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Wei LU: Conceptualization, Methodology, Writing- Original draft preparation

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Alan L. Kelly: Reviewing and Editing

Song Miao: Supervision, Conceptualization, Writing- Reviewing and Editing

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## **Fabrication and characterization of highly re-dispersible dry emulsions**

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24 **Abstract**

25 Highly re-dispersible dry emulsions were obtained through drying konjac glucomannan  
26 (KGM) or monoglyceride (MG) structured O/W emulsions. Emulsion powders showed  
27 different morphologies, particle size and surface microstructures, depending on the drying  
28 method (spray/freeze-drying), and the emulsion compositions. The introduction of a low level  
29 of KGM (0.15wt%) and MG (1wt%) significantly reduced the level of maltodextrin as wall  
30 material. All powdered emulsions showed rapid re-hydration in water. Compared with  
31 original emulsions before drying, re-constituted emulsions from spray-dried powders showed  
32 slightly increased mean droplet size while that from freeze-dried ones showed slightly  
33 decreased mean droplet size. KGM significantly decreased the initial viscosity ( $p<0.05$ ) but  
34 increased the creaming stability ( $p<0.05$ ) of re-constituted emulsions. Measurement of  $\beta$ -  
35 carotene content in re-constituted oil droplets fractions indicated that emulsion powders have  
36 good re-dispersibility in water (>93% in average). The findings in this study make it possible  
37 to obtain emulsion powders and their reconstitutions with desired properties by structuring  
38 the original emulsions before drying, and confirmed the possibility of KGM and MG in  
39 producing low-cost emulsion powders and the potential of these dry emulsions as novel solid  
40 delivery carriers for lipophilic components.

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42 **Key words:** emulsion, konjac glucomannan, drying, emulsion powder, re-dispersibility

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## 47 **1. Introduction**

48 Emulsions have been widely used for different objectives in the food, nutrition, and  
49 pharmacy industries (McClements, 2015). One of their major applications is as encapsulants  
50 and delivery carriers for functional ingredients, due to their ease of preparation, maintenance  
51 of the physical and chemical stability of encapsulated compounds, potential controlled release  
52 and target delivery, and low cost. Emulsion-based carriers can be employed to functionally  
53 deliver a variety of lipophilic nutrients, such as carotenoids(Mao et al., 2017; Wei et al.,  
54 2018), polyphenols (Lu et al., 2016), vitamins (Parthasarathi, 2016),  $\omega$ -3 fatty acids (Karthik  
55 & Anandharamakrishnan, 2016), and probiotics (Gbassi & Vandamme, 2012). Incorporation  
56 of these health-beneficial nutrients into structured emulsions can not only increase their  
57 stability and shelf-life, but also can significantly improve their oral bioavailability and thus  
58 their health benefits.

59 However, liquid emulsions are dynamically unstable systems, and their stability decreases  
60 with storage time, leading to shortened shelf-life and thus limited application in food industry.  
61 In addition, transportation, storage and packaging of liquid emulsions can incur high cost.  
62 Hence, strategies must be applied to increase the long-term stability (shelf-life) of liquid  
63 emulsions and decrease their transportation/and storage cost at the same time. Several  
64 approaches have been developed to improve the long-term stability of liquid emulsions.  
65 Among these, microencapsulation technology is always considered to be an ideal way of  
66 achieving this (Rosenberg, 1988).

67 Microencapsulation is a packaging technology by which liquid droplets or solid particles  
68 are packed into continuous shells. The shells (or 'walls') are designed to protect the  
69 encapsulated material ('core') from factors that may cause its deterioration. In the food  
70 industry, the technology has been mainly used for the encapsulation of volatiles and  
71 environment-sensitive materials. Spray-drying is one of the mostly used microencapsulation

72 technique for food preservation, which is also a good way of extending the shelf-life of liquid  
73 emulsions through drying them into powders (Vega, 2006; Gharsallaoui et al., 2010).

74 Spray-drying process can potentially promote the instability of emulsions by altering their  
75 interfacial properties (Gharsallaoui et al., 2010). It is therefore important to properly  
76 formulate emulsions those are stable to drying, and/or suitable for converting into powders.  
77 In addition, formulation of liquid emulsions can significantly influence their drying process,  
78 the properties of obtained emulsion powders (Jafari, 2017), and the properties of re-  
79 constituted powdered emulsions in water. In addition, properties of emulsion droplets is  
80 closely related to their digestion, release of ingredients from droplets and subsequent  
81 absorption of these ingredients in the gastrointestinal tract (GIT) (Lu et al., 2017a, 2017b; Lu  
82 et al., 2018; McClements & Li, 2010). Hence, maintaining the uniformity of emulsion droplet  
83 structure before and after drying becomes a critical issue in the drying of emulsions. If the  
84 powdered emulsions show good re-dispersibility in water and re-constituted emulsions still  
85 have intact droplet structure and good stability, such a drying process (including the  
86 formulation of liquid emulsions) is always preferred by researchers and manufacturers.

87 Many strategies have been developed to obtain optimized formulations of liquid emulsions  
88 suitable for spray-drying, such as multilayer emulsions (Wei et al., 2018a, 2018b), addition of  
89 soluble ingredients as 'wall' materials into the water phase of emulsions before drying  
90 process, or combined use of both. Commonly used 'wall' materials include maltodextrin,  
91 gum arabic, dairy proteins, lactose, and cellulose (Aghbashlo et al., 2012; Calvo et al., 2010;  
92 Jayasundera et al., 2009). However, a high levels of wall ingredients were always used in  
93 drying process, which not only can decrease the content of bioactive ingredients encapsulated  
94 in the emulsions but also can significantly increase the cost of the production of powdered  
95 emulsions. For example, maltodextrin (MD), mostly used 'wall' materials in drying liquid  
96 emulsions, was added to the water phase of emulsion in a level of 8%-30% (w/w in liquid

97 emulsion) with the objective of obtaining stable and highly re-dispersible emulsion powders  
98 (Gharsallaoui et al., 2010; Jang et al., 2014). Therefore, new wall materials, which can  
99 produce stable emulsion powders at a low level of addition, are required.

100 Our previous studies showed that konjac glucomannan (KGM) in the water phase of  
101 emulsions can form an intermolecular entanglement, which can significantly enhance the  
102 stability of whey protein-stabilized emulsion droplets and thus can potentially act as the  
103 protective skeleton and ‘wall’ material in spray-, or freeze-drying of emulsions (Lu et al.,  
104 2018). Meanwhile, emulsions containing KGM in the water phase demonstrated sustained  
105 release of entrapped nutrients. In addition, emulsion-based carriers with monoglyceride (MG)  
106 in the oil phase (Lu et al., 2017b) can significantly improve the bioavailability of  
107 encapsulated bioactive nutrients. However, whether these previously-formulated emulsion-  
108 based functional delivery systems can be dried into stable powders is still not clear.  
109 Meanwhile, little is known about the influence of KGM and MG on the properties of obtained  
110 dried emulsions and the properties of their reconstitutions in water.

111 This study was therefore conducted to prepare dry emulsions with KGM and MG  
112 structured liquid emulsions. The effects of KGM and MG on the properties of dry emulsions  
113 by both spray-drying and freeze-drying was also studied.  $\beta$ -carotene was incorporated into  
114 the oil phase of liquid emulsions as an indicator to provide potential useful information of  
115 using structured powdered-emulsion as functional delivery systems for functional lipophilic  
116 ingredients.

## 117 **2. Material and Methods**

### 118 *2.1 Materials*

119 All-*trans*- $\beta$ -carotene (>93%, UV) was purchased from Sigma-Aldrich (St. Louis, MO,  
120 USA). Whey protein isolate (70%  $\beta$ -lactoglobulin and 18%  $\alpha$ -lactalbumin) was purchased  
121 from Davisco Food International (Le Sueur, MN, USA). Sunflower oil (Solesta, >98% fat)

122 was purchased from a local supermarket (ALDI, Fermoy, Co. Cork, Ireland). Monoglyceride  
123 (glycerol monostearate, Danisco, Denmark) was purchased from Cloverhill Food Ingredients  
124 Ltd (Cork, Ireland). Konjac glucomannan (KGM) powder was obtained from Konjac Food  
125 (Cupertino, CA, USA). MALTRIN<sup>®</sup> M180 maltodextrin (DE 16.5-19.5) was obtained from  
126 Grain Processing Corporation (Muscatine, IA, USA). All other chemicals and reagents used  
127 were of AR-grade and obtained from Sigma-Aldrich (St. Louis, MO, USA).

