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4 Colorants in cheese manufacture: Production, Chemistry, Interactions and Regulation

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19 ABSTRACT

20 Colored Cheddar cheeses are prepared by adding an aqueous annatto extract (norbixin) to
21 cheese milk; however, a considerable proportion (~20%) of such colorant is transferred to
22 whey, which can limit the end use applications of whey products. Different geographical
23 regions have adopted various strategies for handling whey derived from colored cheeses
24 production. For example, in the USA, whey products are treated with oxidizing agents such
25 as hydrogen peroxide and benzoyl peroxide to obtain white and colorless spray-dried
26 products; however, **chemical bleaching of whey** is prohibited in Europe and China.
27 Fundamental studies have focused on understanding the interactions between colorants
28 molecules and various components of cheese. In addition, the selective delivery of colorants
29 to the cheese curd through approaches such as encapsulated norbixin and micro-capsules of
30 bixin or use of alternative colorants, including fat- soluble/emulsified versions of annatto or
31 beta-carotene, have been studied. This review provides a critical analysis of pertinent
32 scientific and patent literature pertaining to colorant delivery in cheese and various types of
33 colorant products on the market for cheese manufacture, and also considers interactions
34 between colorant molecules and cheese components; various strategies for elimination of
35 color transfer to whey during cheese manufacture are also discussed.

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37 Keywords: Colored cheeses, Cheddar cheese, annatto color, bixin, norbixin

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40 1. Introduction

41 Color is one of the most important food characteristics that influences consumer preference,
42 taste perception and thereby purchasing choice (Sukkwai et al., 2018). It may be considered
43 one of the most appealing attributes of foodstuffs, and is a basis for evaluation of food
44 freshness and quality (Zulueta, Esteve & Frígola, 2007). Traditionally, colorants have been
45 used as food additives to make food more attractive and appear healthier, and even today
46 many products available in the food market, such as snacks, pastries, puddings, liquors,
47 sauces, dairy, ready-to-eat foods and ready-to-drink beverages contain added colors, either
48 naturally or chemically produced.

49 Of these, natural food colorants are preferred, not only for their ability to make food
50 appealing, but also in offering health benefits (Delgado-Vargas & Paredes-Lopez, 2003;
51 Shim, et al., 2011; Gengatharan, Dykes & Choo, 2015). In addition, studies have shown that
52 natural colors are as effective as the colorants derived from chemical synthesis; moreover,
53 they are safe and healthy and confer additional functional properties, e.g., antioxidative,
54 antimicrobial and surface-active properties to the products to which they are added (Delgado-
55 Vargas et al., 2003; Carocho, Barreiro, Morales & Ferreira, 2014; Rodriguez-Amaya, 2016).

56 The main colorant used in the manufacture of cheese and butter is the natural color annatto,
57 and it is also used in other food products such as margarine, ice cream, soft drinks, sugar
58 confectionary and fish products. *Bixin* is a coloring compound found in the seeds of annatto
59 (*Bixa Orellana* L.) plants. More than 80% of *Bixin* consists of *cis*-*bixin* [methyl hydrogen
60 (9'*Z*)-6, 6'-apocarotene-6, 6'-dioate) (Balaswamy, Rao, Satyanarayana, & Rao, 2006,
61 Scotter, Wilson, Appleton, & Castle, 1998). However, the colorant used for cheese-making is
62 mainly composed of the water-soluble component *norbixin*, which imparts the desired
63 "yellow/orange" color to Cheddar and other cheese varieties (Campbell, Boogers & Drake,
64 2014; Cardeñosa, Lunar & Rubio, 2011). Although Cheddar cheese containing annatto is

65 usually orange in appearance, commercially it is referred to as Red Cheddar; therefore, in this
66 review, it will be referred to as red Cheddar. When used, annatto is usually added to the milk;
67 however, during cheese production, around 10-20% of it is transferred into the cheese whey
68 (Kang, Campbell, Bastian, & Drake, 2010; Smith, Li & Drake, 2014; Düsterhöft, Engels, &
69 Huppertz, 2017). The carryover of annatto to whey has no direct impact on the flavor of
70 derivative ingredients produced from whey, but must be eliminated from whey to obtain
71 white and colorless spray-dried products (Campbell, Miracle and Drake, 2011).

72 Different approaches are used to eliminate the impact of colorant contained in bulk whey.
73 The most common approaches in certain countries such as USA include decoloration with the
74 bleaching agents benzoyl peroxide and hydrogen peroxide (Croissant, Kang, Campbell,
75 Bastian & Drake, 2009; US FDA, 2011a,b; Fox, Smith, Gerard & Drake, 2013). However,
76 decolorization using oxidation processes may affect flavor and functionality of whey protein
77 ingredients (Zhang, Campbell, Drake & Zhong, 2015; Jervis et al., 2012). It may also result
78 in oxidized pigment residues in the derived ingredients (Smith, Li, & Drake, 2014).

79 Although these two chemicals are permitted for bleaching of liquid whey in the United
80 States, they are prohibited in Europe and Asia. Moreover, due to increasing regulation of
81 these bleaching agents, alternatives such as the enzyme lactoperoxidase have been
82 investigated (Kang et al., 2010; Campbell, Kang, Bastian & Drake, 2012). Furthermore,
83 stringent regulatory requirements for use of whey-based ingredients in infant formula
84 applications do not permit the presence of norbixin in these dairy ingredients (Carter, Park, &
85 Drake, 2017).

86 The global demand for cheese and dairy products continues to increase, in particular due to
87 the versatility of cheese in diverse culinary applications, its nutritional and sensory properties,
88 and also due to westernization of diet in many regions in Asia. Retail sales of cheese for 2017
89 in the United States exceeded \$23 billion (Mintel Group Ltd., 2018), of which Cheddar

90 accounted for 29% of production, second after Mozzarella (ADPI, 2018). Similarly, the
91 global market for whey products also continues to grow due to its nutritious value and
92 versatile uses, particularly in infant milk formulae and sports nutrition applications. Thus, this
93 creates a high demand for whey proteins derived from many diverse processes, including acid
94 whey and sweet whey from cheese or rennet casein production. This also can include whey
95 obtained from cheese-making processes in which annatto has been added to obtain ‘red’
96 cheese (Zhang, et al., 2015). The commercial value of colored whey is lower than that of
97 “white” whey, but this may be improved on development of sustainable and universally
98 acceptable means of color removal.

99 This review provides a comprehensive analysis of the literature and patented technologies
100 regarding cheese colorants, as well as interactions between colorant and cheese components.
101 Moreover, it aims to identify new solutions to incorporate color into cheese, such as after
102 whey removal, to obtain clear and ideal whey while yielding cheese with uniform color to
103 satisfy both consumers and dairy companies.

104 **2. Color in cheese**

105 In the global cheese market, many cheese varieties have various shades of color, in particular
106 orange, white, blue, and yellow hues. Those colors are obtained from different sources and,
107 despite the high number of cheese varieties, only a few have a uniform and homogenous
108 color distribution; in fact, most cheese color is present only on the cheese surface, with
109 internal veins or a marbled effect (Fox, Guinee, Cogan & McSweeney, 2017). For the
110 purposes of this review, the terms dye, colorant and pigment are used interchangeably to
111 indicate natural annatto and alternative colorants. However, orange cheeses including red
112 Cheddar, Gouda, Prato and Mimolette have their appearance because of the addition of
113 annatto dye as a food colorant into the milk at the start of the cheese making process.

114 Moreover, depending on the country where this cheese is sold, shades can range from bright
115 yellow to deep orange. Annatto color is also added to milk during the making of Red
116 Leicester, Double Gloucester, red Cheddar, Mimolette or Cheshire, and Prato cheeses.

117 Within the European Union, permitted colorants are authorized by the EFSA (European Food
118 Safety Authority) for use in cheese making. Furthermore, the European Parliament and
119 Council Directive 94/36/EC on colors for use in foodstuffs lists the permitted food colors
120 (Komisyonu, 1994). In the case of cheese and other milk products, only certain permitted
121 dyes may be added, with maximum levels being specified in the Directive (Table 1).

122 For yellow cheeses with no added colorant, largely bovine milk cheeses, the hue is attributed
123 to compounds arising from the animal diet, particularly β -carotene originating from pasture
124 feeding (Winkelman, Johnson & MacGibbon, 1999; Nozière, et al., 2006; Calderón et al.,
125 2007; McDermott et al., 2016). This may also result in seasonal variation in the color of
126 cheese because of varying amount of carotenoids in the grass or supplementation of diets
127 with silage or total mixed ration (TMR). Moreover, cheeses ripened for 9 months showed a
128 deeper and more intense yellow than fresh cheeses (Buffa, Trujillo, Pavia & Guamis, 2001)
129 although this may be related to loss of moisture during ripening. To overcome variation in
130 cheese color, addition of colorants to cheese milk, such as annatto, has long been an accepted
131 practice (Kang et al., 2010).

132 Cheddar cheese is widely produced in the US, Canada, Ireland, UK, Australia and New
133 Zealand and, for its red variant, annatto colorant is added to the cheese milk prior to
134 coagulation. Addition of annatto during cheese manufacture may traditionally have been used
135 to give part-skimmed cheese an appearance similar to that of a full-fat equivalent, while more
136 recently some consumers are of the impression that colored cheeses taste better or are more
137 intensely ripened than their equivalent white counterpart (Fox et al., 2017). A recent
138 consumer study (Speight, Schiano, Harwood, and Drake, 2019) identified color as one of the

139 key attributes influencing consumer choice, with higher utility score (more attractiveness
140 towards an attribute) for orange color in comparison to white Cheddar (Speight et al. 2019).
141 However, white cheeses were considered to be more “natural” because of the absence of
142 annatto.

143 Caprine milk, unlike bovine milk, contains no β -carotene. Any β -carotene consumed by a
144 goat is immediately converted into Vitamin A, which has no color; therefore, the derived
145 cheeses have a bright white hue even after ripening (Fraga, Fontecha, Lozada, & Juarez,
146 1998; Parkash & Jenness, 1968; Park, Juárez, Ramos, & Haenlein, 2007).

147 Blue-mould-ripened cheeses such as Danablu, Gorgonzola, Roquefort, and Stilton (Cantor,
148 van den Tempel, Kronborg Hansen & Ardo, 2017) have a typical veined appearance due to
149 development of *Penicillium roqueforti* during ripening. The microflora present are complex,
150 comprising both fungi and lactic acid bacteria. Blue veining also depends on changes in level
151 of salt and water activity, also on the concentrations of oxygen and carbon dioxide within the
152 cheese mass (Prieto, Franco, Fresno, Bernardo, & Carballo, 2000; Florez & Mayo, 2006;
153 Diezhandino, Fernández, González, McSweeney & Fresno, 2015; Duval et al., 2016).

154 In addition to the above categories, there are many different cheese products with green,
155 brown, red or other colors resulting from added ingredients, i.e., herbs, sage, spices, port
156 wine, brandy, or arising from specific processes, i.e., smoking (Hayaloglu & Farkye, 2011;
157 Guillén, Palencia, Ibargoitia, Fresno & Sopelana, 2011). Such cheeses are often specific to
158 certain geographical areas of production and may also be artisanal products (Chandan, 2014;
159 McSweeney, Ottogalli & Fox, 2017).

