstract

The Coronavirus disease 2019 (COVID-19) pandemic has grown to be a global public health crisis with no safe and effective treatments available yet. Recent findings suggest that severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2), the coronavirus pathogen that causes COVID-19, could elicit a cytokine storm that drives edema, dysfunction of the airway exchange, and acute respiratory distress syndrome in the lung, followed by acute cardiac injury and thromboembolic events leading to multiorgan failure and death. Mesenchymal stromal cells (MSCs), owing to their powerful immunomodulatory abilities, have the potential to attenuate the cytokine storm and have therefore been proposed as a potential therapeutic approach for which several clinical trials are underway. Given that intravenous infusion of MSCs results in a significant trapping in the lung, MSC therapy could directly mitigate inflammation, protect alveolar epithelial cells, and reverse lung dysfunction by normalizing the pulmonary microenvironment and preventing pulmonary fibrosis. In this review, we present an overview and perspectives of the SARS-CoV-2 induced inflammatory dysfunction and the potential of MSC immunomodulation for the prevention and treatment of COVID-19 related pulmonary disease.

#### **KEYWORDS**

coronavirus, COVID-19, cytokine storm, immunomodulation, mesenchymal stromal cells, SARS-CoV-2

## 1 | COVID-19 INDUCED DISEASE AND INFLAMMATORY DYSFUNCTION

Coronavirus disease 2019 (COVID-19), a newly emerged respiratory disease caused by severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2), has recently become a pandemic leading to innumerable deaths across the globe. SARS-CoV-2 is a novel beta-coronavirus (a large RNA virus), that utilizes the viral S spike protein which

interacts with human angiotensin-converting enzyme 2 (ACE2) receptor to gain entry to cells.<sup>1,2</sup> The internalization of SARS-CoV-2 virus is assisted by transmembrane protease serine 2 (TMPRSS2) protease.<sup>2</sup> SARS-CoV-2 exhibits enhanced ACE2 receptor binding affinity and stability for fast dissemination among diverse cells including type II pneumocytes, bronchial cells, macrophages, monocytes, and enteric cells.3 Consequently, the localization pattern of ACE2 receptors strongly relates to tissues affected by SARS-CoV-2 leading to symptoms and organ dysfunction.<sup>1</sup> In particular, SARS-CoV-2 exhibits a high replication rate and infectivity in the human oral pharynx and upper airway, due to high expression of ACE2 receptor in these areas. Most patients with COVID-19 exhibit mild to moderate symptoms,

and 73% infected patients are men.<sup>4</sup> Approximately 15% to 20% progress to severe pneumonia and about 5% eventually develop acute respiratory distress syndrome (ARDS), septic shock, and/or multiple organ failure.<sup>1,4</sup> Therefore, a comprehensive understanding of how these severe symptoms develop could reveal promising strategies for therapeutic intervention.

Severe COVID-19 infection is characterized by pneumonia, lymphopenia, and a cytokine storm.<sup>5</sup> This latter phenomenon is characterized by an excessive inflammatory response, caused by a dysregulated immune system, which begins at a local site and then spills over to the systemic circulation, affecting multiple organs. Cytokine storm is not specific to SARS-CoV-2 infection; it has been also observed initially in the context of graft-vs-host disease, as well as other infectious diseases caused by cytomegalovirus, Epstein-Barr virus, streptococcus, influenza virus, variola virus, and SARS-CoV.6 Moreover, it was also observed in noninfectious diseases and the corresponding therapeutic interventions.<sup>7</sup>

SARS-CoV-2 infection induces the activation of both innate and adaptive immune responses,<sup>5,8</sup> leading to massive production of an array of inflammatory factors, resulting in uncontrolled ramping up of the immune response.<sup>9,10</sup> Most severe COVID-19 patients exhibit elevated serum levels of pro-inflammatory cytokines including interleukin (IL)-1β, IL-2, IL-6, IL-7, IL-8, IL-17, granulocyte-colony stimulating factor, granulocyte-macrophage colony-stimulating factor, interferon γ-induced protein 10 (IP10; CXCL10), monocyte chemoattractant protein 1 (MCP1, CCL2), macrophage inflammatory protein  $1-\alpha$  (MIP1α), and tumor necrosis factor- $\alpha$  (TNF- $\alpha$ ).<sup>4,5,8,9</sup> A retrospective study of 150 COVID-19 patients indicated that elevated ferritin and IL-6 due to hyperinflammation might be involved in mortality risk.<sup>11</sup> Substantially, high levels of cytokines may lead to cytokine release syndrome (CRS), causing shock and tissue damage in vital organs.<sup>5,10</sup> In particular, cytokine storm in COVID-19 patients has been shown to aggravate acute cardiac injury comprising of myocarditis, acute heart failure, and cardiac arrest. $12$ 