128

## 129 *2.2 Preparation of Emulsions for Freeze Drying*

130 Whey protein isolate (WPI) was dispersed (2%, w/w in final emulsion) in distilled water  
131 containing sodium azide as antimicrobial agent (0.01% w/w). The dispersion was stirred for 4  
132 h and kept at 4 °C overnight for complete dissolution of WPI. The oil phase was prepared by  
133 dissolving  $\beta$ -carotene (0.05%, w/w in oil phase) or  $\beta$ -carotene (0.05%, w/w in oil phase) and  
134 monoglyceride (2%, w/w in final emulsion) in sunflower oil (10%, w/w in final emulsion) at  
135 140 °C, followed by cooling and mixing at room temperature with the water phase (WPI  
136 dispersions) at 10,000 rpm for 2 min using an Ultra-Turrax (IKA, Staufen, Germany)  
137 followed by homogenization (APV 1000, SPX Flow Technology, Charlotte, North Carolina,  
138 USA) at 50 MPa for 3 passes, also at room temperature, to obtain primary emulsions.

139 The primary emulsions were mixed (1:1, w/w) with 12% or 4% maltodextrin (MD), 0.3%  
140 konjac glucomannan (KGM), or maltodextrin-KGM (0.3% KGM with 12% or 4% MD)  
141 solutions. The mixtures were then stirred for 1 h at room temperature to obtain final  
142 emulsions. Thirty mL of each final emulsion was then dried in a freeze-dryer (FreeZone 6  
143 liters Benchtop Freeze Drying System, Labconco Corporation, Kansas City, MO, USA) at -  
144 40°C for 72h.

145



### 146 2.3 Preparation of Emulsions for Spray Drying

147 The compositions and preparation of emulsions for spray drying was similar to that of  
148 emulsion for freeze-drying described above with some modifications. The oil phase  
149 containing  $\beta$ -carotene (0.05%, w/w in oil phase) with or without MG was mixed with water  
150 phase with emulsifier (WPI, 2%, w/w in final emulsions) at 10,000 rpm for 4 min at room  
151 temperature using an Ultra-Turrax (IKA, Staufen, Germany) followed by further two-stage  
152 homogenization (TwinPanda 400, GEA Mechanical Equipment Italia, Parma, Italy) for 3  
153 passes at room temperature to obtain primary emulsions. The pressure was 35 MPa for the  
154 first stage and 7 MPa for the second stage.

155 Primary emulsions with different compositions were mixed (1:1, w/w) with maltodextrin  
156 (MD) (12% or 4%), or maltodextrin-KGM (0.3% KGM with 12% or 4% MD) solution. The  
157 mixtures were then stirred for 1 h at room temperature to obtain final emulsions. About 5 kg  
158 of each final emulsion were dried by a pilot-scale ANHYDRO spray dryer with a centrifugal  
159 atomizer (Copenhagen, Denmark) at Teagasc Food Research Centre (Moorepark, Fermoy, Co.  
160 Cork, Ireland). The inlet temperature was 185 °C and the outlet temperature was 85 °C.

### 161 2.4 Preparation of reconstituted emulsions

162 The obtained freeze-dried emulsion powders were re-constituted with distilled water (25°C)  
163 to the same volume (30 mL) as before drying. The obtained spray-dried emulsion powders  
164 were reconstituted with distilled water (pre-heated to ~50 °C) to the same total solids content  
165 as it was before drying. The re-constituted emulsions were stirred for 30 min before testing  
166 their droplet size, surface charge, creaming stability and viscosity.

### 167 2.5 Droplet Size and Surface Charge

168 The droplet size and zeta potential of KGM emulsions were measured by a laser particle  
169 analyzer (Nano-ZS, Malvern Instruments, Worcestershire, UK) as described previously (Lu

170 et al., 2016). Emulsions were diluted to a final oil content (w/w) of 0.01% before testing. The  
171 refractive index (RI) of samples was set at 1.47 for sunflower oil.

### 172 *2.6 Creaming Stability*

173 The creaming stability of emulsions was evaluated using a Lumisizer (LUM GmbH, Berlin,  
174 Germany) as described previously (Lu et al., 2016). Emulsions were centrifuged at 2,300 g at  
175 25 °C with a scanning rate of once every 10 s for 1,200 s. Following the test, curves of the  
176 integrated level of transmitted light against time were plotted, and the slope of each curve  
177 was taken as the light transmission rate or Creaming Index (CI).

### 178 *2.7 Rheological Analysis*

179 Rheological measurements were performed using an ARG2 rheometer (TA Instruments,  
180 Crawley, UK) based on the method of (Lu et al., 2017b). A concentric cylinder geometry was  
181 used, and 20 mL of each sample was placed into the inner cylinder and equilibrated for 2 min  
182 before measurement. Viscosity testing was performed over a shear rate range of 0-300 s<sup>-1</sup> at  
183 25 °C.

### 184 *2.8 Laser Scanning Confocal Microscope Observation*

185 A Leica TCS SP5 confocal laser scanning microscope (CLSM; Leica Microsystems CMS  
186 GmbH, Wetzlar, Germany) was used for powder particles visualization. Spray-dried and  
187 freeze-dried powder particles were placed onto a glass slide and labeled using a mixture of  
188 Fast Green and Nile Red. The dye mixture containing Fast Green (0.1 g/L) and Nile Red (0.1  
189 g/L) were dissolved in polyethylene glycol in a ratio 1:40 of Fast Green to Nile Red, which  
190 allowed diffusion of the dye molecules into the particles whilst not influencing the particle  
191 morphology and preventing solubilization. Dual excitation at 488 nm/633 nm was used.  
192 Confocal images of each systems were taken using 63x oil immersion objective with  
193 numerical aperture 1.4 z-Stacks were obtained in order to generate a three-dimensional

194 structure of the particle and to identify surface lipid staining. Red and Green pseudo-colored  
195 pictures (8-bit), 512x512 pixels in size, were acquired using a zoom factor of 1-3.

### 196 *2.9 Scanning Electronic Microscopy*

197 Spray-dried and freeze-dried emulsion powders were attached to double-sided adhesive  
198 carbon tabs mounted on scanning electron microscope stubs, and then coated with chromium  
199 (K550X, Emitech, Ashford, UK). Scanning electron microscopy images were collected using  
200 a Zeiss Supra 40P field emission SEM (Carl Zeiss SMT Ltd., Cambridge, UK) at 2.00 kV.  
201 Representative micrographs were taken at 200 $\times$ , 500 $\times$ , 1000 $\times$ , 5000 $\times$  and 10000 $\times$   
202 magnification.

### 203 *2.10 Quantification of $\beta$ -carotene*

205  $\beta$ -carotene was extracted from re-constituted emulsions with ethanol/n-hexane (sample:  
206 ethanol: n-hexane=1:2:10, v/v). The n-hexane extracts were combined and dried under a  
207 stream of nitrogen gas, and dissolved in ethanol for HPLC analysis.

208 Reversed-phase high performance liquid chromatography (RP-HPLC) was used to quantify  
209  $\beta$ -carotene as described previously ([Lu et al., 2016](#)). Briefly, an Agilent 1200 series system  
210 with a DAD UV-Vis detector (Agilent, Santa Clara, CA, USA) and a reversed-phase TSKgel  
211 ODS-100v C<sub>18</sub> column (4.6 $\times$ 250 mm, 5  $\mu$ m, TOSOH) was employed. Chromatography  
212 conditions were as follows: column operation temperature at 30  $^{\circ}$ C; elution performed with  
213 90% ethanol and 10% acetonitrile from 0-30 min; flow rate of 1 mL/min; detection  
214 wavelength of 450 nm, and injection volume of 20  $\mu$ L.

### 215 *2.11 Re-dispersibility of powdered emulsions*

216 The dried emulsion powders were re-constituted with distilled water to the same total  
217 solids content as it was before drying, and stirred for 2 hours at room temperature before  
218 testing. Re-dispersibility of dry emulsions was calculated based on the following equation:

$$219 \quad \text{Re-dispersibility (\%)} = \frac{\beta\text{-carotene content in reconstituted emulsions}}{\beta\text{-carotene content in emulsions before drying}} \times 100$$

## 220 *2.12 Statistical analysis*

221 All experiments were repeated at least three times. One-way analysis of variance (ANOVA)  
222 was employed to compare means of data. A t-Test was used to determine the differences  
223 between means. Significant differences were determined at the 0.05 level ( $p < 0.05$ ).

224

## 225 **3. Results and Discussion**

### 226 **3.1 Particle Morphology**

#### 227 *Scanning electronic microscopy (SEM) observation*

228 Five liquid emulsions were formulated to investigate the possibility of obtaining spray-  
229 dried emulsion powders by using low level of ‘wall’ materials. As is shown in **Fig.1**, all  
230 liquid emulsions were successfully spray-dried into dry powders, which usually showed  
231 approximately spherical particles with a concavo-convex surface (**Fig. 1f-j**). The liquid oil  
232 droplets with irregular shapes (**Fig. 1h, red arrow**) were embedded within the ‘wall’  
233 materials (MD or KGM) and located on the surface of the powders. Emulsions containing  
234 2wt% MD and 0.15wt% KGM were spray-dried into dry powders with similar morphology  
235 properties to that containing high level of MD (6 wt%), indicating that the addition of KGM  
236 can significantly reduce the level of MD (from 6 wt% to 2 wt%), which is frequently used as  
237 a protective wall material for emulsion oil droplets during drying (Anwar & Kunz, 2011;  
238 Balasubramani et al., 2015; Gharsallaoui et al., 2007). The results indicate the potential of  
239 KGM as an ideal protective ‘wall’ material in spray-drying of liquid emulsions. In addition,  
240 KGM has been reported to have many potential health benefits (Chua et al., 2010) and thus  
241 the utilization of KGM can also add nutritional value to the obtained dried emulsions.