160 3. Annatto

161 Annatto is a food colorant derived from the seeds of the *Bixa orellana* plant, and is identified
162 as food additive E 160b as approved by the EU. It is a unique carotenoid that can act as a

163 pigment in more than one chemical form and is available as both lipid-soluble (bixin) and
164 water-soluble (norbixin) forms. Formulations of commercial annatto extracts are available in
165 stabilized forms in different color ranges i.e., from red to orange to yellow for use in a variety
166 of foods, including dairy, fish, confectionery, beverages, meat product, snack foods, and dry
167 mixes (Coulson, 1980).

168 The characteristic colorant compound present in annatto seeds (> 80% of the total carotenoid
169 content) is the lipid-soluble diapocarotenoid 9' - *cis* - bixin. The water soluble form of this
170 compound is the dicarboxylic acid 9' - *cis* - norbixin. Both norbixin and bixin are found in
171 *cis* (α) and *trans* (β) geometrical isomeric forms. Smaller quantities of both *trans*-bixin and
172 *cis*-norbixin are present in commercial bixin color solutions (Scotter et al., 1998), depending
173 on the extraction conditions, e.g., process type and temperature used (Raddatz-Mota et al.,
174 2017).

175 Under specific conditions of temperature and pH, bixin can be hydrolyzed into norbixin, and
176 saponified into the potassium salt of norbixin (Figure 1). Saponification during the aqueous
177 alkali extraction of norbixin removes the methyl group from the lipid-soluble fraction bixin.
178 An intense red color indicates the presence of larger quantities of bixin, while a yellow to
179 orange color indicates that norbixin is predominant in the annatto extract (Santos et al.,
180 2014). The physico-chemical properties of annatto are summarized in Table 2.

181 Norbixin and bixin are the major carotenoids responsible for the yellow-red-orange color,
182 although the water-soluble form, norbixin, is the main carotenoid used in cheese manufacture
183 (Smith, Li, & Drake, 2014). Bixin is the nonpolar form of annatto and, because of its
184 nonpolar nature, it is used to color high-fat dairy products such as butter (Lancaster and
185 Lawrence, 1995).

186 However, the presence of highly conjugated π -bond structures in bixin and norbixin
187 molecules make them susceptible to oxidation and reduction reactions. Oxidation of these

188 compounds bleaches the color. However, the process of bleaching and oxidation of pigments
189 is nonspecific, potentially also resulting in lipid oxidation (Carter & Drake, 2018), which
190 may also adversely affect the flavor of derived dairy ingredients (Kang et al., 2010). Binding
191 to milk proteins, i.e., β -casein or β -lactoglobulin, improves the oxidative stability of these
192 colorant molecules (Govindarajan & Morris, 1973).

193 ***3.1. Manufacture of annatto and functional use***

194 There are three main commercial processes that are commonly used to extract the pigment
195 from the pericarp of dried annatto seeds: (i) direct extraction into oil; (ii) direct extraction
196 into aqueous alkali; or (iii) indirect extraction using solvents. Depending on the methods
197 employed, the products obtained have different amounts of bixin and/or norbixin and can be
198 further processed into solution, suspension or powder for different purposes (Figure 2;
199 Preston and Rickard, 1980; Wallin, 2006; PubChem, n.d.; Green, 1995). Direct extraction of
200 the pigment compound into alkali or oil is a natural and environmental friendly way of
201 manufacture, as it involves only mechanical shear. Conversely, extraction with solvents gives
202 a better yield of pigment but may raise safety concerns due to potential chemical residues.
203 Bixin can be obtained from direct extraction in oil as well by indirect extraction in solvents.
204 Solvent-extracted bixin is in concentrated *cis*- form with little quantities of *trans* and *cis*-
205 norbixin. However, extraction of annatto into aqueous alkali yields norbixin as the primary
206 coloring molecule (Santos et al., 2014).

207 Therefore, annatto formulations for use as food additives vary due to the nature of the
208 extraction method. Lipid-soluble annatto (bixin, dissolved in oil), water-soluble annatto (the
209 dissociated form of norbixin in alkaline solution, usually potassium or sodium hydroxide) and
210 emulsified annatto (norbixin and/or bixin in association with an emulsifier) are the chemical
211 forms of annatto used to give various color shades in food matrices. Ultimately, the relative

212 proportion of bixin and norbixin in commercial formulations determines the tone and hue of
213 the color in the finished food products (Smith, 2006). More details of manufacturing methods
214 are presented below (extracted from Smith, 2006):

215 **(1) Oil-soluble annatto.** These colors are produced when bixin is dissolved in a high
216 quality oil of high purity (normally soybean oil, rapeseed oil, sunflower oil, with low free
217 fatty acids and peroxides). The dissolution of annatto in oil can change its color shade
218 from orange to yellow. Prolonged heating can degrade the bixin molecule into a C-17
219 compound that gives an intense yellow color. The form of annatto dissolved in oil
220 typically contains 0.05-1.0% bixin, while oil-based annatto suspensions generally contain
221 0.1-8.0% bixin (Smith, 2006). Oil-based annatto colorant formulations are usually used to
222 color fat-rich foods, e.g., margarine/shortening, processed cheese, biscuit fillings, snack
223 foods, popcorn and sauces, salad dressing and dairy cream desserts.

224 **(2) Water-soluble annatto.** These colorant extracts usually contain norbixin as the
225 principal component, and are commercially available either as dilute liquid alkaline
226 solutions or as a powder. The aqueous liquid extracts generally contain 0.1-4.0%
227 norbixin, while powder forms usually contain 1-15% norbixin (Smith, 2006). The liquid
228 formulations are used for coloring cheese as well as other food products such as
229 breakfast cereals, buttermilk desserts, chocolate fillings, sausages, puddings, smoked
230 fish, tomato sauce and pet food. However, the powdered forms of norbixin can be
231 applied in powdered products such as instant desserts, dip mixes, food powders and
232 dietary fiber products. Upon reconstitution in water, these dried products become yellow
233 or orange yellow in color.

234 **(3) Emulsified annatto.** The addition of suitable emulsifiers to bixin or norbixin results
235 in colors which may be miscible in both oil and water phases. These types of
236 formulations are particularly suitable in food products containing both water and an oil

237 phase. With an appropriate choice of emulsifiers, a water-soluble form of bixin/norbixin
238 with improved acid stability can be obtained. Emulsified annatto products are liquid and
239 usually contain 1-2.5% as bixin/norbixin (Smith, 2006). These colorants are normally
240 used in emulsified dairy and food products such as ice cream, processed cheese, soup and
241 confectionary. Acid-stable emulsified annatto is also used in acidic beverages,
242 transparent jellies, liqueurs and gelatinous desserts. As is the case with all the other
243 carotenoids, annatto extracts are also susceptible to oxidative degradation (Scotter et al.,
244 1998).

245 ***3.2. Applications of Annatto, Bixin and Norbixin***

246 According to the Directive 94/36/EC (Komisyonu, 1994) Annatto, Bixin, and Norbixin can
247 be used in margarine, other fat emulsions, and anhydrous fats, flavored processed cheese,
248 ripened orange, yellow cheese, unflavored processed cheese, edible cheese rind and edibles
249 casings, Red Leicester cheese, and Mimolette cheese. Recommended levels of addition of
250 annatto colorant in various dairy products are presented in Table 1.

251 ***3.3. Interactions of colorant with cheese components***

252 Dairy processors predominantly use the water-soluble and dispersible norbixin annatto as
253 cheese colorants. It is believed that, because of its water-soluble nature, norbixin is dissolved
254 in the serum portion of the whey, and whatever color retained in the curd is because of
255 entrapment of free water in protein matrix (Qiu, Smith, Foegeding, & Drake, 2015; Zhu and
256 Damodaran, 2012).

257 Therefore, studies on the relative binding affinity of colorant with various components of
258 cheese as well as of the serum phase such as milk fat globule membrane (MFGM), proteins
259 and aqueous phase are important in order to understand colorant-protein phase interactions.

260 **3.3.1. Colorant-aqueous phase interactions**

261 If the colorant is dissolved in the aqueous phase and doesn't associate strongly with the
262 proteins present in serum phase, it should be possible to completely remove norbixin from
263 retentate by employing a series of protein purification (ultrafiltration) and washing
264 (diafiltration) steps during the manufacture of whey protein concentrates (WPC) and isolates
265 from the colored whey. However, most norbixin remains in the retentate, which suggests that,
266 in whey, it might be tightly bound to whey proteins in the same way that it binds to caseins in
267 cheese curd. This hypothesis is further supported by the fact that β -LG binds to retinol-
268 molecules which have structural similarities to norbixin (Govindarajan and Morris, 1973;
269 Hammond et al., 1975; Cho et al., 1994; Zhu and Damodaran, 2012).

270 **3.3.2. Colorant-fat phase interactions**

271 Zhu and Damodaran (2012), in their study concerning annatto in Cheddar cheese whey,
272 suggested that norbixin molecules in solution, due to their amphiphilic nature, might be in the
273 form of micelles dispersed in the aqueous medium, rather than in a "soluble" state. Therefore,
274 should this hypothesis be correct, then the micellar norbixin would more likely to be
275 associated with the MFGM particles than with globular proteins in Cheddar cheese whey (the
276 association of norbixin with MFGM particles may occur *via* adsorption to the lamellar
277 surface or *via* incorporation into the bilayer structure of the MFGM).

278 The above study reported that the original retentate and the diafiltered retentate were bright
279 yellow but, when the MFGM particles were removed from the diafiltered retentate by
280 selective precipitation at pH 4.2, the resulting supernatant was colorless. After freeze-drying,
281 the MFGM powders were bright yellow, and the WPI was very white (Figure 3). This
282 suggested that the annatto in the diafiltered retentate was primarily associated with the
283 MFGM phase. Quantitative analysis showed that about 60% of annatto in whey was found in

284 the MFGM phase, suggesting preferential binding to it, while the remainder was freely
285 dispersed in the serum phase, either in solution or as micelles, which could be removed by
286 diafiltration (Zhu and Damodaran, 2012). To confirm the presence of a colloidal (micellar)
287 form of annatto in the aqueous serum phase and to understand its association with MFGM, a
288 thorough study on particle size analysis and microstructural verification is required.

289 Moreover, according to Smith, Li and Drake (2014), nearly 81% of norbixin added to cheese
290 milk was recovered in the curd portion of cheese. There could be a loss of quantity as well as
291 pigmentation ability of norbixin due to exposure to heat and light during cheese-making.
292 Higher losses of norbixin were observed during a fat-free cheese making process than that in
293 making of a full-fat cheese; this was probably due to lack of opacity in the former because of
294 a lack of fat. The opacity of whey originating from full-fat cheese protects norbixin from
295 oxidation upon exposure to light.