These markers of inflammation detected in the serum, are most likely the end result of a chain of events starting in the lung itself. This local immunological cascade is generally crucial to immunopathology of other severe infections affecting deep tissues of the respiratory tract, such as avian influenza virus or severe primary influenza virus infections.<sup>13,14</sup> Specifically, the cytokine storm in the lung is a hallmark of SARS-CoV-2 pathogenesis, resulting in extensive pulmonary pathology including increased permeability of lung endothelial and epithelial cells, interstitial edema, diffuse alveolar damage, dysfunction of air-exchange, and ARDS (Figure 1).<sup>5,15,16</sup> Usually, CRS is initiated by macrophages, dendritic cells (DCs), natural killer (NK) cells and T cells, owing to pathogen-associated molecular pattern activation.<sup>17</sup> Indeed, it has been reported that bronchoalveolar fluid from patients with severe COVID-19 had high concentrations of chemokines CCL2 and CCL7, which are potent monocyte recruiters, thus suggesting a role for those cells in the pathophysiology of the disease. Notably, mononuclear phagocytes represented 80% of bronchoalveolar fluid cells in severe COVID-19 cases, 60% in mild cases, and only 40% in healthy controls. In severe COVID-19 patients, there was also

#### **Significance Statement** Significance Statement Statement

This study provides the cutting edge knowledge on the emerging role of mesenchymal stromal cell in our fight against COVID-19, and will have implications on developing innovative therapies for COVID-19 infected patients

depletion of tissue macrophages, an increase in inflammatory monocyte derived macrophages<sup>18,19</sup> and a general increment in the number of neutrophils and leukocytes. Moreover, the prominent lymphopenia, which develops in most COVID-19 patients, indicates an impairment of immune system and further supports the evidence of innate immunity as initiator of the cytokine storm.<sup>20</sup> Nonetheless, adaptive immune cells, namely T lymphocytes, may drive inflammation at later disease stages, $^{21}$  thus secondary hemophagocytic lymphohistiocytosis may be another pathophysiological feature of notable attention.<sup>9,21</sup> However, while the general concept of an excessive or uncontrolled release of pro-inflammatory cytokines is well known, there remains lack of good understanding of the molecular events that unleash the cytokine storm as well as its respective prevention and therapeutic strategies.<sup>6</sup>

It has been proposed that SARS-CoV-2 binds to the Toll-like receptors (TLRs) leading to the synthesis and release of proinflammatory IL-1, IL-6, and TNF- $\alpha$  as main mediators of the inflammation pathway. $22,23$  Indeed, after the binding to the TLR, myeloid differentiation primary response 88 (MyD88) or TIR domaincontaining adapter-inducing interferon-β (TRIF) activates tumor necrosis factor receptor associated factor 6 (TRAF6), which in turn stimulates caspase 1, inducing the cleavage of pro-IL-1 and the activation of the inflammasomes as well as tumor growth factor-β-activated kinase and I kappa B kinase (IKK). This cascade ultimately initiates the activation of nuclear factor-kappa B in the nucleus. Subsequently, pro-inflammatory cytokines, such as TNF-α, IL-6, and IL-1β, initiate the inflammatory processes in the lung, giving rise to the classic COVID-19 symptoms.<sup>22,23</sup> Moreover, in a proposed model based on SARS-CoV-1, viral protein encoded by ORF8b directly interacts with inflammasome NLRP3 (nucleotide-binding domain leucine-rich repeat and pyrin domain containing receptor 3), which activates the adaptor protein ASC and caspases 4, 5, and 11. This leads to a disruption of the cell membrane and the release of the cell content to the extracellular space, causing local inflammation. $24$  Furthermore, the binding of SARS-CoV-2 to the ACE2 on the cell surface impairs its enzymatic activity, thus resulting in increased levels of Angiotensin II (AngII) in the extracellular space which, in turns, leads to higher levels of TNF- $\alpha$ and IL-6 in the cell that upregulate NF-κβ, ultimately activating the inflammasome.23 Finally, recruitment of macrophages to the site of injury and phagocytosis of dead cells results in the release of ATP, which binds to the P2X purinoceptor 7 (P2RX7) giving another important contribution to the activation of the inflammasome.<sup>25</sup> Also, the increased calcium levels caused by the viral proteins results in

lysosomal damage, thereby releasing cathepsins that, again, activate the inflammasome.<sup>22</sup>

Several strategies to suppress the degree of immune storm have been attempted to treat critically ill patients with COVID-19. In this respect, as serum IL-6 plays an important role in CRS which correlates with respiratory failure, ARDS, elevated serum C-reactive protein (CRP), and poor clinical outcomes, $26-28$  tocilizumab, a IL-6 receptor blocker, has been tested and shown to improve respiratory function in 21 patients with severe COVID-19.<sup>5</sup> These encouraging results suggest that neutralizing monoclonal antibodies against IL-6 or other proinflammatory cytokines such as IL-1 and their receptors may provide a novel strategy for counteracting the cytokine storm in COVID-19 patients. A great deal of effort has been devoted to targeting the host response with a variety of anti-inflammatory drugs and adjunct approaches, including corticosteroids, nonsteroidal anti-inflammatory drugs,29 neutralizing monoclonal antibodies such as Lenzilumab and Tocilizumab,30,31 statins,32 chloroquine and hydroxychloroquine, complement inhibitors,  $33$  and others.  $34$  However, these therapeutic approaches possess safety concerns due to their low specificity to lung immunopathology.<sup>35</sup>