242 In addition, an empty-inside structure of these spray-dried powders was observed (**Fig. 2b**).  
243 During drying, solutes carrying water migrate to the surface of the atomized liquid droplets,

244 leading to an empty inside of the droplets (Gaiqing, 2006). In addition, solutes can rapidly  
245 deposit at the surface of the atomized droplets and form a shell (**Fig. 2b, red arrows**), which  
246 can prevent the mass transfer of the droplets, although the heat transfer is not affected. Thus,  
247 the temperature of the liquids inside the droplets keeps increasing. When the internal gas  
248 pressure is higher than the mechanical strength of the shell layer, gaseous molecules inside  
249 the droplets can break through the shell layer, forming cavities on the shell of particles (**Fig.**  
250 **2b, blue arrows**) or creating empty-inside particles.

251 Freeze-dried emulsion powders had significantly different morphologies compared with  
252 spray-dried ones. Freeze-dried powders showed flake-like shapes with smooth or folded  
253 surfaces depending on different formulas (**Fig. 3**). Freeze-dried powder containing 6wt% MD  
254 had a very smooth surface, while that containing 0.15 wt% KGM showed a coarse surface;  
255 powders containing both MD and KGM showed a transition from a smooth surface to coarse  
256 one with decreasing MD content. In addition, oiling-out was not observed in freeze-dried  
257 powders, suggesting that the introduction of KGM (only 0.15 wt%) can significantly reduce  
258 the level of MD (from 6 wt% to 0 wt%) without affecting the preparation of freeze-dried  
259 emulsion powders. The results accordingly confirm the potential of KGM as a functional  
260 agent in maintaining the structure of oil droplets in a freeze-drying process, potentially by  
261 delaying the growing of ice crystals and/or reinforcing the interfacial layer (Lu et al., 2018).

#### 262 *Particle size*

263 Spray-dried powders showed a wide particle size distribution under SEM, mainly falling in  
264 the range of 3~35  $\mu\text{m}$  (**Fig.1**). Similar results were also obtained by laser diffraction  
265 (Mastersizer 3000) (**Fig. 2a**). The addition of KGM or MG and reducing MD level all led to  
266 increased mean particle size. The powders containing MG showed very large particles (**Fig.**  
267 **2a, red arrow**), which may be attributed to their larger droplets formed by atomizing due to a  
268 high density of droplets induced by crystallization of MG.

269 The particle size of freeze-dried powders was not tested with Mastersizer 3000 due to their  
270 irregular shape. Therefore, the comparison of particle size between freeze-dried and spray-  
271 dried powders was mainly based on the SEM images. As was observed in many previous  
272 studies, freeze-dried powders showed larger particle size than spray-dried ones (**Fig. 1& Fig.**  
273 **3**), and it was hard to conclude the difference in particle size of different formulas.

#### 274 *Composition distribution*

275 Confocal laser scanning microscope (CLSM) was employed to further investigate the  
276 distribution of oil droplets in powders. As described above, spray-dried powders showed  
277 irregular spherical particles with porous surface and hollow structures (**Fig. 4a-e**). The ‘wall’  
278 materials (MD and/or KGM) formed the shell layer of these particles, and oil droplets were  
279 (green color) distributed among the shell layers.

280 It was clearly observed by CLSM that freeze-dried powders immediately re-dispersed into  
281 O/W emulsion with regular spherical emulsified oil droplets after mixing with dye solution  
282 containing water (**Fig. 4f-i**), indicating that the freeze-dried powders have an excellent water  
283 solubility. Freeze-dried powders are always porous, and can be easily and completely re-  
284 hydrated, forming stable dispersions (Ratti, 2009), which explains why the freeze-dried  
285 powders immediately re-disperse into liquid emulsions after mixing with aqueous solutions.

286 Generally, morphology of dried emulsion powders (shape, size, or composition distribution)  
287 is influenced by several parameters, such as the drying temperature, solids content in the feed,  
288 the drying rate (Walton & Mumford, 1999), compositions, or viscoelastic properties of the  
289 adsorbed surface film of the drying drop (Nuzzo et al., 2014). In this study, the spray-drying  
290 and freeze-dry parameters were similar for all samples treated with each process. Hence, the  
291 differences in the particle morphology (microstructure and droplet size) are mainly attributed  
292 to the MD content (6% or 2%), the compositions of liquid emulsions (KGM or MG), and the  
293 viscosity of liquid emulsions (KGM). The decrease in MD content and the introduction of

294 MG both resulted in an increased particle size of spray-dried powders. MD at a low  
295 concentration cannot effectively separate neighboring oil droplets, lead to their increased  
296 chance of colliding and aggregating in drying process and thus increased mean particle size  
297 of final powders. In addition, KGM led to a minor increase in the particle size (**Fig.2a**), but  
298 significantly reduced the level of MD and thus the cost of obtaining emulsion powders. This  
299 has an enormous market prospect based on the fact that food emulsions are increasingly used  
300 as functional delivery carriers in food, nutrition, and biomedical industries. KGM is therefore  
301 considered as an ideal protective agent which can be widely used in the drying process of  
302 functional food emulsion systems without any toxic and side-effects.

303

### 304 **3.2 Properties of Re-constituted Emulsions**

305 One of the most important properties of dried emulsion powders is their ability to re-  
306 disperse into liquid emulsions with original properties. Thus, all dry emulsion powders were  
307 re-dispersed into water and properties of the re-constituted emulsions were tested, including  
308 droplet size, surface charge, viscosity, creaming stability, and re-dispersibility.

#### 309 *Droplet size*

310 Spray-drying process always cause a significantly increase in the droplet size of emulsions  
311 (Gharsallaoui et al., 2010; Klinkesorn et al., 2006; Serfert et al., 2013), which accordingly  
312 can influence their stability and functionality. Thus, the droplet size of emulsion before and  
313 after spray-drying process was first tested. Compared with previous studies (Drapala et al.,  
314 2017; Gharsallaoui et al., 2010; Klinkesorn et al., 2006; Serfert et al., 2013), the increase in  
315 the droplet size of re-constituted emulsions was significantly improved in this study. The  
316 average droplet size of re-constituted spray-dried emulsions varied from 260 nm to 310 nm  
317 (**Table 1**), which were slightly larger than their original emulsions before drying. However,  
318 such differences in droplet size may be not enough to significantly affect their stability and

319 relevant functionality, e.g., digestion and bioavailability of bioactive nutrients in emulsion-  
320 based delivery carriers (Lu et al., 2017a). In addition, size distribution of reconstituted  
321 emulsions showed a shift towards larger particle sizes (data not shown).

322 In terms of re-constituted emulsions from freeze-dried powders, these showed slightly  
323 decreased average droplet size (**Table 2**) and their size distributions were also shifted towards  
324 smaller particle sizes (data not shown), as compared to original emulsions. A decrease in the  
325 average droplet size after freezing process was also observed in our previous study (Lu et al.,  
326 2018), and was mainly attributed to the break-down of some large oil droplets during  
327 freezing due to the formation of ice crystals. The ice crystals can break down the interfacial  
328 emulsifier layers surrounding the droplets and lead to oiling-off of emulsions (Mao et al.,  
329 2015). In addition, significant droplet aggregation of re-constituted emulsion containing MG  
330 was observed (data not shown), which accordingly led to its dramatically increased average  
331 droplet size (**Table 1**).

### 332 *Surface Charge*

333 All original emulsions and reconstituted emulsions were negatively charged due to  
334 negatively charged whey proteins used as emulsifiers. Reconstitution of spray-dried powder  
335 containing 6 wt% MD and 0.15 wt% KGM showed a significantly increased surface charge  
336 as compared to the original emulsion ( $p<0.05$ ) (**Table 1**). Similar result was observed for  
337 reconstitutions of freeze-dried powders containing MG ( $p<0.05$ ) (**Table 2**). The pH value of  
338 the re-constituted emulsions and original emulsions were almost the same (around 6.8), and  
339 thus the difference in surface charge is not induced by pH. It is probably due to the absorption  
340 of negatively-charged free whey protein molecules onto the surface of oil droplets during  
341 drying process. The increased surface charge of reconstitutions actually can be considered as  
342 a positive change, because increased surface charge of oil droplets can potentially improve  
343 the emulsion stability by increasing electrostatic repulsion between droplets (McClements,



344 2015). Surface charge of other emulsions did not show significant differences before and  
345 after drying process.

