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2 **Tidal influence on seismic activity during the 2011-2013 El Hierro**  
3 **volcanic unrest**

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18 **Key Points:**

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20 • We found significant correlations between tides and volcanic earthquakes during specific  
21 phases of the 2011-2013 El Hierro unrest.

22 • Our results support the hypothesis that tidal stresses may modulate seismicity rates on  
23 faults subject to magmatic stressing.

24 • Tidal modulation sensitivity depends on depth.

25

26 **Abstract**

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28 The El Hierro volcanic unrest started in July 2011, with an increase in observed seismicity rates  
29 in and around the island. After the initial onset, hypocenters migrated southward through  
30 September 2011 and culminated in a submarine eruption which began on October 10, 2011 and  
31 finished in February 2012. However, the seismic activity continued, with remarkable periods of  
32 unrest through 2012 and 2013. The most significant episodes of seismic activity during this crisis  
33 are related to magma displacements at depth. In this work, tidal stress was calculated at the  
34 hypocenter depth for earthquakes from different stages of this crisis, assigning a tidal stress  
35 phase angle to each earthquake. We have found statistically significant correlations between the  
36 occurrence of earthquakes and certain ranges of tidal stress phase angles in most of the  
37 considered scenarios. The analysis of the time period immediately prior to the submarine  
38 eruption suggests that tidal compressive stress favored magma displacements towards a shallow  
39 magma reservoir. We also found that tidal modulation changes with depth and that the influence  
40 of ocean-loading tides is stronger than the influence of solid Earth tides in the correlations. A

41 model based on different scenarios of tidal stress compression or extension is introduced to  
42 explain the correlations found in the different stages of seismic unrest. Our results support the  
43 hypothesis that tidal stress may trigger earthquakes during volcanic crisis, favoring magma  
44 migration, particularly at shallow depths.

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47 **Plain Language Summary**

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49 The El Hierro volcanic crisis started in July 2011, with an increase in observed seismicity rates  
50 in and around the island which culminated in a submarine eruption that started the 10<sup>th</sup> of  
51 October and finished in February 2012. The seismic activity continued, with remarkable periods  
52 of unrest through 2012 and 2013. Tidal values and functions corresponding to the times and  
53 locations of the earthquakes were calculated. We have found significant correlations between  
54 earthquakes and tides in most of the considered scenarios. The analysis of the time period  
55 immediately prior to the submarine eruption suggests that compressive tides favored magma  
56 rising towards shallow depths. A conceptual model is introduced to explain the correlations  
57 found in the different stages of seismic unrest. Our results support the hypothesis that tides may  
58 trigger earthquakes during volcanic crisis, favoring magma migration, particularly at shallow  
59 depth.

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## 62 **1 Introduction**

63  
64 Lunar and solar tides rhythmically stress Earth at the tidal periods. These cyclic variations,  
65 ranging from 10 to 100 hPa (Tanaka, 2010), appear small compared to earthquake stress drops,  
66 which lie between 1000 and  $10^5$  hPa (Métivier et al., 2009). Nevertheless, tidal stressing is  
67 nonlinear in nature and reaches rates of up to 10 hPa/hour, often higher than tectonic stress rates  
68 between earthquakes, which reach approximately 0.2 hPa/hour (Emter, 1997). As rapid tidal  
69 stresses are superimposed on the far slower, incremental tectonic stresses, they may provide a  
70 potential triggering or modulating effect on seismicity rates. As a result, a good deal of research  
71 has been carried out that studies the relationship between tides and earthquakes. A detailed  
72 review of this subject can be found in the Supporting Information (Text S1).

73 In this work, we study the correlation between tidal stress and seismicity rates during the  
74 volcanic crisis that occurred in El Hierro Island (27.7° N; 18.0° W) between 2011 and 2013. El  
75 Hierro is both the youngest and the smallest of the Canary Islands and features the highest  
76 concentration of volcanoes in the archipelago (García et al., 2014). The most recent eruption  
77 prior to 2011 was in 1793 (Carracedo et al., 2001). The structure of the island is based on three  
78 volcanic rifts in directions NE, NW and S (Figure 1a), separated by three important gravitational  
79 landslides: El Golfo to the north, Las Playas to the east and El Julan to the south (Masson et al.,  
80 2002). Gorbatikov et al. (2013) note the existence of a solidified magma reservoir at the  
81 northwest part of the island at a depth between 15 and 25 km. The boundary between the crust  
82 and the mantle is set at 12 – 16 km (Watts, 1994; Martí et al., 2017). An intricate array of magma  
83 pockets at depths between 12 and 30 km may represent the main magma storage system at El  
84 Hierro (Stroncik et al., 2009).

85           The volcanic crisis started in July 2011, with an important increase in seismic activity and  
86 ground deformation in El Hierro Island (López et al., 2012; Ibáñez et al., 2012; González et al.,  
87 2013). In the first stage, most of the hypocenters concentrated under Tanganasoga volcano and El  
88 Golfo valley in the centre and north of the island (Figure 2a). Starting on mid-September, there  
89 was a southwards migration of the hypocenters until a submarine eruption occurred offshore of the  
90 southern part of the island on October 10-12, 2011 (Figure 2b). After the eruption onset, seismic  
91 activity was located in the north around the El Golfo area (Figure 2c). Correlation between gravity  
92 variations and tidal vertical strain was observed (Sainz-Maza et al., 2014), suggesting potential  
93 tidal triggering of earthquakes during the first days of the eruption. This eruption ended on March  
94 5, 2012 (González et al., 2013). However, the seismic activity continued, with periods of high  
95 seismicity and deformation through 2012 and 2013 (García et al., 2014) related to magma  
96 migration at depth (see Figure 2d-e).

97           Because the rates of diffuse CO<sub>2</sub> emissions may vary before events of volcanic activity  
98 (Carapezza et al., 2004), estimating the output of this gas has implications in early warning systems  
99 applied to volcanoes. In the case of El Hierro Island, diffuse CO<sub>2</sub> emission have been monitored  
100 since 1998 (Melián et al., 2014), and especially during the seismo-volcanic crisis that started in  
101 July 2011. Through the analysis of these measurements of CO<sub>2</sub> emission, Melián et al. (2014)  
102 found two remarkable periods of efflux increase that may be considered as precursory signals. The  
103 first one occurred between 29 September and 12 October, i.e., starting almost two weeks prior the  
104 beginning of the submarine eruption and ten days before an event of magnitude  $M = 4.3$ . The  
105 second significant increase in the rate of diffuse CO<sub>2</sub> emission occurred during the period 24  
106 October to 27 November, preceding both the bubbling observed at the sea between 3 and 7  
107 November and the  $M = 4.6$  event recorded on November 11. However, the time series of diffuse

108 CO<sub>2</sub> emission in El Hierro Island does not show any correlation to meteorological parameters such  
109 as rain, soil moisture or barometric pressure (see Figure 7 by Melián et al. (2014)).

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## 111 **2 Seismic and volcanic activity related to El Hierro (2011-2013)**

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113 Following the analysis of the seismicity by Ibáñez et al. (2012), we can identify different  
114 phases in the seismic activity of El Hierro during the period 2011 to 2013, which are related to  
115 different spatial settings of the earthquakes or different stages in the magma migration processes.

116 In this work, we designate all seismicity prior to the eruption occurred on October 10,  
117 2011, as *Phase 1*. This episode starts with an abnormal increase of earthquakes in July 17, 2011  
118 (Figure 2a). During this period, magma is detected as an intrusion in the base of the oceanic crust  
119 (around 9.5 km depth), at the south of the main concentrations of earthquakes (González et al.,  
120 2013).

121 Around mid-September 2011, epicenters start migrating to the south of the El Golfo area  
122 (Figure 2b). GPS stations detect north-south deformation, suggesting that magma was migrating  
123 away from the magma intrusion site (crust-mantle reservoir, CMR (González et al., 2013)).  
124 Hypocenters are (on average) deeper than before, and average earthquake magnitudes also are  
125 greater (Ibáñez et al., 2012). At the end of September, magma began an upward migration,  
126 accumulating at a shallower magma reservoir at around 4.5 km depth. This reservoir likely failed  
127 around October 8 with an event of magnitude  $M = 4.3$  that opened a path for magma towards the  
128 surface (Figure 1b). Later, between October 10 and 12, the submarine eruption began (González  
129 et al., 2013).

130 On 15 October 2011, new seismic activity was located in the north around the El Golfo  
131 area. We will consider this a separate stage (*Phase 2*; Figure 2c) in the seismic activity, as these

132 earthquakes happen far from the eruption site, in the opposite side of the island. Hypocenters in  
133 *Phase 2* are deeper than in *Phase 1*, with a depth range between 15 and 25 km (Ibáñez et al.,  
134 2012). Four of the earthquakes that occurred during *Phase 2* show magnitudes  $M > 4$ , including  
135 an  $M = 4.6$  event recorded on November 11, 2011. The seismic activity decreases progressively  
136 through December 2011, although there are some earthquakes during January and February  
137 2012, as well as some visible effects of the submarine eruption on the sea surface. The end of the  
138 eruption was officially decreed in March 5, 2012. See González et al. (2013) for a detailed  
139 description on the seismic and volcanic activity during *Phases 1* and *2*.

140         After the ending of the submarine eruption, new episodes of seismic activity occurred, the  
141 most relevant (in terms of number of earthquakes) being those recorded primarily in June-July  
142 2012 and March-April 2013. For the purposes of this research, we will refer to these two crises  
143 as *Phase 3* (2012-06-14 to 2012-08-21; Figure 2d) and *Phase 4* (2013-03-17 to 2013-04-30;  
144 Figure 2e). Some authors think that there is oceanographic and geochemical evidence that the  
145 seismic activity in *Phase 3* is coincident in time with submarine volcanic activity offshore the  
146 western coast of El Hierro (Pérez et al., 2014; García-Yeguas et al., 2014), but this volcanic  
147 activity must be interpreted in terms of magma injection processes that do not necessarily  
148 culminate in an eruptive process (Blanco et al., 2015; Pérez et al., 2015). There is no evidence of  
149 new eruptions near El Hierro island after the one that occurred between October, 2011 and  
150 March, 2012, although several authors do suggest that the magmatic processes around El Hierro  
151 island continued after the conclusion of that eruption (García et al., 2014). Hypocenters in *Phase*  
152 *3* are located under the west wing of the island, whereas events in *Phase 4* are, on average, the  
153 most distant from the island. It is during *Phase 4* that the strongest seismic activity took place, in



154 addition to the largest magnitude earthquake ( $M = 4.9$ ) and the greatest number of landslides  
155 (García et al., 2014).