Despite multiple therapeutic strategies have been attempted, the mortality of severely ill COVID-19 patients remains high and warrants an urgent need of identifying a better therapeutic strategy against the cytokine storm. The use of living cells as effectors offers intrinsic advantages from a pharmacokinetic and pharmacodynamic point of view, compared to the administration of conventional drugs. Cell therapies render the effect localized at the site where the cell resides, reducing the possibility of side effects at a distant site. Moreover, cells have the possibility to persist in the tissue, allowing a longer-lasting effect. Furthermore, their mechanism of action is often multifaceted and involves different pathways simultaneously.<sup>36,37</sup> Related to this, cell-based therapies can offer additional mechanisms to dampen lung inflammation by regulating the activation of specific cell types. For example, CD200R expression on alveolar macrophages helps in resolving lung inflammation during influenza virus infection by restraining macrophage activity.<sup>38</sup> Moreover, the production of antiinflammatory cytokines, mainly IL-10 by macrophages and certain types of T (Th2 and regulatory T cells) and B cells, represents another mechanism involved in regulating pro-inflammatory responses.<sup>7</sup> In this respect, mesenchymal stromal cells (MSCs) demonstrate a remarkable immune-modulatory capacity in different settings and represent an attractive option for the treatment of COVID-19 related cytokine storm.

## 2 | MSC MEDIATED IMMUNOMODULATION

In the last decade, cell-based therapies have emerged as promising therapeutic options for many incurable diseases. Among different cell platforms, MSCs raised a particular interest due to easy sourcing and attractive features of plasticity, tropism for inflamed tissues, and a high immunomodulatory potential.<sup>39</sup> MSCs are characterized

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phenotypically by the expression of certain cell surface markers, including CD73, CD90, and CD105, and lack of expression of CD11b, CD14, CD34, CD45, CD79, or HLA-DR, and functionally by the ability to differentiate into mesenchymal lineages.<sup>40</sup> Preclinical studies and clinical trials have provided compelling evidence supporting their immunomodulatory functions in the context of various conditions, including graft-vs-host disease, autoimmune diseases, inflammatory illnesses, myocardial infarction, lung injuries, liver cirrhosis, diabetes, and cancer.<sup>41-43</sup> MSCs modulate both innate and adaptive immunity, influencing a wide range of effectors, including T cells, B cells, macrophages, neutrophils, NK cells, and DCs (Figure 2). The mechanisms underlying anti-inflammatory and immunomodulatory effects which ultimately lead to improvement of the aforementioned conditions are exerted either via cell-to-cell contact or paracrine activity, affecting recruitment, differentiation, proliferation, activation, and survival of effector cells or promoting their polarization into anti-inflammatory subtypes.<sup>43,44</sup> Experimental evidence suggests that cell contact is involved in the control of immune cells survival through Fas/FasL signaling, their blockade through the stimulation of programmed cell death protein 1 (PD-1)/ programmed-death ligand 1 (PD-L1) axis, the suppression of DC generation by activation of the Notch pathway, and abrogation of NK cells functions by the activation of the inhibitory TLR4 receptor.<sup>43,44</sup> On the other side, MSCs exert paracrine action by releasing biologically active molecules, and shedding extracellular vesicles, or exosomes, that contain a broad spectrum of cytokines, chemokines, and growth factors.<sup>43</sup> In a preclinical setup, MSCderived exosomes have demonstrated aptitude as an acellular alternative to cell-based therapy, against  $ARDS<sup>45</sup>$  Among these, the main immunomodulatory players are prostaglandin E2 (PGE-2), indoleamine 2,3-dioxygenase (IDO), TNF, nitric oxide (NO), IL-1Rα, HLA-G, and IL-10.43,46,47 Finally, MSCs can be manipulated ex vivo to integrate and empower their features. In particular, it has been demonstrated experimentally that MSCs preconditioned by hypoxia, oxidative stress, heat shock, nutrient starvation, or genetic manipulation with immunomodulatory molecules have the ability to increase their survival and potency, and thereby enhancing the therapeutic efficacy.<sup>48,49</sup> Although MSCs possess a marked immune-regulatory effect against inflammatory and autoimmune disorders, it is widely acknowledged that they are not immunosuppressive in nature. Instead, MSCs may have different immunoregulatory effects depending on the immune milieu and disease setting. For example, while MSCs are able to suppress compromising antiviral responses needed for disease control,<sup>50,51</sup> they can also exert differential effects on alloantigen and virus specific T cells that retain the ability to proliferate and kill the virus-infected cells.52 Moreover, an increased risk to (opportunistic) infections has never been reported, as described in a recent metaanalysis on almost 2700 patients treated by MSC for different indications.<sup>53</sup>

A number of studies have demonstrated the preliminary safety and efficacy of both MSCs and exosomes in alleviating comorbidities associated with COVID-19.54-56 MSC-shed exosomes, owing to their ability to endogenously repair and decrease the inflammatory reactions involved in the morbidity and mortality of COVID-19, present a