### 346 *Viscosity*

347 Viscosity of all emulsions and re-constituted emulsions showed shear-thinning behavior  
348 (data not shown), as previously reported (Lu et al., 2017a, 2017b; Lu et al., 2018). Original  
349 emulsions containing KGM showed the highest initial viscosities, followed by emulsions  
350 containing MG and MD, respectively (**Fig. 5**). The results indicate that KGM and MG can  
351 significantly influence the viscosity of emulsions, but MD had little impact on the emulsion  
352 viscosity. KGM or MG induced increase in the viscosity of emulsions could be also seen in  
353 our previous studies (Lu et al., 2017; Lu et al., 2018; Mao et al., 2014; Mao et al., 2012).

354 Compared with original emulsions before drying, reconstituted emulsions containing both  
355 KGM and MD showed significantly decreased viscosity ( $p<0.05$ ), while no significant  
356 differences were observed for others. This is probably attributed to two reasons: (i) de-  
357 polymerization and/or ordered arrangement of KGM molecules during the freezing process,  
358 which led to a weak intermolecular interaction and/or chain entanglement and thus a low  
359 viscosity (Villay et al., 2012); and (ii) absorption of KGM molecules to the surface of  
360 droplets in the spray-drying process, which accordingly reduced the content of free KGM in  
361 the water phase and thus led to decreased emulsion viscosity.

### 362 *Creaming Stability*

363 For the creaming stability test, curves of the integrated light transmission against time were  
364 plotted, and the slope of each curve was taken as the light transmission rate (%/second) or  
365 creaming index (CI). A higher value of this parameter indicates a lower creaming stability of  
366 emulsions.

367 Original emulsions containing KGM showed more rapid creaming than those without  
368 KGM (**Fig. 6 a,b**), which was also observed in our previous study (Lu et al., 2018). This is

369 mainly attributed to depletion flocculation of emulsion droplets by non-absorbed KGM,  
370 which can generate an attractive osmotic force between droplets (Dickinson, 2019). This  
371 osmotic force increases with increasing concentration of KGM until it is large enough to  
372 overcome the repulsive forces between droplets and cause their flocculation. In addition, the  
373 existence of high concentration of MD can even result in a faster creaming of original  
374 emulsion containing KGM ( $p<0.05$ ). In contrast, the introduction of MG can significantly  
375 decrease the creaming velocity, which is mainly attributed to the MG-induced crystallization  
376 of the oil phase (Mao et al., 2014).

377 Compared with original emulsions before drying, reconstituted emulsions containing KGM  
378 (from both spray-dried and freeze-dried powders) showed better creaming stability ( $p<0.05$ )  
379 (**Fig. 6a, b**), while others showed a slightly decreased creaming stability. The viscosity of  
380 these re-constituted emulsions all significantly decreased as compared with the original  
381 emulsions. Therefore, based on Stokes' law, the increased creaming stability (**Fig. 6a, b**) may  
382 be mainly attributed to: (i) increased particle density ( $\rho$ ) of the droplets by the potential  
383 absorption of KGM to the oil droplets surface during spray-drying, and reduced depletion  
384 flocculation effect due to a lower content of KGM in the water phase; (ii) smaller droplet size  
385 ( $r^2$ ) of re-constituted emulsions from freeze dried powders (**Table 2**), and a reduced depletion  
386 flocculation effect due to the de-polymerization and/or ordered arrangement of KGM  
387 molecules during the freezing process.

388 In addition, creaming velocity of re-constituted emulsion containing MG dramatically  
389 increased nearly 20-fold after freeze-drying, and a significant creaming layer in the top was  
390 observed. This is mainly caused by the aggregation of droplets after re-dispersing into water,  
391 as described above (**Table 2**).

392 *Re-dispersibility*

393 Re-dispersibility is one of the most important factors that will be considered to evaluate the  
394 quality of powdered emulsions, and a good re-dispersibility of powdered emulsion is also  
395 crucial to their application in food industry. Thus, the re-dispersibility of powdered emulsions  
396 was analysed in this study.

397 All spray-dried powders showed rapid re-dispersing in water at room temperature, and  
398 freeze-dried powders showed an even faster re-dispersing than spray-dried ones. Freeze-dried  
399 powders were found to re-constitute into O/W emulsions immediately after contacting water,  
400 with clearly visible spherical intact oil droplets (**Fig. 4i-f**). For powders with similar  
401 formulas, re-dispersibility is mainly determined by microstructure and particle size of  
402 powders (Selomulya., 2013). Compared with a spherical particle shape of spray-dried  
403 powders, irregular flake-like shape and porous structure were apparently better at facilitating  
404 the wetting and reconstituting of freeze-dried powders in water. In addition, a significant  
405 larger particle size of freeze-dried powders (**Fig. 1**) than spray-dried powders can be seen  
406 (**Fig. 3**). Generally, food powders with larger particle size can be more easily rehydrated than  
407 those with smaller particles (Selomulya., 2013). All these factors can potentially explain why  
408 freeze-dried powders showed faster re-dispersing.

409 The re-dispersibility of powdered emulsion was quantitatively analyzed by testing the  
410 content of  $\beta$ -carotene in the re-constituted oil droplets fraction. As shown in **Fig. 7a**, spray-  
411 dried powders all showed good re-dispersibility (>90%). The introduction of KGM (0.15wt%)  
412 or MG (1wt%) can significantly reduce the level of MD (from 6wt% to 2wt%), confirming  
413 the possibility of obtaining powdered forms of KGM or MG structured liquid emulsions and  
414 the potential of KGM as protective 'wall' materials in spray-dry of emulsions as shown in  
415 **Fig.1**.

416 Freeze-dried powders also showed good re-dispersibility (>85%), except for the powder  
417 containing MG, which only showed a tested re-dispersibility of 44% (**Fig. 7b**). Huge  
418 aggregates which cannot be re-dispersed can be seen on the top of the emulsions. Similarly,  
419 the addition of KGM also significantly reduced the level of MD (from 6 wt% to 0 wt%)  
420 required in freeze-drying of emulsions. Powder containing 0.15wt% KGM showed the  
421 highest re-dispersibility of 96%, but did not significantly differ from other samples without  
422 MG. Freezing of emulsions can lead to the formation of ice crystals, and the ice penetration  
423 can potentially induce the break-down of the interfacial emulsifier layers surrounding the oil  
424 droplets, resulting in oiling-off of emulsions. The incorporation of some food biopolymers,  
425 e.g., maltodextrin or KGM, can significantly enhance the freeze-thaw stability and reduce the  
426 oiling-off of emulsions during freezing (Lu et al., 2018; Mao et al., 2015). The results further  
427 confirm the potential of KGM as an ideal protective agent in the freeze-drying of O/W  
428 emulsions.

429 Obtaining stable and highly-dispersible powdered emulsions is a topic of significant  
430 interest in the field of food emulsions. A 'wall' material is always required in the drying  
431 process of emulsions, and the main purpose of using 'wall' materials is to coat oil droplets  
432 and protect them from aggregating during drying process. However, utilization of high levels  
433 of wall materials (>50wt% in powders) can lead to a high cost and decreased content of  
434 functional components encapsulated in emulsions. Thus, it is valuable to develop optimized  
435 emulsion formulas with significantly reduced levels of 'wall' materials. The main findings in  
436 this study demonstrated that utilization of very low level of KGM (<1wt% in the final  
437 powders) can obtain stable spray-, and freeze-dried emulsion powders with high re-  
438 dispersibility. Reduced levels of wall material accordingly results in lower cost of the  
439 powders. Therefore, edible and health-beneficial KGM has clear potential in acting as a wall  
440 material of liquid dispersions being subjected to drying process in the food industry.

441

#### 442 **4. Conclusions**

443 Emulsion powders were obtained through spray-, or freeze-drying of KGM or MG  
444 structured O/W emulsions. The introduction of KGM and MG significantly reduced the level  
445 of wall material (MD). All emulsion powders showed rapid re-hydration in water. Spray-  
446 drying process increased the mean droplet size of KGM, and MD structured emulsions, while  
447 the opposite result was observed for freeze-drying process. KGM significantly decreased the  
448 initial viscosity ( $p < 0.05$ ) but increased the creaming stability of reconstituted emulsions.  
449 ( $p < 0.05$ ). The results of  $\beta$ -carotene content in re-constituted oil droplets fractions indicated  
450 that obtained emulsion powders have good re-dispersibility in water.

451 The findings in this study confirmed the possibility of using low level of KGM or MG-  
452 structured emulsions to prepare spray-dried or freeze-dried powders containing bioactive  
453 nutrients ( $\beta$ -carotene as an example). The results overall contribute to a better understanding  
454 of the relationship between liquid emulsion structure and the properties of their dried  
455 powders, making it possible to obtain emulsions powders and well-reconstituted emulsions  
456 with desired properties by structuring the liquid emulsions with proper biopolymers. The  
457 results also confirmed the great potential of KGM and MG in industrial production of low-  
458 cost emulsion powders.