296 Smith *et al.* (2014) further studied the partitioning of bixin and norbixin between cheese and
297 whey fractions and found higher levels of norbixin (9.27%) compared to bixin (1.30%) in
298 unseparated whey and a higher recovery of bixin (94.5%) in cheese than that of norbixin
299 (80%). A very small proportion of bixin partitioned into the whey during cheese manufacture,
300 because of its greater affinity towards the nonpolar fat component of cheese milk. Comparing
301 the extraction efficiency (recovery) of norbixin from cheese and whey samples prepared from
302 colorant-added unhomogenized and homogenized milk revealed nonsignificant differences;
303 this indicates that greater surface area of fat globules due to homogenization did not affect
304 partitioning of norbixin, possibly because of its hydrophilic nature, which suggests binding of
305 norbixin to constituents of milk other than milk fat. After fat separation of whey, the losses
306 were higher for bixin than norbixin, probably because of greater association of bixin with the
307 fat phase, bixin being hydrophobic in nature (Smith *et al.*, 2014). However, the findings
308 reported by Smith *et al.* (2014) were partially in agreement with those reported by Zhu and

309 Damodaran (2012) and demonstrated that annatto is probably associated with the MFGM in
310 the form of micelles dispersed in the whey. Therefore, norbixin is partially bound to fat
311 globules, reflected by the decrease in norbixin levels upon fat separation of cheese whey
312 originating from homogenized cheese milk compared to that from non-homogenized cheese
313 milk.

314 The cheese obtained from milk with added bixin had lower L^* and b^* values, but higher a^*
315 values ($P < 0.05$), indicating that cheese with bixin was more red and less yellow in
316 comparison to cheese with norbixin (Smith et al., 2014) (Fig. 4). The whey obtained from
317 cheese containing bixin had a lighter color, suggesting a possible use of bixin as an
318 alternative colorant to produce red Cheddar, contingent on future studies necessary to
319 optimize the concentration of bixin to optimize cheese color and to avoid the complication of
320 requiring homogenization of cheese milk to create a stable dispersion of bixin in the serum
321 portion of milk.

322 ***3.3.3. Colorant-protein phase interactions***

323 To study the relationship between norbixin and milk proteins, Zhang and Zhong (2013a)
324 investigated the molecular binding between norbixin and WPI, sodium caseinate and purified
325 whey proteins using Fourier transform infrared spectroscopy (FTIR), fluorescence quenching,
326 circular dichroism (CD) and differential scanning calorimetry (DSC). The quenching of the
327 intrinsic fluorescence (due to presence of tryptophan, tyrosine or phenylalanine in the
328 structure) emitting from WPI and sodium caseinate was because of the formation of a
329 complex with the norbixin molecule. Sodium caseinate had higher binding affinity for
330 norbixin than WPI. Based on the estimated K_{SV} (Stern-Volmer quenching constant, a value
331 that correlates the affinity between molecules), the relative affinity was higher between
332 norbixin and WPI than bixin and WPI, because water-soluble norbixin interacts more

333 strongly with WPI than the lipid-soluble bixin. Considering the individual whey proteins and
334 caseins, the K_{sv} of BSA was highest for whey proteins because of its unique structure, with
335 six binding sites (Dockal, Carter, & Ruker, 1999), while the binding affinity between κ -
336 casein and norbixin is higher than for the other two caseins (α_s and β), possibly because of
337 the hydrophilic nature of κ -casein. Even though BSA has a strong affinity towards norbixin
338 molecules, the overall effect on binding properties of WPI was not high, because of the small
339 proportion of BSA (6%) in WPI.

340 Overall, these findings suggest that caseins have stronger binding affinity towards norbixin as
341 compare with whey proteins, indicating a higher probability of retention of norbixin in cheese
342 curd than the whey stream (Kang et al., 2010). The effect of pH on binding affinity of
343 norbixin with WPI and sodium caseinate was limited in the pH range of 5.5-10.3. Increasing
344 ionic strength to 0.5 M NaCl at pH 6.4 enhanced binding of norbixin to sodium caseinate,
345 possibly because of weakening of electrostatic repulsion between protein molecules and
346 norbixin, facilitating permeation of colorant molecule to the interior hydrophobic structure of
347 caseins. The CD and FTIR data indicated that norbixin caused conformational changes in the
348 structure of whey proteins and caseins, presumably through complex formation (Zhang and
349 Zhong, 2013a).

350 Moreover, norbixin precipitates in acidic conditions (pH lower than 5) and can interact with
351 other food components (Shumaker and Wendorff, 1998). It has been suggested by Daly,
352 McSweeney and Sheehan (2012) that the negatively-charged norbixin may bind to positively-
353 charged sites available on whey protein and casein molecules. At alkaline pH, the negatively
354 charged dissociated form of norbixin can possibly bind with divalent cations (e.g. calcium)
355 and form insoluble salts, ultimately leading to appearance of a pink precipitate on the surface
356 of cheese. In addition, decreasing pH ($\text{pH} \leq 5.0$) can also cause formation of a pink
357 precipitate of norbixin. Resolubilisation of this pink precipitate by restoring pH (to alkaline

358 pH) may have been prevented because of its interaction with the phospholipid and β -casein
359 (Govindarajan and Morris, 1973; Daly, McSweeney and Sheehan, 2012). Alkaline annatto
360 extracts have shown a greater tendency to cause pink discoloration during processed cheese
361 manufacture as compared to other annatto extracts (Shumaker and Wendorff, 1998).
362 Emulsion-based annatto colorants used in processed cheese formulations were more
363 susceptible to pink discoloration compared to suspension-based annatto colorants (Shumaker
364 and Wendorff, 1998; Zeheren and Nusbaum, 2000).

365 *3.4. Volatile compounds in annatto-colored cheese and whey products*

366 Galindo-Cuspinera, Lubran and Rankin, (2002) characterized the volatile compounds present
367 in commercial annatto extracts (both oil- and water-soluble) by GC/MS analysis. The most
368 abundant volatile compounds present were sesquiterpenes, which constituted about 38% of
369 the volatiles present in oil-soluble extracts and around 89% in water-soluble extracts. The
370 compositional difference between oil and water extracts is potentially due to the low
371 solubility of monoterpenes and sesquiterpenes in water (Robinson, 1991). Besides
372 sesquiterpenes, other volatile compounds present in commercial annatto extracts include
373 monoterpenes and arenes. β -Humulene is the major volatile present in annatto extracts,
374 followed by p-xylene, toluene, α - and β - pinene, γ -elemene, and spathulenol. Differences
375 between oil and water extracts are potentially due to differences in the extraction methods.
376 The numerous odorants found in colorant formulations may influence food aroma as well as
377 imparting additional bio-functional properties, e.g., anticarcinogenic, antimicrobial, or
378 antioxidant activities (Galindo-Cuspinera et al., 2002).

379 Detection of aroma compounds was also undertaken in food matrices, such as WPC, to
380 determine possible correlations between flavor of WPC and either annatto added to the milk
381 or starter cultures used in the cheese manufacture (Campbell, Miracle and Drake, 2011).

382 Degradation compounds of lipid oxidation were present in all WPC samples, although at
383 higher levels in WPC produced from whey produced using starter cultures compared to WPC
384 produced from rennet casein whey. WPC with annatto had higher concentrations of volatile
385 compounds such as pentanal, *p*-xylene, decanal and diacetyl in comparison with WPC
386 without annatto. Moreover, hexanal levels suggested a strong relationship between starter
387 cultures and annatto suggesting that annatto may have an antioxidant effect when present in
388 whey made with starter cultures. However, no direct evidence was found to support the
389 suggestion that either the intact or oxidized form of annatto contributes directly to the flavor
390 of whey ingredients (Campbell, Miracle and Drake, 2011) and this should be a subject of
391 future research. A parallel evaluation was performed on WPC functionality, and no
392 significant differences were observed for WPC solubility at all pH levels tested or for heat
393 stability, irrespective of WPC being produced either with starter culture or annatto
394 (Campbell, Miracle and Drake, 2011). Qiu et al. (2015) compared the effect of microfiltration
395 (MF) with bleaching treatment of the whey on the volatile compounds of whey products
396 (Figure 5) and found a significantly lower amount of lipid oxidation products in the WPC
397 obtained from unbleached MF-treated whey. This indicates that the bleaching process
398 increases the oxidative load in the whey stream because of the non-specific nature of the
399 oxidation process (either enzymatic or chemical). This study also established that the annatto
400 colorant is associated with either the fat or milk fat globule membrane and, therefore, MF can
401 be used as an alternative to bleaching to remove annatto from the whey.

402 *3.5. Color defects in Cheddar cheese*

403 Annatto, as with all carotenoids, is sensitive to oxidation in foods, including cheese. Changes
404 in pH and redox potential, light, oxygen, and temperature can generate color defects in
405 cheese, including pink discoloration and color instability (Giuliano et al., 2003). In particular,

406 because of the alternating sequence of single and double carbon to carbon bonds present in
407 the polyene chain, annatto molecules are vulnerable to oxidation in the presence of oxygen
408 and peroxides, and to instability because of temperature, light, and reactivity to acids.

409 Several external factors that interfere with food colorant stability and that adversely affect
410 food attractiveness are the presence of light, air/oxygen, redox potential, pH, chemical
411 structure, solvents, packaging materials and storage conditions (Jiménez-Aguilar et al., 2011;
412 Zhu and Damodaran, 2012; Lemos, Aliyu, & Hungerford, 2012).

413 Color defects in both annatto-colored and mature white Cheddar ranging from pink to mud-
414 brown have been observed sporadically. Moreover, bleaching of color in Cheddar can be
415 observed after manufacture. Localized whey pockets entrapped between curd particles can
416 cause bleaching, due to high acid production in the early stages of ripening (Daly,
417 McSweeney and Sheehan, 2012). This bleaching effect diminishes with maturation and as pH
418 increases.

419 Studies on the mechanisms of the development of these defects in Cheddar have not been
420 conclusive. Oxidative browning may be linked with the activity of tyrosinase enzyme which
421 catalyzes the oxidation of monophenols, particularly tyrosine to quinines leading to formation
422 of red-colored dopaquinones and dopachromes *via* DOPA (3, 4-dihydroxyphenylalanine).

423 These are subsequently are converted to a brown pigment melanin through a series of
424 chemical reactions. Loss of pigmentation of the colorant molecule can also occur upon
425 prolonged exposure to elevated temperature, light or to sulphur dioxide. The major thermal
426 degradation products of annatto have been characterized as yellow-colored isomers and
427 hydrolysis products of the *trans*-monomethyl ester of 4,8 – dimethyltetradecahexaenediic
428 acid (C17) (Scotter et al., 1998, Scotter, 1995; Preston & Rickard, 1980; Collins, 1992).

429 **3.6. Regulations regarding annatto**

430 Regulations for annatto use vary from country to country and have changed in recent years.
431 Federal code 21 CFR73.30 (US FDA Code of Federal Regulations, 1963) regulates the use of
432 annatto in foods in the United States and identifies color additives that are exempt from
433 certification. Annatto and β -carotene are exempt from certification, but must still be declared
434 on food labeling (Smith, 2014). As reported in the Code of Federal Regulations, annatto
435 extract is considered safe, in general, for use in coloring foods, when used in amounts
436 consistent with good manufacturing practice, although the source of the colorant formulation
437 should be declared on labeling, e.g., derived from annatto seeds (Smith, 2014).