promising potential to be used following clinical evaluation. Thus, MSCs represent a valuable and versatile cell platform which is able to modulate the immune response at different levels. However, the interplay between MSC and various elements of the immune system is not fully understood and may result in controversial outcomes depending on MSC sources, target cells, and the microenvironment.<sup>43,44</sup> A further advantage of applying MSCs is the possibility to use an allogeneic source, thus circumventing the time lag needed to produce a clinical grade product. Most importantly, the low levels of expression of HLA class I molecules and lack of HLA class II and costimulatory molecules<sup>57</sup> enable their administration across HLA barriers without preventive immune-ablative treatment.<sup>58</sup> However, when sought, measurable humoral alloimmunization in human subjects receiving mismatched MSCs has been detected,<sup>59</sup> although it does not seem to affect their therapeutic efficacy. In this regard, it should be pointed out that MSCs are now considered immune-evasive and not immune-privileged as previously thought. $60$  Notwithstanding, after more than a decade of clinical application of MSCs, an overwhelming safety profile has been repeatedly documented,<sup>53</sup> including the absence of malignant transformation since they do not engraft host tissues. Indeed, their mechanism of action does not depend on their differentiation into specific end-organ cells, but rather on the creation of an appropriate microenvironment, called "quasi niche"<sup>61</sup> where both resident and immune cells are aided in re-establishing tissue homeostasis.<sup>62</sup> Finally, it was recently found that human MSCs are SARS-CoV-2 infection resistant, likely due to the low ACE2 and TMPRSS2 expression on their surface, and that they keep their IDO-1 production capacity in the presence of SARS-CoV-2.<sup>63</sup> This represents a unique strength when evaluating new treatment strategies in the specific clinical setting of COVID-19. All these properties, together with the absence of ethical controversies, make MSCs very attractive for cell-based therapy.

## 3 | MSCs CAN ATTENUATE COVID-19 RELATED CYTOKINE STORM AND COAGULOPATHY

One of the most harmful consequences of SARS-CoV-2 infection is the excessive and aberrant host immune response, accompanied by a cytokine storm and the subsequent ARDS, resulting in multiple organ failure and death. By virtue of their powerful immunomodulatory ability, MSCs offer a promising innovative strategy for attenuating the cytokine storm and ultimately improving patients' outcome (Figure 3).<sup>10</sup> After intravenous infusion, MSCs get trapped in the inflamed lung and exert immunomodulatory function via direct interaction with respiratory epithelial cells and immune cells, or release of a wide variety of soluble mediators, ultimately reducing the inflammation and protecting the alveolar epithelial cells.<sup>64-66</sup> As discussed, it is likely that the local immunological events in the deep tissues of the lungs are crucial for the initiation of the cytokine storm and thus a localized treatment might be, in theory more effective to stop the cascade. $18,19$  In this regard, it should be emphasized that MSC administration may be performed by using different routes: intravenously with access from a peripheral or central vein, intra-arterial depending on the target organ, but also directly in the damaged tissue as in fistulizing Crohn's disease where local injections have proved successful in inducing closure of fistula tracks refractory to standard treatment.<sup>67</sup> Additionally, delivery routes such as intrathecal, intraarticular, and intradermal have been shown feasible in patients suffering from amyotrophic lateral sclerosis, osteoarthritis, and scleroderma, respectively.68-70 It is conceivable therefore, that also in the case of COVID-19 patients, intratracheal administration might work better. Nonetheless, all the clinical trials so far use intravenous route of MSC administration (Table 1) and feasibility and effectiveness of intratracheal/bronchial administration of MSCs is unknown. To date, preclinical studies have provided robust evidence for the effect of MSCs on treating lung injury and ARDS, even though in vivo models still fail to elucidate their precise mechanism of action. A common observation is that MSC exposure results in a decline of pro-inflammatory cytokines including IL-1α, IL-1β, IL-6, IFN-γ, and TNF-α and an increase in anti-inflammatory cytokines such as IL-4, IL-5, and IL-10. The restored, noninflamed microenvironment aids in the repair of pulmonary epithelial cell damage and promotes alveolar fluid clearance, thus restoring lung function with enhanced alveolar air-space volume, reduced alveolar thickening, and decreased markers of inflammation.5,40 Noticeably, ex vivo manipulation of MSCs can result in improved function. In particular, preconditioning MSC with ARDS serum has been shown to significantly increase the expression of IL-10, IL-1Rα, and reduce the expression of pro-inflammatory mediators.71 In addition, adipose tissue-derived MSCs transduced to express soluble IL-1 receptor-like-1 or bone marrow-derived MSCs expressing ACE-2 significantly reduced lung injury index and levels of pro-inflammatory cytokines in a murine model of non-SARS-CoV-2 acute lung injury.<sup>72,73</sup> Interestingly, apart from the infusion of viable cells, MSC secretome is emerging as a promising cell-free therapeutic strategy for the treatment of acute and chronic lung diseases.<sup>45,74-77</sup> A growing number of reports account for the use of MSC derivatives, such as conditioned medium or extracellular vesicles, demonstrating some initial positive effects which, if confirmed in more extensive studies, might further extend the versatility of MSCs to treat inflammatory lung conditions (Table 2).<sup>45</sup>

The encouraging preclinical data indicating the suitability of MSCs in controlling inflammatory responses in the lungs as well as in other organs have led to their application in early stage clinical trials. These trials have confirmed the ability of MSC to improve diseaseassociated parameters in ARDS subjects<sup>78</sup> with anti-inflammatory and antiapoptotic effects.<sup>78</sup> Furthermore, MSC administration protects and reduces morphological and multiple organ dysfunction.<sup>78,79</sup> A phase IIa study including 60 patients with moderate to severe ARDS showed intravenous infusion of MSC was safe but did not improve the rate of 28-day mortality (30% vs 15%), suggesting that studies with larger patient cohorts are necessary.<sup>80</sup> A recently published paper reported a clinical study for transplantation of allogeneic menstrual-blood-derived MSCs for treating influenza H7N9-induced ARDS in 17 patients.<sup>28</sup> Results showed that MSC transplantation