459

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464 **Notes**

465 The authors declare no conflict of interest.

466

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## Figure captions

**Figure 1.** Scanning electron microscopy images of spray-dried emulsions containing (a, f) 6% MD; (b, g) 6% MD and 0.15% KGM; (c, h) 2% MD and 0.15% KGM; (d, i) 6% MD and 1% MG; (e, j) 2% MD and 1% MG. MD indicates maltodextrin; KGM indicates konjac glucomannan; MG indicates monoglyceride. a-e:1000×, f-i:5000×

**Figure 2.** (a) Particle size distribution of spray-dried emulsion powders. MD indicates maltodextrin; KGM indicates konjac glucomannan; MG indicates monoglyceride. Insert (table): mean particle size (D[3,2]) of spray-dried powders. (b) SEM images of hollow structures in spray-dried powders

**Figure 3.** Scanning electron microscopy images of freeze-dried emulsions containing (a, f) 6% MD; (b, g) 6% MD and 0.15% KGM; (c, h) 2% MD and 0.15% KGM; (d, i) 0.15% KGM; (e, j) 6% MD and 1% MG. MD indicates maltodextrin; KGM indicates konjac glucomannan; MG indicates monoglyceride.

**Figure 4.** Confocal laser scanning microscopy images of spray-dried (a-e) and freeze-dried (f-i) emulsions. **Spray dried emulsions:** (a) 6% MD, (b) 6% MD and 0.15% KGM, (c) 2% MD and 0.15% KGM, (d) 6% MD and 1% MG, (e) 2% MD and 1% MG; **Freeze-dried emulsions:** (f) 6% MD, (g) 6% MD and 0.15% KGM, (h) 2% MD and 0.15% KGM, (i) 0.15% KGM. MD indicates maltodextrin; KGM indicates konjac glucomannan; MG indicates monoglyceride. Mixed dyes of Nile red and fast green were used to dye fat and protein, respectively, i.e., Green color indicates fat and red color indicates proteins.

**Figure 5.** Initial viscosity of reconstituted (a) spray-dried emulsions and (b) freeze-dried emulsions. KGM indicates konjac glucomannan; MD indicates maltodextrin; MG indicates monoglyceride (\* indicates a difference at  $p<0.05$ ).

**Figure 6.** Creaming stability of reconstituted (a) spray-dried emulsions and (b) freeze-dried emulsions. KGM indicates konjac glucomannan; MD indicates maltodextrin; MG indicates monoglyceride.

**Figure 7.** Re-dispersibility of (a) spray-dried and (b) freeze-dried emulsions.; KGM indicates konjac glucomannan; MD indicates maltodextrin; MG indicates monoglyceride; KGM indicates konjac glucomannan (\* indicates a difference at  $p<0.05$ ).

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635 **Table 1** Mean droplet size, zeta potential (ZP), and polydispersity index (PdI) of liquid emulsions and their re-constituted spray-dried emulsions (n=3 )

Emulsions	Before spray-drying			After re-constitution		
	Size (d.nm)	ZP (mV)	PdI	Size (d.nm)	ZP (mV)	PdI
6%MD	250±7 <sup>a</sup>	-27.9±0.6 <sup>a</sup>	0.194±0.044 <sup>a</sup>	265±9 <sup>a</sup>	-33.2±0.3 <sup>b</sup>	0.283±0.016 <sup>b</sup>
6%MD +0.15%KGM	278±7 <sup>a</sup>	-27.6±0.6 <sup>a</sup>	0.191±0.044 <sup>a</sup>	311±20 <sup>a</sup>	-34.9±0.7 <sup>b</sup>	0.377±0.007 <sup>b</sup>
2%MD +0.15%KGM	239±9 <sup>a</sup>	-29.1±0.6 <sup>a</sup>	0.220±0.014 <sup>a</sup>	284±1 <sup>b</sup>	-35.5±1.2 <sup>b</sup>	0.290±0.050 <sup>a</sup>
6%MD +1%MG	233±5 <sup>a</sup>	-34.7±0.7 <sup>a</sup>	0.312±0.005 <sup>a</sup>	261±10 <sup>b</sup>	-36.4±1.2 <sup>a</sup>	0.306±0.031 <sup>a</sup>
2%MD +1%MG	184±14 <sup>a</sup>	-31.3±0.8 <sup>a</sup>	0.314±0.011 <sup>a</sup>	265±7 <sup>b</sup>	-35.1±1.4 <sup>b</sup>	0.314±0.011 <sup>a</sup>

636 \* MD indicates maltodextrin; KGM indicates konjac glucomannan; MG indicates monoglyceride. <sup>a</sup>Different letters indicate significant difference between  
637 values of before spray-drying and after re-constitution ( $p<0.05$ )

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641 **Table 2** Mean droplet size, zeta potential (ZP), and polydispersity index (PdI) of liquid emulsions and re-constituted freeze-dried emulsions (n=3)

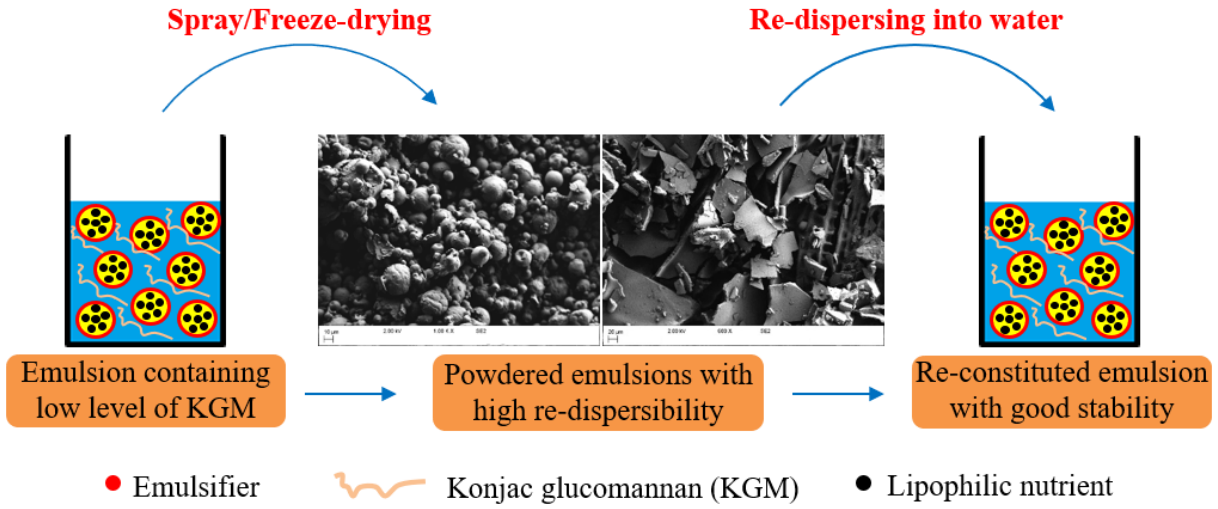
Emulsions	Before freeze-drying			After re-constitution		
	Size (d.nm)	ZP (mV)	PdI	Size (d.nm)	ZP (mV)	PdI
6%MD	220±5 <sup>a</sup>	-33.1±0.3 <sup>a</sup>	0.223±0.020 <sup>a</sup>	212±3 <sup>a</sup>	-32.2±0.5 <sup>a</sup>	0.260±0.008 <sup>b</sup>
0.15%KGM	219±6 <sup>a</sup>	-33.5±0.3 <sup>a</sup>	0.235±0.016 <sup>a</sup>	208±19 <sup>a</sup>	-33.5±0.2 <sup>a</sup>	0.261±0.023 <sup>a</sup>
6%MD+0.15%KGM	217±4 <sup>a</sup>	-32.0±0.6 <sup>a</sup>	0.238±0.019 <sup>a</sup>	210±5 <sup>a</sup>	-32.9±0.6 <sup>a</sup>	0.258±0.022 <sup>a</sup>
2%MD+0.15%KGM	219±3 <sup>a</sup>	-33.6±0.7 <sup>a</sup>	0.237±0.014 <sup>a</sup>	207±6 <sup>b</sup>	-35.3±0.7 <sup>b</sup>	0.274±0.023 <sup>a</sup>
6%MD+1%MG	228±3 <sup>a</sup>	-29.8±0.4 <sup>a</sup>	0.341±0.006 <sup>a</sup>	3229±911 <sup>b</sup>	-37.8±2.8 <sup>b</sup>	0.874±0.191 <sup>b</sup>