### 156 **3 Study of the seismicity and Earth tides correlation**

157

#### 158 3.1 Earthquake data

159

160 Seismicity data related to the El Hierro volcanic crisis were obtained from the Spanish  
161 Geographical Survey, IGN [[www.ign.es](http://www.ign.es)]. Our main data source is a catalog featuring all  
162 earthquakes within the period 17/07/2011 – 31/12/2013, and including event number, date, time,  
163 latitude, longitude, depth, intensity, magnitude, magnitude type and location area. Very low  
164 magnitudes are excluded because the location of small earthquakes may be affected by  
165 significant errors, especially in a small island like El Hierro (García et al., 2014). Therefore, we  
166 used only earthquakes with magnitude  $M_c \geq 1.5$ , where  $M_c$  is the minimum magnitude of  
167 completeness based on a frequency-magnitude distribution analysis using the Maximum  
168 Curvature (MAXC) technique (Mignan and Woessner, 2012). In addition, in the case of the  
169 earthquakes that occurred during *Phase 1*, we used the catalog of Domínguez Cerdeña et al.  
170 (2014), where all events previous to the submarine eruption with magnitude  $M \geq 1.5$  were  
171 relocated using program hypoDD (Waldhauser and Ellsworth, 2000), which improved the  
172 precision in the location of earthquakes by as much as 10 times. This relocated catalog also is  
173 accessible from the IGN website. The best-fitting double-couple fault plane solution for a set of  
174 observed first motion polarities is provided only for one event, so fault mechanisms will not be  
175 considered in this study.

176 Application of the declustering algorithm of Reasenberg (1985) to the catalog shows that  
177 for each one of the four phases there exists one big cluster which includes 90 – 95 % of the

178 events that occurred during that phase. The list of parameters used in the declustering process  
179 (van Stiphout et al., 2012) can be found in the Supporting Information (Table S1). Figure 3  
180 shows the location of the earthquakes in each *cluster*  $C1 - C4$ . A visual comparison between  
181 Figure 2 and Figure 3 suggests that, in every *Phase*  $i$  ( $i = 2,3,4$ ), most of the events not belonging  
182 to the corresponding *cluster*  $C_i$  may be a remnant or continuation of previous phases, implying  
183 that they are not necessarily related to the magma movements or physical processes which  
184 originated each phase. As a result, only the events in the *clusters*  $C1 - C4$  have been considered  
185 here, as we are going to study the possible modulation effects of tides on seismicity in each one  
186 of the four phases independently.

187 Tidal stress and strain were calculated for the locations of all events in each cluster, in  
188 order to estimate statistical correlations for tidal modulation of the occurrence of earthquakes in  
189 the different phases of the seismic crisis.

190

### 191 3.2 Calculation of tides

192

193 In order to obtain the tidal stress at the earthquake locations, it is necessary to consider  
194 both the solid tide and the ocean tide. In addition, the calculation must include the depth of the  
195 events. Strains derived from the solid tides at depths less than 25 km are not significantly  
196 different from surface strains but strains due to the ocean loading component may change  
197 significantly between the surface and a depth of 25 km (Thomas et al., 2012).

198 Our method uses the software package SPOTL (Agnew, 2012) for the calculation of tidal  
199 strains. Body tides are calculated on an elastic Earth following Munk and Cartwright (1966). The  
200 ocean tidal model we have used is *osu.tpxo72atlas* (Agnew, 2012), which is a hydrodynamic

201 model assimilating altimetry data from TOPEX/Poseidon and Jason. It combines three basin-  
202 wide solutions (Atlantic Ocean 2011-atlas, Pacific Ocean 2011-atlas and Indian Ocean 2011-  
203 atlas), each one of which also incorporates a set of high-resolution local models. Tidal waves  
204 considered in the study are  $M_m$ ,  $M_f$ ,  $Q_1$ ,  $O_1$ ,  $P_1$ ,  $K_1$ ,  $N_2$ ,  $M_2$ ,  $S_2$ ,  $K_2$ . The original SPOTL codes  
205 where modified to use depth-dependent Green functions in the calculations, following the  
206 methodology described in Royer et al. (2015) for the modeling of tidal strains at depth. Then  
207 tidal stresses are derived from tidal strains following Hooke's law for a tridimensional isotropic  
208 body (Fischer et al., 2006), with Poisson's ratio  $\nu = 0.25$  and rigidity  $\mu = 30$  GPa. We consider  
209 positive stress as extension.

210 To study the correlations between tides and earthquakes, we assign a phase angle,  $\phi$ , to  
211 each event, which can be evaluated later in statistical terms. For this purpose, a golden section  
212 search routine (Press et al., 1992) is used to estimate the extreme tidal values closest to the time  
213 of occurrence of the event. Finally, we assign the phase angle  $\phi$  to the earthquake by linear  
214 interpolation, assuming the time distance between two tidal peaks defines a complete cycle of  
215  $360^\circ$  (see Figure 4).

216

### 217 3.3 Statistical methods

218

219 The Schuster test was used in order to determine whether there is a non-random  
220 distribution of the calculated tidal phases (Emter, 1997). In this test, each  $i$ -th earthquake is  
221 assigned a unit length vector in the direction of the phase angle  $\phi_i$ . The modulus  $R$  of the vector  
222 sum  $\mathbf{R}$  over the total number of earthquakes  $N_{tot}$  is given by

223

$$R^2 = \left( \sum_1^{N_{tot}} \cos \phi_i \right)^2 + \left( \sum_1^{N_{tot}} C \sin \phi_i \right)^2 \quad (1)$$

225

226 where  $C$  is a factor correcting local asymmetries in tides (Wilcock, 2009). It must be noted that  
 227 no significant tidal asymmetries were found in El Hierro in the time periods considered in this  
 228 study, as all  $C$  values observed for tidal strain or tidal stress are in the range  $1 \pm 0.0020$ .

229 Then, the probability  $P_s$  that the phase distribution to be non-random is estimated by

230

$$P_s = \exp\left(\frac{-R^2}{N_{tot}}\right). \quad (2)$$

232

233  $P_s$  represents the significance level with which to reject the null hypothesis that the  
 234 earthquake phase angles represent a completely random distribution. Small values of  $P_s$  ( $< 0.05$ )  
 235 indicate the existence of a high correlation between tidal stress and the occurrence of  
 236 earthquakes.

237 As we are treating highly clustered seismicity, we are not dealing with independent  
 238 earthquakes. Given the high sensitivity of the Schuster test (Emter, 1977), that means the catalog  
 239 may be biased in a way that favors the rejection of the null hypothesis. One way to assess the  
 240 significance of the observed  $P_s$  values is the use of a Monte Carlo permutation test (Noreen,  
 241 1989; Fortin and Jacquez, 2000). For each of the four *clusters*  $C1 - C4$  we order the earthquakes  
 242 according to their phase angle  $\phi$ , and we divide them into segments (phase angle ranges) of equal  
 243 length (different segment lengths are tested, see next section). Then, a number  $NSIM$  of  
 244 simulations (e.g., 10000 simulations) are performed for each cluster with the segments in random

245 order, obtaining a probability value  $P_s^*$  according to the Schuster test for each simulation.  
 246 Finally, for each cluster we count the number  $NSIG$  of random simulations in which the  $P_s^*$   
 247 value obtained is lower than the  $P_s$  value of the original distribution of events. The ratio

$$249 \quad MC_{st} = \frac{(NSIG + 1)}{(NSIM + 1)} \quad (3)$$

250  
 251 provides the significance level of our test (Noreen, 1989).

252 In addition, the American Statistical Association (ASA) expresses concern regarding the  
 253 hypothesis testing based on  $p$ -values, stating that these practices are prone to generate false  
 254 positives (Wasserstein and Lazar, 2016). Bayarri et al. (2016) propose a simple alternative to the  
 255 use of  $p$ -values in testing null hypothesis, based on the Bayes factor  $B$ , which is defined as

$$257 \quad B = \frac{\text{average likelihood of the observed data under the alternative hypothesis}}{\text{likelihood of the observed data under the null hypothesis}} \quad (4)$$

258  
 259 Although  $B$  may be difficult to compute, it can be approximated by an upper bound  $\bar{B}$  which is a  
 260 function of the  $p$ -values obtained with the usual statistical tests used in testing hypothesis.

261 According to Bayarri et al. (2016), and considering the Schuster test as the appropriate one for  
 262 our null hypothesis testing:

$$264 \quad B \leq \bar{B} = \frac{1}{-eP_s \log P_s} \quad (5)$$

265

266 Here we use the  $p$ -values  $P_s$  calculated by means of the Schuster test to derive this upper  
267 bound  $\bar{B}$  of the Bayes factor  $B$ . According to Bayarri et al. (2016), the standard significant  
268 threshold for rejecting the null hypothesis when using this Bayesian alternative is  $\bar{B} > 16$ .

269 The use of this Bayesian method is a new application to the assessment of correlations  
270 between tides and earthquakes, and it has implications for earlier studies of tidal triggering of  
271 seismicity where the statistical significance was primarily based on  $p$ -values.

272

## 273 **4 Results**

274

275 Results for the application of the Schuster test to the earthquake clusters defined in section  
276 3.1 are shown in Table 1. The upper bound  $\bar{B}$  of the Bayes factor  $B$  is also shown in Table 1 in  
277 order to compare results. According to the Schuster test, very high correlation ( $P_s < 0.05$ )  
278 appears in three of the four *clusters* ( $C1$ ,  $C3$  and  $C4$ ) between tidal confining stress (i.e., the trace  
279 of the tidal stress tensor) and the occurrence time of earthquakes. However, when we consider  
280 the value of the upper bound of the Bayes factor, only the correlations for *clusters*  $C1$  and  $C4$  are  
281 statistically significant.

282 Table 2 shows the results of a Monte Carlo permutation test (10000 simulations) applied to  
283 the earthquake *clusters*  $C1$ - $C4$ , following the methodology explained in section 3.3. For each  
284 *cluster*, the corresponding catalog has been divided into 72 segments of  $5^\circ$  width. Different  
285 segment sizes may produce different results, because the greater the segment length is, more  
286 patterns from the original distributions are repeated in the simulations and the number of possible  
287 permutations diminishes (Bhatnagar et al., 2016). As a result, a catalog divided into 144  
288 segments of  $2.5^\circ$  width was also tested (see Table 2). According to this permutation test, the

289 correlation is statistically significant for *clusters C1, C3 and C4*, although the value of the  
 290 significance level,  $MC_{st}$ , provided for cluster *C3*, is clearly higher (implying a weaker statistical  
 291 significance) than those obtained for *clusters C1 and C4*. On the other hand, the random  
 292 shuffling of segments in *cluster C2* distribution produces a great number of  $P_s^*$  values lower  
 293 than the original  $P_s$  value for both segment lengths considered here, meaning that the correlation  
 294 is not statistically significant.

295 Figure 5 shows the histograms of the frequency distributions of tidal confining stress phase  
 296 angles for *clusters C1, C2, C3 and C4*, respectively. In these histograms, earthquakes are  
 297 collected in phase angle ranges of  $30^\circ$  width. The sinusoidal curve applied to the frequency  
 298 distributions is obtained by least squares fitting of the expression

299

$$300 \quad P(\phi) = P_0 + P_1 \cos(\phi - \alpha), \quad (6)$$

301

302 where  $\phi$  is the phase angle,  $P_0$  is the mean frequency,  $P_1$  is the amplitude of the curve and  $\alpha$  is  
 303 the phase of the curve (Tanaka et al., 2002). A triangle marks the peak of the fitted curve  
 304 associated to the histogram, which occurs on the phase angle maximizing eq. (6).