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significantly lowered the mortality compared to control group (17.6% vs 54.5%) without harmful effects.<sup>5,28</sup> Given that H7N9 and COVID-19 share similar complications (including ARDS, lung failure, and multiorgan dysfunction), MSCs offer an innovative therapy for treating COVID-19. $4,28$  While no firm conclusions may be drawn on the efficacy and safety of MSC administration in ARDS, the encouraging results of these trials have paved the way for using MSC-based immunomodulation treatment as a suitable therapeutic approach for COVID-19.

The endothelial damage and the activation of blood coagulation are emerging as common phenomena in critical COVID-19 patients. The virus appears to bind to the endothelial cells causing damage especially in the microcirculation leading to platelet aggregation associated with organ failure.  $81,82$  In the context of MSC-based therapy, this aspect needs careful consideration. Indeed, MSCs isolated from different sources largely differ in their hemocompatibility depending on the expression of tissue factor TF/CD142, a key trigger of the extrinsic coagulation pathway, and receptors for complement activation products (eg, C3a, C5a). $83-85$  More in depth, compared to BM-MSCs, AT- and PT-derived products demonstrate higher TF expression and reduced hemocompatibility, with substantial donor variation and culture passage-dependent TF induction.<sup>83,86</sup> Specifically, a thromboembolic risk becomes evident when using MSCs into systemically activated/pro-inflammatory patients who do not receive anticoagulation treatment at the time of cell infusion.87 Moreover, freezethawed MSCs trigger a strong instant blood-mediated inflammatory reaction when washed with buffer containing human blood type AB plasma instead of human serum albumin.<sup>88</sup> It is strongly recommended to use regular low-dose anticoagulants and, possibly, to perform standardized hemocompatibility testing in those MSC products that are intended for intravascular delivery. Nevertheless, the largest meta-analysis on MSC in clinical settings did not report increased thromboembolic risk.<sup>53</sup> On the contrary, data demonstrated that MSCs have the ability to reduce platelet adhesion and aggregation in a rat model of a vascular graft, which depended on cell-surface heparan sulfate proteoglycans.<sup>89</sup> In addition, MSC can inhibit platelet activation and aggregation through CD73 ectonucleotidase activity,<sup>90</sup> one of key MSC membrane markers. Hence, MSCs might be able to play a crucial role in dampening both inflammation and hypercoagulopathy status during SARS-CoV-2 related severe pneumonia.

## 4 | MSC MEDIATED EPITHELIAL AND VASCULAR PROTECTION

Recent studies carried out in both in vitro and in vivo models of influenza respiratory infections have shown the protective effects of MSCs on the alveolar-capillary barrier, the functional entity responsible for gas exchange that undergoes disruption during pathogeninduced ARDS. $91$  MSCs were able to improve the dysregulated alveolar fluid clearance and protein permeability induced by H5N1 and H7N9 influenza viruses, by releasing soluble mediators that upregulated sodium and chloride transporters.<sup>92</sup> A single intravenous infusion of BM-derived MSCs in H9N2-induced lung injury mice led to reduction of mortality, lung edema, histologic injury, and chemokines and cytokines levels in BALF and serum.<sup>93</sup> Additionally, UC-MSCs were more effective than human BM-derived MSCs at restoring impaired alveolar fluid clearance and permeability in vitro airway epithelial cell models.<sup>94</sup> These effects seemed largely mediated by secretion of an array of bioactive molecules, such as angiopoietin-1 (Ang-1), keratinocyte growth factor, and hepatocyte growth factor, that act as antiapoptotic, pro-regenerative, and proangiogenic factors.<sup>95</sup>

## 5 | CLINICAL EVIDENCE OF MSCs THERAPY FOR COVID-19 INDUCED INFLAMMATORY DYSFUNCTION

Currently, the [www.ClinicalTrials.gov](http://www.clinicaltrials.gov) database, as accessed on September 30, 2020, reports 2251 active COVID-19 clinical studies in different phases of clinical testing and recruitment. Of these, 44 studies involve the use of MSCs for the management of COVID-19 (Table 1). A multicenter phase-III study is currently being conducted in the United States using a MSC industrial preparation, Remestemcel-L plus standard of care to treat ARDS associated with SARS-CoV2 infection (NCT04371393). This study is based on preclinical and clinical evidence indicating that MSCs migrate to the lung and respond to the pro-inflammatory lung environment by releasing antiinflammatory factors reducing the production of pro-inflammatory cytokines while modulating regulatory T cells and macrophages to promote resolution of inflammation. Mesoblast reported an 83% survival in ventilator-dependent COVID-19 patients with moderate/ severe ARDS, and 75% have successfully come off ventilator following Remestemcel-L administration (Table 3).<sup>96</sup>