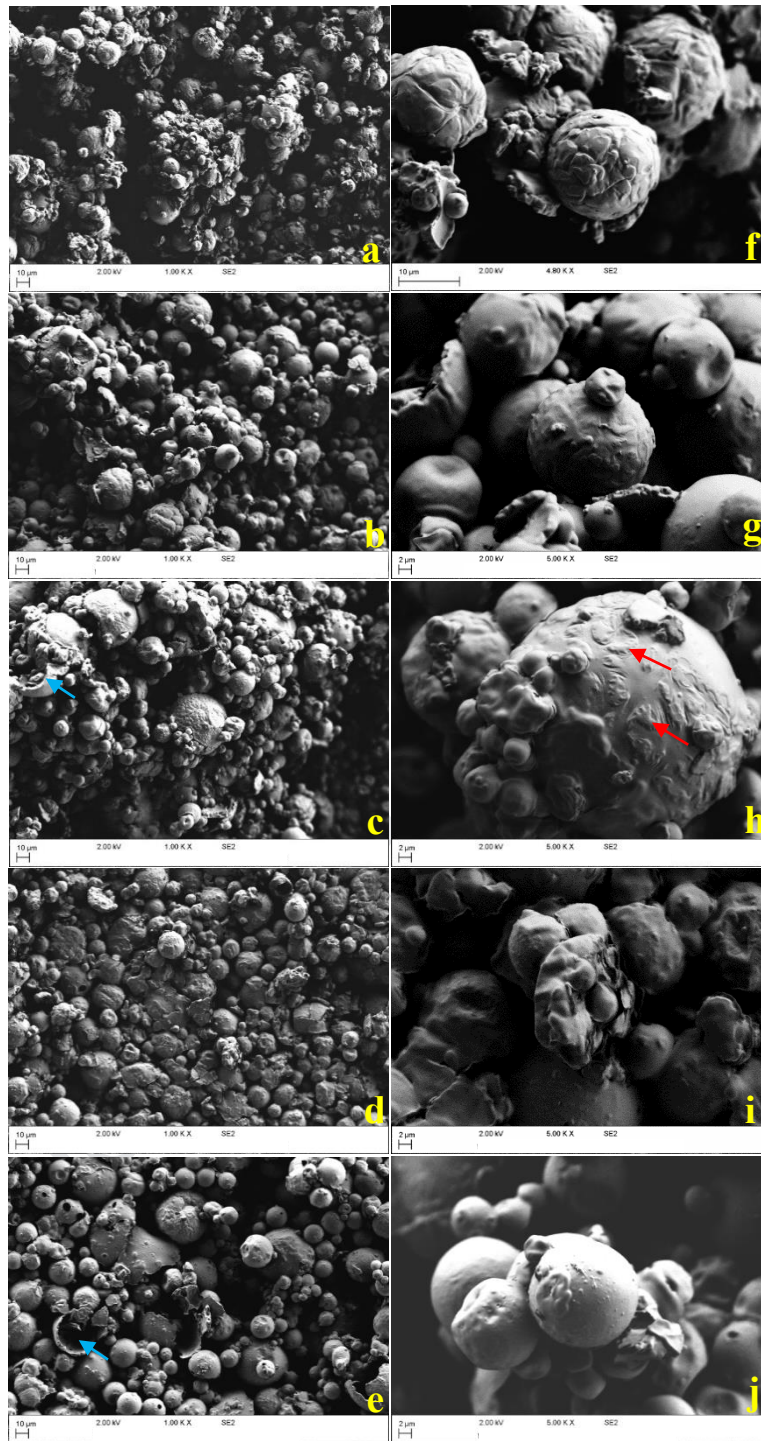
642 \* MD indicates maltodextrin; KGM indicates konjac glucomannan; MG indicates monoglyceride. <sup>a</sup>Different letters indicate significant difference between  
643 values of before freeze-drying and after re-constitution ( $p<0.05$ )

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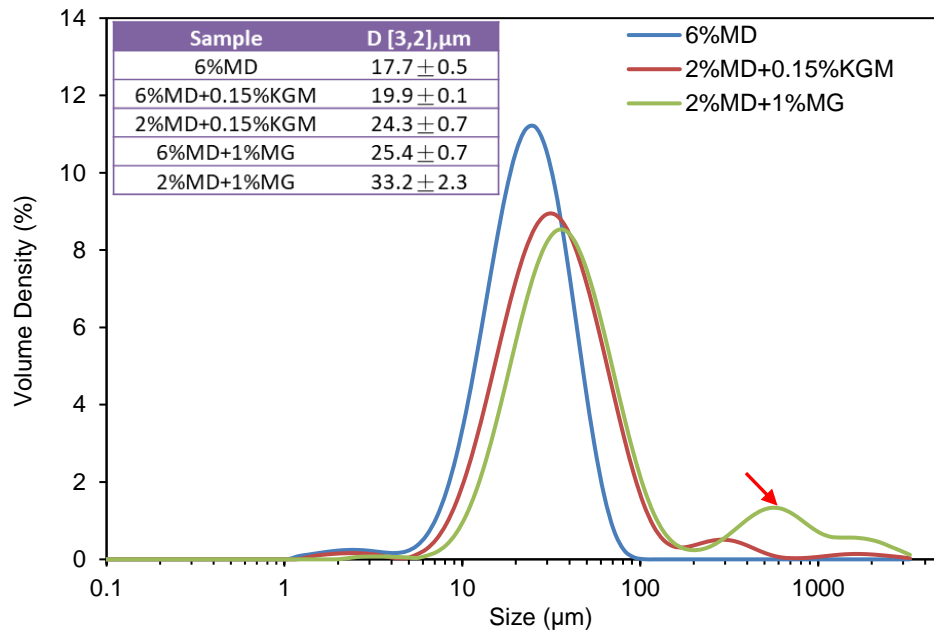
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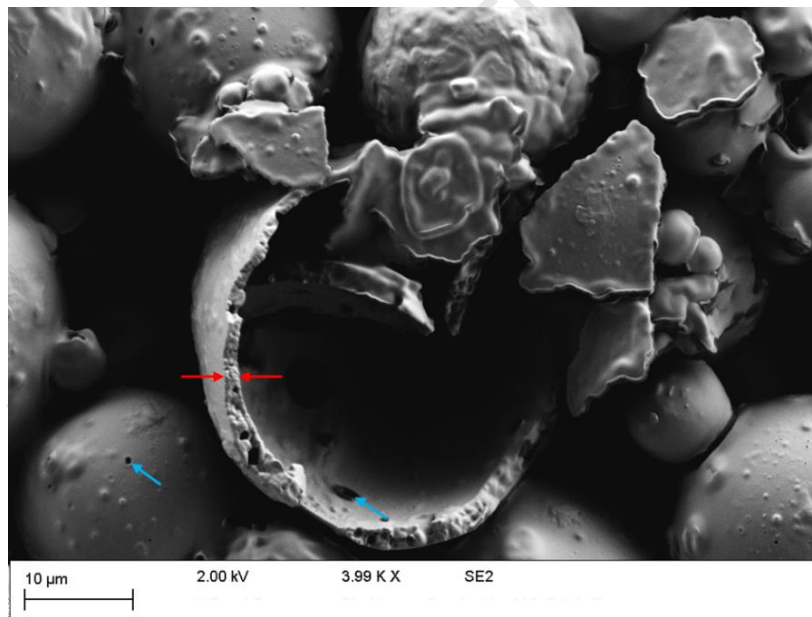