438 Annatto color added to milk is considered an additive and therefore is covered within the
439 food additive European Union (EU) Regulation 1333/2008. Moreover, new regulations in the
440 European Union (EU) do not permit color in whey destined for use in infant formula
441 applications (CODEX Stan 72-1981; Smith, 2014). Food additives permitted in whey or
442 whey based ingredients used in infant formulae are listed in the CODEX Stan 72-1981. Both
443 EU Regulation 1333/2008, and 1129/2011, amending Annex II to Regulation on food
444 additives, do not permit the carry-over of food additives e.g. food colors to various infant and
445 baby nutrition products (Smith, 2014).

446 Given the dramatic growth of dairy and in consumption of infant milk formula in Asia, and
447 particularly China, there is also a need to ensure that food products adhere strictly to
448 regulatory standards in those countries. These regulations continue to evolve; therefore, it is
449 advised to routinely check current standards for what is and is not permitted. Food additives
450 permitted for use in infant formulae in China are listed in the National Food Safety Standard
451 for Uses of Food Additives (2011: GB 2760).

452

453 **4. Alternative cheese colorants**

454 Many food colorant manufacture companies produce a wide range of natural food color
455 preparations for application in food, drug and cosmetics industries. They also create targeted
456 products for different purposes. Among natural colors are included annatto, saffron, paprika,
457 beet red, β -carotene, turmeric, caramel, carmine, elderberry, anthocyanin, natural green
458 extract (Products-Cyber color, n.d.). Organic colors include organic juice extract colors like
459 blueberry and purple carrot or organic spices added for color like paprika and turmeric.
460 Others color extracts derived from natural sources are β -carotene from beet and carrot.
461 Much recent work has focused on development of range of colorants for cheese applications
462 that offer ease of use, dispersibility in milk, and color stability, while still offering a clear
463 whey with good flavor profile without requiring bleaching, and which are suitable for infant
464 formula applications. A number of products are available commercially based on patented
465 formulations comprising of β -carotene alone or combination of with paprika (see also Section
466 5.0).

467 4.1. Saffron

468 Use of Saffron (*Crocus sativus L.* stigmas) as a natural colorant in cheese is limited; saffron
469 has a characteristic bitter taste arising from picrocin, whereas the aroma mainly comes from
470 safranal (Carmona et al., 2006). The compounds responsible for its color are water-soluble
471 carotenoids, known as crocetin esters. One of the best-known cheeses with added saffron is
472 Piacentinu Ennese (a hard cheese variety produced from ovine milk), in which saffron adds
473 color as well as flavor and aroma. However, the addition of saffron to milk is more difficult
474 than addition to water, due to the presence of fat emulsions and colloidal casein suspensions,
475 and also due to inherent variations in physico-chemical properties of milk, as influenced by
476 breed, feeding, milking system or lactation stage. For example, higher fat content results in a
477 yellower color in cheese samples prepared from milk with added saffron (Licón et al.,
478 2012b). These variations in color of saffron-added milk could be attributed to a proportionate

479 increase in colorant concentration in the serum phase with increasing fat concentration.
480 Water-soluble compounds of saffron, e.g., crocetin esters, can interact with
481 hydrophilic/amphiphilic components of the serum phase, e.g., whey proteins, MFGM
482 proteins and phospholipids (Livney, 2010; Licón et al., 2012b). In order to understand the
483 nature of interactions between various milk-saffron components, further research is required.
484 Licón et al. (2012a) established that saffron addition to milk results in a lower uptake of salt
485 into cheese, and more deformable and less elastic textural properties than control cheeses,
486 without affecting compositional, microbiological, textural, and sensorial characteristics.
487 Cheeses with saffron were less bright, less red and yellow than control cheeses, with
488 significantly higher b^* values (Hunter Lab system). Similarly, b^* values for the cheeses with
489 Saffron were similar to those of Cheddar cheese shreds colored with annatto, whereas a^*
490 values were lower and L^* values were higher, giving a more yellow than red color (Colchin
491 et al., 2001). Sensory evaluation also showed flavor differences between the cheeses (Licón
492 et al., 2012a).

493 **4.2. Paprika**

494 Paprika extract is typically a powder with a deep red color and pungent flavor by grinding
495 dried pods of sweet pepper (*Capsicum annum*). Paprika contains mainly capsanthin and
496 capsorubin carotenoids occurring primarily as esters of lauric acid (Delgado-Vargas and
497 Paredes –López, 2003). According to the American Spice Trade Association (ASTA),
498 paprika quality is specified as intensity of color, i.e., the absorbance of light at 460 nm in an
499 acetone extract. The fat/oil-soluble version of Paprika extract (oleoresin) is obtained by using
500 hexane as solvent. The most common food use of paprika is as a spice in savory products.
501 The use of paprika powder and oleoresin is permitted as a general food coloring agent in the
502 USA (US FDA, 1999; Delgado-Vargas and Paredes –López, 2003).

503 There are limited reports on use of paprika in natural cheese. A mixture of oil and paprika is
504 periodically applied to the surface of the caprine cheeses *Ibores* (Mas et al., 2002) and
505 *Majorero* (Fontecha, Peláez, Juárez, & Martín-Hernández, 1994). However, paprika is more
506 commonly used in processed cheese varieties to adjust their color or flavor (Tamime, 2011).

507

508 **4.3. β -carotene**

509 The pigment β -carotene is naturally present in milk drawn from grass-fed lactating cows. Use
510 of β -carotene to color cheese has been successful in the past (Chapman et al., 1980). β -
511 carotene can be a useful cheese colorant molecule because of its natural presence in milk, and
512 also offers a color which appeals to the customer and does not produce off-flavors during the
513 ripening of the cheese (Berglof & Kjell, 1963; Chapman et al., 1980).

514 β -carotene can be synthesized chemically or extracted from natural sources using food-grade
515 solvents. Additionally, β -carotene plays a significant role in human health because it is a
516 precursor to vitamin A and, when ingested along with vitamin C and E, can prevent
517 cardiovascular disease or cancer (American Dairy Products Institute, 2015). These additional
518 health benefits also support the use of this substance over annatto.

519 Addition of β -carotene preparations to milk or water-based complex foods can be challenging
520 because of their fat soluble nature (Kloui et al., 1970), and thus they should be added in a pre-
521 emulsified form or should be added to a portion of cheese milk followed by a
522 homogenization step. Manufacture of some dairy products e.g., cheese and butter leads to
523 carry-over of constituents or food additives such as colorant molecules from milk to its
524 derived products. Given that carotenoids such as β -carotene are fat-soluble, they tend to
525 associate with milk fat components (Noziere et al., 2006), therefore resulting in less carry-
526 over to the whey.

527 **4.4. Lutein**

528 Lutein (3,3'-dihydroxy- α -carotene) is a xanthophyll, a carotenoid found in green leafy
529 vegetables and yellow carrots. Lutein is a natural yellow-red colored fat-soluble pigment with
530 antioxidant properties. Regular intake of lutein helps to prevent age-related macular
531 degeneration (AMD) disease (Sobral et al., 2016). Lutein, as a food colorant, has been
532 successfully added to cream cheese (Tokusoglu, 2013), yoghurt (Domingos et al., 2014),
533 Cheddar cheese (Jones, Aryana, & Loss, 2005) and yellow colored Brazilian Prato cheese
534 (Kubo et al., 2013; Sobral et al., 2016). Sorbal et al (2016) successfully replaced annatto
535 (bixin) with lutein without affecting the sensory quality and consumer acceptance of Prato
536 cheese.

537 **5. Patents covering cheese colorants**

538 Much effort has been focused on developing patented technology platforms for obtaining
539 uniform color distribution in cheese, including the development of new colorant solutions to
540 achieve clear whey. In particular, a range of patents exists related to annatto colorants or
541 other colorants/products suitable for use in cheese production, which will be considered here.

542 **5.1. Annatto-based colorants**

543 A process was developed for the uniform coloration of cheese that involves binding water-
544 soluble annatto colorant with a renaturable casein carrier which has an affinity towards the
545 curd portion during cheese manufacture, therefore, improved partitioning of color between
546 curd and whey and resulting in whey with less or substantially no color contamination
547 (Talbot, 2002;US 6458394 B1). Because the annatto colorant is delivered through a
548 renaturable and hydrateable casein support, it is substantially uniformly and homogeneously
549 distributed within the curd portion of the cheese. By using the renaturable affinity support, it
550 is claimed that little, if any, of the water-soluble colorant is distributed in the whey fraction,
551 which then can be used in other applications.

552 Hettiarachchy et al. (1987) describes a method for the loading and stabilization of natural
553 pigment complexes including ethyl bixin, i.e., annatto (US Patent 4699664; EP 0200043 B1).
554 The colorants are claimed to have improved stability against oxygen, heat, light and moisture
555 degradation. The formulation was preparing by forming a complex between the pigment and
556 a hydrocolloid such as pectin, gums and modified celluloses. Polyvalent metal cations are
557 used to connect these two structural elements, and are soluble salts of calcium, magnesium,
558 zinc, and copper. The stabilized colorants can be incorporated into cheese, beverages, and
559 processed foods.

560 Acid-soluble annatto colorant was developed in a powdered form by Schmidt (1985; US
561 4548822 A). The method involves mixing of an alkali-soluble annatto extract with an
562 aqueous dispersion of a dextrinized starch derivative followed by drying.

563 Tan and Foley (2002) recovered valuable colorant compounds from *Bixa orellana* byproducts
564 (US 6350453 B1). A byproduct of *Bixa orellana* seed components in the form of an oily
565 material consisting of tocotrienol and geranylgeraniol was obtained after removal of the bulk
566 of annatto color using aqueous or solvent extraction techniques. Furthermore, this byproduct
567 contains healthy components such as tocotrienol and geranylgeraniol components, which act
568 as antioxidants.

569 **5.2. Carotenoid- based colorant**

570 Johnson et al. (1992) developed a β -carotene emulsion formulation for coloring cheese. The
571 formulation for coloring cheese curd without loss of color in the cheese whey comprises β -
572 carotene, a fat, a caseinate and an aqueous solution of gelatin (CA 2052412 A1).

573 An improved colorant formulation for coloring cheese curd (Sexton et al., 2010; EP
574 2009066997) was developed. The formulation is a combination of an oil phase comprising of
575 paprika, carotenoid, and a fat phase, and an aqueous phase comprising of sodium caseinate or

576 acid casein. The colorant composition selectively colors the cheese curd while leaving the
577 whey fraction uncolored.

578 An improved cheese colorant formulation was developed by Moeller et al. (2012) with the
579 aim of providing cheese with the same color as that of annatto-colored cheese by delivering
580 β -carotene in a structured carrier (US 20140113027 A1). The formulation is based on
581 creating a liquid coloring mixture containing two separate preparations. For the first
582 preparation, fat-soluble carotenoid is dissolved in an oil phase which is subsequently
583 emulsified (O/W) in an aqueous phase using a suitable emulsifier; for the second preparation,
584 water-dispersible carotenoid particles are encapsulated with a suitable hydrocolloid, to
585 achieve dispersion in the aqueous phase and thereby be miscible within the continuous phase
586 of the oil-in-water emulsified carotenoid preparation.