In the United Kingdom, the REALIST trial is in phase II and is testing a single infusion of Orbcel-C, a human umbilical cord stem cell product enriched with CD362 expressing cells for the management of ARDS.<sup>54</sup> In the completed part of the phase I REALIST trial, an infusion of 400 million cells was achieved without any dose limiting toxicity at day 7 and has been chosen as the dose for phase II clinical testing. The MACoVIA study is a multicenter phase II/III clinical study aimed at evaluating the safety and efficacy of Multistem MSC product for the management of moderate to severe ARDS in COVID-19 patients. MultiStem is a cell therapeutic isolated from adult bone marrow approved for clinical use in patients with ischemic stroke.<sup>97</sup>

MSC from further sources are also being tested for clinical COVID-19 management. The earliest of the trials embarked on by Stemcells Arabia in Amman, Jordan, utilized intravenous infusion of Wharton's Jelly (WJ) derived MSCs. This phase I study, slated to complete in September 2020, enrolled five patients with COVID-19 illness and a dose of  $1 \times 10^6$  body weight WJ-MSC/kg was administered for three times 3 days apart. Renmin Hospital of Wuhan University in China is currently evaluating the safety and efficacy of dental pulp derived MSCs as a part of a phase I/II randomized controlled clinical

study.<sup>98</sup> Time to clinical improvement is the main outcomes indicator and is considered as the time taken to reduce disease severity by two stages following treatment.<sup>98</sup> The Wellness trial in Mexico utilizes autologous adipose tissue-derived MSCs for COVID-19 management. A three-dose regimen of Celltex-AdMSC will be administered, about 200 million cells per dose; the safety, tolerability and efficacy will be evaluated as a part of this multicenter study.

A recently conducted clinical study by Leng et al at the Beijing YouAn Hospital, China, demonstrated the use of bone marrowderived MSCs for the management of pneumonia associated with COVID-19. Ten patients with worsening respiratory condition were recruited with seven patients assigned to the treatment arm and three to the placebo arm.<sup>10,99,100</sup> A dose of  $1 \times 10^6$  MSC/kg body weigh was used, and results report a reduction of symptoms within 2 to 4 days postinfusion and a majority of patients showed negative for the SARS-CoV-2 nucleic acid test 2 days after MSC administration with no apparent treatment-related adverse effects.<sup>99</sup> This small study provided evidence that the intervention was feasible, safe, and has the potential to improve functional outcomes. It is conceivable that the main mechanisms of MSC action underlying clinical improvement was linked to the immunosuppressive capacities of MSCs to attenuate over-activated cytokine-secreting immune cells.99,100 Indeed, this process was accompanied by an increased number of peripheral lymphocytes as well as of both regulatory DCs and T cells, decrease of CRP, and regression of over-activated cytokine-secreting immune cells.<sup>99</sup> MSCs decreased the levels of TNF- $\alpha$  and increased the concentration of anti-inflammatory protein IL-10. $99,100$  RNA-sequencing revealed that the infused MSCs in patients resulted in downregulating expression of ACE2 and TMPRSS2.<sup>99</sup> This pilot study demonstrated that MSC therapy inhibited the overactivation of the immune system and promoted endogenous repair by improving the lung microenvironment after the SARS-CoV-2 infection.<sup>99,100</sup> Hope Biosciences has recently obtained clearance from the FDA to initiate a phase 2 study to evaluate the efficacy and safety of allogeneic adipose tissuederived MSCs (HB-adMSCs) as treatment for patients with COVID-19. The study purpose is to evaluate the safety and efficacy of four intravenous infusions of either placebo or HB-adMSCs in subjects with COVID-19. HB-adMSC have been previously approved for clinical use in patients with traumatic brain injury.<sup>101</sup>

Another case report described the recovery of a COVID-19 patient with severe inflammation symptoms after treated with allogenic human umbilical cord MSCs (hUCMSCs).<sup>102</sup> A dose of  $5 \times 10^7$ hUCMSCs was administered to the patient three times with a 3-day interval. Four days after the end of the treatment, the patient was released from ICU with the remission of the inflammation symptoms and without developing side effects.<sup>102</sup> A growing number of MSCbased clinical trials will continue to be carried out to validate the therapeutic utility of MSCs in COVID-19 management. The basic rationale for all MSC-related therapies seems to be dependent on the potential to alleviate the cytokine storm caused by SARS-CoV2 infection and early evidence from the data published by Leng et al<sup>99</sup> and Liang et  $al^{102}$  supported the remission of inflammation symptoms in patients with COVID-19.

## 6 | PERSPECTIVES AND FUTURE DIRECTIONS

COVID-19 has emerged as a global health care crisis and several studies have demonstrated that hyperinflammation associated with high levels of circulating cytokines induced by SARS-CoV-2 is a major contributing factor of disease severity and death in patients. $9-11$  By virtue of their immunomodulatory and anti-inflammatory features, MSCs appear to be a promising therapeutic candidate for COVID-19 management. While waiting for outcomes from impending clinical studies, MSCs could be used as a compassionate strategy for managing critically ill COVID-19 patients with the intent to reduce morbidity and mortality.<sup>10,64,103</sup> Despite encouraging early clinical data, there remain scientific concerns regarding the use of MSCs as a therapeutic intervention for COVID-19, as detailed here below.