**Fig.1**



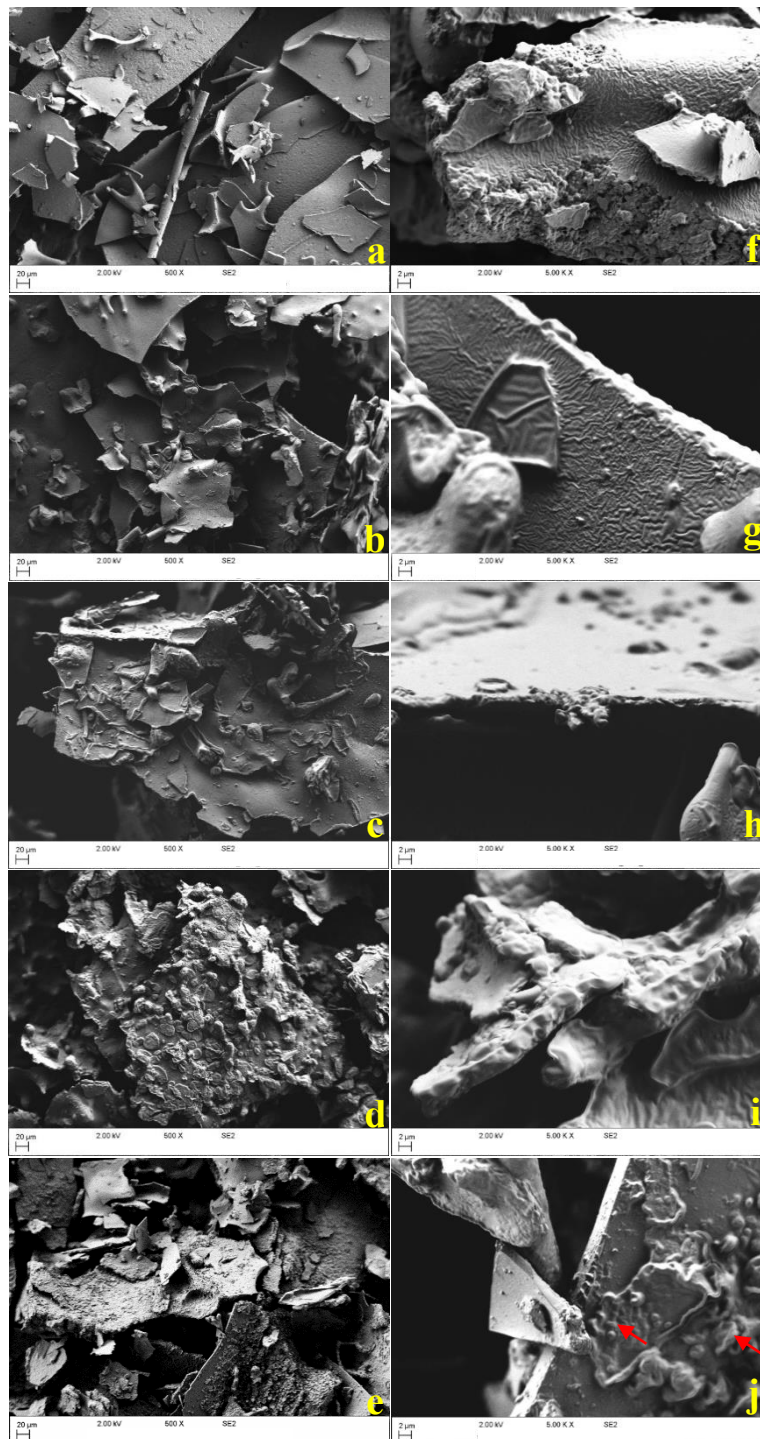
(a)



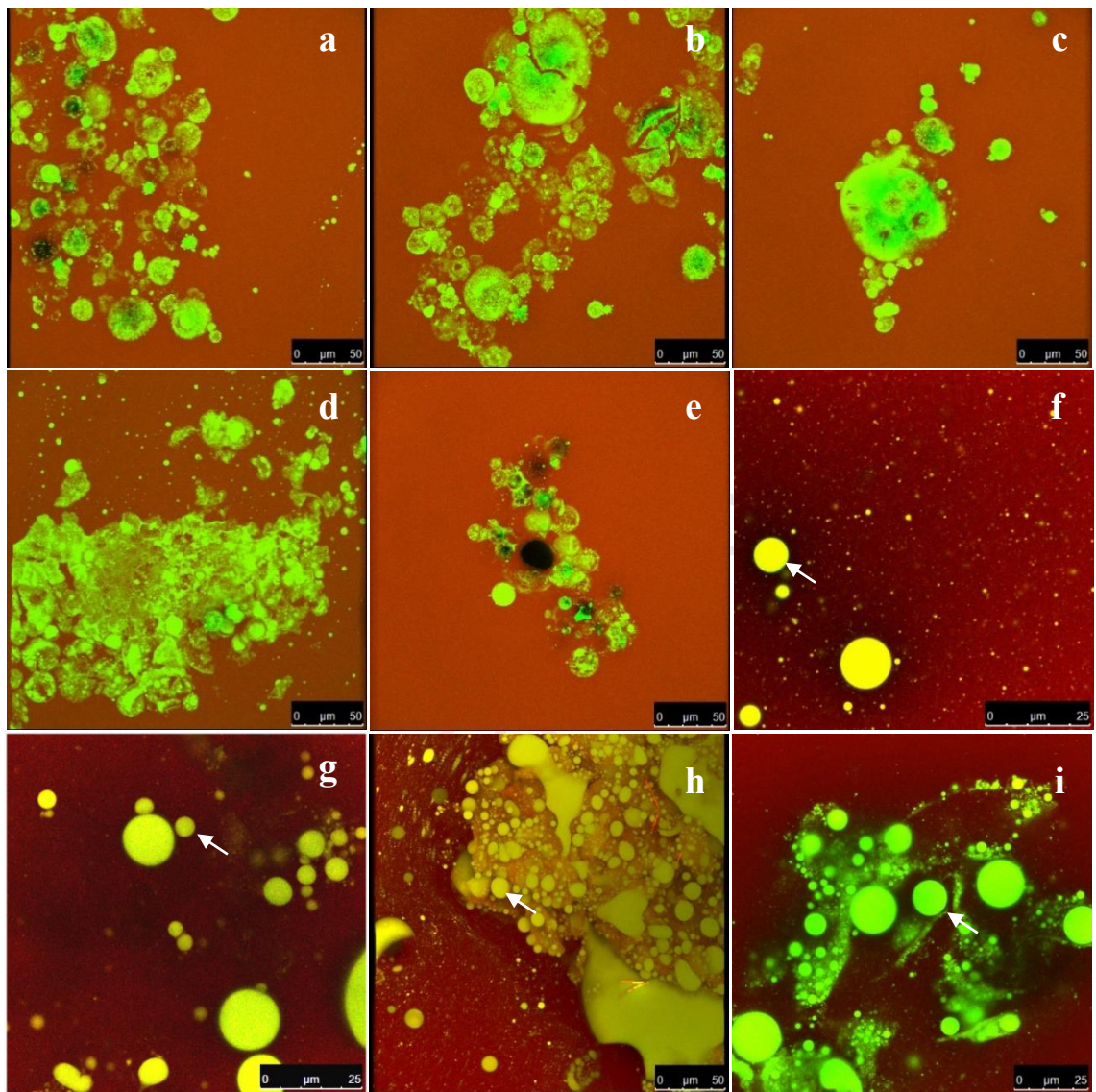
(b)