305 Figure 5a shows that the histogram corresponding to *cluster C1* (coincident with *Phase 1*  
 306 in the seismic crisis, i.e., all the seismicity prior to the starting of seismic tremor signal) is clearly  
 307 biased towards the right side of the phase angle axis, the phase range where tidal stress is  
 308 increasing ( $180^\circ < \phi \leq 360^\circ$ ). The fitted curve peaks around phase angle  $\phi = 251^\circ$ . As seen in  
 309 Tables 1 and 2, the correlation between confining tidal stress and the occurrence of earthquakes  
 310 in *cluster C1* is remarkably high for every statistical test. The histogram corresponding to *cluster*  
 311 *C2* (Figure 5b) does not show a statistically significant correlation with any of the applied

312 methods, although the most populated phase angle range is  $270^\circ < \phi \leq 300^\circ$ . *Cluster C3*  
 313 corresponds to *Phase 3*, the seismic activity recorded during June-August 2012 under the  
 314 northwest rift. According to the Schuster test and the Monte Carlo permutation test, there also is  
 315 high correlation between tidal stress and the occurrence of earthquakes, although both the  $P_s$   
 316 statistical and the ratio  $MC_{sl}$  are not as conclusive as those obtained for *cluster C1*. Besides, the  
 317 correlation is not significant if we consider the application of the upper bound  $\bar{B}$  of the Bayes  
 318 factor  $B$  (Table 1). The fitted curve for *cluster C3* distribution has a peak around  $\phi = 209^\circ$  (Figure  
 319 5c). Finally, the histogram obtained from *cluster C4* data (*Phase 4*, which includes the seismicity  
 320 observed during 2013 March-April and expanding offshore eastwards) is similar to the *cluster*  
 321 *C1* histogram, with a distribution biased towards the phase angle range where confining tidal  
 322 stress is increasing ( $180^\circ < \phi \leq 360^\circ$ ), and a fitted curve whose peak lays around  $\phi = 288^\circ$  (Figure  
 323 5d). All the statistical tests considered for *cluster C4* data suggest very high correlation (Tables 1  
 324 and 2).

325 As some of the distributions (namely the histograms corresponding to *clusters C1* and *C4*)  
 326 show an apparent bias towards phase range  $180^\circ < \phi \leq 360^\circ$ , a simple binomial test (see e.g.,  
 327 Wonnacot and Wonnacot, 1977) was performed in order to evaluate if the events are more prone  
 328 to happen in the increasing-tide half cycle ( $180^\circ < \phi \leq 360^\circ$ ) rather than in the decreasing-tide  
 329 half cycle ( $0^\circ < \phi \leq 180^\circ$ ). The results of applying that test to the four clusters appear in Table 3,  
 330 showing very high correlation in the cases of *clusters C1* and *C4*, and a poor correlation (not  
 331 statistically significant) for *clusters C2* and *C3*. The upper bound  $\bar{B}$  of the Bayes factor  $B$  has  
 332 also been calculated for the probability value  $P_i$  derived from the binomial test (Table 3).

333



334 **5 Discussion**

335

336 The initial results from the statistical tests (Tables 1, 2, and 3) and the analysis of the  
337 phase angle distribution (Figure 5) suggest that the earthquakes belonging to *clusters C1* and *C4*  
338 are highly correlated with tidal confining stress, supporting the hypothesis that tidal stress may  
339 trigger earthquakes, especially in the case of events related to volcanic areas (Rydelek et al.,  
340 1988; Emter, 1997), and therefore facilitate the magma migration process. The absence of  
341 correlation in the case of *cluster C2* may be due to the fact that most of the seismicity belonging  
342 to that period of time (2011-10-17 to 2012-01-01) may be related to the collapse of deep sections  
343 of the magma plumbing system as magma withdrew (Martí et al., 2013; González et al., 2013),  
344 instead of produced by a progressive magma migration process (González et al., 2013) as in  
345 *Phase 1 (cluster C1)*, or the post-eruption *Phase 4 (cluster C4)*, which was also associated with  
346 migration of magma (García et al., 2014).

347 Finally, the correlation between earthquakes and tidal confining stress in the events  
348 belonging to *cluster C3* is doubtful. The probability values corresponding to the Schuster test and  
349 the Monte Carlo permutations test are statistically significant based on the commonly-used 0.05  
350 threshold, even though they are higher (implying a weaker correlation) than the values obtained  
351 for *clusters C1* or *C4*. But if we consider the value of the upper bound  $\bar{B}$  of the Bayes factor  $B$   
352 (Table 1), where the threshold for statistical significance is  $\bar{B} > 16$ , the result is no longer  
353 significant. Nevertheless, the earthquakes in *cluster C3* occurred during the post-eruption process  
354 we are calling *Phase 3*, where magma migration also occurred (García et al., 2014), so a weak  
355 correlation should not be ruled out.

356 It should be noted that there are differences in the frequency distributions obtained for the  
357 different *clusters* (Figure 5). The peak of the sinusoidal curve lies around tidal stress phase angle

358  $\phi = 288^\circ$  (i.e., close to the maximum tidal stress) in the histogram related to *cluster C4* (Figure  
359 5d). For the *cluster C1* histogram it is centered at  $\phi = 251^\circ$  (i.e., halfway between minimum tidal  
360 stress and maximum tidal stress; Figure 5a), while the histogram for *cluster C3* is around  $\phi =$   
361  $209^\circ$ , close to the minimum tidal stress, although still in the increasing leg of the tidal stress  
362 frequency distribution (Figure 5c). These differences may be due to the different types of focal  
363 mechanisms controlling the seismicity in the clusters and/or also related to the depth of the  
364 earthquakes, as controlled by magma location.

365 As suggested elsewhere (Tanaka, 2002; Xu et al., 2011), the trend of the correlation  
366 between tidal stress and the occurrence time of earthquakes may vary according to the type of  
367 fault. Due to the lack of information about focal mechanisms in the earthquake catalog used, an  
368 analysis of tidal stress triggering according to faulting type in the El Hierro volcanic crisis is  
369 beyond the scope of this work, but it should be considered in the future, if additional information  
370 becomes available.

371 Figure 6 provides additional information about tides and seismicity in the context of the  
372 submarine eruption occurred at the end of *Phase 1*. We have calculated tidal volume strain in the  
373 setting of the shallow reservoir (latitude  $27.6653^\circ$ , longitude  $-18.0370^\circ$ , depth 4.5 km; see  
374 González et al., 2013) at two-hour intervals during the time period covered by *Phase 1*, and we  
375 have compared it to the number of earthquakes in *cluster C1* recorded every two hours during the  
376 same time period.

377 The plot is divided in two parts, with the beginning of the southward migration of  
378 earthquakes on September 15, 2011, marking the separation between the two. During the first  
379 period (Figure 6a; 2011-07-15 to 2011-09-14) no clear correlation appears between the biggest  
380 earthquake swarms recorded and the highest (or lowest) tidal amplitudes in the fortnightly

381 period. In fact, most of the swarms seem to occur when the upper envelope of the tidal strain  
382 amplitudes graph is low (or the lower envelope is high), which seems counter-intuitive. On the  
383 other hand, in the second period (Figure 6b; 2011-09-15 to 2011-10-15) it is obvious that the  
384 most significant episodes of seismic activity prior to the submarine eruption of October 10, 2011  
385 began around September 26, 2011, when the tidal volume strain graph is close to its extreme  
386 values. This also is the time when magma begins the upward migration towards the shallower  
387 magma reservoir, as shown by González et al., (2013), which suggests that tidal strain can trigger  
388 earthquakes favoring magma migration at shallow depths. Similar figures covering *Phase 2*,  
389 *Phase 3* and *Phase 4* are provided as Supporting Information (Figures S1 – S3).

390 In order to investigate tidal triggering in the period immediately prior to the submarine  
391 eruption, we divided the *cluster C1* in two *sub-clusters*: *C1A* (2011-07-21 to 2011-09-25) and  
392 *C1B* (2011-09-26 to 2011-10-10, starting with the upward movements of magma to the shallower  
393 reservoir). The results of the application of the statistical tests to *sub-clusters C1A* and *C1B* are  
394 summarized in Table 4, whereas the histograms of the frequency distributions of confining tidal  
395 stress phase angles are shown in Figure 7.

396 As in *cluster C1*, both *sub-clusters C1A* and *C1B* show high correlation between confining  
397 tidal stress and the occurrence time of earthquakes (Table 4), but there is a significant difference  
398 between both distributions. The peak of the fitted curve lies around tidal stress phase angle  $\phi =$   
399  $279^\circ$  (which is halfway between minimum tidal stress and maximum tidal stress, although closer  
400 to the maximum) in the histogram related to *sub-cluster C1A* (Figure 7a). For the *sub-cluster*  
401 *C1B* histogram, it lies around  $\phi = 216^\circ$  (i.e., close to the minimum tidal stress), with a large  
402 number of events concentrating on the tidal phase range  $150^\circ < \phi \leq 210^\circ$ , central to the  
403 distribution (Figure 7b). As we consider tidal stress positive in extension, minimum tidal stress is

404 indeed maximum tidal stress in compression. This suggests that, during the period of upward  
405 migration of magma towards the shallower magma reservoir, tidal compressive stress favors  
406 these magma displacements and the subsequent seismic activity. Figure S4 in Supporting  
407 Information features a study of tidal confining stress amplitudes corresponding to the  
408 earthquakes in *sub-clusters CIA* and *CIB* which supports this interpretation.

409         These results suggest that there are different tidal modulations of the seismic events  
410 related to magma ascent, depending on the depth. In order to continue testing the sensitivity of  
411 the correlation to depth, we have focused on tidal confining stress, and we have considered 15  
412 km depth as a threshold, as the boundary between the crust and the mantle lies at 15-16 km in the  
413 vicinity of the Canary Islands (Watts, 1994). In *sub-clusters CIA* and *CIB*, where most of the  
414 events belong to the depth range 10 – 15 km, high correlation is found between confining tidal  
415 stress and the occurrence time of earthquakes (see Table 4). In *cluster C2*, where the vast  
416 majority of events are > 15 km depth, there is no statistically significant correlation with tides, as  
417 seen in Tables 1 and 2. In *cluster C3*, where, again, the great majority of hypocenters are in depth  
418 values > 15 km, the correlation is clearly lower than in *sub-cluster CIA* or *sub-cluster CIB* (see  
419 Tables 1 and 2). Finally, in *cluster C4* we have considered two *sub-cluster*: *C4A* (earthquakes  
420 with hypocenters in the depth range between 0 and 15 km, 526 events), and *C4B* (earthquakes  
421 with depth > 15 km, 1556 events). Table 5 shows the results of the application of the statistical  
422 tests defined in section 3.3 to both *sub-clusters C4A* and *C4B*. In all cases, *sub-cluster C4A* gives  
423 a high correlation with tidal confining stress that is better than the one obtained for the whole  
424 *cluster C4* (as seen in Tables 1 and 2). Figure 7c shows the histogram of the frequency  
425 distribution of tidal confining stress phase angles for *sub-cluster C4A*. The most populated tidal  
426 phase range is  $240^\circ < \phi \leq 270^\circ$ , and the peak of the fitted curve lies around tidal stress phase

427 angle  $\phi = 300^\circ$ , a value quite close to the maximum of the fitted curve corresponding to the entire  
 428 *cluster C4* (Figure 5d). On the other hand, there is no statistically significant correlation with  
 429 tidal confining stress for *sub-cluster C4B* (Table 5). These results suggest that tidal stress  
 430 correlation with the origin times of the earthquakes is better for events whose hypocenters are at  
 431 crustal levels.