587 **5.3. Other processing interventions**

588 Kempeners and Köllmann (1991) developed a process (EP 0 492716 A1) for treating cheese
589 with liquid (brine or a solution containing substances such as flavorants, colorants, enzymes,
590 proteins, vitamins, minerals, etc) prior to ripening. The liquid is sprayed with a high-pressure
591 nozzle (around 15 – 35 MPa) against the surface of cheese kept in a stationary condition such
592 that the liquid penetrates the cheese surface. The diameter of nozzles is not more than 0.3
593 mm, and the penetration depth is dependent on the operating pressure and the position of the
594 nozzles, as well as the injected medium. Increasing the temperature of cheese or injecting
595 warm brine accelerated the diffusion process.

596 Damodaran (2014) patented a method (US 8771772 B2) of selectively separating milk fat
597 globule membrane (MFGM) fragments and/or milk fat globules from whey. The method
598 involves the addition of a whey-soluble zinc salt and adjustment of the pH of whey to less
599 than 6.0. The zinc salt added to the whey precipitates milk fat globule membrane fragments

600 and milk fat globules from the whey. Selective removal of MFGM from whey can also help
601 in the removal of annatto, as the colorant molecules are strongly associated strongly with
602 MFGM material (see Section 7 for more details).

603 **6. Analytical methods for cheese colorants**

604 Numerous analytical methods have been developed to determine annatto content in various
605 food materials (Table 3). Spectrophotometric and HPLC analysis are the main methods of
606 annatto color quantification in various food materials (Scotter, 2009) and, in addition to these
607 methods, interactions between colorants and caseins can be evaluated *via* intrinsic
608 fluorescence quenching, differential scanning calorimetry (DSC), Fourier transform infrared
609 (FTIR) spectroscopy, and circular dichroism (CD) (Zhang & Zhong, 2013a). In general, the
610 estimation of various binding parameters including binding/affinity constants, change in
611 enthalpy ΔH and Gibb's free energy ΔG , and Stern-Volmer constants is important in
612 understanding the stability of the colorant-protein complex (Zhang & Zhong, 2013a; Santos
613 et al., 2014). Moreover, these parameters are good indicators of the type of interactions (e.g.,
614 hydrophobic, hydrophilic, electrostatic etc.) of colorant molecules with other constituents
615 present in food matrices. For example, β -CN micelles obtained from camel milk interact with
616 curcumin mainly through hydrophobic interactions, which increases the solubility of
617 curcumin and its bioavailability and antioxidant activity (Esmaili et al., 2011). Curcumin is a
618 natural spice with potential cancer-therapeutic attributes (Sahu et al., 2008).

619 Standard microscopy equipment used for elucidating cheese microstructure includes light
620 microscopy (LM), confocal scanning laser microscopy (CSLM), and electron microscopy
621 such as scanning (SEM) and transmission electron microscopy (TEM) (Everett and Auty,
622 2008; El-Bakry and Sheehan, 2014). However, it is not possible to observe the presence of
623 colorant in the cheese microstructure unless a probe (e.g., fluorescent probe) is used or an

624 advanced analytical tool (e.g., Raman spectrometer; XRF etc.) is combined with these
625 techniques to detect such molecules (Burdikova et al., 2015).

626 **7. Color removal *via* microfiltration**

627 Microfiltration (MF) is a pressure-driven membrane separation technique that selectively
628 retains larger components of the feed and concentrates them in the retentate, while low
629 molecular weight species/soluble compounds pass into the permeate (Soodam and Guinee,
630 2018). The process involves passage of the liquid components under relatively low pressure
631 (~100 kPa) across a semipermeable membrane with pore sizes ranging from 0.2 to 5 μm
632 (Olesen and Jensen, 1989). MF can also be used to recover micellar caseins (MCC) from
633 milk serum using membranes of ~0.15 μm pore size; the composition of such permeate is
634 similar to that of whey. Native whey proteins present in MF permeate can be recovered using
635 ultrafiltration (UF) process. UF permeate (Steinhauer, Marx, Bogendörfer, & Kulozik, 2015)
636 which contains mostly lactose and minerals, can subsequently be utilized for standardizing
637 cheese milk adding it to cream, MCC. Therefore, microfiltration is considered an effective
638 and efficient way of recovering native whey proteins from the milk before cheese making
639 (Soodam and Guinee, 2018).

640 Zhu and Damodaran (2012) suggested that, in solution, norbixin might be found in the form
641 of micelles dispersed in the aqueous medium (such as cheese whey), and thus may be
642 associated with residual MFGM material present in the whey rather than being completely in
643 a soluble state. Therefore, a MF process might physically remove norbixin micelles. In fact,
644 the molecular weight cut-off of MF membranes (8 kDa) allows whey proteins (β -LG, α -LA
645 and BSA), lactose, minerals, and water to pass through the membrane, while bacteria, fat, CN
646 particles and fines, and large whey proteins (i.e., immunoglobulins) are retained in the
647 retentate (Qiu et al., 2015).

648 Considering the above fact, Qiu et al. (2015) evaluated the efficacy of microfiltration for
649 removal of norbixin from whey. The residual norbixin content of WPC80 produced from
650 whey treated with MF (9.6 mg/kg of solids) and hydrogen peroxide (HP) treatment (9.4
651 mg/kg of solids) was significantly higher as compared with the lactoperoxidase (LP)
652 treatment (1.2 mg/kg of solids). The reduction in norbixin content of WPC80 because of
653 treatment of whey with MF and HP (~40%) and LP treatment (92.8%) was significantly
654 lower as compared to that of the control whey (~17.25 mg norbixin per kg of solids). The
655 residual content of norbixin in WPC80 powder produced from MF-treated whey indicates
656 some level of norbixin binding to the other (than MFGM or fat) whey components, i.e.,
657 proteins or minerals. Further detailed studies are recommended to identify the type of
658 interactions and to establish their consequences for protein functionality. Color, flavor and
659 functionality of WPC80 obtained from MF-treated whey were compared with untreated whey
660 and whey bleached with HP or lactoperoxidase (LP). MF treatment of fluid whey reduced
661 yellowness in WPC more than HP or LP treatments and achieved improved clarity and
662 lightness of whey may be due to removal of fat by the MF process. The MF-treated WPC,
663 based on sensory analysis and volatile compound analysis, was characterized by an increase
664 in sweet aromatic flavor and a lower concentration of lipid oxidation compounds as
665 compared to that obtained from bleaching with HP and LP. On the other hand, the strong
666 oxidizing nature of the LP enzyme destroys conjugation bonds and therefore, causes
667 significant loss of norbixin, **with concurrent lipid oxidation and off flavors** (Jervis & Drake,
668 2013).

669

670 **8. Structured delivery systems for colorant molecules**

671 A variety of molecules and ions can bind to milk proteins with different degrees of affinity
672 and specificity; in particular, hydrophobic molecules bind to milk proteins by several bonding

673 mechanisms, such as hydrophobic interactions, van der Waals attraction forces and hydrogen
674 bonds.

675 Among milk proteins, because of their relatively open structure, caseins are more prone to
676 binding small components than whey proteins, and naturally bind calcium and calcium-
677 phosphate nanoparticles. In fact, caseins are rich in proline residues, have distinct
678 hydrophobic and hydrophilic domains, and therefore are present in rheomorphic open
679 structures. These proteins assume favorable structural conformations in aqueous media that
680 make the system thermodynamically stable; around 95% of the caseins are naturally self-
681 assembled into casein micelles, which are spherical colloidal particles of 50-500 nm (average
682 150 nm) in diameter, dispersed in the serum phase of milk. The relatively open structure of
683 the caseins makes various compounds to bind to accessible regions. Therefore, the casein
684 micelle is an example of a natural nanovehicle for delivery of nutrients (Fox and
685 McSweeney, 2003). For example, Semo et al. (2007) used casein micelles as a nanocarrier for
686 delivery of hydrophobic nutraceuticals (vitamin D). Vitamin D was bound to soluble
687 caseinate through a ligand, and casein micelles were reformed by reinstating the original salt
688 balance of milk.

689 Table 4 presents various delivery methods used in the past to deliver colorant molecules.
690 Casein micelles were used successfully for delivery of curcumin, a natural food colorant,
691 (Sahu et al., 2008). Because of its hydrophobic nature, curcumin specifically binds to non-
692 polar regions of the casein structure and is unaffected by either the presence or absence of
693 colloidal calcium phosphate (Rahimi Yazdi & Corredig, 2012).

694 Nanoencapsulation of β -carotene in a casein-graft-dextran copolymer complex was
695 demonstrated by Pan, Yao, and Jiang (2007) using hydrophobic interactions and a copolymer
696 fabricated by Maillard reaction. The spherical core-shell nanocapsules ranged in size between
697 175-300 nm depending on pH and loading ratio (Pan et al., 2007). Conjugates obtained from

698 casein and whey protein interactions were also used as effective encapsulating materials for
699 delivery of fish oil in processed cheese of superior sensory quality compared to controls (Ye
700 et al., 2009; Livney, 2010).

701 Zhang and Zhong (2013b) produced bixin powder by spray-drying of a mixture of sodium
702 caseinate and bixin dissolved in aqueous ethanol solutions. The physicochemical properties
703 of the bixin powder were characterized, including encapsulation stability, particle size, color
704 indices, FTIR spectra, and fluorescence. Encapsulation improved the stability of bixin in
705 acidic conditions. However, b^* values (yellowness) after reconstitution were found to be
706 lower than that of the control. Samples showed precipitation at pH values close to the
707 isoelectric point of casein (pH 4.6), thus soluble soybean polysaccharide (SSPS) was added to
708 stabilize the dispersion (Liu, Nakamura, and Corredig, 2006) as, at a pH near its pI, SSPS
709 absorbed onto casein particles and stabilized them against aggregation (Zhang and Zhong,
710 2013b).

711 Ravanfar, Celli and Abbaspourrad (2018) developed a novel core-shell-structured, enzyme-
712 triggered microcapsule that selectively carries bixin molecules to the cheese matrix, resulting
713 in colorless whey. The core of the microcapsule consists of κ -carrageenan gel matrix that can
714 physically entrap the bixin molecule (Figure 6). The core is coated with a double-layered
715 shell made with an inner solid lipid layer (composed of beeswax, palmitic acid and lecithin)
716 and an outer casein (sodium caseinate)-poloxamer 338 layer. The outer casein-polymer layer
717 provides structural integrity and stability during transport to the site of action and can be
718 tailored to ensure selective binding of the microcapsule to the casein fraction during cheese-
719 making. The presence of lipase in the system triggers release of the colorant molecules from
720 the core of microcapsule after hydrolysis of ester bonds in the lipid layer during ripening. The
721 efficacy of the colorant delivery was tested during cheese-making from non-renneted but
722 acidified cheese milk (pH 4.6) and after ripening of the cheese at 26°C for 14 days. The

723 results indicated that the most of the colorant was retained in the curd portion and that the
724 whey was almost colorless. This approach may have significant advantages over the existing
725 bleaching method of decolorizing whey, provided the method is applicable to standard cheese
726 making systems comprising of starter inoculation, rennet coagulation and subsequent
727 ripening of the cheese.