The main sources of MSCs in active clinical trials range from umbilical cord (Wharton's jelly, UC), umbilical cord blood, adipose tissue, bone marrow, menstrual blood, and dental pulp.15 Although initial clinical results spark enthusiasm for adipose tissue-derived MSCs as a therapeutic option in COVID-19 linked respiratory conditions.<sup>104</sup> the best MSC source is yet to be determined. One key aspect in deciding the most suited MSC option could be their vulnerability to SARS-CoV-2 once infused into a patient with an ongoing infection, as well as how this would affect the potential beneficial effects. Two studies have demonstrated that overexpression of ACE2 in murine BM-MSCs offered additional anti-inflammatory and endothelial-protective effects against endotoxin-induced lung injury in mice. $73,105$  Importantly, both studies showed detectable basal levels of cellular and secreted ACE2 by unmodified BM-MSCs as measured by polymerase chain reaction, Western blot, and enzyme-linked immunosorbent assay. Similarly, lentiviral-transduced ACE2-overexpressing human UC-MSCs were more effective than constitutively ACE2-expressing UC-MSCs in a rat acute lung ischemia reperfusion injury model.<sup>106</sup> Hence, a real dilemma of choosing the best MSCs is to determine a fine line between an optimal level of ACE2 for lung protection and the minimum vulnerability to SARS-CoV-2, which will require welldesigned preclinical studies.

Developing MSCs as a potential treatment option for COVID-19 patients is still challenging due to the lack of suitable preclinical models.<sup>107</sup> To fill this gap, a number of studies are currently being developed. Moreover, it is imperative to better understand the mechanisms underlying MSCs modulation of the process of COVID-19 infection<sup>108</sup> before translating it into the clinic. Preclinical studies could also resolve the queries related to the anti-inflammatory function of MSCs, either acting directly against viral infection or by stimulating antiviral T-cell actions.<sup>109</sup> Preclinical data generated in relevant mouse models of COVID-19 infection may help to better stratify the patients to appropriate MSC dosing and administration schedule.<sup>110</sup> Considering that animal models may be difficult to fully reproduce the complicated pathophysiological processes and internal environment changes in severe COVID-19 patients, the data from early clinical studies are crucial. However, a longer time is needed to accurately monitor MSC-treated patients with respect to safety and efficacy in

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ongoing clinical studies, as well as accounting the emerging coagulation issues in these patients. For instance, a recent clinical report described an emerging chronic tissue injury related to COVID- $19^{111}$ which could motivate the use MSCs as treatment due to its antifibrotic properties.<sup>36</sup>

MSC can also act through secreted factors<sup>43</sup> which might offer a new therapeutic approach in treating COVID-19 patients due to their broad pharmacological effects.<sup>74</sup> MSC secretome offers the ease of manipulation at relatively lower costs.<sup>74</sup> MSCs can also be genetically modified to release specific bioactive molecules able to counteract a pathological situation. For instance, as the viral receptor ACE2 and TMPRSS2 contribute to COVID-19 progression, engineering MSCs with immunomodulatory molecules to target these receptors in the process of SARS-CoV-2 internalization may provide an alternative therapeutic option. In this regard, given that the IFN/TNF-related apoptosis-inducing ligand (TRAIL) axis is subjected to a complex modulation during the process of acute respiratory viral infection including coronaviruses,<sup>112</sup> MSCs could be genetically modified to therapeutically target IFN/TRAIL signaling. Previous studies have shown that viral infection can upregulate TRAIL receptors and enhance sensitivity to TRAIL-induced apoptosis, $113,114$  whereas the increase of TRAIL may induce lymphocyte apoptosis in SARS-CoV infection.<sup>114</sup> Furthermore, an alternative mechanism of action of TRAIL is the induction of autophagy, which contributes to viral clearance by transferring viral materials to cellular endosomes and subsequently activating adaptive immune system.<sup>115</sup> Therefore, engineering MSCs to express TRAIL may present promise for future clinical applications in COVID-19 management, $116$  as it has previously been reported in cancer therapy.117,118 Alternative options have been conceived using MSCs delivering leukemia inhibitory factor to antagonize COVID-19 cytokine storm.<sup>16</sup>

Taken together, we discussed the nature of the inflammatory responses that have been detected in patients with COVID-19 and outlined the scientific rationale as well as several preliminary findings to corroborate that MSCs emerge as a promising therapeutic candidate to manage this condition. To ensure that MSC intervention reaches its full potential, research and development will need to focus on understanding the complexity of COVID-19 pathophysiology and precise therapeutic mechanisms of action to optimize the therapeutic procedures and stratify patient cohorts. Multidisciplinary and vigorous efforts by interventional physicians, basic scientists, and other health care professionals could realize the promise of MSCs as a valuable asset for COVID-19 treatment.

Although further investigations are needed on the use of wildtype and gene-modified MSCs for the treatment of COVID-19, the preliminary findings highlight therapeutic benefit. The use of MSCs for ARDS associated with COVID-19 is highly experimental. Patients suffering from SARS-CoV-2 severe pneumonia reported amelioration of respiratory function as a consequence of MSC infusion due to yet unclear modulation of cytokine storm and protection of epithelial and endothelial cells. Studies suggest that the source of the MSCs play a crucial role in attenuating the COVID-19 infection induced cytokine storm. A better understanding of the implicated bio-active molecules

would not only improve the rational of MSC for COVID-19 but additionally help in understating the pathophysiology of this still obscure and dismal condition, ultimately decreasing a surprisingly high mortality rate by cell-based therapeutic.