432 So far, our results suggest that there is a correlation between tidal stress values and the  
 433 occurrence of earthquakes during the 2011 – 2013 volcanic crisis in El Hierro island. But  
 434 stressing-rate could be the controlling factor, rather than maximum/minimum values. That being  
 435 the case, the strongest gradients of tidal variations might be more important for triggering of  
 436 earthquakes than the absolute value of the tidal stress, as it was noted by McNutt and Beavan  
 437 (1981) and McNutt and Beavan (1984). With that in mind, we calculate tidal confining stress  
 438 rates corresponding to all earthquake *clusters* or *sub-clusters C1A, C1B, C2, C3, C4A* and *C4B*,  
 439 and attempt to determine if the events occur more frequently when tidal stress rate is higher. The  
 440 corresponding histograms appear in Figure 8, and the results for the statistical tests are shown in  
 441 Table 6. There are three *clusters* with statistically significant values for all the tests defined in  
 442 Section 3.3, namely *C1A* (Figure 8a), *C1B* (Figure 8b) and *C4A* (Figure 8e). There are no  
 443 significant correlations between earthquakes and tidal stress rates in the events belonging to  
 444 *clusters C2* (Figure 8c) and *C4B* (Figure 8f). Finally, the correlation for *cluster C3* appears to be  
 445 statistically significant when using the Schuster test or the Monte Carlo permutations test, but it  
 446 is not significant when considering the upper bound  $\bar{B}$  of the Bayes factor  $B$  (Table 6), so this  
 447 correlation must be considered with caution. In addition, in most of these *clusters* (Figures 8a,  
 448 8c, 8d, 8e, 8f), the most frequently occurring phase angle range is the high-tide half cycle ( $0^\circ \leq \phi$   
 449  $< 90^\circ$  or  $270^\circ \leq \phi < 360^\circ$ ), where tidal confining stress rates are higher. The exception here is

450 *sub-cluster C1B* (Figure 8b), where the highest frequency corresponds to phase angle range  $240^\circ$   
451  $\leq \phi < 270^\circ$ . It should be noted that, in the time period covered by *sub-cluster C1B*, our previous  
452 analysis of tidal strain and stress values suggests that tidal compression plays a key role in  
453 earthquake triggering, rather than tidal extension, and this could explain the difference in  
454 behavior of *sub-cluster C1B*.

455 Our analysis of tidal triggering of earthquakes in El Hierro based on tidal stress rate  
456 agrees with the results from our previous analysis based on tidal stress values (Tables 1, 2, 4 and  
457 5), in the sense that the subsets of events where the statistical significance is poorer are *clusters*  
458 *C2*, *C3* and *sub-cluster C4B*, which are mostly composed of earthquakes  $> 15$  km depth, even  
459 though in the case of *cluster C3* the results are still statistically significant for both Schuster and  
460 Monte Carlo tests. If we discard *clusters C2* and *sub-cluster C4B* (as they obtain the worst results  
461 with all the statistical tests considered), there is an interesting similarity between the frequency  
462 distributions of *clusters C1A* and *C4A*, and between *cluster C3* and *sub-cluster C1B*. Whereas the  
463 maximum of the fitted curve in the distributions of *sub-clusters C1A* (Figure 8a) and *C4A*  
464 (Figure 8e) lies around extreme values of the tidal stress rate cycle ( $\phi = 356^\circ$  and  $\phi = 11^\circ$ ,  
465 respectively), it is centered close to  $\phi = 290^\circ$  in both frequency distributions for *sub-cluster C1B*  
466 (Figure 8b) and *cluster C3* (Figure 8d). Although the correlation found for *cluster C3* is not as  
467 consistent as the one obtained for *sub-cluster C1B* (it is not statistically significant when we  
468 apply the Bayesian alternative to p-values) these results may reflect that, in the case of *cluster C3*  
469 and *sub-cluster C1B*, tidal compression plays an influence in promoting magma movements  
470 towards shallow depths. Finally, we have seen that both seismic activities belonging to *sub-*  
471 *cluster C1B* and *cluster C3* can be related to volcanic activity due to magma injection processes,  
472 even though these processes only ended with an eruption in the case of the *cluster C1B* activity.

473 In all the time periods considered in this study, ocean-loading tides are stronger than solid  
474 Earth tides. According to Cochran et al. (2004), tidal stresses due to body tides can reach values  
475 up to  $5 \times 10^3$  Pa, which is one order of magnitude less than the values that can be reached by  
476 tidal stresses induced by ocean loading tides ( $5 \times 10^4$  Pa) in ocean basins. For all the events in the  
477 different clusters in the study, we have calculated half cycle amplitudes (see definition in Figure  
478 4) induced by ocean tidal loading confining stress and half cycle amplitudes due to Earth tidal  
479 confining stress. The former is, on average, between five and six times greater than the latter,  
480 depending on the cluster (see data in the repository  
481 [https://zenodo.org/record/3714198#.YDX\\_OXIPGUI](https://zenodo.org/record/3714198#.YDX_OXIPGUI)). Therefore, the ocean tidal signal appears  
482 to be more important than the body tidal signal in the modulation of the seismic activity during  
483 the El Hierro volcanic crisis. This result could be of general application in many of the volcanic  
484 events in oceanic islands and should be confirmed with additional, complementary studies.

485 In order to show if the magnitude cutoff chosen for the catalogs is relevant in the results  
486 obtained, we have performed some of the statistical tests over the catalogs removing all  
487 earthquakes with  $M < 2$ . As seen in Table S2 in the Supporting Information, the results are quite  
488 similar to the ones obtained in the original analysis, where  $M = 1.5$  was the lower magnitude  
489 cutoff. We have not performed the statistical tests over declustered catalogs because, as we have  
490 told in Section 3, this seismicity is highly clustered in such a way that replacing each cluster for  
491 the biggest or the first event in the cluster series results in catalogs with very few elements. An  
492 example is shown in Table S3 in the Supporting Information, where a declustering process using  
493 a cutoff of  $M = 2.5$  is described. Table S4 in the Supporting Information provides a more detailed  
494 description of the declustered catalogs D1-D4 so produced.

495 We have tested also possible correlations between earthquakes and strong rains in the  
496 island. Meteorological data are provided by the Spanish Meteorological Agency (AEMET)  
497 ([http://www.aemet.es/es/datos\\_abiertos](http://www.aemet.es/es/datos_abiertos)). Figures S5, S6 and S7 in the Supporting Information  
498 show the comparative between earthquakes and pluviometry during the periods covered by  
499 *clusters C1, C2 and C4* (there is no graph for *cluster C3* because apparently there was no rain  
500 during that time period). Although some correlation seems to exist between rains and some parts  
501 of the earthquakes sequences (mainly for *cluster C4*, see Figure S7), it must be noted that the  
502 only data source for the rains is Hierro Aeropuerto Station, which is located at the northeast of  
503 the island and whose data may not be usable for all the locations of the earthquakes.

504 Our interpretation of the volcano dynamics that generate the various tidal correlations  
505 found in this work is detailed in Figure 9. During the time period 2011-07-17 to 2011-09-25  
506 (*sub-cluster CIA*), magma begins to accumulate in the deep CMR, and then migrates  
507 longitudinally around the center of the CMR (Figure 9a). In this context, at low tide and the  
508 lowering of sea level, the weight of the ocean over the seafloor around the island diminishes,  
509 resulting in a decrease in compression and an increase in extension. High values of extensional  
510 tidal confining stress rates may favor magma displacement and encourage extensional faulting.  
511 The seismic activity related to this time period may be biased to higher values of tidal stress  
512 rates. On the other hand, during the period defined by *sub-cluster CIB* (2011-09-26 to 2011-10-  
513 10), magma ascends towards the shallower reservoir, which is smaller than the CMR, and  
514 accumulates there until the eruption started on October 10, 2011 (Figure 9b). As the longitudinal  
515 displacement is almost nonexistent, magmatic activity in the shallow reservoir may be more  
516 sensitive to small changes in tidal stress compression. At high tide, sea level rises and the  
517 compression exerted on the seafloor by the body of water increases, promoting magma ascent



518 and the build-up of pressure within the magma chamber. Tidal confining stress compression,  
519 together with tectonic and volcanic stresses, would encourage magma to migrate into zones of  
520 weakness to ascend, favoring seismic activity in the process. Note that Figure 7b suggests that it  
521 is tidal compressive stress which promotes the seismic activity related to this period.

522         There appears to be no correlation between tides and seismicity during the co-eruption  
523 phase, to which the earthquakes in *cluster C2* belong (2011-10-17 to 2012-01-01). As suggested  
524 before, one possible reason is that seismicity could have originated with the collapse of deep  
525 sections of the magma plumbing system as magma withdrew (Martí et al., 2013; González et al.,  
526 2013), rather than being produced by a progressive magma migration process (González et al.,  
527 2013). For the correlation between tidal confining stress and earthquakes in *cluster C3*, a  
528 mechanism based on tidal compression similar to that described in Figure 9b is a possible  
529 explanation, as we know that the earthquakes belonging to that period can be related to volcanic  
530 activity due to magma injection processes under the west wing of the island (Blanco et al., 2015).  
531 Nevertheless, more research is needed in order to confirm that this correlation is a function of the  
532 proposed physical mechanism because the hypocenters in *cluster C3* are at deeper depths than  
533 those ones of *sub-clusters CIA* or *CIB*. In addition, the correlation found in *cluster C3* is poorer  
534 than those obtained for *sub-clusters CIA* or *CIB*, and it is not statistically significant when we  
535 estimate the upper bound of the Bayes factor (Tables 1 and 6).

536         Finally, a look at the dispersion of the hypocenters of the events in *cluster C4* (see Figure  
537 3d) suggests important magma displacements in longitude. In this context, the mechanism  
538 described in Figure 9a, based on extensional tidal confining stress rates promoting magma  
539 displacements and related seismic activity, may provide an explanation to the correlations found  
540 for *cluster C4* and the *sub-cluster C4A*. The lack of correlation in the case of *sub-cluster C4B*

541 (even though its hypocenter distribution is similar to the original *cluster C4*, as seen in Figure S8  
542 in the Supporting Information) may be due to the greater depth of the events when compared to  
543 those in *sub-cluster C4A*.

544

## 545 **6 Conclusions**

546

547 In July 2011 a volcanic crisis started in El Hierro Island with an increase in observed  
548 seismicity rates in the island, with remarkable periods of unrest through 2012 and 2013, even  
549 after the submarine eruption that occurred between October 2011 and February 2012. During the  
550 period studied here, hypocenters were migrating spatially. We have studied the correlation within  
551 the seismicity and the tidal stress calculated at hypocenter depth for the earthquakes of different  
552 stages of this crisis, assigning a tidal stress phase angle to each earthquake. Our results show  
553 correlation between tidal stress and the origin times of the earthquakes in the context of volcanic  
554 areas, with tides enhancing magma movements at depth, confirming that tidal stress can play a  
555 role in the triggering of an eruption if the magma system is in a critical state at shallow depths  
556 (Sottili et al., 2007).