728 However, it is likely that non-dairy ingredients, including beeswax, palmitic acid, lecithin, κ -
729 carrageenan, and poloxamer 338 polymer molecules, will enter into the whey stream and to
730 any subsequent products to which the concentrated whey products will be added. In
731 particular, this may be an issue for whey products used in the manufacture of infant milk
732 formula. These additional non-dairy ingredients need to be declared on the label to meet the
733 legal requirements of the market. Regulatory approval for the use of these ingredients for the
734 manufacture of Cheddar cheese may be required in the longer term.

735 In a similar approach, Celli, Ravanfar, Kaliappan, Kapoor and Abbaspourrad (2018)
736 entrapped norbixin into casein-chitosan (oppositely charged biopolymer) complexes prepared
737 based on electrostatic interactions. The affinity of these complexes is expected to be strong
738 towards the cheese curd. When negatively charged casein molecules come into contact with
739 positively charged annatto-containing chitosan solutions, electrostatic complexes form.
740 Relatively low encapsulation efficiency (~38.2%) of annatto molecules suggests either
741 insufficient concentration of chitosan or the possibility of electrostatic repulsion between
742 casein and chitosan, as the former may become positively charged below its pI. The delivery
743 system was applied to acid-coagulated milk. Whey powder obtained from the acid-coagulated
744 milk with casein-chitosan-complex-treated annatto samples exhibited improved color
745 characteristics compared to that obtained with annatto powder, suggesting that this could
746 be considered as another approach for elimination of colorant transfer to the whey. More
747 research is needed to ascertain the efficacy of this method for retention of color molecule in

748 rennetted cheese curds and its impact on cheese ripening behavior. Labeling requirements
749 must also be considered while designing further formulations.

750 **9. Conclusions and future perspectives**

751 Carry-over (~ 20%) of colorant molecules (such as norbixin) into whey during cheese
752 making is an issue for whey products, in particular those destined for infant milk formula
753 applications. Considerable attempts have been made in the past to find sustainable solutions
754 for producing clear whey streams without compromising with final cheese quality. Most of
755 these solutions are based upon either bleaching of the color present in the whey, developing
756 alternative colorant formulations which bind more strongly with the cheese matrix, leaving
757 only traces in whey streams, or recovering whey components from the milk using
758 microfiltration before cheese making.

759 Use of alternative colorant solutions such as β -carotene, paprika, and saffron is a
760 potentially viable option. Similarly, the development of structured delivery systems for
761 specific delivery of regular annatto fractions or alternative colorants to the cheese curd is an
762 area which has been actively pursued in the recent past. However, the effect of these delivery
763 systems on cheese characteristics would benefit from further published studies to facilitate
764 the uptake of these novel technologies in the near future. Application of microfiltration of
765 milk will generate additional liquid streams with the need for further processing; however, it
766 may also offer opportunities to develop novel dairy ingredients from these intermediate
767 streams. A thorough study is required to explore potential use of microfiltration for
768 elimination of color transfer to the whey and to present a detailed business case scenario.

769 Diffusion of color into the curd particles post-whey-withdrawal can be a most practical
770 and novel approach to ensure no contact of the colorant with the whey. However, it is unclear
771 what factors affect colorant diffusion in cheese matrices, as few studies have been conducted

772 on this aspect. Inward diffusion of small solutes such as colorant molecules in the cheese
773 matrices should be studied under diverse physico-chemical environments. The impact of
774 colorant diffusion on cheese quality, texture and sensory characteristics should be
775 investigated.

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782 **11.Author's Contributions**

783 Prateek Sharma and Annalisa Segat undertook the literature search, wrote the manuscript
784 drafts, circulated and discussed the previous findings with co-authors. Alan Kelly and
785 Diarmuid Sheehan read the manuscripts thoroughly, and provided critical inputs to improve
786 the quality of work.

787

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1210	Table
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1212	Table 1a Permitted color and maximum levels in different food applications
1213	Table 1b Potential food colorants which may be considered for application in cheese
1214	Table 2 Chemical and physical properties of annatto (norbixin and bixin) and β -carotene
1215	Table 3 Methods of analysis of annatto
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1218 **Figure Captions**

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1220 **Figure 1** The structural formulae of *cis* and *trans* forms of bixin and norbixin (Wallin, 2006).

1221 **Figure 2** Various forms of annatto extracted using different processing techniques (Wallin,

1222 2006).

1223 **Figure 3** Visual appearances of various fractions and phases in Cheddar whey (adapted from

1224 Zhu & Damodaran, 2012).

1225 **Figure 4** Comparison of color of control Cheddar cheese with norbixin (15 mL/454 kg of

1226 milk) and bixin (60 mL/454 kg of milk), adapted from Smith et al. (2014)

1227 **Figure 5** Principal component analysis (PCA) biplot of volatile compounds in bleached whey

1228 (Hydrogen Peroxide-HP, Lactoperoxidase-LP), microfiltrated samples (MF) and control

1229 (Con). Adapted from Qui et al., 2015.

1230 **Figure 6.** Schematic of enzymatically triggered microcapsules and their controlled release by

1231 lipase (Ravanfar, Celli, & Abbaspourrad, 2018).

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1233 Table 1a Permitted color and maximum levels in different food applications

Foodstuffs	Permitted color	Maximum level*
Red Leicester cheese	E 160b Annatto, Bixin, norbixin	50 mg/kg
Red marbled cheese	E 120 Cochineal, Carminic acid, Carmines	125 mg/kg
	E 163 Anthocyanins	<i>Quantum satis</i> *
Mimolette cheese	E 160b Annatto, Bixin, norbixin	35 mg/kg
Ripened Orange, Yellow	E 160a Carotenes	<i>Quantum satis</i>
and broken-white cheese:	E 160c Paprika extract	<i>Quantum satis</i>
unflavored processed	E 160b Annatto, Bixin, norbixin	15 mg/kg
cheese		
Morbier cheese	E 153 Vegetable carbon	<i>Quantum satis</i>
Sage Derby cheese	E 140 Chlorophylls, chlorophyllis	<i>Quantum satis</i>
	E 141 Copper complexes of chlorophylls and chlorophyllins	
Butter (including reduced- fat butter and concentrated butter)	E 160a Carotenes	<i>Quantum satis</i> ¹
Margarine	E 160a Carotenes	<i>Quantum satis</i>
	E 100 Curcumin	<i>Quantum satis</i>
	E 160b Annatto, Bixin, Norbixin	10 mg/kg

Source: Council Directive 94/36/EC; Komisyonu, 1994

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* In the Annexes to this Directive '*quantum satis*' means that no maximum level is specified. However, coloring matters shall be used according to good manufacturing practice at a level not higher than is necessary to achieve the intended purpose and provided that they do not mislead the customer.

1235 Table 1b Potential food colorants which may be considered for application in cheese

Foodstuffs	Colorant
Ripened cheeses	Lutein from <i>Tagetes erecta</i> , Caramel II, sulphite caramel, Curcumin, Zeaxanthin, synthetic
Ripened Cheese, includes rind	Caramel IV – sulfite ammonia caramel, Carmines, Carotenoids, Paprika extract, Riboflavins, Chlorophylls and chlorophyllins, copper complexes,

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1238 Table 2 Chemical and physical properties of annatto (norbixin and bixin) and β -carotene

	Norbixin	Bixin	β-carotene
Chemical name	<i>cis</i> -Norbixin: 6,6'- <i>cis</i> -Diapo- Ψ,Ψ -carotenedioic acid Norbixin dipotassium salt: Dipotassium 6,6'-diapo- Ψ,Ψ -carotenedioate <i>cis</i> -Norbixin disodium salt: Disodium 6,6'-diapo- Ψ,Ψ -carotenedioate*	6,6'- <i>cis</i> -Bixin: Methyl (9- <i>cis</i>)-hydrogen-6,6'-diapo- Ψ,Ψ -carotenedioate*	β -carotene
Molecular weight	380.47672 (acid) 456.7 (dipotassium salt), 424.5 (disodium salt) g/mol	394.511 g/mol	536.888 g/mol
Molecular formula	C ₂₄ H ₂₈ O ₄ C ₂₄ H ₂₆ K ₂ O ₄ , C ₂₄ H ₂₆ Na ₂ O ₄	C ₂₅ H ₃₀ O ₄	C ₄₀ H ₅₆
Color	Yellow-red solutions or powder/Extract	Orange crystals or red-brown to red-purple powder*	Dark Red to brownish-red crystals or crystalline powder
Solubility	Soluble in alcohol, ether, oil In water: 5.23*10 ⁻¹³	Insoluble in water, slightly soluble in ethanol Soluble in oil	Soluble in benzene, chloroform, carbon disulfide;

	mg/L at 25 °C		Moderately soluble
	pKa-4.8		in ether, petroleum ether, oils; very sparingly sol in methanol and ethanol; practically insoluble in water, acids, alkalies.
			0.6 mg/mL in water; 2 mg/L in ethanol; Soluble in acetone and vegetable oils
UV/VIS absorption	Sample in 0.5% potassium hydroxide solution shows absorbance maxima at about 453 nm and 482 nm*.	The sample in acetone shows absorbance maxima at about 425, 457 and 487 nm; at 469 nm in chloroform and at 456 nm in ethanol. (Chapman, Thompson & Slade, 1980)	Max absorption (chloroform): 497, 466 nm; (benzene): 278, 364, 463, 494nm; (ethanol): 456, 484 nm; (cyclohexane) 453 nm to 456 nm
Fluorescence	$\lambda_{\text{excitation}}$ 381 nm ^s $\lambda_{\text{emission}}$ 474 nm ^s	$\lambda_{\text{excitation}}$ 360 nm ^s $\lambda_{\text{emission}}$ 570 nm ^s	-
Vapor pressure	1.75X10 ⁻¹¹ mm Hg at	-	1.8X10 ⁻¹¹ mm Hg at

25 °C

25 °C (est)

1239 *Source: PubChem, n.d., * Joint FAO/WHO, 2007, § Santos et al., 2014*

1240 Table 3 Methods of analysis of annatto

Samples	Method	Analyte (s)	References
Cheese and Margarine	Two-dimensional thin layer chromatography	Bixin, norbixin and beta-carotene	Montag, 1962
Cheese, margarine and hard candy	Solvent extraction followed by high performance liquid chromatography (HPLC)	Bixin and norbixin	Lancaster and Lawrence, 1995
Sodium caseinate dispersions	UV-vis spectrophotometer	Bixin	Zhang and Zhong, 2013a,b
Cheese, butter and ice-cream	Solid phase extraction (SPE) followed by HPLC and spectrophotometry	Bixin and norbixin	Bareth, Strohmar and Kitzelmann, 2002
Cheese	Derivative spectroscopy and HPLC	Bixin and norbixin	Luf and Brandl, 1988
Annatto solutions and Bread	Fluorescence spectroscopy and photothermal	Bixin and norbixin	Santos et al., 2014
Cheese containing color loaded micro-capsules	UV-vis spectrophotometer and FTIR spectroscopy	Bixin	Ravanfar, Celli and Abbaspourrad, 2018
Cheese and whey	SPE + HPLC	Bixin and Norbixin	Campbell et al., 2012; Smith et al., 2014
Freeze dried whey fractions	UV-vis spectrophotometer	Norbixin	Zhu and Damodaran, 2012
WPC 80	HPLC	Norbixin	Campbell et al., 2014; Qiu et al., 2015; Carter et al., 2017