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#### DISCLOSURE OF POTENTIAL CONFLICTS OF INTEREST

K.S. owns equity in and is a member of the Board of Directors of AMASA Therapeutics, a company developing stem cell-based therapies for cancer. K.S.'s interests were reviewed and are managed by Brigham and Women's Hospital and Partners HealthCare in accordance with their conflict-of-interest policies. D.B. is a consultant at AMASA Therapeutics. R.C. is member of the Advisor Board Takeda Italia on the use of Darvadstrocel in fistulizing Crohn's disease. M.D. declared advisory role for Rigenerand SRL that is developing cell and gene therapy for cancer. The other authors declare that they have no conflicts of interest.

#### AUTHOR CONTRIBUTION

K.S.: conceived and designed the review, data collection, manuscript writing; N.S., H.W., F.R., D.B., R.C., K.-S.C., J.K.K., I.M., A.V.S., R.C., M.D.: data collection, manuscript writing.

#### DATA AVAILABILITY STATEMENT

Data sharing is not applicable to this article as no new data were created or analyzed in this study.

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#### TABLE 1 Active MSC clinical trials for COVID-19 as listed at [www.ClinicalTrials.gov](http://www.clinicaltrials.gov) (accessed on September 30, 2020)





### TABLE 1 (Continued)



## TABLE 1 (Continued)





## 14 STEM CELLS<sup>\*</sup> STEM CELLS<sup>\*</sup>

#### TABLE 1 (Continued)



Abbreviations: ARDS, Acute respiratory distress syndrome; MSC, mesenchymal stromal cells; SARS-CoV-2, severe acute respiratory syndrome coronavirus 2.

#### TABLE 2 Studies testing the effects of MSC derivatives on inflammation





Abbreviations: EVs, extracellular vesicles; MSC, mesenchymal stromal cells.

### TABLE 3 Cytokines and cytokine receptors elevated in the plasma/serum of COVID-19 patients



a Severe.

<sup>b</sup>Mild/moderate.



FIGURE 1 Schematic showing pathophysiological features of COVID-19 infected lung. SARS-CoV-2 enters target cells and induces extensive pulmonary pathology including increased permeability of lung endothelial and epithelial cells, pulmonary edema, diffuse alveolar damage, and dysfunction of air-exchange. Figure drawn with BioRender [\(https://biorender.com/\)](https://biorender.com/). SARS-CoV-2, severe acute respiratory syndrome coronavirus 2



FIGURE 2 Immunomodulatory interactions between mesenchymal stem cells (MSCs) and immune cells. MSCs exert immunomodulatory functions mainly via interactions with immune cells (including T cells, B cells, NK cells, macrophages, monocytes, dendritic cells, and neutrophils) through cell-to-cell contacts and paracrine activity (mainly by secretome). Figure drawn with BioRender (<https://biorender.com/>). ICAM-1, intercellular adhesion molecule-1; IDO, indoleamine-pyrrole 2,3-dioxygenase; IFN, interferon; IL, interleukin; NK, natural killer; PD-L1, programmed death ligand 1; PD-L2, programmed death ligand 2; PGE2, prostaglandin E2; TGF-β, transforming growth factor-β; TNF-α, tumor necrosis factor-α; VEGF, vascular endothelial growth factor



FIGURE 3 Potential mechanism of MSC action in COVID-19 infected patients. SARS-CoV-2 enters cells through receptor-mediated endocytosis via interactions with cell surface protein angiotensin-converting enzyme II (ACE2) receptor with the assistance of TMPRSS2 protease, thus triggering a complex immune response involved in T cells, dendritic cells, NK cells, and macrophages. These cells release high amounts of cytokines and chemokines responsible for the cytokine storm, leading to symptoms and major organ dysfunction. Engineering MSCs with immunomodulatory molecules enhance the efficacy of homing to damaged tissues or cells and attenuate the cytokine storm, ultimately improving patients' outcome. Figure drawn with BioRender [\(https://biorender.com/\)](https://biorender.com/). G-CSF, granulocyte-colony stimulating factor; GM-CSF, granulocyte- macrophage colony-stimulating factor; IL, interleukin; IP10, interferon gamma-induced protein 10; MCP1, monocyte chemoattractant protein 1; MIP1α, macrophage inflammatory protein 1-alpha.; MSCs, mesenchymal stem cells; NK, natural killer; SARS-CoV-2, severe acute respiratory syndrome coronavirus 2; TNF, tumor necrosis factor



## Graphical Abstract

The contents of this page will be used as part of the graphical abstract of html only. It will not be published as part of main.



Potential mechanism of MSC action in COVID-19 infected patients. SARS-CoV-2 enters cells through receptor-mediated endocytosis via interactions with cell surface protein angiotensin-converting enzyme II (ACE2) receptor with the assistance of transmembrane protease serine 2 (TMPRSS2) protease, thus triggering a complex immune response involved in T cells, dendritic cells, natural killer cells and macrophages. Engineering MSCs with immunomodulatory molecules enhance the efficacy of homing to damaged tissues or cells and attenuate the cytokine storm, ultimately improving patients& outcome.