557 Although correlation between residual gravity and body tide vertical strain was found by  
558 Sainz-Maza et al. (2014) during the first three days of the eruption, suggesting concurrent tidal  
559 triggering during the beginning of the eruption, the work presented here is the first study of the  
560 recent volcanic crisis in El Hierro focused on the influence of tides in the seismic activity and  
561 covering the most significant phases of the 2011-2013 El Hierro unrest.

562 Summarizing, we have found that tidal stress values and tidal stress rates are correlated  
563 with the occurrence times of the earthquakes in different phases during the volcanic crisis at El

564 Hierro. In addition, the analysis of the time period immediately prior to the submarine eruption  
565 suggests that tidal compressive stress favored magma displacements towards the shallower  
566 magma reservoir. Tidal modulation also seems to be dependent on depth. We also determined  
567 that the influence of ocean-loading tides is stronger than the influence of solid Earth tides in the  
568 correlations presented here. We present a conceptual model (see Figure 9) to explain the  
569 different correlations between tides and earthquakes found in the different phases in terms of the  
570 influence of tidal compression or tidal extension in the magma movements at depth.

571 Our results, using tidal strains and stresses calculated for thousands of earthquakes at  
572 hypocenter depth, applying depth-dependent Green functions and the most recent ocean models  
573 available, agree with some previous studies (e.g., Dzurisin, 1980; Rydelek et al., 1988; Berrino  
574 and Corrado, 1991; Kasahara et al., 2001; or Bhatnagar et al., 2016). Some of them also show a  
575 different polarity according to compression or extension stress or strain (McNutt and Beavan  
576 1981; 1984; Scholz et al., 2019). Our conclusions support the hypothesis that tides may produce  
577 a modulation of the earthquake activity in volcanic areas, in particular when magma arrives to  
578 shallow depths.

579 These results, obtained for the volcanic crisis where the last eruption in Canary Islands  
580 took place, should be considered in the study of future volcanic reactivation in the archipelago  
581 and other volcanic islands. Implications for volcano hazard monitoring may be important, as  
582 seismic monitoring of active volcanoes is one of the primary methods for characterizing activity  
583 levels and is a significant factor in assessing associated hazard probabilities. Insights into the  
584 impact of tidal forces on the seismic activity on active volcanoes have the potential to improve  
585 our understanding of volcano dynamics and better quantify eruption hazard.

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587  
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600 data is available in the repository [https://zenodo.org/record/3714198#.YDX\\_OXIPGUI](https://zenodo.org/record/3714198#.YDX_OXIPGUI)

601

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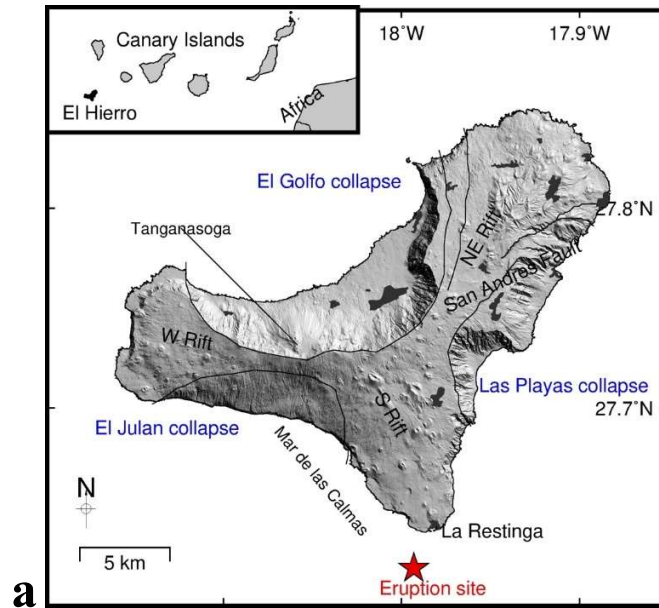
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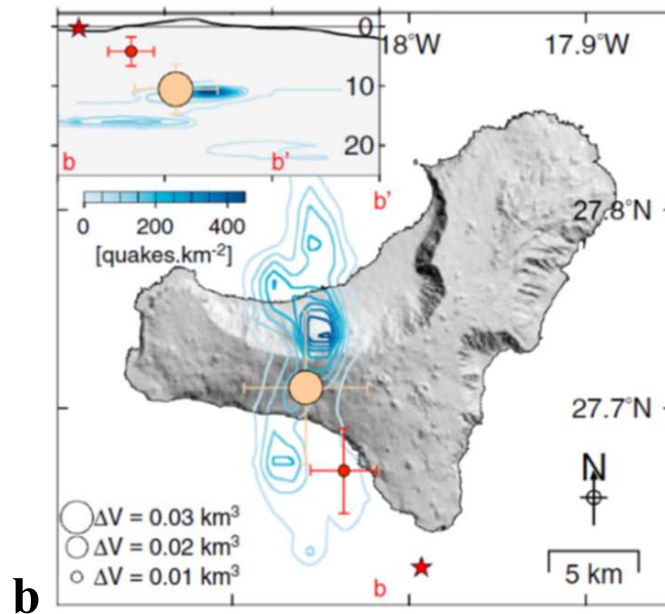
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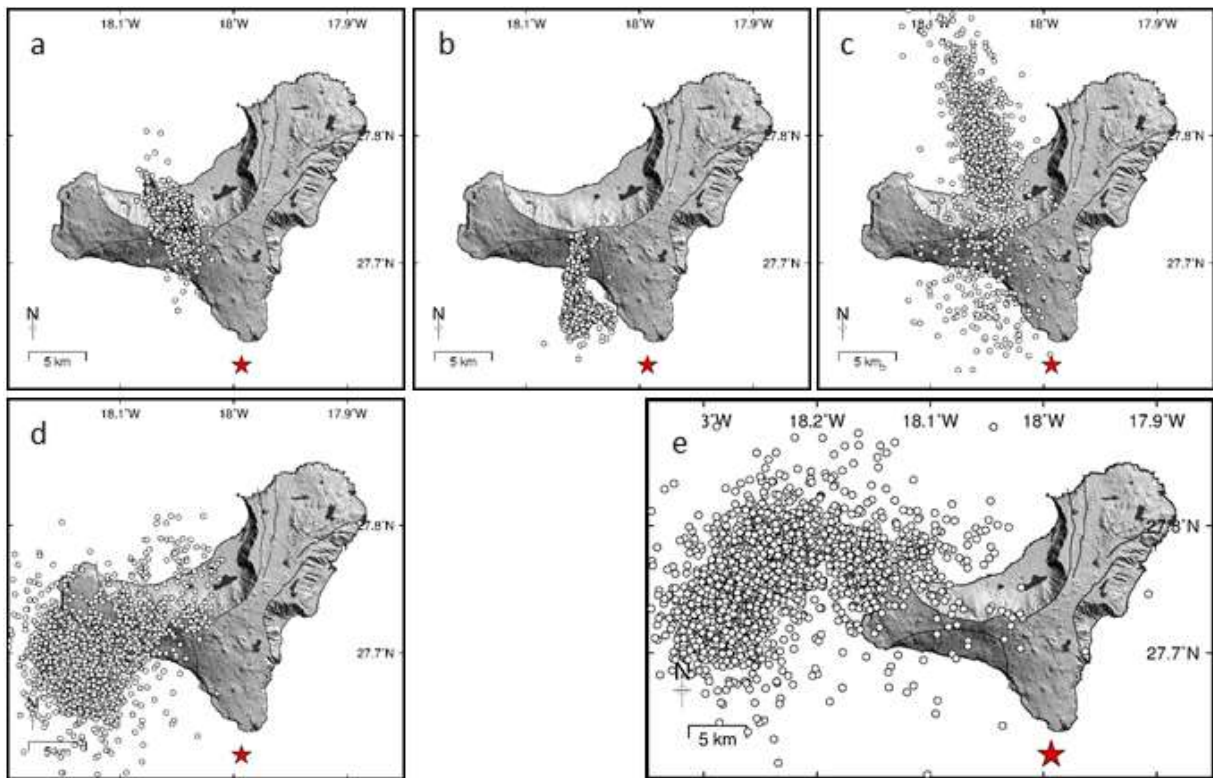


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814 **Figure 1. (a)** Map of El Hierro Island and the three rift zones (Northeastern, Western-  
 815 Northwestern, and South-Southeastern) showing topography (shaded topography). Flank collapses  
 816 and faults are denoted with black lines. Populated areas are shown with gray polygons. Inset: El  
 817 Hierro (EH) location in the Canary Islands NW of the African continent. Red star indicates the  
 818 approximate location of the eruption site (same in all map figures). **(b)** Model of the magma  
 819 migration process culminating in the submarine eruption in 2011 (October), with the location of  
 820 the best-fitting spherical point sources: orange, deep crustal source (crust-mantle reservoir, or  
 821 CMR following the notation by González et al., 2013); and dark red, the shallower crustal  
 822 reservoir. Seismicity flux (events/km<sup>2</sup>), which represents the 2-D clustering of background  
 823 seismicity, is shown the background. Inset shows the vertical cross section b-b' (Modified from  
 824 González et al., 2013).

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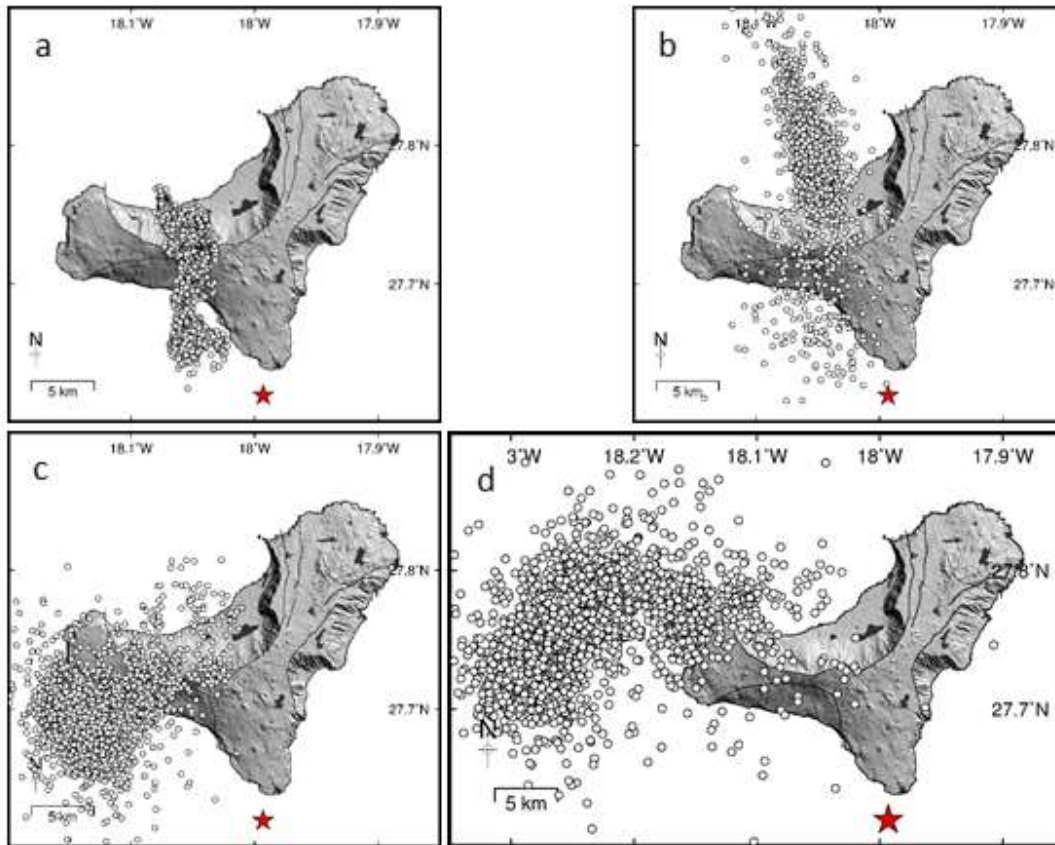
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832 **Figure 2.** Location of earthquakes that occurred during the different phases detailed in the  
833 manuscript: **a)** *Phase 1*, part 1 (2011-07-17 to 2011-09-14); **b)** *Phase 1*, part 2 (2011-09-15 to  
834 2011-10-10); **c)** *Phase 2* (2011-10-15 to 2012-03-05); **d)** *Phase 3* (2012-06-14 to 2012-08-21); **e)**  
835 *Phase 4* (2013-03-17 to 2013-04-30). Only events of  $M \geq 1.5$  are shown.