Fig.2





**Fig.3**



**Fig. 4**



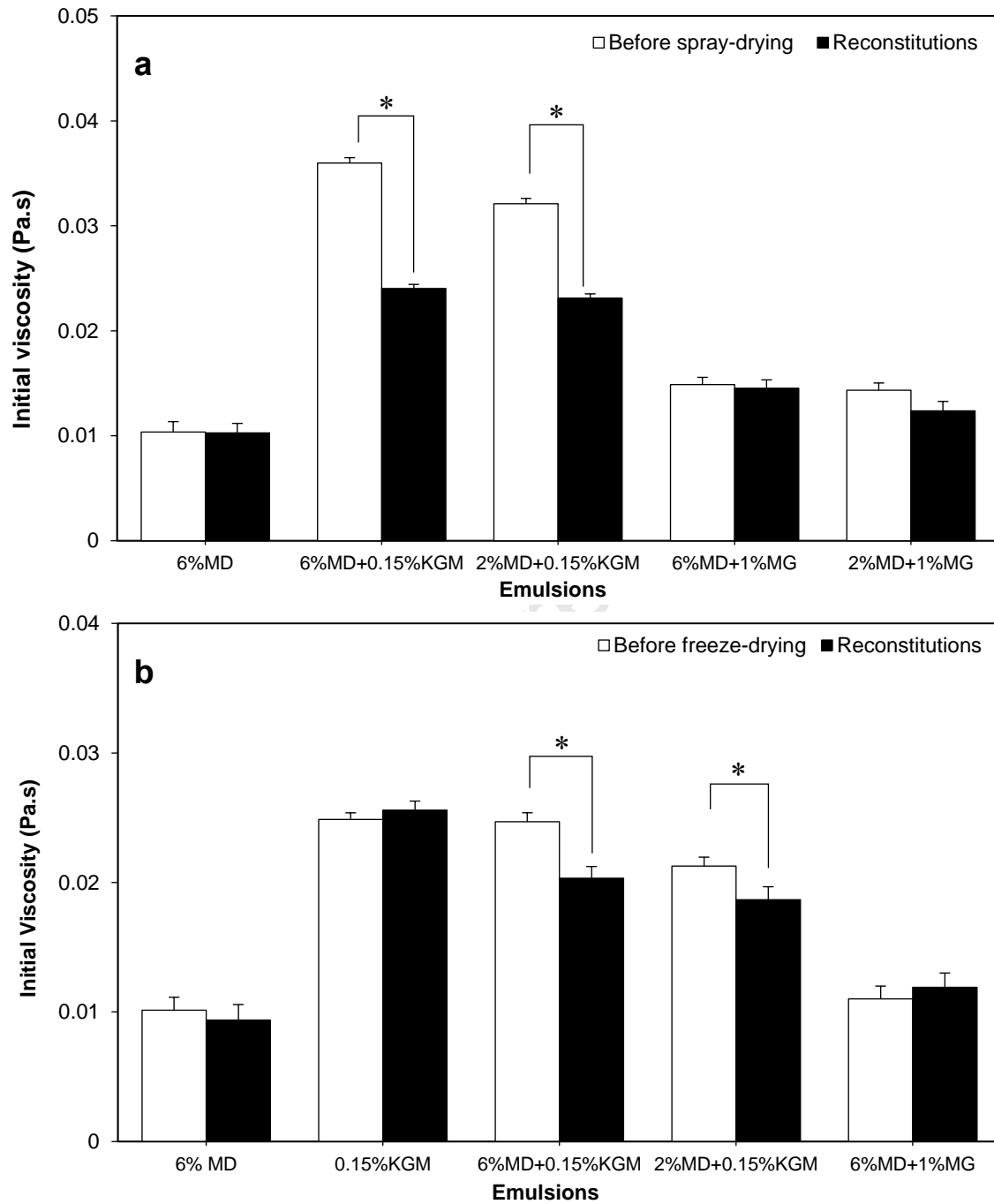


Fig. 5

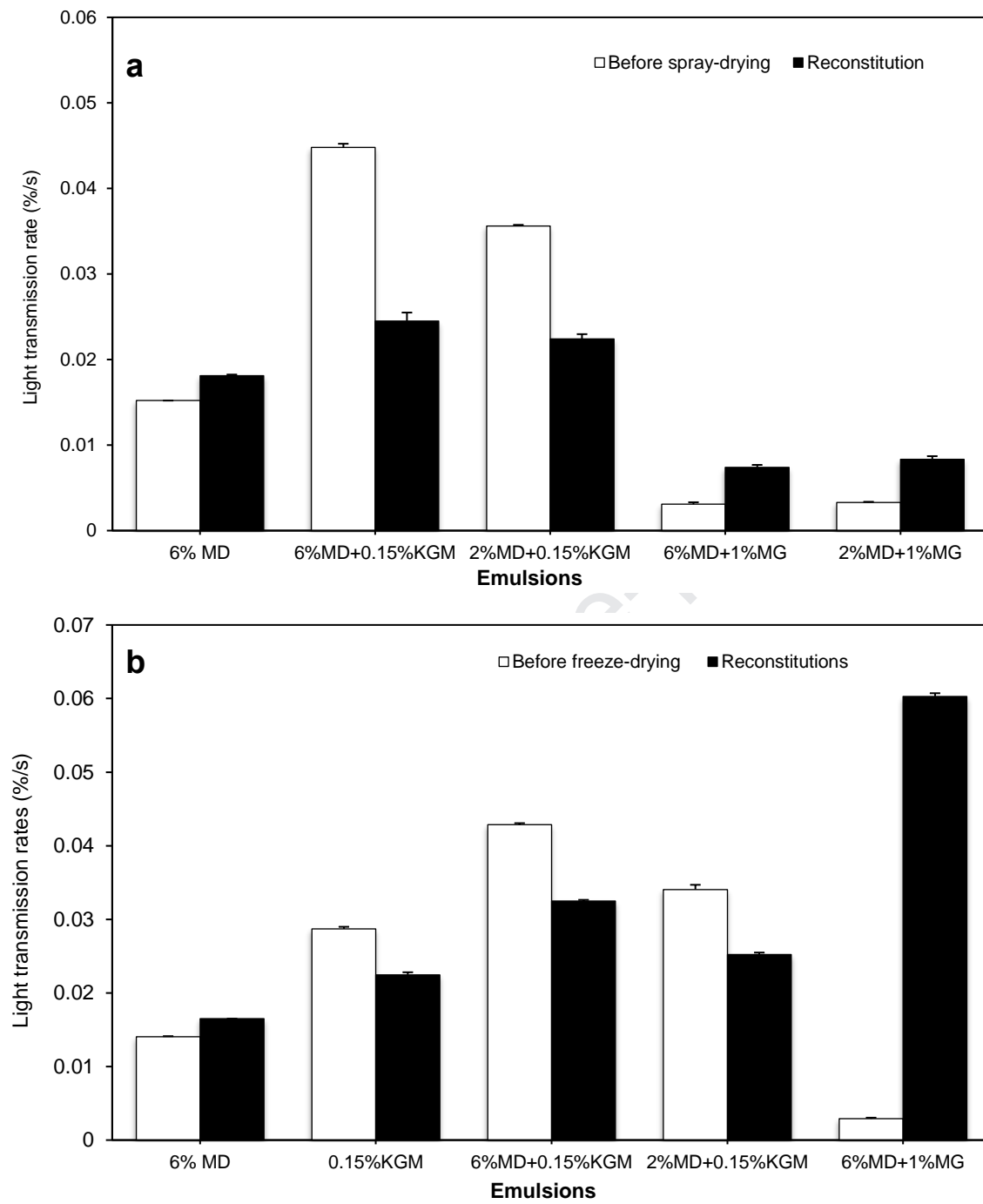
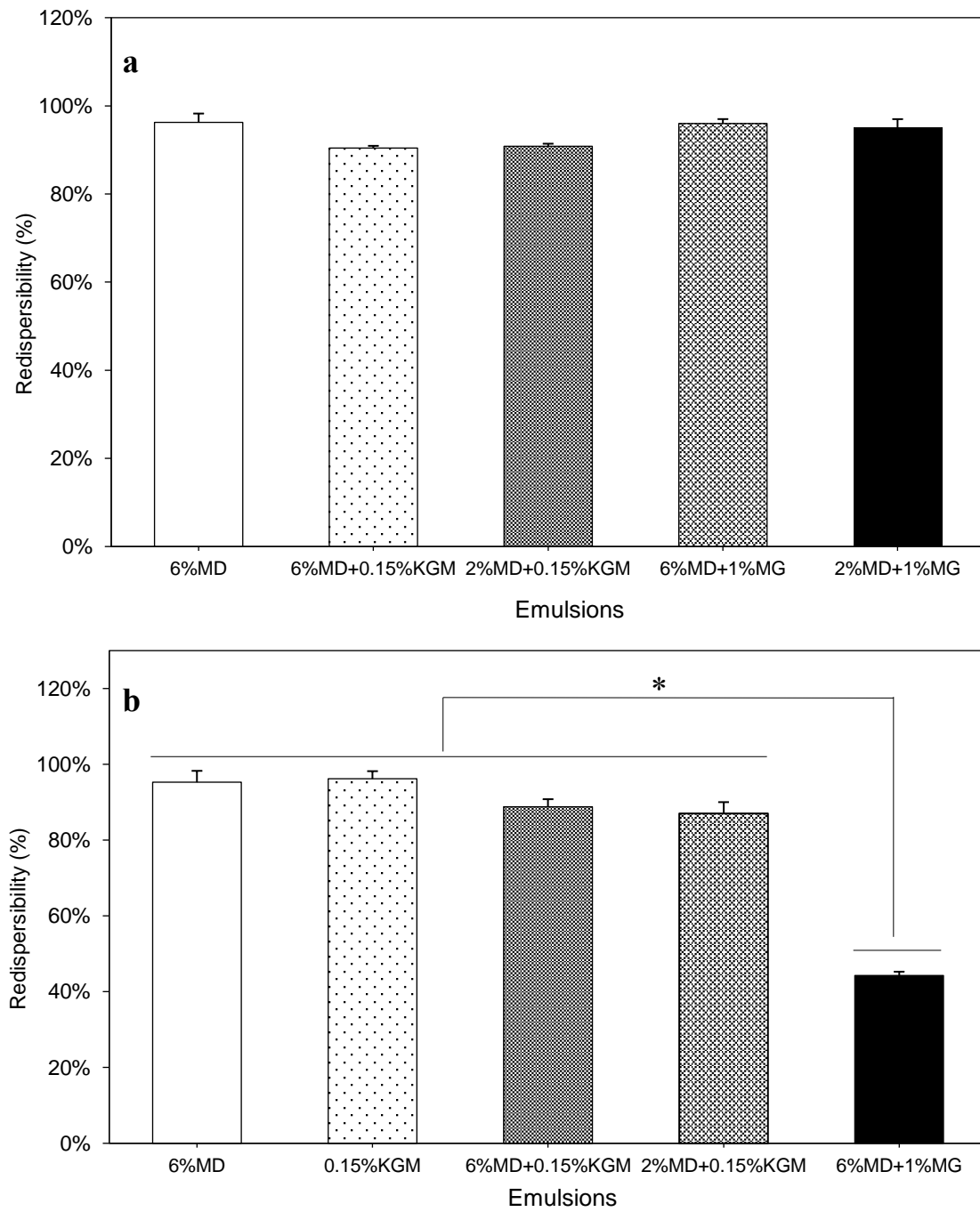


Fig. 6

**Fig. 7**

**Highlights of this study:**

- Dry emulsions were obtained by drying KGM-, and MG-structured liquid emulsions
- The use of KGM and MG significantly reduced the cost of dry emulsions
- Obtained emulsion powders showed high re-dispersibility of >85%
- KGM can increase the creaming stability of re-constituted emulsions