WPI, Sodium Caseinate,	Fluorescence quenching, FTIR spectroscopy	Norbixin	Zhang and Zhong, 2013a,b
Casein-chitosan complexes	UV-vis spectrophotometer, FTIR	Norbixin	Celli et al., 2018
Cheddar cheese	Quantum yield	Norbixin, β -carotene	Petersen, Wiking and Stapelfeldt, 1999
Cheddar cheese	Thin layer chromatography	Bixin and norbixin	Govindarajan and Morris, 1973
Cheese	Confocal raman microscopy	β -carotene, paprika	Burdikova et al., 2015; Smith et al., 2017
Food coloring formulation	Mass spectrometry with: RP-HPLC; fast atom bombardment (FAB); matrix-assisted laser desorption ionization-time of flight (MALDI-TOF), etc.	Bixin and norbixin, β -carotene	Scotter et al., 1994 and 1995; Kelly et al., 1996; Guaratini et al., 2004; Vetter and Meister, 1985; Felicissimo et al., 2004; Bittencourt et al., 2005; Breithaupt, 2004; Galindo-Cuspinera and Rankin, 2005; Noppe et al., 2009
Colorant solutions	^1H and ^{13}C NMR; X-ray crystallography	Bixin family of apocarotenoids	Kelly et al., 1996; Scotter et al., 1994.

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1243 Table 4 Delivery methods used for colorant delivery into cheese matrices.

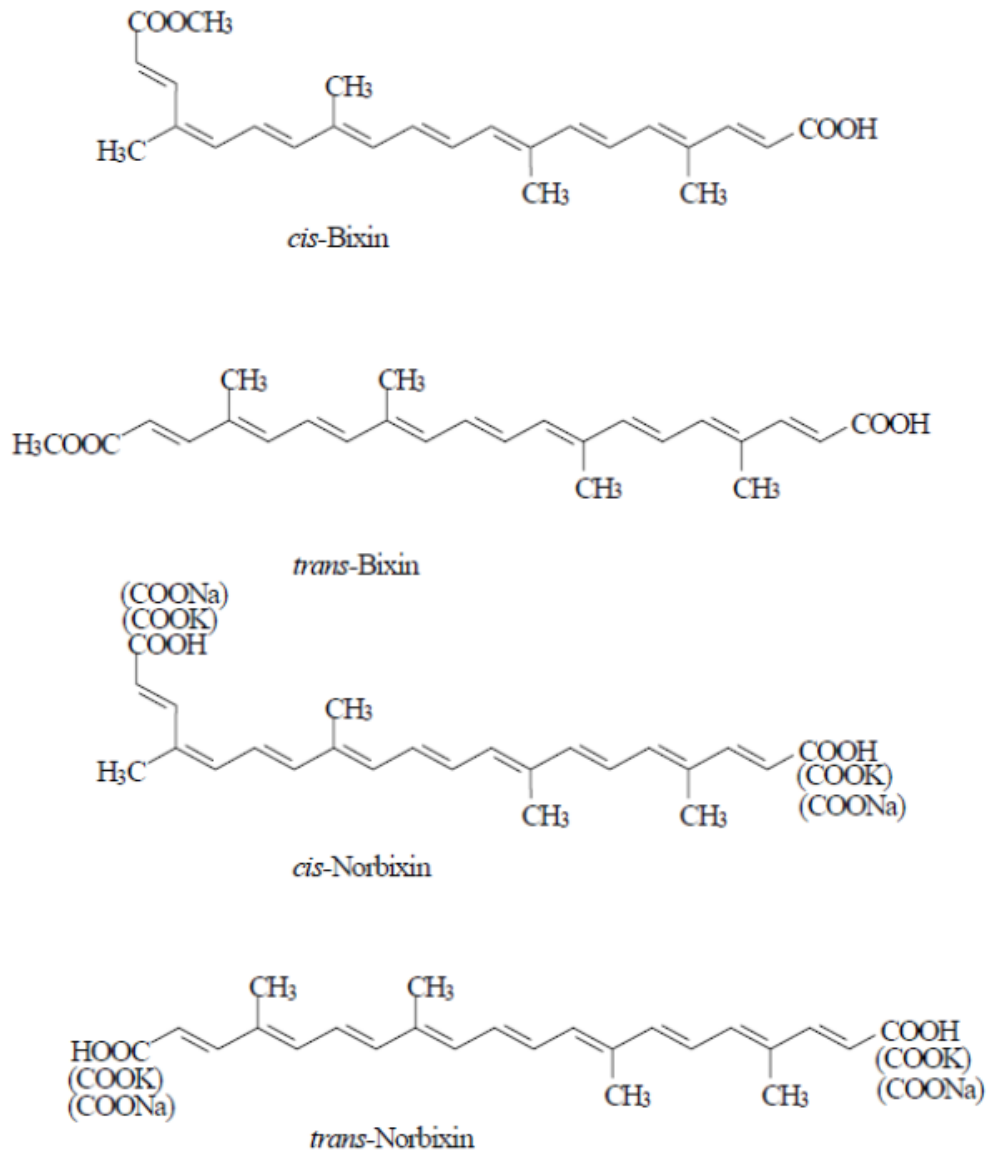
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Colorant molecule	Mode of delivery	Type of vehicle	Considerations	References
Curcumin	Nanoencapsulation	Casein micelle	Stability of molecule in aqueous environment.	Sahu et al., 2008
β -carotene	Nanoencapsulation by hydrophobic interactions	Maillard reaction casein–graft-dextran copolymer based spherical core-shell nanocapsules.	Enzyme (pepsin/trypsin) triggered release in liquid system.	Pan et al., 2007
Bixin	Physical entrapment	Sodium caseinate; soluble soybean polysaccharide	Reduced color intensity; off-flavor and aggregation issues.	Zhang and Zhong, 2013b; Liu, Nakamura, & Corredig, 2006
Bixin	Microencapsulation, lipase induced delivery	Core-shell-structured, enzyme-triggered microcapsule	Use of non-conventional additives e.g. poloxamer 338, beeswax, palmitic acid and lecithin.	Ravanfar, Celli and Abbaspourrad, 2018
Norbixin	Electrostatic interactions	Casein-chitosan (oppositely charged biopolymer) complexes	Unknown impact on cheese flavor and texture. Additional labeling requirement for chitosan.	Celli, Ravanfar, Kaliappan, Kapoor and Abbaspourrad. 2018
β -carotene	Emulsion and entrapment	Sodium caseinate, emulsifier and hydrocolloid	Not available	Moeller et al., 2012
β -carotene and paprika	Complex formation and emulsification	Caseinate and oil phase.	Not available	Sexton et al., 2010
Bixin	Solvent-mediated pressure treatment	Complexion of bixin with casein micelle	Residues of solvent phase and bixin in whey	Celli, Lawrence, P., Ravanfar & Abbaspourrad, 2019

1245 List of figures

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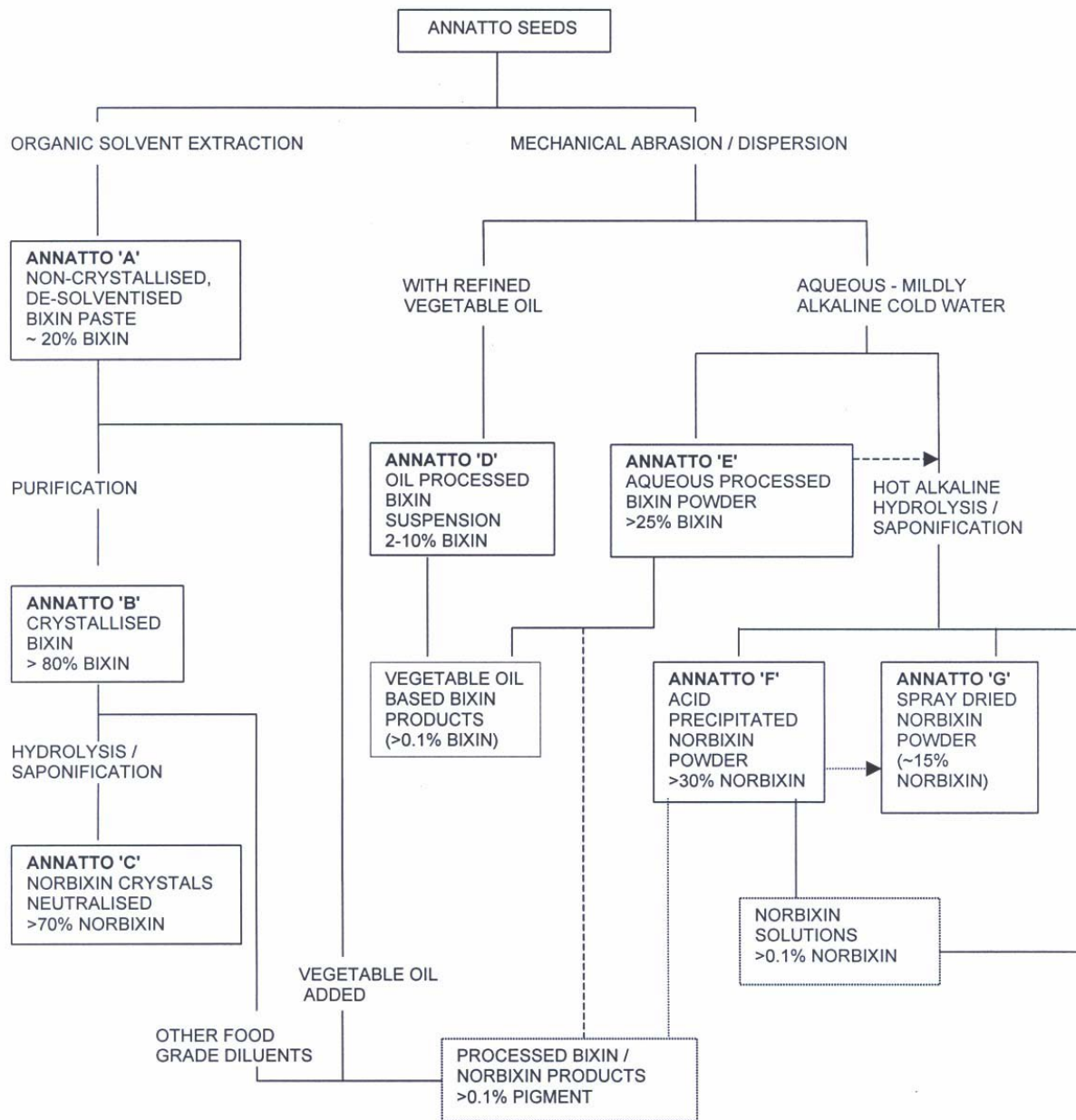
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1250 Figure 2 The structural formulae of *cis* and *trans* forms of bixin and norbixin (Wallin, 2006).