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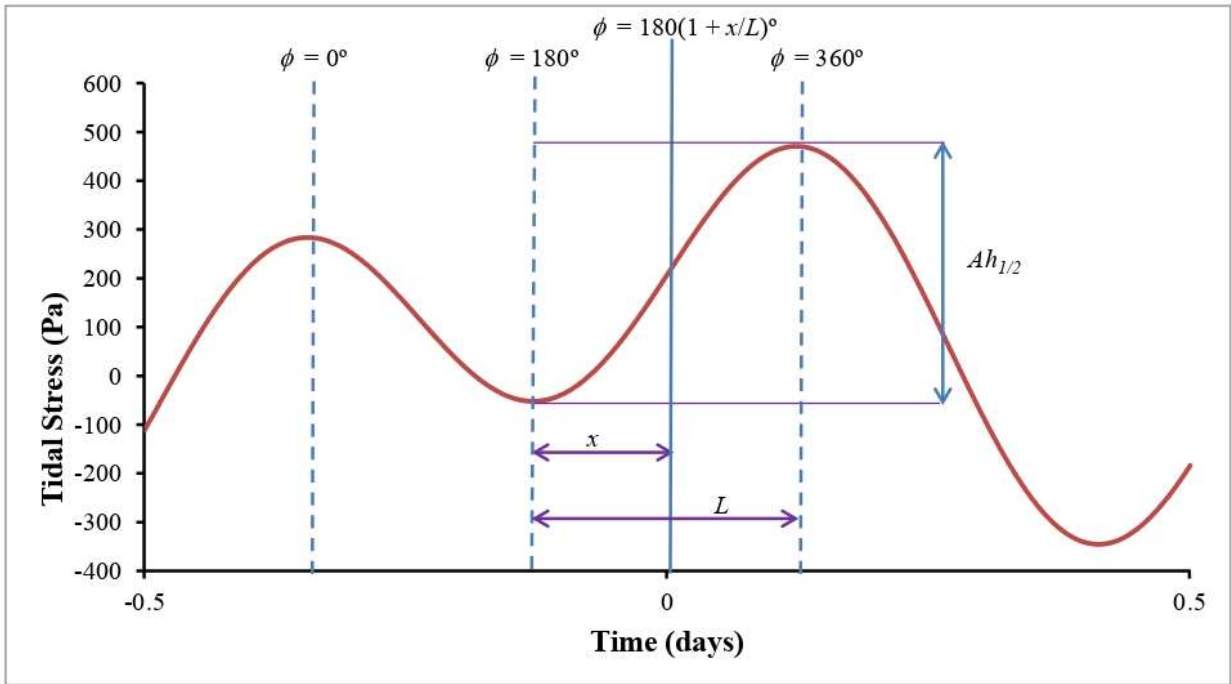
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**Figure 3.** Location of the earthquakes in the four big clusters found in the catalog: **a)** *cluster C1*, corresponding to *Phase 1*; **b)** *cluster C2*, corresponding to *Phase 2*; **c)** *cluster C3*, corresponding to *Phase 3*; **d)** *cluster C4*, corresponding to *Phase 4*.



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865 **Figure 4.** Schematic of the tidal phase method (Wilcock, 2009). The terms  $Ah_{1/2}$  and  $L$  are the  
866 amplitude and time length of the half cycle in which the event occurs, respectively.  $x$  is the time  
867 difference between the occurrence time of the earthquake and phase  $\phi = 180^\circ$ , positive when the  
868 event occurs after phase  $\phi = 180^\circ$  and negative when it happens prior to phase  $\phi = 180^\circ$ .

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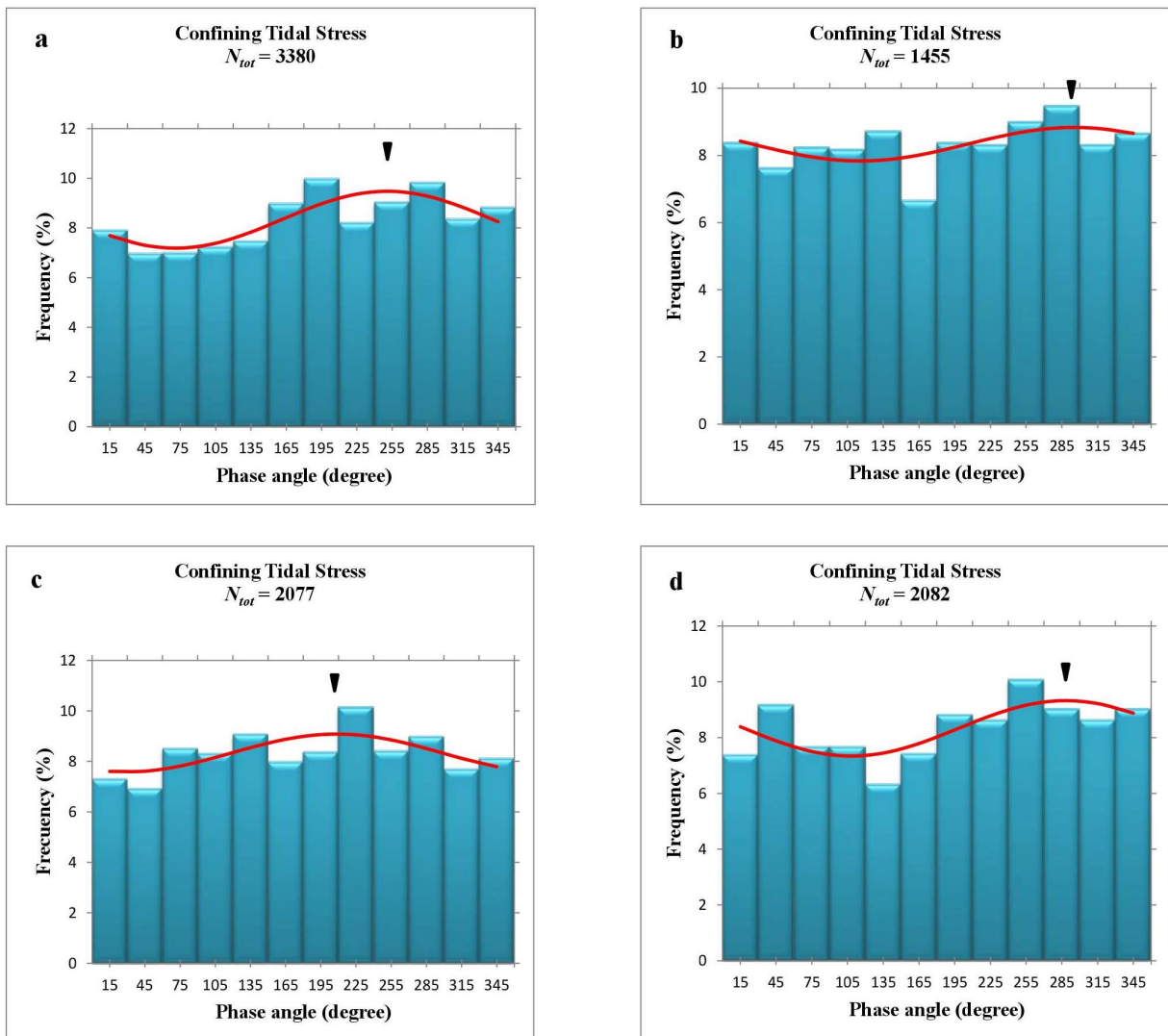
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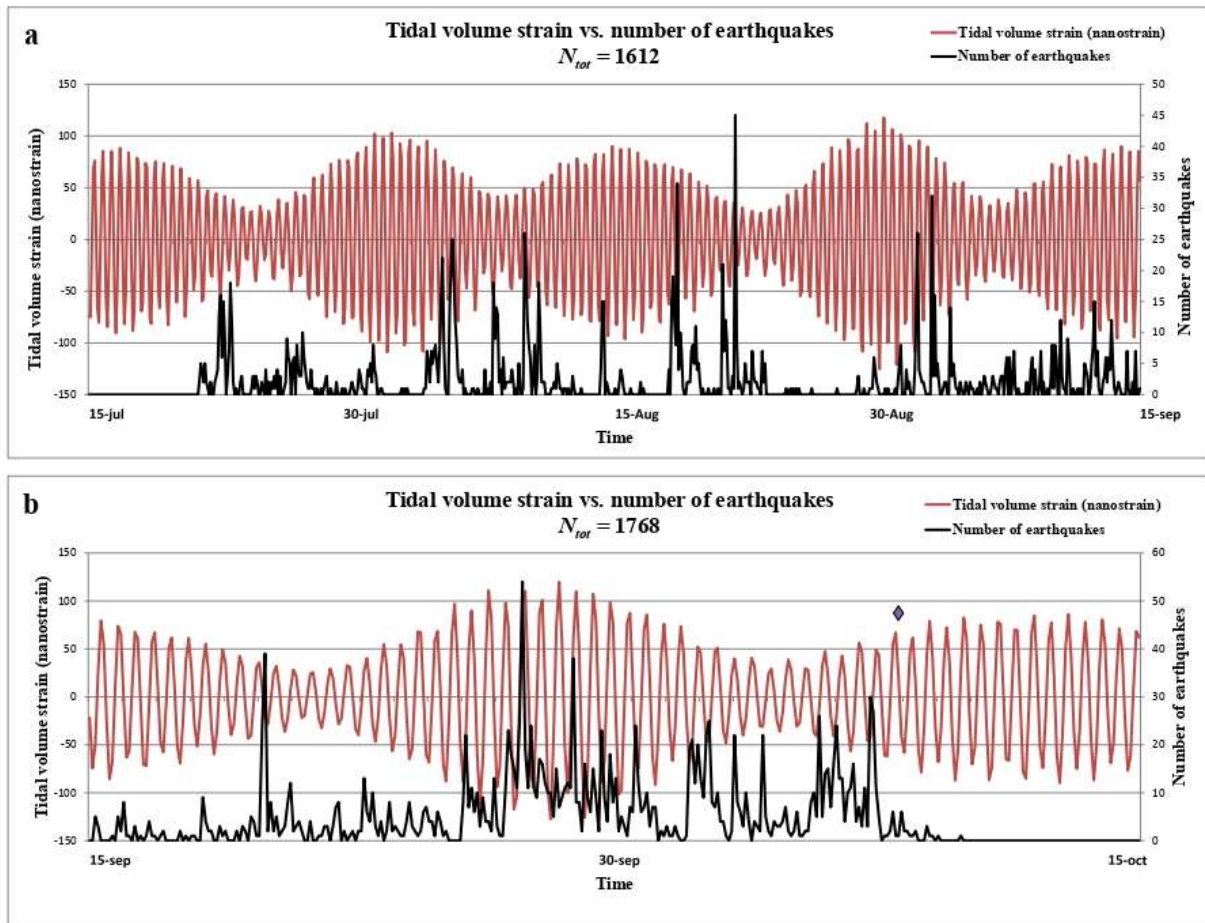
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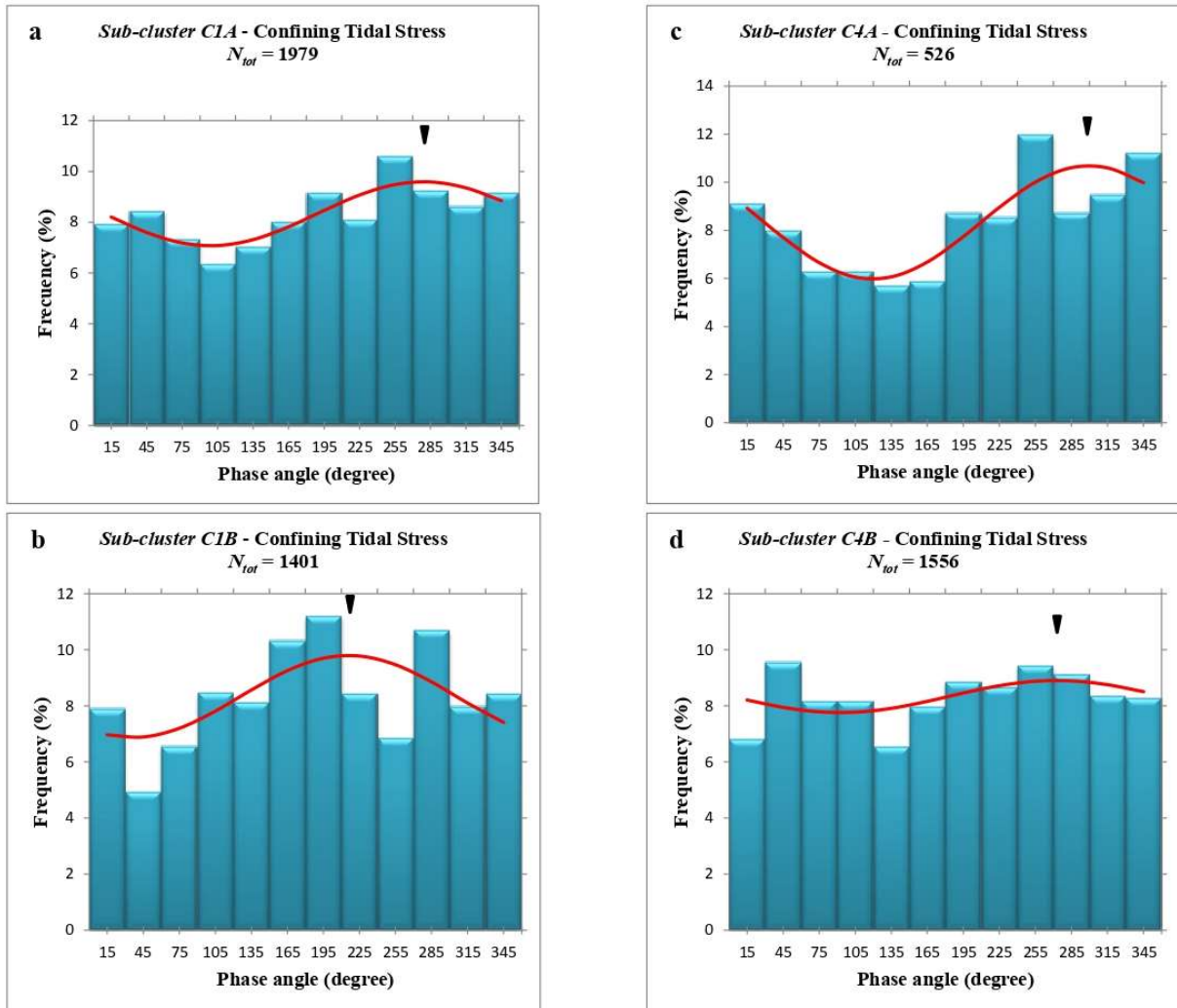
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**Figure 5.** Histograms of the frequency distribution of tidal phase angles for all events in *clusters*:  
 (a) *CI* (period 2011-07-21 / 2011-10-10); (b) *C2* (2011-10-17 to 2012-01-01); (c) *C3* (2012-06-25 to 2012-08-13); (d) *C4* (2013-03-18 to 2013-04-28).  $N_{tot}$  is the number of events of each subset. The curve represents a sinusoidal function fitted to the frequency distribution. The peak of the fitted curve is indicated by a triangle.



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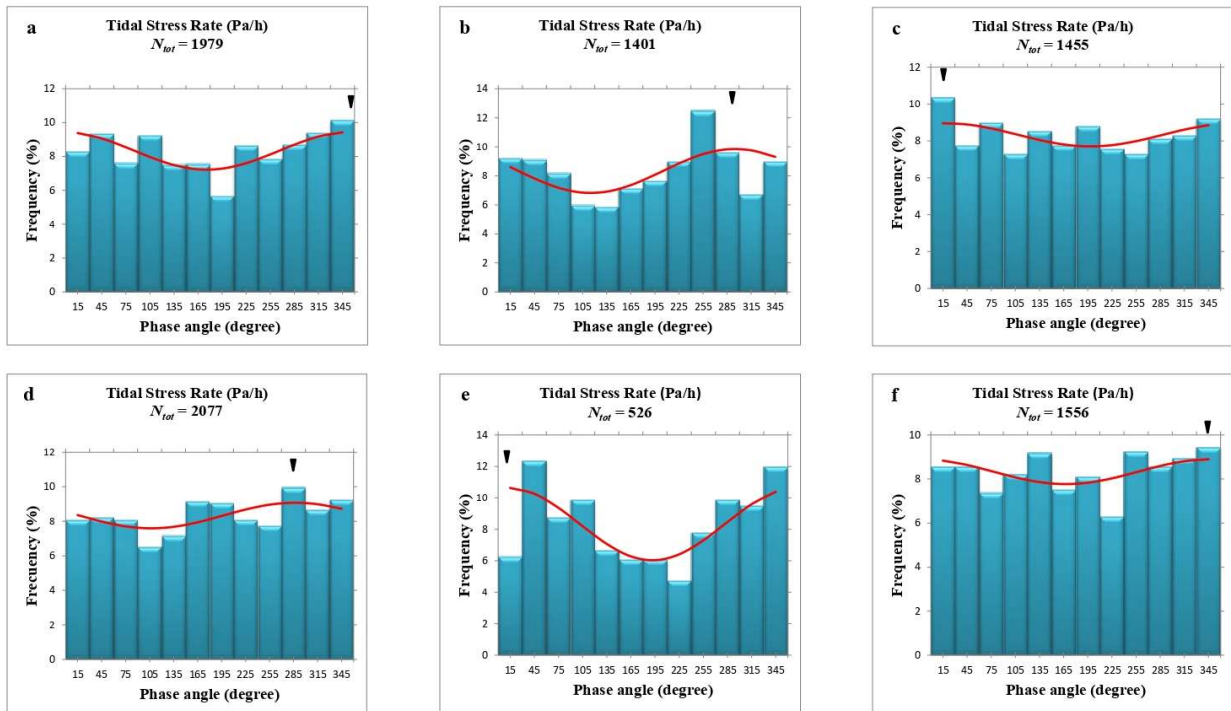
**Figure 6.** Red line shows tidal volume strain in the setting of the shallow reservoir (see text for details) during a period covering all the seismic activity prior to the submarine eruption (Phase I). Black line represents the number of earthquakes recorded in *cluster C1* every two hours alongside the same time period. The plot has been divided in two parts: **(a)** 2011-07-15 to 2011-09-14; **(b)** 2011-09-15 to 2011-10-15.  $N_{tot}$  is the number of earthquakes represented in each part. The purple diamond in **(b)** marks the occurrence of the  $M = 4.3$  earthquake (2011 October 8) which is considered as the event opening a path for the final episode of magma rising towards the surface two days later (González et al., 2013).



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917 **Figure 7.** Histograms of the frequency distribution of tidal phase angles for tidal confining stress:  
918 (a) for *sub-cluster CIA* (2011-07-17 to 2011-09-25); (b) for *sub-cluster CIB* (2011-09-26 to 2011-  
919 10-10). Histograms of the frequency distribution of tidal confining stress phase angles for all  
920 events for *cluster C4* according to depth: (c) *sub-cluster C4A*, earthquakes with hypocenters in the  
921 depth range between 0 and 15 km; (d) *sub-cluster C4B*, earthquakes with depth greater than 15  
922 km.  $N_{tot}$  is the number of events of each subset. The curve represents a sinusoidal function fitted  
923 to the frequency distribution. The peak of the fitted curve is indicated by a triangle.