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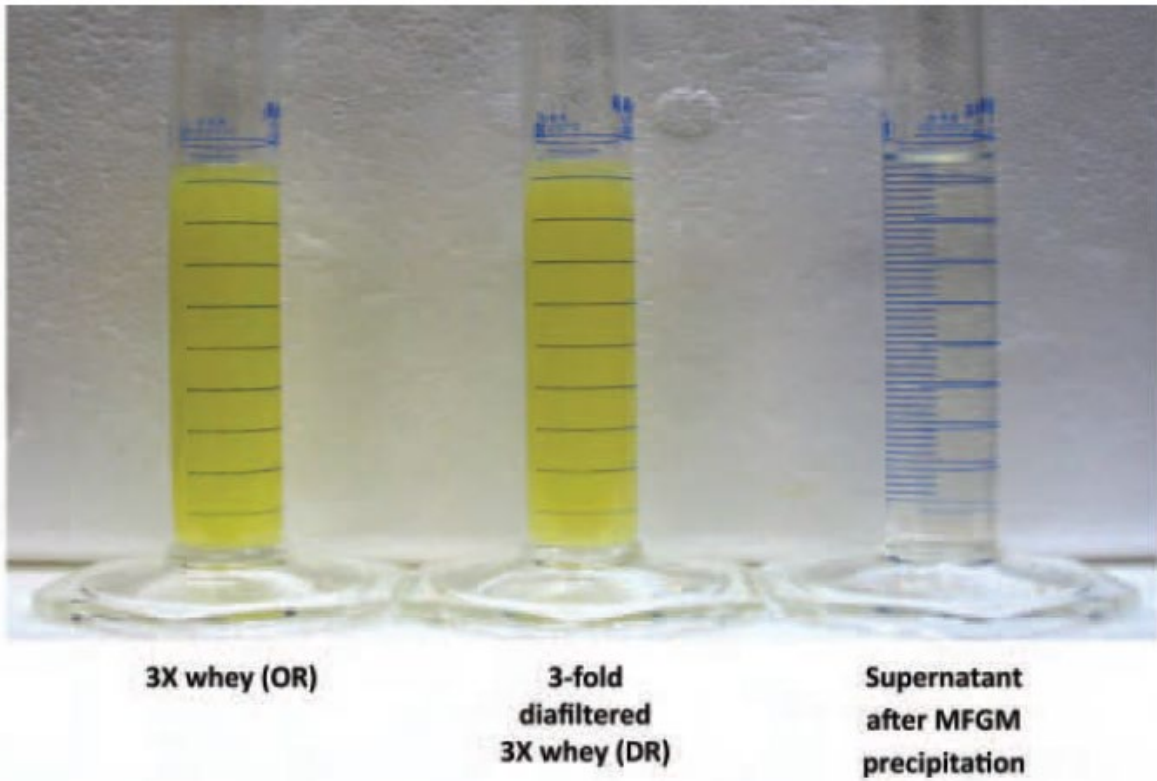
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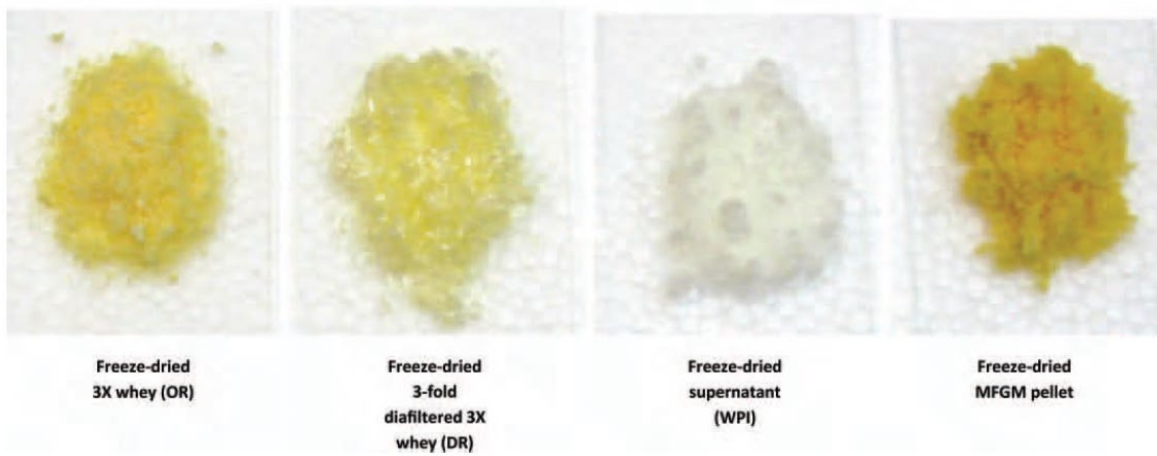
1254 Figure 2. Various forms of annatto extracted using different processing techniques (Wallin,

1255 2006).



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1260 Figure 3. Visual appearances of various fractions and phases in Cheddar whey (adapted from

1261 Zhu & Damodaran, 2012).

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Cheese-No Color



Cheese-Norbixin



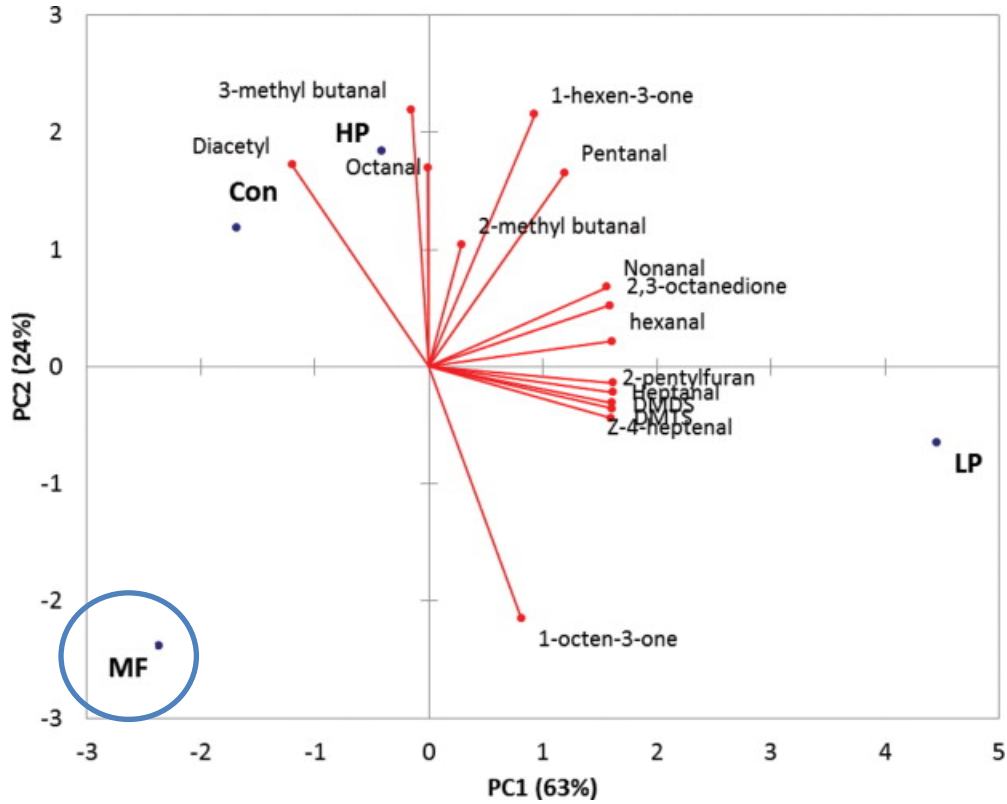
Cheese-Bixin

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1267 Figure 4 Comparison of color of control Cheddar cheese with norbixin (15 mL/454 kg of
1268 milk) and bixin (60 mL/454 kg of milk), adapted from Smith, 2014

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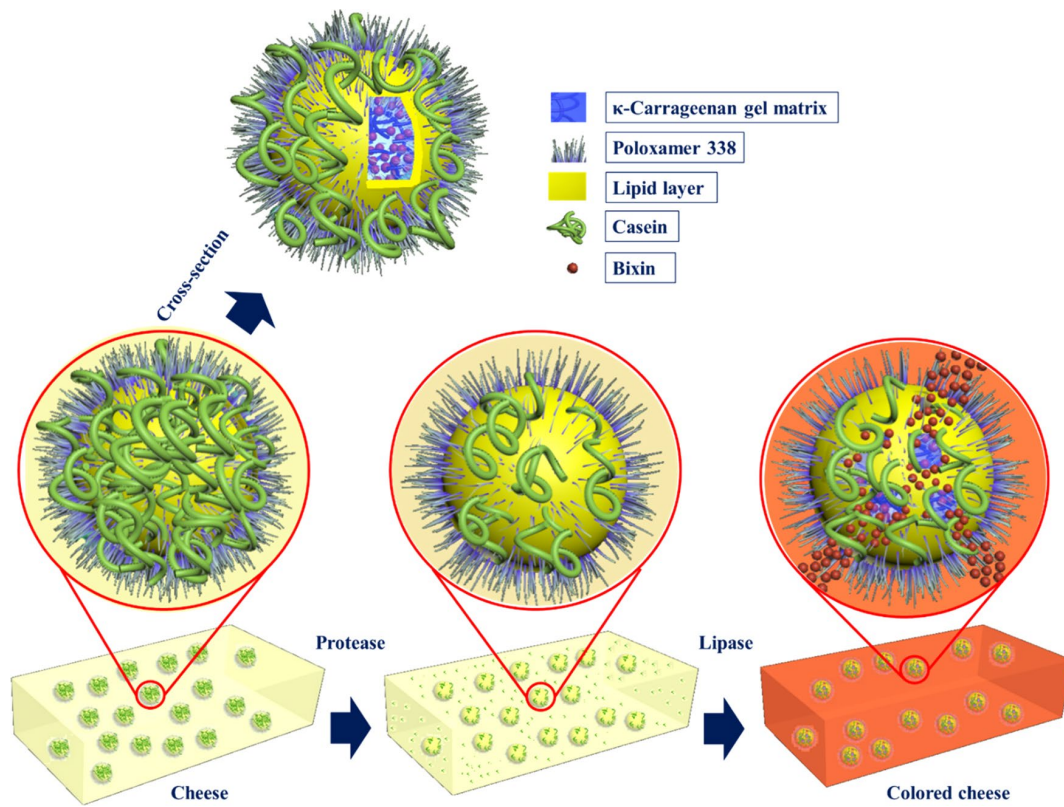
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1273 Figure 5 Principal component analysis (PCA) biplot of volatile compounds in bleached whey

1274 (Hydrogen Peroxide-HP, Lactoperoxidase-LP), microfiltrated samples (MF) and control

1275 (Con). Adapted from Qiu et al., 2015.

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Figure 6. Schematic of enzymatically triggered microcapsules and their controlled release by lipase (Ravanfar, Celli, & Abbaspourrad, 2018).