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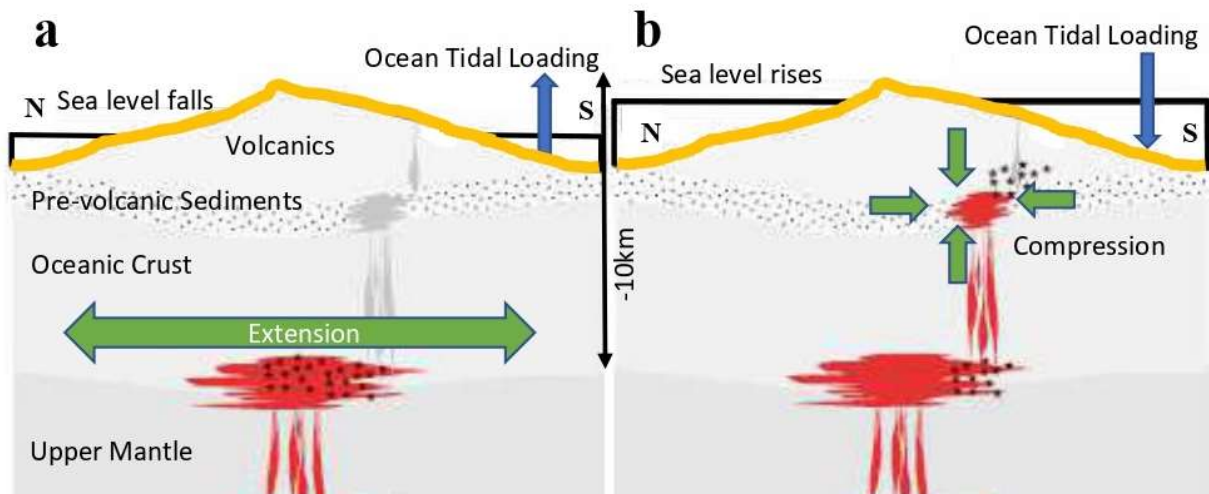
937 **Figure 8.** Histograms of the frequency distribution of tidal confining stress rates for all events in  
 938 *clusters* and *sub-clusters*: (a) *CIA* (2011-07-17 to 2011-09-25); (b) *C1B* (2011-09-26 to 2011-10-  
 939 10); (c) *C2* (2011-10-17 to 2012-01-01); (d) *C3* (2012-06-25 to 2012-08-13); (e) *C4A* (2013-03-  
 940 18 to 2013-04-28, events with depth  $\leq 15$  km only); (f) *C4B* (2013-03-18 to 2013-04-28, events  
 941 with depth  $> 15$  km only).  $N_{tot}$  is the number of events of each subset. The curve represents a  
 942 sinusoidal function fitted to the frequency distribution. The peak of the fitted curve is indicated by  
 943 a triangle.

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957 **Figure 9.** Schematics of the proposed sequence of volcano activity resulting in the observed  
 958 correlations between tidal confining stress and earthquakes. **(a)** Time period 2011-07-17 to 2011-  
 959 09-25. Magma begins to accumulate in the deep CMR, and then moves longitudinally around the  
 960 center of the CMR. With low tide, sea level falls and the weight of the ocean over the seafloor of  
 961 the island diminishes, which means compression decreases and extension increases. High values  
 962 of extensional tidal confining stress rates may favor the magma displacements and encourage  
 963 extensional faulting and the seismic activity related to this time period may be related to higher  
 964 values of tidal stress rates. **(b)** Time period 2011-09-26 to 2011-10-10. Magma ascends towards a  
 965 shallow reservoir smaller than the deep CMR and accumulates there until the eruption began on  
 966 October 10, 2011. With magma concentrated in a reduced volume, magmatic activity in the  
 967 shallow reservoir may be more sensitive to small changes in tidal stress compression. When the  
 968 tides are high, sea level rises and the compression exerted on the seafloor by the body of water  
 969 increases, promoting both magma ascent and the build-up of pressure within the magma chamber.  
 970 Tidal confining stress compression, together with tectonic and volcanic stresses, would squeeze  
 971 the magma chamber, escaping through zones of weakness to ascend and favoring seismic activity.  
 972 (Modified from González et al., 2013)

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<i>Cluster</i>	Time period	Number of events	$P_s$ value	$\bar{B}$
<i>C1</i>	2011-07-21 / 2011-10-10	3380	<b>0.3999e-06</b>	<b>62435.7879</b>
<i>C2</i>	2011-10-17 / 2012-01-01	1455	0.1475	1.3033
<i>C3</i>	2012-06-25 / 2012-08-13	2077	<b>0.0112</b>	7.2973
<i>C4</i>	2013-03-18 / 2013-04-28	2082	<b>0.0008</b>	<b>63.1326</b>

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**Table 1.** Results of the application of Schuster's test and calculation of the upper bound  $\bar{B}$  of the Bayes factor  $B$  to the earthquake *clusters C1-C4*.  $P_s$  is the probability that the phase angle distribution to be random, according to Schuster's test.  $\bar{B}$  is derived from  $P_s$  according to expression (5). Occurrences where  $P_s < 0.05$  or  $\bar{B} > 16$  are in bold. Type of tidal stress considered is confining.



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<i>Cluster</i>	Number of events	$P_s$ value	Segment length: 5°		Segment length: 2.5°	
			NSIG ( $P^* \leq P_s$ ) for 10000 permutations	SL $MC_{sl}$	NSIG ( $P^* \leq P_s$ ) for 10000 permutations	SL $MC_{sl}$
<i>C1</i>	3380	<b>0.3999e-06</b>	1	<b>0.0002</b>	<b>0</b>	<b>0.0001</b>
<i>C2</i>	1455	0.1475	1767	0.1768	1911	0.1912
<i>C3</i>	2077	<b>0.0112</b>	279	<b>0.0280</b>	140	<b>0.0141</b>
<i>C4</i>	2082	<b>0.0008</b>	22	<b>0.0023</b>	13	<b>0.0014</b>

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992 **Table 2.** Results of the application of Schuster's test and a Monte Carlo permutation test (10000993 simulations) to the earthquake *clusters C1-C4*. Different segment lengths were applied<sup>a</sup>.  $P_s$  is the

994 probability that the phase angle distribution in the original catalog to be random, according to

995 Schuster's test. For each permutation, a Schuster probability value  $P^*$  is obtained. NSIG is the996 number of permutations where  $P^* \leq P_s$  for each cluster. SL means "significance level". The997 significance level  $MC_{sl}$  of the permutation test is obtained according to expression (3) in section998 3.3. Occurrences where  $P_s < 0.05$  or  $MC_{sl} < 0.05$  are in bold.

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<i>Cluster</i>	$N_{i,obs}$	$N_{i,obs}/N_{tot}$	$P_i$	$\bar{B}$
<i>C1</i>	1835	0.5429	<b>0.33e-06</b>	<b>74696.6798</b>
<i>C2</i>	759	0.5216	0.0520	2.3923
<i>C3</i>	1076	0.5181	0.0522	2.3865
<i>C4</i>	1129	0.5423	<b>0.62e-04</b>	<b>612.4390</b>

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**Table 3.** Results of the binomial test for the confining tidal stress in the seismic crisis of El Hierro.  $N_{tot}$  is the number of events of each subset.  $N_{i,obs}$  is the number of events observed in the increasing-tide phase angle half cycle ( $180^\circ < \phi \leq 360^\circ$ ).  $P_i$  is the probability of getting a number of increasing-tide phase angle events greater or equal to the observed number  $N_{i,obs}$ , assuming the null hypothesis that earthquakes are not influenced by tidal stress.  $\bar{B}$  is derived from  $P_i$  according to expression (5). Occurrences where  $P_i < 0.05$  or  $\bar{B} > 16$  are in bold.

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<i>Cluster</i>	Time period	No. of events	$P_s$ value	$\bar{B}$	Segment length 5°		Segment length 2.5°	
					NSIG ( $P^* \leq P_s$ ) for	SL	NSIG ( $P^* \leq P_s$ ) for	SL
					10000 permutations	$MC_{sl}$	10000 permutations	$MC_{sl}$
<i>CIA</i>	2011-07-17/ 2011-09-25	1979	<b>0.6514e-04</b>	<b>585.9</b>	35	<b>0.0036</b>	4	<b>0.0005</b>
<i>CIB</i>	2011-09-26/ 2011-10-10	1401	<b>0.1633e-04</b>	<b>2043.8</b>	8	<b>0.0009</b>	0	<b>0.0001</b>

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**Table 4.** Results of the application of the statistical tests described in the text to the earthquake *clusters* *CIA* and *CIB*.  $P_s$  is the probability that the phase angle distribution to be random, according to Schuster's test.  $\bar{B}$  is derived from  $P_s$  according to expression (5). For each permutation, a Schuster probability value  $P^*$  is obtained. NSIG is the number of permutations where  $P^* \leq P_s$  for each cluster. SL means "significance level". The significance level  $MC_{sl}$  of the permutation test is obtained according to expression (3) in section 3.3. Occurrences where  $P_s < 0.05$ ,  $\bar{B} > 16$  or  $MC_{sl} < 0.05$  are in bold. Type of tidal stress considered is confining.

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<i>Cluster</i>	Time period	Number of events	$P_s$ value	$\bar{B}$	Segment length 5°		Segment length 2.5°	
					NSIG ( $P^* \leq P_s$ ) for 10000 permutations	SL $MC_{sl}$	NSIG ( $P^* \leq P_s$ ) for 10000 permutations	SL $MC_{sl}$
<i>C4A</i>	2013-03-18 / 2013-04-28	526	<b>0.6458e-04</b>	<b>590.4</b>	7	<b>0.0008</b>	2	<b>0.0003</b>
<i>C4B</i>	2013-03-18 / 2013-04-28	1556	0.1578	1.2	2054	0.2055	1629	0.1630

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1037 **Table 5.** Results of the application of the statistical tests described in the text to the earthquake *clusters* *C4A* and *C4B*.  $P_s$  is the  
 1038 probability that the phase angle distribution to be random, according to Schuster's test.  $\bar{B}$  is derived from  $P_s$  according to expression  
 1039 (5). For each permutation, a Schuster probability value  $P^*$  is obtained. NSIG is the number of permutations where  $P^* \leq P_s$  for each  
 1040 cluster. SL means "significance level". The significance level  $MC_{sl}$  of the permutation test is obtained according to expression (3) in  
 1041 section 3.3. Occurrences where  $P_s < 0.05$ ,  $\bar{B} > 16$  or  $MC_{sl} < 0.05$  are in bold. The type of tidal stress considered is confining.

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<i>Cluster</i>	No. events	$P_s$ value	$\bar{B}$	Segment length 5°		Segment length 2.5°	
				NSIG ( $P^* \leq P_s$ ) for	SL	NSIG ( $P^* \leq P_s$ ) for	SL
				10000 permutations	$MC_{sl}$	10000 permutations	$MC_{sl}$
<i>C1A</i>	1979	<b>0.3395e-04</b>	<b>1052.9</b>	15	<b>0.0016</b>	1	<b>0.0002</b>
<i>C1B</i>	1401	<b>0.1394e-04</b>	<b>2360.3</b>	8	<b>0.0009</b>	3	<b>0.0004</b>
<i>C2</i>	1455	0.1226	1.4	2103	0.2104	1714	0.1715
<i>C3</i>	2077	<b>0.0132</b>	6.4	454	<b>0.0455</b>	289	<b>0.0290</b>
<i>C4A</i>	526	<b>0.4524e-04</b>	<b>812.8</b>	12	<b>0.0013</b>	1	<b>0.0002</b>
<i>C4B</i>	1556	0.1220	1.4	1771	0.1772	1319	0.1320

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1053 **Table 6.** Results of the application of the statistical tests described in the text considering tidal stress rates (Pa/h).  $P_s$  is the probability  
1054 that the phase angle distribution to be random, according to Schuster's test.  $\bar{B}$  is derived from  $P_s$  according to expression (5). For each  
1055 permutation, a Schuster probability value  $P^*$  is obtained. NSIG is the number of permutations where  $P^* \leq P_s$  for each cluster. SL means  
1056 "significance level". The significance level  $MC_{sl}$  of the permutation test is obtained according to expression (3) in section 3.3.  
1057 Occurrences where  $P_s < 0.05$ ,  $\bar{B} > 16$  or  $MC_{sl} < 0.05$  are in bold. The type of tidal stress considered is confining.  
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